

ISO 20022

Corporate Actions - Maintenance 2021 - 2022 - ISO 15022 Variants

Message Definition Report - Part 2

Approved by the Securities SEG on 20 January 2022

This document provides details of the Message Definitions for Corporate Actions - Maintenance 2021 - 2022 - ISO 15022 Variants.

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1 Message Set Overview

1.1 List of MessageDefinitions

The following table lists all MessageDefinitions described in this book.

MessageDefinition	Definition
head.001.001.02 BusinessApplicationHeaderV02	<p>The Business Layer deals with Business Messages. The behaviour of the Business Messages is fully described by the Business Transaction and the structure of the Business Messages is fully described by the Message Definitions and related Message Rules, Rules and Market Practices. All of which are registered in the ISO 20022 Repository.</p> <p>A single new Business Message (with its accompanying business application header) is created - by the sending MessagingEndpoint - for each business event; that is each interaction in a Business Transaction. A Business Message adheres to the following principles:</p> <p>" A Business Message (and its business application header) must not contain information about the Message Transport System or the mechanics or mechanism of message sending, transportation, or receipt.</p> <p>" A Business Message must be comprehensible outside of the context of the Transport Message. That is the Business Message must not require knowledge of the Transport Message to be understood.</p> <p>" A Business Message may contain headers, footers, and envelopes that are meaningful for the business. When present, they are treated as any other message content, which means that they are considered part of the Message Definition of the Business Message and as such will be part of the ISO 20022 Repository.</p> <p>" A Business Message refers to Business Actors by their Name. Each instance of a Business Actor has one Name. The Business Actor must not be referred to in the Transport Layer.</p> <p>Specific usage of this BusinessMessageHeader may be defined by the relevant SEG.</p>
seev.031.002.12 CorporateActionNotification002V12	<p>The CorporateActionNotification message is sent by an account servicer to an account owner or its designated agent to notify details of a corporate action event and optionally account information, eligible balance and entitlements.</p> <p>It may also include possible elections or choices available to the account owner. The account servicer can initially send the CorporateActionNotification message as a preliminary advice, subsequently replaced by another CorporateActionNotification message with complete or confirmed information.</p>

MessageDefinition	Definition
	It may also be sent to an account owner or its designated agent, to remind of event details and/or of missing or incomplete instructions for a corporate action event.
seev.032.002.08 CorporateActionEventProcessingStatusAdvice02V08	<p>An account servicer sends the CorporateActionEventProcessingStatusAdvice message to an account owner or its designated agent to report processing status of a corporate action event.</p> <p>The account servicer uses this message to provide a reason as to why a corporate action event has not been completed by the announced payment dates.</p>
seev.033.002.12 CorporateActionInstruction002V12	<p>The CorporateActionInstruction message is sent by an account owner to an account servicer to instruct election on a corporate action event.</p> <p>This message is used to provide the custodian with instructions on how the account owner wishes to proceed with a corporate action event. Instructions include investment decisions regarding the exercise of rights issues, the election of stock or cash when the option is available, and decisions on the conversion or tendering of securities.</p>
seev.034.002.13 CorporateActionInstructionStatusAdvice002V13	<p>The CorporateActionInstructionStatusAdvice message is sent by an account servicer to an account owner or its designated agent, to report the status of a received corporate action election instruction.</p> <p>This message is used to advise the status, or a change in status, of a corporate action-related transaction previously instructed by, or executed on behalf of, the account owner. This will include the acknowledgement/rejection of a corporate action instruction.</p>
seev.035.002.13 CorporateActionMovementPreliminaryAdvice002V13	The CorporateActionMovementPreliminaryAdvice message is sent by an account servicer to an account owner or its designated agent to pre-advise an upcoming posting or reversal of securities and/or cash postings.
seev.036.002.13 CorporateActionMovementConfirmation002V13	The CorporateActionMovementConfirmation message is sent by an account servicer to an account owner or its designated agent to confirm the posting of securities or cash as a result of a corporate action event.
seev.037.002.13 CorporateActionMovementReversalAdvice002V13	The CorporateActionMovementReversalAdvice message is sent by an account servicer to an account owner or its designated agent to reverse a previously confirmed posting of securities or cash.
seev.038.002.07 CorporateActionNarrative002V07	The CorporateActionNarrative message is sent between an account servicer and an account owner or its designated agent to cater for such processes that cannot be handled using messages such as the CorporateActionNotification. It is not to be used for event narrative as that should be included in the CorporateActionNotification. Examples of when the CorporateActionNarrative message may be used include tax reclaims, restrictions, documentation

MessageDefinition	Definition
	requirements. This message should only be used when bilaterally agreed. This message is bi-directional.
seev.039.002.11 CorporateActionCancellationAdvice002V11	The CorporateActionCancellationAdvice message is sent by an account servicer to an account owner or its designated agent to cancel a previously announced corporate action event in case of error from the account servicer or in case of withdrawal by the issuer.
seev.040.002.11 CorporateActionInstructionCancellationRequest002V11	The CorporateActionInstructionCancellationRequest message is sent by an account owner to an account servicer to request cancellation of a previously sent corporate action election instruction.
seev.041.002.12 CorporateActionInstructionCancellationRequestStatusAdvice002V12	The CorporateActionInstructionCancellationRequestStatusAdvice message is sent by an account servicer to an account owner or its designated agent to report the status of a previously received CorporateActionInstructionCancellationRequest message sent by the account owner. This will include the acknowledgement/rejection of a request to cancel an outstanding instruction.
seev.042.002.11 CorporateActionInstructionStatementReport002V11	The CorporateActionInstructionStatementReport message is sent by an account servicer to an account owner or its designated agent to report balances at the safekeeping account level for one or more corporate action events or at the corporate action event level for one or more safekeeping accounts.
seev.044.002.11 CorporateActionMovementPreliminaryAdviceCancellationAdvice002V11	The CorporateActionMovementPreliminaryAdviceCancellationAdvice message is sent by an account servicer to an account owner or its designated agent to cancel a previously announced CorporateActionMovementPreliminaryAdvice.

2 **head.001.001.02 BusinessApplicationHeaderV02**

2.1 **MessageDefinition Functionality**

The Business Layer deals with Business Messages. The behaviour of the Business Messages is fully described by the Business Transaction and the structure of the Business Messages is fully described by the Message Definitions and related Message Rules, Rules and Market Practices. All of which are registered in the ISO 20022 Repository.

A single new Business Message (with its accompanying business application header) is created - by the sending MessagingEndpoint - for each business event; that is each interaction in a Business Transaction. A Business Message adheres to the following principles:

" A Business Message (and its business application header) must not contain information about the Message Transport System or the mechanics or mechanism of message sending, transportation, or receipt.

" A Business Message must be comprehensible outside of the context of the Transport Message. That is the Business Message must not require knowledge of the Transport Message to be understood.

" A Business Message may contain headers, footers, and envelopes that are meaningful for the business. When present, they are treated as any other message content, which means that they are considered part of the Message Definition of the Business Message and as such will be part of the ISO 20022 Repository.

" A Business Message refers to Business Actors by their Name. Each instance of a Business Actor has one Name. The Business Actor must not be referred to in the Transport Layer.

Specific usage of this BusinessMessageHeader may be defined by the relevant SEG.

Outline

The BusinessApplicationHeaderV02 MessageDefinition is composed of 14 MessageBuildingBlocks:

A. CharacterSet

Contains the character set of the text-based elements used in the Business Message.

B. From

The sending MessagingEndpoint that has created this Business Message for the receiving MessagingEndpoint that will process this Business Message.

Note the sending MessagingEndpoint might be different from the sending address potentially contained in the transport header (as defined in the transport layer).

C. To

The MessagingEndpoint designated by the sending MessagingEndpoint to be the recipient who will ultimately process this Business Message.

Note the receiving MessagingEndpoint might be different from the receiving address potentially contained in the transport header (as defined in the transport layer).

D. BusinessMessageIdentifier

Unambiguously identifies the Business Message to the MessagingEndpoint that has created the Business Message.

E. MessageDefinitionIdentifier

Contains the MessageIdentifier that defines the BusinessMessage.

It must contain a MessageIdentifier published on the ISO 20022 website.

example camt.001.001.03.

F. BusinessService

Specifies the business service agreed between the two MessagingEndpoints under which rules this Business Message is exchanged.

To be used when there is a choice of processing services or processing service levels.

Example: E&I.

G. MarketPractice

Specifies the market practice to which the message conforms. The market practices are a set of rules agreed between parties that restricts the usage of the messages in order to achieve better STP (Straight Through Processing) rates.

A market practice specification may also extend the underlying message specification by using extensions or supplementary data of this underlying message.

H. CreationDate

Date and time when this Business Message (header) was created.

I. BusinessProcessingDate

Processing date and time indicated by the sender for the receiver of the business message. This date may be different from the date and time provided in the CreationDate.

Usage: Market practice or bilateral agreement should specify how this element should be used.

J. CopyDuplicate

Indicates whether the message is a Copy, a Duplicate or a copy of a duplicate of a previously sent ISO 20022 Message.

K. PossibleDuplicate

Flag indicating if the Business Message exchanged between the MessagingEndpoints is possibly a duplicate.

If the receiving MessagingEndpoint did not receive the original, then this Business Message should be processed as if it were the original.

If the receiving MessagingEndpoint did receive the original, then it should perform necessary actions to avoid processing this Business Message again.

This will guarantee business idempotent behaviour.

NOTE: this is named "PossResend" in FIX - this is an application level resend not a network level retransmission.

L. Priority

Relative indication of the processing precedence of the message over a (set of) Business Messages with assigned priorities.

M. Signature

Contains the digital signature of the Business Entity authorised to sign this Business Message.

N. Related

Specifies the Business Application Header(s) of the Business Message(s) to which this Business Message relates.

Can be used when replying to a query; can also be used when canceling or amending.

2.2 Structure

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	Message root <Document> <AppHdr>	[1..1]		C5	
	CharacterSet <CharSet>	[0..1]	CodeSet	C6	12
	From <Fr>	[1..1]			12
{Or	OrganisationIdentification <OrgId>	[1..1]	±		12
Or}	FinancialInstitutionIdentification <FIId>	[1..1]	±		13
	To <To>	[1..1]			13
{Or	OrganisationIdentification <OrgId>	[1..1]	±		13
Or}	FinancialInstitutionIdentification <FIId>	[1..1]	±		13
	BusinessMessageIdentifier <BizMsgIdr>	[1..1]	Text		14
	MessageDefinitionIdentifier <MsgDefIdr>	[1..1]	Text		14
	BusinessService <BizSvc>	[0..1]	Text		14
	MarketPractice <MktPrctc>	[0..1]			14
	Registry <Regy>	[1..1]	Text		15
	Identification <Id>	[1..1]	Text		15
	CreationDate <CreDt>	[1..1]	DateTime		15
	BusinessProcessingDate <BizPrctgDt>	[0..1]	DateTime		15
	CopyDuplicate <CpyDplct>	[0..1]	CodeSet		15
	PossibleDuplicate <PssblDplct>	[0..1]	Indicator		16
	Priority <Prty>	[0..1]	CodeSet	C7	16
	Signature <Sgntr>	[0..1]	(External Schema)		17
	Related <Rltd>	[0..*]			17
	CharacterSet <CharSet>	[0..1]	CodeSet	C6	18
	From <Fr>	[1..1]			18
{Or	OrganisationIdentification <OrgId>	[1..1]	±		18
Or}	FinancialInstitutionIdentification <FIId>	[1..1]	±		18
	To <To>	[1..1]			19
{Or	OrganisationIdentification <OrgId>	[1..1]	±		19
Or}	FinancialInstitutionIdentification <FIId>	[1..1]	±		19
	BusinessMessageIdentifier <BizMsgIdr>	[1..1]	Text		20
	MessageDefinitionIdentifier <MsgDefIdr>	[1..1]	Text		20

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	BusinessService <BizSvc>	[0..1]	Text		20
	CreationDate <CreDt>	[1..1]	DateTime		20
	CopyDuplicate <CpyDplct>	[0..1]	CodeSet		20
	PossibleDuplicate <PssblDplct>	[0..1]	Indicator		21
	Priority <Prty>	[0..1]	CodeSet	C7	21
	Signature <Sgntr>	[0..1]	(External Schema)		22

2.3 Constraints

C1 AnyBIC

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

C2 BICFI

Valid BICs for financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consist of eight (8) or eleven (11) contiguous characters.

C3 Country

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

C4 OnlySignatureElement

The XML Signature namespace ("http://www.w3.org/2000/09/xmldsig#") allows for different XML elements to be root elements. This means the user has to choose amongst these global elements which one to use as the root element. Only the XML element Signature is allowed.

C5 RelatedPresentWhenCopyDupl

Related MUST contain the relevant BusinessMessageHeader elements of the BusinessMessage to which this BusinessMessage relates.

If CopyDuplicate is present, then Related MUST be present.

```
On Condition
  /CopyDuplicate is present
Following Must be True
  /Related[1] Must be present
```

This constraint is defined at the MessageDefinition level.

C6 ValidationByTable

C7 ValidationByTable

2.4 Message Building Blocks

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

2.4.1 CharacterSet <CharSet>

Presence: [0..1]

Definition: Contains the character set of the text-based elements used in the Business Message.

Impacted by: C6 "ValidationByTable"

Datatype: "UnicodeChartsCode" on page 1168

Constraints

- **ValidationByTable**

2.4.2 From <Fr>

Presence: [1..1]

Definition: The sending MessagingEndpoint that has created this Business Message for the receiving MessagingEndpoint that will process this Business Message.

Note the sending MessagingEndpoint might be different from the sending address potentially contained in the transport header (as defined in the transport layer).

From <Fr> contains one of the following **Party44Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	OrganisationIdentification <OrgId>	[1..1]	±		12
Or}	FinancialInstitutionIdentification <FIId>	[1..1]	±		13

2.4.2.1 OrganisationIdentification <OrgId>

Presence: [1..1]

Definition: Identification of a person or an organisation.

OrganisationIdentification <OrgId> contains the following elements (see "PartyIdentification135" on page 931 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[0..1]	Text		932
	PostalAddress <PstlAdr>	[0..1]	±		932
	Identification <Id>	[0..1]	±		933
	CountryOfResidence <CtryOfRes>	[0..1]	CodeSet	C3	933
	ContactDetails <CtctDtls>	[0..1]	±		934

2.4.2.2 FinancialInstitutionIdentification <FIld>

Presence: [1..1]

Definition: Identification of a financial institution.

FinancialInstitutionIdentification <FIld> contains the following elements (see "[BranchAndFinancialInstitutionIdentification6](#)" on page 746 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	FinancialInstitutionIdentification <FinInstnId>	[1..1]	±		746
	BranchIdentification <BrnchId>	[0..1]	±		747

2.4.3 To <To>

Presence: [1..1]

Definition: The MessagingEndpoint designated by the sending MessagingEndpoint to be the recipient who will ultimately process this Business Message.

Note the receiving MessagingEndpoint might be different from the receiving address potentially contained in the transport header (as defined in the transport layer).

To <To> contains one of the following **Party44Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	OrganisationIdentification <OrgId>	[1..1]	±		13
Or}	FinancialInstitutionIdentification <FIld>	[1..1]	±		13

2.4.3.1 OrganisationIdentification <OrgId>

Presence: [1..1]

Definition: Identification of a person or an organisation.

OrganisationIdentification <OrgId> contains the following elements (see "[PartyIdentification135](#)" on page 931 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[0..1]	Text		932
	PostalAddress <PstlAdr>	[0..1]	±		932
	Identification <Id>	[0..1]	±		933
	CountryOfResidence <CtryOfRes>	[0..1]	CodeSet	C3	933
	ContactDetails <CtctDtls>	[0..1]	±		934

2.4.3.2 FinancialInstitutionIdentification <FIld>

Presence: [1..1]

Definition: Identification of a financial institution.

FinancialInstitutionIdentification <FIId> contains the following elements (see "BranchAndFinancialInstitutionIdentification6" on page 746 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	FinancialInstitutionIdentification <FinInstnId>	[1..1]	±		746
	BranchIdentification <BrnchId>	[0..1]	±		747

2.4.4 BusinessMessageIdentifier <BizMsgIdr>

Presence: [1..1]

Definition: Unambiguously identifies the Business Message to the MessagingEndpoint that has created the Business Message.

Datatype: "Max35Text" on page 1174

2.4.5 MessageDefinitionIdentifier <MsgDefIdr>

Presence: [1..1]

Definition: Contains the MessageIdentifier that defines the BusinessMessage.

It must contain a MessageIdentifier published on the ISO 20022 website.

example camt.001.001.03.

Datatype: "Max35Text" on page 1174

2.4.6 BusinessService <BizSvc>

Presence: [0..1]

Definition: Specifies the business service agreed between the two MessagingEndpoints under which rules this Business Message is exchanged.

To be used when there is a choice of processing services or processing service levels.

Example: E&I.

Datatype: "Max35Text" on page 1174

2.4.7 MarketPractice <MktPrctc>

Presence: [0..1]

Definition: Specifies the market practice to which the message conforms. The market practices are a set of rules agreed between parties that restricts the usage of the messages in order to achieve better STP (Straight Through Processing) rates.

A market practice specification may also extend the underlying message specification by using extensions or supplementary data of this underlying message.

MarketPractice <MktPrctc> contains the following **ImplementationSpecification1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Registry <Regy>	[1..1]	Text		15
	Identification <Id>	[1..1]	Text		15

2.4.7.1 Registry <Regy>

Presence: [1..1]

Definition: Name of the implementation specification registry in which the implementation specification of the ISO 20022 message is maintained.

For example, "MyStandards".

Datatype: "Max350Text" on page 1174

2.4.7.2 Identification <Id>

Presence: [1..1]

Definition: Identifier which unambiguously identifies, within the implementation specification registry, the implementation specification to which the ISO 20022 message is compliant. This can be done via a URN. It can also contain a version number or date.

For instance, "2018-01-01 - Version 2" or "urn:uuid:6e8bc430-9c3a-11d9-9669-0800200c9a66".

Datatype: "Max2048Text" on page 1174

2.4.8 CreationDate <CreDt>

Presence: [1..1]

Definition: Date and time when this Business Message (header) was created.

Datatype: "ISODateTime" on page 1168

2.4.9 BusinessProcessingDate <BizPrcgDt>

Presence: [0..1]

Definition: Processing date and time indicated by the sender for the receiver of the business message. This date may be different from the date and time provided in the CreationDate.

Usage: Market practice or bilateral agreement should specify how this element should be used.

Datatype: "ISODateTime" on page 1168

2.4.10 CopyDuplicate <CpyDplct>

Presence: [0..1]

Definition: Indicates whether the message is a Copy, a Duplicate or a copy of a duplicate of a previously sent ISO 20022 Message.

Datatype: "CopyDuplicate1Code" on page 1097

CodeName	Name	Definition
CODU	CopyDuplicate	Message is being sent as a copy to a party other than the account owner, for information purposes and the message is a duplicate of a message previously sent.
COPY	Copy	Message is being sent as a copy to a party other than the account owner, for information purposes.
DUPL	Duplicate	Message is for information/confirmation purposes. It is a duplicate of a message previously sent.

2.4.11 PossibleDuplicate <PssbIDp1ct>

Presence: [0..1]

Definition: Flag indicating if the Business Message exchanged between the MessagingEndpoints is possibly a duplicate.

If the receiving MessagingEndpoint did not receive the original, then this Business Message should be processed as if it were the original.

If the receiving MessagingEndpoint did receive the original, then it should perform necessary actions to avoid processing this Business Message again.

This will guarantee business idempotent behaviour.

NOTE: this is named "PossResend" in FIX - this is an application level resend not a network level retransmission.

Datatype: One of the following values must be used (see ["YesNoIndicator" on page 1171](#)):

- *Meaning When True:* Yes
- *Meaning When False:* No

2.4.12 Priority <Prty>

Presence: [0..1]

Definition: Relative indication of the processing precedence of the message over a (set of) Business Messages with assigned priorities.

Impacted by: [C7 "ValidationByTable"](#)

Datatype: ["BusinessMessagePriorityCode" on page 1096](#)

Constraints

- **ValidationByTable**

2.4.13 Signature <Sgntr>

Presence: [0..1]

Definition: Contains the digital signature of the Business Entity authorised to sign this Business Message.

Type: (External Schema)

The W3C XML Schema that specifies following standard signature:

XML Signature Syntax and Processing (Second Edition) W3C Recommendation 10 June 2008

<http://www.w3.org/TR/2008/REC-xmlsig-core-20080610/>.

2.4.14 Related <Rltd>

Presence: [0..*]

Definition: Specifies the Business Application Header(s) of the Business Message(s) to which this Business Message relates.

Can be used when replying to a query; can also be used when canceling or amending.

Related <Rltd> contains the following **BusinessApplicationHeader5** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CharacterSet <CharSet>	[0..1]	CodeSet	C6	18
	From <Fr>	[1..1]			18
{Or	OrganisationIdentification <OrgId>	[1..1]	±		18
Or}	FinancialInstitutionIdentification <FIId>	[1..1]	±		18
	To <To>	[1..1]			19
{Or	OrganisationIdentification <OrgId>	[1..1]	±		19
Or}	FinancialInstitutionIdentification <FIId>	[1..1]	±		19
	BusinessMessageIdentifier <BizMsgIdr>	[1..1]	Text		20
	MessageDefinitionIdentifier <MsgDefIdr>	[1..1]	Text		20
	BusinessService <BizSvc>	[0..1]	Text		20
	CreationDate <CreDt>	[1..1]	DateTime		20
	CopyDuplicate <CpyDplct>	[0..1]	CodeSet		20
	PossibleDuplicate <PssblDplct>	[0..1]	Indicator		21
	Priority <Prty>	[0..1]	CodeSet	C7	21
	Signature <Sgntr>	[0..1]	(External Schema)		22

2.4.14.1 CharacterSet <CharSet>

Presence: [0..1]

Definition: Contains the character set of the text-based elements used in the Business Message.

Impacted by: C6 "ValidationByTable"

Datatype: "UnicodeChartsCode" on page 1168

Constraints

- ValidationByTable

2.4.14.2 From <Fr>

Presence: [1..1]

Definition: The sending MessagingEndpoint that has created this Business Message for the receiving MessagingEndpoint that will process this Business Message.

Note the sending MessagingEndpoint might be different from the sending address potentially contained in the transport header (as defined in the transport layer).

From <Fr> contains one of the following **Party44Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	OrganisationIdentification <OrgId>	[1..1]	±		18
Or}	FinancialInstitutionIdentification <FIId>	[1..1]	±		18

2.4.14.2.1 OrganisationIdentification <OrgId>

Presence: [1..1]

Definition: Identification of a person or an organisation.

OrganisationIdentification <OrgId> contains the following elements (see "PartyIdentification135" on page 931 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[0..1]	Text		932
	PostalAddress <PstlAdr>	[0..1]	±		932
	Identification <Id>	[0..1]	±		933
	CountryOfResidence <CtryOfRes>	[0..1]	CodeSet	C3	933
	ContactDetails <CtctDtls>	[0..1]	±		934

2.4.14.2.2 FinancialInstitutionIdentification <FIId>

Presence: [1..1]

Definition: Identification of a financial institution.

FinancialInstitutionIdentification <FIld> contains the following elements (see "BranchAndFinancialInstitutionIdentification6" on page 746 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	FinancialInstitutionIdentification <FinInstnId>	[1..1]	±		746
	BranchIdentification <BrnchId>	[0..1]	±		747

2.4.14.3 To <To>

Presence: [1..1]

Definition: The MessagingEndpoint designated by the sending MessagingEndpoint to be the recipient who will ultimately process this Business Message.

Note the receiving MessagingEndpoint might be different from the receiving address potentially contained in the transport header (as defined in the transport layer).

To <To> contains one of the following **Party44Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	OrganisationIdentification <OrgId>	[1..1]	±		19
Or}	FinancialInstitutionIdentification <FIld>	[1..1]	±		19

2.4.14.3.1 OrganisationIdentification <OrgId>

Presence: [1..1]

Definition: Identification of a person or an organisation.

OrganisationIdentification <OrgId> contains the following elements (see "PartyIdentification135" on page 931 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[0..1]	Text		932
	PostalAddress <PstlAdr>	[0..1]	±		932
	Identification <Id>	[0..1]	±		933
	CountryOfResidence <CtryOfRes>	[0..1]	CodeSet	C3	933
	ContactDetails <CtctDtls>	[0..1]	±		934

2.4.14.3.2 FinancialInstitutionIdentification <FIld>

Presence: [1..1]

Definition: Identification of a financial institution.

FinancialInstitutionIdentification <FIId> contains the following elements (see "BranchAndFinancialInstitutionIdentification6" on page 746 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	FinancialInstitutionIdentification <FinInstnId>	[1..1]	±		746
	BranchIdentification <BrnchId>	[0..1]	±		747

2.4.14.4 BusinessMessageIdentifier <BizMsgIdr>

Presence: [1..1]

Definition: Unambiguously identifies the Business Message to the MessagingEndpoint that has created the Business Message.

Datatype: "Max35Text" on page 1174

2.4.14.5 MessageDefinitionIdentifier <MsgDefIdr>

Presence: [1..1]

Definition: Contains the MessageIdentifier that defines the BusinessMessage.

It must contain a MessageIdentifier published on the ISO 20022 website.

example camt.001.001.03.

Datatype: "Max35Text" on page 1174

2.4.14.6 BusinessService <BizSvc>

Presence: [0..1]

Definition: Specifies the business service agreed between the two MessagingEndpoints under which rules this Business Message is exchanged.

To be used when there is a choice of processing services or processing service levels.

Example: E&I.

Datatype: "Max35Text" on page 1174

2.4.14.7 CreationDate <CreDt>

Presence: [1..1]

Definition: Date and time when this Business Message (header) was created.

Note Times must be normalized, using the "Z" annotation.

Datatype: "ISODateTime" on page 1168

2.4.14.8 CopyDuplicate <CpyDplct>

Presence: [0..1]

Definition: Indicates whether the message is a Copy, a Duplicate or a copy of a duplicate of a previously sent ISO 20022 Message.

Datatype: "CopyDuplicate1Code" on page 1097

CodeName	Name	Definition
CODU	CopyDuplicate	Message is being sent as a copy to a party other than the account owner, for information purposes and the message is a duplicate of a message previously sent.
COPY	Copy	Message is being sent as a copy to a party other than the account owner, for information purposes.
DUPL	Duplicate	Message is for information/confirmation purposes. It is a duplicate of a message previously sent.

2.4.14.9 PossibleDuplicate <PssbIDplct>

Presence: [0..1]

Definition: Flag indicating if the Business Message exchanged between the MessagingEndpoints is possibly a duplicate.

If the receiving MessagingEndpoint did not receive the original, then this Business Message should be processed as if it were the original.

If the receiving MessagingEndpoint did receive the original, then it should perform necessary actions to avoid processing this Business Message again.

This will guarantee business idempotent behaviour.

NOTE: this is named "PossResend" in FIX - this is an application level resend not a network level retransmission.

Datatype: One of the following values must be used (see "YesNoIndicator" on page 1171):

- *Meaning When True:* Yes
- *Meaning When False:* No

2.4.14.10 Priority <Prty>

Presence: [0..1]

Definition: Relative indication of the processing precedence of the message over a (set of) Business Messages with assigned priorities.

Impacted by: C7 "ValidationByTable"

Datatype: "BusinessMessagePriorityCode" on page 1096

Constraints

- **ValidationByTable**

2.4.14.11 Signature <Sgntr>

Presence: [0..1]

Definition: Contains the digital signature of the Business Entity authorised to sign this Business Message.

Type: (External Schema)

The W3C XML Schema that specifies following standard signature:

XML Signature Syntax and Processing (Second Edition) W3C Recommendation 10 June 2008

<http://www.w3.org/TR/2008/REC-xmlsig-core-20080610/>.

3 **seev.031.002.12**

CorporateActionNotification002V12

3.1 **MessageDefinition Functionality**

Scope

The CorporateActionNotification message is sent by an account servicer to an account owner or its designated agent to notify details of a corporate action event and optionally account information, eligible balance and entitlements.

It may also include possible elections or choices available to the account owner. The account servicer can initially send the CorporateActionNotification message as a preliminary advice, subsequently replaced by another CorporateActionNotification message with complete or confirmed information.

It may also be sent to an account owner or its designated agent, to remind of event details and/or of missing or incomplete instructions for a corporate action event.

Usage

The message may also be used to:

- re-send a message previously sent (the sub-function of the message is Duplicate),
- provide a third party with a copy of a message for information (the sub-function of the message is Copy),
- re-send to a third party a copy of a message for information (the sub-function of the message is Copy Duplicate), using the relevant elements in the business application header (BAH).

Outline

The CorporateActionNotification002V12 MessageDefinition is composed of 25 MessageBuildingBlocks:

A. Pagination

Page number of the message and continuation indicator to indicate that the multi-part notification is to continue or that the message is the last page of the multi-part notification.

B. NotificationGeneralInformation

General information about the event notification type, status and contents.

C. PreviousNotificationIdentification

Identification of the previously sent notification document.

D. InstructionIdentification

Identification of a related instruction document.

E. OtherDocumentIdentification

Identification of other documents as well as the document number.

F. EventsLinkage

Identification of another corporate action event that needs to be closely linked to the processing of the event notified in this document.

G. CorporateActionGeneralInformation

General information about the corporate action event.

H. AccountDetails

General information about the safekeeping account, owner and account balance.

I. IntermediateSecurity

Details on rights credited to the account as for example trading period, expiry date, renounceability.

J. CorporateActionDetails

Information about the corporate action event.

K. CorporateActionOptionDetails

Information about the corporate action option.

L. AdditionalInformation

Additional information on the event.

M. IssuerAgent

Party appointed to administer the event on behalf of the issuer company/offoror. The party may be contacted for more information about the event.

N. PayingAgent

Agent (principal or fiscal paying agent) appointed to execute the payment for the corporate action event on behalf of the issuer company/offoror.

O. SubPayingAgent

Sub-agent appointed to execute the payment for the corporate action event on behalf of the issuer company/offoror.

P. Registrar

Party/agent responsible for maintaining the register of the security.

Q. ResellingAgent

Broker-dealer responsible for reselling to new investors securities (usually bonds) that have been tendered for purchase by their owner.

R. PhysicalSecuritiesAgent

Trust company, bank or similar financial institution assigned by an issuer to accept presentations of instruments, usually bonds, for transfer and or exchange.

S. DropAgent

Trust company, bank or similar financial institution that acts on behalf of an out-of-town agent or event agent where securities can be delivered in person.

T. SolicitationAgent

Trust company, bank or similar financial institution assigned by an issuer to maintain records of investors and account balances and transactions for the consent of a material change.

U. InformationAgent

Trust company, bank or similar financial institution assigned by an Issuer to provide information and copies of the offering documentation.

V. Issuer

Issuer of the financial instrument.

W. Offeror

Party making the offer and which is different from the issuing company.

X. TransferAgent

Trust company, bank or similar financial institution appointed by a fund management company to maintain records of investors and account balances. The transfer agent records transactions, including subscriptions, redemptions, switches, transfers, and re-registrations.

Y. SupplementaryData

Additional information that can not be captured in the structured fields and/or any other specific block.

3.2 Structure

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	Message root <Document> <CorpActnNtfctn>	[1..1]		C10, C12, C13, C14, C17, C30, C35, C36, C37, C38, C47, C52, C54, C56, C57, C58, C64, C65, C66, C67, C71, C72, C73, C74, C75, C80, C81, C87, C89, C92, C93, C96, C97, C110, C61	
	Pagination <Pgntn>	[0..1]	±		55
	NotificationGeneralInformation <NtfctnGnllnf>	[1..1]		C82	56
	NotificationType <NtfctnTp>	[1..1]	CodeSet		56
	ProcessingStatus <PrpgSts>	[1..1]	±		56
	EligibleBalanceIndicator <ElgblBalInd>	[0..1]	Indicator		57
	PreviousNotificationIdentification <PrvsNtfctnId>	[0..1]	±		57
	InstructionIdentification <InstrId>	[0..1]	±		57
	OtherDocumentIdentification <OthrDocId>	[0..*]	±		57
	EventsLinkage <EvtsLkg>	[0..*]	±		58
	CorporateActionGeneralInformation <CorpActnGnllnf>	[1..1]		C84	58
	CorporateActionEventIdentification <CorpActnEvtId>	[1..1]	Text		59
	OfficialCorporateActionEventIdentification <OffclCorpActnEvtId>	[0..1]	Text		59

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	ClassActionNumber <ClssActnNb>	[0..1]	Text		59
	EventProcessingType <EvtPrcgTp>	[0..1]	±		59
	EventType <EvtTp>	[1..1]	±	C32	60
	MandatoryVoluntaryEventType <MndtryVlntryEvtTp>	[1..1]	±		60
	UnderlyingSecurity <UndrlygScty>	[1..1]	±	C68, C90	61
	AccountDetails <AcctDtls>	[1..1]			62
{Or	ForAllAccounts <ForAllAccts>	[1..1]	±		63
Or}	AccountsListAndBalanceDetails <AcctsListAndBalDtls>	[1..*]		C98, C99, C100, C101	64
	SafekeepingAccount <SfkpgAcct>	[0..1]	Text		66
	BlockchainAddressOrWallet <BlckChainAdrOrWlt>	[0..1]	Text		66
	AccountOwner <AcctOwnr>	[0..1]	±		66
	SafekeepingPlace <SfkpgPlc>	[0..1]	±		66
	Balance <Bal>	[0..1]		C8	67
	TotalEligibleBalance <TtlElgblBal>	[0..1]	±		68
	BlockedBalance <BlckdBal>	[0..1]	±		69
	BorrowedBalance <BrrwdBal>	[0..1]	±		69
	CollateralInBalance <CollInBal>	[0..1]	±		69
	CollateralOutBalance <CollOutBal>	[0..1]	±		70
	OnLoanBalance <OnLnBal>	[0..1]	±		70
	PendingDeliveryBalance <PdgDlvryBal>	[0..*]	±		70
	PendingReceiptBalance <PdgRctBal>	[0..*]	±		71
	OutForRegistrationBalance <OutForRegnBal>	[0..1]	±		71
	SettlementPositionBalance <SttlmPosBal>	[0..*]	±		71
	StreetPositionBalance <StrtPosBal>	[0..1]	±		72
	TradeDatePositionBalance <TradDtPosBal>	[0..1]	±		72
	InTransshipmentBalance <InTrnsShpmntBal>	[0..1]	±		72
	RegisteredBalance <RegdBal>	[0..1]	±		73
	ObligatedBalance <OblgtdBal>	[0..1]	±		73
	UninstructedBalance <UinstdBal>	[0..1]	±		73
	InstructedBalance <InstdBal>	[0..1]	±		74

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	AffectedBalance <AfctdBal>	[0..1]	±		74
	UnaffectedBalance <UafctdBal>	[0..1]	±		74
	IntermediateSecurity <IntrmdtScty>	[0..1]	±		75
	CorporateActionDetails <CorpActnDtls>	[0..1]		C26, C28	75
	DateDetails <DtDtls>	[0..1]		C31	79
	AnnouncementDate <AnncmntDt>	[0..1]	±		81
	CertificationDeadline <CertfctnDdln>	[0..1]	±		81
	CourtApprovalDate <CrtApprvlDt>	[0..1]	±		82
	EarlyClosingDate <EarlyClsgDt>	[0..1]	±		82
	EffectiveDate <FctvDt>	[0..1]	±		82
	EqualisationDate <EqulstnDt>	[0..1]	±		82
	FurtherDetailedAnnouncementDate <FrthrDtldAnncmntDt>	[0..1]	±		83
	FixingDate <FvgDt>	[0..1]	±		83
	LotteryDate <LtryDt>	[0..1]	±		83
	NewMaturityDate <NewMtrtyDt>	[0..1]	±		84
	MeetingDate <MtgDt>	[0..1]	±		84
	MarginFixingDate <MrgnFvgDt>	[0..1]	±		84
	ProrationDate <PrratnDt>	[0..1]	±		85
	RecordDate <RcrdDt>	[0..1]	±		85
	RegistrationDeadline <RegnDdln>	[0..1]	±		85
	ResultsPublicationDate <RsltsPblctnDt>	[0..1]	±		86
	DeadlineToSplit <DdlnToSpl>	[0..1]	±		86
	DeadlineForTaxBreakdownInstruction <DdlnForTaxBrkdwInstr>	[0..1]	±		86
	TradingSuspendedDate <TradgSspdDt>	[0..1]	±		87
	UnconditionalDate <UcondlDt>	[0..1]	±		87
	WhollyUnconditionalDate <WhlyUcondlDt>	[0..1]	±		87
	ExDividendDate <ExDvddDt>	[0..1]	±		88
	OfficialAnnouncementPublicationDate <OffclAnncmntPblctnDt>	[0..1]	±		88
	SpecialExDate <SpclExDt>	[0..1]	±		88
	GuaranteedParticipationDate <GrntedPrtpctnDt>	[0..1]	±		89

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	ElectionToCounterpartyMarketDeadline <ElctnToCtrPtyMktDdln>	[0..1]	±		89
	ElectionToCounterpartyResponseDeadline <ElctnToCtrPtyRspnDdln>	[0..1]	±		89
	LapsedDate <LpsdDt>	[0..1]	±		90
	PaymentDate <PmtDt>	[0..1]	±		90
	ThirdPartyDeadline <ThrdPtyDdln>	[0..1]	±		90
	EarlyThirdPartyDeadline <EarlyThrdPtyDdln>	[0..1]	±		91
	MarketClaimTrackingEndDate <MktClnTrckgEndDt>	[0..1]	±		91
	LeadPlaintiffDeadline <LeadPlntffDdln>	[0..1]	±		91
	FilingDate <FilgDt>	[0..1]	±		92
	HearingDate <HrgDt>	[0..1]	±		92
	PeriodDetails <PrdDtls>	[0..1]	±	C55	92
	RateAndAmountDetails <RateAndAmtDtls>	[0..1]		C18	93
	InterestRate <IntrstRate>	[0..1]	±		94
	PercentageSought <PctgSght>	[0..1]	±		94
	RelatedIndex <RltdIndx>	[0..1]	±		95
	Spread <Sprd>	[0..1]	±		95
	BidInterval <BidIntrvl>	[0..1]	±		95
	PreviousFactor <PrvsFctr>	[0..1]	±		96
	NextFactor <NxtFctr>	[0..1]	±		96
	ReinvestmentDiscountRateToMarket <RinvstmtDscntRateToMkt>	[0..1]	±		96
	InterestShortfall <IntrstShrtfl>	[0..1]	±		96
	RealisedLoss <RealsdLoss>	[0..1]	±		97
	DeclaredRate <DclrdRate>	[0..1]	±		97
	IndexFactor <IndxFctr>	[0..1]	±		97
	PriceDetails <PricDtls>	[0..1]			98
	MaximumPrice <MaxPric>	[0..1]	±		98
	MinimumPrice <MinPric>	[0..1]	±		98
	FirstBidIncrementPrice <FrstBidIncrmtPric>	[0..1]	±		99
	LastBidIncrementPrice <LastBidIncrmtPric>	[0..1]	±		99
	SecuritiesQuantity <SctiesQty>	[0..1]		C70	100

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	MaximumQuantity <MaxQty>	[0..1]	±	C24	100
	MinimumQuantitySought <MinQtySght>	[0..1]	±	C24	101
	NewBoardLotQuantity <NewBrdLotQty>	[0..1]	±	C25	101
	NewDenominationQuantity <NewDnmtnQty>	[0..1]	±	C25	102
	BaseDenomination <BaseDnmtn>	[0..1]	±	C25	103
	IncrementalDenomination <IncrmtlDnmtn>	[0..1]	±	C25	103
	InterestAccruedNumberOfDays <IntrstAcrdNbOfDays>	[0..1]	Quantity	C83	104
	CouponNumber <CpnNb>	[0..*]	±		104
	CertificationBreakdownIndicator <CertfctnBrkdwnInd>	[0..1]	Indicator		104
	ChargesAppliedIndicator <ChrgsAppldInd>	[0..1]	Indicator		105
	RestrictionIndicator <RstrctnInd>	[0..1]	Indicator		105
	AccruedInterestIndicator <AcrdIntrstInd>	[0..1]	Indicator		105
	LetterOfGuaranteedDeliveryIndicator <LtrOfGrntedDivryInd>	[0..1]	Indicator		105
	ShareholderRightsDirectiveIndicator <ShrhldrRghtsDrctvInd>	[0..1]	Indicator		105
	DividendType <DvddTp>	[0..1]	±		106
	EventSequenceType <EvtSeqTp>	[0..1]	±		106
	OccurrenceType <OcrncTp>	[0..1]	±		106
	OfferType <OfferTp>	[0..*]	±		106
	RenounceableEntitlementStatusType <RnnclEntitlmntStsTp>	[0..1]	±		107
	EventStage <EvtStag>	[0..*]	±		107
	AdditionalBusinessProcessIndicator <AddtlBizPrclnd>	[0..*]	±		107
	ChangeType <ChngTp>	[0..*]	±		107
	IntermediateSecuritiesDistributionType <IntrmdtSciesDstrbtp>	[0..1]	±		108
	CapitalGainInOutIndicator <CptlGnlInOutInd>	[0..1]	±		108
	TaxableIncomePerShareCalculated <TaxblIncmPerShrClctd>	[0..1]	±		108
	ElectionType <ElctnTp>	[0..1]	±		109
	LotteryType <LtryTp>	[0..1]	±		109
	CertificationType <CertfctnTp>	[0..1]	±		109
	ConsentType <CnsntTp>	[0..1]	±		109
	InformationType <InfTp>	[0..1]	±		110
	TaxOnNonDistributedProceedsIndicator <TaxOnNonDstrbtdPrclsInd>	[0..*]	±		110

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	NewPlaceOfIncorporation <NewPlcOfIncorprtn>	[0..1]	Text		110
	AdditionalInformation <AddtlInf>	[0..1]		C3	110
	Offeror <Offerr>	[0..*]	±		111
	NewCompanyName <NewCpnyNm>	[0..1]	±		111
	URLAddress <URLAdr>	[0..*]	±		111
	EventProcessingWebSiteAddress <EvtPrcgWebSiteAdr>	[0..1]	Text		112
	CorporateActionOptionDetails <CorpActnOptnDtls>	[0..*]		C7, C11, C27, C29, C53, C86, C95, C85	112
	OptionNumber <OptnNb>	[1..1]	Text		121
	OptionType <OptnTp>	[1..1]	±		121
	FractionDisposition <FrctnDspstn>	[0..1]	±		122
	OfferType <OfferTp>	[0..*]	±		122
	OptionFeatures <OptnFeatrs>	[0..*]	±		122
	OptionAvailabilityStatus <OptnAvlbtYSts>	[0..1]	±		122
	CertificationBreakdownType <CertfctnBrkdwnTp>	[0..*]	±		123
	BidRangeType <BidRgTp>	[0..1]	±		123
	NonDomicileCountry <NonDmclCtry>	[0..*]	CodeSet	C15	123
	ValidDomicileCountry <VldDmclCtry>	[0..*]	CodeSet	C15	124
	CurrencyOption <CcyOptn>	[0..1]	CodeSet	C1	124
	DefaultProcessingOrStandingInstruction <DfltPrcgOrStgInstr>	[1..1]	±		124
	ChargesAppliedIndicator <ChrgsAppldInd>	[0..1]	Indicator		125
	CertificationBreakdownIndicator <CertfctnBrkdwnInd>	[0..1]	Indicator		125
	WithdrawalAllowedIndicator <WdrwlAllwdInd>	[0..1]	Indicator		125
	ChangeAllowedIndicator <ChngAllwdInd>	[0..1]	Indicator		125
	AppliedOptionIndicator <ApplOptnInd>	[0..1]	Indicator		125
	FinancialInstrumentIdentification <FinInstrmId>	[0..1]	±	C21, C22, C59, C60, C88	126
	DateDetails <DtDtls>	[0..1]			127

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	EarlyResponseDeadline <EarlyRspnDdlIn>	[0..1]	±		127
	CoverExpirationDeadline <CoverXprtnDdlIn>	[0..1]	±		128
	ProtectDeadline <PrctDdlIn>	[0..1]	±		128
	MarketDeadline <MktDdlIn>	[0..1]	±		128
	ResponseDeadline <RspnDdlIn>	[0..1]	±		129
	ExpiryDate <XpryDt>	[0..1]	±		129
	SubscriptionCostDebitDate <SbcptCostDbtDt>	[0..1]	±		129
	DepositoryCoverExpirationDate <DpstryCoverXprtnDt>	[0..1]	±		130
	StockLendingDeadline <StockLndgDdlIn>	[0..1]	±		130
	BorrowerStockLendingDeadline <BrrwrStockLndgDdlIn>	[0..*]			130
	StockLendingDeadline <StockLndgDdlIn>	[1..1]	±		131
	Borrower <Brrwr>	[1..1]	±		131
	EndOfSecuritiesBlockingPeriod <EndOfScitiesBlckgPrd>	[0..1]	±		131
	PeriodDetails <PrdDtls>	[0..1]			132
	PriceCalculationPeriod <PricClctnPrd>	[0..1]	±		132
	ParallelTradingPeriod <ParllTradgPrd>	[0..1]	±		132
	ActionPeriod <ActnPrd>	[0..1]	±		133
	RevocabilityPeriod <RvcbltyPrd>	[0..1]	±		133
	PrivilegeSuspensionPeriod <PrvlgSspnsnPrd>	[0..1]	±		133
	AccountServicerRevocabilityPeriod <AcctSvcrRvcbltyPrd>	[0..1]	±		133
	DepositorySuspensionPeriodForWithdrawal <DpstrySspnsnPrdForWdrwl>	[0..1]	±		134
	RateAndAmountDetails <RateAndAmtDtls>	[0..1]		C42, C44, C76, C78, C102, C104, C106, C111, C113	134
	AdditionalTax <AddtlTax>	[0..1]	±		136
	GrossDividendRate <GrssDvddRate>	[0..*]	±		136
	NetDividendRate <NetDvddRate>	[0..*]	±		137
	InterestRateUsedForPayment <IntrstRateUsdForPmt>	[0..*]	±		138
	MaximumAllowedOversubscriptionRate <MaxAllwdOvrbcptRate>	[0..1]	±		139

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	ProrationRate <PrratnRate>	[0..1]	±		139
	WithholdingTaxRate <WhldgTaxRate>	[0..*]	±		140
	SecondLevelTax <ScndLvITax>	[0..*]	±		140
	TaxableIncomePerDividendShare <TaxblIncmPerDvddShr>	[0..*]	±		140
	IssuerDeclaredExchangeRate <IssrDclrdXchgRate>	[0..1]	±		141
	TaxOnIncome <TaxOnIncm>	[0..1]	±		141
	BidInterval <BidIntrvl>	[0..1]	±		141
	PriceDetails <PricDtls>	[0..1]			142
	CashInLieuOfSharePrice <CshInLieuOfShrPric>	[0..1]	±		142
	OverSubscriptionDepositPrice <OverSbcptDpstPric>	[0..1]	±		143
	MaximumCashToInstruct <MaxCshToInst>	[0..1]	±		143
	MinimumCashToInstruct <MinCshToInst>	[0..1]	±		143
	MinimumMultipleCashToInstruct <MinMltplCshToInst>	[0..1]	±		144
	MaximumPrice <MaxPric>	[0..1]	±		144
	MinimumPrice <MinPric>	[0..1]	±		144
	FirstBidIncrementPrice <FrstBidIncrmtPric>	[0..1]	±		145
	LastBidIncrementPrice <LastBidIncrmtPric>	[0..1]	±		145
	SecuritiesQuantity <SctiesQty>	[0..1]			146
	MaximumQuantityToInstruct <MaxQtyToInst>	[0..1]	±	C24	146
	MinimumQuantityToInstruct <MinQtyToInst>	[0..1]	±	C24	147
	MinimumMultipleQuantityToInstruct <MinMltplQtyToInst>	[0..1]	±	C25	147
	NewBoardLotQuantity <NewBrdLotQty>	[0..1]	±	C25	148
	NewDenominationQuantity <NewDnmtnQty>	[0..1]	±	C25	148
	FrontEndOddLotQuantity <FrntEndOddLotQty>	[0..1]	±	C25	149
	BackEndOddLotQuantity <BckEndOddLotQty>	[0..1]	±	C25	149
	SecuritiesMovementDetails <SctiesMvmntDtls>	[0..*]		C33, C48, C50, C62	150
	SecurityDetails <SctyDtls>	[1..1]	±	C69, C91	152
	CreditDebitIndicator <CdtDbtInd>	[1..1]	CodeSet		154
	TemporaryFinancialInstrumentIndicator <TempFinInstrmInd>	[0..1]	±		154

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	NonEligibleProceedsIndicator <NonElgblPrcdsInd>	[0..1]	±		154
	IssuerOfferorTaxabilityIndicator <IssrOfferrTaxbltyInd>	[0..1]	±		154
	NewSecuritiesIssuanceIndicator <NewSctiesIssncInd>	[0..1]	CodeSet		155
	IncomeType <IncmTp>	[0..1]	±		155
	OtherIncomeType <OthrlIncmTp>	[0..*]	±		156
	ExemptionType <XmptnTp>	[0..*]	±		156
	EntitledQuantity <EntitldQty>	[0..1]	±		156
	SafekeepingPlace <SfkpgPlc>	[0..1]	±		157
	CountryOfIncomeSource <CtryOfIncmSrc>	[0..1]	CodeSet	C15	157
	FractionDisposition <FrctnDspstn>	[0..1]	±		157
	CurrencyOption <CcyOptn>	[0..1]	CodeSet	C1	157
	TradingPeriod <TradgPrd>	[0..1]	±		158
	DateDetails <DtDtls>	[1..1]			158
	PaymentDate <PmtDt>	[1..1]	±		158
	AvailableDate <AvlblDt>	[0..1]	±		159
	DividendRankingDate <DvddRnkgDt>	[0..1]	±		159
	EarliestPaymentDate <EarlstPmtDt>	[0..1]	±		159
	PariPassuDate <PrpssDt>	[0..1]	±		160
	LastTradingDate <LastTradgDt>	[0..1]	±		160
	RateDetails <RateDtls>	[0..1]			160
	AdditionalQuantityForSubscribedResultantSecurities <AddtlQtyForSbcdbdRslntScties>	[0..1]	±		161
	AdditionalQuantityForExistingSecurities <AddtlQtyForExstgScties>	[0..1]	±		161
	NewToOld <NewToOd>	[0..1]	±		162
	TransformationRate <TrfrmatnRate>	[0..1]	Rate		162
	ChargesFees <ChrgsFees>	[0..1]	±		162
	FiscalStamp <FscIStmp>	[0..1]	±		163
	ApplicableRate <AplblRate>	[0..1]	±		163
	TaxCreditRate <TaxCdtRate>	[0..1]	±		163
	FinancialTransactionTaxRate <FinTxTaxRate>	[0..1]	±		164
	PriceDetails <PricDtls>	[0..1]		C39	164
	IndicativeOrMarketPrice <IndctvOrMktPric>	[0..1]	±		164

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	CashInLieuOfSharePrice <CshInLieuOfShrPric>	[0..1]	±		165
	CashValueForTax <CshValForTax>	[0..1]	±		165
	GenericCashPricePaidPerProduct <GncCshPricPdPerPdct>	[0..1]	±		165
	GenericCashPriceReceivedPerProduct <GncCshPricRcvdPerPdct>	[0..1]	±		166
	CashMovementDetails <CshMvmntDtls>	[0..*]		C34, C49, C51, C63, C40	166
	CreditDebitIndicator <CdtDbtInd>	[1..1]	CodeSet		170
	ContractualPaymentIndicator <CtrctlPmtInd>	[0..1]	CodeSet		170
	NonEligibleProceedsIndicator <NonElgblPrclsInd>	[0..1]	±		170
	IssuerOfferorTaxabilityIndicator <IssrOfferrTaxbltyInd>	[0..1]	±		171
	IncomeType <IncmTp>	[0..1]	±		171
	OtherIncomeType <OthrIncmTp>	[0..*]	±		171
	ExemptionType <XmptnTp>	[0..*]	±		172
	CountryOfIncomeSource <CtryOfIncmSrc>	[0..1]	CodeSet	C15	172
	CashAccountIdentification <CshAcctId>	[0..1]	±		172
	AmountDetails <AmtDtls>	[0..1]			173
	GrossCashAmount <GrssCshAmt>	[0..1]	Amount	C1, C16	175
	NetCashAmount <NetCshAmt>	[0..1]	Amount	C1, C16	176
	SolicitationFees <SlctnFees>	[0..1]	Amount	C1, C16	176
	CashInLieuOfShare <CshInLieuOfShr>	[0..1]	Amount	C1, C16	176
	CapitalGain <CptlGn>	[0..1]	Amount	C1, C16	177
	InterestAmount <IntrstAmt>	[0..1]	Amount	C1, C16	177
	IndemnityAmount <IndmntyAmt>	[0..1]	Amount	C1, C16	178
	ManufacturedDividendPaymentAmount <ManfctrdDvddPmtAmt>	[0..1]	Amount	C1, C16	178
	ReinvestmentAmount <RinvstmtAmt>	[0..1]	Amount	C1, C16	178
	FullyFrankedAmount <FullyFrnkAmt>	[0..1]	Amount	C1, C16	179
	UnfrankedAmount <UfrnkAmt>	[0..1]	Amount	C1, C16	179
	SundryOrOtherAmount <SndryOrOthrAmt>	[0..1]	Amount	C1, C16	180
	TaxFreeAmount <TaxFreeAmt>	[0..1]	Amount	C1, C16	180

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	TaxDeferredAmount <TaxDfrdAmt>	[0..1]	Amount	C1, C16	180
	ValueAddedTaxAmount <ValAddedTaxAmt>	[0..1]	Amount	C1, C16	181
	StampDutyAmount <StmpDtyAmt>	[0..1]	Amount	C1, C16	181
	TaxReclaimAmount <TaxRclmAmt>	[0..1]	Amount	C1, C16	182
	TaxCreditAmount <TaxCdtAmt>	[0..1]	Amount	C1, C16	182
	AdditionalTaxAmount <AddtlTaxAmt>	[0..1]	Amount	C1, C16	182
	WithholdingTaxAmount <WhldgTaxAmt>	[0..1]	Amount	C1, C16	183
	SecondLevelTaxAmount <ScndLvltTaxAmt>	[0..1]	Amount	C1, C16	183
	FiscalStampAmount <FscIStmpAmt>	[0..1]	Amount	C1, C16	184
	ExecutingBrokerAmount <ExctgBrkrAmt>	[0..1]	Amount	C1, C16	184
	PayingAgentCommissionAmount <PngAgtComssnAmt>	[0..1]	Amount	C1, C16	184
	LocalBrokerCommissionAmount <LclBrkrComssnAmt>	[0..1]	Amount	C1, C16	185
	RegulatoryFeesAmount <RgltryFeesAmt>	[0..1]	Amount	C1, C16	185
	ShippingFeesAmount <ShppgFeesAmt>	[0..1]	Amount	C1, C16	186
	ChargesAmount <ChrgsAmt>	[0..1]	Amount	C1, C16	186
	EntitledAmount <EntitldAmt>	[0..1]	Amount	C1, C16	186
	OriginalAmount <OrgnlAmt>	[0..1]	Amount	C1, C16	187
	PrincipalOrCorpus <PrncplOrCrps>	[0..1]	Amount	C1, C16	187
	RedemptionPremiumAmount <RedPrmAmt>	[0..1]	Amount	C1, C16	188
	IncomePortion <IncmPrtn>	[0..1]	Amount	C1, C16	188
	StockExchangeTax <StockXchgTax>	[0..1]	Amount	C1, C16	189
	EUTaxRetentionAmount <EUTaxRtntnAmt>	[0..1]	Amount	C1, C16	189
	AccruedInterestAmount <AcrdIntrstAmt>	[0..1]	Amount	C1, C16	189
	EqualisationAmount <EqulstnAmt>	[0..1]	Amount	C1, C16	190
	FATCATaxAmount <FATCATaxAmt>	[0..1]	Amount	C1, C16	190
	NRATaxAmount <NRATaxAmt>	[0..1]	Amount	C1, C16	191
	BackUpWithholdingTaxAmount <BckUpWhldgTaxAmt>	[0..1]	Amount	C1, C16	191
	TaxOnIncomeAmount <TaxOnIncmAmt>	[0..1]	Amount	C1, C16	191
	TransactionTax <TxTax>	[0..1]	Amount	C1, C16	192
	DeemedAmount <DmdAmt>	[0..1]	Amount	C1, C16	192
	ForeignIncomeAmount <FrgnIncmAmt>	[0..1]	Amount	C1, C16	193

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	DeemedDividendAmount <DmdDvddAmt>	[0..1]	Amount	C1, C16	193
	DeemedFundAmount <DmdFndAmt>	[0..1]	Amount	C1, C16	193
	DeemedInterestAmount <DmdIntrstAmt>	[0..1]	Amount	C1, C16	194
	DeemedRoyaltiesAmount <DmdRyltsAmt>	[0..1]	Amount	C1, C16	194
	DateDetails <DtDtls>	[1..1]			195
	PaymentDate <PmtDt>	[1..1]	±		195
	ValueDate <ValDt>	[0..1]	±		195
	ForeignExchangeRateFixingDate <FXRateFxdDt>	[0..1]	±		196
	EarliestPaymentDate <EarlstPmtDt>	[0..1]	±		196
	ForeignExchangeDetails <FXDtls>	[0..1]	±		196
	RateAndAmountDetails <RateAndAmtDtls>	[0..1]		C19, C20, C43, C45, C77, C79, C103, C105, C107, C112, C114	197
	AdditionalTax <AddtlTax>	[0..1]	±		199
	GrossDividendRate <GrssDvddRate>	[0..*]	±		199
	InterestRateUsedForPayment <IntrstRateUsdForPmt>	[0..*]	±		200
	WithholdingTaxRate <WhldgTaxRate>	[0..*]	±		201
	SecondLevelTax <ScndLvTax>	[0..*]	±		201
	ChargesFees <ChrgsFees>	[0..1]	±		202
	EarlySolicitationFeeRate <EarlySlctnFeeRate>	[0..1]	±		202
	FiscalStamp <FscIStmp>	[0..1]	±		203
	ThirdPartyIncentiveRate <ThrdPtyIncntivRate>	[0..1]	±		203
	NetDividendRate <NetDvddRate>	[0..*]	±		203
	ApplicableRate <AplblRate>	[0..1]	±		204
	SolicitationFeeRate <SlctnFeeRate>	[0..1]	±		204
	TaxCreditRate <TaxCdtRate>	[0..1]	±		205
	TaxOnIncome <TaxOnIncm>	[0..1]	±		205
	TaxOnProfits <TaxOnPrfts>	[0..1]	±		205
	TaxReclaimRate <TaxRclmRate>	[0..1]	±		206

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	EqualisationRate <EqulstnRate>	[0..1]	±		206
	DeemedRate <DmdRate>	[0..*]	±		206
	PriceDetails <PricDtls>	[0..1]		C41	207
	GenericCashPricePaidPerProduct <GncCshPricPdPerPdct>	[0..1]	±		208
	GenericCashPriceReceivedPerProduct <GncCshPricRcvdPerPdct>	[0..1]	±		208
	AdditionalInformation <AddtlInf>	[0..1]		C4	209
	AdditionalText <AddtlTxt>	[0..*]	±		210
	NarrativeVersion <NrrtvVrsn>	[0..*]	±		210
	InformationConditions <InfConds>	[0..*]	±		210
	InformationToComplyWith <InfToCmplyWth>	[0..*]	±		211
	SecurityRestriction <SctyRstrctn>	[0..*]	±		211
	TaxationConditions <TaxtnConds>	[0..*]	±		211
	Disclaimer <Dsclmr>	[0..*]	±		212
	CertificationBreakdown <CertfctnBrkdown>	[0..*]	±		212
	AdditionalInformation <AddtlInf>	[0..1]		C5	212
	AdditionalText <AddtlTxt>	[0..*]	±	C6	213
	NarrativeVersion <NrrtvVrsn>	[0..*]	±	C6	214
	InformationConditions <InfConds>	[0..*]	±	C6	214
	InformationToComplyWith <InfToCmplyWth>	[0..*]	±	C6	215
	TaxationConditions <TaxtnConds>	[0..*]	±	C6	215
	Disclaimer <Dsclmr>	[0..*]	±	C6	216
	PartyContactNarrative <PtyCtctNrrtv>	[0..*]	±	C6	216
	RegistrationDetails <RegnDtls>	[0..*]	±	C6	217
	BasketOrIndexInformation <BsktOrIndxInf>	[0..*]	±	C6	217
	CertificationBreakdown <CertfctnBrkdown>	[0..*]	±	C6	218
	URLAddress <URLAdr>	[0..*]	±		218
	ProcessingTextForNextIntermediary <PrcgTxtForNxtIntrmy>	[0..*]	±	C6	218
	IssuerAgent <IssrAgt>	[0..*]	±		219
	PayingAgent <PngAgt>	[0..*]	±		219
	SubPayingAgent <SubPngAgt>	[0..*]	±		220
	Registrar <Regar>	[0..1]	±		220

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	ResellingAgent <RsellingAgt>	[0..*]	±		220
	PhysicalSecuritiesAgent <PhysSctiesAgt>	[0..1]	±		220
	DropAgent <DrpAgt>	[0..1]	±		221
	SolicitationAgent <SlctnAgt>	[0..*]	±		221
	InformationAgent <InfAgt>	[0..1]	±		221
	Issuer <Issr>	[0..1]	±		222
	Offeror <Offerr>	[0..*]	±		222
	TransferAgent <TrfAgt>	[0..1]	±		222
	SupplementaryData <SplmtryData>	[0..*]	±	C109	223

3.3 Constraints

C1 ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

C2 ActiveOrHistoricCurrency

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

C3 AdditionalInformationRule

The AdditionalInformation field in all elements must not contain information that can be provided in a structured field unless bilaterally agreed or advised differently in the element definition.

C4 AdditionalInformationRule

The AdditionalInformation field in all elements must not contain information that can be provided in a structured field unless bilaterally agreed or advised differently in the element definition as in NarrativeVersion.

C5 AdditionalInformationRule

The AdditionalInformation field in all elements must not contain information that can be provided in a structured field unless bilaterally agreed or advised differently in the element definition as in NarrativeVersion.

C6 AdditionalInformationRule

The AdditionalInformation element must not contain information that can be provided in a structured field unless bilaterally agreed.

C7 AdditionalTextRule

If OptionType/Code is OTHR (Other), then at least one occurrence of AdditionalInformation/AdditionalText/AdditionalInformation must be present.

(MT564 NVR C5).

C8 AffectedAndUnaffectedBalanceRule

UnaffectedBalance and AffectedBalance should only be mentioned as a result of the process that was run through the event. For instance lottery results, Dutch auction results or tender offer results.

C9 AnyBIC

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

C10 AppliedOptionRule

If CorporateActionOptionDetails/AppliedOptionIndicator value is 'true' or '1' (Yes) in one or more occurrences of CorporateActionOptionDetails, then CorporateActionGeneralInformation/MandatoryVoluntaryEventType/Code value must be CHOS (MandatoryWithOptions) and at least one of the CorporateActionDetails/AdditionalBusinessProcessIndicator/Code values must be REAC (RequiredAction).

This constraint is defined at the MessageDefinition level.

C11 AppliedOptionRule

If DefaultProcessingOrStandingInstruction/DefaultOptionIndicator is present and its value is '1' or 'true' (Yes), then AppliedOptionIndicator value must not be '1' or 'true' (Yes).

C12 BeneficialOwnerBreakdownRequestRule

If in at least one occurrence of CorporateActionOptionDetails, OptionType/Code value is BOBD (BeneficialOwnerBreakdownRequest), then CorporateActionGeneralInformation/EventType/Code value must be WTRC (Withholding Tax Relief Certification).

(MT 564 NVR C23).

On Condition

/CorporateActionOptionDetails[*]/OptionType/Code is equal to value
'BeneficialOwnerBreakdownRequest'

Following Must be True

/CorporateActionGeneralInformation/EventType/Code Must be equal to value
'WithholdingTaxReliefCertification'

This constraint is defined at the MessageDefinition level.

C13 BidInterval1Rule

If BidInterval is present in CorporateActionDetails/RateAndAmountDetails, then BidInterval is not allowed in any occurrences of CorporateActionOptionDetails/RateAndAmountDetails.

(MT 564 NVR C25)

On Condition

/CorporateActionDetails/RateAndAmountDetails/BidInterval is present

Following Must be True

/CorporateActionOptionDetails[*]/RateAndAmountDetails/BidInterval Must be
absent

This constraint is defined at the MessageDefinition level.

C14 BidInterval2Rule

If BidInterval is present in any occurrences of CorporateActionOptionDetails/RateAndAmountDetails, then BidInterval is not allowed in CorporateActionDetails/RateAndAmountDetails.

(MT 564 NVR C25)

```
On Condition
    /CorporateActionOptionDetails[*]/RateAndAmountDetails/BidInterval is
present
Following Must be True
    /CorporateActionDetails/RateAndAmountDetails/BidInterval Must be absent
```

This constraint is defined at the MessageDefinition level.

C15 Country

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

C16 CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

C17 DeclaredRateRule

If CorporateActionOptionDetails/RateAndAmountDetails/IssuerDeclaredExchangeRate is present, then CorporateActionDetails/RateAndAmountDetails/DeclaredRate must be present.

```
On Condition
    /CorporateActionOptionDetails[*] is present
And    /CorporateActionOptionDetails[*]/RateAndAmountDetails is present
And    /CorporateActionOptionDetails[*]/RateAndAmountDetails/
IssuerDeclaredExchangeRate is present
Following Must be True
    /CorporateActionDetails/RateAndAmountDetails/DeclaredRate Must be present
```

This constraint is defined at the MessageDefinition level.

C18 DeclaredRateRule

DeclaredRate may only be used if the dividend or interest declared by the issuer is actually paid in a different currency than the declared one or if the dividend or the interest event makes it possible to elect amongst multiple currency options.

C19 DeemedRate1Rule

If DeemedRate is repeated, then DeemedRate/RateTypeAndAmountAndRateStatus/RateType/Code values and DeemedRate/RateTypeAndRate/RateType/Code values must all be different in each occurrence of DeemedRate.

(MT 564 NVR C8).

C20 DeemedRate2Rule

Only a single occurrence of either DeemedRate/Amount or DeemedRate/Rate or DeemedRate/NotSpecifiedRate may be present, only one of these elements may be present.

(MT 564 NVR C7).

C21 DescriptionPresenceRule

If Description is not present then either ISIN or at least one occurrence of OtherIdentification must be present.

C22 DescriptionUsageRule

Description must be used alone as the last resort.

C23 DigitalTokenUnitUsageRule

DigitalTokenUnit format may only be used for instruments identified with a digital token identifier and when the related account identification is provided as a blockchain address or wallet identification (using BlockChainAddressOrWallet element as the account identification).

C24 DigitalTokenUnitUsageRule

DigitalTokenUnit format may only be used for instruments identified with a digital token identifier and when the related account identification is provided as a blockchain address or wallet identification (using BlockChainAddressOrWallet element as the account identification).

C25 DigitalTokenUnitUsageRule

DigitalTokenUnit format may only be used for instruments identified with a digital token identifier and when the related account identification is provided as a blockchain address or wallet identification (using BlockChainAddressOrWallet element as the account identification).

C26 DissenterRights1Rule

If OfferType/Code value is equal to DISS (DissenterRights), then OfferType/Code value equal to NDIS (DissenterRightsNotApplicable) is not allowed in any occurrence of OfferType.

(MT 564 NVR C27)

C27 DissenterRights1Rule

If OfferType/Code value is equal to DISS (DissenterRights), then OfferType/Code value equal to NDIS (DissenterRightsNotApplicable) is not allowed in any occurrence of OfferType.

(MT 564 NVR C27)

C28 DissenterRights2Rule

If OfferType/Code value is equal to NDISS (DissenterRightsNotApplicable), then OfferType/Code value equal to DISS (DissenterRights) is not allowed in any occurrence of OfferType.

(MT 564 NVR C27)

C29 DissenterRights2Rule

If OfferType/Code value is equal to NDISS (DissenterRightsNotApplicable), then OfferType/Code value equal to DISS (DissenterRights) is not allowed in any occurrence of OfferType.

(MT 564 NVR C27)

C30 DissenterRights3Rule

If OfferType/Code value is equal to NDIS (DissenterRightsNotApplicable) or DISS (DissenterRights) in any occurrence of CorporateActionOptionDetails, then in CorporateActionDetails, OfferType/Code values NDIS (DissenterRightsNotApplicable) and DISS (DissenterRights) are not allowed.

(MT 564 NVR C28)

```

On Condition
    /CorporateActionOptionDetails[*]/OfferType[*]/Code is equal to value
'DissenterRights'
Or    /CorporateActionOptionDetails[*]/OfferType[*]/Code is equal to value
'DissenterRightsNotApplicable'
Following Must be True
    /CorporateActionDetails/OfferType[*]/Code Must be different from value
'DissenterRights'
And    /CorporateActionDetails/OfferType[*]/Code Must be different from
value 'DissenterRightsNotApplicable'

```

This constraint is defined at the MessageDefinition level.

C31 EffectiveDateRule

Effective date is to be used in events where there is no concept of entitlement, for example Name Change (CHAN) or Place of Incorporation (PLAC), and in events where there is a sense of eligibility but with a legal obligation, for example Merger (MRGR).

C32 EventTypeRule

If Code is present, the code OTHR (Other) must only be used if no other corporate action event code is appropriate.

If Code is present, the code CHAN (Change) must only be used if no other corporate action event code is appropriate and only for an event which relates to a change.

If Code is present, the code REDM (Final Maturity) must only be used if the redemption is decided by the issuer and not by the securities holders.

C33 ExemptionTypeUsageRule

The lists of exemption type codes to be used in ExemptionType are provided in the document "ETYP-ITYP Exemption & Income Type Codes" available on the SMPG website at www.smpg.info.

C34 ExemptionTypeUsageRule

The lists of exemption type codes to be used in ExemptionType are provided in the document "ETYP-ITYP Exemption & Income Type Codes" available on the SMPG website at www.smpg.info.

C35 FirstBidIncrementPrice1Rule

If FirstBidIncrementPrice is present in CorporateActionDetails/PriceDetails, then FirstBidIncrementPrice is not allowed in any occurrences of CorporateActionOptionDetails/PriceDetails.

(MT 564 NVR C24)

```

On Condition
    /CorporateActionDetails/PriceDetails/FirstBidIncrementPrice is present
Following Must be True
    /CorporateActionOptionDetails[*]/PriceDetails/FirstBidIncrementPrice Must
be absent

```

This constraint is defined at the MessageDefinition level.

C36 FirstBidIncrementPrice2Rule

If FirstBidIncrementPrice is present in any occurrences of CorporateActionOptionDetails/PriceDetails, then FirstBidIncrementPrice is not allowed in CorporateActionDetails/PriceDetails.

(MT 564 NVR C24)

On Condition

/CorporateActionOptionDetails[*]/PriceDetails/FirstBidIncrementPrice is present

Following Must be True

/CorporateActionDetails/PriceDetails/FirstBidIncrementPrice Must be absent

This constraint is defined at the MessageDefinition level.

C37 FirstBidIncrementPriceEventType1Rule

If FirstBidIncrementPrice is present in CorporateActionDetails/PriceDetails, then CorporateActionGeneralInformation/EventType/Code value must be equal to either BIDS (Repurchase Offer) or DTCH (Dutch Auction) or TEND (Tender).

(MT 564 NVR C30)

On Condition

/CorporateActionDetails/PriceDetails/FirstBidIncrementPrice is present
Following Must be True

/CorporateActionGeneralInformation/EventType/Code Must be equal to value 'RepurchaseOffer'

Or /CorporateActionGeneralInformation/EventType/Code Must be equal to value 'DutchAuction'

Or /CorporateActionGeneralInformation/EventType/Code Must be equal to value 'Tender'

This constraint is defined at the MessageDefinition level.

C38 FirstBidIncrementPriceEventType2Rule

If FirstBidIncrementPrice is present in any occurrence of CorporateActionOptionDetails/PriceDetails, then CorporateActionGeneralInformation/EventType/Code value must be equal to either BIDS (Repurchase Offer) or DTCH (Dutch Auction) or TEND (Tender).

(MT 564 NVR C30)

On Condition

/CorporateActionOptionDetails[*]/PriceDetails/FirstBidIncrementPrice is present

Following Must be True

/CorporateActionGeneralInformation/EventType/Code Must be equal to value 'RepurchaseOffer'

Or /CorporateActionGeneralInformation/EventType/Code Must be equal to value 'DutchAuction'

Or /CorporateActionGeneralInformation/EventType/Code Must be equal to value 'Tender'

This constraint is defined at the MessageDefinition level.

C39 GenericCashPricePerProductGuideline

GenericCashPriceReceivedPerProduct and GenericCashPricePaidPerProduct are prices received or paid in the course of an event. The specific meaning (redemption price, subscription price, reinvestment price, etc.) is derived from the event type.

C40 GenericCashPricePerProductGuideline

GenericCashPriceReceivedPerProduct and GenericCashPricePaidPerProduct are prices received or paid in the course of an event. The specific meaning (redemption price, subscription price, reinvestment price.) is derived from the event type.

C41 GenericCashPricePerProductGuideline

GenericCashPriceReceivedPerProduct and GenericCashPricePaidPerProduct are prices received or paid in the course of an event. The specific meaning (redemption price, subscription price, reinvestment price, ETC.) is derived from the event type.

C42 GrossDividendRate2Rule

If GrossDividendRate is repeated, then:

- RateTypeAndAmountAndRateStatus/RateType/Code values must all be different in each occurrence of GrossDividendRate. (MT 564 NVR C8).

C43 GrossDividendRate2Rule

If GrossDividendRate is repeated, then:

- RateTypeAndAmountAndRateStatus/RateType/Code values must all be different in each occurrence of GrossDividendRate. (MT 564 NVR C8).

C44 GrossDividendRate3Rule

Only a single occurrence of either GrossDividendRate/Amount or GrossDividendRate/AmountAndRateStatus or GrossDividendRate/NotSpecifiedRate may be present, only one of these elements may be present. (MT 564 NVR C7).

C45 GrossDividendRate3Rule

Only a single occurrence of either GrossDividendRate/Amount or GrossDividendRate/AmountAndRateStatus or GrossDividendRate/NotSpecifiedRate may be present, and only one of these elements may be present.

(MT 564 NVR C7).

C46 IBAN

A valid IBAN consists of all three of the following components: Country Code, check digits and BBAN.

C47 IncentivePremiumEventType1Rule

If Code value is equal to INCP (IncentivePremiumPayment) in any occurrence of CorporateActionDetails/AdditionalBusinessProcessIndicator, then CorporateActionGeneralInformation/EventType/Code value must be equal to CONS (Consent).

(MT 564 NVR C26)

On Condition

/CorporateActionDetails/AdditionalBusinessProcessIndicator[*]/Code is equal to value 'IncentivePremiumPayment'

Following Must be True

/CorporateActionGeneralInformation/EventType/Code Must be equal to value 'Consent'

This constraint is defined at the MessageDefinition level.

C48 IncomeType1Rule

If at least one occurrence of OtherIncomeType is present, then IncomeType must be present.

C49 IncomeType2Rule

If at least one occurrence of OtherIncomeType is present, then IncomeType must be present.

C50 IncomeTypeUsageRule

The lists of income type codes to be used in IncomeType are provided in the document "ETYP-ITYP Exemption & Income Type Codes" available on the SMPG website at www.smpg.info.

C51 IncomeTypeUsageRule

The lists of income type codes to be used in IncomeType are provided in the document "ETYP-ITYP Exemption & Income Type Codes" available on the SMPG website at www.smpg.info.

C52 InformationEventRule

If CorporateActionGeneralInformation/EventType/Code is INFO (Information), then IntermediateSecurity must be absent and no occurrences of CorporateActionOptionDetails may be present.

(MT 564 NVR C6).

On Condition

/CorporateActionGeneralInformation/EventType/Code is present

And /CorporateActionGeneralInformation/EventType/Code is equal to value 'Information'

Following Must be True

/IntermediateSecurity Must be absent

And /CorporateActionOptionDetails[*] Must be absent

This constraint is defined at the MessageDefinition level.

C53 InstructCashAmountRule

If OptionFeatures/Code QCAS (InstructCashAmount) is not present, then any message elements in the list (PriceDetails/MaximumCashToInstruct, PriceDetails/MinimumCashToInstruct, PriceDetails/MinimumMultipleCashToInstruct) must not be present.

(MT564 NVR C20).

C54 InterestPaymentRule

CorporateActionDetails/RateAndAmountDetails/InterestRate and CorporateActionOptionsDetails/RateAndAmountDetails/InterestRateUsedforPayment must not be mixed. InterestRate is used to provide the annual rate of the financial instrument. InterestRateUsedforPayment is used to provide the applicable rate for the current payment, after all calculations have been performed, that is, application of period and method of interest computation.

This constraint is defined at the MessageDefinition level.

C55 InterestPeriodRule

InterestPeriod must be used only if it is different from a normal accrued interest period.

C56 IntermediateSecuritiesDistribution1Rule

If CorporateActionGeneralInformation/EventType/Code is RHDI (IntermediateSecuritiesDistribution), then CorporateActionDetails/

IntermediateSecuritiesDistributionType must be present.

(MT 564 NVR C11).

On Condition

/CorporateActionGeneralInformation/EventType/Code is present

And /CorporateActionGeneralInformation/EventType/Code is equal to value 'IntermediateSecuritiesDistribution'

Following Must be True

/CorporateActionDetails Must be present
And /CorporateActionDetails/IntermediateSecuritiesDistributionType Must be present

This constraint is defined at the MessageDefinition level.

C57 IntermediateSecurity1Rule

If CorporateActionGeneralInformation/EventType/Code is RHDI (IntermediateSecuritiesDistribution), then IntermediateSecurity must be absent.

(MT 564 NVR C6).

On Condition

/CorporateActionGeneralInformation/EventType/Code is present
And /CorporateActionGeneralInformation/EventType/Code is equal to value 'IntermediateSecuritiesDistribution'
Following Must be True
/IntermediateSecurity Must be absent

This constraint is defined at the MessageDefinition level.

C58 IntermediateSecurity2Rule

If CorporateActionGeneralInformation/EventType/Code is RHTS (Rights Issue), then IntermediateSecurity must be present.

(MT 564 NVR C6).

On Condition

/CorporateActionGeneralInformation/EventType/Code is present
And /CorporateActionGeneralInformation/EventType/Code is equal to value 'RightsIssue'
Following Must be True
/IntermediateSecurity Must be present

This constraint is defined at the MessageDefinition level.

C59 ISINGuideline

When a ISIN code exist. It is strongly recommended that the ISIN be used.

C60 ISINPresenceRule

If ISIN is not present then either Description or at least one occurrence of OtherIdentification must be present.

C61 IssuerAgentGuideline

IssuerAgent must be used only in the communication between a CSD and local custodians.

This constraint is defined at the MessageDefinition level.

C62 IssuerOfferorTaxabilityIndicatorUsageRule

The IssuerOfferorTaxabilityIndicator may only be used when no tax rate is provided in the message and it must not be used in events such as dividend or interest.

The list of proprietary IssuerOfferorTaxabilityIndicator codes that may be used are provided in the document "TXAP Taxability Codes" available on the SMPG website at www.smpg.info.

C63 IssuerOfferorTaxabilityIndicatorUsageRule

The IssuerOfferorTaxabilityIndicator may only be used when no tax rate is provided in the message and it must not be used in events such as dividend or interest.

The list of proprietary IssuerOfferorTaxabilityIndicator codes that may be used are provided in the document "TXAP Taxability Codes" available on the SMPG website at www.smpg.info.

C64 LastBidIncrementPrice1Rule

If LastBidIncrementPrice is present in CorporateActionDetails/PriceDetails, then LastBidIncrementPrice is not allowed in any occurrences of CorporateActionOptionDetails/PriceDetails.

(MT 564 NVR C24)

On Condition
 /CorporateActionDetails/PriceDetails/LastBidIncrementPrice is present
 Following Must be True
 /CorporateActionOptionDetails[*]/PriceDetails/LastBidIncrementPrice Must be absent

This constraint is defined at the MessageDefinition level.

C65 LastBidIncrementPrice2Rule

If LastBidIncrementPrice is present in any occurrences of CorporateActionOptionDetails/PriceDetails, then LastBidIncrementPrice is not allowed in CorporateActionDetails/PriceDetails.

(MT 564 NVR C24)

On Condition
 /CorporateActionOptionDetails[*]/PriceDetails/LastBidIncrementPrice is present
 Following Must be True
 /CorporateActionDetails/PriceDetails/LastBidIncrementPrice Must be absent

This constraint is defined at the MessageDefinition level.

C66 LastBidIncrementPriceEventType1Rule

If LastBidIncrementPrice is present in CorporateActionDetails/PriceDetails, then CorporateActionGeneralInformation/EventType/Code value must be equal to either BIDS (Repurchase Offer) or DTCH (Dutch Auction) or TEND (Tender).

(MT 564 NVR C30)

On Condition
 /CorporateActionDetails/PriceDetails/LastBidIncrementPrice is present
 Following Must be True
 /CorporateActionGeneralInformation/EventType/Code Must be equal to value 'RepurchaseOffer'
 Or /CorporateActionGeneralInformation/EventType/Code Must be equal to value 'DutchAuction'
 Or /CorporateActionGeneralInformation/EventType/Code Must be equal to value 'Tender'

This constraint is defined at the MessageDefinition level.

C67 LastBidIncrementPriceEventType2Rule

If LastBidIncrementPrice is present in any occurrence of CorporateActionOptionDetails/PriceDetails, then CorporateActionGeneralInformation/EventType/Code value must be equal to either BIDS (Repurchase Offer) or DTCH (Dutch Auction) or TEND (Tender).

(MT 564 NVR C30)

On Condition
 /CorporateActionOptionDetails[*]/PriceDetails/LastBidIncrementPrice is present
 Following Must be True

```

    /CorporateActionGeneralInformation/EventType/Code Must be equal to value
'RepurchaseOffer'
Or    /CorporateActionGeneralInformation/EventType/Code Must be equal to
value 'DutchAuction'
Or    /CorporateActionGeneralInformation/EventType/Code Must be equal to
value 'Tender'

```

This constraint is defined at the MessageDefinition level.

C68 MaturityDateRule

Maturity date may only provide generic information about the final maturity of the underlying instrument.

C69 MaturityDateRule

Maturity date may only provide generic information about the final maturity of the underlying instrument.

C70 MaximumAndMinimumQuantitySoughtUsageRule

When MaximumQuantity is present, MinimumQuantitySought must be present too. When MinimumQuantitySought is present, MaximumQuantity must be present too.

When MinimumQuantitySought/Code is AnyAndAll, then MaximumQuantity/Code must not be AnyAndAll.

When MaximumQuantity/Code is AnyAndAll, MinimumQuantitySought/code must not be used with the value AnyAndAll.

C71 MaximumPrice1Rule

If MaximumPrice is present in CorporateActionDetails/PriceDetails, then MaximumPrice is not allowed in any occurrences of CorporateActionOptionDetails/PriceDetails.

(MT 564 NVR C24)

On Condition

```

    /CorporateActionDetails/PriceDetails/MaximumPrice is present

```

Following Must be True

```

    /CorporateActionOptionDetails[*]/PriceDetails/MaximumPrice Must be absent

```

This constraint is defined at the MessageDefinition level.

C72 MaximumPrice2Rule

If MaximumPrice is present in any occurrences of CorporateActionOptionDetails/PriceDetails, then MaximumPrice is not allowed in CorporateActionDetails/PriceDetails.

(MT 564 NVR C24)

On Condition

```

    /CorporateActionOptionDetails[*]/PriceDetails/MaximumPrice is present

```

Following Must be True

```

    /CorporateActionDetails/PriceDetails/MaximumPrice Must be absent

```

This constraint is defined at the MessageDefinition level.

C73 MinimumPrice1Rule

If MinimumPrice is present in CorporateActionDetails/PriceDetails, then MinimumPrice is not allowed in any occurrences of CorporateActionOptionDetails/PriceDetails.

(MT 564 NVR C24)

On Condition
 /CorporateActionDetails/PriceDetails/MinimumPrice is present
 Following Must be True
 /CorporateActionOptionDetails[*]/PriceDetails/MinimumPrice Must be absent
This constraint is defined at the MessageDefinition level.

C74 MinimumPrice2Rule

If MinimumPrice is present in any occurrences of CorporateActionOptionDetails/PriceDetails, then MinimumPrice is not allowed in CorporateActionDetails/PriceDetails.

(MT 564 NVR C24)

On Condition
 /CorporateActionOptionDetails[*]/PriceDetails/MinimumPrice is present
 Following Must be True
 /CorporateActionDetails/PriceDetails/MinimumPrice Must be absent
This constraint is defined at the MessageDefinition level.

C75 NameChangeRule

If CorporateActionDetails/AdditionalInformation/NewCompanyName/AdditionalInformation is present, then CorporateActionGeneralInformation/EventType/Code value CHAN (Change) must be present and CorporateActionDetails/ChangeType/Code value NAME (Name) must be present in at least one occurrence of CorporateActionDetails/ChangeType.

(MT 564 NVR C10).

This constraint is defined at the MessageDefinition level.

C76 NetDividendRate1Rule

If NetDividendRate is repeated, then RateTypeAndAmountAndRateStatus/RateType/Code values must all be different in each occurrence of NetDividendRate. (MT 564 NVR C8).

C77 NetDividendRate1Rule

If NetDividendRate is repeated, then:

- RateTypeAndAmountAndRateStatus/RateType/Code values must all be different in each occurrence of NetDividendRate. (MT 564 NVR C8).

C78 NetDividendRate2Rule

Only a single occurrence of either NetDividendRate/Amount or NetDividendRate/AmountAndRateStatus or NetDividendRate/NotSpecifiedRate may be present, and only one of these elements may be present. (MT 564 NVR C7).

C79 NetDividendRate2Rule

Only a single occurrence of either NetDividendRate/Amount or NetDividendRate/AmountAndRateStatus or NetDividendRate/NotSpecifiedRate may be present, and only one of these elements may be present.

(MT 564 NVR C7).

C80 NewMaturityDateRule

If CorporateActionDetails/DateDetails/NewMaturityDate is present, then CorporateActionGeneralInformation/EventType/Code must be EXTM (Maturity Extension).

This constraint is defined at the MessageDefinition level.

C81 NotificationIdentificationRule

If NotificationGeneralInformation/NotificationType is REPL (Replace) or RMDR (Reminder) then PreviousNotificationIdentification must be present.

(MT 564 NVR C9).

```
On Condition
    /NotificationGeneralInformation/NotificationType is equal to value
'Replacement'
Or
    /NotificationGeneralInformation/NotificationType is equal to value
'Reminder'
Following Must be True
    /PreviousNotificationIdentification Must be present
```

This constraint is defined at the MessageDefinition level.

C82 NotificationTypeGuideline

NotificationType REPL is used to replace a previously sent CorporateActionNotification message that was reported with EventCompletenessStatus being incomplete or complete.

C83 NumberRule

If Number is negative, then Sign must be present.

C84 OfficialCorporateActionEventReference

The Securities Market Practice Group (SMPG) has published market practice recommendations on the structure and usage of the Official Corporate Action Event Reference (COAF). These recommendations are

available in the SMPG Global Market Practices Part 1 document on www.smpg.info.

C85 OptionNumberGuideline

For differentiation between options, it is recommended to use the OptionNumber rather than the OptionType, for example, in the case where two or more offers can be described by the same corporate action option code.

C86 OptionTypeUnknownProceedsUsageRule

When used, the corporate action option code PRUN (Unknown Proceeds) should be maintained throughout the full lifecycle of the event.

C87 OtherEventRule

If CorporateActionGeneralInformation/EventType/Code is OTHR (Other) then at least one occurrence of AdditionalInformation/AdditionalText/AdditionalInformation must be present.

(MT564 NVR C4).

```
On Condition
    /CorporateActionGeneralInformation/EventType/Code is present
And
    /CorporateActionGeneralInformation/EventType/Code is equal to value
'OtherEvent'
Following Must be True
    /AdditionalInformation Must be present
And
    /AdditionalInformation/AdditionalText[*] Must be present
And
    /AdditionalInformation/AdditionalText[*]/AdditionalInformation[*]
Must be present
```

This constraint is defined at the MessageDefinition level.

C88 OtherIdentificationPresenceRule

If OtherIdentification is not present then either ISIN or Description must be present.

C89 PaymentDateRule

If at least one occurrence of CorporateActionOptionDetails/SecuritiesMovementDetails or at least one occurrence of CorporateActionOptionDetails/CashMovementDetails is present, then CorporateActionDetails/DateDetails/PaymentDate must be absent. (MT 564 NVR C16).

On Condition

```

    /CorporateActionOptionDetails[*]/CashMovementDetails[*] is present
    Or    /CorporateActionOptionDetails[*]/SecuritiesMovementDetails[*] is
present

```

Following Must be True

```

    /CorporateActionDetails/DateDetails/PaymentDate Must be absent

```

This constraint is defined at the MessageDefinition level.

C90 PreviousNextFactorRule

In case of partial redemption by pool factor, PreviousFactor provides the factor applicable before redemption and NextFactor provides the factor applicable after redemption.

C91 PreviousNextFactorRule

In case of partial redemption by pool factor, PreviousFactor provides the factor applicable before redemption and NextFactor provides the factor applicable after redemption.

C92 QuantityOfIntermediateSecuritiesRule

If NotificationGeneralInformation/EligibleBalanceIndicator is "true" or "1" (yes) and if IntermediateSecurity is present, then IntermediateSecurity/Quantity must be present.

(MT564 Rule C3).

On Condition

```

    /NotificationGeneralInformation/EligibleBalanceIndicator is present
    And    /NotificationGeneralInformation/EligibleBalanceIndicator is equal to
value 'true'

```

```

    And    /IntermediateSecurity is present

```

Following Must be True

```

    /IntermediateSecurity/Quantity Must be present

```

This constraint is defined at the MessageDefinition level.

C93 RateAndCorporateActionEventRule

If CorporateActionOptionDetails/RateAndAmountDetails/GrossDividendRate/NotSpecifiedRate Code value or CorporateActionOptionDetails/RateAndAmountDetails/InterestRateUsedForPayment/NotSpecifiedRate Code value is NILP (Nil Payment), then CorporateActionGeneralInformation/EventType/Code must be either DVCA (CashDividend) or INTR (InterestPayment).

If CorporateActionOptionDetails/CashMovementDetails/RateAndAmountDetails/GrossDividendRate/NotSpecifiedRate Code value or CorporateActionOptionDetails/CashMovementDetails/RateAndAmountDetails/InterestRateUsedForPayment/NotSpecifiedRate Code value is NILP (Nil Payment), then CorporateActionGeneralInformation/EventType/Code must be either DVCA (CashDividend) or INTR (InterestPayment).

This constraint is defined at the MessageDefinition level.

C94 RateStatusGuideline

The code value ACTU is the default value for a rate status.

C95 RevocabilityPeriodRule

If WithdrawalAllowedIndicator or ChangeAllowedIndicator is present and is 'true' or '1' (Yes), then PeriodDetails/RevocabilityPeriod must be present to indicate the period during which the change or withdrawal of instruction is allowed.

C96 SafekeepingAccount1Rule

If AccountDetails/ForAllAccounts/IdentificationCode value is GENR (General) then any element in the list (MinimumNominalQuantity, MinimumExercisableQuantity, MinimumExercisableMultipleQuantity, ContractSize) must not be present in any occurrences of CorporateActionOptionDetails/SecuritiesMovementDetails/SecurityDetails;

(MT564 Rule C2 - part 1).

On Condition

/AccountDetails/ForAllAccounts is present

And /AccountDetails/ForAllAccounts/IdentificationCode is equal to value 'General'

Following Must be True

/CorporateActionOptionDetails[*]/SecuritiesMovementDetails[*]/

SecurityDetails/MinimumNominalQuantity Must be absent

And /CorporateActionOptionDetails[*]/SecuritiesMovementDetails[*]/

SecurityDetails/MinimumQuantityToInstruct Must be absent

And /CorporateActionOptionDetails[*]/SecuritiesMovementDetails[*]/

SecurityDetails/MinimumMultipleQuantityToInstruct Must be absent

And /CorporateActionOptionDetails[*]/SecuritiesMovementDetails[*]/

SecurityDetails/ContractSize Must be absent

This constraint is defined at the MessageDefinition level.

C97 SafekeepingAccount2Rule

If AccountDetails/ForAllAccounts/IdentificationCode value is GENR (General) then AmountDetails must not be present in any occurrences of CorporateActionOptionDetails/CashMovementDetails.

(MT564 Rule C2 - part 2).

On Condition

/AccountDetails/ForAllAccounts is present

And /AccountDetails/ForAllAccounts/IdentificationCode is equal to value 'General'

Following Must be True

/CorporateActionOptionDetails[*]/CashMovementDetails[*]/AmountDetails

Must be absent

This constraint is defined at the MessageDefinition level.

C98 SafekeepingAccountOrBlockChainAddress1Rule

Either SafekeepingAccount or BlockChainAddressOrWallet must be present but not both.

C99 SafekeepingAccountOrBlockChainAddress2Rule

If BlockChainAddressOrWallet is present then SafekeepingAccount must be absent.

C100 SafekeepingAccountOrBlockChainAddress3Rule

If SafekeepingAccount is present, then BlockChainAddressOrWallet must be absent.

C101 SafekeepingPlaceRule

The SafekeepingPlace is only to be used by global custodians that allow their clients to specify where the securities are to be held, for example, in an ICSD account versus an account at the local CSD.

C102 SecondLevelTax1Rule

If SecondLevelTax is repeated, then SecondLevelTax/RateTypeAndRate/RateType/Code values must all be different in each occurrence of SecondLevelTax.

(MT 564 NVR C8).

C103 SecondLevelTax1Rule

If SecondLevelTax is repeated, then SecondLevelTax/RateTypeAndRate/RateType/Code values must all be different in each occurrence of SecondLevelTax.

(MT 564 NVR C8).

C104 SecondLevelTax2Rule

Only a single occurrence of either SecondLevelTax/Amount or SecondLevelTax/Rate or SecondLevelTax/NotSpecifiedRate may be present, only one of these elements may be present.

(MT 564 NVR C7).

C105 SecondLevelTax2Rule

Only a single occurrence of either SecondLevelTax/Amount or SecondLevelTax/Rate or SecondLevelTax/NotSpecifiedRate may be present, only one of these elements may be present.

(MT 564 NVR C7).

C106 SecondLevelTax3Rule

If at least one occurrence of SecondLevelTax is present, then at least one occurrence of WithholdingTaxRate must be present. (MT 564 NVR C19).

C107 SecondLevelTax3Rule

If at least one occurrence of SecondLevelTax is present, then at least one occurrence of WithholdingTaxRate must be present. (MT 564 NVR C19).

C108 ShortLongNumberRule

ShortNumber must contain the FIN message type number of the linked message. LongNumber must contain the XML message identifier of the linked message.

C109 SupplementaryDataRule

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

C110 TotalEligibleBalanceRule

If NotificationGeneralInformation/EligibleBalanceIndicator is 'true' or '1' (Yes), then at least one occurrence of AccountDetails/AccountsListAndBalanceDetails must be present and TotalEligibleBalance must be present in all occurrences of AccountDetails/AccountsListAndBalanceDetails/Balance.

If NotificationGeneralInformation/EligibleBalanceIndicator is 'false' or '0' (No) or is not present, then TotalEligibleBalance may or may not be present in all occurrences of AccountDetails/AccountsListAndBalanceDetails/Balance but it will not necessarily be the final eligible balance quantity.

This constraint is defined at the MessageDefinition level.

C111 WithholdingTaxRate1Rule

If WithholdingTaxRate is repeated, then WithholdingTaxRate/RateTypeAndRate/RateType/Code values must all be different in each occurrence of WithholdingTaxRate.

(MT 564 NVR C8).

C112 WithholdingTaxRate1Rule

If WithholdingTaxRate is repeated, then WithholdingTaxRate/RateTypeAndRate/RateType/Code values must all be different in each occurrence of WithholdingTaxRate.

(MT 564 NVR C8).

C113 WithholdingTaxRate2Rule

Only a single occurrence of either WithholdingTaxRate/Amount or WithholdingTaxRate/Rate or WithholdingTaxRate/NotSpecifiedRate may be present, only one of those elements may be present.

(MT 564 NVR C7).

C114 WithholdingTaxRate2Rule

Only a single occurrence of either WithholdingTaxRate/Amount or WithholdingTaxRate/Rate or WithholdingTaxRate/NotSpecifiedRate may be present, only one of these elements may be present.

(MT 564 NVR C7).

C115 WithLinkageRule

If Code WITH is used, then the one or more instruction which are linked become bound and which must be executed together. Even if one single transactions/instructions/notifications can not be executed, then all the other transactions/instructions/notifications must also be kept pending. Therefore the use of code WITH must be limited to combine up to 2 or 3 transactions/instructions/notifications.

3.4 Message Building Blocks

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

3.4.1 Pagination <Pgntn>

Presence: [0..1]

Definition: Page number of the message and continuation indicator to indicate that the multi-part notification is to continue or that the message is the last page of the multi-part notification.

Pagination <Pgntn> contains the following elements (see "Pagination1" on page 856 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PageNumber <PgNb>	[1..1]	Text		856
	LastPageIndicator <LastPgInd>	[1..1]	Indicator		856

3.4.2 NotificationGeneralInformation <NtfctnGnlInf>

Presence: [1..1]

Definition: General information about the event notification type, status and contents.

Impacted by: C82 "NotificationTypeGuideline"

NotificationGeneralInformation <NtfctnGnlInf> contains the following **CorporateActionNotification6** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NotificationType <NtfctnTp>	[1..1]	CodeSet		56
	ProcessingStatus <PrcgSts>	[1..1]	±		56
	EligibleBalanceIndicator <ElgblBallnd>	[0..1]	Indicator		57

Constraints

- **NotificationTypeGuideline**

NotificationType REPL is used to replace a previously sent CorporateActionNotification message that was reported with EventCompletenessStatus being incomplete or complete.

3.4.2.1 NotificationType <NtfctnTp>

Presence: [1..1]

Definition: Specifies the type of notification.

Datatype: "CorporateActionNotificationType1Code" on page 1126

CodeName	Name	Definition
NEWM	New	New notification.
REPL	Replacement	Notification replacing a previously sent notification.
RMDR	Reminder	Notification sent as a reminder of an event taking place.

3.4.2.2 ProcessingStatus <PrcgSts>

Presence: [1..1]

Definition: Specifies the status of the details of the corporate action event.

ProcessingStatus <PrcgSts> contains one of the following elements (see "CorporateActionProcessingStatus6Choice" on page 911 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]			911
	EventCompletenessStatus <EvtCmpltnsSts>	[1..1]	CodeSet		911
	EventConfirmationStatus <EvtConfSts>	[1..1]	CodeSet		912
Or}	Proprietary <Prtry>	[1..1]	±		912

3.4.2.3 EligibleBalanceIndicator <ElgblBalInd>

Presence: [0..1]

Definition: Indicates whether the eligible balance is final except for a voluntary corporate action event where it can represent the current eligible balance when communicated before expiration date of that event.

Datatype: One of the following values must be used (see "YesNoIndicator" on page 1171):

- *Meaning When True:* Yes
- *Meaning When False:* No

3.4.3 PreviousNotificationIdentification <PrvsNtfctnId>

Presence: [0..1]

Definition: Identification of the previously sent notification document.

PreviousNotificationIdentification <PrvsNtfctnId> contains the following elements (see "DocumentIdentification37" on page 736 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		737
	LinkageType <LkgTp>	[0..1]	±	C78	737

3.4.4 InstructionIdentification <InstrId>

Presence: [0..1]

Definition: Identification of a related instruction document.

InstructionIdentification <InstrId> contains the following elements (see "DocumentIdentification17" on page 741 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		741

3.4.5 OtherDocumentIdentification <OthrDocId>

Presence: [0..*]

Definition: Identification of other documents as well as the document number.

OtherDocumentIdentification <OthrDocId> contains the following elements (see "DocumentIdentification38" on page 739 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]			739
{Or	AccountServicerDocumentIdentification <AcctSvcrDocId>	[1..1]	Text		740
Or}	AccountOwnerDocumentIdentification <AcctOwnrDocId>	[1..1]	Text		740
	DocumentNumber <DocNb>	[0..1]	±	C6	740
	LinkageType <LkgTp>	[0..1]	±	C78	740

3.4.6 EventsLinkage <EvtsLkg>

Presence: [0..*]

Definition: Identification of another corporate action event that needs to be closely linked to the processing of the event notified in this document.

EventsLinkage <EvtsLkg> contains the following elements (see "CorporateActionEventReference4" on page 910 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	EventIdentification <EvtId>	[1..1]			910
{Or	LinkedOfficialCorporateActionEventIdentification <LkdOffclCorpActnEvtId>	[1..1]	Text		910
Or}	LinkedCorporateActionIdentification <LkdCorpActnId>	[1..1]	Text		910
	LinkageType <LkgTp>	[0..1]	±	C78	910

3.4.7 CorporateActionGeneralInformation <CorpActnGnlInf>

Presence: [1..1]

Definition: General information about the corporate action event.

Impacted by: C84 "OfficialCorporateActionEventReference"

CorporateActionGeneralInformation <CorpActnGnllnf> contains the following
CorporateActionGeneralInformation170 elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CorporateActionEventIdentification <CorpActnEvtld>	[1..1]	Text		59
	OfficialCorporateActionEventIdentification <OffclCorpActnEvtld>	[0..1]	Text		59
	ClassActionNumber <ClssActnNb>	[0..1]	Text		59
	EventProcessingType <EvtPrcgTp>	[0..1]	±		59
	EventType <EvtTp>	[1..1]	±	C32	60
	MandatoryVoluntaryEventType <MndtryVlntryEvtTp>	[1..1]	±		60
	UnderlyingSecurity <UndrlygScty>	[1..1]	±	C68, C90	61

Constraints

- **OfficialCorporateActionEventReference**

The Securities Market Practice Group (SMPG) has published market practice recommendations on the structure and usage of the Official Corporate Action Event Reference (COAF). These recommendations are

available in the SMPG Global Market Practices Part 1 document on www.smpg.info.

3.4.7.1 CorporateActionEventIdentification <CorpActnEvtld>

Presence: [1..1]

Definition: Reference assigned by the account servicer to unambiguously identify a corporate action event.

Datatype: "RestrictedFINXMax16Text" on page 1177

3.4.7.2 OfficialCorporateActionEventIdentification <OffclCorpActnEvtld>

Presence: [0..1]

Definition: Official and unique reference assigned by the official central body/entity within each market at the beginning of a corporate action event.

Datatype: "RestrictedFINXMax16Text" on page 1177

3.4.7.3 ClassActionNumber <ClssActnNb>

Presence: [0..1]

Definition: Reference assigned by a court to a class action.

Datatype: "RestrictedFINXMax16Text" on page 1177

3.4.7.4 EventProcessingType <EvtPrcgTp>

Presence: [0..1]

Definition: Type of processing involved by a corporate action.

EventProcessingType <EvtPrcgTp> contains one of the following elements (see "CorporateActionEventProcessingType3Choice" on page 909 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		909
Or}	Proprietary <Prtry>	[1..1]	±		909

3.4.7.5 EventType <EvtTp>

Presence: [1..1]

Definition: Type of corporate action event.

Impacted by: C32 "EventTypeRule"

EventType <EvtTp> contains one of the following elements (see "CorporateActionEventType89Choice" on page 847 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		848
Or}	Proprietary <Prtry>	[1..1]	±		854

Constraints

- **EventTypeRule**

If Code is present, the code OTHR (Other) must only be used if no other corporate action event code is appropriate.

If Code is present, the code CHAN (Change) must only be used if no other corporate action event code is appropriate and only for an event which relates to a change.

If Code is present, the code REDM (Final Maturity) must only be used if the redemption is decided by the issuer and not by the securities holders.

3.4.7.6 MandatoryVoluntaryEventType <MndtryVlntryEvtTp>

Presence: [1..1]

Definition: Specifies whether the event is mandatory, mandatory with options or voluntary.

MandatoryVoluntaryEventType <MndtryVlntryEvtTp> contains one of the following elements (see "CorporateActionMandatoryVoluntary4Choice" on page 908 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		908
Or}	Proprietary <Prtry>	[1..1]	±		908

3.4.7.7 UnderlyingSecurity <UndrlygScty>*Presence:* [1..1]*Definition:* Security concerned by the corporate action.*Impacted by:* C68 "MaturityDateRule", C90 "PreviousNextFactorRule"**UnderlyingSecurity <UndrlygScty>** contains the following elements (see "FinancialInstrumentAttributes114" on page 770 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	FinancialInstrumentIdentification <FinInstrmId>	[1..1]	±	C17, C18, C41, C42, C54	772
	PlaceOfListing <PlcOfListg>	[0..1]	±		773
	DayCountBasis <DayCntBsis>	[0..1]	±		773
	ClassificationType <ClssfctnTp>	[0..1]	±		773
	OptionStyle <OptnStyle>	[0..1]	±		773
	DenominationCurrency <DnmtnCcy>	[0..1]	CodeSet	C2	774
	NextCouponDate <NxtCpnDt>	[0..1]	Date		774
	ExpiryDate <XpryDt>	[0..1]	Date		774
	FloatingRateFixingDate <FltgRateFxdDt>	[0..1]	Date		774
	MaturityDate <MtrtyDt>	[0..1]	Date		774
	IssueDate <IssDt>	[0..1]	Date		775
	NextCallableDate <NxtCllblDt>	[0..1]	Date		775
	PutableDate <PutblDt>	[0..1]	Date		775
	DatedDate <DtdDt>	[0..1]	Date		775
	ConversionDate <ConvsDt>	[0..1]	Date		775
	InterestRate <IntrstRate>	[0..1]	±		775
	NextInterestRate <NxtIntrstRate>	[0..1]	±		775
	PercentageOfDebtClaim <PctgOfDebtClm>	[0..1]	±		776
	PreviousFactor <PrvsFctr>	[0..1]	±		776
	NextFactor <NxtFctr>	[0..1]	±		776
	WarrantParity <WarrtParity>	[0..1]	±		777
	MinimumNominalQuantity <MinNmnlQty>	[0..1]	±	C19	777
	ContractSize <CtrctSz>	[0..1]	±	C19	777

Constraints

- **MaturityDateRule**

Maturity date may only provide generic information about the final maturity of the underlying instrument.

- **PreviousNextFactorRule**

In case of partial redemption by pool factor, PreviousFactor provides the factor applicable before redemption and NextFactor provides the factor applicable after redemption.

3.4.8 **AccountDetails <AcctDtls>**

Presence: [1..1]

Definition: General information about the safekeeping account, owner and account balance.

AccountDetails <AcctDtls> contains one of the following **AccountIdentification52Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	ForAllAccounts <ForAllAccts>	[1..1]	±		63
Or}	AccountsListAndBalanceDetails <AcctsListAndBalDtls>	[1..*]		C98, C99, C100, C101	64
	SafekeepingAccount <SfkpgAcct>	[0..1]	Text		66
	BlockchainAddressOrWallet <BlckChainAdrOrWlt>	[0..1]	Text		66
	AccountOwner <AcctOwnr>	[0..1]	±		66
	SafekeepingPlace <SfkpgPlc>	[0..1]	±		66
	Balance <Bal>	[0..1]		C8	67
	TotalEligibleBalance <TtlElgblBal>	[0..1]	±		68
	BlockedBalance <BlckdBal>	[0..1]	±		69
	BorrowedBalance <BrrwdBal>	[0..1]	±		69
	CollateralInBalance <CollInBal>	[0..1]	±		69
	CollateralOutBalance <CollOutBal>	[0..1]	±		70
	OnLoanBalance <OnLnBal>	[0..1]	±		70
	PendingDeliveryBalance <PdgDivryBal>	[0..*]	±		70
	PendingReceiptBalance <PdgRctBal>	[0..*]	±		71
	OutForRegistrationBalance <OutForRegnBal>	[0..1]	±		71
	SettlementPositionBalance <SttlmPosBal>	[0..*]	±		71
	StreetPositionBalance <StrtPosBal>	[0..1]	±		72
	TradeDatePositionBalance <TradDtPosBal>	[0..1]	±		72
	InTransshipmentBalance <InTrnsShipmntBal>	[0..1]	±		72
	RegisteredBalance <RegdBal>	[0..1]	±		73
	ObligatedBalance <OblgtdBal>	[0..1]	±		73
	UninstructedBalance <UinstdBal>	[0..1]	±		73
	InstructedBalance <InstdBal>	[0..1]	±		74
	AffectedBalance <AfctdBal>	[0..1]	±		74
	UnaffectedBalance <UafctdBal>	[0..1]	±		74

3.4.8.1 ForAllAccounts <ForAllAccts>

Presence: [1..1]

Definition: All safekeeping accounts that own an underlying financial instrument.

ForAllAccounts <ForAllAccts> contains the following elements (see ["AccountIdentification10"](#) on page 712 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	IdentificationCode <IdCd>	[1..1]	CodeSet		712

3.4.8.2 AccountsListAndBalanceDetails <AcctsListAndBalDtls>

Presence: [1..*]

Definition: Selected safekeeping accounts list (and optionally balance information) to which the corporate action event applies.

Impacted by: [C98 "SafekeepingAccountOrBlockChainAddress1Rule"](#), [C99 "SafekeepingAccountOrBlockChainAddress2Rule"](#), [C100 "SafekeepingAccountOrBlockChainAddress3Rule"](#), [C101 "SafekeepingPlaceRule"](#)

AccountsListAndBalanceDetails <AcctsListAndBalDtls> contains the following **AccountAndBalance53** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	SafekeepingAccount <SfkpgAcct>	[0..1]	Text		66
	BlockChainAddressOrWallet <BlckChainAdrOrWlt>	[0..1]	Text		66
	AccountOwner <AcctOwnr>	[0..1]	±		66
	SafekeepingPlace <SfkpgPlc>	[0..1]	±		66
	Balance <Bal>	[0..1]		C8	67
	TotalEligibleBalance <TtlElgblBal>	[0..1]	±		68
	BlockedBalance <BlckdBal>	[0..1]	±		69
	BorrowedBalance <BrrwdBal>	[0..1]	±		69
	CollateralInBalance <CollInBal>	[0..1]	±		69
	CollateralOutBalance <CollOutBal>	[0..1]	±		70
	OnLoanBalance <OnLnBal>	[0..1]	±		70
	PendingDeliveryBalance <PdgDlvryBal>	[0..*]	±		70
	PendingReceiptBalance <PdgRctBal>	[0..*]	±		71
	OutForRegistrationBalance <OutForRegnBal>	[0..1]	±		71
	SettlementPositionBalance <SttlmPosBal>	[0..*]	±		71
	StreetPositionBalance <StrtPosBal>	[0..1]	±		72
	TradeDatePositionBalance <TradDtPosBal>	[0..1]	±		72
	InTransshipmentBalance <InTrnsShipmntBal>	[0..1]	±		72
	RegisteredBalance <RegdBal>	[0..1]	±		73
	ObligatedBalance <OblgtdBal>	[0..1]	±		73
	UninstructedBalance <UinstdBal>	[0..1]	±		73
	InstructedBalance <InstdBal>	[0..1]	±		74
	AffectedBalance <AfctdBal>	[0..1]	±		74
	UnaffectedBalance <UafctdBal>	[0..1]	±		74

Constraints

- **SafekeepingAccountOrBlockChainAddress1Rule**

Either SafekeepingAccount or BlockChainAddressOrWallet must be present but not both.

Following Must be True

/SafekeepingAccount Must be present

Or /BlockChainAddressOrWallet Must be present

- **SafekeepingAccountOrBlockChainAddress2Rule**

If BlockChainAddressOrWallet is present then SafekeepingAccount must be absent.

On Condition
/BlockChainAddressOrWallet is present
Following Must be True
/SafekeepingAccount Must be absent

- **SafekeepingAccountOrBlockChainAddress3Rule**

If SafekeepingAccount is present, then BlockChainAddressOrWallet must be absent.

On Condition
/SafekeepingAccount is present
Following Must be True
/BlockChainAddressOrWallet Must be absent

- **SafekeepingPlaceRule**

The SafekeepingPlace is only to be used by global custodians that allow their clients to specify where the securities are to be held, for example, in an ICSD account versus an account at the local CSD.

3.4.8.2.1 SafekeepingAccount <SfkpgAcct>

Presence: [0..1]

Definition: Account where financial instruments are maintained.

Datatype: "RestrictedFINXMax35Text" on page 1180

3.4.8.2.2 BlockChainAddressOrWallet <BlckChainAdrOrWllt>

Presence: [0..1]

Definition: Blockchain address or wallet where digital assets are maintained. This is the equivalent of safekeeping account for digital assets.

Datatype: "RestrictedFINXMax140Text" on page 1177

3.4.8.2.3 AccountOwner <AcctOwnr>

Presence: [0..1]

Definition: Party that legally owns the account.

AccountOwner <AcctOwnr> contains one of the following elements (see "PartyIdentification136Choice" on page 928 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	928
Or}	ProprietaryIdentification <PrtryId>	[1..1]	±		929

3.4.8.2.4 SafekeepingPlace <SfkpgPlc>

Presence: [0..1]

Definition: Location where the financial instruments are/will be safekept.

SafekeepingPlace <SfkpgPlc> contains one of the following elements (see "SafekeepingPlaceFormat32Choice" on page 1046 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Identification <Id>	[1..1]	±		1047
Or	Country <Ctry>	[1..1]	CodeSet	C3	1047
Or	TypeAndIdentification <TpAndId>	[1..1]			1047
	SafekeepingPlaceType <SfkpgPlcTp>	[1..1]	CodeSet		1048
	Identification <Id>	[1..1]	IdentifierSet	C1	1048
Or}	Proprietary <Prtry>	[1..1]	±		1048

3.4.8.2.5 Balance <Bal>

Presence: [0..1]

Definition: Provides information about balance related to a corporate action.

Impacted by: C8 "AffectedAndUnaffectedBalanceRule"

Balance <Bal> contains the following **CorporateActionBalanceDetails46** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	TotalEligibleBalance <TtlElgblBal>	[0..1]	±		68
	BlockedBalance <BlckdBal>	[0..1]	±		69
	BorrowedBalance <BrrwdBal>	[0..1]	±		69
	CollateralInBalance <CollInBal>	[0..1]	±		69
	CollateralOutBalance <CollOutBal>	[0..1]	±		70
	OnLoanBalance <OnLnBal>	[0..1]	±		70
	PendingDeliveryBalance <PdgDlvryBal>	[0..*]	±		70
	PendingReceiptBalance <PdgRctBal>	[0..*]	±		71
	OutForRegistrationBalance <OutForRegnBal>	[0..1]	±		71
	SettlementPositionBalance <SttlmPosBal>	[0..*]	±		71
	StreetPositionBalance <StrtPosBal>	[0..1]	±		72
	TradeDatePositionBalance <TradDtPosBal>	[0..1]	±		72
	InTransshipmentBalance <InTrnsShipmntBal>	[0..1]	±		72
	RegisteredBalance <RegdBal>	[0..1]	±		73
	ObligatedBalance <OblgtdBal>	[0..1]	±		73
	UninstructedBalance <UinstdBal>	[0..1]	±		73
	InstructedBalance <InstdBal>	[0..1]	±		74
	AffectedBalance <AfctdBal>	[0..1]	±		74
	UnaffectedBalance <UafctdBal>	[0..1]	±		74

Constraints

- **AffectedAndUnaffectedBalanceRule**

UnaffectedBalance and AffectedBalance should only be mentioned as a result of the process that was run through the event. For instance lottery results, Dutch auction results or tender offer results.

3.4.8.2.5.1 TotalEligibleBalance <TtlElgblBal>

Presence: [0..1]

Definition: Total balance of securities eligible for this corporate action event. The entitlement calculation is based on this balance.

TotalEligibleBalance <TtlElgblBal> contains the following elements (see "TotalEligibleBalanceFormat11" on page 713 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Balance <Bal>	[0..1]	±		713
	FullPeriodUnits <FullPrdUnits>	[0..1]	±		713
	PartWayPeriodUnits <PartWayPrdUnits>	[0..1]	±		714

3.4.8.2.5.2 BlockedBalance <BlckdBal>

Presence: [0..1]

Definition: Balance of financial instruments that are blocked.

BlockedBalance <BlckdBal> contains one of the following elements (see "BalanceFormat14Choice" on page 716 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Balance <Bal>	[1..1]	±		716
Or	EligibleBalance <ElgblBal>	[1..1]	±		716
Or}	NotEligibleBalance <NotElgblBal>	[1..1]	±		716

3.4.8.2.5.3 BorrowedBalance <BrrwdBal>

Presence: [0..1]

Definition: Balance of financial instruments that have been borrowed from another party.

BorrowedBalance <BrrwdBal> contains one of the following elements (see "BalanceFormat14Choice" on page 716 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Balance <Bal>	[1..1]	±		716
Or	EligibleBalance <ElgblBal>	[1..1]	±		716
Or}	NotEligibleBalance <NotElgblBal>	[1..1]	±		716

3.4.8.2.5.4 CollateralInBalance <CollnBal>

Presence: [0..1]

Definition: Balance of securities that belong to a third party and that are held for the purpose of collateralisation.

CollateralInBalance <CollInBal> contains one of the following elements (see "BalanceFormat14Choice" on page 716 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Balance <Bal>	[1..1]	±		716
Or	EligibleBalance <ElgblBal>	[1..1]	±		716
Or}	NotEligibleBalance <NotElgblBal>	[1..1]	±		716

3.4.8.2.5.5 CollateralOutBalance <CollOutBal>

Presence: [0..1]

Definition: Balance of securities that belong to the safekeeping account indicated within this message, and are deposited with a third party for the purpose of collateralisation.

CollateralOutBalance <CollOutBal> contains one of the following elements (see "BalanceFormat14Choice" on page 716 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Balance <Bal>	[1..1]	±		716
Or	EligibleBalance <ElgblBal>	[1..1]	±		716
Or}	NotEligibleBalance <NotElgblBal>	[1..1]	±		716

3.4.8.2.5.6 OnLoanBalance <OnLnBal>

Presence: [0..1]

Definition: Balance of financial instruments that have been loaned to a third party.

OnLoanBalance <OnLnBal> contains one of the following elements (see "BalanceFormat14Choice" on page 716 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Balance <Bal>	[1..1]	±		716
Or	EligibleBalance <ElgblBal>	[1..1]	±		716
Or}	NotEligibleBalance <NotElgblBal>	[1..1]	±		716

3.4.8.2.5.7 PendingDeliveryBalance <PdgDlvryBal>

Presence: [0..*]

Definition: Balance of financial instruments that are pending delivery.

PendingDeliveryBalance <PdgDlvryBal> contains one of the following elements (see "BalanceFormat16Choice" on page 714 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Balance <Bal>	[1..1]	±		714
Or	EligibleBalance <ElgblBal>	[1..1]	±		714
Or	NotEligibleBalance <NotElgblBal>	[1..1]	±		715
Or	FullPeriodUnits <FullPrdUnits>	[1..1]	±		715
Or}	PartWayPeriodUnits <PartWayPrdUnits>	[1..1]	±		715

3.4.8.2.5.8 PendingReceiptBalance <PdgRctBal>

Presence: [0..*]

Definition: Balance of financial instruments that are pending receipt.

PendingReceiptBalance <PdgRctBal> contains one of the following elements (see "BalanceFormat16Choice" on page 714 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Balance <Bal>	[1..1]	±		714
Or	EligibleBalance <ElgblBal>	[1..1]	±		714
Or	NotEligibleBalance <NotElgblBal>	[1..1]	±		715
Or	FullPeriodUnits <FullPrdUnits>	[1..1]	±		715
Or}	PartWayPeriodUnits <PartWayPrdUnits>	[1..1]	±		715

3.4.8.2.5.9 OutForRegistrationBalance <OutForRegnBal>

Presence: [0..1]

Definition: Balance of financial instruments currently being processed by the institution responsible for registering the new beneficial owner (or nominee).

OutForRegistrationBalance <OutForRegnBal> contains one of the following elements (see "BalanceFormat14Choice" on page 716 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Balance <Bal>	[1..1]	±		716
Or	EligibleBalance <ElgblBal>	[1..1]	±		716
Or}	NotEligibleBalance <NotElgblBal>	[1..1]	±		716

3.4.8.2.5.10 SettlementPositionBalance <SttlmPosBal>

Presence: [0..*]

Definition: Balance of securities representing only settled transactions; pending transactions not included.

SettlementPositionBalance <SttlmPosBal> contains one of the following elements (see "BalanceFormat16Choice" on page 714 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Balance <Bal>	[1..1]	±		714
Or	EligibleBalance <ElgblBal>	[1..1]	±		714
Or	NotEligibleBalance <NotElgblBal>	[1..1]	±		715
Or	FullPeriodUnits <FullPrdUnits>	[1..1]	±		715
Or}	PartWayPeriodUnits <PartWayPrdUnits>	[1..1]	±		715

3.4.8.2.5.11 StreetPositionBalance <StrtPosBal>

Presence: [0..1]

Definition: Balance of financial instruments that remain registered in the name of the prior beneficial owner.

StreetPositionBalance <StrtPosBal> contains one of the following elements (see "BalanceFormat14Choice" on page 716 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Balance <Bal>	[1..1]	±		716
Or	EligibleBalance <ElgblBal>	[1..1]	±		716
Or}	NotEligibleBalance <NotElgblBal>	[1..1]	±		716

3.4.8.2.5.12 TradeDatePositionBalance <TradDtPosBal>

Presence: [0..1]

Definition: Balance of securities based on trade date, for example, includes all pending transactions in addition to the balance of settled transactions.

TradeDatePositionBalance <TradDtPosBal> contains one of the following elements (see "BalanceFormat14Choice" on page 716 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Balance <Bal>	[1..1]	±		716
Or	EligibleBalance <ElgblBal>	[1..1]	±		716
Or}	NotEligibleBalance <NotElgblBal>	[1..1]	±		716

3.4.8.2.5.13 InTransshipmentBalance <InTrnsShipmntBal>

Presence: [0..1]

Definition: Balance of physical securities that are in the process of being transferred from one depository/agent to another.

InTransshipmentBalance <InTrnsShipmntBal> contains one of the following elements (see "BalanceFormat14Choice" on page 716 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Balance <Bal>	[1..1]	±		716
Or	EligibleBalance <ElgblBal>	[1..1]	±		716
Or}	NotEligibleBalance <NotElgblBal>	[1..1]	±		716

3.4.8.2.5.14 RegisteredBalance <RegdBal>

Presence: [0..1]

Definition: Balance of financial instruments that are registered (in the name of a nominee name or of the beneficial owner).

RegisteredBalance <RegdBal> contains one of the following elements (see "BalanceFormat14Choice" on page 716 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Balance <Bal>	[1..1]	±		716
Or	EligibleBalance <ElgblBal>	[1..1]	±		716
Or}	NotEligibleBalance <NotElgblBal>	[1..1]	±		716

3.4.8.2.5.15 ObligatedBalance <OblgtdBal>

Presence: [0..1]

Definition: Position that account holders should return to the account servicer to participate in the event or to fulfil their obligation for the event to be complete, for example, return of securities for late announced drawing.

ObligatedBalance <OblgtdBal> contains one of the following elements (see "BalanceFormat14Choice" on page 716 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Balance <Bal>	[1..1]	±		716
Or	EligibleBalance <ElgblBal>	[1..1]	±		716
Or}	NotEligibleBalance <NotElgblBal>	[1..1]	±		716

3.4.8.2.5.16 UninstructedBalance <UinstdBal>

Presence: [0..1]

Definition: Balance of uninstructed position.

UninstructedBalance <UinstdBal> contains one of the following elements (see "BalanceFormat14Choice" on page 716 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Balance <Bal>	[1..1]	±		716
Or	EligibleBalance <ElgblBal>	[1..1]	±		716
Or}	NotEligibleBalance <NotElgblBal>	[1..1]	±		716

3.4.8.2.5.17 InstructedBalance <InstdBal>

Presence: [0..1]

Definition: Balance of instructed position.

InstructedBalance <InstdBal> contains one of the following elements (see "BalanceFormat14Choice" on page 716 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Balance <Bal>	[1..1]	±		716
Or	EligibleBalance <ElgblBal>	[1..1]	±		716
Or}	NotEligibleBalance <NotElgblBal>	[1..1]	±		716

3.4.8.2.5.18 AffectedBalance <AfctdBal>

Presence: [0..1]

Definition: Balance that has been affected by the process run through the event.

AffectedBalance <AfctdBal> contains one of the following elements (see "BalanceFormat14Choice" on page 716 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Balance <Bal>	[1..1]	±		716
Or	EligibleBalance <ElgblBal>	[1..1]	±		716
Or}	NotEligibleBalance <NotElgblBal>	[1..1]	±		716

3.4.8.2.5.19 UnaffectedBalance <UafctdBal>

Presence: [0..1]

Definition: Balance that has not been affected by the process run through the event.

UnaffectedBalance <UafctdBal> contains one of the following elements (see "BalanceFormat14Choice" on page 716 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Balance <Bal>	[1..1]	±		716
Or	EligibleBalance <ElgblBal>	[1..1]	±		716
Or}	NotEligibleBalance <NotElgblBal>	[1..1]	±		716

3.4.9 IntermediateSecurity <IntrmdtScty>

Presence: [0..1]

Definition: Details on rights credited to the account as for example trading period, expiry date, renounceability.

IntermediateSecurity <IntrmdtScty> contains the following elements (see "FinancialInstrumentAttributes117" on page 756 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	SecurityIdentification <SctyId>	[1..1]	±	C17, C18, C41, C42, C54	757
	Quantity <Qty>	[0..1]	Quantity		758
	RenounceableEntitlementStatusType <RnnclEntitlmntStsTp>	[0..1]	±		758
	FractionDisposition <FrctnDspstn>	[0..1]	±		758
	IntermediateSecuritiesToUnderlyingRatio <IntrmdtSctiesToUndrlygRatio>	[0..1]	±		759
	MarketPrice <MktPric>	[0..1]	±		759
	ExpiryDate <XpryDt>	[1..1]	±		759
	PostingDate <PstngDt>	[1..1]	±		759
	TradingPeriod <TradgPrd>	[0..1]	±		760
	UninstructedBalance <UinstdBal>	[0..1]	±		760
	InstructedBalance <InstdBal>	[0..1]	±		760

3.4.10 CorporateActionDetails <CorpActnDtls>

Presence: [0..1]

Definition: Information about the corporate action event.

Impacted by: C26 "DissenterRights1Rule", C28 "DissenterRights2Rule"

CorporateActionDetails <CorpActnDtls> contains the following **CorporateAction67** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	DateDetails <DtDtls>	[0..1]		C31	79
	AnnouncementDate <AnncmntDt>	[0..1]	±		81
	CertificationDeadline <CertfctnDdln>	[0..1]	±		81
	CourtApprovalDate <CrtApprvlDt>	[0..1]	±		82
	EarlyClosingDate <EarlyClsgDt>	[0..1]	±		82
	EffectiveDate <FctvDt>	[0..1]	±		82
	EqualisationDate <EqulstnDt>	[0..1]	±		82
	FurtherDetailedAnnouncementDate <FrthrDtldAnncmntDt>	[0..1]	±		83
	FixingDate <FvgDt>	[0..1]	±		83
	LotteryDate <LtryDt>	[0..1]	±		83
	NewMaturityDate <NewMtrtyDt>	[0..1]	±		84
	MeetingDate <MtgDt>	[0..1]	±		84
	MarginFixingDate <MrgnFvgDt>	[0..1]	±		84
	ProrationDate <PrratnDt>	[0..1]	±		85
	RecordDate <RcrdDt>	[0..1]	±		85
	RegistrationDeadline <RegnDdln>	[0..1]	±		85
	ResultsPublicationDate <RsltsPblctnDt>	[0..1]	±		86
	DeadlineToSplit <DdlnToSpl>	[0..1]	±		86
	DeadlineForTaxBreakdownInstruction <DdlnForTaxBrkdwInstr>	[0..1]	±		86
	TradingSuspendedDate <TradgSspdDt>	[0..1]	±		87
	UnconditionalDate <UcondlDt>	[0..1]	±		87
	WhollyUnconditionalDate <WhlyUcondlDt>	[0..1]	±		87
	ExDividendDate <ExDvddDt>	[0..1]	±		88
	OfficialAnnouncementPublicationDate <OffclAnncmntPblctnDt>	[0..1]	±		88
	SpecialExDate <SpclExDt>	[0..1]	±		88
	GuaranteedParticipationDate <GrntedPrtpnDt>	[0..1]	±		89
	ElectionToCounterpartyMarketDeadline <ElctnToCtrPtyMktDdln>	[0..1]	±		89
	ElectionToCounterpartyResponseDeadline <ElctnToCtrPtyRspnDdln>	[0..1]	±		89
	LapsedDate <LpsdDt>	[0..1]	±		90

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PaymentDate <PmtDt>	[0..1]	±		90
	ThirdPartyDeadline <ThrdPtyDdln>	[0..1]	±		90
	EarlyThirdPartyDeadline <EarlyThrdPtyDdln>	[0..1]	±		91
	MarketClaimTrackingEndDate <MktClmTrckgEndDt>	[0..1]	±		91
	LeadPlaintiffDeadline <LeadPlntffDdln>	[0..1]	±		91
	FilingDate <FilgDt>	[0..1]	±		92
	HearingDate <HrgDt>	[0..1]	±		92
	PeriodDetails <PrdDtls>	[0..1]	±	C55	92
	RateAndAmountDetails <RateAndAmtDtls>	[0..1]		C18	93
	InterestRate <IntrstRate>	[0..1]	±		94
	PercentageSought <PctgSght>	[0..1]	±		94
	RelatedIndex <RltdIndx>	[0..1]	±		95
	Spread <Sprd>	[0..1]	±		95
	BidInterval <BidIntrvl>	[0..1]	±		95
	PreviousFactor <PrvsFctr>	[0..1]	±		96
	NextFactor <NxtFctr>	[0..1]	±		96
	ReinvestmentDiscountRateToMarket <RinvstmtDscntRateToMkt>	[0..1]	±		96
	InterestShortfall <IntrstShrtfl>	[0..1]	±		96
	RealisedLoss <RealsdLoss>	[0..1]	±		97
	DeclaredRate <DclrdRate>	[0..1]	±		97
	IndexFactor <IndxFctr>	[0..1]	±		97
	PriceDetails <PricDtls>	[0..1]			98
	MaximumPrice <MaxPric>	[0..1]	±		98
	MinimumPrice <MinPric>	[0..1]	±		98
	FirstBidIncrementPrice <FrstBidIncrmtPric>	[0..1]	±		99
	LastBidIncrementPrice <LastBidIncrmtPric>	[0..1]	±		99
	SecuritiesQuantity <SctiesQty>	[0..1]		C70	100
	MaximumQuantity <MaxQty>	[0..1]	±	C24	100
	MinimumQuantitySought <MinQtySght>	[0..1]	±	C24	101
	NewBoardLotQuantity <NewBrdLotQty>	[0..1]	±	C25	101
	NewDenominationQuantity <NewDnmtnQty>	[0..1]	±	C25	102

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	BaseDenomination <BaseDnmtn>	[0..1]	±	C25	103
	IncrementalDenomination <IncrmtlDnmtn>	[0..1]	±	C25	103
	InterestAccruedNumberOfDays <IntrstAcrdNbOfDays>	[0..1]	Quantity	C83	104
	CouponNumber <CpnNb>	[0..*]	±		104
	CertificationBreakdownIndicator <CertfctnBrkdwnInd>	[0..1]	Indicator		104
	ChargesAppliedIndicator <ChrgsApldInd>	[0..1]	Indicator		105
	RestrictionIndicator <RstrctnInd>	[0..1]	Indicator		105
	AccruedInterestIndicator <AcrdIntrstInd>	[0..1]	Indicator		105
	LetterOfGuaranteedDeliveryIndicator <LtrOfGrntedDlvryInd>	[0..1]	Indicator		105
	ShareholderRightsDirectiveIndicator <ShrhldrRghtsDrctvInd>	[0..1]	Indicator		105
	DividendType <DvddTp>	[0..1]	±		106
	EventSequenceType <EvtSeqTp>	[0..1]	±		106
	OccurrenceType <OcrncTp>	[0..1]	±		106
	OfferType <OfferTp>	[0..*]	±		106
	RenounceableEntitlementStatusType <RnnclEntitlmntStsTp>	[0..1]	±		107
	EventStage <EvtStag>	[0..*]	±		107
	AdditionalBusinessProcessIndicator <AddtlBizPrclnd>	[0..*]	±		107
	ChangeType <ChngTp>	[0..*]	±		107
	IntermediateSecuritiesDistributionType <IntrmdtScitiesDstrbtp>	[0..1]	±		108
	CapitalGainInOutIndicator <CptlGnlInOutInd>	[0..1]	±		108
	TaxableIncomePerShareCalculated <TaxblIncmPerShrClctd>	[0..1]	±		108
	ElectionType <ElctnTp>	[0..1]	±		109
	LotteryType <LtryTp>	[0..1]	±		109
	CertificationType <CertfctnTp>	[0..1]	±		109
	ConsentType <CnsntTp>	[0..1]	±		109
	InformationType <InfTp>	[0..1]	±		110
	TaxOnNonDistributedProceedsIndicator <TaxOnNonDstrbtdPrclsInd>	[0..*]	±		110
	NewPlaceOfIncorporation <NewPlcOfIncorprtn>	[0..1]	Text		110
	AdditionalInformation <AddtlInf>	[0..1]		C3	110

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Offeror <Offerr>	[0..*]	±		111
	NewCompanyName <NewCpnyNm>	[0..1]	±		111
	URLAddress <URLAdr>	[0..*]	±		111
	EventProcessingWebSiteAddress <EvtPrcgWebSiteAdr>	[0..1]	Text		112

Constraints

- **DissenterRights1Rule**

If OfferType/Code value is equal to DISS (DissenterRights), then OfferType/Code value equal to NDIS (DissenterRightsNotApplicable) is not allowed in any occurrence of OfferType.

(MT 564 NVR C27)

On Condition

/OfferType[*]/Code is equal to value 'DissenterRights'

Following Must be True

/OfferType[*]/Code Must be different from value 'DissenterRightsNotApplicable'

- **DissenterRights2Rule**

If OfferType/Code value is equal to NDISS (DissenterRightsNotApplicable), then OfferType/Code value equal to DISS (DissenterRights) is not allowed in any occurrence of OfferType.

(MT 564 NVR C27)

On Condition

/OfferType[*]/Code is equal to value 'DissenterRightsNotApplicable'

Following Must be True

/OfferType[*]/Code Must be different from value 'DissenterRights'

3.4.10.1 DateDetails <DtDtIs>

Presence: [0..1]

Definition: Provides information about the dates related to a corporate action event.

Impacted by: C31 "EffectiveDateRule"

DateDetails <DtDtls> contains the following **CorporateActionDate74** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AnnouncementDate <AnncmntDt>	[0..1]	±		81
	CertificationDeadline <CertfctnDdln>	[0..1]	±		81
	CourtApprovalDate <CrtApprvlDt>	[0..1]	±		82
	EarlyClosingDate <EarlyClsgDt>	[0..1]	±		82
	EffectiveDate <FctvDt>	[0..1]	±		82
	EqualisationDate <EqulstnDt>	[0..1]	±		82
	FurtherDetailedAnnouncementDate <FrthrDtldAnncmntDt>	[0..1]	±		83
	FixingDate <FxdDt>	[0..1]	±		83
	LotteryDate <LtryDt>	[0..1]	±		83
	NewMaturityDate <NewMtrtyDt>	[0..1]	±		84
	MeetingDate <MtgDt>	[0..1]	±		84
	MarginFixingDate <MrgnFxdDt>	[0..1]	±		84
	ProrationDate <PrratnDt>	[0..1]	±		85
	RecordDate <RcrdDt>	[0..1]	±		85
	RegistrationDeadline <RegnDdln>	[0..1]	±		85
	ResultsPublicationDate <RsultsPblctnDt>	[0..1]	±		86
	DeadlineToSplit <DdlnToSpl>	[0..1]	±		86
	DeadlineForTaxBreakdownInstruction <DdlnForTaxBrkdwInsr>	[0..1]	±		86
	TradingSuspendedDate <TradgSspdDt>	[0..1]	±		87
	UnconditionalDate <UcondlDt>	[0..1]	±		87
	WhollyUnconditionalDate <WhlyUcondlDt>	[0..1]	±		87
	ExDividendDate <ExDvddDt>	[0..1]	±		88
	OfficialAnnouncementPublicationDate <OffclAnncmntPblctnDt>	[0..1]	±		88
	SpecialExDate <SpclExDt>	[0..1]	±		88
	GuaranteedParticipationDate <GrntedPrtpctnDt>	[0..1]	±		89
	ElectionToCounterpartyMarketDeadline <ElctnToCtrPtyMktDdln>	[0..1]	±		89
	ElectionToCounterpartyResponseDeadline <ElctnToCtrPtyRspnDdln>	[0..1]	±		89
	LapsedDate <LpsdDt>	[0..1]	±		90
	PaymentDate <PmtDt>	[0..1]	±		90

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ThirdPartyDeadline <ThrdPtyDdln>	[0..1]	±		90
	EarlyThirdPartyDeadline <EarlyThrdPtyDdln>	[0..1]	±		91
	MarketClaimTrackingEndDate <MktClnTrckgEndDt>	[0..1]	±		91
	LeadPlaintiffDeadline <LeadPlntffDdln>	[0..1]	±		91
	FilingDate <FilgDt>	[0..1]	±		92
	HearingDate <HrgDt>	[0..1]	±		92

Constraints

- **EffectiveDateRule**

Effective date is to be used in events where there is no concept of entitlement, for example Name Change (CHAN) or Place of Incorporation (PLAC), and in events where there is a sense of eligibility but with a legal obligation, for example Merger (MRGR).

3.4.10.1.1 AnnouncementDate <AnncmntDt>

Presence: [0..1]

Definition: Date/time at which the issuer announced that a corporate action event will occur.

AnnouncementDate <AnncmntDt> contains one of the following elements (see "DateFormat49Choice" on page 729 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		730
Or}	DateCode <DtCd>	[1..1]			730
{Or	Code <Cd>	[1..1]	CodeSet		730
Or}	Proprietary <Prtry>	[1..1]	±		730

3.4.10.1.2 CertificationDeadline <CertfctnDdln>

Presence: [0..1]

Definition: Deadline by which the certification must be sent.

CertificationDeadline <CertfctnDdln> contains one of the following elements (see "DateFormat49Choice" on page 729 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		730
Or}	DateCode <DtCd>	[1..1]			730
{Or	Code <Cd>	[1..1]	CodeSet		730
Or}	Proprietary <Prtry>	[1..1]	±		730

3.4.10.1.3 CourtApprovalDate <CrtApprvIDt>*Presence:* [0..1]*Definition:* Date upon which the court provided approval.**CourtApprovalDate <CrtApprvIDt>** contains one of the following elements (see "DateFormat49Choice" on page 729 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		730
Or}	DateCode <DtCd>	[1..1]			730
{Or	Code <Cd>	[1..1]	CodeSet		730
Or}	Proprietary <Prtry>	[1..1]	±		730

3.4.10.1.4 EarlyClosingDate <EarlyClsgDt>*Presence:* [0..1]*Definition:* First possible early closing date of an offer if different from the expiry date.**EarlyClosingDate <EarlyClsgDt>** contains one of the following elements (see "DateFormat49Choice" on page 729 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		730
Or}	DateCode <DtCd>	[1..1]			730
{Or	Code <Cd>	[1..1]	CodeSet		730
Or}	Proprietary <Prtry>	[1..1]	±		730

3.4.10.1.5 EffectiveDate <FctvDt>*Presence:* [0..1]*Definition:* Date/time at which an event is officially effective from the issuer's perspective.**EffectiveDate <FctvDt>** contains one of the following elements (see "DateFormat49Choice" on page 729 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		730
Or}	DateCode <DtCd>	[1..1]			730
{Or	Code <Cd>	[1..1]	CodeSet		730
Or}	Proprietary <Prtry>	[1..1]	±		730

3.4.10.1.6 EqualisationDate <EqualstnDt>*Presence:* [0..1]

Definition: Date/time on which all or part of any holding bought in a unit trust is subject to being treated as capital rather than income. This is normally one day after the previous distribution's ex date.

EqualisationDate <EquilstnDt> contains one of the following elements (see "DateFormat49Choice" on page 729 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		730
Or}	DateCode <DtCd>	[1..1]			730
{Or	Code <Cd>	[1..1]	CodeSet		730
Or}	Proprietary <Prtry>	[1..1]	±		730

3.4.10.1.7 FurtherDetailedAnnouncementDate <FrthrDtldAnncmntDt>

Presence: [0..1]

Definition: Date/time at which additional information on the event will be announced, for example, exchange ratio announcement date.

FurtherDetailedAnnouncementDate <FrthrDtldAnncmntDt> contains one of the following elements (see "DateFormat49Choice" on page 729 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		730
Or}	DateCode <DtCd>	[1..1]			730
{Or	Code <Cd>	[1..1]	CodeSet		730
Or}	Proprietary <Prtry>	[1..1]	±		730

3.4.10.1.8 FixingDate <FxdDt>

Presence: [0..1]

Definition: Date/time at which an index / rate / price / value will be determined.

FixingDate <FxdDt> contains one of the following elements (see "DateFormat49Choice" on page 729 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		730
Or}	DateCode <DtCd>	[1..1]			730
{Or	Code <Cd>	[1..1]	CodeSet		730
Or}	Proprietary <Prtry>	[1..1]	±		730

3.4.10.1.9 LotteryDate <LtryDt>

Presence: [0..1]

Definition: Date/time on which the lottery is run and applied to the holder's positions. This is also applicable to partial calls.

LotteryDate <LtryDt> contains one of the following elements (see "DateFormat49Choice" on page 729 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		730
Or}	DateCode <DtCd>	[1..1]			730
{Or	Code <Cd>	[1..1]	CodeSet		730
Or}	Proprietary <Prtry>	[1..1]	±		730

3.4.10.1.10 NewMaturityDate <NewMtrtyDt>

Presence: [0..1]

Definition: Date/time to which the maturity date of an interest bearing security is extended.

NewMaturityDate <NewMtrtyDt> contains one of the following elements (see "DateFormat49Choice" on page 729 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		730
Or}	DateCode <DtCd>	[1..1]			730
{Or	Code <Cd>	[1..1]	CodeSet		730
Or}	Proprietary <Prtry>	[1..1]	±		730

3.4.10.1.11 MeetingDate <MtgDt>

Presence: [0..1]

Definition: Date/time on which the bondholder's or shareholder's meeting will take place.

MeetingDate <MtgDt> contains one of the following elements (see "DateFormat49Choice" on page 729 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		730
Or}	DateCode <DtCd>	[1..1]			730
{Or	Code <Cd>	[1..1]	CodeSet		730
Or}	Proprietary <Prtry>	[1..1]	±		730

3.4.10.1.12 MarginFixingDate <MrgnFxdDt>

Presence: [0..1]

Definition: Date/time at which the margin rate will be determined.

MarginFixingDate <MrgnFxdDt> contains one of the following elements (see "DateFormat49Choice" on page 729 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		730
Or}	DateCode <DtCd>	[1..1]			730
{Or	Code <Cd>	[1..1]	CodeSet		730
Or}	Proprietary <Prtry>	[1..1]	±		730

3.4.10.1.13 ProrationDate <PrratnDt>

Presence: [0..1]

Definition: Date/time (and time) at which an issuer will determine the proration amount/quantity of an offer.

ProrationDate <PrratnDt> contains one of the following elements (see "DateFormat49Choice" on page 729 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		730
Or}	DateCode <DtCd>	[1..1]			730
{Or	Code <Cd>	[1..1]	CodeSet		730
Or}	Proprietary <Prtry>	[1..1]	±		730

3.4.10.1.14 RecordDate <RcrdDt>

Presence: [0..1]

Definition: Date/time at which positions are struck at the end of the day to note which parties will receive the relevant amount of entitlement, due to be distributed on payment date.

RecordDate <RcrdDt> contains one of the following elements (see "DateFormat49Choice" on page 729 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		730
Or}	DateCode <DtCd>	[1..1]			730
{Or	Code <Cd>	[1..1]	CodeSet		730
Or}	Proprietary <Prtry>	[1..1]	±		730

3.4.10.1.15 RegistrationDeadline <RegnDdln>

Presence: [0..1]

Definition: Date/time on which instructions to register or registration details will be accepted.

RegistrationDeadline <RegnDdln> contains one of the following elements (see "DateFormat49Choice" on page 729 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		730
Or}	DateCode <DtCd>	[1..1]			730
{Or	Code <Cd>	[1..1]	CodeSet		730
Or}	Proprietary <Prtry>	[1..1]	±		730

3.4.10.1.16 ResultsPublicationDate <RsltsPblctnDt>

Presence: [0..1]

Definition: Date/time on which results are published, for example, results of an offer.

ResultsPublicationDate <RsltsPblctnDt> contains one of the following elements (see "DateFormat49Choice" on page 729 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		730
Or}	DateCode <DtCd>	[1..1]			730
{Or	Code <Cd>	[1..1]	CodeSet		730
Or}	Proprietary <Prtry>	[1..1]	±		730

3.4.10.1.17 DeadlineToSplit <DdlnToSpl>

Presence: [0..1]

Definition: Deadline by which instructions must be received to split securities, for example, of physical certificates.

DeadlineToSplit <DdlnToSpl> contains one of the following elements (see "DateFormat49Choice" on page 729 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		730
Or}	DateCode <DtCd>	[1..1]			730
{Or	Code <Cd>	[1..1]	CodeSet		730
Or}	Proprietary <Prtry>	[1..1]	±		730

3.4.10.1.18 DeadlineForTaxBreakdownInstruction <DdlnForTaxBrkdwnInstr>

Presence: [0..1]

Definition: Date/time on until which tax breakdown instructions will be accepted.

DeadlineForTaxBreakdownInstruction <DdlnForTaxBrkdwnInstr> contains one of the following elements (see "DateFormat49Choice" on page 729 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		730
Or}	DateCode <DtCd>	[1..1]			730
{Or	Code <Cd>	[1..1]	CodeSet		730
Or}	Proprietary <Prtry>	[1..1]	±		730

3.4.10.1.19 TradingSuspendedDate <TradgSspdDt>

Presence: [0..1]

Definition: Date/time at which trading of a security is suspended as the result of an event.

TradingSuspendedDate <TradgSspdDt> contains one of the following elements (see "DateFormat49Choice" on page 729 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		730
Or}	DateCode <DtCd>	[1..1]			730
{Or	Code <Cd>	[1..1]	CodeSet		730
Or}	Proprietary <Prtry>	[1..1]	±		730

3.4.10.1.20 UnconditionalDate <UcondIDt>

Presence: [0..1]

Definition: Date/time upon which the terms of the take-over become unconditional as to acceptances.

UnconditionalDate <UcondIDt> contains one of the following elements (see "DateFormat49Choice" on page 729 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		730
Or}	DateCode <DtCd>	[1..1]			730
{Or	Code <Cd>	[1..1]	CodeSet		730
Or}	Proprietary <Prtry>	[1..1]	±		730

3.4.10.1.21 WhollyUnconditionalDate <WhlyUcondIDt>

Presence: [0..1]

Definition: Date/time at on which all conditions, including regulatory, legal etc. pertaining to the take-over, have been met.

WhollyUnconditionalDate <WhlyUcondIDt> contains one of the following elements (see "DateFormat49Choice" on page 729 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		730
Or}	DateCode <DtCd>	[1..1]			730
{Or	Code <Cd>	[1..1]	CodeSet		730
Or}	Proprietary <Prtry>	[1..1]	±		730

3.4.10.1.22 ExDividendDate <ExDvddDt>

Presence: [0..1]

Definition: Date/time as from which trading (including exchange and OTC trading) occurs on the underlying security without the benefit.

ExDividendDate <ExDvddDt> contains one of the following elements (see "DateFormat49Choice" on page 729 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		730
Or}	DateCode <DtCd>	[1..1]			730
{Or	Code <Cd>	[1..1]	CodeSet		730
Or}	Proprietary <Prtry>	[1..1]	±		730

3.4.10.1.23 OfficialAnnouncementPublicationDate <OffclAnncmntPblctnDt>

Presence: [0..1]

Definition: Date/time at which the corporate action is legally announced by an official body, for example, publication by a governmental administration.

OfficialAnnouncementPublicationDate <OffclAnncmntPblctnDt> contains one of the following elements (see "DateFormat49Choice" on page 729 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		730
Or}	DateCode <DtCd>	[1..1]			730
{Or	Code <Cd>	[1..1]	CodeSet		730
Or}	Proprietary <Prtry>	[1..1]	±		730

3.4.10.1.24 SpecialExDate <SpclExDt>

Presence: [0..1]

Definition: Date/time from which 'special processing' can start to be used by participants for that event. Special processing is a means of marking a transaction, that would normally be traded ex or cum, as

being traded cum or ex respectively, for example, a transaction dealt 'special' after the ex date would result in the buyer being eligible for the entitlement. This is typically used in the UK and Irish markets.

SpecialExDate <SpclExDt> contains one of the following elements (see "DateFormat49Choice" on page 729 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		730
Or}	DateCode <DtCd>	[1..1]			730
{Or	Code <Cd>	[1..1]	CodeSet		730
Or}	Proprietary <Prtry>	[1..1]	±		730

3.4.10.1.25 GuaranteedParticipationDate <GrntedPrtcptnDt>

Presence: [0..1]

Definition: Last date/time by which a buying counterparty to a trade can be sure that it will have the right to participate in an event.

GuaranteedParticipationDate <GrntedPrtcptnDt> contains one of the following elements (see "DateFormat49Choice" on page 729 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		730
Or}	DateCode <DtCd>	[1..1]			730
{Or	Code <Cd>	[1..1]	CodeSet		730
Or}	Proprietary <Prtry>	[1..1]	±		730

3.4.10.1.26 ElectionToCounterpartyMarketDeadline <ElctnToCtrPtyMktDdln>

Presence: [0..1]

Definition: Deadline by which an entitled holder needs to advise their counterparty to a transaction of their election for a corporate action event, also known as Buyer Protection Deadline.

ElectionToCounterpartyMarketDeadline <ElctnToCtrPtyMktDdln> contains one of the following elements (see "DateFormat49Choice" on page 729 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		730
Or}	DateCode <DtCd>	[1..1]			730
{Or	Code <Cd>	[1..1]	CodeSet		730
Or}	Proprietary <Prtry>	[1..1]	±		730

3.4.10.1.27 ElectionToCounterpartyResponseDeadline <ElctnToCtrPtyRspnDdln>

Presence: [0..1]

Definition: Date/time the account servicer has set as the deadline to respond, with instructions, prior to the election to counterparty market deadline.

ElectionToCounterpartyResponseDeadline <ElctnToCtrPtyRspnDdln> contains one of the following elements (see "DateFormat49Choice" on page 729 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		730
Or}	DateCode <DtCd>	[1..1]			730
{Or	Code <Cd>	[1..1]	CodeSet		730
Or}	Proprietary <Prtry>	[1..1]	±		730

3.4.10.1.28 LapsedDate <LpsdDt>

Presence: [0..1]

Definition: Date/time at which an event/offer is terminated or lapsed.

LapsedDate <LpsdDt> contains one of the following elements (see "DateFormat49Choice" on page 729 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		730
Or}	DateCode <DtCd>	[1..1]			730
{Or	Code <Cd>	[1..1]	CodeSet		730
Or}	Proprietary <Prtry>	[1..1]	±		730

3.4.10.1.29 PaymentDate <PmtDt>

Presence: [0..1]

Definition: Date/time at which the movement is due to take place (cash and/or securities).

PaymentDate <PmtDt> contains one of the following elements (see "DateFormat49Choice" on page 729 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		730
Or}	DateCode <DtCd>	[1..1]			730
{Or	Code <Cd>	[1..1]	CodeSet		730
Or}	Proprietary <Prtry>	[1..1]	±		730

3.4.10.1.30 ThirdPartyDeadline <ThrdPtyDdln>

Presence: [0..1]

Definition: Date/time by which the account owner must instruct directly another party, for example, to provide documentation to an issuer agent.

ThirdPartyDeadline <ThrdPtyDdln> contains one of the following elements (see "DateFormat49Choice" on page 729 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		730
Or}	DateCode <DtCd>	[1..1]			730
{Or	Code <Cd>	[1..1]	CodeSet		730
Or}	Proprietary <Prtry>	[1..1]	±		730

3.4.10.1.31 EarlyThirdPartyDeadline <EarlyThrdPtyDdln>

Presence: [0..1]

Definition: Date/Time set by the issuer agent as a first early deadline by which the account owner must instruct directly another party, possibly giving the holder eligibility to incentives. For example, to provide documentation to an issuer agent.

EarlyThirdPartyDeadline <EarlyThrdPtyDdln> contains one of the following elements (see "DateFormat49Choice" on page 729 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		730
Or}	DateCode <DtCd>	[1..1]			730
{Or	Code <Cd>	[1..1]	CodeSet		730
Or}	Proprietary <Prtry>	[1..1]	±		730

3.4.10.1.32 MarketClaimTrackingEndDate <MktCImTrckgEndDt>

Presence: [0..1]

Definition: Date by which the depository stops monitoring activities of the event, for instance, accounting and tracking activities for due bills end.

MarketClaimTrackingEndDate <MktCImTrckgEndDt> contains one of the following elements (see "DateFormat49Choice" on page 729 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		730
Or}	DateCode <DtCd>	[1..1]			730
{Or	Code <Cd>	[1..1]	CodeSet		730
Or}	Proprietary <Prtry>	[1..1]	±		730

3.4.10.1.33 LeadPlaintiffDeadline <LeadPlntffDdln>

Presence: [0..1]

Definition: Last day an investor can become a lead plaintiff.

LeadPlaintiffDeadline <LeadPlntffDdln> contains one of the following elements (see "DateFormat49Choice" on page 729 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		730
Or}	DateCode <DtCd>	[1..1]			730
{Or	Code <Cd>	[1..1]	CodeSet		730
Or}	Proprietary <Prtry>	[1..1]	±		730

3.4.10.1.34 FilingDate <FilgDt>

Presence: [0..1]

Definition: Date on which the action was filed at the applicable court.

FilingDate <FilgDt> contains one of the following elements (see "DateFormat41Choice" on page 723 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		723
Or}	DateCode <DtCd>	[1..1]			723
{Or	Code <Cd>	[1..1]	CodeSet		723
Or}	Proprietary <Prtry>	[1..1]	±		724

3.4.10.1.35 HearingDate <HrgDt>

Presence: [0..1]

Definition: Date for the hearing between the plaintiff and defendant, as set by the court.

HearingDate <HrgDt> contains one of the following elements (see "DateFormat41Choice" on page 723 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		723
Or}	DateCode <DtCd>	[1..1]			723
{Or	Code <Cd>	[1..1]	CodeSet		723
Or}	Proprietary <Prtry>	[1..1]	±		724

3.4.10.2 PeriodDetails <PrdDtIs>

Presence: [0..1]

Definition: Provides information about the periods related to a corporate action event.

Impacted by: C55 "InterestPeriodRule"

PeriodDetails <PrdDtls> contains the following elements (see "[CorporateActionPeriod15](#)" on page 717 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PriceCalculationPeriod <PricClctnPrd>	[0..1]	±		718
	InterestPeriod <IntrstPrd>	[0..1]	±		719
	CompulsoryPurchasePeriod <CmplsrPurchsPrd>	[0..1]	±		719
	ClaimPeriod <ClmPrd>	[0..1]	±		719
	DepositorySuspensionPeriodForBookEntryTransfer <DpstrySspnsnPrdForBookNtryTrf>	[0..1]	±		719
	DepositorySuspensionPeriodForDepositAtAgent <DpstrySspnsnPrdForDpstAtAgt>	[0..1]	±		720
	DepositorySuspensionPeriodForDeposit <DpstrySspnsnPrdForDpst>	[0..1]	±		720
	DepositorySuspensionPeriodForPledge <DpstrySspnsnPrdForPldg>	[0..1]	±		720
	DepositorySuspensionPeriodForSegregation <DpstrySspnsnPrdForSgrtn>	[0..1]	±		721
	DepositorySuspensionPeriodForWithdrawalAtAgent <DpstrySspnsnPrdForWdrwlAtAgt>	[0..1]	±		721
	DepositorySuspensionPeriodForWithdrawalInNomineeName <DpstrySspnsnPrdForWdrwlInNmneeNm>	[0..1]	±		721
	DepositorySuspensionPeriodForWithdrawalInStreetName <DpstrySspnsnPrdForWdrwlInStrtNm>	[0..1]	±		721
	BookClosurePeriod <BookClsrPrd>	[0..1]	±		722
	CoDepositoriesSuspensionPeriod <CoDpstriesSspnsnPrd>	[0..1]	±		722
	SplitPeriod <SpltPrd>	[0..1]	±		722

Constraints

- **InterestPeriodRule**

InterestPeriod must be used only if it is different from a normal accrued interest period.

3.4.10.3 RateAndAmountDetails <RateAndAmtDtls>

Presence: [0..1]

Definition: Provides information about rates and amounts related to a corporate action event.

Impacted by: [C18 "DeclaredRateRule"](#)

RateAndAmountDetails <RateAndAmtDtls> contains the following **CorporateActionRate110** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	InterestRate <IntrstRate>	[0..1]	±		94
	PercentageSought <PctgSght>	[0..1]	±		94
	RelatedIndex <RltdIndx>	[0..1]	±		95
	Spread <Sprd>	[0..1]	±		95
	BidInterval <BidIntrvl>	[0..1]	±		95
	PreviousFactor <PrvsFctr>	[0..1]	±		96
	NextFactor <NxtFctr>	[0..1]	±		96
	ReinvestmentDiscountRateToMarket <RinvstmtDscntRateToMkt>	[0..1]	±		96
	InterestShortfall <IntrstShrtfll>	[0..1]	±		96
	RealisedLoss <RealsdLoss>	[0..1]	±		97
	DeclaredRate <DclrdRate>	[0..1]	±		97
	IndexFactor <IndxFctr>	[0..1]	±		97

Constraints

- **DeclaredRateRule**

DeclaredRate may only be used if the dividend or interest declared by the issuer is actually paid in a different currency than the declared one or if the dividend or the interest event makes it possible to elect amongst multiple currency options.

3.4.10.3.1 InterestRate <IntrstRate>

Presence: [0..1]

Definition: Annualised interest rate of a financial instrument used to calculate the actual interest rate of the coupon or the accrued interest.

InterestRate <IntrstRate> contains one of the following elements (see ["RateAndAmountFormat46Choice"](#) on page 1040 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1040
Or	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		1040
Or}	Amount <Amt>	[1..1]	Amount	C1	1041

3.4.10.3.2 PercentageSought <PctgSght>

Presence: [0..1]

Definition: Percentage of securities the offeror/issuer will purchase or redeem under the terms of the event.

PercentageSought <PctgSght> contains one of the following elements (see "[RateFormat7Choice](#)" on page 1043 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1043
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		1043

3.4.10.3.3 RelatedIndex <RltdIndx>

Presence: [0..1]

Definition: Index rate related to the interest rate of the forthcoming interest payment.

RelatedIndex <RltdIndx> contains one of the following elements (see "[RateFormat3Choice](#)" on page 987 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		987
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		987

3.4.10.3.4 Spread <Sprd>

Presence: [0..1]

Definition: Margin allowed over or under a given rate.

Spread <Sprd> contains one of the following elements (see "[RateFormat3Choice](#)" on page 987 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		987
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		987

3.4.10.3.5 BidInterval <BidIntrvl>

Presence: [0..1]

Definition: Acceptable price increment used for submitting a bid.

BidInterval <BidIntrvl> contains one of the following elements (see "[RateAndAmountFormat50Choice](#)" on page 881 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		882
Or	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		882
Or	Amount <Amt>	[1..1]	Amount	C1	882
Or}	IndexPoints <IndxPts>	[1..1]	Quantity		882

3.4.10.3.6 PreviousFactor <PrvsFctr>*Presence:* [0..1]*Definition:* Factor used to calculate the value of the outstanding principal of the financial instrument (for factored securities) until the next redemption (factor) date.**PreviousFactor <PrvsFctr>** contains one of the following elements (see "RateFormat12Choice" on page 913 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		914
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		914

3.4.10.3.7 NextFactor <NxtFctr>*Presence:* [0..1]*Definition:* Factor used to calculate the value of the outstanding principal of the financial instrument (for factored securities) that will applicable after the redemption (factor) date.**NextFactor <NxtFctr>** contains one of the following elements (see "RateFormat12Choice" on page 913 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		914
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		914

3.4.10.3.8 ReinvestmentDiscountRateToMarket <RinvstmtDscntRateToMkt>*Presence:* [0..1]*Definition:* Rate of discount for securities purchased through a reinvestment scheme as compared to the current market price of security.**ReinvestmentDiscountRateToMarket <RinvstmtDscntRateToMkt>** contains one of the following elements (see "RateFormat3Choice" on page 987 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		987
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		987

3.4.10.3.9 InterestShortfall <IntrstShrtfll>*Presence:* [0..1]*Definition:* For structured security issues where there is a set schedule of principal and interest payments for the life of the issue, this is the difference between the actual rate of the interest payment and the expected or scheduled rate of the interest payment.

InterestShortfall <IntrstShrtfll> contains one of the following elements (see "RateAndAmountFormat43Choice" on page 1039 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1040
Or}	Amount <Amt>	[1..1]	Amount	C1	1040

3.4.10.3.10 RealisedLoss <RealsdLoss>

Presence: [0..1]

Definition: For structured security issues where there is a set schedule of principal and interest payments for the life of the issue, this is the difference between the actual rate of the capital or principal repayment and the scheduled capital repayment.

RealisedLoss <RealsdLoss> contains one of the following elements (see "RateAndAmountFormat43Choice" on page 1039 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1040
Or}	Amount <Amt>	[1..1]	Amount	C1	1040

3.4.10.3.11 DeclaredRate <DclrdRate>

Presence: [0..1]

Definition: Dividend or interest rate declared by the issuer.

DeclaredRate <DclrdRate> contains one of the following elements (see "RateAndAmountFormat43Choice" on page 1039 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1040
Or}	Amount <Amt>	[1..1]	Amount	C1	1040

3.4.10.3.12 IndexFactor <IndxFctr>

Presence: [0..1]

Definition: Public index rate applied to the amount paid to adjust it to inflation.

IndexFactor <IndxFctr> contains one of the following elements (see "RateAndAmountFormat37Choice" on page 1042 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1042
Or	NotSpecifiedRate <NotSpctdRate>	[1..1]	CodeSet		1042
Or}	Amount <Amt>	[1..1]	Amount	C1	1042

3.4.10.4 PriceDetails <PricDtls>*Presence:* [0..1]*Definition:* Provides information about the prices related to a corporate action event.**PriceDetails <PricDtls>** contains the following **CorporateActionPrice80** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	MaximumPrice <MaxPric>	[0..1]	±		98
	MinimumPrice <MinPric>	[0..1]	±		98
	FirstBidIncrementPrice <FrstBidIncrmtPric>	[0..1]	±		99
	LastBidIncrementPrice <LastBidIncrmtPric>	[0..1]	±		99

3.4.10.4.1 MaximumPrice <MaxPric>*Presence:* [0..1]*Definition:* Maximum or cap price at which a holder can bid, for example, on a Dutch auction offer.**MaximumPrice <MaxPric>** contains one of the following elements (see "[PriceFormat59Choice](#)" on page 969 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	PercentagePrice <PctgPric>	[1..1]			969
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		969
	PriceValue <PricVal>	[1..1]	Rate		970
Or	AmountPrice <AmtPric>	[1..1]	±		970
Or	NotSpecifiedPrice <NotSpcfdPric>	[1..1]	CodeSet		970
Or}	IndexPoints <IndxPts>	[1..1]	Quantity		970

3.4.10.4.2 MinimumPrice <MinPric>*Presence:* [0..1]*Definition:* Minimum or floor price at which a holder can bid, for example, on a Dutch auction offer.

MinimumPrice <MinPric> contains one of the following elements (see "PriceFormat59Choice" on page 969 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	PercentagePrice <PctgPric>	[1..1]			969
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		969
	PriceValue <PricVal>	[1..1]	Rate		970
Or	AmountPrice <AmtPric>	[1..1]	±		970
Or	NotSpecifiedPrice <NotSpctdPric>	[1..1]	CodeSet		970
Or}	IndexPoints <IndxPts>	[1..1]	Quantity		970

3.4.10.4.3 FirstBidIncrementPrice <FrstBidIncrmtPric>

Presence: [0..1]

Definition: First acceptable price after the Minimum Price at which a holder can bid, for example on a Dutch auction offer.

FirstBidIncrementPrice <FrstBidIncrmtPric> contains one of the following elements (see "PriceFormat59Choice" on page 969 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	PercentagePrice <PctgPric>	[1..1]			969
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		969
	PriceValue <PricVal>	[1..1]	Rate		970
Or	AmountPrice <AmtPric>	[1..1]	±		970
Or	NotSpecifiedPrice <NotSpctdPric>	[1..1]	CodeSet		970
Or}	IndexPoints <IndxPts>	[1..1]	Quantity		970

3.4.10.4.4 LastBidIncrementPrice <LastBidIncrmtPric>

Presence: [0..1]

Definition: Last acceptable price before the Maximum Price at which a holder can bid, for example on a Dutch auction offer.

LastBidIncrementPrice <LastBidIncrmtPric> contains one of the following elements (see "PriceFormat59Choice" on page 969 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	PercentagePrice <PctgPric>	[1..1]			969
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		969
	PriceValue <PricVal>	[1..1]	Rate		970
Or	AmountPrice <AmtPric>	[1..1]	±		970
Or	NotSpecifiedPrice <NotSpctdPric>	[1..1]	CodeSet		970
Or}	IndexPoints <IndxPts>	[1..1]	Quantity		970

3.4.10.5 SecuritiesQuantity <SctiesQty>

Presence: [0..1]

Definition: Provides information about securities quantity linked to a corporate action.

Impacted by: C70 "MaximumAndMinimumQuantitySoughtUsageRule"

SecuritiesQuantity <SctiesQty> contains the following **CorporateActionQuantity14** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	MaximumQuantity <MaxQty>	[0..1]	±	C24	100
	MinimumQuantitySought <MinQtySght>	[0..1]	±	C24	101
	NewBoardLotQuantity <NewBrdLotQty>	[0..1]	±	C25	101
	NewDenominationQuantity <NewDnmtnQty>	[0..1]	±	C25	102
	BaseDenomination <BaseDnmtn>	[0..1]	±	C25	103
	IncrementalDenomination <IncrmtlDnmtn>	[0..1]	±	C25	103

Constraints

- **MaximumAndMinimumQuantitySoughtUsageRule**

When MaximumQuantity is present, MinimumQuantitySought must be present too. When MinimumQuantitySought is present, MaximumQuantity must be present too.

When MinimumQuantitySought/Code is AnyAndAll, then MaximumQuantity/Code must not be AnyAndAll.

When MaximumQuantity/Code is AnyAndAll, MinimumQuantitySought/code must not be used with the value AnyAndAll.

3.4.10.5.1 MaximumQuantity <MaxQty>

Presence: [0..1]

Definition: The maximum number of securities the offeror/issuer is ready to purchase or redeem. This can be a number or the term "any and all".

Impacted by: C24 "DigitalTokenUnitUsageRule"

MaximumQuantity <MaxQty> contains one of the following elements (see "FinancialInstrumentQuantity44Choice" on page 983 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		984
Or	FaceAmount <FaceAmt>	[1..1]	Amount		984
Or	AmortisedValue <AmtsdVal>	[1..1]	Amount		984
Or	Code <Cd>	[1..1]	CodeSet		984
Or}	DigitalTokenUnit <DgtlTknUnit>	[1..1]	Quantity		984

Constraints

- **DigitalTokenUnitUsageRule**

DigitalTokenUnit format may only be used for instruments identified with a digital token identifier and when the related account identification is provided as a blockchain address or wallet identification (using BlockChainAddressOrWallet element as the account identification).

3.4.10.5.2 MinimumQuantitySought <MinQtySght>

Presence: [0..1]

Definition: Minimum quantity of securities the offeror/issuer is ready to purchase or redeem under the terms of the event. This can be a number or the term "any and all".

Impacted by: C24 "DigitalTokenUnitUsageRule"

MinimumQuantitySought <MinQtySght> contains one of the following elements (see "FinancialInstrumentQuantity44Choice" on page 983 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		984
Or	FaceAmount <FaceAmt>	[1..1]	Amount		984
Or	AmortisedValue <AmtsdVal>	[1..1]	Amount		984
Or	Code <Cd>	[1..1]	CodeSet		984
Or}	DigitalTokenUnit <DgtlTknUnit>	[1..1]	Quantity		984

Constraints

- **DigitalTokenUnitUsageRule**

DigitalTokenUnit format may only be used for instruments identified with a digital token identifier and when the related account identification is provided as a blockchain address or wallet identification (using BlockChainAddressOrWallet element as the account identification).

3.4.10.5.3 NewBoardLotQuantity <NewBrdLotQty>

Presence: [0..1]

Definition: Quantity of equity that makes up the new board lot.

Impacted by: C25 "DigitalTokenUnitUsageRule"

NewBoardLotQuantity <NewBrdLotQty> contains one of the following elements (see "FinancialInstrumentQuantity43Choice" on page 984 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		985
Or	FaceAmount <FaceAmt>	[1..1]	Amount		985
Or	AmortisedValue <AmtsdVal>	[1..1]	Amount		985
Or	Code <Cd>	[1..1]	CodeSet		985
Or}	DigitalTokenUnit <DgtlTknUnit>	[1..1]	Quantity		985

Constraints

- **DigitalTokenUnitUsageRule**

DigitalTokenUnit format may only be used for instruments identified with a digital token identifier and when the related account identification is provided as a blockchain address or wallet identification (using BlockChainAddressOrWallet element as the account identification).

3.4.10.5.4 NewDenominationQuantity <NewDnmtnQty>

Presence: [0..1]

Definition: New denomination of the equity following, for example, an increase or decrease in nominal value.

Impacted by: C25 "DigitalTokenUnitUsageRule"

NewDenominationQuantity <NewDnmtnQty> contains one of the following elements (see "FinancialInstrumentQuantity43Choice" on page 984 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		985
Or	FaceAmount <FaceAmt>	[1..1]	Amount		985
Or	AmortisedValue <AmtsdVal>	[1..1]	Amount		985
Or	Code <Cd>	[1..1]	CodeSet		985
Or}	DigitalTokenUnit <DgtlTknUnit>	[1..1]	Quantity		985

Constraints

- **DigitalTokenUnitUsageRule**

DigitalTokenUnit format may only be used for instruments identified with a digital token identifier and when the related account identification is provided as a blockchain address or wallet identification (using BlockChainAddressOrWallet element as the account identification).

3.4.10.5.5 BaseDenomination <BaseDnmtn>

Presence: [0..1]

Definition: Minimum integral amount of securities that each account owner must have remaining after the called amounts are applied.

Impacted by: C25 "DigitalTokenUnitUsageRule"

BaseDenomination <BaseDnmtn> contains one of the following elements (see "FinancialInstrumentQuantity43Choice" on page 984 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		985
Or	FaceAmount <FaceAmt>	[1..1]	Amount		985
Or	AmortisedValue <AmtsdVal>	[1..1]	Amount		985
Or	Code <Cd>	[1..1]	CodeSet		985
Or}	DigitalTokenUnit <DgtlTknUnit>	[1..1]	Quantity		985

Constraints

- **DigitalTokenUnitUsageRule**

DigitalTokenUnit format may only be used for instruments identified with a digital token identifier and when the related account identification is provided as a blockchain address or wallet identification (using BlockChainAddressOrWallet element as the account identification).

3.4.10.5.6 IncrementalDenomination <IncrmtlDnmtn>

Presence: [0..1]

Definition: Amount used when the called amount is not met by running the lottery with the base denomination.

Impacted by: C25 "DigitalTokenUnitUsageRule"

IncrementalDenomination <IncrmtlDnmtn> contains one of the following elements (see "FinancialInstrumentQuantity43Choice" on page 984 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		985
Or	FaceAmount <FaceAmt>	[1..1]	Amount		985
Or	AmortisedValue <AmtsdVal>	[1..1]	Amount		985
Or	Code <Cd>	[1..1]	CodeSet		985
Or}	DigitalTokenUnit <DgtlTknUnit>	[1..1]	Quantity		985

Constraints

- **DigitalTokenUnitUsageRule**

DigitalTokenUnit format may only be used for instruments identified with a digital token identifier and when the related account identification is provided as a blockchain address or wallet identification (using BlockChainAddressOrWallet element as the account identification).

3.4.10.6 InterestAccruedNumberOfDays <IntrstAcrdNbOfDays>

Presence: [0..1]

Definition: Number of days used for calculating the accrued interest amount.

Impacted by: C83 "NumberRule"

Datatype: "Max3Number" on page 1171

Constraints

- **NumberRule**

If Number is negative, then Sign must be present.

3.4.10.7 CouponNumber <CpnNb>

Presence: [0..*]

Definition: Number of the coupon attached/associated with a security.

CouponNumber <CpnNb> contains one of the following elements (see "IdentificationFormat4Choice" on page 900 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	ShortIdentification <ShrtId>	[1..1]	Text		900
Or	LongIdentification <LngId>	[1..1]	Text		901
Or}	ProprietaryIdentification <PrtryId>	[1..1]	±		901

3.4.10.8 CertificationBreakdownIndicator <CertfctnBrkdwnInd>

Presence: [0..1]

Definition: Indicates whether certification/breakdown is required.

Yes = certification required.

No = no certification required.

Datatype: One of the following values must be used (see ["YesNoIndicator" on page 1171](#)):

- *Meaning When True:* Yes
- *Meaning When False:* No

3.4.10.9 ChargesAppliedIndicator <ChrgsApplInd>

Presence: [0..1]

Definition: Indicates whether charges apply to the holder, for instance redemption charges.

Datatype: One of the following values must be used (see ["YesNoIndicator" on page 1171](#)):

- *Meaning When True:* Yes
- *Meaning When False:* No

3.4.10.10 RestrictionIndicator <RstrctnInd>

Presence: [0..1]

Definition: Indicates whether restrictions apply to the corporate action event or not.

Datatype: One of the following values must be used (see ["YesNoIndicator" on page 1171](#)):

- *Meaning When True:* Yes
- *Meaning When False:* No

3.4.10.11 AccruedInterestIndicator <AcrdIntrstInd>

Presence: [0..1]

Definition: Indicates whether the holder is entitled to accrued interest.

Datatype: One of the following values must be used (see ["YesNoIndicator" on page 1171](#)):

- *Meaning When True:* Yes
- *Meaning When False:* No

3.4.10.12 LetterOfGuaranteedDeliveryIndicator <LtrOfGrntedDlvryInd>

Presence: [0..1]

Definition: Indicates whether a letter of guaranteed delivery can be submitted in order to participate in the offer on full eligible position. It is not intended for use in situations arising from failed or late trades.

Datatype: One of the following values must be used (see ["YesNoIndicator" on page 1171](#)):

- *Meaning When True:* Yes
- *Meaning When False:* No

3.4.10.13 ShareholderRightsDirectiveIndicator <ShrhldrRghtsDrctvInd>

Presence: [0..1]

Definition: Indicates whether the announcement was initiated by the first intermediary in the custody chain in accordance with SRD II.

Datatype: One of the following values must be used (see ["YesNoIndicator"](#) on page 1171):

- *Meaning When True:* Yes
- *Meaning When False:* No

3.4.10.14 DividendType <DvddTp>

Presence: [0..1]

Definition: Specifies the conditions in which a dividend is paid.

DividendType <DvddTp> contains one of the following elements (see ["DividendTypeFormat10Choice"](#) on page 899 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		900
Or}	Proprietary <Prtry>	[1..1]	±		900

3.4.10.15 EventSequenceType <EvtSeqTp>

Presence: [0..1]

Definition: Specifies whether the event is an interim or a final event in a series of predefined or planned events of the same type and for the same underlying instrument.

EventSequenceType <EvtSeqTp> contains one of the following elements (see ["EventSequenceTypeFormat2Choice"](#) on page 855 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		855
Or}	Proprietary <Prtry>	[1..1]	±		855

3.4.10.16 OccurrenceType <OcrncTp>

Presence: [0..1]

Definition: Specifies the conditions in which the instructions and/or payment of the proceeds occurs.

OccurrenceType <OcrncTp> contains one of the following elements (see ["DistributionTypeFormat8Choice"](#) on page 899 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		899
Or}	Proprietary <Prtry>	[1..1]	±		899

3.4.10.17 OfferType <OfferTp>

Presence: [0..*]

Definition: Specifies the conditions that apply to the offer.

OfferType <OfferTp> contains one of the following elements (see "[OfferTypeFormat13Choice](#)" on page 797 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		797
Or}	Proprietary <Prtry>	[1..1]	±		798

3.4.10.18 RenounceableEntitlementStatusType <RnnclEntitlmtStsTp>

Presence: [0..1]

Definition: Specifies whether terms of the event allow resale of the rights.

RenounceableEntitlementStatusType <RnnclEntitlmtStsTp> contains one of the following elements (see "[RenounceableEntitlementStatusTypeFormat4Choice](#)" on page 902 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		902
Or}	Proprietary <Prtry>	[1..1]	±		902

3.4.10.19 EventStage <EvtStag>

Presence: [0..*]

Definition: Stage in the corporate action event life cycle.

EventStage <EvtStag> contains one of the following elements (see "[CorporateActionEventStageFormat20Choice](#)" on page 897 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		898
Or}	Proprietary <Prtry>	[1..1]	±		898

3.4.10.20 AdditionalBusinessProcessIndicator <AddtlBizPrclnd>

Presence: [0..*]

Definition: Specifies the type of the additional business process linked to the corporate action event such as a claim compensation or tax refund.

AdditionalBusinessProcessIndicator <AddtlBizPrclnd> contains one of the following elements (see "[AdditionalBusinessProcessFormat22Choice](#)" on page 795 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		795
Or}	Proprietary <Prtry>	[1..1]	±		797

3.4.10.21 ChangeType <ChngTp>

Presence: [0..*]

Definition: Specifies the type of change announced.

ChangeType <ChngTp> contains one of the following elements (see "CorporateActionChangeTypeFormat8Choice" on page 896 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		896
Or}	Proprietary <Prtry>	[1..1]	±		897

3.4.10.22 IntermediateSecuritiesDistributionType <IntrmdtSctiesDstrbtnTp>

Presence: [0..1]

Definition: Type of intermediates securities distribution.

IntermediateSecuritiesDistributionType <IntrmdtSctiesDstrbtnTp> contains one of the following elements (see "IntermediateSecuritiesDistributionTypeFormat18Choice" on page 895 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		895
Or}	Proprietary <Prtry>	[1..1]	±		896

3.4.10.23 CapitalGainInOutIndicator <CptlGnlnOutInd>

Presence: [0..1]

Definition: Specifies whether the capital gain is in the scope of the EU Savings directive for the income realised upon the sale, refund or redemption of shares and units (.) (Article 6(1d)).

CapitalGainInOutIndicator <CptlGnlnOutInd> contains one of the following elements (see "CapitalGainFormat4Choice" on page 894 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		894
Or}	Proprietary <Prtry>	[1..1]	±		894

3.4.10.24 TaxableIncomePerShareCalculated <TaxblIncmPerShrClctd>

Presence: [0..1]

Definition: Specifies whether the financial instrument calculates the taxable income per dividend/taxable income per share.

TaxableIncomePerShareCalculated <TaxblIncmPerShrClctd> contains one of the following elements (see "TaxableIncomePerShareCalculatedFormat4Choice" on page 893 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		893
Or}	Proprietary <Prtry>	[1..1]	±		894

3.4.10.25 ElectionType <ElctnTp>*Presence:* [0..1]*Definition:* Specifies the effect on the holdings of electing a corporate action option.**ElectionType <ElctnTp>** contains one of the following elements (see "[ElectionTypeFormat4Choice](#)" on page 892 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		892
Or}	Proprietary <Prtry>	[1..1]	±		893

3.4.10.26 LotteryType <LtryTp>*Presence:* [0..1]*Definition:* Specifies the type of lottery announced.**LotteryType <LtryTp>** contains one of the following elements (see "[LotteryTypeFormat5Choice](#)" on page 891 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		892
Or}	Proprietary <Prtry>	[1..1]	±		892

3.4.10.27 CertificationType <CertfctnTp>*Presence:* [0..1]*Definition:* Specifies the certification format required, this is, physical or electronic format.**CertificationType <CertfctnTp>** contains one of the following elements (see "[CertificationTypeFormat4Choice](#)" on page 891 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		891
Or}	Proprietary <Prtry>	[1..1]	±		891

3.4.10.28 ConsentType <CnsntTp>*Presence:* [0..1]*Definition:* Specifies the type of consent announced.**ConsentType <CnsntTp>** contains one of the following elements (see "[ConsentTypeFormat5Choice](#)" on page 890 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		890
Or}	Proprietary <Prtry>	[1..1]	±		890

3.4.10.29 InformationType <InfTp>*Presence:* [0..1]*Definition:* Specifies the type of information event.**InformationType <InfTp>** contains one of the following elements (see ["InformationTypeFormat5Choice"](#) on page 889 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		889
Or}	Proprietary <Prtry>	[1..1]	±		890

3.4.10.30 TaxOnNonDistributedProceedsIndicator <TaxOnNonDstrbtdPrclsInd>*Presence:* [0..*]*Definition:* Specifies the tax regulation being attributed to the non-distributed proceeds event or to any other event.**TaxOnNonDistributedProceedsIndicator <TaxOnNonDstrbtdPrclsInd>** contains the following elements (see ["GenericIdentification47"](#) on page 790 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		790
	Issuer <Issr>	[1..1]	Text		790
	SchemeName <SchmeNm>	[0..1]	Text		791

3.4.10.31 NewPlaceOfIncorporation <NewPlcOfIncorprtn>*Presence:* [0..1]*Definition:* New company's place of incorporation.*Datatype:* ["RestrictedFINXMax350Text"](#) on page 1179**3.4.10.32 AdditionalInformation <AddtlInf>***Presence:* [0..1]*Definition:* Provides additional information. This field may only be used when the information to be transmitted, cannot be coded.*Impacted by:* [C3 "AdditionalInformationRule"](#)

AdditionalInformation <AddtlInf> contains the following **CorporateActionNarrative54** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Offeror <Offerr>	[0..*]	±		111
	NewCompanyName <NewCpnyNm>	[0..1]	±		111
	URLAddress <URLAdr>	[0..*]	±		111
	EventProcessingWebSiteAddress <EvtPrcgWebSiteAdr>	[0..1]	Text		112

Constraints

- **AdditionalInformationRule**

The AdditionalInformation field in all elements must not contain information that can be provided in a structured field unless bilaterally agreed or advised differently in the element definition.

3.4.10.32.1 Offeror <Offerr>

Presence: [0..*]

Definition: Provides the entity making the offer and is different from the issuing company.

Offeror <Offerr> contains the following elements (see ["UpdatedAdditionalInformation6"](#) on page 914 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	UpdateDescription <UpdDesc>	[0..1]	Text		914
	UpdateDate <UpdDt>	[0..1]	Date		914
	AdditionalInformation <AddtlInf>	[1..1]	Text		914

3.4.10.32.2 NewCompanyName <NewCpnyNm>

Presence: [0..1]

Definition: Provides the new name of a company following a name change.

NewCompanyName <NewCpnyNm> contains the following elements (see ["UpdatedAdditionalInformation6"](#) on page 914 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	UpdateDescription <UpdDesc>	[0..1]	Text		914
	UpdateDate <UpdDt>	[0..1]	Date		914
	AdditionalInformation <AddtlInf>	[1..1]	Text		914

3.4.10.32.3 URLAddress <URLAdr>

Presence: [0..*]

Definition: Provides the web address published for the event, that is, the address for the Universal Resource Locator (URL), for example, used over the www (HTTP) service.

URLAddress <URLAdr> contains the following elements (see ["UpdatedURLInformation5"](#) on page 817 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Language <Lang>	[1..1]	CodeSet		817
	UpdateDescription <UpdDesc>	[0..1]	Text		817
	UpdateDate <UpdDt>	[0..1]	Date		818
	URLAddress <URLAdr>	[1..1]	Text		818

3.4.10.32.4 EventProcessingWebSiteAddress <EvtPrcgWebSiteAdr>

Presence: [0..1]

Definition: Provides web address of an account servicer (or of a service provider) that contains information solely intended for the immediate account holder to enable or facilitate event processing between parties.

Datatype: ["RestrictedFINZMax2048Text"](#) on page 1180

3.4.11 CorporateActionOptionDetails <CorpActnOptnDtls>

Presence: [0..*]

Definition: Information about the corporate action option.

Impacted by: [C7 "AdditionalTextRule"](#), [C11 "AppliedOptionRule"](#), [C27 "DissenterRights1Rule"](#), [C29 "DissenterRights2Rule"](#), [C53 "InstructCashAmountRule"](#), [C86 "OptionTypeUnknownProceedsUsageRule"](#), [C95 "RevocabilityPeriodRule"](#), [C85 "OptionNumberGuideline"](#)

CorporateActionOptionDetails <CorpActnOptnDtls> contains the following
CorporateActionOption208 elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	OptionNumber <OptnNb>	[1..1]	Text		121
	OptionType <OptnTp>	[1..1]	±		121
	FractionDisposition <FrctnDspstn>	[0..1]	±		122
	OfferType <OfferTp>	[0..*]	±		122
	OptionFeatures <OptnFeatrs>	[0..*]	±		122
	OptionAvailabilityStatus <OptnAvlbtySts>	[0..1]	±		122
	CertificationBreakdownType <CertfctnBrkdwnTp>	[0..*]	±		123
	BidRangeType <BidRgTp>	[0..1]	±		123
	NonDomicileCountry <NonDmclCtry>	[0..*]	CodeSet	C15	123
	ValidDomicileCountry <VldDmclCtry>	[0..*]	CodeSet	C15	124
	CurrencyOption <CcyOptn>	[0..1]	CodeSet	C1	124
	DefaultProcessingOrStandingInstruction <DfltPrcgOrStgInstr>	[1..1]	±		124
	ChargesAppliedIndicator <ChrgsAppldInd>	[0..1]	Indicator		125
	CertificationBreakdownIndicator <CertfctnBrkdwnInd>	[0..1]	Indicator		125
	WithdrawalAllowedIndicator <WdrwlAllwdInd>	[0..1]	Indicator		125
	ChangeAllowedIndicator <ChngAllwdInd>	[0..1]	Indicator		125
	AppliedOptionIndicator <ApldOptnInd>	[0..1]	Indicator		125
	FinancialInstrumentIdentification <FinInstrmId>	[0..1]	±	C21, C22, C59, C60, C88	126
	DateDetails <DtDtls>	[0..1]			127
	EarlyResponseDeadline <EarlyRspnDdln>	[0..1]	±		127
	CoverExpirationDeadline <CoverXprtnDdln>	[0..1]	±		128
	ProtectDeadline <PrctDdln>	[0..1]	±		128
	MarketDeadline <MktDdln>	[0..1]	±		128
	ResponseDeadline <RspnDdln>	[0..1]	±		129
	ExpiryDate <XpryDt>	[0..1]	±		129
	SubscriptionCostDebitDate <SbcptCostDbtDt>	[0..1]	±		129
	DepositoryCoverExpirationDate <DpstryCoverXprtnDt>	[0..1]	±		130

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	StockLendingDeadline <StockLndgDdln>	[0..1]	±		130
	BorrowerStockLendingDeadline <BrrwrStockLndgDdln>	[0..*]			130
	StockLendingDeadline <StockLndgDdln>	[1..1]	±		131
	Borrower <Brrwr>	[1..1]	±		131
	EndOfSecuritiesBlockingPeriod <EndOfSciesBlckgPrd>	[0..1]	±		131
	PeriodDetails <PrdDtls>	[0..1]			132
	PriceCalculationPeriod <PricClctnPrd>	[0..1]	±		132
	ParallelTradingPeriod <ParllTradgPrd>	[0..1]	±		132
	ActionPeriod <ActnPrd>	[0..1]	±		133
	RevocabilityPeriod <RvcbltyPrd>	[0..1]	±		133
	PrivilegeSuspensionPeriod <PrvlgspsnsnPrd>	[0..1]	±		133
	AccountServicerRevocabilityPeriod <AcctSvcrRvcbltyPrd>	[0..1]	±		133
	DepositorySuspensionPeriodForWithdrawal <DpstrySspnsnPrdForWdrwl>	[0..1]	±		134
	RateAndAmountDetails <RateAndAmtDtls>	[0..1]		C42, C44, C76, C78, C102, C104, C106, C111, C113	134
	AdditionalTax <AddtlTax>	[0..1]	±		136
	GrossDividendRate <GrssDvddRate>	[0..*]	±		136
	NetDividendRate <NetDvddRate>	[0..*]	±		137
	InterestRateUsedForPayment <IntrstRateUsdForPmt>	[0..*]	±		138
	MaximumAllowedOversubscriptionRate <MaxAllwdOvrscptRate>	[0..1]	±		139
	ProrationRate <PrratnRate>	[0..1]	±		139
	WithholdingTaxRate <WhldgTaxRate>	[0..*]	±		140
	SecondLevelTax <ScndLvlTax>	[0..*]	±		140
	TaxableIncomePerDividendShare <TaxblIncmPerDvddShr>	[0..*]	±		140
	IssuerDeclaredExchangeRate <IssrDclrdXchgRate>	[0..1]	±		141
	TaxOnIncome <TaxOnIncm>	[0..1]	±		141

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	BidInterval <BidIntrvl>	[0..1]	±		141
	PriceDetails <PricDtls>	[0..1]			142
	CashInLieuOfSharePrice <CshInLieuOfShrPric>	[0..1]	±		142
	OverSubscriptionDepositPrice <OverSbcptDpstPric>	[0..1]	±		143
	MaximumCashToInstruct <MaxCshToInst>	[0..1]	±		143
	MinimumCashToInstruct <MinCshToInst>	[0..1]	±		143
	MinimumMultipleCashToInstruct <MinMltplCshToInst>	[0..1]	±		144
	MaximumPrice <MaxPric>	[0..1]	±		144
	MinimumPrice <MinPric>	[0..1]	±		144
	FirstBidIncrementPrice <FrstBidIncrmtPric>	[0..1]	±		145
	LastBidIncrementPrice <LastBidIncrmtPric>	[0..1]	±		145
	SecuritiesQuantity <SctiesQty>	[0..1]			146
	MaximumQuantityToInstruct <MaxQtyToInst>	[0..1]	±	C24	146
	MinimumQuantityToInstruct <MinQtyToInst>	[0..1]	±	C24	147
	MinimumMultipleQuantityToInstruct <MinMltplQtyToInst>	[0..1]	±	C25	147
	NewBoardLotQuantity <NewBrdLotQty>	[0..1]	±	C25	148
	NewDenominationQuantity <NewDnmtnQty>	[0..1]	±	C25	148
	FrontEndOddLotQuantity <FrntEndOddLotQty>	[0..1]	±	C25	149
	BackEndOddLotQuantity <BckEndOddLotQty>	[0..1]	±	C25	149
	SecuritiesMovementDetails <SctiesMvmntDtls>	[0..*]		C33, C48, C50, C62	150
	SecurityDetails <SctyDtls>	[1..1]	±	C69, C91	152
	CreditDebitIndicator <CdtDbtInd>	[1..1]	CodeSet		154
	TemporaryFinancialInstrumentIndicator <TempFinInstrmInd>	[0..1]	±		154
	NonEligibleProceedsIndicator <NonElgblPrclsInd>	[0..1]	±		154
	IssuerOfferorTaxabilityIndicator <IssrOfferrTaxbltyInd>	[0..1]	±		154
	NewSecuritiesIssuanceIndicator <NewSctiesIssnclnd>	[0..1]	CodeSet		155
	IncomeType <IncmTp>	[0..1]	±		155
	OtherIncomeType <OthrIncmTp>	[0..*]	±		156

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ExemptionType <XmptnTp>	[0..*]	±		156
	EntitledQuantity <EntitldQty>	[0..1]	±		156
	SafekeepingPlace <SfkpgPlc>	[0..1]	±		157
	CountryOfIncomeSource <CtryOfIncmSrc>	[0..1]	CodeSet	C15	157
	FractionDisposition <FrctnDspstn>	[0..1]	±		157
	CurrencyOption <CcyOptn>	[0..1]	CodeSet	C1	157
	TradingPeriod <TradgPrd>	[0..1]	±		158
	DateDetails <DtDtls>	[1..1]			158
	PaymentDate <PmtDt>	[1..1]	±		158
	AvailableDate <AvlblDt>	[0..1]	±		159
	DividendRankingDate <DvddRnkgDt>	[0..1]	±		159
	EarliestPaymentDate <EarlstPmtDt>	[0..1]	±		159
	PariPassuDate <PrpssDt>	[0..1]	±		160
	LastTradingDate <LastTradgDt>	[0..1]	±		160
	RateDetails <RateDtls>	[0..1]			160
	AdditionalQuantityForSubscribedResultantSecurities <AddtlQtyForSbcdbRslntScties>	[0..1]	±		161
	AdditionalQuantityForExistingSecurities <AddtlQtyForExstgScties>	[0..1]	±		161
	NewToOld <NewToOd>	[0..1]	±		162
	TransformationRate <TrfrmatnRate>	[0..1]	Rate		162
	ChargesFees <ChrgsFees>	[0..1]	±		162
	FiscalStamp <FscIStmp>	[0..1]	±		163
	ApplicableRate <AplblRate>	[0..1]	±		163
	TaxCreditRate <TaxCdtRate>	[0..1]	±		163
	FinancialTransactionTaxRate <FinTxTaxRate>	[0..1]	±		164
	PriceDetails <PricDtls>	[0..1]		C39	164
	IndicativeOrMarketPrice <IndctvOrMktPric>	[0..1]	±		164
	CashInLieuOfSharePrice <CshInLieuOfShrPric>	[0..1]	±		165
	CashValueForTax <CshValForTax>	[0..1]	±		165
	GenericCashPricePaidPerProduct <GncCshPricPdPerPdct>	[0..1]	±		165
	GenericCashPriceReceivedPerProduct <GncCshPricRcvdPerPdct>	[0..1]	±		166

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CashMovementDetails <CshMvmntDtls>	[0..*]		C34, C49, C51, C63, C40	166
	CreditDebitIndicator <CdtDbtInd>	[1..1]	CodeSet		170
	ContractualPaymentIndicator <CtrctlPmtInd>	[0..1]	CodeSet		170
	NonEligibleProceedsIndicator <NonElgblPrclsInd>	[0..1]	±		170
	IssuerOfferorTaxabilityIndicator <IssrOfferrTaxbltyInd>	[0..1]	±		171
	IncomeType <IncmTp>	[0..1]	±		171
	OtherIncomeType <OthrIncmTp>	[0..*]	±		171
	ExemptionType <XmptnTp>	[0..*]	±		172
	CountryOfIncomeSource <CtryOfIncmSrc>	[0..1]	CodeSet	C15	172
	CashAccountIdentification <CshAcctId>	[0..1]	±		172
	AmountDetails <AmtDtls>	[0..1]			173
	GrossCashAmount <GrssCshAmt>	[0..1]	Amount	C1, C16	175
	NetCashAmount <NetCshAmt>	[0..1]	Amount	C1, C16	176
	SolicitationFees <SlctnFees>	[0..1]	Amount	C1, C16	176
	CashInLieuOfShare <CshInLieuOfShr>	[0..1]	Amount	C1, C16	176
	CapitalGain <CptlGn>	[0..1]	Amount	C1, C16	177
	InterestAmount <IntrstAmt>	[0..1]	Amount	C1, C16	177
	IndemnityAmount <IndmntyAmt>	[0..1]	Amount	C1, C16	178
	ManufacturedDividendPaymentAmount <ManfctrdDvddPmtAmt>	[0..1]	Amount	C1, C16	178
	ReinvestmentAmount <RinvstmtAmt>	[0..1]	Amount	C1, C16	178
	FullyFrankedAmount <FullyFrnkAmt>	[0..1]	Amount	C1, C16	179
	UnfrankedAmount <UfrnkAmt>	[0..1]	Amount	C1, C16	179
	SundryOrOtherAmount <SndryOrOthrAmt>	[0..1]	Amount	C1, C16	180
	TaxFreeAmount <TaxFreeAmt>	[0..1]	Amount	C1, C16	180
	TaxDeferredAmount <TaxDfrdAmt>	[0..1]	Amount	C1, C16	180
	ValueAddedTaxAmount <ValAddedTaxAmt>	[0..1]	Amount	C1, C16	181
	StampDutyAmount <StmpDtyAmt>	[0..1]	Amount	C1, C16	181
	TaxReclaimAmount <TaxRclmAmt>	[0..1]	Amount	C1, C16	182
	TaxCreditAmount <TaxCdtAmt>	[0..1]	Amount	C1, C16	182

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AdditionalTaxAmount <AddtlTaxAmt>	[0..1]	Amount	C1, C16	182
	WithholdingTaxAmount <WhldgTaxAmt>	[0..1]	Amount	C1, C16	183
	SecondLevelTaxAmount <ScndLvTaxAmt>	[0..1]	Amount	C1, C16	183
	FiscalStampAmount <FscIStmpAmt>	[0..1]	Amount	C1, C16	184
	ExecutingBrokerAmount <ExctgBrkrAmt>	[0..1]	Amount	C1, C16	184
	PayingAgentCommissionAmount <PngAgtComssnAmt>	[0..1]	Amount	C1, C16	184
	LocalBrokerCommissionAmount <LclBrkrComssnAmt>	[0..1]	Amount	C1, C16	185
	RegulatoryFeesAmount <RgltryFeesAmt>	[0..1]	Amount	C1, C16	185
	ShippingFeesAmount <ShppgFeesAmt>	[0..1]	Amount	C1, C16	186
	ChargesAmount <ChrgsAmt>	[0..1]	Amount	C1, C16	186
	EntitledAmount <EntitldAmt>	[0..1]	Amount	C1, C16	186
	OriginalAmount <OrgnlAmt>	[0..1]	Amount	C1, C16	187
	PrincipalOrCorpus <PrncplOrCrps>	[0..1]	Amount	C1, C16	187
	RedemptionPremiumAmount <RedPrmAmt>	[0..1]	Amount	C1, C16	188
	IncomePortion <IncmPrtn>	[0..1]	Amount	C1, C16	188
	StockExchangeTax <StockXchgTax>	[0..1]	Amount	C1, C16	189
	EUTaxRetentionAmount <EUTaxRtnAmt>	[0..1]	Amount	C1, C16	189
	AccruedInterestAmount <AcrdIntrstAmt>	[0..1]	Amount	C1, C16	189
	EqualisationAmount <EqulstnAmt>	[0..1]	Amount	C1, C16	190
	FATCATaxAmount <FATCATaxAmt>	[0..1]	Amount	C1, C16	190
	NRATaxAmount <NRATaxAmt>	[0..1]	Amount	C1, C16	191
	BackUpWithholdingTaxAmount <BckUpWhldgTaxAmt>	[0..1]	Amount	C1, C16	191
	TaxOnIncomeAmount <TaxOnIncmAmt>	[0..1]	Amount	C1, C16	191
	TransactionTax <TxTax>	[0..1]	Amount	C1, C16	192
	DeemedAmount <DmdAmt>	[0..1]	Amount	C1, C16	192
	ForeignIncomeAmount <FrgnIncmAmt>	[0..1]	Amount	C1, C16	193
	DeemedDividendAmount <DmdDvddAmt>	[0..1]	Amount	C1, C16	193
	DeemedFundAmount <DmdFndAmt>	[0..1]	Amount	C1, C16	193
	DeemedInterestAmount <DmdIntrstAmt>	[0..1]	Amount	C1, C16	194
	DeemedRoyaltiesAmount <DmdRyltsAmt>	[0..1]	Amount	C1, C16	194

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	DateDetails <DtDtls>	[1..1]			195
	PaymentDate <PmtDt>	[1..1]	±		195
	ValueDate <ValDt>	[0..1]	±		195
	ForeignExchangeRateFixingDate <FXRateFxdDt>	[0..1]	±		196
	EarliestPaymentDate <EarlstPmtDt>	[0..1]	±		196
	ForeignExchangeDetails <FXDtls>	[0..1]	±		196
	RateAndAmountDetails <RateAndAmtDtls>	[0..1]		C19, C20, C43, C45, C77, C79, C103, C105, C107, C112, C114	197
	AdditionalTax <AddtlTax>	[0..1]	±		199
	GrossDividendRate <GrssDvddRate>	[0..*]	±		199
	InterestRateUsedForPayment <IntrstRateUsdForPmt>	[0..*]	±		200
	WithholdingTaxRate <WhldgTaxRate>	[0..*]	±		201
	SecondLevelTax <ScndLvlTax>	[0..*]	±		201
	ChargesFees <ChrgsFees>	[0..1]	±		202
	EarlySolicitationFeeRate <EarlySlctnFeeRate>	[0..1]	±		202
	FiscalStamp <FscIStmp>	[0..1]	±		203
	ThirdPartyIncentiveRate <ThrdPtyIncntivRate>	[0..1]	±		203
	NetDividendRate <NetDvddRate>	[0..*]	±		203
	ApplicableRate <AplblRate>	[0..1]	±		204
	SolicitationFeeRate <SlctnFeeRate>	[0..1]	±		204
	TaxCreditRate <TaxCdtRate>	[0..1]	±		205
	TaxOnIncome <TaxOnIncm>	[0..1]	±		205
	TaxOnProfits <TaxOnPrfts>	[0..1]	±		205
	TaxReclaimRate <TaxRclmRate>	[0..1]	±		206
	EqualisationRate <EqulstnRate>	[0..1]	±		206
	DeemedRate <DmdRate>	[0..*]	±		206
	PriceDetails <PricDtls>	[0..1]		C41	207

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	GenericCashPricePaidPerProduct <GncCshPricPdPerPdct>	[0..1]	±		208
	GenericCashPriceReceivedPerProduct <GncCshPricRcvdPerPdct>	[0..1]	±		208
	AdditionalInformation <AddtlInf>	[0..1]		C4	209
	AdditionalText <AddtlTxt>	[0..*]	±		210
	NarrativeVersion <NrrtvVrsn>	[0..*]	±		210
	InformationConditions <InfConds>	[0..*]	±		210
	InformationToComplyWith <InfToCmplyWth>	[0..*]	±		211
	SecurityRestriction <SctyRstrctn>	[0..*]	±		211
	TaxationConditions <TaxtnConds>	[0..*]	±		211
	Disclaimer <Dsclmr>	[0..*]	±		212
	CertificationBreakdown <CertfctnBrkdwn>	[0..*]	±		212

Constraints

- **AdditionalTextRule**

If OptionType/Code is OTHR (Other), then at least one occurrence of AdditionalInformation/AdditionalText/AdditionalInformation must be present.

(MT564 NVR C5).

```
On Condition
  /OptionType/Code is present
  And      /OptionType/Code is equal to value 'Other'
Following Must be True
  /AdditionalInformation Must be present
  And      /AdditionalInformation/AdditionalText[*] Must be present
  And      /AdditionalInformation/AdditionalText[*]/AdditionalInformation Must be
present
```

- **AppliedOptionRule**

If DefaultProcessingOrStandingInstruction/DefaultOptionIndicator is present and its value is '1' or 'true' (Yes), then AppliedOptionIndicator value must not be '1' or 'true' (Yes).

- **DissenterRights1Rule**

If OfferType/Code value is equal to DISS (DissenterRights), then OfferType/Code value equal to NDIS (DissenterRightsNotApplicable) is not allowed in any occurrence of OfferType.

(MT 564 NVR C27)

```
On Condition
  /OfferType[*]/Code is equal to value 'DissenterRights'
Following Must be True
  /OfferType[*]/Code Must be different from value 'DissenterRightsNotApplicable'
```

- **DissenterRights2Rule**

If OfferType/Code value is equal to NDISS (DissenterRightsNotApplicable), then OfferType/Code value equal to DISS (DissenterRights) is not allowed in any occurrence of OfferType.

(MT 564 NVR C27)

```
On Condition
  /OfferType[*]/Code is equal to value 'DissenterRightsNotApplicable'
Following Must be True
  /OfferType[*]/Code Must be different from value 'DissenterRights'
```

- **InstructCashAmountRule**

If OptionFeatures/Code QCAS (InstructCashAmount) is not present, then any message elements in the list (PriceDetails/MaximumCashToInstruct, PriceDetails/MinimumCashToInstruct, PriceDetails/MinimumMultipleCashToInstruct) must not be present.

(MT564 NVR C20).

```
On Condition
  /OptionFeatures[*]/Code is different from value 'InstructCashAmount'
Following Must be True
  /PriceDetails/MaximumCashToInstruct Must be absent
And    /PriceDetails/MinimumCashToInstruct Must be absent
And    /PriceDetails/MinimumMultipleCashToInstruct Must be absent
```

- **OptionNumberGuideline**

For differentiation between options, it is recommended to use the OptionNumber rather than the OptionType, for example, in the case where two or more offers can be described by the same corporate action option code.

- **OptionTypeUnknownProceedsUsageRule**

When used, the corporate action option code PRUN (Unknown Proceeds) should be maintained throughout the full lifecycle of the event.

- **RevocabilityPeriodRule**

If WithdrawalAllowedIndicator or ChangeAllowedIndicator is present and is 'true' or '1' (Yes), then PeriodDetails/RevocabilityPeriod must be present to indicate the period during which the change or withdrawal of instruction is allowed.

3.4.11.1 OptionNumber <OptnNb>

Presence: [1..1]

Definition: Number identifying the available corporate action options.

Datatype: "Exact3NumericText" on page 1173

3.4.11.2 OptionType <OptnTp>

Presence: [1..1]

Definition: Specifies the corporate action options available to the account owner.

OptionType <OptnTp> contains one of the following elements (see "[CorporateActionOption46Choice](#)" on page 815 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		815
Or}	Proprietary <Prtry>	[1..1]	±		817

3.4.11.3 FractionDisposition <FrctnDspstn>

Presence: [0..1]

Definition: Specifies how fractions resulting from derived securities will be processed or how prorated decisions will be rounding, if provided with a pro ration rate.

FractionDisposition <FrctnDspstn> contains one of the following elements (see "[FractionDispositionType31Choice](#)" on page 888 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		888
Or}	Proprietary <Prtry>	[1..1]	±		889

3.4.11.4 OfferType <OfferTp>

Presence: [0..*]

Definition: Specifies the conditions that apply to the offer.

OfferType <OfferTp> contains one of the following elements (see "[OfferTypeFormat13Choice](#)" on page 797 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		797
Or}	Proprietary <Prtry>	[1..1]	±		798

3.4.11.5 OptionFeatures <OptnFeatrs>

Presence: [0..*]

Definition: Specifies the features that may apply to a corporate action option.

OptionFeatures <OptnFeatrs> contains one of the following elements (see "[OptionFeaturesFormat26Choice](#)" on page 844 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		844
Or}	Proprietary <Prtry>	[1..1]	±		845

3.4.11.6 OptionAvailabilityStatus <OptnAvlbtYSts>

Presence: [0..1]

Definition: Specifies the status of the option.

OptionAvailabilityStatus <OptnAvlbtys> contains one of the following elements (see "OptionAvailabilityStatus4Choice" on page 887 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		888
Or}	Proprietary <Prtry>	[1..1]	±		888

3.4.11.7 CertificationBreakdownType <CertfctnBrkdwnTp>

Presence: [0..*]

Definition: Type of certification/breakdown.

CertificationBreakdownType <CertfctnBrkdwnTp> contains one of the following elements (see "BeneficiaryCertificationType12Choice" on page 886 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		886
Or}	Proprietary <Prtry>	[1..1]	±		887

3.4.11.8 BidRangeType <BidRgTp>

Presence: [0..1]

Definition: Specifies the type of acceptable bid range values.

BidRangeType <BidRgTp> contains one of the following elements (see "BidRangeType1Choice" on page 818 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		818
Or}	Proprietary <Prtry>	[1..1]	±		818

3.4.11.9 NonDomicileCountry <NonDmclCtry>

Presence: [0..*]

Definition: Holder of the security has to certify, in line with the terms of the corporate action, that it is not domiciled in the country indicated.

Impacted by: C15 "Country"

Datatype: "CountryCode" on page 1135

Constraints

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

3.4.11.10 ValidDomicileCountry <VldDmclCtry>

Presence: [0..*]

Definition: Country of domicile in which the Corporate Action option is valid. The holder of the security has to certify that it is domiciled in the country indicated.

Impacted by: C15 "Country"

Datatype: "CountryCode" on page 1135

Constraints

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

3.4.11.11 CurrencyOption <CcyOptn>

Presence: [0..1]

Definition: Currency in which the cash disbursed from an interest or dividend payment is offered.

Impacted by: C1 "ActiveCurrency"

Datatype: "ActiveCurrencyCode" on page 1088

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

3.4.11.12 DefaultProcessingOrStandingInstruction <DfltPrcgOrStglInstr>

Presence: [1..1]

Definition: Indicates whether the corporate action movement is a default processing or a standing instruction.

DefaultProcessingOrStandingInstruction <DfltPrcgOrStglInstr> contains one of the following elements (see "DefaultProcessingOrStandingInstruction1Choice" on page 792 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	DefaultOptionIndicator <DfltOptnInd>	[1..1]	Indicator		792
Or}	StandingInstructionIndicator <StglInstrInd>	[1..1]	Indicator		792

3.4.11.13 ChargesAppliedIndicator <ChrgsAppldInd>

Presence: [0..1]

Definition: Indicates whether charges apply to the holder, for instance redemption charges.

Datatype: One of the following values must be used (see ["YesNoIndicator" on page 1171](#)):

- *Meaning When True:* Yes
- *Meaning When False:* No

3.4.11.14 CertificationBreakdownIndicator <CertfctnBrkdwnInd>

Presence: [0..1]

Definition: Indicates whether certification/breakdown is required from the account owner.

Yes: certification required

No: no certification required.

Datatype: One of the following values must be used (see ["YesNoIndicator" on page 1171](#)):

- *Meaning When True:* Yes
- *Meaning When False:* No

3.4.11.15 WithdrawalAllowedIndicator <WdrwlAllwdInd>

Presence: [0..1]

Definition: Indicates whether withdrawal of instruction is allowed.

Datatype: One of the following values must be used (see ["YesNoIndicator" on page 1171](#)):

- *Meaning When True:* Yes
- *Meaning When False:* No

3.4.11.16 ChangeAllowedIndicator <ChngAllwdInd>

Presence: [0..1]

Definition: Indicates whether change of instruction is allowed.

Datatype: One of the following values must be used (see ["YesNoIndicator" on page 1171](#)):

- *Meaning When True:* Yes
- *Meaning When False:* No

3.4.11.17 AppliedOptionIndicator <ApplOptnInd>

Presence: [0..1]

Definition: Indicates whether the option, different from the default one, shall be applied by the account owner.

Datatype: One of the following values must be used (see ["YesNoIndicator" on page 1171](#)):

- *Meaning When True:* Yes
- *Meaning When False:* No

3.4.11.18 FinancialInstrumentIdentification <FinInstrmId>

Presence: [0..1]

Definition: Identifies the financial instrument.

Impacted by: C21 "DescriptionPresenceRule", C22 "DescriptionUsageRule", C59 "ISINGuideline", C60 "ISINPresenceRule", C88 "OtherIdentificationPresenceRule"

FinancialInstrumentIdentification <FinInstrmId> contains the following elements (see "SecurityIdentification20" on page 748 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ISIN <ISIN>	[0..1]	IdentifierSet		749
	OtherIdentification <OthrId>	[0..*]			749
	Identification <Id>	[1..1]	Text		750
	Suffix <Sfx>	[0..1]	Text		750
	Type <Tp>	[1..1]	±		750
	Description <Desc>	[0..1]	Text		750

Constraints

- **DescriptionPresenceRule**

If Description is not present then either ISIN or at least one occurrence of OtherIdentification must be present.

```

On Condition
  /Description is absent
Following Must be True
  /ISIN Must be present
Or    /OtherIdentification[*] Must be present

```

- **DescriptionUsageRule**

Description must be used alone as the last resort.

- **ISINGuideline**

When a ISIN code exist. It is strongly recommended that the ISIN be used.

- **ISINPresenceRule**

If ISIN is not present then either Description or at least one occurrence of OtherIdentification must be present.

```

On Condition
  /ISIN is absent
Following Must be True
  /OtherIdentification[*] Must be present
Or    /Description Must be present

```

- **OtherIdentificationPresenceRule**

If OtherIdentification is not present then either ISIN or Description must be present.

```

On Condition
  /OtherIdentification[*] is absent
Following Must be True

```

/ISIN Must be present
Or /Description Must be present

3.4.11.19 DateDetails <DtDtls>

Presence: [0..1]

Definition: Provides information about the dates related to a corporate action option.

DateDetails <DtDtls> contains the following **CorporateActionDate82** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	EarlyResponseDeadline <EarlyRspnDdln>	[0..1]	±		127
	CoverExpirationDeadline <CoverXprtnDdln>	[0..1]	±		128
	ProtectDeadline <PrctDdln>	[0..1]	±		128
	MarketDeadline <MktDdln>	[0..1]	±		128
	ResponseDeadline <RspnDdln>	[0..1]	±		129
	ExpiryDate <XpryDt>	[0..1]	±		129
	SubscriptionCostDebitDate <SbcptCostDbtDt>	[0..1]	±		129
	DepositoryCoverExpirationDate <DpstryCoverXprtnDt>	[0..1]	±		130
	StockLendingDeadline <StockLndgDdln>	[0..1]	±		130
	BorrowerStockLendingDeadline <BrrwrStockLndgDdln>	[0..*]			130
	StockLendingDeadline <StockLndgDdln>	[1..1]	±		131
	Borrower <Brrwr>	[1..1]	±		131
	EndOfSecuritiesBlockingPeriod <EndOfScitiesBlckgPrd>	[0..1]	±		131

3.4.11.19.1 EarlyResponseDeadline <EarlyRspnDdln>

Presence: [0..1]

Definition: Date/time that the account servicer has set as the deadline to respond, with instructions, to an outstanding event, giving the holder eligibility to incentives.

EarlyResponseDeadline <EarlyRspnDdln> contains one of the following elements (see "DateFormat49Choice" on page 729 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		730
Or}	DateCode <DtCd>	[1..1]			730
{Or	Code <Cd>	[1..1]	CodeSet		730
Or}	Proprietary <Prtry>	[1..1]	±		730

3.4.11.19.2 CoverExpirationDeadline <CoverXprtnDdln>*Presence:* [0..1]*Definition:* Last day a holder can deliver the securities that it had elected on and/or previously protected.**CoverExpirationDeadline <CoverXprtnDdln>** contains one of the following elements (see "[DateFormat43Choice](#)" on page 731 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		731
Or}	DateCode <DtCd>	[1..1]			732
{Or	Code <Cd>	[1..1]	CodeSet		732
Or}	Proprietary <Prtry>	[1..1]	±		732

3.4.11.19.3 ProtectDeadline <PrctDdln>*Presence:* [0..1]*Definition:* Last date/time a holder can request to defer delivery of securities pursuant to a notice of guaranteed delivery or other required documentation.**ProtectDeadline <PrctDdln>** contains one of the following elements (see "[DateFormat43Choice](#)" on page 731 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		731
Or}	DateCode <DtCd>	[1..1]			732
{Or	Code <Cd>	[1..1]	CodeSet		732
Or}	Proprietary <Prtry>	[1..1]	±		732

3.4.11.19.4 MarketDeadline <MktDdln>*Presence:* [0..1]*Definition:* Issuer or issuer's agent deadline to respond with an instruction to an outstanding offer or privilege.**MarketDeadline <MktDdln>** contains one of the following elements (see "[DateFormat49Choice](#)" on page 729 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		730
Or}	DateCode <DtCd>	[1..1]			730
{Or	Code <Cd>	[1..1]	CodeSet		730
Or}	Proprietary <Prtry>	[1..1]	±		730

3.4.11.19.5 ResponseDeadline <RspnDdln>*Presence:* [0..1]*Definition:* Date/time at which the account servicer has set as the deadline to respond, with instructions, to an outstanding event.**ResponseDeadline <RspnDdln>** contains one of the following elements (see ["DateFormat54Choice"](#) on page 727 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		727
Or	DateCodeAndTime <DtCdAndTm>	[1..1]			727
	DateCode <DtCd>	[1..1]			728
{Or	Code <Cd>	[1..1]	CodeSet		728
Or}	Proprietary <Prtry>	[1..1]	±		728
	Time <Tm>	[1..1]	Time		728
Or}	DateCode <DtCd>	[1..1]			729
{Or	Code <Cd>	[1..1]	CodeSet		729
Or}	Proprietary <Prtry>	[1..1]	±		729

3.4.11.19.6 ExpiryDate <XpryDt>*Presence:* [0..1]*Definition:* Date/time at which an order expires or on which a privilege or offer terminates.**ExpiryDate <XpryDt>** contains one of the following elements (see ["DateFormat49Choice"](#) on page 729 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		730
Or}	DateCode <DtCd>	[1..1]			730
{Or	Code <Cd>	[1..1]	CodeSet		730
Or}	Proprietary <Prtry>	[1..1]	±		730

3.4.11.19.7 SubscriptionCostDebitDate <SbcptCostDbtDt>*Presence:* [0..1]*Definition:* Date/time by which cash must be in place in order to take part in the event.

SubscriptionCostDebitDate <SbcptCostDbtDt> contains one of the following elements (see "DateFormat49Choice" on page 729 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		730
Or}	DateCode <DtCd>	[1..1]			730
{Or	Code <Cd>	[1..1]	CodeSet		730
Or}	Proprietary <Prtry>	[1..1]	±		730

3.4.11.19.8 DepositoryCoverExpirationDate <DpstryCoverXprtnDt>

Presence: [0..1]

Definition: Last day that a participant of the depository can deliver securities that it had elected on and/or previously protected.

DepositoryCoverExpirationDate <DpstryCoverXprtnDt> contains one of the following elements (see "DateFormat49Choice" on page 729 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		730
Or}	DateCode <DtCd>	[1..1]			730
{Or	Code <Cd>	[1..1]	CodeSet		730
Or}	Proprietary <Prtry>	[1..1]	±		730

3.4.11.19.9 StockLendingDeadline <StockLndgDdln>

Presence: [0..1]

Definition: Date/time set as the deadline to respond, with instructions, to an outstanding event, for which the underlying security is out on loan.

StockLendingDeadline <StockLndgDdln> contains one of the following elements (see "DateFormat49Choice" on page 729 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		730
Or}	DateCode <DtCd>	[1..1]			730
{Or	Code <Cd>	[1..1]	CodeSet		730
Or}	Proprietary <Prtry>	[1..1]	±		730

3.4.11.19.10 BorrowerStockLendingDeadline <BrrwrStockLndgDdln>

Presence: [0..*]

Definition: Specifies the party borrowing stocks and the associated stock lending deadline assigned to the borrower.

BorrowerStockLendingDeadline <BrrwrStockLndgDdlIn> contains the following **BorrowerLendingDeadline6** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	StockLendingDeadline <StockLndgDdlIn>	[1..1]	±		131
	Borrower <Brrwr>	[1..1]	±		131

3.4.11.19.10.1 StockLendingDeadline <StockLndgDdlIn>

Presence: [1..1]

Definition: Date/time set as the deadline to respond, with instructions, to an outstanding event for which the underlying security is out on loan.

StockLendingDeadline <StockLndgDdlIn> contains one of the following elements (see "DateFormat49Choice" on page 729 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		730
Or}	DateCode <DtCd>	[1..1]			730
{Or	Code <Cd>	[1..1]	CodeSet		730
Or}	Proprietary <Prtry>	[1..1]	±		730

3.4.11.19.10.2 Borrower <Brrwr>

Presence: [1..1]

Definition: Party who has borrowed stocks on loan.

Borrower <Brrwr> contains one of the following elements (see "PartyIdentification136Choice" on page 928 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	928
Or}	ProprietaryIdentification <PrtryId>	[1..1]	±		929

3.4.11.19.11 EndOfSecuritiesBlockingPeriod <EndOfScitiesBlckgPrd>

Presence: [0..1]

Definition: Date until which the blocking of securities can occur upon instructing this option.

EndOfSecuritiesBlockingPeriod <EndOfScitiesBlckgPrd> contains one of the following elements (see "DateFormat59Choice" on page 724 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		724
Or}	DateCode <DtCd>	[1..1]			724
{Or	Code <Cd>	[1..1]	CodeSet		725
Or}	Proprietary <Prtry>	[1..1]	±		725

3.4.11.20 PeriodDetails <PrdDtIs>

Presence: [0..1]

Definition: Provides information about the periods related to a corporate action option.

PeriodDetails <PrdDtIs> contains the following **CorporateActionPeriod12** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PriceCalculationPeriod <PricClctnPrd>	[0..1]	±		132
	ParallelTradingPeriod <ParllTradgPrd>	[0..1]	±		132
	ActionPeriod <ActnPrd>	[0..1]	±		133
	RevocabilityPeriod <RvcbltyPrd>	[0..1]	±		133
	PrivilegeSuspensionPeriod <PrvlgSspnsnPrd>	[0..1]	±		133
	AccountServicerRevocabilityPeriod <AcctSvcrRvcbltyPrd>	[0..1]	±		133
	DepositorySuspensionPeriodForWithdrawal <DpstrySspnsnPrdForWdrwl>	[0..1]	±		134

3.4.11.20.1 PriceCalculationPeriod <PricClctnPrd>

Presence: [0..1]

Definition: Period during which the price of a security is determined.

PriceCalculationPeriod <PricClctnPrd> contains one of the following elements (see "Period6Choice" on page 735 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Period <Prd>	[1..1]	±		736
Or}	PeriodCode <PrdCd>	[1..1]	CodeSet		736

3.4.11.20.2 ParallelTradingPeriod <ParllTradgPrd>

Presence: [0..1]

Definition: Period during which both old and new equity may be traded simultaneously, for example, consolidation of equity or splitting of equity.

ParallelTradingPeriod <ParlITradgPrd> contains one of the following elements (see "[Period6Choice](#)" on page 735 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Period <Prd>	[1..1]	±		736
Or}	PeriodCode <PrdCd>	[1..1]	CodeSet		736

3.4.11.20.3 ActionPeriod <ActnPrd>

Presence: [0..1]

Definition: Period during which the specified option, or all options of the event, remains valid, for example, offer period.

ActionPeriod <ActnPrd> contains one of the following elements (see "[Period6Choice](#)" on page 735 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Period <Prd>	[1..1]	±		736
Or}	PeriodCode <PrdCd>	[1..1]	CodeSet		736

3.4.11.20.4 RevocabilityPeriod <RvcbltyPrd>

Presence: [0..1]

Definition: Period during which the shareholder can revoke, change or withdraw its instruction.

RevocabilityPeriod <RvcbltyPrd> contains one of the following elements (see "[Period6Choice](#)" on page 735 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Period <Prd>	[1..1]	±		736
Or}	PeriodCode <PrdCd>	[1..1]	CodeSet		736

3.4.11.20.5 PrivilegeSuspensionPeriod <PrvlgSspnsnPrd>

Presence: [0..1]

Definition: Period during which the privilege is not available, for example, this can happen whenever a meeting takes place or whenever a coupon payment is due.

PrivilegeSuspensionPeriod <PrvlgSspnsnPrd> contains one of the following elements (see "[Period6Choice](#)" on page 735 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Period <Prd>	[1..1]	±		736
Or}	PeriodCode <PrdCd>	[1..1]	CodeSet		736

3.4.11.20.6 AccountServicerRevocabilityPeriod <AcctSvcrRvcbltyPrd>

Presence: [0..1]

Definition: Period during which the participant of the account servicer can revoke change or withdraw its instructions.

AccountServicerRevocabilityPeriod <AcctSvcrRvcbltyPrd> contains one of the following elements (see "[Period6Choice](#)" on page 735 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Period <Prd>	[1..1]	±		736
Or}	PeriodCode <PrdCd>	[1..1]	CodeSet		736

3.4.11.20.7 DepositorySuspensionPeriodForWithdrawal <DpstrySspnsnPrdForWdrwl>

Presence: [0..1]

Definition: Period defining the last date on which withdrawal in street name requests on the outturn security will be accepted and the date on which the suspension will be released and withdrawal by transfer processing on the outturn security will resume.

DepositorySuspensionPeriodForWithdrawal <DpstrySspnsnPrdForWdrwl> contains one of the following elements (see "[Period6Choice](#)" on page 735 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Period <Prd>	[1..1]	±		736
Or}	PeriodCode <PrdCd>	[1..1]	CodeSet		736

3.4.11.21 RateAndAmountDetails <RateAndAmtDtls>

Presence: [0..1]

Definition: Provides information about rates and amounts related to a corporate action option.

Impacted by: C42 "GrossDividendRate2Rule", C44 "GrossDividendRate3Rule", C76 "NetDividendRate1Rule", C78 "NetDividendRate2Rule", C102 "SecondLevelTax1Rule", C104 "SecondLevelTax2Rule", C106 "SecondLevelTax3Rule", C111 "WithholdingTaxRate1Rule", C113 "WithholdingTaxRate2Rule"

RateAndAmountDetails <RateAndAmtDtls> contains the following **CorporateActionRate111** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AdditionalTax <AddtlTax>	[0..1]	±		136
	GrossDividendRate <GrssDvddRate>	[0..*]	±		136
	NetDividendRate <NetDvddRate>	[0..*]	±		137
	InterestRateUsedForPayment <IntrstRateUsdForPmt>	[0..*]	±		138
	MaximumAllowedOversubscriptionRate <MaxAllwdOvrsbcptRate>	[0..1]	±		139
	ProrationRate <PrratnRate>	[0..1]	±		139
	WithholdingTaxRate <WhldgTaxRate>	[0..*]	±		140
	SecondLevelTax <ScndLvITax>	[0..*]	±		140
	TaxableIncomePerDividendShare <TaxblIncmPerDvddShr>	[0..*]	±		140
	IssuerDeclaredExchangeRate <IssrDclrdXchgRate>	[0..1]	±		141
	TaxOnIncome <TaxOnIncm>	[0..1]	±		141
	BidInterval <BidIntrvl>	[0..1]	±		141

Constraints

- **GrossDividendRate2Rule**

If GrossDividendRate is repeated, then:

- RateTypeAndAmountAndRateStatus/RateType/Code values must all be different in each occurrence of GrossDividendRate. (MT 564 NVR C8).

- **GrossDividendRate3Rule**

Only a single occurrence of either GrossDividendRate/Amount or GrossDividendRate/AmountAndRateStatus or GrossDividendRate/NotSpecifiedRate may be present, only one of these elements may be present. (MT 564 NVR C7).

- **NetDividendRate1Rule**

If NetDividendRate is repeated, then RateTypeAndAmountAndRateStatus/RateType/Code values must all be different in each occurrence of NetDividendRate. (MT 564 NVR C8).

- **NetDividendRate2Rule**

Only a single occurrence of either NetDividendRate/Amount or NetDividendRate/AmountAndRateStatus or NetDividendRate/NotSpecifiedRate may be present, and only one of these elements may be present. (MT 564 NVR C7).

- **SecondLevelTax1Rule**

If SecondLevelTax is repeated, then SecondLevelTax/RateTypeAndRate/RateType/Code values must all be different in each occurrence of SecondLevelTax.

(MT 564 NVR C8).

- **SecondLevelTax2Rule**

Only a single occurrence of either SecondLevelTax/Amount or SecondLevelTax/Rate or SecondLevelTax/NotSpecifiedRate may be present, only one of these elements may be present.

(MT 564 NVR C7).

- **SecondLevelTax3Rule**

If at least one occurrence of SecondLevelTax is present, then at least one occurrence of WithholdingTaxRate must be present. (MT 564 NVR C19).

On Condition
/SecondLevelTax[*] is present
Following Must be True
/WithholdingTaxRate[*] Must be present

- **WithholdingTaxRate1Rule**

If WithholdingTaxRate is repeated, then WithholdingTaxRate/RateTypeAndRate/RateType/Code values must all be different in each occurrence of WithholdingTaxRate.

(MT 564 NVR C8).

- **WithholdingTaxRate2Rule**

Only a single occurrence of either WithholdingTaxRate/Amount or WithholdingTaxRate/Rate or WithholdingTaxRate/NotSpecifiedRate may be present, only one of those elements may be present.

(MT 564 NVR C7).

3.4.11.21.1 AdditionalTax <AddtlTax>

Presence: [0..1]

Definition: Rate used for additional tax that cannot be categorised.

AdditionalTax <AddtlTax> contains one of the following elements (see "RateAndAmountFormat46Choice" on page 1040 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1040
Or	NotSpecifiedRate <NotSpctdRate>	[1..1]	CodeSet		1040
Or}	Amount <Amt>	[1..1]	Amount	C1	1041

3.4.11.21.2 GrossDividendRate <GrssDvddRate>

Presence: [0..*]

Definition: Cash dividend amount per equity before deductions or allowances have been made.

GrossDividendRate <GrssDvddRate> contains one of the following elements (see "GrossDividendRateFormat41Choice" on page 839 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Amount <Amt>	[1..1]	Amount	C1	840
Or	AmountAndRateStatus <AmtAndRateSts>	[1..1]			840
	Amount <Amt>	[1..1]	Amount	C1	841
	RateStatus <RateSts>	[1..1]	CodeSet		841
Or	RateTypeAndAmountAndRateStatus <RateTpAndAmtAndRateSts>	[1..1]			841
	RateType <RateTp>	[1..1]			841
{Or	Code <Cd>	[1..1]	CodeSet		842
Or}	Proprietary <Prtry>	[1..1]	±		842
	Amount <Amt>	[1..1]	Amount	C1	843
	RateStatus <RateSts>	[0..1]		C58	843
{Or	Code <Cd>	[1..1]	CodeSet		843
Or}	Proprietary <Prtry>	[1..1]	±		844
Or}	NotSpecifiedRate <NotSpdfdRate>	[1..1]	CodeSet		844

3.4.11.21.3 NetDividendRate <NetDvddRate>

Presence: [0..*]

Definition: Cash dividend amount per equity after deductions or allowances have been made.

NetDividendRate <NetDvddRate> contains one of the following elements (see "NetDividendRateFormat43Choice" on page 835 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Amount <Amt>	[1..1]	Amount	C1	835
Or	AmountAndRateStatus <AmtAndRateSts>	[1..1]			836
	Amount <Amt>	[1..1]	Amount	C1	836
	RateStatus <RateSts>	[1..1]	CodeSet		836
Or	RateTypeAndAmountAndRateStatus <RateTpAndAmtAndRateSts>	[1..1]			836
	RateType <RateTp>	[1..1]			837
{Or	Code <Cd>	[1..1]	CodeSet		837
Or}	Proprietary <Prtry>	[1..1]	±		838
	Amount <Amt>	[1..1]	Amount	C1	838
	RateStatus <RateSts>	[0..1]		C58	838
{Or	Code <Cd>	[1..1]	CodeSet		839
Or}	Proprietary <Prtry>	[1..1]	±		839
Or}	NotSpecifiedRate <NotSpcdfRate>	[1..1]	CodeSet		839

3.4.11.21.4 InterestRateUsedForPayment <IntrstRateUsdForPmt>

Presence: [0..*]

Definition: The actual interest rate used for the payment of the interest for the specified interest period.

Usage guideline: It is used to provide the applicable rate for the current payment, after all calculations have been performed, that is, application of period and method of interest computation.

InterestRateUsedForPayment <IntrstRateUsdForPmt> contains one of the following elements (see "InterestRateUsedForPaymentFormat10Choice" on page 882 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		883
Or	Amount <Amt>	[1..1]	Amount	C1	883
Or	RateTypeAndAmountAndRateStatus <RateTpAndAmtAndRateSts>	[1..1]			883
	RateType <RateTp>	[1..1]			884
{Or	Code <Cd>	[1..1]	CodeSet		884
Or}	Proprietary <Prtry>	[1..1]	±		884
	Amount <Amt>	[1..1]	Amount	C1	885
	RateStatus <RateSts>	[0..1]		C58	885
{Or	Code <Cd>	[1..1]	CodeSet		885
Or}	Proprietary <Prtry>	[1..1]	±		886
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		886

3.4.11.21.5 MaximumAllowedOversubscriptionRate <MaxAllwdOvrsbcptRate>

Presence: [0..1]

Definition: Maximum percentage of shares available through the over subscription privilege, usually a percentage of the basic subscription shares, for example, an account owner subscribing to 100 shares may over subscribe to a maximum of 50 additional shares when the over subscription maximum is 50 percent.

MaximumAllowedOversubscriptionRate <MaxAllwdOvrsbcptRate> contains one of the following elements (see "RateFormat3Choice" on page 987 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		987
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		987

3.4.11.21.6 ProrationRate <PrratnRate>

Presence: [0..1]

Definition: Percentage of securities accepted by the offeror/issuer.

ProrationRate <PrratnRate> contains one of the following elements (see "RateFormat3Choice" on page 987 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		987
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		987

3.4.11.21.7 WithholdingTaxRate <WhldgTaxRate>*Presence:* [0..*]*Definition:* Percentage of a cash distribution that will be withheld by the tax authorities of the jurisdiction of the issuer, for which a relief at source and/or reclaim may be possible.**WithholdingTaxRate <WhldgTaxRate>** contains one of the following elements (see "RateAndAmountFormat47Choice" on page 879 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		879
Or	NotSpecifiedRate <NotSpdfdRate>	[1..1]	CodeSet		880
Or	Amount <Amt>	[1..1]	Amount	C1	880
Or}	RateTypeAndRate <RateTpAndRate>	[1..1]			880
	RateType <RateTp>	[1..1]			880
{Or	Code <Cd>	[1..1]	CodeSet		881
Or}	Proprietary <Prtry>	[1..1]	±		881
	Rate <Rate>	[1..1]	Rate		881

3.4.11.21.8 SecondLevelTax <ScndLvITax>*Presence:* [0..*]*Definition:* Rate at which the income will be withheld by a jurisdiction other than the jurisdiction of the issuer's country of tax incorporation, for which a relief at source and/or reclaim may be possible. It is levied in complement or offset of the withholding tax rate (TAXR) levied by the jurisdiction of the issuer's tax domicile.**SecondLevelTax <ScndLvITax>** contains one of the following elements (see "RateAndAmountFormat47Choice" on page 879 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		879
Or	NotSpecifiedRate <NotSpdfdRate>	[1..1]	CodeSet		880
Or	Amount <Amt>	[1..1]	Amount	C1	880
Or}	RateTypeAndRate <RateTpAndRate>	[1..1]			880
	RateType <RateTp>	[1..1]			880
{Or	Code <Cd>	[1..1]	CodeSet		881
Or}	Proprietary <Prtry>	[1..1]	±		881
	Rate <Rate>	[1..1]	Rate		881

3.4.11.21.9 TaxableIncomePerDividendShare <TaxblIncmPerDvddShr>*Presence:* [0..*]

Definition: Amount included in the dividend/NAV that is identified as gains directly or indirectly derived from interest payments, for example, in the context of the EU Savings directive.

TaxableIncomePerDividendShare <TaxblIncmPerDvddShr> contains the following elements (see "RateTypeAndAmountAndStatus33" on page 877 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	RateType <RateTp>	[1..1]			877
{Or	Code <Cd>	[1..1]	CodeSet		877
Or}	Proprietary <Prtry>	[1..1]	±		878
	Amount <Amt>	[1..1]	Amount	C1	878
	RateStatus <RateSts>	[0..1]		C58	878
{Or	Code <Cd>	[1..1]	CodeSet		879
Or}	Proprietary <Prtry>	[1..1]	±		879

3.4.11.21.10 IssuerDeclaredExchangeRate <IssrDclrdXchgRate>

Presence: [0..1]

Definition: Exchange rate (provided by the issuer) between the dividend or interest rate in the paid currency and the declared dividend or interest rate.

IssuerDeclaredExchangeRate <IssrDclrdXchgRate> contains the following elements (see "ForeignExchangeTerms19" on page 780 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	UnitCurrency <UnitCcy>	[1..1]	CodeSet	C1	781
	QuotedCurrency <QtdCcy>	[1..1]	CodeSet	C1	781
	ExchangeRate <XchgRate>	[1..1]	Rate		781

3.4.11.21.11 TaxOnIncome <TaxOnIncm>

Presence: [0..1]

Definition: Overall tax withheld at source by fund managers prior to considering the tax obligation of each unit holder.

TaxOnIncome <TaxOnIncm> contains one of the following elements (see "RateAndAmountFormat46Choice" on page 1040 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1040
Or	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		1040
Or}	Amount <Amt>	[1..1]	Amount	C1	1041

3.4.11.21.12 BidInterval <BidIntrvl>

Presence: [0..1]

Definition: Acceptable price increment used for submitting a bid.

BidInterval <BidIntrvl> contains one of the following elements (see "RateAndAmountFormat38Choice" on page 1041 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1041
Or	NotSpecifiedRate <NotSpctdRate>	[1..1]	CodeSet		1041
Or	Amount <Amt>	[1..1]	Amount	C1	1041
Or}	IndexPoints <IndxPts>	[1..1]	Quantity		1042

3.4.11.22 PriceDetails <PricDtls>

Presence: [0..1]

Definition: Provides information about the prices related to a corporate action option.

PriceDetails <PricDtls> contains the following **CorporateActionPrice81** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CashInLieuOfSharePrice <CshInLieuOfShrPric>	[0..1]	±		142
	OverSubscriptionDepositPrice <OverSbcptDpstPric>	[0..1]	±		143
	MaximumCashToInstruct <MaxCshToInst>	[0..1]	±		143
	MinimumCashToInstruct <MinCshToInst>	[0..1]	±		143
	MinimumMultipleCashToInstruct <MinMltplCshToInst>	[0..1]	±		144
	MaximumPrice <MaxPric>	[0..1]	±		144
	MinimumPrice <MinPric>	[0..1]	±		144
	FirstBidIncrementPrice <FrstBidIncrmtPric>	[0..1]	±		145
	LastBidIncrementPrice <LastBidIncrmtPric>	[0..1]	±		145

3.4.11.22.1 CashInLieuOfSharePrice <CshInLieuOfShrPric>

Presence: [0..1]

Definition: Cash disbursement in lieu of equities; usually in lieu of fractional quantity.

CashInLieuOfSharePrice <CshInLieuOfShrPric> contains one of the following elements (see "PriceFormat57Choice" on page 967 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	PercentagePrice <PctgPric>	[1..1]			968
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		968
	PriceValue <PricVal>	[1..1]	Rate		968
Or	AmountPrice <AmtPric>	[1..1]	±		968
Or}	NotSpecifiedPrice <NotSpcfdPric>	[1..1]	CodeSet		969

3.4.11.22.2 OverSubscriptionDepositPrice <OverSbcptDpstPric>

Presence: [0..1]

Definition: Amount of money required per over-subscribed equity as defined by the issuer.

OverSubscriptionDepositPrice <OverSbcptDpstPric> contains one of the following elements (see "PriceFormat57Choice" on page 967 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	PercentagePrice <PctgPric>	[1..1]			968
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		968
	PriceValue <PricVal>	[1..1]	Rate		968
Or	AmountPrice <AmtPric>	[1..1]	±		968
Or}	NotSpecifiedPrice <NotSpcfdPric>	[1..1]	CodeSet		969

3.4.11.22.3 MaximumCashToInstruct <MaxCshToInst>

Presence: [0..1]

Definition: Maximum cash amount that may be instructed.

MaximumCashToInstruct <MaxCshToInst> contains one of the following elements (see "PriceFormat62Choice" on page 961 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AmountPrice <AmtPric>	[1..1]			961
	AmountPriceType <AmtPricTp>	[1..1]	CodeSet		962
	PriceValue <PricVal>	[1..1]	Amount	C1	962
Or}	NotSpecifiedPrice <NotSpcfdPric>	[1..1]	CodeSet		962

3.4.11.22.4 MinimumCashToInstruct <MinCshToInst>

Presence: [0..1]

Definition: Minimum cash amount that may be instructed.

MinimumCashToInstruct <MinCshToInst> contains one of the following elements (see "PriceFormat62Choice" on page 961 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AmountPrice <AmtPric>	[1..1]			961
	AmountPriceType <AmtPricTp>	[1..1]	CodeSet		962
	PriceValue <PricVal>	[1..1]	Amount	C1	962
Or}	NotSpecifiedPrice <NotSpcfdPric>	[1..1]	CodeSet		962

3.4.11.22.5 MinimumMultipleCashToInstruct <MinMltplCshToInst>

Presence: [0..1]

Definition: Minimum multiple of a cash amount that may be instructed.

MinimumMultipleCashToInstruct <MinMltplCshToInst> contains one of the following elements (see "PriceFormat62Choice" on page 961 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AmountPrice <AmtPric>	[1..1]			961
	AmountPriceType <AmtPricTp>	[1..1]	CodeSet		962
	PriceValue <PricVal>	[1..1]	Amount	C1	962
Or}	NotSpecifiedPrice <NotSpcfdPric>	[1..1]	CodeSet		962

3.4.11.22.6 MaximumPrice <MaxPric>

Presence: [0..1]

Definition: Maximum or cap price at which a holder can bid, for example, on a Dutch auction offer.

MaximumPrice <MaxPric> contains one of the following elements (see "PriceFormat59Choice" on page 969 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	PercentagePrice <PctgPric>	[1..1]			969
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		969
	PriceValue <PricVal>	[1..1]	Rate		970
Or	AmountPrice <AmtPric>	[1..1]	±		970
Or	NotSpecifiedPrice <NotSpcfdPric>	[1..1]	CodeSet		970
Or}	IndexPoints <IndxPts>	[1..1]	Quantity		970

3.4.11.22.7 MinimumPrice <MinPric>

Presence: [0..1]

Definition: Minimum or floor price at which a holder can bid, for example, on a Dutch auction offer.

MinimumPrice <MinPric> contains one of the following elements (see "PriceFormat59Choice" on page 969 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	PercentagePrice <PctgPric>	[1..1]			969
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		969
	PriceValue <PricVal>	[1..1]	Rate		970
Or	AmountPrice <AmtPric>	[1..1]	±		970
Or	NotSpecifiedPrice <NotSpctdPric>	[1..1]	CodeSet		970
Or}	IndexPoints <IndxPts>	[1..1]	Quantity		970

3.4.11.22.8 FirstBidIncrementPrice <FrstBidIncrmtPric>

Presence: [0..1]

Definition: First acceptable price after the Minimum Price at which a holder can bid, for example on a Dutch auction offer.

FirstBidIncrementPrice <FrstBidIncrmtPric> contains one of the following elements (see "PriceFormat59Choice" on page 969 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	PercentagePrice <PctgPric>	[1..1]			969
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		969
	PriceValue <PricVal>	[1..1]	Rate		970
Or	AmountPrice <AmtPric>	[1..1]	±		970
Or	NotSpecifiedPrice <NotSpctdPric>	[1..1]	CodeSet		970
Or}	IndexPoints <IndxPts>	[1..1]	Quantity		970

3.4.11.22.9 LastBidIncrementPrice <LastBidIncrmtPric>

Presence: [0..1]

Definition: Last acceptable price before the Maximum Price at which a holder can bid, for example on a Dutch auction offer.

LastBidIncrementPrice <LastBidIncrmtPric> contains one of the following elements (see "PriceFormat59Choice" on page 969 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	PercentagePrice <PctgPric>	[1..1]			969
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		969
	PriceValue <PricVal>	[1..1]	Rate		970
Or	AmountPrice <AmtPric>	[1..1]	±		970
Or	NotSpecifiedPrice <NotSpctdPric>	[1..1]	CodeSet		970
Or}	IndexPoints <IndxPts>	[1..1]	Quantity		970

3.4.11.23 SecuritiesQuantity <SctiesQty>

Presence: [0..1]

Definition: Provides information about securities quantity linked to a corporate action option.

SecuritiesQuantity <SctiesQty> contains the following **SecuritiesOption84** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	MaximumQuantityToInstruct <MaxQtyToInst>	[0..1]	±	C24	146
	MinimumQuantityToInstruct <MinQtyToInst>	[0..1]	±	C24	147
	MinimumMultipleQuantityToInstruct <MinMltplQtyToInst>	[0..1]	±	C25	147
	NewBoardLotQuantity <NewBrdLotQty>	[0..1]	±	C25	148
	NewDenominationQuantity <NewDnmtnQty>	[0..1]	±	C25	148
	FrontEndOddLotQuantity <FrntEndOddLotQty>	[0..1]	±	C25	149
	BackEndOddLotQuantity <BckEndOddLotQty>	[0..1]	±	C25	149

3.4.11.23.1 MaximumQuantityToInstruct <MaxQtyToInst>

Presence: [0..1]

Definition: Maximum quantity of financial instrument that may be instructed.

Impacted by: C24 "DigitalTokenUnitUsageRule"

MaximumQuantityToInstruct <MaxQtyToInst> contains one of the following elements (see "FinancialInstrumentQuantity44Choice" on page 983 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		984
Or	FaceAmount <FaceAmt>	[1..1]	Amount		984
Or	AmortisedValue <AmtsdVal>	[1..1]	Amount		984
Or	Code <Cd>	[1..1]	CodeSet		984
Or}	DigitalTokenUnit <DgtlTknUnit>	[1..1]	Quantity		984

Constraints

- **DigitalTokenUnitUsageRule**

DigitalTokenUnit format may only be used for instruments identified with a digital token identifier and when the related account identification is provided as a blockchain address or wallet identification (using BlockChainAddressOrWallet element as the account identification).

3.4.11.23.2 MinimumQuantityToInstruct <MinQtyToInst>

Presence: [0..1]

Definition: Minimum quantity of financial instrument that may be instructed.

Impacted by: C24 "DigitalTokenUnitUsageRule"

MinimumQuantityToInstruct <MinQtyToInst> contains one of the following elements (see "FinancialInstrumentQuantity44Choice" on page 983 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		984
Or	FaceAmount <FaceAmt>	[1..1]	Amount		984
Or	AmortisedValue <AmtsdVal>	[1..1]	Amount		984
Or	Code <Cd>	[1..1]	CodeSet		984
Or}	DigitalTokenUnit <DgtlTknUnit>	[1..1]	Quantity		984

Constraints

- **DigitalTokenUnitUsageRule**

DigitalTokenUnit format may only be used for instruments identified with a digital token identifier and when the related account identification is provided as a blockchain address or wallet identification (using BlockChainAddressOrWallet element as the account identification).

3.4.11.23.3 MinimumMultipleQuantityToInstruct <MinMltplQtyToInst>

Presence: [0..1]

Definition: Minimum multiple quantity of financial instrument that may be instructed.

Impacted by: C25 "DigitalTokenUnitUsageRule"

MinimumMultipleQuantityToInstruct <MinMltplQtyToInst> contains one of the following elements (see "FinancialInstrumentQuantity43Choice" on page 984 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		985
Or	FaceAmount <FaceAmt>	[1..1]	Amount		985
Or	AmortisedValue <AmtsdVal>	[1..1]	Amount		985
Or	Code <Cd>	[1..1]	CodeSet		985
Or}	DigitalTokenUnit <DgtlTknUnit>	[1..1]	Quantity		985

Constraints

- **DigitalTokenUnitUsageRule**

DigitalTokenUnit format may only be used for instruments identified with a digital token identifier and when the related account identification is provided as a blockchain address or wallet identification (using BlockChainAddressOrWallet element as the account identification).

3.4.11.23.4 NewBoardLotQuantity <NewBrdLotQty>

Presence: [0..1]

Definition: Quantity of equity that makes up the new board lot.

Impacted by: C25 "DigitalTokenUnitUsageRule"

NewBoardLotQuantity <NewBrdLotQty> contains one of the following elements (see "FinancialInstrumentQuantity43Choice" on page 984 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		985
Or	FaceAmount <FaceAmt>	[1..1]	Amount		985
Or	AmortisedValue <AmtsdVal>	[1..1]	Amount		985
Or	Code <Cd>	[1..1]	CodeSet		985
Or}	DigitalTokenUnit <DgtlTknUnit>	[1..1]	Quantity		985

Constraints

- **DigitalTokenUnitUsageRule**

DigitalTokenUnit format may only be used for instruments identified with a digital token identifier and when the related account identification is provided as a blockchain address or wallet identification (using BlockChainAddressOrWallet element as the account identification).

3.4.11.23.5 NewDenominationQuantity <NewDnmtnQty>

Presence: [0..1]

Definition: New denomination of the equity following, for example, an increase or decrease in nominal value.

Impacted by: C25 "DigitalTokenUnitUsageRule"

NewDenominationQuantity <NewDnmtnQty> contains one of the following elements (see "FinancialInstrumentQuantity43Choice" on page 984 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		985
Or	FaceAmount <FaceAmt>	[1..1]	Amount		985
Or	AmortisedValue <AmtsdVal>	[1..1]	Amount		985
Or	Code <Cd>	[1..1]	CodeSet		985
Or}	DigitalTokenUnit <DgtlTknUnit>	[1..1]	Quantity		985

Constraints

- **DigitalTokenUnitUsageRule**

DigitalTokenUnit format may only be used for instruments identified with a digital token identifier and when the related account identification is provided as a blockchain address or wallet identification (using BlockChainAddressOrWallet element as the account identification).

3.4.11.23.6 FrontEndOddLotQuantity <FrntEndOddLotQty>

Presence: [0..1]

Definition: Specifies that if an order is prorated holders of odd lots who tender their full position will not have tendered position prorated but rather accepted in full.

Impacted by: C25 "DigitalTokenUnitUsageRule"

FrontEndOddLotQuantity <FrntEndOddLotQty> contains one of the following elements (see "FinancialInstrumentQuantity43Choice" on page 984 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		985
Or	FaceAmount <FaceAmt>	[1..1]	Amount		985
Or	AmortisedValue <AmtsdVal>	[1..1]	Amount		985
Or	Code <Cd>	[1..1]	CodeSet		985
Or}	DigitalTokenUnit <DgtlTknUnit>	[1..1]	Quantity		985

Constraints

- **DigitalTokenUnitUsageRule**

DigitalTokenUnit format may only be used for instruments identified with a digital token identifier and when the related account identification is provided as a blockchain address or wallet identification (using BlockChainAddressOrWallet element as the account identification).

3.4.11.23.7 BackEndOddLotQuantity <BckEndOddLotQty>

Presence: [0..1]

Definition: Represents the presence of a back end odd lot provision and the quantity of equity required after proration to be eligible for this privilege.

Impacted by: C25 "DigitalTokenUnitUsageRule"

BackEndOddLotQuantity <BckEndOddLotQty> contains one of the following elements (see "FinancialInstrumentQuantity43Choice" on page 984 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		985
Or	FaceAmount <FaceAmt>	[1..1]	Amount		985
Or	AmortisedValue <AmtsdVal>	[1..1]	Amount		985
Or	Code <Cd>	[1..1]	CodeSet		985
Or}	DigitalTokenUnit <DgtlTknUnit>	[1..1]	Quantity		985

Constraints

- **DigitalTokenUnitUsageRule**

DigitalTokenUnit format may only be used for instruments identified with a digital token identifier and when the related account identification is provided as a blockchain address or wallet identification (using BlockChainAddressOrWallet element as the account identification).

3.4.11.24 SecuritiesMovementDetails <SctiesMvmntDtls>

Presence: [0..*]

Definition: Provides information about the securities movement linked to the corporate action option.

Impacted by: C33 "ExemptionTypeUsageRule", C48 "IncomeType1Rule", C50 "IncomeTypeUsageRule", C62 "IssuerOfferorTaxabilityIndicatorUsageRule"

SecuritiesMovementDetails <SctiesMvmntDtls> contains the following **SecuritiesOption85** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	SecurityDetails <SctyDtls>	[1..1]	±	C69, C91	152
	CreditDebitIndicator <CdtDbtInd>	[1..1]	CodeSet		154
	TemporaryFinancialInstrumentIndicator <TempFinInstrmlInd>	[0..1]	±		154
	NonEligibleProceedsIndicator <NonElgblPrcdsInd>	[0..1]	±		154
	IssuerOfferorTaxabilityIndicator <IssrOfferrTaxbltyInd>	[0..1]	±		154
	NewSecuritiesIssuanceIndicator <NewSctiesIssncInd>	[0..1]	CodeSet		155
	IncomeType <IncmTp>	[0..1]	±		155
	OtherIncomeType <OthrIncmTp>	[0..*]	±		156
	ExemptionType <XmptnTp>	[0..*]	±		156
	EntitledQuantity <EntitldQty>	[0..1]	±		156
	SafekeepingPlace <SfkpgPlc>	[0..1]	±		157
	CountryOfIncomeSource <CtryOfIncmSrc>	[0..1]	CodeSet	C15	157
	FractionDisposition <FrctnDspstn>	[0..1]	±		157
	CurrencyOption <CcyOptn>	[0..1]	CodeSet	C1	157
	TradingPeriod <TradgPrd>	[0..1]	±		158
	DateDetails <DtDtls>	[1..1]			158
	PaymentDate <PmtDt>	[1..1]	±		158
	AvailableDate <AvlblDt>	[0..1]	±		159
	DividendRankingDate <DvddRnkgDt>	[0..1]	±		159
	EarliestPaymentDate <EarlstPmtDt>	[0..1]	±		159
	PariPassuDate <PrpssDt>	[0..1]	±		160
	LastTradingDate <LastTradgDt>	[0..1]	±		160
	RateDetails <RateDtls>	[0..1]			160
	AdditionalQuantityForSubscribedResultantSecurities <AddtlQtyForSbcbdrslntScties>	[0..1]	±		161
	AdditionalQuantityForExistingSecurities <AddtlQtyForExstgScties>	[0..1]	±		161
	NewToOld <NewToOd>	[0..1]	±		162
	TransformationRate <TrfrmatnRate>	[0..1]	Rate		162
	ChargesFees <ChrgsFees>	[0..1]	±		162

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	FiscalStamp <FscIStmp>	[0..1]	±		163
	ApplicableRate <AplblRate>	[0..1]	±		163
	TaxCreditRate <TaxCdtRate>	[0..1]	±		163
	FinancialTransactionTaxRate <FinTxTaxRate>	[0..1]	±		164
	PriceDetails <PricDtls>	[0..1]		C39	164
	IndicativeOrMarketPrice <IndctvOrMktPric>	[0..1]	±		164
	CashInLieuOfSharePrice <CshInLieuOfShrPric>	[0..1]	±		165
	CashValueForTax <CshValForTax>	[0..1]	±		165
	GenericCashPricePaidPerProduct <GncCshPricPdPerPdct>	[0..1]	±		165
	GenericCashPriceReceivedPerProduct <GncCshPricRcvdPerPdct>	[0..1]	±		166

Constraints

- **ExemptionTypeUsageRule**

The lists of exemption type codes to be used in ExemptionType are provided in the document "ETYP-ITYP Exemption & Income Type Codes" available on the SMPG website at www.smpg.info.

- **IncomeType1Rule**

If at least one occurrence of OtherIncomeType is present, then IncomeType must be present.

On Condition
 /OtherIncomeType[*] is present
 Following Must be True
 /IncomeType Must be present

- **IncomeTypeUsageRule**

The lists of income type codes to be used in IncomeType are provided in the document "ETYP-ITYP Exemption & Income Type Codes" available on the SMPG website at www.smpg.info.

- **IssuerOfferorTaxabilityIndicatorUsageRule**

The IssuerOfferorTaxabilityIndicator may only be used when no tax rate is provided in the message and it must not be used in events such as dividend or interest.

The list of proprietary IssuerOfferorTaxabilityIndicator codes that may be used are provided in the document "TXAP Taxability Codes" available on the SMPG website at www.smpg.info.

3.4.11.24.1 SecurityDetails <SctyDtls>

Presence: [1..1]

Definition: Provides description of the financial instrument related to securities movement.

Impacted by: C69 "MaturityDateRule", C91 "PreviousNextFactorRule"

SecurityDetails <SctyDtIs> contains the following elements (see "[FinancialInstrumentAttributes115](#)" on page 761 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	FinancialInstrumentIdentification <FinInstrmId>	[1..1]	±	C17, C18, C41, C42, C54	763
	PlaceOfListing <PlcOfListg>	[0..1]	±		764
	DayCountBasis <DayCntBsis>	[0..1]	±		764
	ClassificationType <ClssfctnTp>	[0..1]	±		764
	OptionStyle <OptnStyle>	[0..1]	±		764
	DenominationCurrency <DnmtnCcy>	[0..1]	CodeSet	C2	765
	NextCouponDate <NxtCpnDt>	[0..1]	Date		765
	FloatingRateFixingDate <FltgRateFxdt>	[0..1]	Date		765
	MaturityDate <MtrtyDt>	[0..1]	Date		765
	IssueDate <IsseDt>	[0..1]	Date		765
	NextCallableDate <NxtClblDt>	[0..1]	Date		766
	PutableDate <PutblDt>	[0..1]	Date		766
	DatedDate <DtdDt>	[0..1]	Date		766
	ConversionDate <ConvsDt>	[0..1]	Date		766
	PreviousFactor <PrvsFctr>	[0..1]	±		766
	NextFactor <NxtFctr>	[0..1]	±		766
	InterestRate <IntrstRate>	[0..1]	±		767
	NextInterestRate <NxtIntrstRate>	[0..1]	±		767
	MinimumNominalQuantity <MinNmnlQty>	[0..1]	±	C19	767
	MinimumQuantityToInstruct <MinQtyToInst>	[0..1]	±	C19	768
	MinimumMultipleQuantityToInstruct <MinMltpQtyToInst>	[0..1]	±	C19	768
	ContractSize <CtrctSz>	[0..1]	±	C19	769
	IssuePrice <IssePric>	[0..1]	±		769

Constraints

- **MaturityDateRule**

Maturity date may only provide generic information about the final maturity of the underlying instrument.

- **PreviousNextFactorRule**

In case of partial redemption by pool factor, PreviousFactor provides the factor applicable before redemption and NextFactor provides the factor applicable after redemption.

3.4.11.24.2 CreditDebitIndicator <CdtDbtInd>

Presence: [1..1]

Definition: Specifies whether the value is a debit or credit.

Datatype: "CreditDebitCode" on page 1135

CodeName	Name	Definition
CRDT	Credit	Operation is an increase.
DBIT	Debit	Operation is a decrease.

3.4.11.24.3 TemporaryFinancialInstrumentIndicator <TempFinInstrmInd>

Presence: [0..1]

Definition: Specifies that the security identified is a temporary security identification used for processing reasons, for example, contra security used in the US.

TemporaryFinancialInstrumentIndicator <TempFinInstrmInd> contains one of the following elements (see "TemporaryFinancialInstrumentIndicator4Choice" on page 876 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	TemporaryIndicator <TempInd>	[1..1]	Indicator		876
Or}	Proprietary <Prtry>	[1..1]	±		877

3.4.11.24.4 NonEligibleProceedsIndicator <NonElgblPrclsInd>

Presence: [0..1]

Definition: Specifies information regarding outturn resources that cannot be processed by the Central Securities Depository (CSD). Special delivery instruction is required from the account owner for the corporate action outcome to be credited.

NonEligibleProceedsIndicator <NonElgblPrclsInd> contains one of the following elements (see "NonEligibleProceedsIndicator4Choice" on page 875 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		876
Or}	Proprietary <Prtry>	[1..1]	±		876

3.4.11.24.5 IssuerOfferorTaxabilityIndicator <IssrOfferrTaxbltyInd>

Presence: [0..1]

Definition: Proceeds are taxable according to the information provided by the issuer / offeror.

IssuerOfferorTaxabilityIndicator <IssrOfferrTaxbltyInd> contains one of the following elements (see "IssuerOfferorTaxabilityIndicator1Choice" on page 912 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		912
Or}	Proprietary <Prtry>	[1..1]	±		912

3.4.11.24.6 NewSecuritiesIssuanceIndicator <NewScetiesIssncInd>

Presence: [0..1]

Definition: Specifies the nature of the securities issue.

Datatype: "NewSecuritiesIssuanceType5Code" on page 1150

CodeName	Name	Definition
DEFE	DefeasedSecurityIndicator	Funds have been placed in escrow by a trustee or agent to ensure payment on a portion of the outstanding balance of the original security on maturity date. Applicable only in the frame of a Partial Defeasance PDEF corporate action event.
EXIS	ExistingIssue	Securities proceeds are not new issues.
NEIS	NewIssue	Securities proceeds are newly issued.
NDEF	NonDefeasedSecurityIndicator	Funds will not be guaranteed at maturity by the issuer or paying agent for this newly issued non-defeased security. Applicable only in the frame of a Partial Defeasance PDEF corporate action event.
UKWN	Unknown	Not known whether the securities proceeds are newly issued or not.
NREF	NonRefundedSecurityIndicator	New Security issued that has not been refunded and the maturity date of the original underlying security is unchanged. Applicable only in the frame of a Partial Defeasance PDEF corporate action event.
REFU	RefundedSecurityIndicator	New security issued that has been refunded to an earlier maturity date. Applicable only in the frame of a partial defeasance PDEF corporate action event.

3.4.11.24.7 IncomeType <IncmTp>

Presence: [0..1]

Definition: Specifies the type of income.

The lists of income type codes to be used, are available on the SMPG website at www.smpg.info.

IncomeType <IncmTp> contains the following elements (see "[GenericIdentification47](#)" on page 790 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		790
	Issuer <Issr>	[1..1]	Text		790
	SchemeName <SchmeNm>	[0..1]	Text		791

3.4.11.24.8 OtherIncomeType <OthrIncmTp>

Presence: [0..*]

Definition: Type of income to be used under another tax regime.

OtherIncomeType <OthrIncmTp> contains the following elements (see "[GenericIdentification47](#)" on page 790 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		790
	Issuer <Issr>	[1..1]	Text		790
	SchemeName <SchmeNm>	[0..1]	Text		791

3.4.11.24.9 ExemptionType <XmptnTp>

Presence: [0..*]

Definition: Specifies the basis for the reduced rate of withholding.

ExemptionType <XmptnTp> contains the following elements (see "[GenericIdentification47](#)" on page 790 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		790
	Issuer <Issr>	[1..1]	Text		790
	SchemeName <SchmeNm>	[0..1]	Text		791

3.4.11.24.10 EntitledQuantity <EntitldQty>

Presence: [0..1]

Definition: Quantity of securities based on the terms of the corporate action event and balance of underlying securities entitled to the account owner. (This quantity can be positive or negative).

EntitledQuantity <EntitldQty> contains one of the following elements (see "[Quantity54Choice](#)" on page 981 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Quantity <Qty>	[1..1]	±	C19	981
Or}	OriginalAndCurrentFace <OrgnlAndCurFace>	[1..1]	±		981

3.4.11.24.11 SafekeepingPlace <SfkpgPlc>*Presence:* [0..1]*Definition:* Location where the financial instruments are/will be safekept.**SafekeepingPlace <SfkpgPlc>** contains one of the following elements (see ["SafekeepingPlaceFormat39Choice"](#) on page 1048 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Identification <Id>	[1..1]	±		1049
Or	Country <Ctry>	[1..1]	CodeSet	C3	1049
Or	TypeAndIdentification <TpAndId>	[1..1]			1049
	SafekeepingPlaceType <SfkpgPlcTp>	[1..1]	CodeSet		1050
	Identification <Id>	[1..1]	IdentifierSet	C1	1050
Or}	Proprietary <Prtry>	[1..1]	±		1050

3.4.11.24.12 CountryOfIncomeSource <CtryOfIncmSrc>*Presence:* [0..1]*Definition:* Indicates the country from which the income originates.*Impacted by:* [C15 "Country"](#)*Datatype:* ["CountryCode"](#) on page 1135**Constraints**

- Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

3.4.11.24.13 FractionDisposition <FrctnDspstn>*Presence:* [0..1]*Definition:* Specifies how fractions resulting from derived securities will be processed or how prorated decisions will be rounding, if provided with a pro ration rate.**FractionDisposition <FrctnDspstn>** contains one of the following elements (see ["FractionDispositionType31Choice"](#) on page 888 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		888
Or}	Proprietary <Prtry>	[1..1]	±		889

3.4.11.24.14 CurrencyOption <CcyOptn>*Presence:* [0..1]*Definition:* Currency in which the cash disbursed from an interest or dividend payment is offered.*Impacted by:* [C1 "ActiveCurrency"](#)

Datatype: "ActiveCurrencyCode" on page 1088

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

3.4.11.24.15 TradingPeriod <TradgPrd>

Presence: [0..1]

Definition: Period during which intermediate or outturn securities are tradable in a secondary market.

TradingPeriod <TradgPrd> contains one of the following elements (see "Period6Choice" on page 735 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Period <Prd>	[1..1]	±		736
Or}	PeriodCode <PrdCd>	[1..1]	CodeSet		736

3.4.11.24.16 DateDetails <DtDtls>

Presence: [1..1]

Definition: Provides information about the dates related to securities movement.

DateDetails <DtDtls> contains the following **SecurityDate18** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PaymentDate <PmtDt>	[1..1]	±		158
	AvailableDate <AvlblDt>	[0..1]	±		159
	DividendRankingDate <DvddRnkgDt>	[0..1]	±		159
	EarliestPaymentDate <EarlstPmtDt>	[0..1]	±		159
	PariPassuDate <PrpssDt>	[0..1]	±		160
	LastTradingDate <LastTradgDt>	[0..1]	±		160

3.4.11.24.16.1 PaymentDate <PmtDt>

Presence: [1..1]

Definition: Date/time at which the movement is due to take place (cash and/or securities).

PaymentDate <PmtDt> contains one of the following elements (see "DateFormat49Choice" on page 729 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		730
Or}	DateCode <DtCd>	[1..1]			730
{Or	Code <Cd>	[1..1]	CodeSet		730
Or}	Proprietary <Prtry>	[1..1]	±		730

3.4.11.24.16.2 AvailableDate <AvlblDt>

Presence: [0..1]

Definition: Date/time at which securities become available for trading, for example first dealing date.

AvailableDate <AvlblDt> contains one of the following elements (see "DateFormat49Choice" on page 729 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		730
Or}	DateCode <DtCd>	[1..1]			730
{Or	Code <Cd>	[1..1]	CodeSet		730
Or}	Proprietary <Prtry>	[1..1]	±		730

3.4.11.24.16.3 DividendRankingDate <DvddRnkgDt>

Presence: [0..1]

Definition: Date/time at which a security will be entitled to a dividend.

DividendRankingDate <DvddRnkgDt> contains one of the following elements (see "DateFormat49Choice" on page 729 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		730
Or}	DateCode <DtCd>	[1..1]			730
{Or	Code <Cd>	[1..1]	CodeSet		730
Or}	Proprietary <Prtry>	[1..1]	±		730

3.4.11.24.16.4 EarliestPaymentDate <EarlstPmtDt>

Presence: [0..1]

Definition: Date/time at which a payment can be made, for example, if payment date is a non-business day or to indicate the first payment date of an offer.

EarliestPaymentDate <EarlStPmtDt> contains one of the following elements (see "DateFormat49Choice" on page 729 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		730
Or}	DateCode <DtCd>	[1..1]			730
{Or	Code <Cd>	[1..1]	CodeSet		730
Or}	Proprietary <Prtry>	[1..1]	±		730

3.4.11.24.16.5 PariPassuDate <PrpssDt>

Presence: [0..1]

Definition: Date/time at which security will assimilate, become fungible, or have the same rights to dividends as the parent issue.

PariPassuDate <PrpssDt> contains one of the following elements (see "DateFormat49Choice" on page 729 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		730
Or}	DateCode <DtCd>	[1..1]			730
{Or	Code <Cd>	[1..1]	CodeSet		730
Or}	Proprietary <Prtry>	[1..1]	±		730

3.4.11.24.16.6 LastTradingDate <LastTradgDt>

Presence: [0..1]

Definition: Date/time at which the securities to be reorganised will cease to be tradeable.

LastTradingDate <LastTradgDt> contains one of the following elements (see "DateFormat49Choice" on page 729 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		730
Or}	DateCode <DtCd>	[1..1]			730
{Or	Code <Cd>	[1..1]	CodeSet		730
Or}	Proprietary <Prtry>	[1..1]	±		730

3.4.11.24.17 RateDetails <RateDtls>

Presence: [0..1]

Definition: Provides information about the rates related to securities movement.

RateDetails <RateDtls> contains the following **CorporateActionRate95** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AdditionalQuantityForSubscribedResultantSecurities <AddtlQtyForSbcbdRslntScies>	[0..1]	±		161
	AdditionalQuantityForExistingSecurities <AddtlQtyForExstgScies>	[0..1]	±		161
	NewToOld <NewToOd>	[0..1]	±		162
	TransformationRate <TrfrmatnRate>	[0..1]	Rate		162
	ChargesFees <ChrgsFees>	[0..1]	±		162
	FiscalStamp <FscIStmp>	[0..1]	±		163
	ApplicableRate <AplblRate>	[0..1]	±		163
	TaxCreditRate <TaxCdtRate>	[0..1]	±		163
	FinancialTransactionTaxRate <FinTxTaxRate>	[0..1]	±		164

3.4.11.24.17.1 AdditionalQuantityForSubscribedResultantSecurities <AddtlQtyForSbcbdRslntScies>

Presence: [0..1]

Definition: Quantity of additional intermediate securities/new equities awarded for a given quantity of securities derived from subscription.

AdditionalQuantityForSubscribedResultantSecurities <AddtlQtyForSbcbdRslntScies> contains one of the following elements (see "[RatioFormat23Choice](#)" on page 874 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	QuantityToQuantity <QtyToQty>	[1..1]	±		874
Or	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		874
Or}	AmountToAmount <AmtToAmt>	[1..1]			875
	Amount1 <Amt1>	[1..1]	Amount	C1	875
	Amount2 <Amt2>	[1..1]	Amount	C1	875

3.4.11.24.17.2 AdditionalQuantityForExistingSecurities <AddtlQtyForExstgScies>

Presence: [0..1]

Definition: Quantity of additional securities for a given quantity of underlying securities where underlying securities are not exchanged or debited, for example, 1 for 1: 1 new equity credited for every 1 underlying equity = 2 resulting equities.

AdditionalQuantityForExistingSecurities <AddtlQtyForExstgScies> contains one of the following elements (see "RatioFormat23Choice" on page 874 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	QuantityToQuantity <QtyToQty>	[1..1]	±		874
Or	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		874
Or}	AmountToAmount <AmtToAmt>	[1..1]			875
	Amount1 <Amt1>	[1..1]	Amount	C1	875
	Amount2 <Amt2>	[1..1]	Amount	C1	875

3.4.11.24.17.3 NewToOld <NewToOd>

Presence: [0..1]

Definition: Quantity of new securities for a given quantity of underlying securities, where the underlying securities will be exchanged or debited, for example, 2 for 1: 2 new equities credited for every 1 underlying equity debited = 2 resulting equities.

NewToOld <NewToOd> contains one of the following elements (see "RatioFormat24Choice" on page 871 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	QuantityToQuantity <QtyToQty>	[1..1]	±		871
Or	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		871
Or	AmountToAmount <AmtToAmt>	[1..1]			872
	Amount1 <Amt1>	[1..1]	Amount	C1	872
	Amount2 <Amt2>	[1..1]	Amount	C1	872
Or	AmountToQuantity <AmtToQty>	[1..1]			873
	Amount <Amt>	[1..1]	Amount	C1	873
	Quantity <Qty>	[1..1]	Quantity		873
Or}	QuantityToAmount <QtyToAmt>	[1..1]			873
	Amount <Amt>	[1..1]	Amount	C1	873
	Quantity <Qty>	[1..1]	Quantity		874

3.4.11.24.17.4 TransformationRate <TrfrmatnRate>

Presence: [0..1]

Definition: Rate used to determine the cash consideration split across outturn settlement transactions that are the result of a transformation of the parent transaction.

Datatype: "PercentageRate" on page 1172

3.4.11.24.17.5 ChargesFees <ChrgsFees>

Presence: [0..1]

Definition: Rate used to calculate the amount of the charges/fees that cannot be categorised.

ChargesFees <ChrgsFees> contains one of the following elements (see "RateAndAmountFormat46Choice" on page 1040 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1040
Or	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		1040
Or}	Amount <Amt>	[1..1]	Amount	C1	1041

3.4.11.24.17.6 FiscalStamp <FscIStmp>

Presence: [0..1]

Definition: Percentage of fiscal tax to apply.

FiscalStamp <FscIStmp> contains one of the following elements (see "RateFormat3Choice" on page 987 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		987
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		987

3.4.11.24.17.7 ApplicableRate <AplblRate>

Presence: [0..1]

Definition: Rate applicable to the event announced, for example, redemption rate for a redemption event.

ApplicableRate <AplblRate> contains one of the following elements (see "RateFormat3Choice" on page 987 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		987
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		987

3.4.11.24.17.8 TaxCreditRate <TaxCdtRate>

Presence: [0..1]

Definition: Amount of money per equity allocated as the result of a tax credit.

TaxCreditRate <TaxCdtRate> contains one of the following elements (see "RateFormat21Choice" on page 1039 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1039
Or	Amount <Amt>	[1..1]	Amount	C1	1039
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		1039

3.4.11.24.17.9 FinancialTransactionTaxRate <FinTxTaxRate>*Presence:* [0..1]*Definition:* Rate of financial transaction tax.**FinancialTransactionTaxRate <FinTxTaxRate>** contains one of the following elements (see "RateFormat3Choice" on page 987 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		987
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		987

3.4.11.24.18 PriceDetails <PricDtls>*Presence:* [0..1]*Definition:* Provides information about the prices related to securities movement.*Impacted by:* C39 "GenericCashPricePerProductGuideline"**PriceDetails <PricDtls>** contains the following **CorporateActionPrice79** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	IndicativeOrMarketPrice <IndctvOrMktPric>	[0..1]	±		164
	CashInLieuOfSharePrice <CshInLieuOfShrPric>	[0..1]	±		165
	CashValueForTax <CshValForTax>	[0..1]	±		165
	GenericCashPricePaidPerProduct <GncCshPricPdPerPdct>	[0..1]	±		165
	GenericCashPriceReceivedPerProduct <GncCshPricRcvdPerPdct>	[0..1]	±		166

Constraints

- GenericCashPricePerProductGuideline**

GenericCashPriceReceivedPerProduct and GenericCashPricePaidPerProduct are prices received or paid in the course of an event. The specific meaning (redemption price, subscription price, reinvestment price, etc.) is derived from the event type.

3.4.11.24.18.1 IndicativeOrMarketPrice <IndctvOrMktPric>*Presence:* [0..1]*Definition:* Indicates whether the price is an indicative price or a market price.**IndicativeOrMarketPrice <IndctvOrMktPric>** contains one of the following elements (see "IndicativeOrMarketPrice11Choice" on page 965 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	IndicativePrice <IndctvPric>	[1..1]	±		965
Or}	MarketPrice <MktPric>	[1..1]	±		966

3.4.11.24.18.2 CashInLieuOfSharePrice <CshInLieuOfShrPric>*Presence:* [0..1]*Definition:* Cash disbursement in lieu of equities; usually in lieu of fractional quantity.**CashInLieuOfSharePrice <CshInLieuOfShrPric>** contains one of the following elements (see "PriceFormat57Choice" on page 967 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	PercentagePrice <PctgPric>	[1..1]			968
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		968
	PriceValue <PricVal>	[1..1]	Rate		968
Or	AmountPrice <AmtPric>	[1..1]	±		968
Or}	NotSpecifiedPrice <NotSpcfdPric>	[1..1]	CodeSet		969

3.4.11.24.18.3 CashValueForTax <CshValForTax>*Presence:* [0..1]*Definition:* Cash value of resulting securities proceeds for tax calculation and/or reporting.**CashValueForTax <CshValForTax>** contains one of the following elements (see "PriceFormat58Choice" on page 967 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AmountPrice <AmtPric>	[1..1]	±		967
Or}	NotSpecifiedPrice <NotSpcfdPric>	[1..1]	CodeSet		967

3.4.11.24.18.4 GenericCashPricePaidPerProduct <GncCshPricPdPerPdct>*Presence:* [0..1]*Definition:* Generic cash price paid per product by the underlying security holder either as a percentage or an amount or a number of points above an index, for example, reinvestment price, strike price and exercise price.**GenericCashPricePaidPerProduct <GncCshPricPdPerPdct>** contains one of the following elements (see "PriceFormat59Choice" on page 969 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	PercentagePrice <PctgPric>	[1..1]			969
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		969
	PriceValue <PricVal>	[1..1]	Rate		970
Or	AmountPrice <AmtPric>	[1..1]	±		970
Or	NotSpecifiedPrice <NotSpcfdPric>	[1..1]	CodeSet		970
Or}	IndexPoints <IndxPts>	[1..1]	Quantity		970

3.4.11.24.18.5 GenericCashPriceReceivedPerProduct <GncCshPricRcvdPerPdct>*Presence:* [0..1]*Definition:* Generic cash price received per product by the underlying security holder either as a percentage or an amount, for example, redemption price.**GenericCashPriceReceivedPerProduct <GncCshPricRcvdPerPdct>** contains one of the following elements (see "PriceFormat70Choice" on page 956 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	PercentagePrice <PctgPric>	[1..1]			957
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		957
	PriceValue <PricVal>	[1..1]	Rate		958
Or	AmountPrice <AmtPric>	[1..1]	±		958
Or	NotSpecifiedPrice <NotSpcfdPric>	[1..1]	CodeSet		958
Or	AmountPricePerFinancialInstrumentQuantity <AmtPricPerFinInstrmQty>	[1..1]			958
	AmountPriceType <AmtPricTp>	[1..1]	CodeSet		959
	PriceValue <PricVal>	[1..1]	Amount	C1	959
	FinancialInstrumentQuantity <FinInstrmQty>	[1..1]	±	C19	959
Or	AmountPricePerAmount <AmtPricPerAmt>	[1..1]			960
	AmountPriceType <AmtPricTp>	[1..1]	CodeSet		960
	PriceValue <PricVal>	[1..1]	Amount	C1	960
	Amount <Amt>	[1..1]	Amount	C1	961
Or}	IndexPoints <IndxPts>	[1..1]	Quantity		961

3.4.11.25 CashMovementDetails <CshMvmntDtls>*Presence:* [0..*]*Definition:* Provides information about the cash movement linked to the corporate action option.*Impacted by:* C34 "ExemptionTypeUsageRule", C49 "IncomeType2Rule", C51 "IncomeTypeUsageRule", C63 "IssuerOfferorTaxabilityIndicatorUsageRule", C40 "GenericCashPricePerProductGuideline"

CashMovementDetails <CshMvmntDtls> contains the following **CashOption82** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CreditDebitIndicator <CdtDbtInd>	[1..1]	CodeSet		170
	ContractualPaymentIndicator <CtrctlPmtInd>	[0..1]	CodeSet		170
	NonEligibleProceedsIndicator <NonElgblPrclsInd>	[0..1]	±		170
	IssuerOfferorTaxabilityIndicator <IssrOfferrTaxbltyInd>	[0..1]	±		171
	IncomeType <IncmTp>	[0..1]	±		171
	OtherIncomeType <OthrIncmTp>	[0..*]	±		171
	ExemptionType <XmptnTp>	[0..*]	±		172
	CountryOfIncomeSource <CtryOfIncmSrc>	[0..1]	CodeSet	C15	172
	CashAccountIdentification <CshAcctId>	[0..1]	±		172
	AmountDetails <AmtDtls>	[0..1]			173
	GrossCashAmount <GrssCshAmt>	[0..1]	Amount	C1, C16	175
	NetCashAmount <NetCshAmt>	[0..1]	Amount	C1, C16	176
	SolicitationFees <SlctnFees>	[0..1]	Amount	C1, C16	176
	CashInLieuOfShare <CshInLieuOfShr>	[0..1]	Amount	C1, C16	176
	CapitalGain <CptlGn>	[0..1]	Amount	C1, C16	177
	InterestAmount <IntrstAmt>	[0..1]	Amount	C1, C16	177
	IndemnityAmount <IndmntyAmt>	[0..1]	Amount	C1, C16	178
	ManufacturedDividendPaymentAmount <ManfctrDvddPmtAmt>	[0..1]	Amount	C1, C16	178
	ReinvestmentAmount <RinvstmtAmt>	[0..1]	Amount	C1, C16	178
	FullyFrankedAmount <FullyFrnkAmt>	[0..1]	Amount	C1, C16	179
	UnfrankedAmount <UfrnkAmt>	[0..1]	Amount	C1, C16	179
	SundryOrOtherAmount <SndryOrOthrAmt>	[0..1]	Amount	C1, C16	180
	TaxFreeAmount <TaxFreeAmt>	[0..1]	Amount	C1, C16	180
	TaxDeferredAmount <TaxDfrdAmt>	[0..1]	Amount	C1, C16	180
	ValueAddedTaxAmount <ValAddedTaxAmt>	[0..1]	Amount	C1, C16	181
	StampDutyAmount <StmpDtyAmt>	[0..1]	Amount	C1, C16	181
	TaxReclaimAmount <TaxRclmAmt>	[0..1]	Amount	C1, C16	182
	TaxCreditAmount <TaxCdtAmt>	[0..1]	Amount	C1, C16	182
	AdditionalTaxAmount <AddtlTaxAmt>	[0..1]	Amount	C1, C16	182
	WithholdingTaxAmount <WhldgTaxAmt>	[0..1]	Amount	C1, C16	183

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	SecondLevelTaxAmount <ScndLvTaxAmt>	[0..1]	Amount	C1, C16	183
	FiscalStampAmount <FscIStmpAmt>	[0..1]	Amount	C1, C16	184
	ExecutingBrokerAmount <ExctgBrkrAmt>	[0..1]	Amount	C1, C16	184
	PayingAgentCommissionAmount <PngAgtComssnAmt>	[0..1]	Amount	C1, C16	184
	LocalBrokerCommissionAmount <LclBrkrComssnAmt>	[0..1]	Amount	C1, C16	185
	RegulatoryFeesAmount <RgltryFeesAmt>	[0..1]	Amount	C1, C16	185
	ShippingFeesAmount <ShppgFeesAmt>	[0..1]	Amount	C1, C16	186
	ChargesAmount <ChrgsAmt>	[0..1]	Amount	C1, C16	186
	EntitledAmount <EntitldAmt>	[0..1]	Amount	C1, C16	186
	OriginalAmount <OrgnlAmt>	[0..1]	Amount	C1, C16	187
	PrincipalOrCorpus <PrncplOrCrps>	[0..1]	Amount	C1, C16	187
	RedemptionPremiumAmount <RedPrmAmt>	[0..1]	Amount	C1, C16	188
	IncomePortion <IncmPrtn>	[0..1]	Amount	C1, C16	188
	StockExchangeTax <StockXchgTax>	[0..1]	Amount	C1, C16	189
	EUTaxRetentionAmount <EUTaxRtntnAmt>	[0..1]	Amount	C1, C16	189
	AccruedInterestAmount <AcrdIntrstAmt>	[0..1]	Amount	C1, C16	189
	EqualisationAmount <EqulstnAmt>	[0..1]	Amount	C1, C16	190
	FATCATaxAmount <FATCATaxAmt>	[0..1]	Amount	C1, C16	190
	NRATaxAmount <NRATaxAmt>	[0..1]	Amount	C1, C16	191
	BackUpWithholdingTaxAmount <BckUpWhldgTaxAmt>	[0..1]	Amount	C1, C16	191
	TaxOnIncomeAmount <TaxOnIncmAmt>	[0..1]	Amount	C1, C16	191
	TransactionTax <TxTax>	[0..1]	Amount	C1, C16	192
	DeemedAmount <DmdAmt>	[0..1]	Amount	C1, C16	192
	ForeignIncomeAmount <FrgnIncmAmt>	[0..1]	Amount	C1, C16	193
	DeemedDividendAmount <DmdDvddAmt>	[0..1]	Amount	C1, C16	193
	DeemedFundAmount <DmdFndAmt>	[0..1]	Amount	C1, C16	193
	DeemedInterestAmount <DmdIntrstAmt>	[0..1]	Amount	C1, C16	194
	DeemedRoyaltiesAmount <DmdRyltsAmt>	[0..1]	Amount	C1, C16	194
	DateDetails <DtDtls>	[1..1]			195
	PaymentDate <PmtDt>	[1..1]	±		195

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ValueDate <ValDt>	[0..1]	±		195
	ForeignExchangeRateFixingDate <FXRateFxdDt>	[0..1]	±		196
	EarliestPaymentDate <EarlStPmtDt>	[0..1]	±		196
	ForeignExchangeDetails <FXDtls>	[0..1]	±		196
	RateAndAmountDetails <RateAndAmtDtls>	[0..1]		C19, C20, C43, C45, C77, C79, C103, C105, C107, C112, C114	197
	AdditionalTax <AddtlTax>	[0..1]	±		199
	GrossDividendRate <GrssDvddRate>	[0..*]	±		199
	InterestRateUsedForPayment <IntrstRateUsdForPmt>	[0..*]	±		200
	WithholdingTaxRate <WhldgTaxRate>	[0..*]	±		201
	SecondLevelTax <ScndLvlTax>	[0..*]	±		201
	ChargesFees <ChrgsFees>	[0..1]	±		202
	EarlySolicitationFeeRate <EarlySlctnFeeRate>	[0..1]	±		202
	FiscalStamp <FscIStmp>	[0..1]	±		203
	ThirdPartyIncentiveRate <ThrdPtyIncntivRate>	[0..1]	±		203
	NetDividendRate <NetDvddRate>	[0..*]	±		203
	ApplicableRate <AplblRate>	[0..1]	±		204
	SolicitationFeeRate <SlctnFeeRate>	[0..1]	±		204
	TaxCreditRate <TaxCdtRate>	[0..1]	±		205
	TaxOnIncome <TaxOnIncm>	[0..1]	±		205
	TaxOnProfits <TaxOnPrfts>	[0..1]	±		205
	TaxReclaimRate <TaxRclmRate>	[0..1]	±		206
	EqualisationRate <EqulstnRate>	[0..1]	±		206
	DeemedRate <DmdRate>	[0..*]	±		206
	PriceDetails <PricDtls>	[0..1]		C41	207
	GenericCashPricePaidPerProduct <GncCshPricPdPerPdct>	[0..1]	±		208
	GenericCashPriceReceivedPerProduct <GncCshPricRcvdPerPdct>	[0..1]	±		208

Constraints

- **ExemptionTypeUsageRule**

The lists of exemption type codes to be used in ExemptionType are provided in the document "ETYP-ITYP Exemption & Income Type Codes" available on the SMPG website at www.smpg.info.

- **GenericCashPricePerProductGuideline**

GenericCashPriceReceivedPerProduct and GenericCashPricePaidPerProduct are prices received or paid in the course of an event. The specific meaning (redemption price, subscription price, reinvestment price.) is derived from the event type.

- **IncomeType2Rule**

If at least one occurrence of OtherIncomeType is present, then IncomeType must be present.

```
On Condition
  /OtherIncomeType[*] is present
Following Must be True
  /IncomeType Must be present
```

- **IncomeTypeUsageRule**

The lists of income type codes to be used in IncomeType are provided in the document "ETYP-ITYP Exemption & Income Type Codes" available on the SMPG website at www.smpg.info.

- **IssuerOfferorTaxabilityIndicatorUsageRule**

The IssuerOfferorTaxabilityIndicator may only be used when no tax rate is provided in the message and it must not be used in events such as dividend or interest.

The list of proprietary IssuerOfferorTaxabilityIndicator codes that may be used are provided in the document "TXAP Taxability Codes" available on the SMPG website at www.smpg.info.

3.4.11.25.1 CreditDebitIndicator <CdtDbtInd>

Presence: [1..1]

Definition: Indicates whether the value is a debit or a credit.

Datatype: "CreditDebitCode" on page 1135

CodeName	Name	Definition
CRDT	Credit	Operation is an increase.
DBIT	Debit	Operation is a decrease.

3.4.11.25.2 ContractualPaymentIndicator <CtrctlPmtInd>

Presence: [0..1]

Definition: Specifies exceptions to contractual payment service.

Datatype: "Payment2Code" on page 1155

CodeName	Name	Definition
ACTU	ActualPayment	The cash payment occurs or will occur upon receipt of proceeds from the issuer.

3.4.11.25.3 NonEligibleProceedsIndicator <NonElgblPrclsInd>

Presence: [0..1]

Definition: Specifies information regarding outturn resources that cannot be processed by the Central Securities Depository (CSD). Special delivery instruction is required from the account owner for the corporate action outcome to be credited.

NonEligibleProceedsIndicator <NonElgblPrclsInd> contains one of the following elements (see "NonEligibleProceedsIndicator4Choice" on page 875 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		876
Or}	Proprietary <Prtry>	[1..1]	±		876

3.4.11.25.4 IssuerOfferorTaxabilityIndicator <IssrOfferrTaxbltyInd>

Presence: [0..1]

Definition: Proceeds are taxable according to the information provided by the issuer / offeror.

IssuerOfferorTaxabilityIndicator <IssrOfferrTaxbltyInd> contains one of the following elements (see "IssuerOfferorTaxabilityIndicator1Choice" on page 912 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		912
Or}	Proprietary <Prtry>	[1..1]	±		912

3.4.11.25.5 IncomeType <IncmTp>

Presence: [0..1]

Definition: Specifies the type of income.

IncomeType <IncmTp> contains the following elements (see "GenericIdentification47" on page 790 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		790
	Issuer <Issr>	[1..1]	Text		790
	SchemeName <SchmeNm>	[0..1]	Text		791

3.4.11.25.6 OtherIncomeType <OthrIncmTp>

Presence: [0..*]

Definition: Type of income to be used under another tax regime.

OtherIncomeType <OthrIncmTp> contains the following elements (see "[GenericIdentification47](#)" on page 790 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		790
	Issuer <Issr>	[1..1]	Text		790
	SchemeName <SchmeNm>	[0..1]	Text		791

3.4.11.25.7 ExemptionType <XmptnTp>

Presence: [0..*]

Definition: Specifies the basis for the reduced rate of withholding.

ExemptionType <XmptnTp> contains the following elements (see "[GenericIdentification47](#)" on page 790 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		790
	Issuer <Issr>	[1..1]	Text		790
	SchemeName <SchmeNm>	[0..1]	Text		791

3.4.11.25.8 CountryOfIncomeSource <CtryOfIncmSrc>

Presence: [0..1]

Definition: Indicates the country from which the income originates.

Impacted by: C15 "Country"

Datatype: "[CountryCode](#)" on page 1135

Constraints

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

3.4.11.25.9 CashAccountIdentification <CshAcctId>

Presence: [0..1]

Definition: Identification of the account in which cash is maintained.

CashAccountIdentification <CshAcctId> contains one of the following elements (see "[CashAccountIdentification6Choice](#)" on page 712 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	IBAN <IBAN>	[1..1]	IdentifierSet	C33	712
Or}	Proprietary <Prtry>	[1..1]	Text		713

3.4.11.25.10 AmountDetails <AmtDtls>

Presence: [0..1]

Definition: Provides information about the amounts related to a cash movement.

AmountDetails <AmtDtls> contains the following **CorporateActionAmounts59** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	GrossCashAmount <GrssCshAmt>	[0..1]	Amount	C1, C16	175
	NetCashAmount <NetCshAmt>	[0..1]	Amount	C1, C16	176
	SolicitationFees <SlctnFees>	[0..1]	Amount	C1, C16	176
	CashInLieuOfShare <CshInLieuOfShr>	[0..1]	Amount	C1, C16	176
	CapitalGain <CptlGn>	[0..1]	Amount	C1, C16	177
	InterestAmount <IntrstAmt>	[0..1]	Amount	C1, C16	177
	IndemnityAmount <IndmntyAmt>	[0..1]	Amount	C1, C16	178
	ManufacturedDividendPaymentAmount <ManfctrdDvddPmtAmt>	[0..1]	Amount	C1, C16	178
	ReinvestmentAmount <RinvstmtAmt>	[0..1]	Amount	C1, C16	178
	FullyFrankedAmount <FullyFrnkAmt>	[0..1]	Amount	C1, C16	179
	UnfrankedAmount <UfrnkAmt>	[0..1]	Amount	C1, C16	179
	SundryOrOtherAmount <SndryOrOthrAmt>	[0..1]	Amount	C1, C16	180
	TaxFreeAmount <TaxFreeAmt>	[0..1]	Amount	C1, C16	180
	TaxDeferredAmount <TaxDfrrdAmt>	[0..1]	Amount	C1, C16	180
	ValueAddedTaxAmount <ValAddedTaxAmt>	[0..1]	Amount	C1, C16	181
	StampDutyAmount <StmpDtyAmt>	[0..1]	Amount	C1, C16	181
	TaxReclaimAmount <TaxRclmAmt>	[0..1]	Amount	C1, C16	182
	TaxCreditAmount <TaxCdtAmt>	[0..1]	Amount	C1, C16	182
	AdditionalTaxAmount <AddtlTaxAmt>	[0..1]	Amount	C1, C16	182
	WithholdingTaxAmount <WhldgTaxAmt>	[0..1]	Amount	C1, C16	183
	SecondLevelTaxAmount <ScndLvlTaxAmt>	[0..1]	Amount	C1, C16	183
	FiscalStampAmount <FscLStmpAmt>	[0..1]	Amount	C1, C16	184
	ExecutingBrokerAmount <ExctgBrkrAmt>	[0..1]	Amount	C1, C16	184
	PayingAgentCommissionAmount <PngAgtComssnAmt>	[0..1]	Amount	C1, C16	184
	LocalBrokerCommissionAmount <LclBrkrComssnAmt>	[0..1]	Amount	C1, C16	185
	RegulatoryFeesAmount <RgltryFeesAmt>	[0..1]	Amount	C1, C16	185
	ShippingFeesAmount <ShppgFeesAmt>	[0..1]	Amount	C1, C16	186
	ChargesAmount <ChrgsAmt>	[0..1]	Amount	C1, C16	186
	EntitledAmount <EntitldAmt>	[0..1]	Amount	C1, C16	186

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	OriginalAmount <OrgnlAmt>	[0..1]	Amount	C1, C16	187
	PrincipalOrCorpus <PrncplOrCrps>	[0..1]	Amount	C1, C16	187
	RedemptionPremiumAmount <RedPrmAmt>	[0..1]	Amount	C1, C16	188
	IncomePortion <IncmPrtn>	[0..1]	Amount	C1, C16	188
	StockExchangeTax <StockXchgTax>	[0..1]	Amount	C1, C16	189
	EUTaxRetentionAmount <EUTaxRtntnAmt>	[0..1]	Amount	C1, C16	189
	AccruedInterestAmount <AcrdIntrstAmt>	[0..1]	Amount	C1, C16	189
	EqualisationAmount <EqulstnAmt>	[0..1]	Amount	C1, C16	190
	FATCATaxAmount <FATCATaxAmt>	[0..1]	Amount	C1, C16	190
	NRATaxAmount <NRATaxAmt>	[0..1]	Amount	C1, C16	191
	BackUpWithholdingTaxAmount <BckUpWhldgTaxAmt>	[0..1]	Amount	C1, C16	191
	TaxOnIncomeAmount <TaxOnIncmAmt>	[0..1]	Amount	C1, C16	191
	TransactionTax <TxTax>	[0..1]	Amount	C1, C16	192
	DeemedAmount <DmdAmt>	[0..1]	Amount	C1, C16	192
	ForeignIncomeAmount <FrgrIncmAmt>	[0..1]	Amount	C1, C16	193
	DeemedDividendAmount <DmdDvddAmt>	[0..1]	Amount	C1, C16	193
	DeemedFundAmount <DmdFndAmt>	[0..1]	Amount	C1, C16	193
	DeemedInterestAmount <DmdIntrstAmt>	[0..1]	Amount	C1, C16	194
	DeemedRoyaltiesAmount <DmdRyltsAmt>	[0..1]	Amount	C1, C16	194

3.4.11.25.10.1 GrossCashAmount <GrssCshAmt>*Presence:* [0..1]*Definition:* Amount of money before any deductions and allowances have been made.*Impacted by:* C1 "ActiveCurrency", C16 "CurrencyAmount"*Datatype:* "RestrictedFINActiveCurrencyAndAmount" on page 1086**Constraints**

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

3.4.11.25.10.2 NetCashAmount <NetCshAmt>

Presence: [0..1]

Definition: Amount of money after deductions and allowances have been made, if any, that is, the total amount +/- charges/fees.

Impacted by: C1 "ActiveCurrency", C16 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1086

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

3.4.11.25.10.3 SolicitationFees <SlctnFees>

Presence: [0..1]

Definition: Cash premium made available if the securities holder consents or participates to an event, for example consent fees or solicitation fees.

Impacted by: C1 "ActiveCurrency", C16 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1086

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

3.4.11.25.10.4 CashInLieuOfShare <CshInLieuOfShr>

Presence: [0..1]

Definition: Cash disbursement in lieu of a fractional quantity of, for example, equity.

Impacted by: C1 "ActiveCurrency", C16 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1086

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

3.4.11.25.10.5 CapitalGain <CptlGn>

Presence: [0..1]

Definition: Amount of money distributed as the result of a capital gain.

Impacted by: C1 "ActiveCurrency", C16 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1086

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

3.4.11.25.10.6 InterestAmount <IntrstAmt>

Presence: [0..1]

Definition: Amount of money representing a coupon payment.

Impacted by: C1 "ActiveCurrency", C16 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1086

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

3.4.11.25.10.7 IndemnityAmount <IndmntyAmt>

Presence: [0..1]

Definition: Amount due to a buyer of securities dealt prior to ex date which may be subject to different rate of taxation. Used mainly in France.

Impacted by: C1 "ActiveCurrency", C16 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1086

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

3.4.11.25.10.8 ManufacturedDividendPaymentAmount <ManfctrdDvddPmtAmt>

Presence: [0..1]

Definition: Amount of money that the borrower pays to the lender as a compensation. It does not entitle the lender to reclaim any tax credit and is sometimes treated differently by the local tax authorities of the lender. Also covers compensation/indemnity of missed dividend concerning early/late settlements if applicable to a market.

Impacted by: C1 "ActiveCurrency", C16 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1086

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

3.4.11.25.10.9 ReinvestmentAmount <RinvstmtAmt>

Presence: [0..1]

Definition: Amount of money reinvested in additional securities.

Impacted by: C1 "ActiveCurrency", C16 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1086

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

3.4.11.25.10.10 FullyFrankedAmount <FullyFrnkAmt>

Presence: [0..1]

Definition: Amount resulting from a fully franked dividend paid by a company; amount includes tax credit for companies that have made sufficient tax payments during the fiscal period.

Impacted by: C1 "ActiveCurrency", C16 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1086

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

3.4.11.25.10.11 UnfrankedAmount <UfrnkAmt>

Presence: [0..1]

Definition: Amount resulting from an unfranked dividend paid by a company; the amount does not include tax credit and is subject to withholding tax.

Impacted by: C1 "ActiveCurrency", C16 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1086

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

3.4.11.25.10.12 SundryOrOtherAmount <SndryOrOthrAmt>

Presence: [0..1]

Definition: Amount of money related to taxable income that cannot be categorised.

Impacted by: C1 "ActiveCurrency", C16 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1086

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

3.4.11.25.10.13 TaxFreeAmount <TaxFreeAmt>

Presence: [0..1]

Definition: Amount of money that has not been subject to taxation.

Impacted by: C1 "ActiveCurrency", C16 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1086

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

3.4.11.25.10.14 TaxDeferredAmount <TaxDfrdAmt>

Presence: [0..1]

Definition: Amount of income eligible for deferred taxation.

Impacted by: C1 "ActiveCurrency", C16 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1086

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

3.4.11.25.10.15 ValueAddedTaxAmount <ValAddedTaxAmt>

Presence: [0..1]

Definition: Amount of value added tax.

Impacted by: C1 "ActiveCurrency", C16 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1086

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

3.4.11.25.10.16 StampDutyAmount <StmpDtyAmt>

Presence: [0..1]

Definition: Amount of stamp duty.

Impacted by: C1 "ActiveCurrency", C16 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1086

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

3.4.11.25.10.17 TaxReclaimAmount <TaxRclmAmt>

Presence: [0..1]

Definition: Amount that was paid in excess of actual tax obligation and was reclaimed.

Impacted by: C1 "ActiveCurrency", C16 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1086

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

3.4.11.25.10.18 TaxCreditAmount <TaxCdtAmt>

Presence: [0..1]

Definition: Amount of taxes that have been previously paid in relation to the taxable event.

Impacted by: C1 "ActiveCurrency", C16 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1086

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

3.4.11.25.10.19 AdditionalTaxAmount <AddtlTaxAmt>

Presence: [0..1]

Definition: Amount of additional taxes that cannot be categorised.

Impacted by: C1 "ActiveCurrency", C16 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1086

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

3.4.11.25.10.20 WithholdingTaxAmount <WhldgTaxAmt>

Presence: [0..1]

Definition: Amount of a cash distribution that will be withheld by the tax authorities of the jurisdiction of the issuer, for which a relief at source and/or reclaim may be possible.

Impacted by: C1 "ActiveCurrency", C16 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1086

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

3.4.11.25.10.21 SecondLevelTaxAmount <ScndLvITaxAmt>

Presence: [0..1]

Definition: Amount of money withheld by the jurisdiction other than the jurisdiction of the issuer's country of tax incorporation, for which a relief at source and/or reclaim may be possible. It is levied in complement or offset of the withholding tax rate levied by the jurisdiction of the issuer's tax domicile.

Impacted by: C1 "ActiveCurrency", C16 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1086

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217

Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

3.4.11.25.10.22 FiscalStampAmount <FsclStmpAmt>

Presence: [0..1]

Definition: Amount of fiscal tax to apply.

Impacted by: C1 "ActiveCurrency", C16 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1086

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

3.4.11.25.10.23 ExecutingBrokerAmount <ExctgBrkrAmt>

Presence: [0..1]

Definition: Amount of money paid to an executing broker as a commission.

Impacted by: C1 "ActiveCurrency", C16 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1086

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

3.4.11.25.10.24 PayingAgentCommissionAmount <PngAgtComssnAmt>

Presence: [0..1]

Definition: Amount of paying/sub-paying agent commission.

Impacted by: C1 "ActiveCurrency", C16 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1086

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

3.4.11.25.10.25 LocalBrokerCommissionAmount <LclBrkrComssnAmt>

Presence: [0..1]

Definition: Local broker's commission.

Impacted by: C1 "ActiveCurrency", C16 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1086

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

3.4.11.25.10.26 RegulatoryFeesAmount <RgltryFeesAmt>

Presence: [0..1]

Definition: Amount of money charged by a regulatory authority, for example, securities and exchange fees.

Impacted by: C1 "ActiveCurrency", C16 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1086

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217

Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

3.4.11.25.10.27 ShippingFeesAmount <ShppgFeesAmt>

Presence: [0..1]

Definition: All costs related to the physical delivery of documents such as stamps, postage, carrier fees, insurances or messenger services.

Impacted by: C1 "ActiveCurrency", C16 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1086

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

3.4.11.25.10.28 ChargesAmount <ChrgsAmt>

Presence: [0..1]

Definition: Amount of money paid for the provision of financial services that cannot be categorised by another qualifier.

Impacted by: C1 "ActiveCurrency", C16 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1086

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

3.4.11.25.10.29 EntitledAmount <EntitldAmt>

Presence: [0..1]

Definition: Cash amount based on the terms of the corporate action event and balance of underlying securities, entitled to/from account owner (which may be positive or negative).

Impacted by: C1 "ActiveCurrency", C16 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1086

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

3.4.11.25.10.30 OriginalAmount <OrgnlAmt>

Presence: [0..1]

Definition: Posting/settlement amount in its original currency when conversion from/into another currency has occurred.

Impacted by: C1 "ActiveCurrency", C16 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1086

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

3.4.11.25.10.31 PrincipalOrCorpus <PrncplOrCrps>

Presence: [0..1]

Definition: Amount of money representing a distribution of a bond's principal, for example, repayment of outstanding debt.

Impacted by: C1 "ActiveCurrency", C16 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1086

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

3.4.11.25.10.32 RedemptionPremiumAmount <RedPrmAmt>

Presence: [0..1]

Definition: Amount of money (not interest) in addition to the principal at the redemption of a bond.

Impacted by: C1 "ActiveCurrency", C16 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1086

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

3.4.11.25.10.33 IncomePortion <IncmPrtn>

Presence: [0..1]

Definition: Amount relating to the underlying security for which income is distributed.

Impacted by: C1 "ActiveCurrency", C16 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1086

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

3.4.11.25.10.34 StockExchangeTax <StockXchgTax>

Presence: [0..1]

Definition: Amount of stock exchange tax.

Impacted by: C1 "ActiveCurrency", C16 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1086

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

3.4.11.25.10.35 EUTaxRetentionAmount <EUTaxRtnAmt>

Presence: [0..1]

Definition: Total amount of tax withheld at source in conformance with the EU Savings Directive.

Impacted by: C1 "ActiveCurrency", C16 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1086

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

3.4.11.25.10.36 AccruedInterestAmount <AcrdIntrstAmt>

Presence: [0..1]

Definition: Amount of interest that has been accrued in between coupon payment periods.

Impacted by: C1 "ActiveCurrency", C16 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1086

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

3.4.11.25.10.37 EqualisationAmount <EqulstnAmt>

Presence: [0..1]

Definition: Portion of the fund distribution amount which represents the average accrued income included in the purchase price for units bought during the account period.

Impacted by: C1 "ActiveCurrency", C16 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1086

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

3.4.11.25.10.38 FATCATaxAmount <FATCATaxAmt>

Presence: [0..1]

Definition: FATCA (Foreign Account Tax Compliance Act) related tax amount.

Impacted by: C1 "ActiveCurrency", C16 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1086

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

3.4.11.25.10.39 NRATaxAmount <NRATaxAmt>

Presence: [0..1]

Definition: Amount of tax related income subject to NRA (Non Resident Alien).

Impacted by: C1 "ActiveCurrency", C16 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1086

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

3.4.11.25.10.40 BackUpWithholdingTaxAmount <BckUpWhldgTaxAmt>

Presence: [0..1]

Definition: Amount of tax related to back up withholding.

Impacted by: C1 "ActiveCurrency", C16 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1086

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

3.4.11.25.10.41 TaxOnIncomeAmount <TaxOnIncmAmt>

Presence: [0..1]

Definition: Amount of overall tax withheld at source by fund managers prior to considering the tax obligation of each unit holder.

Impacted by: C1 "ActiveCurrency", C16 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1086

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

3.4.11.25.10.42 TransactionTax <TxTax>

Presence: [0..1]

Definition: Amount of transaction tax.

Impacted by: C1 "ActiveCurrency", C16 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1086

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

3.4.11.25.10.43 DeemedAmount <DmdAmt>

Presence: [0..1]

Definition: Amount of proceeds which is not actually paid to the security holder but on which withholding tax is applicable.

Impacted by: C1 "ActiveCurrency", C16 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1086

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

3.4.11.25.10.44 ForeignIncomeAmount <FrgrnIncmt>

Presence: [0..1]

Definition: Amount relating to a foreign income such as a conduit foreign income.

Impacted by: C1 "ActiveCurrency", C16 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1086

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

3.4.11.25.10.45 DeemedDividendAmount <DmdDvddAmt>

Presence: [0..1]

Definition: Deemed amount resulting from the difference between the rate of dividend proceeds attributed to the security holder for the taxable year and the rate of dividend proceeds previously notified to the security holder in relation to cash distributions for the year.

Impacted by: C1 "ActiveCurrency", C16 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1086

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

3.4.11.25.10.46 DeemedFundAmount <DmdFndAmt>

Presence: [0..1]

Definition: Deemed amount resulting from the difference between the rate of the proceeds components comprising a fund payment attributed to the security holder for the taxable year and the rate of the fund proceeds previously notified to the security holder in relation to cash distributions for the year.

Impacted by: C1 "ActiveCurrency", C16 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1086

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

3.4.11.25.10.47 DeemedInterestAmount <DmdIntrstAmt>

Presence: [0..1]

Definition: Deemed amount resulting from the difference between the rate of interest proceeds attributed to the security holder for the taxable year and the rate of interest proceeds previously notified to the security holder in relation to cash distributions for the year.

Impacted by: C1 "ActiveCurrency", C16 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1086

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

3.4.11.25.10.48 DeemedRoyaltiesAmount <DmdRyltsAmt>

Presence: [0..1]

Definition: Deemed amount resulting from the difference between the rate of royalty proceeds attributed to the security holder for the taxable year and the rate of royalty proceeds previously notified to the security holder in relation to cash distributions for the year.

Impacted by: C1 "ActiveCurrency", C16 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1086

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217

Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

3.4.11.25.11 DateDetails <DtDtls>

Presence: [1..1]

Definition: Provides information about the dates related to a cash movement.

DateDetails <DtDtls> contains the following **CorporateActionDate72** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PaymentDate <PmtDt>	[1..1]	±		195
	ValueDate <ValDt>	[0..1]	±		195
	ForeignExchangeRateFixingDate <FXRateFxdDt>	[0..1]	±		196
	EarliestPaymentDate <EarlstPmtDt>	[0..1]	±		196

3.4.11.25.11.1 PaymentDate <PmtDt>

Presence: [1..1]

Definition: Date/time on which the movement is due to take place (cash and/or securities).

PaymentDate <PmtDt> contains one of the following elements (see "DateFormat49Choice" on page 729 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		730
Or}	DateCode <DtCd>	[1..1]			730
{Or	Code <Cd>	[1..1]	CodeSet		730
Or}	Proprietary <Prtry>	[1..1]	±		730

3.4.11.25.11.2 ValueDate <ValDt>

Presence: [0..1]

Definition: Date/time when calculating economic benefit for a cash amount.

ValueDate <ValDt> contains one of the following elements (see "DateFormat55Choice" on page 725 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		726
Or}	DateCode <DtCd>	[1..1]			726
{Or	Code <Cd>	[1..1]	CodeSet		726
Or}	Proprietary <Prtry>	[1..1]	±		726

3.4.11.25.11.3 ForeignExchangeRateFixingDate <FXRateFxdt>

Presence: [0..1]

Definition: Date/time at which a foreign exchange rate will be determined.

ForeignExchangeRateFixingDate <FXRateFxdt> contains one of the following elements (see "DateFormat49Choice" on page 729 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		730
Or}	DateCode <DtCd>	[1..1]			730
{Or	Code <Cd>	[1..1]	CodeSet		730
Or}	Proprietary <Prtry>	[1..1]	±		730

3.4.11.25.11.4 EarliestPaymentDate <EarlStPmtDt>

Presence: [0..1]

Definition: Date/time on which a payment can be made, for example, if payment date is a non-business day or to indicate the first payment date of an offer.

EarliestPaymentDate <EarlStPmtDt> contains one of the following elements (see "DateFormat49Choice" on page 729 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		730
Or}	DateCode <DtCd>	[1..1]			730
{Or	Code <Cd>	[1..1]	CodeSet		730
Or}	Proprietary <Prtry>	[1..1]	±		730

3.4.11.25.12 ForeignExchangeDetails <FXDtIs>

Presence: [0..1]

Definition: Exchange rate between the amount and the resulting amount.

ForeignExchangeDetails <FXDtIs> contains the following elements (see "[ForeignExchangeTerms28](#)" on page 782 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	UnitCurrency <UnitCcy>	[1..1]	CodeSet	C1	782
	QuotedCurrency <QtdCcy>	[1..1]	CodeSet	C1	782
	ExchangeRate <XchgRate>	[1..1]	Rate		782
	ResultingAmount <RsltgAmt>	[0..1]	Amount	C1, C13	783

3.4.11.25.13 RateAndAmountDetails <RateAndAmtDtIs>

Presence: [0..1]

Definition: Provides information about the corporate action option.

Impacted by: [C19 "DeemedRate1Rule"](#), [C20 "DeemedRate2Rule"](#), [C43 "GrossDividendRate2Rule"](#), [C45 "GrossDividendRate3Rule"](#), [C77 "NetDividendRate1Rule"](#), [C79 "NetDividendRate2Rule"](#), [C103 "SecondLevelTax1Rule"](#), [C105 "SecondLevelTax2Rule"](#), [C107 "SecondLevelTax3Rule"](#), [C112 "WithholdingTaxRate1Rule"](#), [C114 "WithholdingTaxRate2Rule"](#)

RateAndAmountDetails <RateAndAmtDtIs> contains the following **Rate38** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AdditionalTax <AddtlTax>	[0..1]	±		199
	GrossDividendRate <GrssDvddRate>	[0..*]	±		199
	InterestRateUsedForPayment <IntrstRateUsdForPmt>	[0..*]	±		200
	WithholdingTaxRate <WhldgTaxRate>	[0..*]	±		201
	SecondLevelTax <ScndLvITax>	[0..*]	±		201
	ChargesFees <ChrgsFees>	[0..1]	±		202
	EarlySolicitationFeeRate <EarlySlctnFeeRate>	[0..1]	±		202
	FiscalStamp <FscIStmp>	[0..1]	±		203
	ThirdPartyIncentiveRate <ThrdPtyIncntivRate>	[0..1]	±		203
	NetDividendRate <NetDvddRate>	[0..*]	±		203
	ApplicableRate <AplblRate>	[0..1]	±		204
	SolicitationFeeRate <SlctnFeeRate>	[0..1]	±		204
	TaxCreditRate <TaxCdtRate>	[0..1]	±		205
	TaxOnIncome <TaxOnIncm>	[0..1]	±		205
	TaxOnProfits <TaxOnPrfts>	[0..1]	±		205
	TaxReclaimRate <TaxRclmRate>	[0..1]	±		206
	EqualisationRate <EqulstnRate>	[0..1]	±		206
	DeemedRate <DmdRate>	[0..*]	±		206

Constraints

- **DeemedRate1Rule**

If DeemedRate is repeated, then DeemedRate/RateTypeAndAmountAndRateStatus/RateType/Code values and DeemedRate/RateTypeAndRate/RateType/Code values must all be different in each occurrence of DeemedRate.

(MT 564 NVR C8).

- **DeemedRate2Rule**

Only a single occurrence of either DeemedRate/Amount or DeemedRate/Rate or DeemedRate/NotSpecifiedRate may be present, only one of these elements may be present.

(MT 564 NVR C7).

- **GrossDividendRate2Rule**

If GrossDividendRate is repeated, then:

- RateTypeAndAmountAndRateStatus/RateType/Code values must all be different in each occurrence of GrossDividendRate. (MT 564 NVR C8).

- **GrossDividendRate3Rule**

Only a single occurrence of either GrossDividendRate/Amount or GrossDividendRate/AmountAndRateStatus or GrossDividendRate/NotSpecifiedRate may be present, and only one of these elements may be present.

(MT 564 NVR C7).

- **NetDividendRate1Rule**

If NetDividendRate is repeated, then:

- RateTypeAndAmountAndRateStatus/RateType/Code values must all be different in each occurrence of NetDividendRate. (MT 564 NVR C8).

- **NetDividendRate2Rule**

Only a single occurrence of either NetDividendRate/Amount or NetDividendRate/AmountAndRateStatus or NetDividendRate/NotSpecifiedRate may be present, and only one of these elements may be present.

(MT 564 NVR C7).

- **SecondLevelTax1Rule**

If SecondLevelTax is repeated, then SecondLevelTax/RateTypeAndRate/RateType/Code values must all be different in each occurrence of SecondLevelTax.

(MT 564 NVR C8).

- **SecondLevelTax2Rule**

Only a single occurrence of either SecondLevelTax/Amount or SecondLevelTax/Rate or SecondLevelTax/NotSpecifiedRate may be present, only one of these elements may be present.

(MT 564 NVR C7).

- **SecondLevelTax3Rule**

If at least one occurrence of SecondLevelTax is present, then at least one occurrence of WithholdingTaxRate must be present. (MT 564 NVR C19).

```
On Condition
  /SecondLevelTax[*] is present
Following Must be True
  /WithholdingTaxRate[*] Must be present
```

- **WithholdingTaxRate1Rule**

If WithholdingTaxRate is repeated, then WithholdingTaxRate/RateTypeAndRate/RateType/Code values must all be different in each occurrence of WithholdingTaxRate.

(MT 564 NVR C8).

- **WithholdingTaxRate2Rule**

Only a single occurrence of either WithholdingTaxRate/Amount or WithholdingTaxRate/Rate or WithholdingTaxRate/NotSpecifiedRate may be present, only one of these elements may be present.

(MT 564 NVR C7).

3.4.11.25.13.1 AdditionalTax <AddtlTax>

Presence: [0..1]

Definition: Rate used for additional tax that cannot be categorised.

AdditionalTax <AddtlTax> contains one of the following elements (see "RateAndAmountFormat46Choice" on page 1040 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1040
Or	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		1040
Or}	Amount <Amt>	[1..1]	Amount	C1	1041

3.4.11.25.13.2 GrossDividendRate <GrssDvddRate>

Presence: [0..*]

Definition: Cash dividend amount per equity before deductions or allowances have been made.

GrossDividendRate <GrssDvddRate> contains one of the following elements (see "GrossDividendRateFormat42Choice" on page 1016 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Amount <Amt>	[1..1]	Amount	C1	1017
Or	AmountAndRateStatus <AmtAndRateSts>	[1..1]			1017
	Amount <Amt>	[1..1]	Amount	C1	1018
	RateStatus <RateSts>	[1..1]	CodeSet		1018
Or	RateTypeAndAmountAndRateStatus <RateTpAndAmtAndRateSts>	[1..1]			1018
	RateType <RateTp>	[1..1]			1018
{Or	Code <Cd>	[1..1]	CodeSet		1019
Or}	Proprietary <Prtry>	[1..1]	±		1020
	Amount <Amt>	[1..1]	Amount	C1	1020
	RateStatus <RateSts>	[0..1]		C58	1020
{Or	Code <Cd>	[1..1]	CodeSet		1020
Or}	Proprietary <Prtry>	[1..1]	±		1021
Or}	NotSpecifiedRate <NotSpctdRate>	[1..1]	CodeSet		1021

3.4.11.25.13.3 InterestRateUsedForPayment <IntrstRateUsdForPmt>

Presence: [0..*]

Definition: The actual interest rate used for the payment of the interest for the specified interest period.

Usage guideline: It is used to provide the applicable rate for the current payment, after all calculations have been performed, that is, application of period and method of interest computation.

InterestRateUsedForPayment <IntrstRateUsdForPmt> contains one of the following elements (see "InterestRateUsedForPaymentFormat10Choice" on page 882 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		883
Or	Amount <Amt>	[1..1]	Amount	C1	883
Or	RateTypeAndAmountAndRateStatus <RateTpAndAmtAndRateSts>	[1..1]			883
	RateType <RateTp>	[1..1]			884
{Or	Code <Cd>	[1..1]	CodeSet		884
Or}	Proprietary <Prtry>	[1..1]	±		884
	Amount <Amt>	[1..1]	Amount	C1	885
	RateStatus <RateSts>	[0..1]		C58	885
{Or	Code <Cd>	[1..1]	CodeSet		885
Or}	Proprietary <Prtry>	[1..1]	±		886
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		886

3.4.11.25.13.4 WithholdingTaxRate <WhldgTaxRate>

Presence: [0..*]

Definition: Percentage of a cash distribution that will be withheld by the tax authorities of the jurisdiction of the issuer, for which a relief at source and/or reclaim may be possible.

WithholdingTaxRate <WhldgTaxRate> contains one of the following elements (see "RateAndAmountFormat47Choice" on page 879 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		879
Or	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		880
Or	Amount <Amt>	[1..1]	Amount	C1	880
Or}	RateTypeAndRate <RateTpAndRate>	[1..1]			880
	RateType <RateTp>	[1..1]			880
{Or	Code <Cd>	[1..1]	CodeSet		881
Or}	Proprietary <Prtry>	[1..1]	±		881
	Rate <Rate>	[1..1]	Rate		881

3.4.11.25.13.5 SecondLevelTax <ScndLvlTax>

Presence: [0..*]

Definition: Rate at which the income will be withheld by a jurisdiction other than the jurisdiction of the issuer's country of tax incorporation, for which a relief at source and/or reclaim may be possible. It is

levied in complement or offset of the withholding tax rate (TAXR) levied by the jurisdiction of the issuer's tax domicile.

SecondLevelTax <ScndLvITax> contains one of the following elements (see "RateAndAmountFormat47Choice" on page 879 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		879
Or	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		880
Or	Amount <Amt>	[1..1]	Amount	C1	880
Or}	RateTypeAndRate <RateTpAndRate>	[1..1]			880
	RateType <RateTp>	[1..1]			880
{Or	Code <Cd>	[1..1]	CodeSet		881
Or}	Proprietary <Prtry>	[1..1]	±		881
	Rate <Rate>	[1..1]	Rate		881

3.4.11.25.13.6 ChargesFees <ChrgsFees>

Presence: [0..1]

Definition: Rate used to calculate the amount of the charges/fees that cannot be categorised.

ChargesFees <ChrgsFees> contains one of the following elements (see "RateAndAmountFormat46Choice" on page 1040 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1040
Or	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		1040
Or}	Amount <Amt>	[1..1]	Amount	C1	1041

3.4.11.25.13.7 EarlySolicitationFeeRate <EarlySlctnFeeRate>

Presence: [0..1]

Definition: Cash rate made available, as an incentive, in addition to the solicitation fee, in order to encourage early participation in an offer.

EarlySolicitationFeeRate <EarlySlctnFeeRate> contains one of the following elements (see "SolicitationFeeRateFormat10Choice" on page 869 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		869
Or	AmountToQuantity <AmtToQty>	[1..1]			870
	Amount <Amt>	[1..1]	Amount	C1	870
	Quantity <Qty>	[1..1]	Quantity		870
Or	Amount <Amt>	[1..1]	Amount	C1	870
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		871

3.4.11.25.13.8 FiscalStamp <FscIStmp>

Presence: [0..1]

Definition: Percentage of fiscal tax to apply.

FiscalStamp <FscIStmp> contains one of the following elements (see "RateFormat3Choice" on page 987 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		987
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		987

3.4.11.25.13.9 ThirdPartyIncentiveRate <ThrdPtyIncntivRate>

Presence: [0..1]

Definition: Cash rate made available in an event in order to encourage participation in the offer. As information, payment is made to a third party who has solicited an entity to take part in the offer.

ThirdPartyIncentiveRate <ThrdPtyIncntivRate> contains one of the following elements (see "RateFormat21Choice" on page 1039 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1039
Or	Amount <Amt>	[1..1]	Amount	C1	1039
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		1039

3.4.11.25.13.10 NetDividendRate <NetDvddRate>

Presence: [0..*]

Definition: Cash dividend amount per equity after deductions or allowances have been made.

NetDividendRate <NetDvddRate> contains one of the following elements (see "NetDividendRateFormat44Choice" on page 1011 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Amount <Amt>	[1..1]	Amount	C1	1012
Or	AmountAndRateStatus <AmtAndRateSts>	[1..1]			1012
	Amount <Amt>	[1..1]	Amount	C1	1013
	RateStatus <RateSts>	[1..1]	CodeSet		1013
Or	RateTypeAndAmountAndRateStatus <RateTpAndAmtAndRateSts>	[1..1]			1013
	RateType <RateTp>	[1..1]			1013
{Or	Code <Cd>	[1..1]	CodeSet		1014
Or}	Proprietary <Prtry>	[1..1]	±		1014
	Amount <Amt>	[1..1]	Amount	C1	1015
	RateStatus <RateSts>	[0..1]		C58	1015
{Or	Code <Cd>	[1..1]	CodeSet		1015
Or}	Proprietary <Prtry>	[1..1]	±		1016
Or}	NotSpecifiedRate <NotSpctdRate>	[1..1]	CodeSet		1016

3.4.11.25.13.11 ApplicableRate <AplblRate>

Presence: [0..1]

Definition: Rate applicable to the event announced, for example, redemption rate for a redemption event.

ApplicableRate <AplblRate> contains one of the following elements (see "RateFormat3Choice" on page 987 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		987
Or}	NotSpecifiedRate <NotSpctdRate>	[1..1]	CodeSet		987

3.4.11.25.13.12 SolicitationFeeRate <SlctnFeeRate>

Presence: [0..1]

Definition: Rate of the cash premium made available if the securities holder consents or participates to an event, for example consent fees or solicitation fee.

SolicitationFeeRate <SlctnFeeRate> contains one of the following elements (see "SolicitationFeeRateFormat10Choice" on page 869 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		869
Or	AmountToQuantity <AmtToQty>	[1..1]			870
	Amount <Amt>	[1..1]	Amount	C1	870
	Quantity <Qty>	[1..1]	Quantity		870
Or	Amount <Amt>	[1..1]	Amount	C1	870
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		871

3.4.11.25.13.13 TaxCreditRate <TaxCdtRate>

Presence: [0..1]

Definition: Amount of money per equity allocated as the result of a tax credit.

TaxCreditRate <TaxCdtRate> contains one of the following elements (see "RateFormat21Choice" on page 1039 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1039
Or	Amount <Amt>	[1..1]	Amount	C1	1039
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		1039

3.4.11.25.13.14 TaxOnIncome <TaxOnIncm>

Presence: [0..1]

Definition: Overall tax withheld at source by fund managers prior to considering the tax obligation of each unit holder.

TaxOnIncome <TaxOnIncm> contains one of the following elements (see "RateAndAmountFormat46Choice" on page 1040 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1040
Or	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		1040
Or}	Amount <Amt>	[1..1]	Amount	C1	1041

3.4.11.25.13.15 TaxOnProfits <TaxOnPrfts>

Presence: [0..1]

Definition: Taxation applied on an amount clearly identified as capital profits, capital gains.

TaxOnProfits <TaxOnPrfts> contains one of the following elements (see "[RateFormat3Choice](#)" on page 987 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		987
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		987

3.4.11.25.13.16 TaxReclaimRate <TaxRclmRate>

Presence: [0..1]

Definition: Percentage of cash that was paid in excess of actual tax obligation and was reclaimed.

TaxReclaimRate <TaxRclmRate> contains one of the following elements (see "[RateFormat3Choice](#)" on page 987 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		987
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		987

3.4.11.25.13.17 EqualisationRate <EqulstnRate>

Presence: [0..1]

Definition: Portion of the fund distribution which represents the average accrued income included in the purchase price for units bought during the account period.

EqualisationRate <EqulstnRate> contains one of the following elements (see "[RateAndAmountFormat48Choice](#)" on page 1038 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Amount <Amt>	[1..1]	Amount	C1	1038
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		1038

3.4.11.25.13.18 DeemedRate <DmdRate>

Presence: [0..*]

Definition: Rate applied for the calculation of deemed proceeds which are not paid to security holders but on which withholding tax is applicable.

DeemedRate <DmdRate> contains one of the following elements (see "RateAndAmountFormat53Choice" on page 1021 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1022
Or	Amount <Amt>	[1..1]	Amount	C1	1022
Or	NotSpecifiedRate <NotSpcedRate>	[1..1]	CodeSet		1023
Or	RateTypeAndAmountAndRateStatus <RateTpAndAmtAndRateSts>	[1..1]			1023
	RateType <RateTp>	[1..1]			1023
{Or	Code <Cd>	[1..1]	CodeSet		1023
Or}	Proprietary <Prtry>	[1..1]	±		1024
	Amount <Amt>	[1..1]	Amount	C1	1024
	RateStatus <RateSts>	[0..1]		C58	1024
{Or	Code <Cd>	[1..1]	CodeSet		1025
Or}	Proprietary <Prtry>	[1..1]	±		1025
Or}	RateTypeAndRate <RateTpAndRate>	[1..1]			1025
	RateType <RateTp>	[1..1]			1026
{Or	Code <Cd>	[1..1]	CodeSet		1026
Or}	Proprietary <Prtry>	[1..1]	±		1026
	Rate <Rate>	[1..1]	Rate		1027

3.4.11.25.14 PriceDetails <PricDtls>

Presence: [0..1]

Definition: Provides information about the prices related to a corporate action option.

Impacted by: C41 "GenericCashPricePerProductGuideline"

PriceDetails <PricDtls> contains the following **PriceDetails29** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	GenericCashPricePaidPerProduct <GncCshPricPdPerPdct>	[0..1]	±		208
	GenericCashPriceReceivedPerProduct <GncCshPricRcvdPerPdct>	[0..1]	±		208

Constraints

- **GenericCashPricePerProductGuideline**

GenericCashPriceReceivedPerProduct and GenericCashPricePaidPerProduct are prices received or paid in the course of an event. The specific meaning (redemption price, subscription price, reinvestment price, ETC.) is derived from the event type.

3.4.11.25.14.1 GenericCashPricePaidPerProduct <GncCshPricPdPerPdct>

Presence: [0..1]

Definition: Generic cash price paid per product by the underlying security holder either as a percentage or an amount or a number of points above an index, for example, reinvestment price, strike price and exercise price.

GenericCashPricePaidPerProduct <GncCshPricPdPerPdct> contains one of the following elements (see "PriceFormat59Choice" on page 969 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	PercentagePrice <PctgPric>	[1..1]			969
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		969
	PriceValue <PricVal>	[1..1]	Rate		970
Or	AmountPrice <AmtPric>	[1..1]	±		970
Or	NotSpecifiedPrice <NotSpctfdPric>	[1..1]	CodeSet		970
Or}	IndexPoints <IndxPts>	[1..1]	Quantity		970

3.4.11.25.14.2 GenericCashPriceReceivedPerProduct <GncCshPricRcvdPerPdct>

Presence: [0..1]

Definition: Generic cash price received per product by the underlying security holder either as a percentage or an amount, for example, redemption price.

GenericCashPriceReceivedPerProduct <GncCshPricRcvdPerPdct> contains one of the following elements (see "PriceFormat70Choice" on page 956 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	PercentagePrice <PctgPric>	[1..1]			957
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		957
	PriceValue <PricVal>	[1..1]	Rate		958
Or	AmountPrice <AmtPric>	[1..1]	±		958
Or	NotSpecifiedPrice <NotSpctdPric>	[1..1]	CodeSet		958
Or	AmountPricePerFinancialInstrumentQuantity <AmtPricPerFinInstrmQty>	[1..1]			958
	AmountPriceType <AmtPricTp>	[1..1]	CodeSet		959
	PriceValue <PricVal>	[1..1]	Amount	C1	959
	FinancialInstrumentQuantity <FinInstrmQty>	[1..1]	±	C19	959
Or	AmountPricePerAmount <AmtPricPerAmt>	[1..1]			960
	AmountPriceType <AmtPricTp>	[1..1]	CodeSet		960
	PriceValue <PricVal>	[1..1]	Amount	C1	960
	Amount <Amt>	[1..1]	Amount	C1	961
Or}	IndexPoints <IndxPts>	[1..1]	Quantity		961

3.4.11.26 AdditionalInformation <AddtlInf>

Presence: [0..1]

Definition: Provides additional information.

Impacted by: C4 "AdditionalInformationRule"

AdditionalInformation <AddtlInf> contains the following **CorporateActionNarrative48** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AdditionalText <AddtlTxt>	[0..*]	±		210
	NarrativeVersion <NrrtvVrsn>	[0..*]	±		210
	InformationConditions <InfConds>	[0..*]	±		210
	InformationToComplyWith <InfToCmplyWth>	[0..*]	±		211
	SecurityRestriction <SctyRstrctn>	[0..*]	±		211
	TaxationConditions <TaxtnConds>	[0..*]	±		211
	Disclaimer <Dsclmr>	[0..*]	±		212
	CertificationBreakdown <CertfctnBrkdwn>	[0..*]	±		212

Constraints

- **AdditionalInformationRule**

The AdditionalInformation field in all elements must not contain information that can be provided in a structured field unless bilaterally agreed or advised differently in the element definition as in NarrativeVersion.

3.4.11.26.1 AdditionalText <AddtlTxt>

Presence: [0..*]

Definition: Provides additional information or specifies in more detail the content of a message. This field may only be used when the information to be transmitted, cannot be coded.

AdditionalText <AddtlTxt> contains the following elements (see "[UpdatedAdditionalInformation14](#)" on page 815 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Language <Lang>	[1..1]	CodeSet		815
	UpdateDescription <UpdDesc>	[0..1]	Text		815
	UpdateDate <UpdDt>	[0..1]	Date		815
	AdditionalInformation <AddtlInf>	[1..1]	Text		815

3.4.11.26.2 NarrativeVersion <NrrtvVrsn>

Presence: [0..*]

Definition: Provides information that can be ignored for automated processing; - reiteration of information that has been included within structured fields, - or narrative information not needed for automatic processing.

NarrativeVersion <NrrtvVrsn> contains the following elements (see "[UpdatedAdditionalInformation14](#)" on page 815 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Language <Lang>	[1..1]	CodeSet		815
	UpdateDescription <UpdDesc>	[0..1]	Text		815
	UpdateDate <UpdDt>	[0..1]	Date		815
	AdditionalInformation <AddtlInf>	[1..1]	Text		815

3.4.11.26.3 InformationConditions <InfConds>

Presence: [0..*]

Definition: Provides conditional information related to the event, for example, an offer is subject to 50 percent acceptance, the offeror allows the securities holder to set some conditions.

InformationConditions <InfConds> contains the following elements (see "UpdatedAdditionalInformation15" on page 814 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Language <Lang>	[1..1]	CodeSet		814
	UpdateDescription <UpdDesc>	[0..1]	Text		814
	UpdateDate <UpdDt>	[0..1]	Date		814
	AdditionalInformation <AddtlInf>	[1..*]	Text		814

3.4.11.26.4 InformationToComplyWith <InfToCmplyWth>

Presence: [0..*]

Definition: Provides information conditions to the account owner that are to be complied with, for example, not open to US/Canadian residents, Qualified Institutional Buyers (QIB) or SIL (Sophisticated Investor Letter) to be provided.

InformationToComplyWith <InfToCmplyWth> contains the following elements (see "UpdatedAdditionalInformation15" on page 814 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Language <Lang>	[1..1]	CodeSet		814
	UpdateDescription <UpdDesc>	[0..1]	Text		814
	UpdateDate <UpdDt>	[0..1]	Date		814
	AdditionalInformation <AddtlInf>	[1..*]	Text		814

3.4.11.26.5 SecurityRestriction <SctyRstrctn>

Presence: [0..*]

Definition: Provides restriction(s) on securities.

SecurityRestriction <SctyRstrctn> contains the following elements (see "UpdatedAdditionalInformation15" on page 814 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Language <Lang>	[1..1]	CodeSet		814
	UpdateDescription <UpdDesc>	[0..1]	Text		814
	UpdateDate <UpdDt>	[0..1]	Date		814
	AdditionalInformation <AddtlInf>	[1..*]	Text		814

3.4.11.26.6 TaxationConditions <TaxtnConds>

Presence: [0..*]

Definition: Provides taxation conditions that cannot be included within the structured fields of this message and has not been mentioned in the Service Level Agreement (SLA).

TaxationConditions <TaxtnConds> contains the following elements (see "UpdatedAdditionalInformation15" on page 814 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Language <Lang>	[1..1]	CodeSet		814
	UpdateDescription <UpdDesc>	[0..1]	Text		814
	UpdateDate <UpdDt>	[0..1]	Date		814
	AdditionalInformation <AddtlInf>	[1..*]	Text		814

3.4.11.26.7 Disclaimer <Dsclmr>

Presence: [0..*]

Definition: Provides a disclaimer relative to the information provided in the message. It may be ignored for automated processing.

Disclaimer <Dsclmr> contains the following elements (see "UpdatedAdditionalInformation15" on page 814 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Language <Lang>	[1..1]	CodeSet		814
	UpdateDescription <UpdDesc>	[0..1]	Text		814
	UpdateDate <UpdDt>	[0..1]	Date		814
	AdditionalInformation <AddtlInf>	[1..*]	Text		814

3.4.11.26.8 CertificationBreakdown <CertfctnBrkdwn>

Presence: [0..*]

Definition: Provides additional information about the type of certification/breakdown required.

CertificationBreakdown <CertfctnBrkdwn> contains the following elements (see "UpdatedAdditionalInformation15" on page 814 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Language <Lang>	[1..1]	CodeSet		814
	UpdateDescription <UpdDesc>	[0..1]	Text		814
	UpdateDate <UpdDt>	[0..1]	Date		814
	AdditionalInformation <AddtlInf>	[1..*]	Text		814

3.4.12 AdditionalInformation <AddtlInf>

Presence: [0..1]

Definition: Additional information on the event.

Impacted by: C5 "AdditionalInformationRule"

AdditionalInformation <AddtlInf> contains the following **CorporateActionNarrative55** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AdditionalText <AddtlTxt>	[0..*]	±	C6	213
	NarrativeVersion <NrrtvVrsn>	[0..*]	±	C6	214
	InformationConditions <InfConds>	[0..*]	±	C6	214
	InformationToComplyWith <InfToCmplyWth>	[0..*]	±	C6	215
	TaxationConditions <TaxtnConds>	[0..*]	±	C6	215
	Disclaimer <Dsclmr>	[0..*]	±	C6	216
	PartyContactNarrative <PtyCtctNrrtv>	[0..*]	±	C6	216
	RegistrationDetails <RegnDtls>	[0..*]	±	C6	217
	BasketOrIndexInformation <BsktOrIdxInf>	[0..*]	±	C6	217
	CertificationBreakdown <CertfctnBrkdw>	[0..*]	±	C6	218
	URLAddress <URLAdr>	[0..*]	±		218
	ProcessingTextForNextIntermediary <PrcgTxtForNxtlIntrmy>	[0..*]	±	C6	218

Constraints

- **AdditionalInformationRule**

The AdditionalInformation field in all elements must not contain information that can be provided in a structured field unless bilaterally agreed or advised differently in the element definition as in NarrativeVersion.

3.4.12.1 AdditionalText <AddtlTxt>

Presence: [0..*]

Definition: Provides additional information or specifies in more detail the content of a message. This field may only be used when the information to be transmitted, cannot be coded.

Impacted by: C6 "AdditionalInformationRule"

AdditionalText <AddtlTxt> contains the following elements (see "UpdatedAdditionalInformation16" on page 813 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Language <Lang>	[1..1]	CodeSet		813
	UpdateDescription <UpdDesc>	[0..1]	Text		813
	UpdateDate <UpdDt>	[0..1]	Date		814
	AdditionalInformation <AddtlInf>	[1..*]	Text		814

Constraints

- **AdditionalInformationRule**

The AdditionalInformation element must not contain information that can be provided in a structured field unless bilaterally agreed.

3.4.12.2 NarrativeVersion <NrrtvVrsn>

Presence: [0..*]

Definition: Provides information that can be ignored for automated processing; - reiteration of information that has been included within structured fields, - or narrative information not needed for automatic processing.

Impacted by: C6 "AdditionalInformationRule"

NarrativeVersion <NrrtvVrsn> contains the following elements (see "UpdatedAdditionalInformation16" on page 813 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Language <Lang>	[1..1]	CodeSet		813
	UpdateDescription <UpdDesc>	[0..1]	Text		813
	UpdateDate <UpdDt>	[0..1]	Date		814
	AdditionalInformation <AddtlInf>	[1..*]	Text		814

Constraints

- **AdditionalInformationRule**

The AdditionalInformation element must not contain information that can be provided in a structured field unless bilaterally agreed.

3.4.12.3 InformationConditions <InfConds>

Presence: [0..*]

Definition: Provides conditional information related to the event, for example, an offer is subject to 50 percent acceptance, the offeror allows the securities holder to set some conditions.

Impacted by: C6 "AdditionalInformationRule"

InformationConditions <InfConds> contains the following elements (see "UpdatedAdditionalInformation16" on page 813 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Language <Lang>	[1..1]	CodeSet		813
	UpdateDescription <UpdDesc>	[0..1]	Text		813
	UpdateDate <UpdDt>	[0..1]	Date		814
	AdditionalInformation <AddtlInf>	[1..*]	Text		814

Constraints

- **AdditionalInformationRule**

The AdditionalInformation element must not contain information that can be provided in a structured field unless bilaterally agreed.

3.4.12.4 InformationToComplyWith <InfToCmplyWth>

Presence: [0..*]

Definition: Provides information conditions to the account owner that are to be complied with, for example, not open to US/Canadian residents, Qualified Institutional Buyers (QIB) or SIL (Sophisticated Investor Letter) to be provided.

Impacted by: C6 "AdditionalInformationRule"

InformationToComplyWith <InfToCmplyWth> contains the following elements (see "UpdatedAdditionalInformation16" on page 813 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Language <Lang>	[1..1]	CodeSet		813
	UpdateDescription <UpdDesc>	[0..1]	Text		813
	UpdateDate <UpdDt>	[0..1]	Date		814
	AdditionalInformation <AddtlInf>	[1..*]	Text		814

Constraints

- **AdditionalInformationRule**

The AdditionalInformation element must not contain information that can be provided in a structured field unless bilaterally agreed.

3.4.12.5 TaxationConditions <TaxtnConds>

Presence: [0..*]

Definition: Provides taxation conditions that cannot be included within the structured fields of this message and has not been mentioned in the Service Level Agreement (SLA).

Impacted by: C6 "AdditionalInformationRule"

TaxationConditions <TaxtnConds> contains the following elements (see "UpdatedAdditionalInformation16" on page 813 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Language <Lang>	[1..1]	CodeSet		813
	UpdateDescription <UpdDesc>	[0..1]	Text		813
	UpdateDate <UpdDt>	[0..1]	Date		814
	AdditionalInformation <AddtlInf>	[1..*]	Text		814

Constraints

- **AdditionalInformationRule**

The AdditionalInformation element must not contain information that can be provided in a structured field unless bilaterally agreed.

3.4.12.6 Disclaimer <Dsclmr>

Presence: [0..*]

Definition: Provides a disclaimer relative to the information provided in the message. It may be ignored for automated processing.

Impacted by: C6 "AdditionalInformationRule"

Disclaimer <Dsclmr> contains the following elements (see "UpdatedAdditionalInformation16" on page 813 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Language <Lang>	[1..1]	CodeSet		813
	UpdateDescription <UpdDesc>	[0..1]	Text		813
	UpdateDate <UpdDt>	[0..1]	Date		814
	AdditionalInformation <AddtlInf>	[1..*]	Text		814

Constraints

- **AdditionalInformationRule**

The AdditionalInformation element must not contain information that can be provided in a structured field unless bilaterally agreed.

3.4.12.7 PartyContactNarrative <PtyCtctNrrtv>

Presence: [0..*]

Definition: Provides additional information regarding the party, for example, the contact unit or person responsible for the transaction identified in the message.

Impacted by: C6 "AdditionalInformationRule"

PartyContactNarrative <PtyCtctNrrtv> contains the following elements (see "UpdatedAdditionalInformation16" on page 813 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Language <Lang>	[1..1]	CodeSet		813
	UpdateDescription <UpdDesc>	[0..1]	Text		813
	UpdateDate <UpdDt>	[0..1]	Date		814
	AdditionalInformation <AddtlInf>	[1..*]	Text		814

Constraints

- **AdditionalInformationRule**

The AdditionalInformation element must not contain information that can be provided in a structured field unless bilaterally agreed.

3.4.12.8 RegistrationDetails <RegnDtls>

Presence: [0..*]

Definition: Provides information required for the registration.

Impacted by: C6 "AdditionalInformationRule"

RegistrationDetails <RegnDtls> contains the following elements (see "UpdatedAdditionalInformation16" on page 813 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Language <Lang>	[1..1]	CodeSet		813
	UpdateDescription <UpdDesc>	[0..1]	Text		813
	UpdateDate <UpdDt>	[0..1]	Date		814
	AdditionalInformation <AddtlInf>	[1..*]	Text		814

Constraints

- **AdditionalInformationRule**

The AdditionalInformation element must not contain information that can be provided in a structured field unless bilaterally agreed.

3.4.12.9 BasketOrIndexInformation <BsktOrIndxInf>

Presence: [0..*]

Definition: Provides additional information on the basket or index underlying a security, for example a warrant.

Impacted by: C6 "AdditionalInformationRule"

BasketOrIndexInformation <BsktOrIndxInf> contains the following elements (see "UpdatedAdditionalInformation16" on page 813 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Language <Lang>	[1..1]	CodeSet		813
	UpdateDescription <UpdDesc>	[0..1]	Text		813
	UpdateDate <UpdDt>	[0..1]	Date		814
	AdditionalInformation <AddtlInf>	[1..*]	Text		814

Constraints

- **AdditionalInformationRule**

The AdditionalInformation element must not contain information that can be provided in a structured field unless bilaterally agreed.

3.4.12.10 CertificationBreakdown <CertfctnBrkdw>

Presence: [0..*]

Definition: Provides additional information about the type of certification/breakdown required.

Impacted by: C6 "AdditionalInformationRule"

CertificationBreakdown <CertfctnBrkdw> contains the following elements (see "UpdatedAdditionalInformation16" on page 813 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Language <Lang>	[1..1]	CodeSet		813
	UpdateDescription <UpdDesc>	[0..1]	Text		813
	UpdateDate <UpdDt>	[0..1]	Date		814
	AdditionalInformation <AddtlInf>	[1..*]	Text		814

Constraints

- **AdditionalInformationRule**

The AdditionalInformation element must not contain information that can be provided in a structured field unless bilaterally agreed.

3.4.12.11 URLAddress <URLAdr>

Presence: [0..*]

Definition: Provides the web address published for the event, that is, the address for the Universal Resource Locator (URL), for example, used over the www (HTTP) service.

URLAddress <URLAdr> contains the following elements (see "UpdatedURLInformation5" on page 817 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Language <Lang>	[1..1]	CodeSet		817
	UpdateDescription <UpdDesc>	[0..1]	Text		817
	UpdateDate <UpdDt>	[0..1]	Date		818
	URLAddress <URLAdr>	[1..1]	Text		818

3.4.12.12 ProcessingTextForNextIntermediary <PrcgTxtForNxtlntmry>

Presence: [0..*]

Definition: Provides additional information from the account servicer or a service provider solely intended for the next immediate account holder to enable or facilitate event processing between parties.

Impacted by: C6 "AdditionalInformationRule"

ProcessingTextForNextIntermediary <PrcgTxtForNxtIntrmy> contains the following elements (see "UpdatedAdditionalInformation16" on page 813 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Language <Lang>	[1..1]	CodeSet		813
	UpdateDescription <UpdDesc>	[0..1]	Text		813
	UpdateDate <UpdDt>	[0..1]	Date		814
	AdditionalInformation <AddtlInf>	[1..*]	Text		814

Constraints

- **AdditionalInformationRule**

The AdditionalInformation element must not contain information that can be provided in a structured field unless bilaterally agreed.

3.4.13 IssuerAgent <IssrAgt>

Presence: [0..*]

Definition: Party appointed to administer the event on behalf of the issuer company/offeror. The party may be contacted for more information about the event.

IssuerAgent <IssrAgt> contains one of the following elements (see "PartyIdentification151Choice" on page 927 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	927
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		927
Or	NameAndAddress <NmAndAdr>	[1..1]	±		928
Or}	LEI <LEI>	[1..1]	IdentifierSet		928

3.4.14 PayingAgent <PngAgt>

Presence: [0..*]

Definition: Agent (principal or fiscal paying agent) appointed to execute the payment for the corporate action event on behalf of the issuer company/offeror.

PayingAgent <PngAgt> contains one of the following elements (see "PartyIdentification137Choice" on page 929 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	929
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		929
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		930

3.4.15 SubPayingAgent <SubPngAgt>

Presence: [0..*]

Definition: Sub-agent appointed to execute the payment for the corporate action event on behalf of the issuer company/offeror.

SubPayingAgent <SubPngAgt> contains one of the following elements (see "[PartyIdentification137Choice](#)" on page 929 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	929
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		929
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		930

3.4.16 Registrar <Regar>

Presence: [0..1]

Definition: Party/agent responsible for maintaining the register of the security.

Registrar <Regar> contains one of the following elements (see "[PartyIdentification137Choice](#)" on page 929 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	929
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		929
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		930

3.4.17 ResellingAgent <RsellingAgt>

Presence: [0..*]

Definition: Broker-dealer responsible for reselling to new investors securities (usually bonds) that have been tendered for purchase by their owner.

ResellingAgent <RsellingAgt> contains one of the following elements (see "[PartyIdentification137Choice](#)" on page 929 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	929
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		929
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		930

3.4.18 PhysicalSecuritiesAgent <PhysSctiesAgt>

Presence: [0..1]

Definition: Trust company, bank or similar financial institution assigned by an issuer to accept presentations of instruments, usually bonds, for transfer and or exchange.

PhysicalSecuritiesAgent <PhysSctiesAgt> contains one of the following elements (see "PartyIdentification137Choice" on page 929 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	929
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		929
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		930

3.4.19 DropAgent <DrpAgt>

Presence: [0..1]

Definition: Trust company, bank or similar financial institution that acts on behalf of an out-of-town agent or event agent where securities can be delivered in person.

DropAgent <DrpAgt> contains one of the following elements (see "PartyIdentification137Choice" on page 929 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	929
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		929
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		930

3.4.20 SolicitationAgent <SlctnAgt>

Presence: [0..*]

Definition: Trust company, bank or similar financial institution assigned by an issuer to maintain records of investors and account balances and transactions for the consent of a material change.

SolicitationAgent <SlctnAgt> contains one of the following elements (see "PartyIdentification137Choice" on page 929 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	929
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		929
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		930

3.4.21 InformationAgent <InfAgt>

Presence: [0..1]

Definition: Trust company, bank or similar financial institution assigned by an Issuer to provide information and copies of the offering documentation.

InformationAgent <InfAgt> contains one of the following elements (see "PartyIdentification137Choice" on page 929 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	929
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		929
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		930

3.4.22 Issuer <Issr>

Presence: [0..1]

Definition: Issuer of the financial instrument.

Issuer <Issr> contains one of the following elements (see "PartyIdentification151Choice" on page 927 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	927
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		927
Or	NameAndAddress <NmAndAdr>	[1..1]	±		928
Or}	LEI <LEI>	[1..1]	IdentifierSet		928

3.4.23 Offeror <Offerr>

Presence: [0..*]

Definition: Party making the offer and which is different from the issuing company.

Offeror <Offerr> contains one of the following elements (see "PartyIdentification151Choice" on page 927 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	927
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		927
Or	NameAndAddress <NmAndAdr>	[1..1]	±		928
Or}	LEI <LEI>	[1..1]	IdentifierSet		928

3.4.24 TransferAgent <TrfAgt>

Presence: [0..1]

Definition: Trust company, bank or similar financial institution appointed by a fund management company to maintain records of investors and account balances. The transfer agent records transactions, including subscriptions, redemptions, switches, transfers, and re-registrations.

TransferAgent <TrfAgt> contains one of the following elements (see "[PartyIdentification151Choice](#)" on page 927 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	927
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		927
Or	NameAndAddress <NmAndAdr>	[1..1]	±		928
Or}	LEI <LEI>	[1..1]	IdentifierSet		928

3.4.25 SupplementaryData <SplmtryData>

Presence: [0..*]

Definition: Additional information that can not be captured in the structured fields and/or any other specific block.

Impacted by: C109 "SupplementaryDataRule"

SupplementaryData <SplmtryData> contains the following elements (see "[SupplementaryData1](#)" on page 916 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PlaceAndName <PlcAndNm>	[0..1]	Text		916
	Envelope <Envlp>	[1..1]	(External Schema)		916

Constraints

- **SupplementaryDataRule**

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

4 **seev.032.002.08**

CorporateActionEventProcessingStatusAdvice002V08

4.1 **MessageDefinition Functionality**

Scope

An account servicer sends the CorporateActionEventProcessingStatusAdvice message to an account owner or its designated agent to report processing status of a corporate action event.

The account servicer uses this message to provide a reason as to why a corporate action event has not been completed by the announced payment dates.

Usage

The message may also be used to:

- re-send a message previously sent (the sub-function of the message is Duplicate),
- provide a third party with a copy of a message for information (the sub-function of the message is Copy),
- re-send to a third party a copy of a message for information (the sub-function of the message is Copy Duplicate),

using the relevant elements in the business application header (BAH).

Outline

The CorporateActionEventProcessingStatusAdvice002V08 MessageDefinition is composed of 6 MessageBuildingBlocks:

- A. NotificationIdentification
Identification of a previously sent notification document.
- B. OtherDocumentIdentification
Identification of other documents as well as the document number.
- C. CorporateActionGeneralInformation
General information about the corporate action event.
- D. EventProcessingStatus
Information about the status of the corporate action.
- E. AdditionalInformation
Provides additional information.
- F. SupplementaryData

Additional information that can not be captured in the structured fields and/or any other specific block.

4.2 Structure

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	Message root <Document> <CorpActnEvtPrcgStsAdvc>	[1..1]			
	NotificationIdentification <NtfctnId>	[0..1]	±		226
	OtherDocumentIdentification <OthrDocId>	[0..*]	±		226
	CorporateActionGeneralInformation <CorpActnGnllnf>	[1..1]		C3, C5	227
	CorporateActionEventIdentification <CorpActnEvtId>	[1..1]	Text		228
	OfficialCorporateActionEventIdentification <OffclCorpActnEvtId>	[0..1]	Text		228
	ClassActionNumber <ClsActnNb>	[0..1]	Text		228
	EventType <EvtTp>	[1..1]	±	C4	228
	EventProcessingStatus <EvtPrcgSts>	[1..*]			228
{Or	Complete <Cmplt>	[1..1]	±		229
Or	Reconciled <RcnclId>	[1..1]	±		229
Or	Pending <Pdg>	[1..1]	±		229
Or}	ProprietaryStatus <PrtrySts>	[1..1]	±		230
	AdditionalInformation <AddtlInf>	[0..1]			230
	AdditionalText <AddtlTxt>	[0..*]	Text		230
	PartyContactNarrative <PtyCtctNrrtv>	[0..*]	Text		230
	SupplementaryData <SplmtryData>	[0..*]	±	C7	231

4.3 Constraints

C1 AdditionalReasonInformationRule

The AdditionalReasonInformation element must not contain information that can be provided in a structured field unless bilaterally agreed.

C2 AdditionalReasonInformationRule

The AdditionalReasonInformation element must not contain information that can be provided in a structured field unless bilaterally agreed.

C3 EventTypeRule

EventType/Code OTHR must only be used in case no other corporate action event code is appropriate.

EventType/Code CHAN must only be used in case no other corporate action event code is appropriate and only for an event which relates to a change.

EventType/Code REDM must only be used when the redemption is decided by the issuer and not by the securities holders.

C4 EventTypeRule

If Code is present, the code OTHR must only be used in case no other corporate action event code is appropriate.

If Code is present, the code CHAN must only be used in case no other corporate action event code is appropriate and only for an event which relates to a change.

If Code is present, the code REDM must only be used when the redemption is decided by the issuer and not by the securities holders.

C5 OfficialCorporateActionEventReference

The Securities Market Practice Group (SMPG) has published market practice recommendations on the structure and usage of the Official Corporate Action Event Reference (COAF). Those recommendations are

available in the SMPG Global Market Practices Part 1 document on www.smpg.info.

C6 ShortLongNumberRule

ShortNumber must contain the FIN message type number of the linked message. LongNumber must contain the XML message identifier of the linked message.

C7 SupplementaryDataRule

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

4.4 Message Building Blocks

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

4.4.1 NotificationIdentification <NtfctnId>

Presence: [0..1]

Definition: Identification of a previously sent notification document.

NotificationIdentification <NtfctnId> contains the following elements (see "[DocumentIdentification17](#)" on page 741 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		741

4.4.2 OtherDocumentIdentification <OthrDocId>

Presence: [0..*]

Definition: Identification of other documents as well as the document number.

OtherDocumentIdentification <OthrDocId> contains the following elements (see "DocumentIdentification34" on page 738 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification </d>	[1..1]			738
{Or	AccountServicerDocumentIdentification <AcctSvcrDocId>	[1..1]	Text		738
Or}	AccountOwnerDocumentIdentification <AcctOwnrDocId>	[1..1]	Text		739
	DocumentNumber <DocNb>	[0..1]	±	C6	739

4.4.3 CorporateActionGeneralInformation <CorpActnGnlInf>

Presence: [1..1]

Definition: General information about the corporate action event.

Impacted by: C3 "EventTypeRule", C5 "OfficialCorporateActionEventReference"

CorporateActionGeneralInformation <CorpActnGnlInf> contains the following **CorporateActionGeneralInformation158** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CorporateActionEventIdentification <CorpActnEvtId>	[1..1]	Text		228
	OfficialCorporateActionEventIdentification <OffclCorpActnEvtId>	[0..1]	Text		228
	ClassActionNumber <ClassActnNb>	[0..1]	Text		228
	EventType <EvtTp>	[1..1]	±	C4	228

Constraints

- **EventTypeRule**

EventType/Code OTHR must only be used in case no other corporate action event code is appropriate.

EventType/Code CHAN must only be used in case no other corporate action event code is appropriate and only for an event which relates to a change.

EventType/Code REDM must only be used when the redemption is decided by the issuer and not by the securities holders.

- **OfficialCorporateActionEventReference**

The Securities Market Practice Group (SMPG) has published market practice recommendations on the structure and usage of the Official Corporate Action Event Reference (COAF). Those recommendations are

available in the SMPG Global Market Practices Part 1 document on www.smpg.info.

4.4.3.1 CorporateActionEventIdentification <CorpActnEvtId>*Presence:* [1..1]*Definition:* Reference assigned by the account servicer to unambiguously identify a corporate action event.*Datatype:* "RestrictedFINXMax16Text" on page 1177**4.4.3.2 OfficialCorporateActionEventIdentification <OffclCorpActnEvtId>***Presence:* [0..1]*Definition:* Official and unique reference assigned by the official central body/entity within each market at the beginning of a corporate action event.*Datatype:* "RestrictedFINXMax16Text" on page 1177**4.4.3.3 ClassActionNumber <ClsActnNb>***Presence:* [0..1]*Definition:* Reference assigned by a court to a class action.*Datatype:* "RestrictedFINXMax16Text" on page 1177**4.4.3.4 EventType <EvtTp>***Presence:* [1..1]*Definition:* Type of corporate action event.*Impacted by:* C4 "EventTypeRule"**EventType <EvtTp>** contains one of the following elements (see "CorporateActionEventType103Choice" on page 804 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		804
Or}	Proprietary <Prtry>	[1..1]	±		810

Constraints

- **EventTypeRule**

If Code is present, the code OTHR must only be used in case no other corporate action event code is appropriate.

If Code is present, the code CHAN must only be used in case no other corporate action event code is appropriate and only for an event which relates to a change.

If Code is present, the code REDM must only be used when the redemption is decided by the issuer and not by the securities holders.

4.4.4 EventProcessingStatus <EvtPrcgSts>*Presence:* [1..*]

Definition: Information about the status of the corporate action.

EventProcessingStatus <EvtPrcgSts> contains one of the following **EventProcessingStatus6Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Complete <Cmplt>	[1..1]	±		229
Or	Reconciled <Rcncl>	[1..1]	±		229
Or	Pending <Pdg>	[1..1]	±		229
Or}	ProprietaryStatus <PrtrySts>	[1..1]	±		230

4.4.4.1 Complete <Cmplt>

Presence: [1..1]

Definition: Specifies that a corporate action event processing has been completed.

Complete <Cmplt> contains the following elements (see "[NoSpecifiedReason1](#)" on page 1058 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NoSpecifiedReason <NoSpctdRsn>	[1..1]	CodeSet		1058

4.4.4.2 Reconciled <Rcncl>

Presence: [1..1]

Definition: Corporate action event processing specifying that the funds paid have been reconciled with the funds received from the agent (meaning that there is no more risk of payment to be reversed).

Reconciled <Rcncl> contains the following elements (see "[NoSpecifiedReason1](#)" on page 1058 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NoSpecifiedReason <NoSpctdRsn>	[1..1]	CodeSet		1058

4.4.4.3 Pending <Pdg>

Presence: [1..1]

Definition: Specifies that a corporate action event processing has not been fully completed and is therefore pending.

Pending <Pdg> contains one of the following elements (see "[PendingStatus59Choice](#)" on page 1070 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	NoSpecifiedReason <NoSpfcdRsn>	[1..1]	CodeSet		1071
Or}	Reason <Rsn>	[1..*]		C1	1071
	ReasonCode <RsnCd>	[1..1]	±		1071
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		1072

4.4.4.4 ProprietaryStatus <PrtrySts>

Presence: [1..1]

Definition: Proprietary status related to the event processing.

ProprietaryStatus <PrtrySts> contains the following elements (see "[ProprietaryStatusAndReason7](#)" on page 1079 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ProprietaryStatus <PrtrySts>	[1..1]	±		1079
	ProprietaryReason <PrtryRsn>	[0..*]	±	C2	1079

4.4.5 AdditionalInformation <AddtlInf>

Presence: [0..1]

Definition: Provides additional information.

AdditionalInformation <AddtlInf> contains the following **CorporateActionNarrative19** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AdditionalText <AddtlTxt>	[0..*]	Text		230
	PartyContactNarrative <PtyCtctNrrtv>	[0..*]	Text		230

4.4.5.1 AdditionalText <AddtlTxt>

Presence: [0..*]

Definition: Provides additional information or specifies in more detail the content of a message. This field may only be used when the information to be transmitted, cannot be coded.

Datatype: "[RestrictedFINXMax350Text](#)" on page 1179

4.4.5.2 PartyContactNarrative <PtyCtctNrrtv>

Presence: [0..*]

Definition: Provides additional information regarding the party, for example, the contact unit or person responsible for the transaction identified in the message.

Datatype: "[RestrictedFINXMax350Text](#)" on page 1179

4.4.6 SupplementaryData <SplmtryData>

Presence: [0..*]

Definition: Additional information that can not be captured in the structured fields and/or any other specific block.

Impacted by: C7 "SupplementaryDataRule"

SupplementaryData <SplmtryData> contains the following elements (see "SupplementaryData1" on page 916 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PlaceAndName <PlcAndNm>	[0..1]	Text		916
	Envelope <Envlp>	[1..1]	(External Schema)		916

Constraints

- **SupplementaryDataRule**

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

5 **seev.033.002.12**

CorporateActionInstruction002V12

5.1 **MessageDefinition Functionality**

Scope

The CorporateActionInstruction message is sent by an account owner to an account servicer to instruct election on a corporate action event.

This message is used to provide the custodian with instructions on how the account owner wishes to proceed with a corporate action event. Instructions include investment decisions regarding the exercise of rights issues, the election of stock or cash when the option is available, and decisions on the conversion or tendering of securities.

Usage

The message may also be used to:

- re-send a message previously sent (the sub-function of the message is Duplicate),
- provide a third party with a copy of a message for information (the sub-function of the message is Copy),
- re-send to a third party a copy of a message for information (the sub-function of the message is Copy Duplicate), using the relevant elements in the business application header (BAH).

Outline

The CorporateActionInstruction002V12 MessageDefinition is composed of 12 MessageBuildingBlocks:

- A. ChangeInstructionIndicator
Indicates that the current instruction is replacing a previous one that was cancelled earlier.
- B. CancelledInstructionIdentification
Identification of a previously sent cancelled instruction document.
- C. InstructionCancellationRequestIdentification
Identification of a previously sent instruction cancellation request document.
- D. OtherDocumentIdentification
Identification of other documents as well as the document number.
- E. EventsLinkage
Identification of another corporate action event that needs to be closely linked to the processing of the event notified in this document.
- F. CorporateActionGeneralInformation
General information about the corporate action event.
- G. AccountDetails

General information about the safekeeping account, owner and account balance.

H. BeneficialOwnerDetails

Information about the beneficial owner of the securities.

I. CorporateActionInstruction

Information about the corporate action instruction.

J. ProtectInstruction

Provides detailed information on protect and cover protect instructions.

K. AdditionalInformation

Provides additional information.

L. SupplementaryData

Additional information that can not be captured in the structured fields and/or any other specific block.

5.2 Structure

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	Message root <Document> <CorpActnInstr>	[1..1]		C3, C5, C7, C17, C23, C6	
	ChangeInstructionIndicator <ChnglInstrInd>	[0..1]	Indicator		240
	CancelledInstructionIdentification <CancInstrId>	[0..1]	±		240
	InstructionCancellationRequestIdentification <InstrCxlReqId>	[0..1]	±		241
	OtherDocumentIdentification <OthrDocId>	[0..*]	±		241
	EventsLinkage <EvtsLkg>	[0..*]	±		241
	CorporateActionGeneralInformation <CorpActnGnlInf>	[1..1]		C14, C20	242
	CorporateActionEventIdentification <CorpActnEvtId>	[1..1]	Text		242
	OfficialCorporateActionEventIdentification <OffclCorpActnEvtId>	[0..1]	Text		242
	EventType <EvtTp>	[1..1]	±	C15	243
	UnderlyingSecurity <UndrlygScty>	[0..1]	±	C25	243
	AccountDetails <AcctDtls>	[1..1]		C26, C27, C28, C29	244
	SafekeepingAccount <SfkpgAcct>	[0..1]	Text		246
	BlockchainAddressOrWallet <BlckChainAdrOrWlt>	[0..1]	Text		246
	AccountOwner <AcctOwnr>	[0..1]	±		246
	SafekeepingPlace <SfkpgPlc>	[0..1]	±		246
	Balance <Bal>	[0..1]			247
	TotalEligibleBalance <TtlElgblBal>	[0..1]	±		247
	BlockedBalance <BlckdBal>	[0..1]	±		248
	BorrowedBalance <BrrwdBal>	[0..1]	±		248
	CollateralInBalance <CollInBal>	[0..1]	±		248
	CollateralOutBalance <CollOutBal>	[0..1]	±		249
	OnLoanBalance <OnLnBal>	[0..1]	±		249
	PendingDeliveryBalance <PdgDlvryBal>	[0..*]	±		249
	PendingReceiptBalance <PdgRctBal>	[0..*]	±		250
	OutForRegistrationBalance <OutForRegnBal>	[0..1]	±		250
	SettlementPositionBalance <SttlmPosBal>	[0..1]	±		250

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	StreetPositionBalance <StrtPosBal>	[0..1]	±		251
	TradeDatePositionBalance <TradDtPosBal>	[0..1]	±		251
	InTransshipmentBalance <InTrnsShipmntBal>	[0..1]	±		251
	RegisteredBalance <RegdBal>	[0..1]	±		252
	BeneficialOwnerDetails <BnfcOwnrDtls>	[0..*]		C10	252
	OwnerIdentification <Ownrld>	[1..1]	±		253
	LEIIdentification <LEIld>	[0..1]	IdentifierSet		253
	AlternateIdentification <Altrnld>	[0..*]	±		253
	DomicileCountry <DmclCtry>	[0..1]	CodeSet	C8	253
	NonDomicileCountry <NonDmclCtry>	[0..*]	CodeSet	C8	254
	OwnedSecuritiesQuantity <OwndSctiesQty>	[1..1]	±	C13	254
	CertificationType <CertfctnTp>	[0..*]	±		254
	WithholdingTaxRate <WhldgTaxRate>	[0..1]	±		255
	CertificationBreakdown <CertfctnBrkdwn>	[0..*]	Text		255
	CorporateActionInstruction <CorpActnInstr>	[1..1]		C21, C22	255
	OptionNumber <OptnNb>	[1..1]	±		257
	OptionType <OptnTp>	[1..1]	±		257
	OptionFeatures <OptnFeatrs>	[0..1]	±		258
	FractionDisposition <FrctnDspstn>	[0..1]	±		258
	ChangeType <ChngTp>	[0..*]	±		258
	EligibleForCollateralIndicator <ElgblForCollInd>	[0..1]	Indicator		258
	SolicitationDealerFeeIndicator <SlctnDealrFeeInd>	[0..1]	Indicator		259
	CurrencyToBuy <CcyToBuy>	[0..1]	CodeSet	C1	259
	CurrencyToSell <CcyToSell>	[0..1]	CodeSet	C1	259
	CurrencyOption <CcyOptn>	[0..1]	CodeSet	C1	260
	SecurityIdentification <Sctyld>	[0..1]	±	C11, C12, C18, C19, C24	260
	SecuritiesQuantityOrInstructedAmount <SctiesQtyOrInstAmt>	[1..1]			261
{Or	SecuritiesQuantity <SctiesQty>	[1..1]			261
	ConditionalQuantity <CondlQty>	[0..1]	±	C13	262

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	InstructedQuantity <InstdQty>	[1..1]	±		262
	AdditionalRoundUpQuantity <AddtlRndUpQty>	[0..1]	±	C13	262
Or}	InstructedAmount <InstdAmt>	[1..1]	Amount	C1, C9	263
	ExecutionRequestedDateTime <ExctnReqdDtTm>	[0..1]	±		263
	RateAndAmountDetails <RateAndAmtDtls>	[0..1]			264
	ProposedRate <PropsdRate>	[0..1]	Rate		264
	OversubscriptionRate <OvrsbcptRate>	[0..1]	±		264
	RequestedWithholdingTaxRate <ReqdWhldgTaxRate>	[0..*]	±		264
	RequestedSecondLevelTaxRate <ReqdScndLvlTaxRate>	[0..*]	±		265
	PriceDetails <PricDtls>	[0..1]		C16	265
	IndicativeOrMarketPrice <IndctvOrMktPric>	[0..1]	±		266
	IssuePrice <IssePric>	[0..1]	±		266
	GenericCashPriceReceivedPerProduct <GncCshPricRcvdPerPdct>	[0..1]	±		266
	GenericCashPricePaidPerProduct <GncCshPricPdPerPdct>	[0..1]	±		267
	ShareholderNumber <ShrhldrNb>	[0..1]	Text		267
	AdditionalInformation <AddtlInf>	[0..1]			268
	InformationToComplyWith <InfToCmplyWth>	[0..*]	Text		268
	DeliveryDetails <DlvryDtls>	[0..*]	Text		268
	ForeignExchangeInstructionsAdditionalInformation <FXInstrsAddtlInf>	[0..*]	Text		268
	InstructionAdditionalInformation <InstrAddtlInf>	[0..*]	Text		268
	ProtectInstruction <PrctInstr>	[0..1]			268
	TransactionType <TxTp>	[1..1]	CodeSet		269
	TransactionIdentification <TxId>	[0..1]	Text		269
	ProtectSafekeepingAccount <PrctSfkgAcct>	[0..1]	Text		269
	ProtectDate <PrctDt>	[0..1]	Date		269
	AdditionalInformation <AddtlInf>	[0..1]			269
	RegistrationDetails <RegnDtls>	[0..*]	Text		270
	PartyContactNarrative <PtyCtctNrrtv>	[0..*]	Text		270
	CertificationBreakdown <CertfctnBrkdw>	[0..*]	Text		270
	SupplementaryData <SplmtryData>	[0..*]	±	C31	270

5.3 Constraints

C1 **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

C2 **ActiveOrHistoricCurrency**

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

C3 **AdditionalInformationRule**

Elements in AdditionalInformation and CorporateActionInstruction/AdditionalInformation must not contain information that can be provided in a structured field unless bilaterally agreed or advised differently in the element definition.

This constraint is defined at the MessageDefinition level.

C4 **AnyBIC**

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

C5 **BeneficialOwnerBreakdownRequestRule**

If CorporateActionInstruction/OptionType/Code value is BOBD (BeneficialOwnerBreakdownRequest), then CorporateActionGeneralInformation/EventType/Code value must be WTRC (Withholding Tax Relief Certification).

(MT565 NVR C8)

On Condition

/CorporateActionInstruction/OptionType/Code is equal to value
'BeneficialOwnerBreakdownRequest'

Following Must be True

/CorporateActionGeneralInformation/EventType/Code Must be equal to value
'WithholdingTaxReliefCertification'

This constraint is defined at the MessageDefinition level.

C6 **ChangeInstructionIndicatorGuideline**

ChangeInstructionIndicator may only be used if CorporateActionOptionDetails/WithdrawalAllowedIndicator was set to 'false' or '0' (No) in the CorporateActionNotification message previously received and if CorporateActionOptionDetails/ChangeAllowedIndicator was set to 'true' or '1' (Yes) in the CorporateActionNotification message previously received.

This constraint is defined at the MessageDefinition level.

C7 **CorporateActionEventIdentificationRule**

If the CorporateActionInstruction message is unsolicited (that is, this instruction has not been preceded by an CorporateActionNotification), then, CorporateActionGeneralInformation/CorporateActionEventIdentification must be NONREF.

This constraint is defined at the MessageDefinition level.

C8 Country

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

C9 CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

C10 DeclarationDetailsRule

Unless bilaterally agreed, DeclarationDetails must not contain information that can be provided in a structured field.

C11 DescriptionPresenceRule

If Description is not present then either ISIN or at least one occurrence of OtherIdentification must be present.

C12 DescriptionUsageRule

Description must be used alone as the last resort.

C13 DigitalTokenUnitUsageRule

DigitalTokenUnit format may only be used for instruments identified with a digital token identifier and when the related account identification is provided as a blockchain address or wallet identification (using BlockChainAddressOrWallet element as the account identification).

C14 EventTypeRule

EventType/Code OTHR must only be used in case no other corporate action event code is appropriate.

EventType/Code CHAN must only be used in case no other corporate action event code is appropriate and only for an event which relates to a change.

EventType/Code REDM must only be used when the redemption is decided by the issuer and not by the securities holders.

C15 EventTypeRule

If Code is present, the code OTHR must only be used in case no other corporate action event code is appropriate.

If Code is present, the code CHAN must only be used in case no other corporate action event code is appropriate and only for an event which relates to a change.

If Code is present, the code REDM must only be used when the redemption is decided by the issuer and not by the securities holders.

C16 GenericCashPricePerProductGuideline

Generic Cash Price Received per Product (OFFR) and Generic Cash Price Paid per Product (PRPP) are prices received or paid in the course of an event. The specific meaning (redemption price, subscription price, reinvestment price, etc.) is derived from the event type.

C17 InstructionCancellationRequestIdentificationRule

If ChangeInstructionIndicator is 'true' or '1' (Yes), then InstructionCancellationRequestIdentification must be present.

This constraint is defined at the MessageDefinition level.

C18 ISINGuideline

When a ISIN code exist. It is strongly recommended that the ISIN be used.

C19 ISINPresenceRule

If ISIN is not present then either Description or at least one occurrence of OtherIdentification must be present.

C20 OfficialCorporateActionEventReference

The Securities Market Practice Group (SMPG) has published market practice recommendations on the structure and usage of the Official Corporate Action Event Reference (COAF). Those recommendations are

available in the SMPG Global Market Practices Part 1 document on www.smpg.info.

C21 OptionNumber1Rule

For differentiation between options, the OptionNumber rather than the OptionType must be used, for example, in the case where two or more offers can be described by the same corporate action option code. Therefore, the OptionNumber value must be different for each option.

C22 OptionNumber2Rule

When the CorporateActionInstruction is unsolicited, that is, the instruction has not been preceded by a Corporate ActionNotification, then OptionNumber/code must be UNSO (unsolicited).

C23 OptionTypeRule

If CorporateActionInstruction/OptionType/Code value is TAXI (Tax Instruction), then at least one occurrence of BeneficialOwnerDetails must be present.

This constraint is defined at the MessageDefinition level.

C24 OtherIdentificationPresenceRule

If OtherIdentification is not present then either ISIN or Description must be present.

C25 PreviousNextFactorRule

In case of partial redemption by pool factor, PreviousFactor provides the factor applicable before redemption and NextFactor provides the factor applicable after redemption.

C26 SafekeepingAccountOrBlockChainAddress1Rule

Either SafekeepingAccount or BlockChainAddressOrWallet must be present but not both.

C27 SafekeepingAccountOrBlockChainAddress2Rule

If BlockChainAddressOrWallet is present then SafekeepingAccount must be absent.

C28 SafekeepingAccountOrBlockChainAddress3Rule

If SafekeepingAccount is present, then BlockChainAddressOrWallet must be absent.

C29 SafekeepingPlaceRule

The SafekeepingPlace is only to be used by global custodians that allow their clients to specify where the securities are to be held, for example, in an ICSD account versus an account at the local CSD.

C30 ShortLongNumberRule

ShortNumber must contain the FIN message type number of the linked message. LongNumber must contain the XML message identifier of the linked message.

C31 SupplementaryDataRule

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

C32 WithLinkageRule

If Code WITH is used, then the one or more instruction which are linked become bound and which must be executed together. Even if one single transactions/instructions/notifications can not be executed, then all the other transactions/instructions/notifications must also be kept pending. Therefore the use of code WITH must be limited to combine up to 2 or 3 transactions/instructions/notifications.

5.4 Message Building Blocks

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

5.4.1 ChngInstrIndicator <ChngInstrInd>

Presence: [0..1]

Definition: Indicates that the current instruction is replacing a previous one that was cancelled earlier.

Datatype: One of the following values must be used (see ["YesNoIndicator"](#) on page 1171):

- *Meaning When True:* Yes
- *Meaning When False:* No

5.4.2 CancelledInstructionIdentification <CancelInstrId>

Presence: [0..1]

Definition: Identification of a previously sent cancelled instruction document.

CancelledInstructionIdentification <CancelInstrId> contains the following elements (see ["DocumentIdentification37"](#) on page 736 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		737
	LinkageType <LkgTp>	[0..1]	±	C78	737

5.4.3 InstructionCancellationRequestIdentification <InstrCxlReqId>

Presence: [0..1]

Definition: Identification of a previously sent instruction cancellation request document.

InstructionCancellationRequestIdentification <InstrCxlReqId> contains the following elements (see "DocumentIdentification37" on page 736 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		737
	LinkageType <LkgTp>	[0..1]	±	C78	737

5.4.4 OtherDocumentIdentification <OthrDocId>

Presence: [0..*]

Definition: Identification of other documents as well as the document number.

OtherDocumentIdentification <OthrDocId> contains the following elements (see "DocumentIdentification38" on page 739 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]			739
{Or	AccountServicerDocumentIdentification <AcctSvcrDocId>	[1..1]	Text		740
Or}	AccountOwnerDocumentIdentification <AcctOwnrDocId>	[1..1]	Text		740
	DocumentNumber <DocNb>	[0..1]	±	C6	740
	LinkageType <LkgTp>	[0..1]	±	C78	740

5.4.5 EventsLinkage <EvtsLkg>

Presence: [0..*]

Definition: Identification of another corporate action event that needs to be closely linked to the processing of the event notified in this document.

EventsLinkage <EvtsLkg> contains the following elements (see "CorporateActionEventReference4" on page 910 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	EventIdentification <EvtId>	[1..1]			910
{Or	LinkedOfficialCorporateActionEventIdentification <LkdOffclCorpActnEvtId>	[1..1]	Text		910
Or}	LinkedCorporateActionIdentification <LkdCorpActnId>	[1..1]	Text		910
	LinkageType <LkgTp>	[0..1]	±	C78	910

5.4.6 CorporateActionGeneralInformation <CorpActnGnlInf>

Presence: [1..1]

Definition: General information about the corporate action event.

Impacted by: C14 "EventTypeRule", C20 "OfficialCorporateActionEventReference"

CorporateActionGeneralInformation <CorpActnGnlInf> contains the following **CorporateActionGeneralInformation166** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CorporateActionEventIdentification <CorpActnEvtId>	[1..1]	Text		242
	OfficialCorporateActionEventIdentification <OffclCorpActnEvtId>	[0..1]	Text		242
	EventType <EvtTp>	[1..1]	±	C15	243
	UnderlyingSecurity <UndrlygScty>	[0..1]	±	C25	243

Constraints

- **EventTypeRule**

EventType/Code OTHR must only be used in case no other corporate action event code is appropriate.

EventType/Code CHAN must only be used in case no other corporate action event code is appropriate and only for an event which relates to a change.

EventType/Code REDM must only be used when the redemption is decided by the issuer and not by the securities holders.

- **OfficialCorporateActionEventReference**

The Securities Market Practice Group (SMPG) has published market practice recommendations on the structure and usage of the Official Corporate Action Event Reference (COAF). Those recommendations are

available in the SMPG Global Market Practices Part 1 document on www.smpg.info.

5.4.6.1 CorporateActionEventIdentification <CorpActnEvtId>

Presence: [1..1]

Definition: Reference assigned by the account servicer to unambiguously identify a corporate action event.

Datatype: "RestrictedFINXMax16Text" on page 1177

5.4.6.2 OfficialCorporateActionEventIdentification <OffclCorpActnEvtId>

Presence: [0..1]

Definition: Official and unique reference assigned by the official central body/entity within each market at the beginning of a corporate action event.

Datatype: "RestrictedFINXMax16Text" on page 1177

5.4.6.3 EventType <EvtTp>

Presence: [1..1]

Definition: Type of corporate action event.

Impacted by: C15 "EventTypeRule"

EventType <EvtTp> contains one of the following elements (see "CorporateActionEventType103Choice" on page 804 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		804
Or}	Proprietary <Prtry>	[1..1]	±		810

Constraints

- **EventTypeRule**

If Code is present, the code OTHR must only be used in case no other corporate action event code is appropriate.

If Code is present, the code CHAN must only be used in case no other corporate action event code is appropriate and only for an event which relates to a change.

If Code is present, the code REDM must only be used when the redemption is decided by the issuer and not by the securities holders.

5.4.6.4 UnderlyingSecurity <UndrlygScty>

Presence: [0..1]

Definition: Security concerned by the corporate action.

Impacted by: C25 "PreviousNextFactorRule"

UnderlyingSecurity <UndrlygScty> contains the following elements (see "FinancialInstrumentAttributes120" on page 750 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	FinancialInstrumentIdentification <FinInstrmId>	[0..1]	±	C17, C18, C41, C42, C54	751
	PlaceOfListing <PlcOfListg>	[0..1]	±		752
	DayCountBasis <DayCntBsis>	[0..1]	±		753
	ClassificationType <ClssfctnTp>	[0..1]	±		753
	DenominationCurrency <DnmtnCcy>	[0..1]	CodeSet	C2	753
	NextCouponDate <NxtCpnDt>	[0..1]	Date		754
	ExpiryDate <XpryDt>	[0..1]	Date		754
	FloatingRateFixingDate <FltgRateFxdDt>	[0..1]	Date		754
	MaturityDate <MtrtyDt>	[0..1]	Date		754
	IssueDate <IsseDt>	[0..1]	Date		754
	NextCallableDate <NxtClblDt>	[0..1]	Date		754
	PutableDate <PutblDt>	[0..1]	Date		754
	DatedDate <DtdDt>	[0..1]	Date		754
	ConversionDate <ConvsDt>	[0..1]	Date		755
	PreviousFactor <PrvsFctr>	[0..1]	Rate		755
	NextFactor <NxtFctr>	[0..1]	Rate		755
	InterestRate <IntrstRate>	[0..1]	Rate		755
	NextInterestRate <NxtIntrstRate>	[0..1]	Rate		755
	MinimumNominalQuantity <MinNmnlQty>	[0..1]	±	C19	755
	ContractSize <CtrctSz>	[0..1]	±	C19	756

Constraints

- **PreviousNextFactorRule**

In case of partial redemption by pool factor, PreviousFactor provides the factor applicable before redemption and NextFactor provides the factor applicable after redemption.

5.4.7 AccountDetails <AcctDtls>

Presence: [1..1]

Definition: General information about the safekeeping account, owner and account balance.

Impacted by: C26 "SafekeepingAccountOrBlockChainAddress1Rule", C27
 "SafekeepingAccountOrBlockChainAddress2Rule", C28
 "SafekeepingAccountOrBlockChainAddress3Rule", C29 "SafekeepingPlaceRule"

AccountDetails <AcctDtls> contains the following **AccountAndBalance51** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	SafekeepingAccount <SfkpgAcct>	[0..1]	Text		246
	BlockChainAddressOrWallet <BlckChainAdrOrWllet>	[0..1]	Text		246
	AccountOwner <AcctOwnr>	[0..1]	±		246
	SafekeepingPlace <SfkpgPlc>	[0..1]	±		246
	Balance <Bal>	[0..1]			247
	TotalEligibleBalance <TtlElgblBal>	[0..1]	±		247
	BlockedBalance <BlckdBal>	[0..1]	±		248
	BorrowedBalance <BrrwdBal>	[0..1]	±		248
	CollateralInBalance <CollInBal>	[0..1]	±		248
	CollateralOutBalance <CollOutBal>	[0..1]	±		249
	OnLoanBalance <OnLnBal>	[0..1]	±		249
	PendingDeliveryBalance <PdgDlvryBal>	[0..*]	±		249
	PendingReceiptBalance <PdgRctBal>	[0..*]	±		250
	OutForRegistrationBalance <OutForRegnBal>	[0..1]	±		250
	SettlementPositionBalance <SttlmPosBal>	[0..1]	±		250
	StreetPositionBalance <StrtPosBal>	[0..1]	±		251
	TradeDatePositionBalance <TradDtPosBal>	[0..1]	±		251
	InTransshipmentBalance <InTrnsShipmntBal>	[0..1]	±		251
	RegisteredBalance <RegdBal>	[0..1]	±		252

Constraints

- **SafekeepingAccountOrBlockChainAddress1Rule**

Either SafekeepingAccount or BlockChainAddressOrWallet must be present but not both.

Following Must be True

/SafekeepingAccount Must be present

Or /BlockChainAddressOrWallet Must be present

- **SafekeepingAccountOrBlockChainAddress2Rule**

If BlockChainAddressOrWallet is present then SafekeepingAccount must be absent.

On Condition

/BlockChainAddressOrWallet is present

Following Must be True

/SafekeepingAccount Must be absent

- **SafekeepingAccountOrBlockChainAddress3Rule**

If SafekeepingAccount is present, then BlockChainAddressOrWallet must be absent.

On Condition

/SafekeepingAccount is present

Following Must be True

/BlockChainAddressOrWallet Must be absent

- **SafekeepingPlaceRule**

The SafekeepingPlace is only to be used by global custodians that allow their clients to specify where the securities are to be held, for example, in an ICSD account versus an account at the local CSD.

5.4.7.1 SafekeepingAccount <SfkpgAcct>

Presence: [0..1]

Definition: Account where financial instruments are maintained.

Datatype: "RestrictedFINXMax35Text" on page 1180

5.4.7.2 BlockChainAddressOrWallet <BlckChainAdrOrWllt>

Presence: [0..1]

Definition: Blockchain address or wallet where digital assets are maintained. This is the equivalent of safekeeping account for digital assets.

Datatype: "RestrictedFINXMax140Text" on page 1177

5.4.7.3 AccountOwner <AcctOwnr>

Presence: [0..1]

Definition: Party that legally owns the account.

AccountOwner <AcctOwnr> contains one of the following elements (see "PartyIdentification136Choice" on page 928 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	928
Or}	ProprietaryIdentification <PrtryId>	[1..1]	±		929

5.4.7.4 SafekeepingPlace <SfkpgPlc>

Presence: [0..1]

Definition: Location where the financial instruments are/will be safekept.

SafekeepingPlace <SfkpgPlc> contains one of the following elements (see "SafekeepingPlaceFormat32Choice" on page 1046 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Identification <Id>	[1..1]	±		1047
Or	Country <Ctry>	[1..1]	CodeSet	C3	1047
Or	TypeAndIdentification <TpAndId>	[1..1]			1047
	SafekeepingPlaceType <SfkpgPlcTp>	[1..1]	CodeSet		1048
	Identification <Id>	[1..1]	IdentifierSet	C1	1048
Or}	Proprietary <Prtry>	[1..1]	±		1048

5.4.7.5 Balance <Bal>

Presence: [0..1]

Definition: Provides information about balance related to a corporate action.

Balance <Bal> contains the following **CorporateActionBalanceDetails44** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	TotalEligibleBalance <TtlElgblBal>	[0..1]	±		247
	BlockedBalance <BlckdBal>	[0..1]	±		248
	BorrowedBalance <BrrwdBal>	[0..1]	±		248
	CollateralInBalance <CollInBal>	[0..1]	±		248
	CollateralOutBalance <CollOutBal>	[0..1]	±		249
	OnLoanBalance <OnLnBal>	[0..1]	±		249
	PendingDeliveryBalance <PdgDlvryBal>	[0..*]	±		249
	PendingReceiptBalance <PdgRctBal>	[0..*]	±		250
	OutForRegistrationBalance <OutForRegnBal>	[0..1]	±		250
	SettlementPositionBalance <SttlmPosBal>	[0..1]	±		250
	StreetPositionBalance <StrtPosBal>	[0..1]	±		251
	TradeDatePositionBalance <TradDtPosBal>	[0..1]	±		251
	InTransshipmentBalance <InTrnsShipmntBal>	[0..1]	±		251
	RegisteredBalance <RegdBal>	[0..1]	±		252

5.4.7.5.1 TotalEligibleBalance <TtlElgblBal>

Presence: [0..1]

Definition: Total quantity of financial instruments of the balance.

TotalEligibleBalance <TtlElgblBal> contains one of the following elements (see "[Quantity80Choice](#)" on page 976 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	QuantityChoice <QtyChc>	[1..1]	±		977
Or}	ProprietaryQuantity <PrtryQty>	[1..1]			977
	ShortLongPosition <ShrtLngPos>	[0..1]	CodeSet		977
	Quantity <Qty>	[1..1]	Quantity		978
	QuantityType <QtyTp>	[1..1]	Text		978
	Issuer </ssr>	[1..1]	Text		978
	SchemeName <SchmeNm>	[0..1]	Text		978

5.4.7.5.2 BlockedBalance <BlckdBal>

Presence: [0..1]

Definition: Balance of financial instruments that are blocked.

BlockedBalance <BlckdBal> contains one of the following elements (see "[BalanceFormat14Choice](#)" on page 716 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Balance <Bal>	[1..1]	±		716
Or	EligibleBalance <ElgblBal>	[1..1]	±		716
Or}	NotEligibleBalance <NotElgblBal>	[1..1]	±		716

5.4.7.5.3 BorrowedBalance <BrrwdBal>

Presence: [0..1]

Definition: Balance of financial instruments that have been borrowed from another party.

BorrowedBalance <BrrwdBal> contains one of the following elements (see "[BalanceFormat14Choice](#)" on page 716 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Balance <Bal>	[1..1]	±		716
Or	EligibleBalance <ElgblBal>	[1..1]	±		716
Or}	NotEligibleBalance <NotElgblBal>	[1..1]	±		716

5.4.7.5.4 CollateralInBalance <CollInBal>

Presence: [0..1]

Definition: Balance of securities that belong to a third party and that are held for the purpose of collateralisation.

CollateralInBalance <CollInBal> contains one of the following elements (see "BalanceFormat14Choice" on page 716 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Balance <Bal>	[1..1]	±		716
Or	EligibleBalance <ElgblBal>	[1..1]	±		716
Or}	NotEligibleBalance <NotElgblBal>	[1..1]	±		716

5.4.7.5.5 CollateralOutBalance <CollOutBal>

Presence: [0..1]

Definition: Balance of securities that belong to the safekeeping account indicated within this message, and are deposited with a third party for the purpose of collateralisation.

CollateralOutBalance <CollOutBal> contains one of the following elements (see "BalanceFormat14Choice" on page 716 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Balance <Bal>	[1..1]	±		716
Or	EligibleBalance <ElgblBal>	[1..1]	±		716
Or}	NotEligibleBalance <NotElgblBal>	[1..1]	±		716

5.4.7.5.6 OnLoanBalance <OnLnBal>

Presence: [0..1]

Definition: Balance of financial instruments that have been loaned to a third party.

OnLoanBalance <OnLnBal> contains one of the following elements (see "BalanceFormat14Choice" on page 716 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Balance <Bal>	[1..1]	±		716
Or	EligibleBalance <ElgblBal>	[1..1]	±		716
Or}	NotEligibleBalance <NotElgblBal>	[1..1]	±		716

5.4.7.5.7 PendingDeliveryBalance <PdgDlvryBal>

Presence: [0..*]

Definition: Balance of financial instruments that are pending delivery.

PendingDeliveryBalance <PdgDivryBal> contains one of the following elements (see "BalanceFormat14Choice" on page 716 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Balance <Bal>	[1..1]	±		716
Or	EligibleBalance <ElgblBal>	[1..1]	±		716
Or}	NotEligibleBalance <NotElgblBal>	[1..1]	±		716

5.4.7.5.8 PendingReceiptBalance <PdgRctBal>

Presence: [0..*]

Definition: Balance of financial instruments that are pending receipt.

PendingReceiptBalance <PdgRctBal> contains one of the following elements (see "BalanceFormat14Choice" on page 716 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Balance <Bal>	[1..1]	±		716
Or	EligibleBalance <ElgblBal>	[1..1]	±		716
Or}	NotEligibleBalance <NotElgblBal>	[1..1]	±		716

5.4.7.5.9 OutForRegistrationBalance <OutForRegnBal>

Presence: [0..1]

Definition: Balance of financial instruments currently being processed by the institution responsible for registering the new beneficial owner (or nominee).

OutForRegistrationBalance <OutForRegnBal> contains one of the following elements (see "BalanceFormat14Choice" on page 716 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Balance <Bal>	[1..1]	±		716
Or	EligibleBalance <ElgblBal>	[1..1]	±		716
Or}	NotEligibleBalance <NotElgblBal>	[1..1]	±		716

5.4.7.5.10 SettlementPositionBalance <SttlmPosBal>

Presence: [0..1]

Definition: Balance of securities representing only settled transactions; pending transactions not included.

SettlementPositionBalance <SttlmPosBal> contains one of the following elements (see "BalanceFormat14Choice" on page 716 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Balance <Bal>	[1..1]	±		716
Or	EligibleBalance <ElgblBal>	[1..1]	±		716
Or}	NotEligibleBalance <NotElgblBal>	[1..1]	±		716

5.4.7.5.11 StreetPositionBalance <StrtPosBal>

Presence: [0..1]

Definition: Balance of financial instruments that remain registered in the name of the prior beneficial owner.

StreetPositionBalance <StrtPosBal> contains one of the following elements (see "BalanceFormat14Choice" on page 716 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Balance <Bal>	[1..1]	±		716
Or	EligibleBalance <ElgblBal>	[1..1]	±		716
Or}	NotEligibleBalance <NotElgblBal>	[1..1]	±		716

5.4.7.5.12 TradeDatePositionBalance <TradDtPosBal>

Presence: [0..1]

Definition: Balance of securities based on trade date, for example, includes all pending transactions in addition to the balance of settled transactions.

TradeDatePositionBalance <TradDtPosBal> contains one of the following elements (see "BalanceFormat14Choice" on page 716 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Balance <Bal>	[1..1]	±		716
Or	EligibleBalance <ElgblBal>	[1..1]	±		716
Or}	NotEligibleBalance <NotElgblBal>	[1..1]	±		716

5.4.7.5.13 InTransshipmentBalance <InTrnsShpmtBal>

Presence: [0..1]

Definition: Balance of physical securities that are in the process of being transferred from one depository/agent to another.

InTransshipmentBalance <InTrnsShipmntBal> contains one of the following elements (see "BalanceFormat14Choice" on page 716 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Balance <Bal>	[1..1]	±		716
Or	EligibleBalance <ElgblBal>	[1..1]	±		716
Or}	NotEligibleBalance <NotElgblBal>	[1..1]	±		716

5.4.7.5.14 RegisteredBalance <RegdBal>

Presence: [0..1]

Definition: Balance of financial instruments that are registered (in the name of a nominee name or of the beneficial owner).

RegisteredBalance <RegdBal> contains one of the following elements (see "BalanceFormat14Choice" on page 716 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Balance <Bal>	[1..1]	±		716
Or	EligibleBalance <ElgblBal>	[1..1]	±		716
Or}	NotEligibleBalance <NotElgblBal>	[1..1]	±		716

5.4.8 BeneficialOwnerDetails <BnfclOwnrDtls>

Presence: [0..*]

Definition: Information about the beneficial owner of the securities.

Impacted by: C10 "DeclarationDetailsRule"

BeneficialOwnerDetails <BnfclOwnrDtls> contains the following **PartyIdentification252** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	OwnerIdentification <OwnrId>	[1..1]	±		253
	LEIIdentification <LEIId>	[0..1]	IdentifierSet		253
	AlternateIdentification <AltrnId>	[0..*]	±		253
	DomicileCountry <DmclCtry>	[0..1]	CodeSet	C8	253
	NonDomicileCountry <NonDmclCtry>	[0..*]	CodeSet	C8	254
	OwnedSecuritiesQuantity <OwndScitiesQty>	[1..1]	±	C13	254
	CertificationType <CertfctnTp>	[0..*]	±		254
	WithholdingTaxRate <WhldgTaxRate>	[0..1]	±		255
	CertificationBreakdown <CertfctnBrkdwn>	[0..*]	Text		255

Constraints

- **DeclarationDetailsRule**

Unless bilaterally agreed, DeclarationDetails must not contain information that can be provided in a structured field.

5.4.8.1 OwnerIdentification <Ownrld>

Presence: [1..1]

Definition: Party that is the beneficial owner of the specified quantity of securities.

OwnerIdentification <Ownrld> contains one of the following elements (see "PartyIdentification234Choice" on page 920 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	920
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		920
Or	NameAndAddress <NmAndAdr>	[1..1]	±		921
Or}	IndividualOwnerIdentification <IndvOwnrld>	[1..1]	±		921

5.4.8.2 LEIdentification <LEId>

Presence: [0..1]

Definition: Legal entity identification of the party.

Datatype: "LEIdentifier" on page 1170

5.4.8.3 AlternateIdentification <Altrnld>

Presence: [0..*]

Definition: Alternate identification for a party.

AlternateIdentification <Altrnld> contains the following elements (see "AlternatePartyIdentification9" on page 934 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	IdentificationType <IdTp>	[1..1]			935
{Or	Code <Cd>	[1..1]	CodeSet		935
Or}	Proprietary <Prtry>	[1..1]	±		935
	Country <Ctry>	[1..1]	CodeSet	C3	936
	AlternateIdentification <Altrnld>	[1..1]	Text		936

5.4.8.4 DomicileCountry <DmclCtry>

Presence: [0..1]

Definition: Country in which a person is permanently domiciled (the place of a person's permanent home).

Impacted by: C8 "Country"

Datatype: "CountryCode" on page 1135

Constraints

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

5.4.8.5 NonDomicileCountry <NonDmclCtry>

Presence: [0..*]

Definition: Holder of the security certifies, in line with the terms of the corporate action, that it is not domiciled in the country indicated.

Impacted by: C8 "Country"

Datatype: "CountryCode" on page 1135

Constraints

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

5.4.8.6 OwnedSecuritiesQuantity <OwndSctiesQty>

Presence: [1..1]

Definition: Quantity of securities belonging to the beneficial owner specified.

Impacted by: C13 "DigitalTokenUnitUsageRule"

OwnedSecuritiesQuantity <OwndSctiesQty> contains one of the following elements (see "FinancialInstrumentQuantity36Choice" on page 986 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		986
Or	FaceAmount <FaceAmt>	[1..1]	Amount		986
Or	AmortisedValue <AmtsdVal>	[1..1]	Amount		986
Or}	DigitalTokenUnit <DgtlTknUnit>	[1..1]	Quantity		986

Constraints

- **DigitalTokenUnitUsageRule**

DigitalTokenUnit format may only be used for instruments identified with a digital token identifier and when the related account identification is provided as a blockchain address or wallet identification (using BlockChainAddressOrWallet element as the account identification).

5.4.8.7 CertificationType <CertfctnTp>

Presence: [0..*]

Definition: Type of certification which is required.

CertificationType <CertfctnTp> contains one of the following elements (see "BeneficiaryCertificationType11Choice" on page 868 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		868
Or}	Proprietary <Prtry>	[1..1]	±		868

5.4.8.8 WithholdingTaxRate <WhldgTaxRate>

Presence: [0..1]

Definition: Requested percentage of a cash distribution that will be withheld by the tax authorities of the jurisdiction of the issuer, for which a relief at source and/or reclaim may be possible.

WithholdingTaxRate <WhldgTaxRate> contains one of the following elements (see "RateAndAmountFormat46Choice" on page 1040 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1040
Or	NotSpecifiedRate <NotSpctdRate>	[1..1]	CodeSet		1040
Or}	Amount <Amt>	[1..1]	Amount	C1	1041

5.4.8.9 CertificationBreakdown <CertfctnBrkdwn>

Presence: [0..*]

Definition: Provides additional information about the type of certification/breakdown required.

Datatype: "RestrictedFINXMax350Text" on page 1179

5.4.9 CorporateActionInstruction <CorpActnInstr>

Presence: [1..1]

Definition: Information about the corporate action instruction.

Impacted by: C21 "OptionNumber1Rule", C22 "OptionNumber2Rule"

CorporateActionInstruction <CorpActnInstr> contains the following **CorporateActionOption204** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	OptionNumber <OptnNb>	[1..1]	±		257
	OptionType <OptnTp>	[1..1]	±		257
	OptionFeatures <OptnFeatrs>	[0..1]	±		258
	FractionDisposition <FrctnDspstn>	[0..1]	±		258
	ChangeType <ChngTp>	[0..*]	±		258
	EligibleForCollateralIndicator <ElgblForCollInd>	[0..1]	Indicator		258
	SolicitationDealerFeeIndicator <SlctnDealrFeeInd>	[0..1]	Indicator		259
	CurrencyToBuy <CcyToBuy>	[0..1]	CodeSet	C1	259
	CurrencyToSell <CcyToSell>	[0..1]	CodeSet	C1	259
	CurrencyOption <CcyOptn>	[0..1]	CodeSet	C1	260
	SecurityIdentification <SctyId>	[0..1]	±	C11, C12, C18, C19, C24	260
	SecuritiesQuantityOrInstructedAmount <SctiesQtyOrInstAmt>	[1..1]			261
{Or	SecuritiesQuantity <SctiesQty>	[1..1]			261
	ConditionalQuantity <CondlQty>	[0..1]	±	C13	262
	InstructedQuantity <InstQty>	[1..1]	±		262
	AdditionalRoundUpQuantity <AddtlRndUpQty>	[0..1]	±	C13	262
Or}	InstructedAmount <InstAmt>	[1..1]	Amount	C1, C9	263
	ExecutionRequestedDateTime <ExctnReqdDtTm>	[0..1]	±		263
	RateAndAmountDetails <RateAndAmtDtls>	[0..1]			264
	ProposedRate <PropsdRate>	[0..1]	Rate		264
	OversubscriptionRate <OvrscptRate>	[0..1]	±		264
	RequestedWithholdingTaxRate <ReqdWhldgTaxRate>	[0..*]	±		264
	RequestedSecondLevelTaxRate <ReqdScndLvlTaxRate>	[0..*]	±		265
	PriceDetails <PricDtls>	[0..1]		C16	265
	IndicativeOrMarketPrice <IndctvOrMktPric>	[0..1]	±		266
	IssuePrice <IssePric>	[0..1]	±		266

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	GenericCashPriceReceivedPerProduct <GncCshPricRcvdPerPdct>	[0..1]	±		266
	GenericCashPricePaidPerProduct <GncCshPricPdPerPdct>	[0..1]	±		267
	ShareholderNumber <ShrhldrNb>	[0..1]	Text		267
	AdditionalInformation <AddtlInf>	[0..1]			268
	InformationToComplyWith <InfToCmplyWth>	[0..*]	Text		268
	DeliveryDetails <DlvryDtls>	[0..*]	Text		268
	ForeignExchangeInstructionsAdditionalInformation <FXInstrsAddtlInf>	[0..*]	Text		268
	InstructionAdditionalInformation <InstrAddtlInf>	[0..*]	Text		268

Constraints

- **OptionNumber1Rule**

For differentiation between options, the OptionNumber rather than the OptionType must be used, for example, in the case where two or more offers can be described by the same corporate action option code. Therefore, the OptionNumber value must be different for each option.

- **OptionNumber2Rule**

When the CorporateActionInstruction is unsolicited, that is, the instruction has not been preceded by a Corporate ActionNotification, then OptionNumber/code must be UNSO (unsolicited).

5.4.9.1 OptionNumber <OptnNb>

Presence: [1..1]

Definition: Number identifying the available corporate action options.

OptionNumber <OptnNb> contains one of the following elements (see "[OptionNumber1Choice](#)" on page 916 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Number <Nb>	[1..1]	Text		916
Or}	Code <Cd>	[1..1]	CodeSet		917

5.4.9.2 OptionType <OptnTp>

Presence: [1..1]

Definition: Specifies the corporate action options available to the account owner.

OptionType <OptnTp> contains one of the following elements (see "[CorporateActionOption43Choice](#)" on page 811 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		811
Or}	Proprietary <Prtry>	[1..1]	±		813

5.4.9.3 OptionFeatures <OptnFeatrs>

Presence: [0..1]

Definition: Specifies the features that may apply to a corporate action option.

OptionFeatures <OptnFeatrs> contains one of the following elements (see "[OptionFeaturesFormat27Choice](#)" on page 854 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		854
Or}	Proprietary <Prtry>	[1..1]	±		855

5.4.9.4 FractionDisposition <FrctnDspstn>

Presence: [0..1]

Definition: Specifies how fractional amount/quantities are treated.

FractionDisposition <FrctnDspstn> contains one of the following elements (see "[FractionDispositionType29Choice](#)" on page 867 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		867
Or}	Proprietary <Prtry>	[1..1]	±		867

5.4.9.5 ChangeType <ChngTp>

Presence: [0..*]

Definition: Type of changes affecting the security form.

ChangeType <ChngTp> contains one of the following elements (see "[CorporateActionChangeTypeFormat7Choice](#)" on page 865 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		865
Or}	Proprietary <Prtry>	[1..1]	±		865

5.4.9.6 EligibleForCollateralIndicator <ElgblForCollInd>

Presence: [0..1]

Definition: Indicates that the corporate action instruction is to be processed using the Available-for-Collateral pool.

Datatype: One of the following values must be used (see ["YesNoIndicator"](#) on page 1171):

- *Meaning When True:* Yes
- *Meaning When False:* No

5.4.9.7 SolicitationDealerFeeIndicator <SlctnDealrFeeInd>

Presence: [0..1]

Definition: Indicates whether there is a solicitation fee on the event. This fee is paid by the soliciting dealer.

Datatype: One of the following values must be used (see ["YesNoIndicator"](#) on page 1171):

- *Meaning When True:* Yes
- *Meaning When False:* No

5.4.9.8 CurrencyToBuy <CcyToBuy>

Presence: [0..1]

Definition: Account servicer is instructed to buy the indicated currency after the receipt of cash proceeds.

Impacted by: [C1 "ActiveCurrency"](#)

Datatype: ["ActiveCurrencyCode"](#) on page 1088

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

5.4.9.9 CurrencyToSell <CcyToSell>

Presence: [0..1]

Definition: Account servicer is instructed to sell the indicated currency in order to obtain the necessary currency to fund the transaction within this instruction message.

Impacted by: [C1 "ActiveCurrency"](#)

Datatype: ["ActiveCurrencyCode"](#) on page 1088

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217

Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

5.4.9.10 CurrencyOption <CcyOptn>

Presence: [0..1]

Definition: Currency in which the cash disbursed from an interest or dividend payment is offered.

Impacted by: C1 "ActiveCurrency"

Datatype: "ActiveCurrencyCode" on page 1088

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

5.4.9.11 SecurityIdentification <Sctyld>

Presence: [0..1]

Definition: Identifies the financial instrument.

Impacted by: C11 "DescriptionPresenceRule", C12 "DescriptionUsageRule", C18 "ISINGuideline", C19 "ISINPresenceRule", C24 "OtherIdentificationPresenceRule"

SecurityIdentification <Sctyld> contains the following elements (see "SecurityIdentification20" on page 748 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ISIN <ISIN>	[0..1]	IdentifierSet		749
	OtherIdentification <Othrlid>	[0..*]			749
	Identification <Id>	[1..1]	Text		750
	Suffix <Sfx>	[0..1]	Text		750
	Type <Tp>	[1..1]	±		750
	Description <Desc>	[0..1]	Text		750

Constraints

- **DescriptionPresenceRule**

If Description is not present then either ISIN or at least one occurrence of OtherIdentification must be present.

On Condition

 /Description is absent

Following Must be True

 /ISIN Must be present

Or /OtherIdentification[*] Must be present

- **DescriptionUsageRule**

Description must be used alone as the last resort.

- **ISINGuideline**

When a ISIN code exist. It is strongly recommended that the ISIN be used.

- **ISINPresenceRule**

If ISIN is not present then either Description or at least one occurrence of OtherIdentification must be present.

```
On Condition
  /ISIN is absent
Following Must be True
  /OtherIdentification[*] Must be present
Or
  /Description Must be present
```

- **OtherIdentificationPresenceRule**

If OtherIdentification is not present then either ISIN or Description must be present.

```
On Condition
  /OtherIdentification[*] is absent
Following Must be True
  /ISIN Must be present
Or
  /Description Must be present
```

5.4.9.12 SecuritiesQuantityOrInstructedAmount <SctiesQtyOrInstdAmt>

Presence: [1..1]

Definition: Provides information about securities quantity linked to a corporate action option.

SecuritiesQuantityOrInstructedAmount <SctiesQtyOrInstdAmt> contains one of the following **SecuritiesQuantityOrAmount7Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	SecuritiesQuantity <SctiesQty>	[1..1]			261
	ConditionalQuantity <CondQty>	[0..1]	±	C13	262
	InstructedQuantity <InstdQty>	[1..1]	±		262
	AdditionalRoundUpQuantity <AddtlRndUpQty>	[0..1]	±	C13	262
Or}	InstructedAmount <InstdAmt>	[1..1]	Amount	C1, C9	263

5.4.9.12.1 SecuritiesQuantity <SctiesQty>

Presence: [1..1]

Definition: Provides information about securities quantity linked to a corporate action option.

SecuritiesQuantity <SctiesQty> contains the following **SecuritiesOption88** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ConditionalQuantity <CondlQty>	[0..1]	±	C13	262
	InstructedQuantity <InstdQty>	[1..1]	±		262
	AdditionalRoundUpQuantity <AddtlRndUpQty>	[0..1]	±	C13	262

5.4.9.12.1.1 ConditionalQuantity <CondlQty>

Presence: [0..1]

Definition: Minimum quantity of securities to be accepted (used in the framework of conditional privilege on election). In case of proration, if this minimum quantity is not reached then the instruction is void.

Impacted by: C13 "DigitalTokenUnitUsageRule"

ConditionalQuantity <CondlQty> contains one of the following elements (see "FinancialInstrumentQuantity36Choice" on page 986 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		986
Or	FaceAmount <FaceAmt>	[1..1]	Amount		986
Or	AmortisedValue <AmtdVal>	[1..1]	Amount		986
Or}	DigitalTokenUnit <DgtlTknUnit>	[1..1]	Quantity		986

Constraints

- **DigitalTokenUnitUsageRule**

DigitalTokenUnit format may only be used for instruments identified with a digital token identifier and when the related account identification is provided as a blockchain address or wallet identification (using BlockchainAddressOrWallet element as the account identification).

5.4.9.12.1.2 InstructedQuantity <InstdQty>

Presence: [1..1]

Definition: Quantity of securities to which this instruction applies.

InstructedQuantity <InstdQty> contains one of the following elements (see "Quantity55Choice" on page 979 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		980
Or	OriginalAndCurrentFaceAmount <OrgnlAndCurFaceAmt>	[1..1]	±		980
Or}	Quantity <Qty>	[1..1]	±	C19	980

5.4.9.12.1.3 AdditionalRoundUpQuantity <AddtlRndUpQty>

Presence: [0..1]

Definition: Quantity of additional shares requested due to the difference of "round-up against payment" practice between the account servicer and the account holder (for instance for French dividend option).

Impacted by: C13 "DigitalTokenUnitUsageRule"

AdditionalRoundUpQuantity <AddtlRndUpQty> contains one of the following elements (see "FinancialInstrumentQuantity36Choice" on page 986 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		986
Or	FaceAmount <FaceAmt>	[1..1]	Amount		986
Or	AmortisedValue <AmtsdVal>	[1..1]	Amount		986
Or}	DigitalTokenUnit <DgtlTknUnit>	[1..1]	Quantity		986

Constraints

- **DigitalTokenUnitUsageRule**

DigitalTokenUnit format may only be used for instruments identified with a digital token identifier and when the related account identification is provided as a blockchain address or wallet identification (using BlockChainAddressOrWallet element as the account identification).

5.4.9.12.2 InstructedAmount <InstdAmt>

Presence: [1..1]

Definition: Cash amount to be instructed.

Impacted by: C1 "ActiveCurrency", C9 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1086

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

5.4.9.13 ExecutionRequestedDateTime <ExctnReqdDtTm>

Presence: [0..1]

Definition: Date/time at which the instructing party requests the instruction to be executed.

ExecutionRequestedDateTime <ExctnReqdDtTm> contains one of the following elements (see "DateAndDateTime2Choice" on page 731 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		731
Or}	DateTime <DtTm>	[1..1]	DateTime		731

5.4.9.14 RateAndAmountDetails <RateAndAmtDtls>

Presence: [0..1]

Definition: Provides information about rates and amounts related to a corporate action option.

RateAndAmountDetails <RateAndAmtDtls> contains the following **CorporateActionRate73** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ProposedRate <PropsdRate>	[0..1]	Rate		264
	OversubscriptionRate <OvrbcptRate>	[0..1]	±		264
	RequestedWithholdingTaxRate <ReqdWhldgTaxRate>	[0..*]	±		264
	RequestedSecondLevelTaxRate <ReqdScndLvTaxRate>	[0..*]	±		265

5.4.9.14.1 ProposedRate <PropsdRate>

Presence: [0..1]

Definition: Rate proposed in a remarketing of variable rate notes.

Datatype: "PercentageRate" on page 1172

5.4.9.14.2 OversubscriptionRate <OvrbcptRate>

Presence: [0..1]

Definition: Rate of allowed over-subscription.

OversubscriptionRate <OvrbcptRate> contains one of the following elements (see "RateAndAmountFormat43Choice" on page 1039 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1040
Or}	Amount <Amt>	[1..1]	Amount	C1	1040

5.4.9.14.3 RequestedWithholdingTaxRate <ReqdWhldgTaxRate>

Presence: [0..*]

Definition: Requested tax rate that will be withheld by the tax authorities of the jurisdiction of the issuer, for which a relief at source and/or reclaim may be possible.

RequestedWithholdingTaxRate <ReqdWhldgTaxRate> contains one of the following elements (see "RateAndAmountFormat45Choice" on page 1036 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1036
Or	Amount <Amt>	[1..1]	Amount	C1	1036
Or}	RateTypeAndRate <RateTpAndRate>	[1..1]			1037
	RateType <RateTp>	[1..1]			1037
{Or	Code <Cd>	[1..1]	CodeSet		1037
Or}	Proprietary <Prtry>	[1..1]	±		1038
	Rate <Rate>	[1..1]	Rate		1038

5.4.9.14.4 RequestedSecondLevelTaxRate <ReqdScndLvlTaxRate>

Presence: [0..*]

Definition: Requested rate at which the income will be withheld by the jurisdiction other than the jurisdiction of the issuer's country of tax incorporation, for which a relief at source and/or reclaim may be possible.

RequestedSecondLevelTaxRate <ReqdScndLvlTaxRate> contains one of the following elements (see "RateAndAmountFormat45Choice" on page 1036 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1036
Or	Amount <Amt>	[1..1]	Amount	C1	1036
Or}	RateTypeAndRate <RateTpAndRate>	[1..1]			1037
	RateType <RateTp>	[1..1]			1037
{Or	Code <Cd>	[1..1]	CodeSet		1037
Or}	Proprietary <Prtry>	[1..1]	±		1038
	Rate <Rate>	[1..1]	Rate		1038

5.4.9.15 PriceDetails <PricDtls>

Presence: [0..1]

Definition: Provides information about the prices related to a corporate action option.

Impacted by: C16 "GenericCashPricePerProductGuideline"

PriceDetails <PricDtls> contains the following **CorporateActionPrice77** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	IndicativeOrMarketPrice <IndctvOrMktPric>	[0..1]	±		266
	IssuePrice <IssePric>	[0..1]	±		266
	GenericCashPriceReceivedPerProduct <GncCshPricRcvdPerPdct>	[0..1]	±		266
	GenericCashPricePaidPerProduct <GncCshPricPdPerPdct>	[0..1]	±		267

Constraints

- **GenericCashPricePerProductGuideline**

Generic Cash Price Received per Product (OFFR) and Generic Cash Price Paid per Product (PRPP) are prices received or paid in the course of an event. The specific meaning (redemption price, subscription price, reinvestment price, etc.) is derived from the event type.

5.4.9.15.1 IndicativeOrMarketPrice <IndctvOrMktPric>

Presence: [0..1]

Definition: Indicates whether the price is an indicative price or a market price.

IndicativeOrMarketPrice <IndctvOrMktPric> contains one of the following elements (see "IndicativeOrMarketPrice9Choice" on page 966 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	IndicativePrice <IndctvPric>	[1..1]	±		966
Or}	MarketPrice <MktPric>	[1..1]	±		966

5.4.9.15.2 IssuePrice <IssePric>

Presence: [0..1]

Definition: Initial issue price of a financial instrument.

IssuePrice <IssePric> contains one of the following elements (see "PriceFormat52Choice" on page 964 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	PercentagePrice <PctgPric>	[1..1]			964
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		964
	PriceValue <PricVal>	[1..1]	Rate		965
Or}	AmountPrice <AmtPric>	[1..1]	±		965

5.4.9.15.3 GenericCashPriceReceivedPerProduct <GncCshPricRcvdPerPdct>

Presence: [0..1]

Definition: Generic cash price received per product by the underlying security holder either as a percentage or an amount, for example, redemption price.

GenericCashPriceReceivedPerProduct <GncCshPricRcvdPerPdct> contains one of the following elements (see "PriceFormat67Choice" on page 951 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	PercentagePrice <PctgPric>	[1..1]			952
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		952
	PriceValue <PricVal>	[1..1]	Rate		953
Or	AmountPrice <AmtPric>	[1..1]	±		953
Or	NotSpecifiedPrice <NotSpctdPric>	[1..1]	CodeSet		953
Or	AmountPricePerFinancialInstrumentQuantity <AmtPricPerFinInstrmQty>	[1..1]			953
	AmountPriceType <AmtPricTp>	[1..1]	CodeSet		954
	PriceValue <PricVal>	[1..1]	Amount	C1	954
	FinancialInstrumentQuantity <FinInstrmQty>	[1..1]	±	C19	954
Or	AmountPricePerAmount <AmtPricPerAmt>	[1..1]			955
	AmountPriceType <AmtPricTp>	[1..1]	CodeSet		955
	PriceValue <PricVal>	[1..1]	Amount	C1	955
	Amount <Amt>	[1..1]	Amount	C1	956
Or}	IndexPoints <IndxPts>	[1..1]	Quantity		956

5.4.9.15.4 GenericCashPricePaidPerProduct <GncCshPricPdPerPdct>

Presence: [0..1]

Definition: Generic cash price paid per product by the underlying security holder either as a percentage or an amount, for example, reinvestment price.

GenericCashPricePaidPerProduct <GncCshPricPdPerPdct> contains one of the following elements (see "PriceFormat52Choice" on page 964 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	PercentagePrice <PctgPric>	[1..1]			964
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		964
	PriceValue <PricVal>	[1..1]	Rate		965
Or}	AmountPrice <AmtPric>	[1..1]	±		965

5.4.9.16 ShareholderNumber <ShrhldrNb>

Presence: [0..1]

Definition: Reference number assigned to identify an investor or a shareholder with the issuer or the registration provider (for instance allocation code).

Datatype: "RestrictedFINXMax25Text" on page 1178

5.4.9.17 AdditionalInformation <AddtlInf>

Presence: [0..1]

Definition: Provides additional information.

AdditionalInformation <AddtlInf> contains the following **CorporateActionNarrative33** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	InformationToComplyWith <InfToCmplyWth>	[0..*]	Text		268
	DeliveryDetails <DlvryDtls>	[0..*]	Text		268
	ForeignExchangeInstructionsAdditionalInformation <FXInstrsAddtlInf>	[0..*]	Text		268
	InstructionAdditionalInformation <InstrAddtlInf>	[0..*]	Text		268

5.4.9.17.1 InformationToComplyWith <InfToCmplyWth>

Presence: [0..*]

Definition: Provides information conditions to the account owner that are to be complied with, for example, not open to US/Canadian residents, Qualified Institutional Buyers (QIB) or Sophisticated Investor Letter (SIL) to be provided.

Datatype: "RestrictedFINXMax350Text" on page 1179

5.4.9.17.2 DeliveryDetails <DlvryDtls>

Presence: [0..*]

Definition: Provides additional information on the delivery details of the outturned (derived) securities. This narrative is only to be used in case the securities are not eligible at the agent/custodian, and may not be used for settlement instructions.

Datatype: "RestrictedFINXMax350Text" on page 1179

5.4.9.17.3 ForeignExchangeInstructionsAdditionalInformation <FXInstrsAddtlInf>

Presence: [0..*]

Definition: Provides additional details pertaining to foreign exchange instructions.

Datatype: "RestrictedFINXMax350Text" on page 1179

5.4.9.17.4 InstructionAdditionalInformation <InstrAddtlInf>

Presence: [0..*]

Definition: Provides additional details pertaining to the corporate action instruction.

Datatype: "RestrictedFINXMax350Text" on page 1179

5.4.10 ProtectInstruction <PrtctInstr>

Presence: [0..1]

Definition: Provides detailed information on protect and cover protect instructions.

ProtectInstruction <PrctInstr> contains the following **ProtectInstruction5** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	TransactionType <TxTp>	[1..1]	CodeSet		269
	TransactionIdentification <TxId>	[0..1]	Text		269
	ProtectSafekeepingAccount <PrctSfkpgAcct>	[0..1]	Text		269
	ProtectDate <PrctDt>	[0..1]	Date		269

5.4.10.1 TransactionType <TxTp>

Presence: [1..1]

Definition: Indicates whether the instruction is a protect or a cover protect instruction.

Datatype: "ProtectTransactionType2Code" on page 1159

CodeName	Name	Definition
PROT	ProtectTransaction	Reorganisation is a protect transaction type.
COVP	CoverOnBehalfOfAnotherParticipantTransaction	Reorganisation is a cover on behalf of another participant transaction type.
COVR	CoverProtectTransaction	Reorganisation is a cover protect transaction type.

5.4.10.2 TransactionIdentification <TxId>

Presence: [0..1]

Definition: Unique reference of the protect transaction assigned by the depository and used for cover protect validation.

Datatype: "RestrictedFINMax15Text" on page 1176

5.4.10.3 ProtectSafekeepingAccount <PrctSfkpgAcct>

Presence: [0..1]

Definition: Account which submitted the original protect instruction used for cover protect instructions whereby one safekeeping account is covering on behalf of another safekeeping account.

Datatype: "RestrictedFINMax35Text" on page 1176

5.4.10.4 ProtectDate <PrctDt>

Presence: [0..1]

Definition: Date at which the protect instruction was created and used for cover protect validation.

Datatype: "ISODate" on page 1168

5.4.11 AdditionalInformation <AddtlInf>

Presence: [0..1]

Definition: Provides additional information.

AdditionalInformation <AddtlInf> contains the following **CorporateActionNarrative34** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	RegistrationDetails <RegnDtls>	[0..*]	Text		270
	PartyContactNarrative <PtyCtctNrrtv>	[0..*]	Text		270
	CertificationBreakdown <CertfctnBrkdwn>	[0..*]	Text		270

5.4.11.1 RegistrationDetails <RegnDtls>

Presence: [0..*]

Definition: Provides information required for the registration.

Datatype: "RestrictedFINXMax350Text" on page 1179

5.4.11.2 PartyContactNarrative <PtyCtctNrrtv>

Presence: [0..*]

Definition: Provides additional information regarding the party, for example, the contact unit or person responsible for the transaction identified in the message.

Datatype: "RestrictedFINXMax350Text" on page 1179

5.4.11.3 CertificationBreakdown <CertfctnBrkdwn>

Presence: [0..*]

Definition: Provides information required for the certification/breakdown.

Datatype: "RestrictedFINXMax350Text" on page 1179

5.4.12 SupplementaryData <SplmtryData>

Presence: [0..*]

Definition: Additional information that can not be captured in the structured fields and/or any other specific block.

Impacted by: C31 "SupplementaryDataRule"

SupplementaryData <SplmtryData> contains the following elements (see "SupplementaryData1" on page 916 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PlaceAndName <PlcAndNm>	[0..1]	Text		916
	Envelope <Envlp>	[1..1]	(External Schema)		916

Constraints

- **SupplementaryDataRule**

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

6 **seev.034.002.13**

CorporateActionInstructionStatusAdvice002V13

6.1 **MessageDefinition Functionality**

Scope

The CorporateActionInstructionStatusAdvice message is sent by an account servicer to an account owner or its designated agent, to report the status of a received corporate action election instruction.

This message is used to advise the status, or a change in status, of a corporate action-related transaction previously instructed by, or executed on behalf of, the account owner. This will include the acknowledgement/rejection of a corporate action instruction.

Usage

The message may also be used to:

- re-send a message previously sent (the sub-function of the message is Duplicate),
- provide a third party with a copy of a message for information (the sub-function of the message is Copy),
- re-send to a third party a copy of a message for information (the sub-function of the message is Copy Duplicate), using the relevant elements in the business application header (BAH).

Outline

The CorporateActionInstructionStatusAdvice002V13 MessageDefinition is composed of 8 MessageBuildingBlocks:

- A. InstructionIdentification
Identification of a related instruction document.
- B. OtherDocumentIdentification
Identification of other documents as well as the document number.
- C. CorporateActionGeneralInformation
General information about the corporate action event.
- D. InstructionProcessingStatus
Information about the processing status of the instruction.
- E. CorporateActionInstruction
Information about the corporate action instruction.
- F. ProtectInstruction
Provides detailed information on protect and cover protect instructions.
- G. AdditionalInformation

Provides additional information.

H. SupplementaryData

Additional information that can not be captured in the structured fields and/or any other specific block.

6.2 Structure

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	Message root <Document> <CorpActnInstrStsAdv>	[1..1]		C2, C9	
	InstructionIdentification <InstrId>	[0..1]	±		277
	OtherDocumentIdentification <OthrDocId>	[0..*]	±		278
	CorporateActionGeneralInformation <CorpActnGnlInf>	[1..1]		C16, C21	278
	CorporateActionEventIdentification <CorpActnEvtId>	[1..1]	Text		279
	OfficialCorporateActionEventIdentification <OffclCorpActnEvtId>	[0..1]	Text		279
	ClassActionNumber <ClsActnNb>	[0..1]	Text		279
	EventType <EvtTp>	[1..1]	±	C17	279
	InstructionProcessingStatus <InstrPrcgSts>	[1..*]			280
{Or	Cancelled <Canc>	[1..1]	±		280
Or	AcceptedForFurtherProcessing <AccptdForFrthrPrcg>	[1..1]	±		280
Or	Rejected <Rjctd>	[1..1]	±		281
Or	Pending <Pdg>	[1..1]	±		281
Or	DefaultAction <DfltActn>	[1..1]	±		282
Or	StandingInstruction <StglInstr>	[1..1]	±		282
Or}	ProprietaryStatus <PrtrySts>	[1..1]	±		282
	CorporateActionInstruction <CorpActnInstr>	[0..1]		C22, C24, C25, C26, C27, C29, C30	282
	OptionNumber <OptnNb>	[1..1]	±		284
	OptionType <OptnTp>	[1..1]	±		284
	OptionFeatures <OptnFeatr>	[0..1]	±		285
	AccountOwner <AcctOwnr>	[0..1]	±		285
	SafekeepingAccount <SfkpgAcct>	[0..1]	Text		285
	BlockchainAddressOrWallet <BlckChainAdrOrWlt>	[0..1]	Text		285
	CashAccount <CshAcct>	[0..1]	±		285
	SafekeepingPlace <SfkpgPlc>	[0..1]	±		286
	FinancialInstrumentIdentification <FinInstrmId>	[0..1]	±	C12, C13,	286

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
				C19, C20, C23	
	TotalEligibleBalance <TtlElgblBal>	[0..1]	±		287
	InstructedBalance <InstdBal>	[0..1]	±		287
	UninstructedBalance <UinstdBal>	[0..1]	±		288
	ProtectBalance <PrctBal>	[0..1]	±		288
	StatusQuantity <StsQty>	[0..1]	±		288
	StatusCashAmount <StsCshAmt>	[0..1]	Amount	C1, C11	289
	SolicitationDealerFeeIndicator <SlctnDealrFeeInd>	[0..1]	Indicator		289
	ProtectInstruction <PrctInstr>	[0..1]			289
	TransactionType <TxTp>	[1..1]	CodeSet		290
	ProtectTransactionStatus <PrctTxSts>	[0..1]	CodeSet		290
	TransactionIdentification <TxId>	[0..1]	Text		290
	ProtectSafekeepingAccount <PrctSfkgAcct>	[0..1]	Text		291
	ProtectDate <PrctDt>	[0..1]	Date		291
	UncoveredProtectQuantity <UcvrdPrctQty>	[0..1]	±		291
	AdditionalInformation <AddtlInf>	[0..1]			291
	AdditionalText <AddtlTxt>	[0..*]	Text		291
	PartyContactNarrative <PtyCtctNrrtv>	[0..*]	Text		291
	SupplementaryData <SplmtryData>	[0..*]	±	C31	292

6.3 Constraints

C1 ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

C2 AdditionalInformationRule

All elements in the AdditionalInformation element must not contain information that can be provided in a structured field unless bilaterally agreed.

This constraint is defined at the MessageDefinition level.

C3 AdditionalReasonInformationRule

The AdditionalReasonInformation element must not contain information that can be provided in a structured field unless bilaterally agreed.

C4 AdditionalReasonInformationRule

The AdditionalReasonInformation element must not contain information that can be provided in a structured field unless bilaterally agreed.

C5 AdditionalReasonInformationRule

The AdditionalReasonInformation element must not contain information that can be provided in a structured field unless bilaterally agreed.

C6 AdditionalReasonInformationRule

The AdditionalReasonInformation element must not contain information that can be provided in a structured field unless bilaterally agreed.

C7 AdditionalReasonInformationRule

The AdditionalReasonInformation element must not contain information that can be provided in a structured field unless bilaterally agreed.

C8 AnyBIC

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

C9 BeneficialOwnerBreakdownRequestRule

If CorporateActionInstruction/OptionType/Code value is BOBD (BeneficialOwnerBreakdownRequest), then CorporateActionGeneralInformation/EventType/Code value must be WTRC (Withholding Tax Relief Certification).

(MT567 NVR C6)

On Condition

/CorporateActionInstruction/OptionType/Code is equal to value
'BeneficialOwnerBreakdownRequest'

Following Must be True

/CorporateActionGeneralInformation/EventType/Code Must be equal to value
'WithholdingTaxReliefCertification'

This constraint is defined at the MessageDefinition level.

C10 Country

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

C11 CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

C12 DescriptionPresenceRule

If Description is not present then either ISIN or at least one occurrence of OtherIdentification must be present.

C13 DescriptionUsageRule

Description must be used alone as the last resort.

C14 DigitalTokenUnitUsageRule

DigitalTokenUnit format may only be used for instruments identified with a digital token identifier and when the related account identification is provided as a blockchain address or wallet identification (using BlockChainAddressOrWallet element as the account identification).

C15 DigitalTokenUnitUsageRule

DigitalTokenUnit format may only be used for instruments identified with a digital token identifier and when the related account identification is provided as a blockchain address or wallet identification (using BlockChainAddressOrWallet element as the account identification).

C16 EventTypeRule

EventType/Code OTHR must only be used in case no other corporate action event code is appropriate.

EventType/Code CHAN must only be used in case no other corporate action event code is appropriate and only for an event which relates to a change.

EventType/Code REDM must only be used when the redemption is decided by the issuer and not by the securities holders.

C17 EventTypeRule

If Code is present, the code OTHR must only be used in case no other corporate action event code is appropriate.

If Code is present, the code CHAN must only be used in case no other corporate action event code is appropriate and only for an event which relates to a change.

If Code is present, the code REDM must only be used when the redemption is decided by the issuer and not by the securities holders.

C18 IBAN

A valid IBAN consists of all three of the following components: Country Code, check digits and BBAN.

C19 ISINGuideline

When a ISIN code exist. It is strongly recommended that the ISIN be used.

C20 ISINPresenceRule

If ISIN is not present then either Description or at least one occurrence of OtherIdentification must be present.

C21 OfficialCorporateActionEventReference

The Securities Market Practice Group (SMPG) has published market practice recommendations on the structure and usage of the Official Corporate Action Event Reference (COAF). Those recommendations are

available in the SMPG Global Market Practices Part 1 document on www.smpg.info.

C22 OptionNumberRule

For differentiation between options, the OptionNumber rather than the OptionType must be used, for example, in the case where two or more offers can be described by the same

corporate action option code. Therefore, the OptionNumber value must be different for each option.

C23 OtherIdentificationPresenceRule

If OtherIdentification is not present then either ISIN or Description must be present.

C24 SafekeepingAccountOrBlockChainAddress1Rule

If SafekeepingAccount is present, BlockChainAddressOrWallet must be absent.

C25 SafekeepingAccountOrBlockChainAddress2Rule

If BlockChainAddressOrWallet is present, SafekeepingAccount must be absent.

C26 SafekeepingAccountOrBlockChainAddress3Rule

Either SafekeepingAccount or BlockChainAddressOrWallet must be present but not both.

C27 SafekeepingPlaceRule

SafekeepingPlace must only be used by global custodians that allow their clients to specify where the securities are to be held, for example, in an ICSD account versus an account at the local CSD.

C28 ShortLongNumberRule

ShortNumber must contain the FIN message type number of the linked message. LongNumber must contain the XML message identifier of the linked message.

C29 StatusQuantityOrStatusCashAmount1Rule

If StatusQuantity is present, then StatusCashAmount is not allowed. (MT 567 C5).

C30 StatusQuantityOrStatusCashAmount2Rule

If StatusCashAmount is present, then StatusQuantity is not allowed. (MT 567 C5).

C31 SupplementaryDataRule

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

6.4 Message Building Blocks

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

6.4.1 InstructionIdentification <InstrId>

Presence: [0..1]

Definition: Identification of a related instruction document.

InstructionIdentification <InstrId> contains the following elements (see "[DocumentIdentification17](#)" on page 741 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		741

6.4.2 OtherDocumentIdentification <OthrDocId>

Presence: [0..*]

Definition: Identification of other documents as well as the document number.

OtherDocumentIdentification <OthrDocId> contains the following elements (see "DocumentIdentification34" on page 738 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]			738
{Or	AccountServicerDocumentIdentification <AcctSvcrDocId>	[1..1]	Text		738
Or}	AccountOwnerDocumentIdentification <AcctOwnrDocId>	[1..1]	Text		739
	DocumentNumber <DocNb>	[0..1]	±	C6	739

6.4.3 CorporateActionGeneralInformation <CorpActnGnInf>

Presence: [1..1]

Definition: General information about the corporate action event.

Impacted by: C16 "EventTypeRule", C21 "OfficialCorporateActionEventReference"

CorporateActionGeneralInformation <CorpActnGnInf> contains the following **CorporateActionGeneralInformation158** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CorporateActionEventIdentification <CorpActnEvtId>	[1..1]	Text		279
	OfficialCorporateActionEventIdentification <OffclCorpActnEvtId>	[0..1]	Text		279
	ClassActionNumber <ClssActnNb>	[0..1]	Text		279
	EventType <EvtTp>	[1..1]	±	C17	279

Constraints

- **EventTypeRule**

EventType/Code OTHR must only be used in case no other corporate action event code is appropriate.

EventType/Code CHAN must only be used in case no other corporate action event code is appropriate and only for an event which relates to a change.

EventType/Code REDM must only be used when the redemption is decided by the issuer and not by the securities holders.

- **OfficialCorporateActionEventReference**

The Securities Market Practice Group (SMPG) has published market practice recommendations on the structure and usage of the Official Corporate Action Event Reference (COAF). Those recommendations are

available in the SMPG Global Market Practices Part 1 document on www.smpg.info.

6.4.3.1 CorporateActionEventIdentification <CorpActnEvtId>

Presence: [1..1]

Definition: Reference assigned by the account servicer to unambiguously identify a corporate action event.

Datatype: ["RestrictedFINXMax16Text" on page 1177](#)

6.4.3.2 OfficialCorporateActionEventIdentification <OffclCorpActnEvtId>

Presence: [0..1]

Definition: Official and unique reference assigned by the official central body/entity within each market at the beginning of a corporate action event.

Datatype: ["RestrictedFINXMax16Text" on page 1177](#)

6.4.3.3 ClassActionNumber <ClssActnNb>

Presence: [0..1]

Definition: Reference assigned by a court to a class action.

Datatype: ["RestrictedFINXMax16Text" on page 1177](#)

6.4.3.4 EventType <EvtTp>

Presence: [1..1]

Definition: Type of corporate action event.

Impacted by: [C17 "EventTypeRule"](#)

EventType <EvtTp> contains one of the following elements (see ["CorporateActionEventType103Choice" on page 804](#) for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		804
Or}	Proprietary <Prtry>	[1..1]	±		810

Constraints

- **EventTypeRule**

If Code is present, the code OTHR must only be used in case no other corporate action event code is appropriate.

If Code is present, the code CHAN must only be used in case no other corporate action event code is appropriate and only for an event which relates to a change.

If Code is present, the code REDM must only be used when the redemption is decided by the issuer and not by the securities holders.

6.4.4 InstructionProcessingStatus <InstrPrcgSts>

Presence: [1..*]

Definition: Information about the processing status of the instruction.

InstructionProcessingStatus <InstrPrcgSts> contains one of the following **InstructionProcessingStatus49Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Cancelled <Canc>	[1..1]	±		280
Or	AcceptedForFurtherProcessing <AccptdForFrthrPrcg>	[1..1]	±		280
Or	Rejected <Rjctd>	[1..1]	±		281
Or	Pending <Pdg>	[1..1]	±		281
Or	DefaultAction <DfltActn>	[1..1]	±		282
Or	StandingInstruction <StgInstr>	[1..1]	±		282
Or}	ProprietaryStatus <PrtrySts>	[1..1]	±		282

6.4.4.1 Cancelled <Canc>

Presence: [1..1]

Definition: Provides status information related to an instruction request cancelled.

Cancelled <Canc> contains one of the following elements (see "[CancelledStatus15Choice](#)" on page 1082 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	NoSpecifiedReason <NoSpctdRsn>	[1..1]	CodeSet		1082
Or}	Reason <Rsn>	[1..*]		C3	1082
	ReasonCode <RsnCd>	[1..1]			1083
{Or	Code <Cd>	[1..1]	CodeSet		1083
Or}	Proprietary <Prtry>	[1..1]	±		1083
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		1084

6.4.4.2 AcceptedForFurtherProcessing <AccptdForFrthrPrcg>

Presence: [1..1]

Definition: Provides status information related to an instruction request that is accepted. This means that the instruction has been received, is processable and has been validated for further processing.

AcceptedForFurtherProcessing <AccptdForFrthrPrcg> contains one of the following elements (see "AcceptedStatus9Choice" on page 1080 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	NoSpecifiedReason <NoSpcfdRsn>	[1..1]	CodeSet		1080
Or}	Reason <Rsn>	[1..*]		C4	1080
	ReasonCode <RsnCd>	[1..1]			1081
{Or	Code <Cd>	[1..1]	CodeSet		1081
Or}	Proprietary <Prtry>	[1..1]	±		1081
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		1082

6.4.4.3 Rejected <Rjctd>

Presence: [1..1]

Definition: Provides status information related to an instruction request rejected for further processing due to system reasons.

Rejected <Rjctd> contains one of the following elements (see "RejectedStatus46Choice" on page 1064 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	NoSpecifiedReason <NoSpcfdRsn>	[1..1]	CodeSet		1064
Or}	Reason <Rsn>	[1..*]		C5	1064
	ReasonCode <RsnCd>	[1..1]			1064
{Or	Code <Cd>	[1..1]	CodeSet		1065
Or}	Proprietary <Prtry>	[1..1]	±		1067
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		1067

6.4.4.4 Pending <Pdg>

Presence: [1..1]

Definition: Provides status information related to a pending instruction.

Pending <Pdg> contains one of the following elements (see "[PendingStatus68Choice](#)" on page 1069 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	NoSpecifiedReason <NoSpctdRsn>	[1..1]	CodeSet		1069
Or}	Reason <Rsn>	[1..*]		C6	1070
	ReasonCode <RsnCd>	[1..1]	±		1070
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		1070

6.4.4.5 DefaultAction <DfltActn>

Presence: [1..1]

Definition: Default action is taken.

DefaultAction <DfltActn> contains the following elements (see "[NoSpecifiedReason1](#)" on page 1058 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NoSpecifiedReason <NoSpctdRsn>	[1..1]	CodeSet		1058

6.4.4.6 StandingInstruction <StglInstr>

Presence: [1..1]

Definition: Standing instruction has been applied.

StandingInstruction <StglInstr> contains the following elements (see "[NoSpecifiedReason1](#)" on page 1058 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NoSpecifiedReason <NoSpctdRsn>	[1..1]	CodeSet		1058

6.4.4.7 ProprietaryStatus <PrtrySts>

Presence: [1..1]

Definition: Proprietary status related to an instruction.

ProprietaryStatus <PrtrySts> contains the following elements (see "[ProprietaryStatusAndReason7](#)" on page 1079 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ProprietaryStatus <PrtrySts>	[1..1]	±		1079
	ProprietaryReason <PrtryRsn>	[0..*]	±	C2	1079

6.4.5 CorporateActionInstruction <CorpActnInstr>

Presence: [0..1]

Definition: Information about the corporate action instruction.

Impacted by: C22 "OptionNumberRule", C24 "SafekeepingAccountOrBlockChainAddress1Rule", C25 "SafekeepingAccountOrBlockChainAddress2Rule", C26 "SafekeepingAccountOrBlockChainAddress3Rule", C27 "SafekeepingPlaceRule", C29 "StatusQuantityOrStatusCashAmount1Rule", C30 "StatusQuantityOrStatusCashAmount2Rule"

CorporateActionInstruction <CorpActnInstr> contains the following **CorporateActionOption203** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	OptionNumber <OptnNb>	[1..1]	±		284
	OptionType <OptnTp>	[1..1]	±		284
	OptionFeatures <OptnFeatr>	[0..1]	±		285
	AccountOwner <AcctOwnr>	[0..1]	±		285
	SafekeepingAccount <SfkpgAcct>	[0..1]	Text		285
	BlockChainAddressOrWallet <BlckChainAdrOrWlt>	[0..1]	Text		285
	CashAccount <CshAcct>	[0..1]	±		285
	SafekeepingPlace <SfkpgPlc>	[0..1]	±		286
	FinancialInstrumentIdentification <FinInstrmId>	[0..1]	±	C12, C13, C19, C20, C23	286
	TotalEligibleBalance <TtlElgblBal>	[0..1]	±		287
	InstructedBalance <InstdBal>	[0..1]	±		287
	UninstructedBalance <UinstdBal>	[0..1]	±		288
	ProtectBalance <PrtctBal>	[0..1]	±		288
	StatusQuantity <StsQty>	[0..1]	±		288
	StatusCashAmount <StsCshAmt>	[0..1]	Amount	C1, C11	289
	SolicitationDealerFeeIndicator <SlctnDealrFeeInd>	[0..1]	Indicator		289

Constraints

- **OptionNumberRule**

For differentiation between options, the OptionNumber rather than the OptionType must be used, for example, in the case where two or more offers can be described by the same corporate action option code. Therefore, the OptionNumber value must be different for each option.

- **SafekeepingAccountOrBlockChainAddress1Rule**

If SafekeepingAccount is present, BlockChainAddressOrWallet must be absent.

On Condition

/SafekeepingAccount is present

Following Must be True

/BlockChainAddressOrWallet Must be absent

- **SafekeepingAccountOrBlockChainAddress2Rule**

If BlockChainAddressOrWallet is present, SafekeepingAccount must be absent.

```
On Condition
  /BlockChainAddressOrWallet is present
Following Must be True
  /SafekeepingAccount Must be absent
```

- **SafekeepingAccountOrBlockChainAddress3Rule**

Either SafekeepingAccount or BlockChainAddressOrWallet must be present but not both.

```
Following Must be True
  /SafekeepingAccount Must be present
Or
  /BlockChainAddressOrWallet Must be present
```

- **SafekeepingPlaceRule**

SafekeepingPlace must only be used by global custodians that allow their clients to specify where the securities are to be held, for example, in an ICSD account versus an account at the local CSD.

- **StatusQuantityOrStatusCashAmount1Rule**

If StatusQuantity is present, then StatusCashAmount is not allowed. (MT 567 C5).

```
On Condition
  /StatusQuantity is present
Following Must be True
  /StatusCashAmount Must be absent
```

- **StatusQuantityOrStatusCashAmount2Rule**

If StatusCashAmount is present, then StatusQuantity is not allowed. (MT 567 C5).

```
On Condition
  /StatusCashAmount is present
Following Must be True
  /StatusQuantity Must be absent
```

6.4.5.1 OptionNumber <OptnNb>

Presence: [1..1]

Definition: Number identifying the available corporate action options.

OptionNumber <OptnNb> contains one of the following elements (see "[OptionNumber1Choice](#)" on page 916 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Number <Nb>	[1..1]	Text		916
Or}	Code <Cd>	[1..1]	CodeSet		917

6.4.5.2 OptionType <OptnTp>

Presence: [1..1]

Definition: Specifies the corporate action options available to the account owner.

OptionType <OptnTp> contains one of the following elements (see "[CorporateActionOption42Choice](#)" on page 802 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		802
Or}	Proprietary <Prtry>	[1..1]	±		804

6.4.5.3 OptionFeatures <OptnFeatrs>

Presence: [0..1]

Definition: Specifies the features that may apply to a corporate action option.

OptionFeatures <OptnFeatrs> contains one of the following elements (see "[OptionFeaturesFormat27Choice](#)" on page 854 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		854
Or}	Proprietary <Prtry>	[1..1]	±		855

6.4.5.4 AccountOwner <AcctOwnr>

Presence: [0..1]

Definition: Party that owns the account.

AccountOwner <AcctOwnr> contains one of the following elements (see "[PartyIdentification136Choice](#)" on page 928 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	928
Or}	ProprietaryIdentification <PrtryId>	[1..1]	±		929

6.4.5.5 SafekeepingAccount <SfkpgAcct>

Presence: [0..1]

Definition: Account where financial instruments are maintained.

Datatype: "[RestrictedFINXMax35Text](#)" on page 1180

6.4.5.6 BlockchainAddressOrWallet <BlckChainAdrOrWlt>

Presence: [0..1]

Definition: Blockchain address or wallet where digital assets are maintained. This is the equivalent of safekeeping account for digital assets.

Datatype: "[RestrictedFINXMax140Text](#)" on page 1177

6.4.5.7 CashAccount <CshAcct>

Presence: [0..1]

Definition: Account on which a securities entry is made.

CashAccount <CshAcct> contains one of the following elements (see "CashAccountIdentification6Choice" on page 712 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	IBAN <IBAN>	[1..1]	IdentifierSet	C33	712
Or}	Proprietary <Prtry>	[1..1]	Text		713

6.4.5.8 SafekeepingPlace <SfkpgPlc>

Presence: [0..1]

Definition: Location where the financial instruments are/will be safekept.

SafekeepingPlace <SfkpgPlc> contains one of the following elements (see "SafekeepingPlaceFormat32Choice" on page 1046 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Identification <Id>	[1..1]	±		1047
Or	Country <Ctry>	[1..1]	CodeSet	C3	1047
Or	TypeAndIdentification <TpAndId>	[1..1]			1047
	SafekeepingPlaceType <SfkpgPlcTp>	[1..1]	CodeSet		1048
	Identification <Id>	[1..1]	IdentifierSet	C1	1048
Or}	Proprietary <Prtry>	[1..1]	±		1048

6.4.5.9 FinancialInstrumentIdentification <FinInstrmId>

Presence: [0..1]

Definition: Identifies the financial instrument.

Impacted by: C12 "DescriptionPresenceRule", C13 "DescriptionUsageRule", C19 "ISINGuideline", C20 "ISINPresenceRule", C23 "OtherIdentificationPresenceRule"

FinancialInstrumentIdentification <FinInstrmId> contains the following elements (see "SecurityIdentification20" on page 748 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ISIN <ISIN>	[0..1]	IdentifierSet		749
	OtherIdentification <OthrId>	[0..*]			749
	Identification <Id>	[1..1]	Text		750
	Suffix <Sfx>	[0..1]	Text		750
	Type <Tp>	[1..1]	±		750
	Description <Desc>	[0..1]	Text		750

Constraints

- **DescriptionPresenceRule**

If Description is not present then either ISIN or at least one occurrence of OtherIdentification must be present.

```
On Condition
    /Description is absent
Following Must be True
    /ISIN Must be present
Or    /OtherIdentification[*] Must be present
```

- **DescriptionUsageRule**

Description must be used alone as the last resort.

- **ISINGuideline**

When a ISIN code exist. It is strongly recommended that the ISIN be used.

- **ISINPresenceRule**

If ISIN is not present then either Description or at least one occurrence of OtherIdentification must be present.

```
On Condition
    /ISIN is absent
Following Must be True
    /OtherIdentification[*] Must be present
Or    /Description Must be present
```

- **OtherIdentificationPresenceRule**

If OtherIdentification is not present then either ISIN or Description must be present.

```
On Condition
    /OtherIdentification[*] is absent
Following Must be True
    /ISIN Must be present
Or    /Description Must be present
```

6.4.5.10 TotalEligibleBalance <TtlElgblBal>

Presence: [0..1]

Definition: Total balance of securities eligible for this corporate action event. The entitlement calculation is based on this balance.

TotalEligibleBalance <TtlElgblBal> contains the following elements (see "[SignedQuantityFormat12](#)" on page 972 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ShortLongPosition <ShrtLngPos>	[1..1]	CodeSet		973
	QuantityChoice <QtyChc>	[1..1]	±		973

6.4.5.11 InstructedBalance <InstdBal>

Presence: [0..1]

Definition: Balance of instructed position.

InstructedBalance <InstdBal> contains the following elements (see "[SignedQuantityFormat12](#)" on page 972 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ShortLongPosition <ShrtLngPos>	[1..1]	CodeSet		973
	QuantityChoice <QtyChc>	[1..1]	±		973

6.4.5.12 UninstructedBalance <UinstdBal>

Presence: [0..1]

Definition: Balance of uninstructed position.

UninstructedBalance <UinstdBal> contains the following elements (see "[SignedQuantityFormat12](#)" on page 972 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ShortLongPosition <ShrtLngPos>	[1..1]	CodeSet		973
	QuantityChoice <QtyChc>	[1..1]	±		973

6.4.5.13 ProtectBalance <PrctctBal>

Presence: [0..1]

Definition: Balance of securities which are in protect status in a CSD operating a protect cover service.

ProtectBalance <PrctctBal> contains the following elements (see "[SignedQuantityFormat11](#)" on page 974 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ShortLongPosition <ShrtLngPos>	[1..1]	CodeSet		975
	QuantityChoice <QtyChc>	[1..1]			975
{Or	Quantity <Qty>	[1..1]	±	C15	975
Or}	ProprietaryQuantity <PrtryQty>	[1..1]			976
	Quantity <Qty>	[1..1]	Quantity		976
	QuantityType <QtyTp>	[1..1]	Text		976
	Issuer <Issr>	[1..1]	Text		976
	SchemeName <SchmeNm>	[0..1]	Text		976

6.4.5.14 StatusQuantity <StsQty>

Presence: [0..1]

Definition: Quantity of securities that has been assigned the status indicated.

StatusQuantity <StsQty> contains one of the following elements (see ["Quantity54Choice"](#) on page 981 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Quantity <Qty>	[1..1]	±	C19	981
Or}	OriginalAndCurrentFace <OrgnlAndCurFace>	[1..1]	±		981

6.4.5.15 StatusCashAmount <StsCshAmt>

Presence: [0..1]

Definition: Amount of cash subscribed that has been assigned the status indicated.

Impacted by: C1 "ActiveCurrency", C11 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1086

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

6.4.5.16 SolicitationDealerFeeIndicator <SlctnDealrFeeInd>

Presence: [0..1]

Definition: Indicates whether there is a solicitation fee on the event. This fee is paid by the soliciting dealer.

Datatype: One of the following values must be used (see ["YesNoIndicator"](#) on page 1171):

- *Meaning When True:* Yes
- *Meaning When False:* No

6.4.6 ProtectInstruction <PrtctInstr>

Presence: [0..1]

Definition: Provides detailed information on protect and cover protect instructions.

ProtectInstruction <PrctInstr> contains the following **ProtectInstruction6** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	TransactionType <TxTp>	[1..1]	CodeSet		290
	ProtectTransactionStatus <PrctTxSts>	[0..1]	CodeSet		290
	TransactionIdentification <TxId>	[0..1]	Text		290
	ProtectSafekeepingAccount <PrctSfkgAcct>	[0..1]	Text		291
	ProtectDate <PrctDt>	[0..1]	Date		291
	UncoveredProtectQuantity <UcvrdPrctQty>	[0..1]	±		291

6.4.6.1 TransactionType <TxTp>

Presence: [1..1]

Definition: Indicates whether the instruction is a protect or a cover protect instruction.

Datatype: "ProtectTransactionType2Code" on page 1159

CodeName	Name	Definition
PROT	ProtectTransaction	Reorganisation is a protect transaction type.
COVP	CoverOnBehalfOfAnotherParticipantTransaction	Reorganisation is a cover on behalf of another participant transaction type.
COVR	CoverProtectTransaction	Reorganisation is a cover protect transaction type.

6.4.6.2 ProtectTransactionStatus <PrctTxSts>

Presence: [0..1]

Definition: Status of the protect transaction.

Datatype: "ProtectInstructionStatus3Code" on page 1159

CodeName	Name	Definition
OPEN	Open	Protect instruction which has not been fully covered. Will include partial covers.
COVR	FullyCovered	Protect instruction has been fully covered.
EXPI	Expired	Protect instruction has expired.

6.4.6.3 TransactionIdentification <TxId>

Presence: [0..1]

Definition: Unique reference of the protect transaction assigned by the depository and used for cover protect validation.

Datatype: "RestrictedFINMax15Text" on page 1176

6.4.6.4 ProtectSafekeepingAccount <PrctSfkpgAcct>*Presence:* [0..1]*Definition:* Account which submitted the original protect instruction used for cover protect instructions whereby one safekeeping account is covering on behalf of another safekeeping account.*Datatype:* "RestrictedFINMax35Text" on page 1176**6.4.6.5 ProtectDate <PrctDt>***Presence:* [0..1]*Definition:* Date at which the protect instruction was created and used for cover protect validation.*Datatype:* "ISODate" on page 1168**6.4.6.6 UncoveredProtectQuantity <UcvrdPrctQty>***Presence:* [0..1]*Definition:* Remaining quantity of protect instruction which has not been covered.**UncoveredProtectQuantity <UcvrdPrctQty>** contains one of the following elements (see "FinancialInstrumentQuantity31Choice" on page 780 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		780
Or}	FaceAmount <FaceAmt>	[1..1]	Amount		780

6.4.7 AdditionalInformation <AddtlInf>*Presence:* [0..1]*Definition:* Provides additional information.**AdditionalInformation <AddtlInf>** contains the following **CorporateActionNarrative19** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AdditionalText <AddtlTxt>	[0..*]	Text		291
	PartyContactNarrative <PtyCtctNrrtv>	[0..*]	Text		291

6.4.7.1 AdditionalText <AddtlTxt>*Presence:* [0..*]*Definition:* Provides additional information or specifies in more detail the content of a message. This field may only be used when the information to be transmitted, cannot be coded.*Datatype:* "RestrictedFINXMax350Text" on page 1179**6.4.7.2 PartyContactNarrative <PtyCtctNrrtv>***Presence:* [0..*]*Definition:* Provides additional information regarding the party, for example, the contact unit or person responsible for the transaction identified in the message.

Datatype: "RestrictedFINXMax350Text" on page 1179

6.4.8 SupplementaryData <SplmtryData>

Presence: [0..*]

Definition: Additional information that can not be captured in the structured fields and/or any other specific block.

Impacted by: C31 "SupplementaryDataRule"

SupplementaryData <SplmtryData> contains the following elements (see "SupplementaryData1" on page 916 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PlaceAndName <PlcAndNm>	[0..1]	Text		916
	Envelope <Envlp>	[1..1]	(External Schema)		916

Constraints

- **SupplementaryDataRule**

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

7 **seev.035.002.13**

CorporateActionMovementPreliminaryAdvice

002V13

7.1 **MessageDefinition Functionality**

Scope

The CorporateActionMovementPreliminaryAdvice message is sent by an account servicer to an account owner or its designated agent to pre-advise an upcoming posting or reversal of securities and/or cash postings.

Usage

The message may also be used to:

- re-send a message previously sent (the sub-function of the message is Duplicate),
- provide a third party with a copy of a message for information (the sub-function of the message is Copy),
- re-send to a third party a copy of a message for information (the sub-function of the message is Copy Duplicate), using the relevant elements in the business application header (BAH).

Outline

The CorporateActionMovementPreliminaryAdvice002V13 MessageDefinition is composed of 27 MessageBuildingBlocks:

A. Pagination

Page number of the message and continuation indicator to indicate that the multi-part preliminary advice is to continue or that the message is the last page of the multi-part preliminary advice.

B. MovementPreliminaryAdviceGeneralInformation

General information about the movement preliminary advice document.

C. PreviousMovementPreliminaryAdviceIdentification

Identification of the previously sent movement preliminary advice document.

D. NotificationIdentification

Identification of a previously sent notification document.

E. MovementConfirmationIdentification

Identification of a previously sent movement confirmation document.

F. InstructionIdentification

Identification of a related instruction document.

G. OtherDocumentIdentification

Identification of other documents as well as the document number.

H. EventsLinkage

Identification of another corporate action event that needs to be closely linked to the processing of the event notified in this document.

I. ReversalReason

Reason for the reversal.

J. CorporateActionGeneralInformation

General information about the corporate action event.

K. AccountDetails

General information about the safekeeping account, owner and account balance.

L. CorporateActionDetails

Information about the corporate action event.

M. CorporateActionMovementDetails

Information about the corporate action option.

N. AdditionalInformation

Provides additional information.

O. IssuerAgent

Party appointed to administer the event on behalf of the issuer company/offoror. The party may be contacted for more information about the event.

P. PayingAgent

Agent (principal or fiscal paying agent) appointed to execute the payment for the corporate action event on behalf of the issuer company/offoror.

Q. SubPayingAgent

Sub-agent appointed to execute the payment for the corporate action event on behalf of the issuer company/offoror.

R. Registrar

Party/agent responsible for maintaining the register of a security.

S. ResellingAgent

Broker-dealer responsible for reselling to new investors securities (usually bonds) that have been tendered for purchase by their owner.

T. PhysicalSecuritiesAgent

Trust company, bank or similar financial institution assigned by an issuer to accept presentations of instruments, usually bonds, for transfer and or exchange.

U. DropAgent

Trust company, bank or similar financial institution that acts on behalf of an out-of-town agent or event agent where securities can be delivered in person.

V. SolicitationAgent

Trust company, bank or similar financial institution assigned by an issuer to maintain records of investors and account balances and transactions for the consent of a material change.

W. InformationAgent

Trust company, bank or similar financial institution assigned by an Issuer to provide information and copies of the offering documentation.

X. SupplementaryData

Additional information that can not be captured in the structured fields and/or any other specific block.

Y. Issuer

Issuer of the financial instrument.

Z. Offeror

Party making the offer and which is different from the issuing company.

A TransferAgent

- A. Trust company, bank or similar financial institution appointed by a fund management company to maintain records of investors and account balances. The transfer agent records transactions, including subscriptions, redemptions, switches, transfers, and re-registrations.

7.2 Structure

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	Message root <Document> <CorpActnMvmntPrlmryAdv>	[1..1]		C9, C11, C34, C40, C53, C57, C60, C61	
	Pagination <Pgntn>	[0..1]	±		315
	MovementPreliminaryAdviceGeneralInformation <MvmntPrlmryAdvGnlInf>	[1..1]	±		315
	PreviousMovementPreliminaryAdviceIdentification <PrvsMvmntPrlmryAdvclId>	[0..1]	±		315
	NotificationIdentification <NtfctnId>	[0..1]	±		315
	MovementConfirmationIdentification <MvmntConfId>	[0..1]	±		316
	InstructionIdentification <InstrId>	[0..1]	±		316
	OtherDocumentIdentification <OthrDocId>	[0..*]	±		316
	EventsLinkage <EvtsLkg>	[0..*]	±		317
	ReversalReason <RvslRsn>	[0..1]	±	C5	317
	CorporateActionGeneralInformation <CorpActnGnlInf>	[1..1]		C51	318
	CorporateActionEventIdentification <CorpActnEvtId>	[1..1]	Text		318
	OfficialCorporateActionEventIdentification <OffclCorpActnEvtId>	[0..1]	Text		318
	ClassActionNumber <ClssActnNb>	[0..1]	Text		319
	EventProcessingType <EvtPrcgTp>	[0..1]	±		319
	EventType <EvtTp>	[1..1]	±	C24	319
	MandatoryVoluntaryEventType <MndtryVlntryEvtTp>	[1..1]	±		320
	UnderlyingSecurity <UndrlygScty>	[1..1]	±	C45, C55	320
	AccountDetails <AcctDtls>	[1..1]			322
{Or	ForAllAccounts <ForAllAccts>	[1..1]	±		323
Or}	AccountsListAndBalanceDetails <AcctsListAndBalDtls>	[1..*]		C62, C63, C64, C65	324
	SafekeepingAccount <SfkpgAcct>	[0..1]	Text		326
	BlockchainAddressOrWallet <BlckChainAdrOrWlt>	[0..1]	Text		326
	AccountOwner <AcctOwnr>	[0..1]	±		326

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	SafekeepingPlace <SfkpgPlc>	[0..1]	±		326
	Balance <Bal>	[0..1]		C7	327
	TotalEligibleBalance <TtlElgblBal>	[0..1]	±		328
	BlockedBalance <BlckdBal>	[0..1]	±		329
	BorrowedBalance <BrrwdBal>	[0..1]	±		329
	CollateralInBalance <CollInBal>	[0..1]	±		329
	CollateralOutBalance <CollOutBal>	[0..1]	±		330
	OnLoanBalance <OnLnBal>	[0..1]	±		330
	PendingDeliveryBalance <PdgDlvryBal>	[0..*]	±		330
	PendingReceiptBalance <PdgRctBal>	[0..*]	±		331
	OutForRegistrationBalance <OutForRegnBal>	[0..1]	±		331
	SettlementPositionBalance <SttlmPosBal>	[0..*]	±		331
	StreetPositionBalance <StrtPosBal>	[0..1]	±		332
	TradeDatePositionBalance <TradDtPosBal>	[0..1]	±		332
	InTransshipmentBalance <InTrnsShipmntBal>	[0..1]	±		332
	RegisteredBalance <RegdBal>	[0..1]	±		333
	ObligatedBalance <OblgtdBal>	[0..1]	±		333
	UninstructedBalance <UinstdBal>	[0..1]	±		333
	InstructedBalance <InstdBal>	[0..1]	±		334
	AffectedBalance <AfctdBal>	[0..1]	±		334
	UnaffectedBalance <UafctdBal>	[0..1]	±		334
	CorporateActionDetails <CorpActnDtls>	[0..1]			335
	DateDetails <DtDtls>	[0..1]			335
	RecordDate <RcrdDt>	[0..1]	±		336
	ExDividendDate <ExDvddDt>	[0..1]	±		336
	LotteryDate <LtryDt>	[0..1]	±		336
	SecuritiesQuantity <SctiesQty>	[0..1]			337
	BaseDenomination <BaseDnmtn>	[0..1]	±	C20	337
	IncrementalDenomination <IncrmtlDnmtn>	[0..1]	±	C20	337
	EventStage <EvtStag>	[0..1]	±		338
	AdditionalBusinessProcessIndicator <AddtlBizPrclnd>	[0..*]	±		338

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	IntermediateSecuritiesDistributionType <IntrmdtScitiesDstrbntTp>	[0..1]	±		338
	LotteryType <LtryTp>	[0..1]	±		339
	CorporateActionMovementDetails <CorpActnMvmntDtls>	[0..*]		C6, C10, C22, C23, C39, C59, C52	339
	OptionNumber <OptnNb>	[1..1]	Text		348
	OptionType <OptnTp>	[1..1]	±		348
	FractionDisposition <FrctnDspstn>	[0..1]	±		348
	OfferType <OfferTp>	[0..*]	±		348
	OptionFeatures <OptnFeatrs>	[0..*]	±		349
	OptionAvailabilityStatus <OptnAvlbtYsts>	[0..1]	±		349
	CertificationBreakdownType <CertfctnBrkdwnTp>	[0..*]	±		349
	NonDomicileCountry <NonDmclCtry>	[0..*]	CodeSet	C12	349
	ValidDomicileCountry <VldDmclCtry>	[0..*]	CodeSet	C12	350
	CurrencyOption <CcyOptn>	[0..1]	CodeSet	C1	350
	DefaultProcessingOrStandingInstruction <DfltPrccgOrStglInstr>	[1..1]	±		350
	ChargesAppliedIndicator <ChrgsAppldInd>	[0..1]	Indicator		351
	CertificationBreakdownIndicator <CertfctnBrkdwnInd>	[0..1]	Indicator		351
	WithdrawalAllowedIndicator <WdrwlAllwdInd>	[0..1]	Indicator		351
	ChangeAllowedIndicator <ChngAllwdInd>	[0..1]	Indicator		351
	AppliedOptionIndicator <ApplOptnInd>	[0..1]	Indicator		352
	SecurityIdentification <SctyId>	[0..1]	±	C17, C18, C41, C42, C54	352
	DateDetails <DtDtls>	[0..1]			353
	EarlyResponseDeadline <EarlyRspnDdlN>	[0..1]	±		353
	CoverExpirationDeadline <CoverXprtnDdlN>	[0..1]	±		354
	ProtectDeadline <PrctDdlN>	[0..1]	±		354
	MarketDeadline <MktDdlN>	[0..1]	±		354
	ResponseDeadline <RspnDdlN>	[0..1]	±		355

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	ExpiryDate <XpryDt>	[0..1]	±		355
	SubscriptionCostDebitDate <SbcptCostDbtDt>	[0..1]	±		356
	DepositoryCoverExpirationDate <DpstryCoverXprtnDt>	[0..1]	±		356
	StockLendingDeadline <StockLndgDdln>	[0..1]	±		356
	BorrowerStockLendingDeadline <BrrwrStockLndgDdln>	[0..*]			357
	StockLendingDeadline <StockLndgDdln>	[1..1]	±		357
	Borrower <Brrwr>	[1..1]	±		357
	PeriodDetails <PrdDtls>	[0..1]			357
	PriceCalculationPeriod <PricClctnPrd>	[0..1]	±		358
	ParallelTradingPeriod <ParllTradgPrd>	[0..1]	±		358
	ActionPeriod <ActnPrd>	[0..1]	±		358
	RevocabilityPeriod <RvcbltyPrd>	[0..1]	±		359
	PrivilegeSuspensionPeriod <PrvlgSspnsnPrd>	[0..1]	±		359
	AccountServicerRevocabilityPeriod <AcctSvcrRvcbltyPrd>	[0..1]	±		359
	DepositorySuspensionPeriodForWithdrawal <DpstrySspnsnPrdForWdrwl>	[0..1]	±		359
	RateAndAmountDetails <RateAndAmtDtls>	[0..1]		C29, C31, C47, C49, C66, C68, C70, C74, C76	360
	AdditionalTax <AddtlTax>	[0..1]	±		361
	GrossDividendRate <GrssDvddRate>	[0..*]	±		362
	NetDividendRate <NetDvddRate>	[0..*]	±		362
	InterestRateUsedForPayment <IntrstRateUsdForPmt>	[0..*]	±		363
	MaximumAllowedOversubscriptionRate <MaxAllwdOvrbcptRate>	[0..1]	±		364
	ProrationRate <PrratnRate>	[0..1]	±		364
	WithholdingTaxRate <WhldgTaxRate>	[0..*]	±		365
	SecondLevelTax <ScndLvITax>	[0..*]	±		365
	TaxableIncomePerDividendShare <TaxblIncmPerDvddShr>	[0..*]	±		365
	TaxOnIncome <TaxOnIncm>	[0..1]	±		366
	PriceDetails <PricDtls>	[0..1]			366

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	CashInLieuOfSharePrice <CshInLieuOfShrPric>	[0..1]	±		367
	OverSubscriptionDepositPrice <OverSbcptDpstPric>	[0..1]	±		367
	MaximumCashToInstruct <MaxCshToInst>	[0..1]	±		367
	MinimumCashToInstruct <MinCshToInst>	[0..1]	±		368
	MinimumMultipleCashToInstruct <MinMltplCshToInst>	[0..1]	±		368
	SecuritiesQuantity <SctiesQty>	[0..1]			368
	MaximumQuantityToInstruct <MaxQtyToInst>	[0..1]	±	C21	369
	MinimumQuantityToInstruct <MinQtyToInst>	[0..1]	±	C21	369
	MinimumMultipleQuantityToInstruct <MinMltplQtyToInst>	[0..1]	±	C20	370
	NewBoardLotQuantity <NewBrdLotQty>	[0..1]	±	C20	370
	NewDenominationQuantity <NewDnmtnQty>	[0..1]	±	C20	371
	FrontEndOddLotQuantity <FrntEndOddLotQty>	[0..1]	±	C20	371
	BackEndOddLotQuantity <BckEndOddLotQty>	[0..1]	±	C20	372
	SecuritiesMovementDetails <SctiesMvmntDtls>	[0..*]		C25, C35, C37, C43	372
	SecurityDetails <SctyDtls>	[1..1]	±	C46, C56	375
	CreditDebitIndicator <CdtDbtInd>	[1..1]	CodeSet		377
	TemporaryFinancialInstrumentIndicator <TempFinInstrmInd>	[0..1]	±		377
	NonEligibleProceedsIndicator <NonElgblPrclsInd>	[0..1]	±		377
	IssuerOfferorTaxabilityIndicator <IssrOfferrTaxbltyInd>	[0..1]	±		377
	NewSecuritiesIssuanceIndicator <NewSctiesIssnclInd>	[0..1]	CodeSet		378
	IncomeType <IncmTp>	[0..1]	±		378
	OtherIncomeType <OthrIncmTp>	[0..*]	±		379
	ExemptionType <XmptnTp>	[0..*]	±		379
	EntitledQuantity <EntitldQty>	[0..1]	±		379
	SafekeepingPlace <SfkpgPlc>	[0..1]	±		380
	CountryOfIncomeSource <CtryOfIncmSrc>	[0..1]	CodeSet	C12	380
	FractionDisposition <FrctnDspstn>	[0..1]	±		380
	CurrencyOption <CcyOptn>	[0..1]	CodeSet	C1	380
	TradingPeriod <TradgPrd>	[0..1]	±		381

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	DateDetails <DtDtls>	[1..1]			381
	PaymentDate <PmtDt>	[1..1]	±		381
	AvailableDate <AvlblDt>	[0..1]	±		382
	DividendRankingDate <DvddRnkgDt>	[0..1]	±		382
	EarliestPaymentDate <EarlstPmtDt>	[0..1]	±		382
	PariPassuDate <PrpssDt>	[0..1]	±		383
	LastTradingDate <LastTradgDt>	[0..1]	±		383
	RateDetails <RateDtls>	[0..1]			383
	AdditionalQuantityForSubscribedResultantSecurities <AddtlQtyForSbcbdrslntScies>	[0..1]	±		384
	AdditionalQuantityForExistingSecurities <AddtlQtyForExstgScies>	[0..1]	±		384
	NewToOld <NewToOd>	[0..1]	±		385
	TransformationRate <TrfrmatnRate>	[0..1]	Rate		385
	ChargesFees <ChrgsFees>	[0..1]	±		385
	FiscalStamp <FscIStmp>	[0..1]	±		386
	ApplicableRate <AplblRate>	[0..1]	±		386
	TaxCreditRate <TaxCdtRate>	[0..1]	±		386
	FinancialTransactionTaxRate <FinTxTaxRate>	[0..1]	±		387
	PriceDetails <PricDtls>	[0..1]		C27	387
	IndicativeOrMarketPrice <IndctvOrMktPric>	[0..1]	±		387
	CashInLieuOfSharePrice <CshInLieuOfShrPric>	[0..1]	±		388
	CashValueForTax <CshValForTax>	[0..1]	±		388
	GenericCashPricePaidPerProduct <GncCshPricPdPerPdct>	[0..1]	±		388
	GenericCashPriceReceivedPerProduct <GncCshPricRcvdPerPdct>	[0..1]	±		389
	CashMovementDetails <CshMvmntDtls>	[0..*]		C26, C36, C38, C44	389
	CreditDebitIndicator <CdtDbtInd>	[1..1]	CodeSet		393
	ContractualPaymentIndicator <CtrctlPmtInd>	[0..1]	CodeSet		393
	NonEligibleProceedsIndicator <NonElgblPrcdsInd>	[0..1]	±		394
	IssuerOfferorTaxabilityIndicator <IssrOfferrTaxbiltyInd>	[0..1]	±		394

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	IncomeType <IncmTp>	[0..1]	±		394
	OtherIncomeType <OthrIncmTp>	[0..*]	±		394
	ExemptionType <XmptnTp>	[0..*]	±		395
	CountryOfIncomeSource <CtryOfIncmSrc>	[0..1]	CodeSet	C12	395
	CashAccountIdentification <CshAcctId>	[0..1]	±		395
	AmountDetails <AmtDtls>	[0..1]			396
	GrossCashAmount <GrssCshAmt>	[0..1]	Amount	C1, C13	398
	NetCashAmount <NetCshAmt>	[0..1]	Amount	C1, C13	398
	SolicitationFees <SlctnFees>	[0..1]	Amount	C1, C13	399
	CashInLieuOfShare <CshInLieuOfShr>	[0..1]	Amount	C1, C13	399
	CapitalGain <CptlGn>	[0..1]	Amount	C1, C13	400
	InterestAmount <IntrstAmt>	[0..1]	Amount	C1, C13	400
	MarketClaimAmount <MktClmAmt>	[0..1]	Amount	C1, C13	400
	IndemnityAmount <IndmntyAmt>	[0..1]	Amount	C1, C13	401
	ManufacturedDividendPaymentAmount <ManfctrdDvddPmtAmt>	[0..1]	Amount	C1, C13	401
	ReinvestmentAmount <RinvstmtAmt>	[0..1]	Amount	C1, C13	402
	FullyFrankedAmount <FullyFrnkAmt>	[0..1]	Amount	C1, C13	402
	UnfrankedAmount <UfrnkAmt>	[0..1]	Amount	C1, C13	403
	SundryOrOtherAmount <SndryOrOthrAmt>	[0..1]	Amount	C1, C13	403
	TaxFreeAmount <TaxFreeAmt>	[0..1]	Amount	C1, C13	403
	TaxDeferredAmount <TaxDfrrdAmt>	[0..1]	Amount	C1, C13	404
	ValueAddedTaxAmount <ValAddedTaxAmt>	[0..1]	Amount	C1, C13	404
	StampDutyAmount <StmpDtyAmt>	[0..1]	Amount	C1, C13	405
	TaxReclaimAmount <TaxRclmAmt>	[0..1]	Amount	C1, C13	405
	TaxCreditAmount <TaxCdtAmt>	[0..1]	Amount	C1, C13	405
	AdditionalTaxAmount <AddtlTaxAmt>	[0..1]	Amount	C1, C13	406
	WithholdingTaxAmount <WhldgTaxAmt>	[0..1]	Amount	C1, C13	406
	SecondLevelTaxAmount <ScndLvlTaxAmt>	[0..1]	Amount	C1, C14	407
	FiscalStampAmount <FscIStmpAmt>	[0..1]	Amount	C1, C13	407
	ExecutingBrokerAmount <ExctgBrkrAmt>	[0..1]	Amount	C1, C13	407
	PayingAgentCommissionAmount <PngAgtComssnAmt>	[0..1]	Amount	C1, C13	408

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	LocalBrokerCommissionAmount <LclBrkrComssnAmt>	[0..1]	Amount	C1, C13	408
	RegulatoryFeesAmount <RgltryFeesAmt>	[0..1]	Amount	C1, C13	409
	ShippingFeesAmount <ShppgFeesAmt>	[0..1]	Amount	C1, C13	409
	ChargesAmount <ChrgsAmt>	[0..1]	Amount	C1, C13	409
	EntitledAmount <EntitldAmt>	[0..1]	Amount	C1, C13	410
	OriginalAmount <OrgnlAmt>	[0..1]	Amount	C1, C13	410
	AccruedInterestAmount <AcrdIntrstAmt>	[0..1]	Amount	C1, C13	411
	IncomePortion <IncmPrtn>	[0..1]	Amount	C1, C13	411
	EqualisationAmount <EqulstnAmt>	[0..1]	Amount	C1, C13	411
	FATCATaxAmount <FATCATaxAmt>	[0..1]	Amount	C1, C13	412
	NRATaxAmount <NRATaxAmt>	[0..1]	Amount	C1, C13	412
	BackUpWithholdingTaxAmount <BckUpWhldgTaxAmt>	[0..1]	Amount	C1, C13	413
	TaxOnIncomeAmount <TaxOnIncmAmt>	[0..1]	Amount	C1, C13	413
	TransactionTax <TxTax>	[0..1]	Amount	C1, C13	413
	DeemedAmount <DmdAmt>	[0..1]	Amount	C1, C13	414
	ForeignIncomeAmount <FrgnIncmAmt>	[0..1]	Amount	C1, C13	414
	DeemedDividendAmount <DmdDvddAmt>	[0..1]	Amount	C1, C13	415
	DeemedFundAmount <DmdFndAmt>	[0..1]	Amount	C1, C13	415
	DeemedInterestAmount <DmdIntrstAmt>	[0..1]	Amount	C1, C13	415
	DeemedRoyaltiesAmount <DmdRyltsAmt>	[0..1]	Amount	C1, C13	416
	DateDetails <DtDtls>	[1..1]			416
	PaymentDate <PmtDt>	[1..1]	±		417
	ValueDate <ValDt>	[0..1]	±		417
	ForeignExchangeRateFixingDate <FXRateFxdDt>	[0..1]	±		417
	EarliestPaymentDate <EarlstPmtDt>	[0..1]	±		417
	ForeignExchangeDetails <FXDtls>	[0..1]	±		418
	RateAndAmountDetails <RateAndAmtDtls>	[0..1]		C15, C16, C30, C32, C48, C50, C67, C69, C71, C75, C77	418

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	AdditionalTax <AddtlTax>	[0..1]	±		421
	GrossDividendRate <GrssDvddRate>	[0..*]	±		421
	InterestRateUsedForPayment <IntrstRateUsdForPmt>	[0..*]	±		421
	WithholdingTaxRate <WhldgTaxRate>	[0..*]	±		422
	SecondLevelTax <ScndLvltax>	[0..*]	±		422
	ChargesFees <ChrgsFees>	[0..1]	±		423
	EarlySolicitationFeeRate <EarlySlctnFeeRate>	[0..1]	±		423
	FiscalStamp <Fscslmp>	[0..1]	±		424
	ThirdPartyIncentiveRate <ThrdPtyIncntivRate>	[0..1]	±		424
	NetDividendRate <NetDvddRate>	[0..*]	±		424
	ApplicableRate <ApblRate>	[0..1]	±		425
	SolicitationFeeRate <SlctnFeeRate>	[0..1]	±		425
	TaxCreditRate <TaxCdtRate>	[0..1]	±		426
	TaxOnIncome <TaxOnIncm>	[0..1]	±		426
	TaxOnProfits <TaxOnPrfts>	[0..1]	±		426
	TaxReclaimRate <TaxRclmRate>	[0..1]	±		427
	EqualisationRate <EqulstnRate>	[0..1]	±		427
	DeemedRate <DmdRate>	[0..*]	±		427
	PriceDetails <PricDtls>	[0..1]		C28	428
	GenericCashPricePaidPerProduct <GncCshPricPdPerPdct>	[0..1]	±		429
	GenericCashPriceReceivedPerProduct <GncCshPricRcvdPerPdct>	[0..1]	±		429
	AdditionalInformation <AddtlInf>	[0..1]		C3	430
	AdditionalText <AddtlTxt>	[0..1]	±		431
	NarrativeVersion <NrrtvVrsn>	[0..1]	±		431
	InformationConditions <InfConds>	[0..1]	±		431
	InformationToComplyWith <InfToCmplyWth>	[0..1]	±		432
	SecurityRestriction <SctyRstrctn>	[0..1]	±		432
	TaxationConditions <TaxtnConds>	[0..1]	±		432
	Disclaimer <Dsclmr>	[0..1]	±		433
	CertificationBreakdown <CertfctnBrkdown>	[0..1]	±		433
	AdditionalInformation <AddtlInf>	[0..1]		C4	433

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	AdditionalText <AddtlTxt>	[0..1]	±		434
	NarrativeVersion <NrrtvVrsn>	[0..1]	±		434
	InformationConditions <InfConds>	[0..1]	±		435
	InformationToComplyWith <InfToCmplyWth>	[0..1]	±		435
	TaxationConditions <TaxtnConds>	[0..1]	±		435
	Disclaimer <Dsclmr>	[0..1]	±		436
	PartyContactNarrative <PtyCtctNrrtv>	[0..1]	±		436
	RegistrationDetails <RegnDtls>	[0..1]	±		436
	BasketOrIndexInformation <BsktOrIndxInf>	[0..1]	±		437
	CertificationBreakdown <CertfctnBrkdown>	[0..1]	±		437
	ProcessingTextForNextIntermediary <PrcgTxtForNxtIntrmy>	[0..1]	±		437
	IssuerAgent <IssrAgt>	[0..*]	±		438
	PayingAgent <PngAgt>	[0..*]	±		438
	SubPayingAgent <SubPngAgt>	[0..*]	±		438
	Registrar <Regar>	[0..1]	±		439
	ResellingAgent <RsellingAgt>	[0..*]	±		439
	PhysicalSecuritiesAgent <PhysScitiesAgt>	[0..1]	±		439
	DropAgent <DrpAgt>	[0..1]	±		440
	SolicitationAgent <SlctnAgt>	[0..*]	±		440
	InformationAgent <InfAgt>	[0..1]	±		440
	SupplementaryData <SplmtryData>	[0..*]	±	C73	441
	Issuer <Issr>	[0..1]	±		441
	Offeror <Offerr>	[0..*]	±		441
	TransferAgent <TrfAgt>	[0..1]	±		442

7.3 Constraints

C1 ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

C2 ActiveOrHistoricCurrency

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

C3 AdditionalInformationRule

The AdditionalInformation field in all elements must not contain information that can be provided in a structured field unless bilaterally agreed or advised differently in the element definition as in NarrativeVersion.

C4 AdditionalInformationRule

The AdditionalInformation field in all elements must not contain information that can be provided in a structured field unless bilaterally agreed or advised differently in the element definition as in NarrativeVersion.

C5 AdditionalReasonInformationRule

The AdditionalReasonInformation element must not contain information that can be provided in a structured field unless bilaterally agreed.

C6 AdditionalTextRule

If OptionType/Code is OTHR (Other), then AdditionalInformation/AdditionalText/AdditionalInformation must be present.

(MT564 NVR C5).

C7 AffectedAndUnaffectedBalanceRule

UnaffectedBalance and AffectedBalance should only be mentioned as a result of the process that was run through the event. For instance lottery results, Dutch auction results or tender offer results.

C8 AnyBIC

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

C9 AppliedOptionRule

If CorporateActionMovementDetails/AppliedOptionIndicator value is 'true' or '1' (Yes) in one or more occurrences of CorporateActionMovementDetails, then CorporateActionGeneralInformation/MandatoryVoluntaryEventType/Code value must be CHOS (MandatoryWithOptions) and at least one of the CorporateActionDetails/AdditionalBusinessProcessIndicator/Code values must be REAC (RequiredAction).

This constraint is defined at the MessageDefinition level.

C10 AppliedOptionRule

If DefaultProcessingOrStandingInstruction/DefaultOptionIndicator is present and its value is '1' or 'true' (Yes), then AppliedOptionIndicator value must not be '1' or 'true' (Yes).

C11 BeneficialOwnerBreakdownRequestRule

If in at least one occurrence of CorporateActionMovementDetails, OptionType/Code value is BOBD (BeneficialOwnerBreakdownRequest), then CorporateActionGeneralInformation/EventType/Code value must be WTRC (Withholding Tax Relief Certification).

(MT 564 NVR C23).

On Condition

/CorporateActionMovementDetails[*]/OptionType/Code is equal to value
'BeneficialOwnerBreakdownRequest'

Following Must be True

/CorporateActionGeneralInformation/EventType/Code Must be equal to value
'WithholdingTaxReliefCertification'

This constraint is defined at the MessageDefinition level.

C12 Country

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

C13 CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

C14 CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

C15 DeemedRate1Rule

If DeemedRate is repeated, then DeemedRate/RateTypeAndAmountAndRateStatus/RateType/Code values and DeemedRate/RateTypeAndRate/RateType/Code values must all be different in each occurrence of DeemedRate.

(MT 564 NVR C8).

C16 DeemedRate2Rule

Only a single occurrence of either DeemedRate/Amount or DeemedRate/Rate or DeemedRate/NotSpecifiedRate may be present, only one of these elements may be present.

(MT 564 NVR C7).

C17 DescriptionPresenceRule

If Description is not present then either ISIN or at least one occurrence of OtherIdentification must be present.

C18 DescriptionUsageRule

Description must be used alone as the last resort.

C19 DigitalTokenUnitUsageRule

DigitalTokenUnit format may only be used for instruments identified with a digital token identifier and when the related account identification is provided as a blockchain address or wallet identification (using BlockChainAddressOrWallet element as the account identification).

C20 DigitalTokenUnitUsageRule

DigitalTokenUnit format may only be used for instruments identified with a digital token identifier and when the related account identification is provided as a blockchain address or wallet identification (using BlockChainAddressOrWallet element as the account identification).

C21 DigitalTokenUnitUsageRule

DigitalTokenUnit format may only be used for instruments identified with a digital token identifier and when the related account identification is provided as a blockchain address or wallet identification (using BlockChainAddressOrWallet element as the account identification).

C22 DissenterRights1Rule

If OfferType/Code value is equal to DISS (DissenterRights), then OfferType/Code value equal to NDIS (DissenterRightsNotApplicable) is not allowed in any occurrence of OfferType.

(MT 564 NVR C27)

C23 DissenterRights2Rule

If OfferType/Code value is equal to NDISS (DissenterRightsNotApplicable), then OfferType/Code value equal to DISS (DissenterRights) is not allowed in any occurrence of OfferType.

(MT 564 NVR C27)

C24 EventTypeRule

If Code is present, the code OTHR (Other) must only be used in case no other corporate action event code is appropriate.

If Code is present, the code CHAN (Change) must only be used in case no other corporate action event code is appropriate and only for an event which relates to a change.

If Code is present, the code REDM (Final Maturity) must only be used when the redemption is decided by the issuer and not by the securities holders.

C25 ExemptionTypeUsageRule

The lists of exemption type codes to be used in ExemptionType are provided in the document "ETYP-ITYP Exemption & Income Type Codes" available on the SMPG website at www.smpg.info.

C26 ExemptionTypeUsageRule

The lists of exemption type codes to be used in ExemptionType are provided in the document "ETYP-ITYP Exemption & Income Type Codes" available on the SMPG website at www.smpg.info.

C27 GenericCashPricePerProductGuideline

GenericCashPriceReceivedPerProduct and GenericCashPricePaidPerProduct are prices received or paid in the course of an event. The specific meaning (redemption price, subscription price, reinvestment price, etc.) is derived from the event type.

C28 GenericCashPricePerProductGuideline

GenericCashPriceReceivedPerProduct and GenericCashPricePaidPerProduct are prices received or paid in the course of an event. The specific meaning (redemption price, subscription price, reinvestment price, ETC.) is derived from the event type.

C29 GrossDividendRate2Rule

If GrossDividendRate is repeated, then:

- RateTypeAndAmountAndRateStatus/RateType/Code values must all be different in each occurrence of GrossDividendRate.

(MT 564 NVR C8).

C30 GrossDividendRate2Rule

If GrossDividendRate is repeated, then:

- RateTypeAndAmountAndRateStatus/RateType/Code values must all be different in each occurrence of GrossDividendRate. (MT 564 NVR C8).

C31 GrossDividendRate3Rule

Only a single occurrence of either GrossDividendRate/Amount or GrossDividendRate/AmountAndRateStatus or GrossDividendRate/NotSpecifiedRate may be present, only one of these elements may be present. (MT 564 NVR C7).

C32 GrossDividendRate3Rule

Only a single occurrence of either GrossDividendRate/Amount or GrossDividendRate/AmountAndRateStatus or GrossDividendRate/NotSpecifiedRate may be present, and only one of these elements may be present.

(MT 564 NVR C7).

C33 IBAN

A valid IBAN consists of all three of the following components: Country Code, check digits and BBAN.

C34 IncentivePremiumEventType1Rule

If Code value is equal to INCP (IncentivePremiumPayment) in CorporateActionDetails/AdditionalBusinessProcessIndicator, then CorporateActionGeneralInformation/EventType/Code value must be equal to CONS (Consent).

(MT 564 NVR C26)

On Condition

/CorporateActionDetails/AdditionalBusinessProcessIndicator[*]/Code is equal to value 'IncentivePremiumPayment'

Following Must be True

/CorporateActionGeneralInformation/EventType/Code Must be equal to value 'Consent'

This constraint is defined at the MessageDefinition level.

C35 IncomeType1Rule

If at least one occurrence of OtherIncomeType is present, then IncomeType must be present.

C36 IncomeType2Rule

If at least one occurrence of OtherIncomeType is present, then IncomeType must be present.

C37 IncomeTypeUsageRule

The lists of income type codes to be used in IncomeType are provided in the document "ETYP-ITYP Exemption & Income Type Codes" available on the SMPG website at www.smpg.info.

C38 IncomeTypeUsageRule

The lists of income type codes to be used in IncomeType are provided in the document "ETYP-ITYP Exemption & Income Type Codes" available on the SMPG website at www.smpg.info.

C39 InstructCashAmountRule

If OptionFeatures/Code QCAS (InstructCashAmount) is not present, then any message elements in the list (PriceDetails/MaximumCashToInstruct, PriceDetails/MinimumCashToInstruct, PriceDetails/MinimumMultipleCashToInstruct) must not be present.

(MT564 NVR C20).

C40 IntermediateSecuritiesDistributionRule

If CorporateActionGeneralInformation/EventType/Code is RHD1 (IntermediateSecuritiesDistribution), then CorporateActionDetails/IntermediateSecuritiesDistributionType must be present.

(MT 564 NVR C11).

On Condition

/CorporateActionGeneralInformation/EventType/Code is equal to value 'IntermediateSecuritiesDistribution'

Following Must be True

/CorporateActionDetails Must be present

And /CorporateActionDetails/IntermediateSecuritiesDistributionType Must be present

This constraint is defined at the MessageDefinition level.

C41 ISINGuideline

When a ISIN code exist. It is strongly recommended that the ISIN be used.

C42 ISINPresenceRule

If ISIN is not present then either Description or at least one occurrence of OtherIdentification must be present.

C43 IssuerOfferorTaxabilityIndicatorUsageRule

The IssuerOfferorTaxabilityIndicator may only be used when no tax rate is provided in the message and it must not be used in events such as dividend or interest.

The list of proprietary IssuerOfferorTaxabilityIndicator codes that may be used are provided in the document "TXAP Taxability Codes" available on the SMPG website at www.smpg.info.

C44 IssuerOfferorTaxabilityIndicatorUsageRule

The IssuerOfferorTaxabilityIndicator may only be used when no tax rate is provided in the message and it must not be used in events such as dividend or interest.

The list of proprietary IssuerOfferorTaxabilityIndicator codes that may be used are provided in the document "TXAP Taxability Codes" available on the SMPG website at www.smpg.info.

C45 MaturityDateRule

Maturity date may only provide generic information about the final maturity of the underlying instrument.

C46 MaturityDateRule

Maturity date may only provide generic information about the final maturity of the underlying instrument.

C47 NetDividendRate1Rule

If NetDividendRate is repeated, then RateTypeAndAmountAndRateStatus/RateType/Code values must all be different in each occurrence of NetDividendRate. (MT 564 NVR C8).

C48 NetDividendRate1Rule

If NetDividendRate is repeated, then:

- RateTypeAndAmountAndRateStatus/RateType/Code values must all be different in each occurrence of NetDividendRate. (MT 564 NVR C8).

C49 NetDividendRate2Rule

Only a single occurrence of either NetDividendRate/Amount or NetDividendRate/AmountAndRateStatus or NetDividendRate/NotSpecifiedRate may be present, and only one of these elements may be present. (MT 564 NVR C7).

C50 NetDividendRate2Rule

Only a single occurrence of either NetDividendRate/Amount or NetDividendRate/AmountAndRateStatus or NetDividendRate/NotSpecifiedRate may be present, and only one of these elements may be present.

(MT 564 NVR C7).

C51 OfficialCorporateActionEventReference

The Securities Market Practice Group (SMPG) has published market practice recommendations on the structure and usage of the Official Corporate Action Event Reference (COAF). Those recommendations are

available in the SMPG Global Market Practices Part 1 document on www.smpg.info.

C52 OptionNumberGuideline

For differentiation between options, it is recommended to use the OptionNumber rather than the OptionType, for example, in the case where two or more offers can be described by the same corporate action option code.

C53 OtherEventRule

If CorporateActionGeneralInformation/EventType/Code is OTHR (Other), then at least one occurrence of AdditionalInformation/AdditionalText/AdditionalInformation must be present.

(MT564 NVR C4).

On Condition

/CorporateActionGeneralInformation/EventType/Code is present

And /CorporateActionGeneralInformation/EventType/Code is equal to value 'OtherEvent'

Following Must be True

/AdditionalInformation Must be present

And /AdditionalInformation/AdditionalText Must be present

And /AdditionalInformation/AdditionalText/AdditionalInformation[1] Must be present

This constraint is defined at the MessageDefinition level.

C54 OtherIdentificationPresenceRule

If OtherIdentification is not present then either ISIN or Description must be present.

C55 PreviousNextFactorRule

In case of partial redemption by pool factor, PreviousFactor provides the factor applicable before redemption and NextFactor provides the factor applicable after redemption.

C56 PreviousNextFactorRule

In case of partial redemption by pool factor, PreviousFactor provides the factor applicable before redemption and NextFactor provides the factor applicable after redemption.

C57 RateAndCorporateActionEventRule

If CorporateActionMovementDetails/RateAndAmountDetails/GrossDividendRate/NotSpecifiedRate Code value or CorporateActionMovementDetails/RateAndAmountDetails/InterestRateUsedForPayment/NotSpecifiedRate Code value is NILP (Nil Payment), then CorporateActionGeneralInformation/EventType/Code must be either DVCA (CashDividend) or INTR (InterestPayment).

If CorporateActionMovementDetails/CashMovementDetails/RateAndAmountDetails/GrossDividendRate/NotSpecifiedRate Code value or CorporateActionMovementDetails/CashMovementDetails/RateAndAmountDetails/InterestRateUsedForPayment/NotSpecifiedRate Code value is NILP (Nil Payment), then CorporateActionGeneralInformation/EventType/Code must be either DVCA (CashDividend) or INTR (InterestPayment).

This constraint is defined at the MessageDefinition level.

C58 RateStatusGuideline

The code value ACTU is the default value for a rate status.

C59 RevocabilityPeriodRule

If WithdrawalAllowedIndicator or ChangeAllowedIndicator is present and is 'true' or '1' (Yes), then PeriodDetails/RevocabilityPeriod must be present to indicate the period during which the change or withdrawal of instruction is allowed.

C60 SafekeepingAccount1Rule

If AccountDetails/ForAllAccounts/IdentificationCode value is GENR (General), then any element in the list (MinimumNominalQuantity, MinimumExercisableQuantity, MinimumExercisableMultipleQuantity, ContractSize) must not be present in any occurrences of CorporateActionMovementDetails/SecuritiesMovementDetails/SecurityDetails;

(MT564 Rule C2 - part 1).

On Condition

 /AccountDetails/ForAllAccounts is present

 And /AccountDetails/ForAllAccounts/IdentificationCode is equal to value 'General'

Following Must be True

 /CorporateActionMovementDetails[*]/SecuritiesMovementDetails[*]/SecurityDetails/MinimumNominalQuantity Must be absent

 And /CorporateActionMovementDetails[*]/SecuritiesMovementDetails[*]/SecurityDetails/MinimumQuantityToInstruct Must be absent

 And /CorporateActionMovementDetails[*]/SecuritiesMovementDetails[*]/SecurityDetails/MinimumMultipleQuantityToInstruct Must be absent

 And /CorporateActionMovementDetails[*]/SecuritiesMovementDetails[*]/SecurityDetails/ContractSize Must be absent

This constraint is defined at the MessageDefinition level.

C61 SafekeepingAccount2Rule

If AccountDetails/ForAllAccounts/IdentificationCode value is GENR (General), then AmountDetails must not be present in any occurrences of CorporateActionMovementDetails/CashMovementDetails.

(MT564 Rule C2 - part 2).

```
On Condition
    /AccountDetails/ForAllAccounts is present
    And    /AccountDetails/ForAllAccounts/IdentificationCode is equal to value
    'General'
Following Must be True
    /CorporateActionMovementDetails[*]/CashMovementDetails[*]/AmountDetails
Must be absent
```

This constraint is defined at the MessageDefinition level.

C62 SafekeepingAccountOrBlockChainAddress1Rule

Either SafekeepingAccount or BlockChainAddressOrWallet must be present but not both.

C63 SafekeepingAccountOrBlockChainAddress2Rule

If BlockChainAddressOrWallet is present then SafekeepingAccount must be absent.

C64 SafekeepingAccountOrBlockChainAddress3Rule

If SafekeepingAccount is present, then BlockChainAddressOrWallet must be absent.

C65 SafekeepingPlaceRule

The SafekeepingPlace is only to be used by global custodians that allow their clients to specify where the securities are to be held, for example, in an ICSD account versus an account at the local CSD.

C66 SecondLevelTax1Rule

If SecondLevelTax is repeated, then SecondLevelTax/RateTypeAndRate/RateType/Code values must all be different in each occurrence of SecondLevelTax.

(MT 564 NVR C8).

C67 SecondLevelTax1Rule

If SecondLevelTax is repeated, then SecondLevelTax/RateTypeAndRate/RateType/Code values must all be different in each occurrence of SecondLevelTax.

(MT 564 NVR C8).

C68 SecondLevelTax2Rule

Only a single occurrence of either SecondLevelTax/Amount or SecondLevelTax/Rate or SecondLevelTax/NotSpecifiedRate may be present, only one of these elements may be present.

(MT 564 NVR C7).

C69 SecondLevelTax2Rule

Only a single occurrence of either SecondLevelTax/Amount or SecondLevelTax/Rate or SecondLevelTax/NotSpecifiedRate may be present, only one of these elements may be present.

(MT 564 NVR C7).

C70 SecondLevelTax3Rule

If at least one occurrence of SecondLevelTax is present, then at least one occurrence of WithholdingTaxRate must be present.

C71 SecondLevelTax3Rule

If at least one occurrence of SecondLevelTax is present, then at least one occurrence of WithholdingTaxRate must be present. (MT 564 NVR C19).

C72 ShortLongNumberRule

ShortNumber must contain the FIN message type number of the linked message. LongNumber must contain the XML message identifier of the linked message.

C73 SupplementaryDataRule

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

C74 WithholdingTaxRate1Rule

If WithholdingTaxRate is repeated, then WithholdingTaxRate/RateTypeAndRate/RateType/Code values must all be different in each occurrence of WithholdingTaxRate.

(MT 564 NVR C8).

C75 WithholdingTaxRate1Rule

If WithholdingTaxRate is repeated, then WithholdingTaxRate/RateTypeAndRate/RateType/Code values must all be different in each occurrence of WithholdingTaxRate.

(MT 564 NVR C8).

C76 WithholdingTaxRate2Rule

Only a single occurrence of either WithholdingTaxRate/Amount or WithholdingTaxRate/Rate or WithholdingTaxRate/NotSpecifiedRate may be present, only one of these elements may be present.

(MT 564 NVR C7).

C77 WithholdingTaxRate2Rule

Only a single occurrence of either WithholdingTaxRate/Amount or WithholdingTaxRate/Rate or WithholdingTaxRate/NotSpecifiedRate may be present, only one of these elements may be present.

(MT 564 NVR C7).

C78 WithLinkageRule

If Code WITH is used, then the one or more instruction which are linked become bound and which must be executed together. Even if one single transactions/instructions/notifications can not be executed, then all the other transactions/instructions/notifications must also be kept pending. Therefore the use of code WITH must be limited to combine up to 2 or 3 transactions/instructions/notifications.

7.4 Message Building Blocks

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

7.4.1 Pagination <Pgntn>

Presence: [0..1]

Definition: Page number of the message and continuation indicator to indicate that the multi-part preliminary advice is to continue or that the message is the last page of the multi-part preliminary advice.

Pagination <Pgntn> contains the following elements (see "Pagination1" on page 856 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PageNumber <PgNb>	[1..1]	Text		856
	LastPageIndicator <LastPgInd>	[1..1]	Indicator		856

7.4.2 MovementPreliminaryAdviceGeneralInformation <MvmntPrlmryAdvGnllnf>

Presence: [1..1]

Definition: General information about the movement preliminary advice document.

MovementPreliminaryAdviceGeneralInformation <MvmntPrlmryAdvGnllnf> contains the following elements (see "CorporateActionPreliminaryAdviceType2" on page 913 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Type <Tp>	[1..1]	CodeSet		913
	EligibilityIndicator <ElgbltyInd>	[0..1]	Indicator		913

7.4.3 PreviousMovementPreliminaryAdviceIdentification <PrvsMvmntPrlmryAdvclId>

Presence: [0..1]

Definition: Identification of the previously sent movement preliminary advice document.

PreviousMovementPreliminaryAdviceIdentification <PrvsMvmntPrlmryAdvclId> contains the following elements (see "DocumentIdentification37" on page 736 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		737
	LinkageType <LkgTp>	[0..1]	±	C78	737

7.4.4 NotificationIdentification <NtfctnId>

Presence: [0..1]

Definition: Identification of a previously sent notification document.

NotificationIdentification <NtfctnId> contains the following elements (see "DocumentIdentification37" on page 736 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		737
	LinkageType <LkgTp>	[0..1]	±	C78	737

7.4.5 MovementConfirmationIdentification <MvmntConfId>

Presence: [0..1]

Definition: Identification of a previously sent movement confirmation document.

MovementConfirmationIdentification <MvmntConfId> contains the following elements (see "DocumentIdentification37" on page 736 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		737
	LinkageType <LkgTp>	[0..1]	±	C78	737

7.4.6 InstructionIdentification <InstrId>

Presence: [0..1]

Definition: Identification of a related instruction document.

InstructionIdentification <InstrId> contains the following elements (see "DocumentIdentification17" on page 741 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		741

7.4.7 OtherDocumentIdentification <OthrDocId>

Presence: [0..*]

Definition: Identification of other documents as well as the document number.

OtherDocumentIdentification <OthrDocId> contains the following elements (see "DocumentIdentification38" on page 739 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]			739
{Or	AccountServicerDocumentIdentification <AcctSvcrDocId>	[1..1]	Text		740
Or}	AccountOwnerDocumentIdentification <AcctOwnrDocId>	[1..1]	Text		740
	DocumentNumber <DocNb>	[0..1]	±	C6	740
	LinkageType <LkgTp>	[0..1]	±	C78	740

7.4.8 EventsLinkage <EvtsLkg>

Presence: [0..*]

Definition: Identification of another corporate action event that needs to be closely linked to the processing of the event notified in this document.

EventsLinkage <EvtsLkg> contains the following elements (see "CorporateActionEventReference4" on page 910 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	EventIdentification <EvtId>	[1..1]			910
{Or	LinkedOfficialCorporateActionEventIdentification <LkdOffclCorpActnEvtId>	[1..1]	Text		910
Or}	LinkedCorporateActionIdentification <LkdCorpActnId>	[1..1]	Text		910
	LinkageType <LkgTp>	[0..1]	±	C78	910

7.4.9 ReversalReason <RvslRsn>

Presence: [0..1]

Definition: Reason for the reversal.

Impacted by: C5 "AdditionalReasonInformationRule"

ReversalReason <RvslRsn> contains the following elements (see "CorporateActionReversalReason4" on page 858 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reason <Rsn>	[1..1]	±		858
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		859

Constraints

- **AdditionalReasonInformationRule**

The AdditionalReasonInformation element must not contain information that can be provided in a structured field unless bilaterally agreed.

7.4.10 CorporateActionGeneralInformation <CorpActnGnInf>

Presence: [1..1]

Definition: General information about the corporate action event.

Impacted by: C51 "OfficialCorporateActionEventReference"

CorporateActionGeneralInformation <CorpActnGnInf> contains the following **CorporateActionGeneralInformation168** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CorporateActionEventIdentification <CorpActnEvtId>	[1..1]	Text		318
	OfficialCorporateActionEventIdentification <OffclCorpActnEvtId>	[0..1]	Text		318
	ClassActionNumber <ClssActnNb>	[0..1]	Text		319
	EventProcessingType <EvtPrcgTp>	[0..1]	±		319
	EventType <EvtTp>	[1..1]	±	C24	319
	MandatoryVoluntaryEventType <MndtryVlntryEvtTp>	[1..1]	±		320
	UnderlyingSecurity <UndrlygScty>	[1..1]	±	C45, C55	320

Constraints

- **OfficialCorporateActionEventReference**

The Securities Market Practice Group (SMPG) has published market practice recommendations on the structure and usage of the Official Corporate Action Event Reference (COAF). Those recommendations are

available in the SMPG Global Market Practices Part 1 document on www.smpg.info.

7.4.10.1 CorporateActionEventIdentification <CorpActnEvtId>

Presence: [1..1]

Definition: Reference assigned by the account servicer to unambiguously identify a corporate action event.

Datatype: "RestrictedFINXMax16Text" on page 1177

7.4.10.2 OfficialCorporateActionEventIdentification <OffclCorpActnEvtId>

Presence: [0..1]

Definition: Official and unique reference assigned by the official central body/entity within each market at the beginning of a corporate action event.

Datatype: "RestrictedFINXMax16Text" on page 1177

7.4.10.3 ClassActionNumber <ClssActnNb>

Presence: [0..1]

Definition: Reference assigned by a court to a class action.

Datatype: "RestrictedFINXMax16Text" on page 1177

7.4.10.4 EventProcessingType <EvtPrcgTp>

Presence: [0..1]

Definition: Type of processing involved by a corporate action.

EventProcessingType <EvtPrcgTp> contains one of the following elements (see "CorporateActionEventProcessingType3Choice" on page 909 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		909
Or}	Proprietary <Prtry>	[1..1]	±		909

7.4.10.5 EventType <EvtTp>

Presence: [1..1]

Definition: Type of corporate action event.

Impacted by: C24 "EventTypeRule"

EventType <EvtTp> contains one of the following elements (see "CorporateActionEventType97Choice" on page 828 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		828
Or}	Proprietary <Prtry>	[1..1]	±		834

Constraints

- **EventTypeRule**

If Code is present, the code OTHR (Other) must only be used in case no other corporate action event code is appropriate.

If Code is present, the code CHAN (Change) must only be used in case no other corporate action event code is appropriate and only for an event which relates to a change.

If Code is present, the code REDM (Final Maturity) must only be used when the redemption is decided by the issuer and not by the securities holders.

7.4.10.6 MandatoryVoluntaryEventType <MndtryVlntryEvtTp>

Presence: [1..1]

Definition: Specifies whether the event is mandatory, mandatory with options or voluntary.

MandatoryVoluntaryEventType <MndtryVlntryEvtTp> contains one of the following elements (see "[CorporateActionMandatoryVoluntary4Choice](#)" on page 908 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		908
Or}	Proprietary <Prtry>	[1..1]	±		908

7.4.10.7 UnderlyingSecurity <UndrlygScty>

Presence: [1..1]

Definition: Security concerned by the corporate action.

Impacted by: [C45 "MaturityDateRule"](#), [C55 "PreviousNextFactorRule"](#)

UnderlyingSecurity <UndrlygScty> contains the following elements (see "FinancialInstrumentAttributes114" on page 770 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	FinancialInstrumentIdentification <FinInstrmId>	[1..1]	±	C17, C18, C41, C42, C54	772
	PlaceOfListing <PlcOfListg>	[0..1]	±		773
	DayCountBasis <DayCntBsis>	[0..1]	±		773
	ClassificationType <ClssfctnTp>	[0..1]	±		773
	OptionStyle <OptnStyle>	[0..1]	±		773
	DenominationCurrency <DnmtnCcy>	[0..1]	CodeSet	C2	774
	NextCouponDate <NxtCpnDt>	[0..1]	Date		774
	ExpiryDate <XpryDt>	[0..1]	Date		774
	FloatingRateFixingDate <FltgRateFxdt>	[0..1]	Date		774
	MaturityDate <MtrtyDt>	[0..1]	Date		774
	IssueDate <IssDt>	[0..1]	Date		775
	NextCallableDate <NxtCllbldt>	[0..1]	Date		775
	PutableDate <Putbldt>	[0..1]	Date		775
	DatedDate <DtdDt>	[0..1]	Date		775
	ConversionDate <ConvsDt>	[0..1]	Date		775
	InterestRate <IntrstRate>	[0..1]	±		775
	NextInterestRate <NxtIntrstRate>	[0..1]	±		775
	PercentageOfDebtClaim <PctgOfDebtClm>	[0..1]	±		776
	PreviousFactor <PrvsFctr>	[0..1]	±		776
	NextFactor <NxtFctr>	[0..1]	±		776
	WarrantParity <WarrtParity>	[0..1]	±		777
	MinimumNominalQuantity <MinNmnlQty>	[0..1]	±	C19	777
	ContractSize <CtrctSz>	[0..1]	±	C19	777

Constraints

- **MaturityDateRule**

Maturity date may only provide generic information about the final maturity of the underlying instrument.

- **PreviousNextFactorRule**

In case of partial redemption by pool factor, PreviousFactor provides the factor applicable before redemption and NextFactor provides the factor applicable after redemption.

7.4.11 AccountDetails <AcctDtls>

Presence: [1..1]

Definition: General information about the safekeeping account, owner and account balance.

AccountDetails <AcctDtls> contains one of the following **AccountIdentification52Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	ForAllAccounts <ForAllAccts>	[1..1]	±		323
Or}	AccountsListAndBalanceDetails <AcctsListAndBalDtls>	[1..*]		C62, C63, C64, C65	324
	SafekeepingAccount <SfkpgAcct>	[0..1]	Text		326
	BlockchainAddressOrWallet <BlckChainAdrOrWlt>	[0..1]	Text		326
	AccountOwner <AcctOwnr>	[0..1]	±		326
	SafekeepingPlace <SfkpgPlc>	[0..1]	±		326
	Balance <Bal>	[0..1]		C7	327
	TotalEligibleBalance <TtlElgblBal>	[0..1]	±		328
	BlockedBalance <BlckdBal>	[0..1]	±		329
	BorrowedBalance <BrrwdBal>	[0..1]	±		329
	CollateralInBalance <CollInBal>	[0..1]	±		329
	CollateralOutBalance <CollOutBal>	[0..1]	±		330
	OnLoanBalance <OnLnBal>	[0..1]	±		330
	PendingDeliveryBalance <PdgDivryBal>	[0..*]	±		330
	PendingReceiptBalance <PdgRctBal>	[0..*]	±		331
	OutForRegistrationBalance <OutForRegnBal>	[0..1]	±		331
	SettlementPositionBalance <SttlmPosBal>	[0..*]	±		331
	StreetPositionBalance <StrtPosBal>	[0..1]	±		332
	TradeDatePositionBalance <TradDtPosBal>	[0..1]	±		332
	InTransshipmentBalance <InTrnsShipmntBal>	[0..1]	±		332
	RegisteredBalance <RegdBal>	[0..1]	±		333
	ObligatedBalance <OblgtdBal>	[0..1]	±		333
	UninstructedBalance <UinstdBal>	[0..1]	±		333
	InstructedBalance <InstdBal>	[0..1]	±		334
	AffectedBalance <AfctdBal>	[0..1]	±		334
	UnaffectedBalance <UafctdBal>	[0..1]	±		334

7.4.11.1 ForAllAccounts <ForAllAccts>

Presence: [1..1]

Definition: All safekeeping accounts that own an underlying financial instrument.

ForAllAccounts <ForAllAccts> contains the following elements (see ["AccountIdentification10"](#) on page 712 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	IdentificationCode <IdCd>	[1..1]	CodeSet		712

7.4.11.2 AccountsListAndBalanceDetails <AcctsListAndBalDtls>

Presence: [1..*]

Definition: Selected safekeeping accounts list (and optionally balance information) to which the corporate action event applies.

Impacted by: [C62 "SafekeepingAccountOrBlockChainAddress1Rule"](#), [C63](#)

["SafekeepingAccountOrBlockChainAddress2Rule"](#), [C64](#)

["SafekeepingAccountOrBlockChainAddress3Rule"](#), [C65 "SafekeepingPlaceRule"](#)

AccountsListAndBalanceDetails <AcctsListAndBalDtls> contains the following **AccountAndBalance53** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	SafekeepingAccount <SfkpgAcct>	[0..1]	Text		326
	BlockChainAddressOrWallet <BlckChainAdrOrWlt>	[0..1]	Text		326
	AccountOwner <AcctOwnr>	[0..1]	±		326
	SafekeepingPlace <SfkpgPlc>	[0..1]	±		326
	Balance <Bal>	[0..1]		C7	327
	TotalEligibleBalance <TtlElgblBal>	[0..1]	±		328
	BlockedBalance <BlckdBal>	[0..1]	±		329
	BorrowedBalance <BrrwdBal>	[0..1]	±		329
	CollateralInBalance <CollInBal>	[0..1]	±		329
	CollateralOutBalance <CollOutBal>	[0..1]	±		330
	OnLoanBalance <OnLnBal>	[0..1]	±		330
	PendingDeliveryBalance <PdgDlvryBal>	[0..*]	±		330
	PendingReceiptBalance <PdgRctBal>	[0..*]	±		331
	OutForRegistrationBalance <OutForRegnBal>	[0..1]	±		331
	SettlementPositionBalance <SttlmPosBal>	[0..*]	±		331
	StreetPositionBalance <StrtPosBal>	[0..1]	±		332
	TradeDatePositionBalance <TradDtPosBal>	[0..1]	±		332
	InTransshipmentBalance <InTrnsShipmntBal>	[0..1]	±		332
	RegisteredBalance <RegdBal>	[0..1]	±		333
	ObligatedBalance <OblgtdBal>	[0..1]	±		333
	UninstructedBalance <UinstdBal>	[0..1]	±		333
	InstructedBalance <InstdBal>	[0..1]	±		334
	AffectedBalance <AfctdBal>	[0..1]	±		334
	UnaffectedBalance <UafctdBal>	[0..1]	±		334

Constraints

- **SafekeepingAccountOrBlockChainAddress1Rule**

Either SafekeepingAccount or BlockChainAddressOrWallet must be present but not both.

Following Must be True

/SafekeepingAccount Must be present

Or /BlockChainAddressOrWallet Must be present

- **SafekeepingAccountOrBlockChainAddress2Rule**

If BlockChainAddressOrWallet is present then SafekeepingAccount must be absent.

```
On Condition
  /BlockChainAddressOrWallet is present
Following Must be True
  /SafekeepingAccount Must be absent
```

- **SafekeepingAccountOrBlockChainAddress3Rule**

If SafekeepingAccount is present, then BlockChainAddressOrWallet must be absent.

```
On Condition
  /SafekeepingAccount is present
Following Must be True
  /BlockChainAddressOrWallet Must be absent
```

- **SafekeepingPlaceRule**

The SafekeepingPlace is only to be used by global custodians that allow their clients to specify where the securities are to be held, for example, in an ICSD account versus an account at the local CSD.

7.4.11.2.1 SafekeepingAccount <SfkpgAcct>

Presence: [0..1]

Definition: Account where financial instruments are maintained.

Datatype: "RestrictedFINXMax35Text" on page 1180

7.4.11.2.2 BlockChainAddressOrWallet <BlckChainAdrOrWlIt>

Presence: [0..1]

Definition: Blockchain address or wallet where digital assets are maintained. This is the equivalent of safekeeping account for digital assets.

Datatype: "RestrictedFINXMax140Text" on page 1177

7.4.11.2.3 AccountOwner <AcctOwnr>

Presence: [0..1]

Definition: Party that legally owns the account.

AccountOwner <AcctOwnr> contains one of the following elements (see "PartyIdentification136Choice" on page 928 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	928
Or}	ProprietaryIdentification <PrtryId>	[1..1]	±		929

7.4.11.2.4 SafekeepingPlace <SfkpgPlc>

Presence: [0..1]

Definition: Location where the financial instruments are/will be safekept.

SafekeepingPlace <SfkpgPlc> contains one of the following elements (see "SafekeepingPlaceFormat32Choice" on page 1046 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Identification <Id>	[1..1]	±		1047
Or	Country <Ctry>	[1..1]	CodeSet	C3	1047
Or	TypeAndIdentification <TpAndId>	[1..1]			1047
	SafekeepingPlaceType <SfkpgPlcTp>	[1..1]	CodeSet		1048
	Identification <Id>	[1..1]	IdentifierSet	C1	1048
Or}	Proprietary <Prtry>	[1..1]	±		1048

7.4.11.2.5 Balance <Bal>

Presence: [0..1]

Definition: Provides information about balance related to a corporate action.

Impacted by: C7 "AffectedAndUnaffectedBalanceRule"

Balance <Bal> contains the following **CorporateActionBalanceDetails46** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	TotalEligibleBalance <TtlElgblBal>	[0..1]	±		328
	BlockedBalance <BlckdBal>	[0..1]	±		329
	BorrowedBalance <BrrwdBal>	[0..1]	±		329
	CollateralInBalance <CollInBal>	[0..1]	±		329
	CollateralOutBalance <CollOutBal>	[0..1]	±		330
	OnLoanBalance <OnLnBal>	[0..1]	±		330
	PendingDeliveryBalance <PdgDlvryBal>	[0..*]	±		330
	PendingReceiptBalance <PdgRctBal>	[0..*]	±		331
	OutForRegistrationBalance <OutForRegnBal>	[0..1]	±		331
	SettlementPositionBalance <SttlmPosBal>	[0..*]	±		331
	StreetPositionBalance <StrtPosBal>	[0..1]	±		332
	TradeDatePositionBalance <TradDtPosBal>	[0..1]	±		332
	InTransshipmentBalance <InTrnsShipmntBal>	[0..1]	±		332
	RegisteredBalance <RegdBal>	[0..1]	±		333
	ObligatedBalance <OblgtdBal>	[0..1]	±		333
	UninstructedBalance <UinstdBal>	[0..1]	±		333
	InstructedBalance <InstdBal>	[0..1]	±		334
	AffectedBalance <AfctdBal>	[0..1]	±		334
	UnaffectedBalance <UafctdBal>	[0..1]	±		334

Constraints

- **AffectedAndUnaffectedBalanceRule**

UnaffectedBalance and AffectedBalance should only be mentioned as a result of the process that was run through the event. For instance lottery results, Dutch auction results or tender offer results.

7.4.11.2.5.1 TotalEligibleBalance <TtlElgblBal>

Presence: [0..1]

Definition: Total balance of securities eligible for this corporate action event. The entitlement calculation is based on this balance.

TotalEligibleBalance <TtlElgblBal> contains the following elements (see "TotalEligibleBalanceFormat11" on page 713 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Balance <Bal>	[0..1]	±		713
	FullPeriodUnits <FullPrdUnits>	[0..1]	±		713
	PartWayPeriodUnits <PartWayPrdUnits>	[0..1]	±		714

7.4.11.2.5.2 BlockedBalance <BlckdBal>

Presence: [0..1]

Definition: Balance of financial instruments that are blocked.

BlockedBalance <BlckdBal> contains one of the following elements (see "BalanceFormat14Choice" on page 716 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Balance <Bal>	[1..1]	±		716
Or	EligibleBalance <ElgblBal>	[1..1]	±		716
Or}	NotEligibleBalance <NotElgblBal>	[1..1]	±		716

7.4.11.2.5.3 BorrowedBalance <BrrwdBal>

Presence: [0..1]

Definition: Balance of financial instruments that have been borrowed from another party.

BorrowedBalance <BrrwdBal> contains one of the following elements (see "BalanceFormat14Choice" on page 716 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Balance <Bal>	[1..1]	±		716
Or	EligibleBalance <ElgblBal>	[1..1]	±		716
Or}	NotEligibleBalance <NotElgblBal>	[1..1]	±		716

7.4.11.2.5.4 CollateralInBalance <CollnBal>

Presence: [0..1]

Definition: Balance of securities that belong to a third party and that are held for the purpose of collateralisation.

CollateralInBalance <CollInBal> contains one of the following elements (see "BalanceFormat14Choice" on page 716 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Balance <Bal>	[1..1]	±		716
Or	EligibleBalance <ElgblBal>	[1..1]	±		716
Or}	NotEligibleBalance <NotElgblBal>	[1..1]	±		716

7.4.11.2.5.5 CollateralOutBalance <CollOutBal>

Presence: [0..1]

Definition: Balance of securities that belong to the safekeeping account indicated within this message, and are deposited with a third party for the purpose of collateralisation.

CollateralOutBalance <CollOutBal> contains one of the following elements (see "BalanceFormat14Choice" on page 716 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Balance <Bal>	[1..1]	±		716
Or	EligibleBalance <ElgblBal>	[1..1]	±		716
Or}	NotEligibleBalance <NotElgblBal>	[1..1]	±		716

7.4.11.2.5.6 OnLoanBalance <OnLnBal>

Presence: [0..1]

Definition: Balance of financial instruments that have been loaned to a third party.

OnLoanBalance <OnLnBal> contains one of the following elements (see "BalanceFormat14Choice" on page 716 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Balance <Bal>	[1..1]	±		716
Or	EligibleBalance <ElgblBal>	[1..1]	±		716
Or}	NotEligibleBalance <NotElgblBal>	[1..1]	±		716

7.4.11.2.5.7 PendingDeliveryBalance <PdgDlvryBal>

Presence: [0..*]

Definition: Balance of financial instruments that are pending delivery.

PendingDeliveryBalance <PdgDlvryBal> contains one of the following elements (see "BalanceFormat16Choice" on page 714 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Balance <Bal>	[1..1]	±		714
Or	EligibleBalance <ElgblBal>	[1..1]	±		714
Or	NotEligibleBalance <NotElgblBal>	[1..1]	±		715
Or	FullPeriodUnits <FullPrdUnits>	[1..1]	±		715
Or}	PartWayPeriodUnits <PartWayPrdUnits>	[1..1]	±		715

7.4.11.2.5.8 PendingReceiptBalance <PdgRctBal>

Presence: [0..*]

Definition: Balance of financial instruments that are pending receipt.

PendingReceiptBalance <PdgRctBal> contains one of the following elements (see "BalanceFormat16Choice" on page 714 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Balance <Bal>	[1..1]	±		714
Or	EligibleBalance <ElgblBal>	[1..1]	±		714
Or	NotEligibleBalance <NotElgblBal>	[1..1]	±		715
Or	FullPeriodUnits <FullPrdUnits>	[1..1]	±		715
Or}	PartWayPeriodUnits <PartWayPrdUnits>	[1..1]	±		715

7.4.11.2.5.9 OutForRegistrationBalance <OutForRegnBal>

Presence: [0..1]

Definition: Balance of financial instruments currently being processed by the institution responsible for registering the new beneficial owner (or nominee).

OutForRegistrationBalance <OutForRegnBal> contains one of the following elements (see "BalanceFormat14Choice" on page 716 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Balance <Bal>	[1..1]	±		716
Or	EligibleBalance <ElgblBal>	[1..1]	±		716
Or}	NotEligibleBalance <NotElgblBal>	[1..1]	±		716

7.4.11.2.5.10 SettlementPositionBalance <SttlmPosBal>

Presence: [0..*]

Definition: Balance of securities representing only settled transactions; pending transactions not included.

SettlementPositionBalance <SttlmPosBal> contains one of the following elements (see "BalanceFormat16Choice" on page 714 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Balance <Bal>	[1..1]	±		714
Or	EligibleBalance <ElgblBal>	[1..1]	±		714
Or	NotEligibleBalance <NotElgblBal>	[1..1]	±		715
Or	FullPeriodUnits <FullPrdUnits>	[1..1]	±		715
Or}	PartWayPeriodUnits <PartWayPrdUnits>	[1..1]	±		715

7.4.11.2.5.11 StreetPositionBalance <StrtPosBal>

Presence: [0..1]

Definition: Balance of financial instruments that remain registered in the name of the prior beneficial owner.

StreetPositionBalance <StrtPosBal> contains one of the following elements (see "BalanceFormat14Choice" on page 716 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Balance <Bal>	[1..1]	±		716
Or	EligibleBalance <ElgblBal>	[1..1]	±		716
Or}	NotEligibleBalance <NotElgblBal>	[1..1]	±		716

7.4.11.2.5.12 TradeDatePositionBalance <TradDtPosBal>

Presence: [0..1]

Definition: Balance of securities based on trade date, for example, includes all pending transactions in addition to the balance of settled transactions.

TradeDatePositionBalance <TradDtPosBal> contains one of the following elements (see "BalanceFormat14Choice" on page 716 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Balance <Bal>	[1..1]	±		716
Or	EligibleBalance <ElgblBal>	[1..1]	±		716
Or}	NotEligibleBalance <NotElgblBal>	[1..1]	±		716

7.4.11.2.5.13 InTransshipmentBalance <InTrnsShipmntBal>

Presence: [0..1]

Definition: Balance of physical securities that are in the process of being transferred from one depository/agent to another.

InTransshipmentBalance <InTrnsShipmntBal> contains one of the following elements (see "BalanceFormat14Choice" on page 716 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Balance <Bal>	[1..1]	±		716
Or	EligibleBalance <ElgblBal>	[1..1]	±		716
Or}	NotEligibleBalance <NotElgblBal>	[1..1]	±		716

7.4.11.2.5.14 RegisteredBalance <RegdBal>

Presence: [0..1]

Definition: Balance of financial instruments that are registered (in the name of a nominee name or of the beneficial owner).

RegisteredBalance <RegdBal> contains one of the following elements (see "BalanceFormat14Choice" on page 716 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Balance <Bal>	[1..1]	±		716
Or	EligibleBalance <ElgblBal>	[1..1]	±		716
Or}	NotEligibleBalance <NotElgblBal>	[1..1]	±		716

7.4.11.2.5.15 ObligatedBalance <OblgtdBal>

Presence: [0..1]

Definition: Position that account holders should return to the account servicer to participate in the event or to fulfil their obligation for the event to be complete, for example, return of securities for late announced drawing.

ObligatedBalance <OblgtdBal> contains one of the following elements (see "BalanceFormat14Choice" on page 716 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Balance <Bal>	[1..1]	±		716
Or	EligibleBalance <ElgblBal>	[1..1]	±		716
Or}	NotEligibleBalance <NotElgblBal>	[1..1]	±		716

7.4.11.2.5.16 UninstructedBalance <UinstdBal>

Presence: [0..1]

Definition: Balance of uninstructed position.

UninstructedBalance <UinstdBal> contains one of the following elements (see "BalanceFormat14Choice" on page 716 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Balance <Bal>	[1..1]	±		716
Or	EligibleBalance <ElgblBal>	[1..1]	±		716
Or}	NotEligibleBalance <NotElgblBal>	[1..1]	±		716

7.4.11.2.5.17 InstructedBalance <InstdBal>

Presence: [0..1]

Definition: Balance of instructed position.

InstructedBalance <InstdBal> contains one of the following elements (see "BalanceFormat14Choice" on page 716 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Balance <Bal>	[1..1]	±		716
Or	EligibleBalance <ElgblBal>	[1..1]	±		716
Or}	NotEligibleBalance <NotElgblBal>	[1..1]	±		716

7.4.11.2.5.18 AffectedBalance <AfctdBal>

Presence: [0..1]

Definition: Balance that has been affected by the process run through the event.

AffectedBalance <AfctdBal> contains one of the following elements (see "BalanceFormat14Choice" on page 716 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Balance <Bal>	[1..1]	±		716
Or	EligibleBalance <ElgblBal>	[1..1]	±		716
Or}	NotEligibleBalance <NotElgblBal>	[1..1]	±		716

7.4.11.2.5.19 UnaffectedBalance <UafctdBal>

Presence: [0..1]

Definition: Balance that has not been affected by the process run through the event.

UnaffectedBalance <UafctdBal> contains one of the following elements (see "BalanceFormat14Choice" on page 716 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Balance <Bal>	[1..1]	±		716
Or	EligibleBalance <ElgblBal>	[1..1]	±		716
Or}	NotEligibleBalance <NotElgblBal>	[1..1]	±		716

7.4.12 CorporateActionDetails <CorpActnDtls>

Presence: [0..1]

Definition: Information about the corporate action event.

CorporateActionDetails <CorpActnDtls> contains the following **CorporateAction65** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	DateDetails <DtDtls>	[0..1]			335
	RecordDate <RcrdDt>	[0..1]	±		336
	ExDividendDate <ExDvddDt>	[0..1]	±		336
	LotteryDate <LtryDt>	[0..1]	±		336
	SecuritiesQuantity <SctiesQty>	[0..1]			337
	BaseDenomination <BaseDnmtn>	[0..1]	±	C20	337
	IncrementalDenomination <IncrmtlDnmtn>	[0..1]	±	C20	337
	EventStage <EvtStag>	[0..1]	±		338
	AdditionalBusinessProcessIndicator <AddtlBizPrclnd>	[0..*]	±		338
	IntermediateSecuritiesDistributionType <IntrmdtSctiesDstrbtnTp>	[0..1]	±		338
	LotteryType <LtryTp>	[0..1]	±		339

7.4.12.1 DateDetails <DtDtls>

Presence: [0..1]

Definition: Provides information about the dates related to a corporate action event.

DateDetails <DtDtls> contains the following **CorporateActionDate70** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	RecordDate <RcrdDt>	[0..1]	±		336
	ExDividendDate <ExDvddDt>	[0..1]	±		336
	LotteryDate <LtryDt>	[0..1]	±		336

7.4.12.1.1 RecordDate <RcrdDt>*Presence:* [0..1]*Definition:* Date/time at which positions are struck at the end of the day to note which parties will receive the relevant amount of entitlement, due to be distributed on payment date.**RecordDate <RcrdDt>** contains one of the following elements (see "[DateFormat49Choice](#)" on page 729 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		730
Or}	DateCode <DtCd>	[1..1]			730
{Or	Code <Cd>	[1..1]	CodeSet		730
Or}	Proprietary <Prtry>	[1..1]	±		730

7.4.12.1.2 ExDividendDate <ExDvddDt>*Presence:* [0..1]*Definition:* Date/time as from which trading (including exchange and OTC trading) occurs on the underlying security without the benefit.**ExDividendDate <ExDvddDt>** contains one of the following elements (see "[DateFormat49Choice](#)" on page 729 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		730
Or}	DateCode <DtCd>	[1..1]			730
{Or	Code <Cd>	[1..1]	CodeSet		730
Or}	Proprietary <Prtry>	[1..1]	±		730

7.4.12.1.3 LotteryDate <LtryDt>*Presence:* [0..1]*Definition:* Date/time on which the lottery is run and applied to the holder's positions. This is also applicable to partial calls.**LotteryDate <LtryDt>** contains one of the following elements (see "[DateFormat49Choice](#)" on page 729 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		730
Or}	DateCode <DtCd>	[1..1]			730
{Or	Code <Cd>	[1..1]	CodeSet		730
Or}	Proprietary <Prtry>	[1..1]	±		730

7.4.12.2 SecuritiesQuantity <SctiesQty>

Presence: [0..1]

Definition: Provides information about securities quantity linked to a corporate action.

SecuritiesQuantity <SctiesQty> contains the following **CorporateActionQuantity13** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	BaseDenomination <BaseDnmtn>	[0..1]	±	C20	337
	IncrementalDenomination <IncrmtlDnmtn>	[0..1]	±	C20	337

7.4.12.2.1 BaseDenomination <BaseDnmtn>

Presence: [0..1]

Definition: Minimum integral amount of securities that each account owner must have remaining after the called amounts are applied.

Impacted by: C20 "DigitalTokenUnitUsageRule"

BaseDenomination <BaseDnmtn> contains one of the following elements (see "FinancialInstrumentQuantity43Choice" on page 984 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		985
Or	FaceAmount <FaceAmt>	[1..1]	Amount		985
Or	AmortisedValue <AmtsdVal>	[1..1]	Amount		985
Or	Code <Cd>	[1..1]	CodeSet		985
Or}	DigitalTokenUnit <DgtlTknUnit>	[1..1]	Quantity		985

Constraints

- **DigitalTokenUnitUsageRule**

DigitalTokenUnit format may only be used for instruments identified with a digital token identifier and when the related account identification is provided as a blockchain address or wallet identification (using BlockChainAddressOrWallet element as the account identification).

7.4.12.2.2 IncrementalDenomination <IncrmtlDnmtn>

Presence: [0..1]

Definition: Amount used when the called amount is not met by running the lottery with the base denomination.

Impacted by: C20 "DigitalTokenUnitUsageRule"

IncrementalDenomination <IncrmtIDnmtn> contains one of the following elements (see "FinancialInstrumentQuantity43Choice" on page 984 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		985
Or	FaceAmount <FaceAmt>	[1..1]	Amount		985
Or	AmortisedValue <AmtsdVal>	[1..1]	Amount		985
Or	Code <Cd>	[1..1]	CodeSet		985
Or}	DigitalTokenUnit <DgtlTknUnit>	[1..1]	Quantity		985

Constraints

- **DigitalTokenUnitUsageRule**

DigitalTokenUnit format may only be used for instruments identified with a digital token identifier and when the related account identification is provided as a blockchain address or wallet identification (using BlockChainAddressOrWallet element as the account identification).

7.4.12.3 EventStage <EvtStag>

Presence: [0..1]

Definition: Stage in the corporate action event life cycle.

EventStage <EvtStag> contains one of the following elements (see "CorporateActionEventStageFormat15Choice" on page 863 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		863
Or}	Proprietary <Prtry>	[1..1]	±		863

7.4.12.4 AdditionalBusinessProcessIndicator <AddtlBizPrclnd>

Presence: [0..*]

Definition: Indicates whether the message is related to a claim on the associated corporate action event.

AdditionalBusinessProcessIndicator <AddtlBizPrclnd> contains one of the following elements (see "AdditionalBusinessProcessFormat21Choice" on page 794 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		794
Or}	Proprietary <Prtry>	[1..1]	±		795

7.4.12.5 IntermediateSecuritiesDistributionType <IntrmdtSciesDstrbtnTp>

Presence: [0..1]

Definition: Specifies the type of intermediates securities distribution.

IntermediateSecuritiesDistributionType <IntrmdtSctiesDstrbtnTp> contains one of the following elements (see ["IntermediateSecuritiesDistributionTypeFormat18Choice"](#) on page 895 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		895
Or}	Proprietary <Prtry>	[1..1]	±		896

7.4.12.6 LotteryType <LtryTp>

Presence: [0..1]

Definition: Specifies the type of lottery announced.

LotteryType <LtryTp> contains one of the following elements (see ["LotteryTypeFormat5Choice"](#) on page 891 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		892
Or}	Proprietary <Prtry>	[1..1]	±		892

7.4.13 CorporateActionMovementDetails <CorpActnMvmntDtls>

Presence: [0..*]

Definition: Information about the corporate action option.

Impacted by: [C6 "AdditionalTextRule"](#), [C10 "AppliedOptionRule"](#), [C22 "DissenterRights1Rule"](#), [C23 "DissenterRights2Rule"](#), [C39 "InstructCashAmountRule"](#), [C59 "RevocabilityPeriodRule"](#), [C52 "OptionNumberGuideline"](#)

CorporateActionMovementDetails <CorpActnMvmntDtls> contains the following
CorporateActionOption206 elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	OptionNumber <OptnNb>	[1..1]	Text		348
	OptionType <OptnTp>	[1..1]	±		348
	FractionDisposition <FrctnDspstn>	[0..1]	±		348
	OfferType <OfferTp>	[0..*]	±		348
	OptionFeatures <OptnFeatrs>	[0..*]	±		349
	OptionAvailabilityStatus <OptnAvlbtySts>	[0..1]	±		349
	CertificationBreakdownType <CertfctnBrkdwnTp>	[0..*]	±		349
	NonDomicileCountry <NonDmclCtry>	[0..*]	CodeSet	C12	349
	ValidDomicileCountry <VldDmclCtry>	[0..*]	CodeSet	C12	350
	CurrencyOption <CcyOptn>	[0..1]	CodeSet	C1	350
	DefaultProcessingOrStandingInstruction <DfltPrcgOrStgInstr>	[1..1]	±		350
	ChargesAppliedIndicator <ChrgsAppldInd>	[0..1]	Indicator		351
	CertificationBreakdownIndicator <CertfctnBrkdwnInd>	[0..1]	Indicator		351
	WithdrawalAllowedIndicator <WdrwlAllwdInd>	[0..1]	Indicator		351
	ChangeAllowedIndicator <ChngAllwdInd>	[0..1]	Indicator		351
	AppliedOptionIndicator <ApldOptnInd>	[0..1]	Indicator		352
	SecurityIdentification <SctyId>	[0..1]	±	C17, C18, C41, C42, C54	352
	DateDetails <DtDtls>	[0..1]			353
	EarlyResponseDeadline <EarlyRspnDdln>	[0..1]	±		353
	CoverExpirationDeadline <CoverXprtnDdln>	[0..1]	±		354
	ProtectDeadline <PrctDdln>	[0..1]	±		354
	MarketDeadline <MktDdln>	[0..1]	±		354
	ResponseDeadline <RspnDdln>	[0..1]	±		355
	ExpiryDate <XpryDt>	[0..1]	±		355
	SubscriptionCostDebitDate <SbcptCostDbtDt>	[0..1]	±		356
	DepositoryCoverExpirationDate <DpstryCoverXprtnDt>	[0..1]	±		356
	StockLendingDeadline <StockLndgDdln>	[0..1]	±		356

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	BorrowerStockLendingDeadline <BrrwrStockLndgDdlIn>	[0..*]			357
	StockLendingDeadline <StockLndgDdlIn>	[1..1]	±		357
	Borrower <Brrwr>	[1..1]	±		357
	PeriodDetails <PrdDtls>	[0..1]			357
	PriceCalculationPeriod <PricClctnPrd>	[0..1]	±		358
	ParallelTradingPeriod <ParllTradgPrd>	[0..1]	±		358
	ActionPeriod <ActnPrd>	[0..1]	±		358
	RevocabilityPeriod <RvcbltyPrd>	[0..1]	±		359
	PrivilegeSuspensionPeriod <PrvlgSspnsnPrd>	[0..1]	±		359
	AccountServicerRevocabilityPeriod <AcctSvcrRvcbltyPrd>	[0..1]	±		359
	DepositorySuspensionPeriodForWithdrawal <DpstrySspnsnPrdForWdrwl>	[0..1]	±		359
	RateAndAmountDetails <RateAndAmtDtls>	[0..1]		C29, C31, C47, C49, C66, C68, C70, C74, C76	360
	AdditionalTax <AddtlTax>	[0..1]	±		361
	GrossDividendRate <GrssDvddRate>	[0..*]	±		362
	NetDividendRate <NetDvddRate>	[0..*]	±		362
	InterestRateUsedForPayment <IntrstRateUsdForPmt>	[0..*]	±		363
	MaximumAllowedOversubscriptionRate <MaxAllwdOvrscptRate>	[0..1]	±		364
	ProrationRate <PrratnRate>	[0..1]	±		364
	WithholdingTaxRate <WhldgTaxRate>	[0..*]	±		365
	SecondLevelTax <ScndLvlTax>	[0..*]	±		365
	TaxableIncomePerDividendShare <TaxblIncmPerDvddShr>	[0..*]	±		365
	TaxOnIncome <TaxOnIncm>	[0..1]	±		366
	PriceDetails <PricDtls>	[0..1]			366
	CashInLieuOfSharePrice <CshInLieuOfShrPric>	[0..1]	±		367
	OverSubscriptionDepositPrice <OverSbcptDpstPric>	[0..1]	±		367
	MaximumCashToInstruct <MaxCshToInst>	[0..1]	±		367

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	MinimumCashToInstruct <MinCshToInst>	[0..1]	±		368
	MinimumMultipleCashToInstruct <MinMltplCshToInst>	[0..1]	±		368
	SecuritiesQuantity <SctiesQty>	[0..1]			368
	MaximumQuantityToInstruct <MaxQtyToInst>	[0..1]	±	C21	369
	MinimumQuantityToInstruct <MinQtyToInst>	[0..1]	±	C21	369
	MinimumMultipleQuantityToInstruct <MinMltplQtyToInst>	[0..1]	±	C20	370
	NewBoardLotQuantity <NewBrdLotQty>	[0..1]	±	C20	370
	NewDenominationQuantity <NewDnmtnQty>	[0..1]	±	C20	371
	FrontEndOddLotQuantity <FrntEndOddLotQty>	[0..1]	±	C20	371
	BackEndOddLotQuantity <BckEndOddLotQty>	[0..1]	±	C20	372
	SecuritiesMovementDetails <SctiesMvmntDtls>	[0..*]		C25, C35, C37, C43	372
	SecurityDetails <SctyDtls>	[1..1]	±	C46, C56	375
	CreditDebitIndicator <CdtDbtInd>	[1..1]	CodeSet		377
	TemporaryFinancialInstrumentIndicator <TempFinInstrmInd>	[0..1]	±		377
	NonEligibleProceedsIndicator <NonElgblPrcdsInd>	[0..1]	±		377
	IssuerOfferorTaxabilityIndicator <IssrOfferrTaxbiltyInd>	[0..1]	±		377
	NewSecuritiesIssuanceIndicator <NewSctiesIssncInd>	[0..1]	CodeSet		378
	IncomeType <IncmTp>	[0..1]	±		378
	OtherIncomeType <OthrIncmTp>	[0..*]	±		379
	ExemptionType <XmptnTp>	[0..*]	±		379
	EntitledQuantity <EntitldQty>	[0..1]	±		379
	SafekeepingPlace <SfkpgPlc>	[0..1]	±		380
	CountryOfIncomeSource <CtryOfIncmSrc>	[0..1]	CodeSet	C12	380
	FractionDisposition <FrctnDspstn>	[0..1]	±		380
	CurrencyOption <CcyOptn>	[0..1]	CodeSet	C1	380
	TradingPeriod <TradgPrd>	[0..1]	±		381
	DateDetails <DtDtls>	[1..1]			381
	PaymentDate <PmtDt>	[1..1]	±		381

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AvailableDate <AvlblDt>	[0..1]	±		382
	DividendRankingDate <DvddRnkgDt>	[0..1]	±		382
	EarliestPaymentDate <EarlstPmtDt>	[0..1]	±		382
	PariPassuDate <PrpssDt>	[0..1]	±		383
	LastTradingDate <LastTradgDt>	[0..1]	±		383
	RateDetails <RateDtls>	[0..1]			383
	AdditionalQuantityForSubscribedResultantSecurities <AddtlQtyForSbcbdrslntScies>	[0..1]	±		384
	AdditionalQuantityForExistingSecurities <AddtlQtyForExstgScies>	[0..1]	±		384
	NewToOld <NewToOd>	[0..1]	±		385
	TransformationRate <TrfrmatnRate>	[0..1]	Rate		385
	ChargesFees <ChrgsFees>	[0..1]	±		385
	FiscalStamp <FscIStmp>	[0..1]	±		386
	ApplicableRate <AplblRate>	[0..1]	±		386
	TaxCreditRate <TaxCdtRate>	[0..1]	±		386
	FinancialTransactionTaxRate <FinTxTaxRate>	[0..1]	±		387
	PriceDetails <PricDtls>	[0..1]		C27	387
	IndicativeOrMarketPrice <IndctvOrMktPric>	[0..1]	±		387
	CashInLieuOfSharePrice <CshInLieuOfShrPric>	[0..1]	±		388
	CashValueForTax <CshValForTax>	[0..1]	±		388
	GenericCashPricePaidPerProduct <GncCshPricPdPerPdct>	[0..1]	±		388
	GenericCashPriceReceivedPerProduct <GncCshPricRcvdPerPdct>	[0..1]	±		389
	CashMovementDetails <CshMvmntDtls>	[0..*]		C26, C36, C38, C44	389
	CreditDebitIndicator <CdtDbtInd>	[1..1]	CodeSet		393
	ContractualPaymentIndicator <CtrctlPmtInd>	[0..1]	CodeSet		393
	NonEligibleProceedsIndicator <NonElgblPrcdsInd>	[0..1]	±		394
	IssuerOfferorTaxabilityIndicator <IssrOfferrTaxbltyInd>	[0..1]	±		394
	IncomeType <IncmTp>	[0..1]	±		394
	OtherIncomeType <OthrIncmTp>	[0..*]	±		394

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ExemptionType <XmptnTp>	[0..*]	±		395
	CountryOfIncomeSource <CtryOfIncmSrc>	[0..1]	CodeSet	C12	395
	CashAccountIdentification <CshAcctId>	[0..1]	±		395
	AmountDetails <AmtDtls>	[0..1]			396
	GrossCashAmount <GrssCshAmt>	[0..1]	Amount	C1, C13	398
	NetCashAmount <NetCshAmt>	[0..1]	Amount	C1, C13	398
	SolicitationFees <SlctnFees>	[0..1]	Amount	C1, C13	399
	CashInLieuOfShare <CshInLieuOfShr>	[0..1]	Amount	C1, C13	399
	CapitalGain <CptlGn>	[0..1]	Amount	C1, C13	400
	InterestAmount <IntrstAmt>	[0..1]	Amount	C1, C13	400
	MarketClaimAmount <MktClmAmt>	[0..1]	Amount	C1, C13	400
	IndemnityAmount <IndmntyAmt>	[0..1]	Amount	C1, C13	401
	ManufacturedDividendPaymentAmount <ManfctrdDvddPmtAmt>	[0..1]	Amount	C1, C13	401
	ReinvestmentAmount <RinvstmtAmt>	[0..1]	Amount	C1, C13	402
	FullyFrankedAmount <FullyFrnkAmt>	[0..1]	Amount	C1, C13	402
	UnfrankedAmount <UfrnkAmt>	[0..1]	Amount	C1, C13	403
	SundryOrOtherAmount <SndryOrOthrAmt>	[0..1]	Amount	C1, C13	403
	TaxFreeAmount <TaxFreeAmt>	[0..1]	Amount	C1, C13	403
	TaxDeferredAmount <TaxDfrdAmt>	[0..1]	Amount	C1, C13	404
	ValueAddedTaxAmount <ValAddedTaxAmt>	[0..1]	Amount	C1, C13	404
	StampDutyAmount <StmpDtyAmt>	[0..1]	Amount	C1, C13	405
	TaxReclaimAmount <TaxRclmAmt>	[0..1]	Amount	C1, C13	405
	TaxCreditAmount <TaxCdtAmt>	[0..1]	Amount	C1, C13	405
	AdditionalTaxAmount <AddtlTaxAmt>	[0..1]	Amount	C1, C13	406
	WithholdingTaxAmount <WhldgTaxAmt>	[0..1]	Amount	C1, C13	406
	SecondLevelTaxAmount <ScndLvlTaxAmt>	[0..1]	Amount	C1, C14	407
	FiscalStampAmount <FscIStmpAmt>	[0..1]	Amount	C1, C13	407
	ExecutingBrokerAmount <ExctgBrkrAmt>	[0..1]	Amount	C1, C13	407
	PayingAgentCommissionAmount <PngAgtComssnAmt>	[0..1]	Amount	C1, C13	408
	LocalBrokerCommissionAmount <LclBrkrComssnAmt>	[0..1]	Amount	C1, C13	408

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	RegulatoryFeesAmount <RgltryFeesAmt>	[0..1]	Amount	C1, C13	409
	ShippingFeesAmount <ShppgFeesAmt>	[0..1]	Amount	C1, C13	409
	ChargesAmount <ChrgsAmt>	[0..1]	Amount	C1, C13	409
	EntitledAmount <EntitldAmt>	[0..1]	Amount	C1, C13	410
	OriginalAmount <OrgnlAmt>	[0..1]	Amount	C1, C13	410
	AccruedInterestAmount <AcrdIntrstAmt>	[0..1]	Amount	C1, C13	411
	IncomePortion <IncmPrtn>	[0..1]	Amount	C1, C13	411
	EqualisationAmount <EqulstnAmt>	[0..1]	Amount	C1, C13	411
	FATCATaxAmount <FATCATaxAmt>	[0..1]	Amount	C1, C13	412
	NRATaxAmount <NRATaxAmt>	[0..1]	Amount	C1, C13	412
	BackUpWithholdingTaxAmount <BckUpWhldgTaxAmt>	[0..1]	Amount	C1, C13	413
	TaxOnIncomeAmount <TaxOnIncmAmt>	[0..1]	Amount	C1, C13	413
	TransactionTax <TxTax>	[0..1]	Amount	C1, C13	413
	DeemedAmount <DmdAmt>	[0..1]	Amount	C1, C13	414
	ForeignIncomeAmount <FrgnIncmAmt>	[0..1]	Amount	C1, C13	414
	DeemedDividendAmount <DmdDvddAmt>	[0..1]	Amount	C1, C13	415
	DeemedFundAmount <DmdFndAmt>	[0..1]	Amount	C1, C13	415
	DeemedInterestAmount <DmdIntrstAmt>	[0..1]	Amount	C1, C13	415
	DeemedRoyaltiesAmount <DmdRyltsAmt>	[0..1]	Amount	C1, C13	416
	DateDetails <DtDtls>	[1..1]			416
	PaymentDate <PmtDt>	[1..1]	±		417
	ValueDate <ValDt>	[0..1]	±		417
	ForeignExchangeRateFixingDate <FXRateFxdt>	[0..1]	±		417
	EarliestPaymentDate <EarlstPmtDt>	[0..1]	±		417
	ForeignExchangeDetails <FXDtls>	[0..1]	±		418
	RateAndAmountDetails <RateAndAmtDtls>	[0..1]		C15, C16, C30, C32, C48, C50, C67, C69, C71, C75, C77	418

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AdditionalTax <AddtlTax>	[0..1]	±		421
	GrossDividendRate <GrssDvddRate>	[0..*]	±		421
	InterestRateUsedForPayment <IntrstRateUsdForPmt>	[0..*]	±		421
	WithholdingTaxRate <WhldgTaxRate>	[0..*]	±		422
	SecondLevelTax <ScndLvlTax>	[0..*]	±		422
	ChargesFees <ChrgsFees>	[0..1]	±		423
	EarlySolicitationFeeRate <EarlySlctnFeeRate>	[0..1]	±		423
	FiscalStamp <FscIStmp>	[0..1]	±		424
	ThirdPartyIncentiveRate <ThrdPtyIncntivRate>	[0..1]	±		424
	NetDividendRate <NetDvddRate>	[0..*]	±		424
	ApplicableRate <AplblRate>	[0..1]	±		425
	SolicitationFeeRate <SlctnFeeRate>	[0..1]	±		425
	TaxCreditRate <TaxCdtRate>	[0..1]	±		426
	TaxOnIncome <TaxOnIncm>	[0..1]	±		426
	TaxOnProfits <TaxOnPrfts>	[0..1]	±		426
	TaxReclaimRate <TaxRclmRate>	[0..1]	±		427
	EqualisationRate <EqulstnRate>	[0..1]	±		427
	DeemedRate <DmdRate>	[0..*]	±		427
	PriceDetails <PricDtls>	[0..1]		C28	428
	GenericCashPricePaidPerProduct <GncCshPricPdPerPdct>	[0..1]	±		429
	GenericCashPriceReceivedPerProduct <GncCshPricRcvdPerPdct>	[0..1]	±		429
	AdditionalInformation <AddtlInf>	[0..1]		C3	430
	AdditionalText <AddtlTxt>	[0..1]	±		431
	NarrativeVersion <NrrtvVrsn>	[0..1]	±		431
	InformationConditions <InfConds>	[0..1]	±		431
	InformationToComplyWith <InfToCmplyWth>	[0..1]	±		432
	SecurityRestriction <SctyRstrctn>	[0..1]	±		432
	TaxationConditions <TaxtnConds>	[0..1]	±		432
	Disclaimer <Dsclmr>	[0..1]	±		433
	CertificationBreakdown <CertfctnBrkdw>	[0..1]	±		433

Constraints

- **AdditionalTextRule**

If OptionType/Code is OTHR (Other), then AdditionalInformation/AdditionalText/AdditionalInformation must be present.

(MT564 NVR C5).

```
On Condition
  /OptionType is present
  And    /OptionType/Code is equal to value 'Other'
Following Must be True
  /AdditionalInformation Must be present
  And    /AdditionalInformation/AdditionalText Must be present
  And    /AdditionalInformation/AdditionalText/AdditionalInformation Must be
present
```

- **AppliedOptionRule**

If DefaultProcessingOrStandingInstruction/DefaultOptionIndicator is present and its value is '1' or 'true' (Yes), then AppliedOptionIndicator value must not be '1' or 'true' (Yes).

- **DissenterRights1Rule**

If OfferType/Code value is equal to DISS (DissenterRights), then OfferType/Code value equal to NDIS (DissenterRightsNotApplicable) is not allowed in any occurrence of OfferType.

(MT 564 NVR C27)

```
On Condition
  /OfferType[*]/Code is equal to value 'DissenterRights'
Following Must be True
  /OfferType[*]/Code Must be different from value 'DissenterRightsNotApplicable'
```

- **DissenterRights2Rule**

If OfferType/Code value is equal to NDISS (DissenterRightsNotApplicable), then OfferType/Code value equal to DISS (DissenterRights) is not allowed in any occurrence of OfferType.

(MT 564 NVR C27)

```
On Condition
  /OfferType[*]/Code is equal to value 'DissenterRightsNotApplicable'
Following Must be True
  /OfferType[*]/Code Must be different from value 'DissenterRights'
```

- **InstructCashAmountRule**

If OptionFeatures/Code QCAS (InstructCashAmount) is not present, then any message elements in the list (PriceDetails/MaximumCashToInstruct, PriceDetails/MinimumCashToInstruct, PriceDetails/MinimumMultipleCashToInstruct) must not be present.

(MT564 NVR C20).

```
On Condition
  /OptionFeatures[*]/Code is different from value 'InstructCashAmount'
Following Must be True
  /PriceDetails/MaximumCashToInstruct Must be absent
  And    /PriceDetails/MinimumCashToInstruct Must be absent
  And    /PriceDetails/MinimumMultipleCashToInstruct Must be absent
```

- **OptionNumberGuideline**

For differentiation between options, it is recommended to use the OptionNumber rather than the OptionType, for example, in the case where two or more offers can be described by the same corporate action option code.

- **RevocabilityPeriodRule**

If WithdrawalAllowedIndicator or ChangeAllowedIndicator is present and is 'true' or '1' (Yes), then PeriodDetails/RevocabilityPeriod must be present to indicate the period during which the change or withdrawal of instruction is allowed.

7.4.13.1 OptionNumber <OptnNb>

Presence: [1..1]

Definition: Number identifying the available corporate action options.

Datatype: "Exact3NumericText" on page 1173

7.4.13.2 OptionType <OptnTp>

Presence: [1..1]

Definition: Specifies the corporate action options available to the account owner.

OptionType <OptnTp> contains one of the following elements (see "CorporateActionOption46Choice" on page 815 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		815
Or}	Proprietary <Prtry>	[1..1]	±		817

7.4.13.3 FractionDisposition <FrctnDspstn>

Presence: [0..1]

Definition: Specifies how fractions resulting from derived securities will be processed or how prorated decisions will be rounding, if provided with a pro ration rate.

FractionDisposition <FrctnDspstn> contains one of the following elements (see "FractionDispositionType31Choice" on page 888 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		888
Or}	Proprietary <Prtry>	[1..1]	±		889

7.4.13.4 OfferType <OfferTp>

Presence: [0..*]

Definition: Specifies the conditions that apply to the offer.

OfferType <OfferTp> contains one of the following elements (see "OfferTypeFormat13Choice" on page 797 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		797
Or}	Proprietary <Prtry>	[1..1]	±		798

7.4.13.5 OptionFeatures <OptnFeatrs>

Presence: [0..*]

Definition: Specifies the features that may apply to a corporate action option.

OptionFeatures <OptnFeatrs> contains one of the following elements (see "OptionFeaturesFormat26Choice" on page 844 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		844
Or}	Proprietary <Prtry>	[1..1]	±		845

7.4.13.6 OptionAvailabilityStatus <OptnAvlbtys>

Presence: [0..1]

Definition: Specifies the status of the option.

OptionAvailabilityStatus <OptnAvlbtys> contains one of the following elements (see "OptionAvailabilityStatus4Choice" on page 887 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		888
Or}	Proprietary <Prtry>	[1..1]	±		888

7.4.13.7 CertificationBreakdownType <CertfctnBrkdwnTp>

Presence: [0..*]

Definition: Type of certification/breakdown.

CertificationBreakdownType <CertfctnBrkdwnTp> contains one of the following elements (see "BeneficiaryCertificationType12Choice" on page 886 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		886
Or}	Proprietary <Prtry>	[1..1]	±		887

7.4.13.8 NonDomicileCountry <NonDmclCtry>

Presence: [0..*]

Definition: Holder of the security has to certify, in line with the terms of the corporate action, that it is not domiciled in the country indicated.

Impacted by: C12 "Country"

Datatype: "CountryCode" on page 1135

Constraints

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

7.4.13.9 ValidDomicileCountry <VldDmclCtry>

Presence: [0..*]

Definition: Country of domicile in which the Corporate Action option is valid. The holder of the security has to certify that it is domiciled in the country indicated.

Impacted by: C12 "Country"

Datatype: "CountryCode" on page 1135

Constraints

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

7.4.13.10 CurrencyOption <CcyOptn>

Presence: [0..1]

Definition: Currency in which the cash disbursed from an interest or dividend payment is offered.

Impacted by: C1 "ActiveCurrency"

Datatype: "ActiveCurrencyCode" on page 1088

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

7.4.13.11 DefaultProcessingOrStandingInstruction <DfltPrcgOrStglInstr>

Presence: [1..1]

Definition: Indicates whether the corporate action movement is a default processing or a standing instruction.

DefaultProcessingOrStandingInstruction <DfltPrcgOrStgInstr> contains one of the following elements (see "DefaultProcessingOrStandingInstruction1Choice" on page 792 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	DefaultOptionIndicator <DfltOptnInd>	[1..1]	Indicator		792
Or}	StandingInstructionIndicator <StgInstrInd>	[1..1]	Indicator		792

7.4.13.12 ChargesAppliedIndicator <ChrgsAppldInd>

Presence: [0..1]

Definition: Indicates whether redemption charges apply.

Datatype: One of the following values must be used (see "YesNoIndicator" on page 1171):

- *Meaning When True:* Yes
- *Meaning When False:* No

7.4.13.13 CertificationBreakdownIndicator <CertfctnBrkdwnInd>

Presence: [0..1]

Definition: Indicates whether certification/breakdown is required from the account owner.

Yes: certification required

No: no certification required.

Datatype: One of the following values must be used (see "YesNoIndicator" on page 1171):

- *Meaning When True:* Yes
- *Meaning When False:* No

7.4.13.14 WithdrawalAllowedIndicator <WdrwlAllwdInd>

Presence: [0..1]

Definition: Indicates whether withdrawal of instruction is allowed.

Datatype: One of the following values must be used (see "YesNoIndicator" on page 1171):

- *Meaning When True:* Yes
- *Meaning When False:* No

7.4.13.15 ChangeAllowedIndicator <ChngAllwdInd>

Presence: [0..1]

Definition: Indicates whether change of instruction is allowed.

Datatype: One of the following values must be used (see "YesNoIndicator" on page 1171):

- *Meaning When True:* Yes
- *Meaning When False:* No

7.4.13.16 AppliedOptionIndicator <ApIdOptnInd>

Presence: [0..1]

Definition: Indicates whether the option, different from the default one, shall be applied by the account owner.

Datatype: One of the following values must be used (see "YesNoIndicator" on page 1171):

- *Meaning When True:* Yes
- *Meaning When False:* No

7.4.13.17 SecurityIdentification <SctyId>

Presence: [0..1]

Definition: Identifies the financial instrument.

Impacted by: C17 "DescriptionPresenceRule", C18 "DescriptionUsageRule", C41 "ISINGuideline", C42 "ISINPresenceRule", C54 "OtherIdentificationPresenceRule"

SecurityIdentification <SctyId> contains the following elements (see "SecurityIdentification20" on page 748 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ISIN <ISIN>	[0..1]	IdentifierSet		749
	OtherIdentification <OthrId>	[0..*]			749
	Identification <Id>	[1..1]	Text		750
	Suffix <Sfx>	[0..1]	Text		750
	Type <Tp>	[1..1]	±		750
	Description <Desc>	[0..1]	Text		750

Constraints

- **DescriptionPresenceRule**

If Description is not present then either ISIN or at least one occurrence of OtherIdentification must be present.

On Condition

 /Description is absent

Following Must be True

 /ISIN Must be present

Or /OtherIdentification[*] Must be present

- **DescriptionUsageRule**

Description must be used alone as the last resort.

- **ISINGuideline**

When a ISIN code exist. It is strongly recommended that the ISIN be used.

- **ISINPresenceRule**

If ISIN is not present then either Description or at least one occurrence of OtherIdentification must be present.

On Condition
 /ISIN is absent
 Following Must be True
 /OtherIdentification[*] Must be present
 Or /Description Must be present

- **OtherIdentificationPresenceRule**

If OtherIdentification is not present then either ISIN or Description must be present.

On Condition
 /OtherIdentification[*] is absent
 Following Must be True
 /ISIN Must be present
 Or /Description Must be present

7.4.13.18 DateDetails <DtDtls>

Presence: [0..1]

Definition: Provides information about the dates related to a corporate action option.

DateDetails <DtDtls> contains the following **CorporateActionDate81** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	EarlyResponseDeadline <EarlyRspnDdln>	[0..1]	±		353
	CoverExpirationDeadline <CoverXprtnDdln>	[0..1]	±		354
	ProtectDeadline <PrctDdln>	[0..1]	±		354
	MarketDeadline <MktDdln>	[0..1]	±		354
	ResponseDeadline <RspnDdln>	[0..1]	±		355
	ExpiryDate <XpryDt>	[0..1]	±		355
	SubscriptionCostDebitDate <SbcptCostDbtDt>	[0..1]	±		356
	DepositoryCoverExpirationDate <DpstryCoverXprtnDt>	[0..1]	±		356
	StockLendingDeadline <StockLndgDdln>	[0..1]	±		356
	BorrowerStockLendingDeadline <BrrwrStockLndgDdln>	[0..*]			357
	StockLendingDeadline <StockLndgDdln>	[1..1]	±		357
	Borrower <Brrwr>	[1..1]	±		357

7.4.13.18.1 EarlyResponseDeadline <EarlyRspnDdln>

Presence: [0..1]

Definition: Date/time that the account servicer has set as the deadline to respond, with instructions, to an outstanding event, giving the holder eligibility to incentives.

EarlyResponseDeadline <EarlyRspnDdln> contains one of the following elements (see "DateFormat49Choice" on page 729 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		730
Or}	DateCode <DtCd>	[1..1]			730
{Or	Code <Cd>	[1..1]	CodeSet		730
Or}	Proprietary <Prtry>	[1..1]	±		730

7.4.13.18.2 CoverExpirationDeadline <CoverXprtnDdln>

Presence: [0..1]

Definition: Last day a holder can deliver the securities that it had elected on and/or previously protected.

CoverExpirationDeadline <CoverXprtnDdln> contains one of the following elements (see "DateFormat43Choice" on page 731 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		731
Or}	DateCode <DtCd>	[1..1]			732
{Or	Code <Cd>	[1..1]	CodeSet		732
Or}	Proprietary <Prtry>	[1..1]	±		732

7.4.13.18.3 ProtectDeadline <PrctDdln>

Presence: [0..1]

Definition: Last date/time a holder can request to defer delivery of securities pursuant to a notice of guaranteed delivery or other required documentation.

ProtectDeadline <PrctDdln> contains one of the following elements (see "DateFormat43Choice" on page 731 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		731
Or}	DateCode <DtCd>	[1..1]			732
{Or	Code <Cd>	[1..1]	CodeSet		732
Or}	Proprietary <Prtry>	[1..1]	±		732

7.4.13.18.4 MarketDeadline <MktDdln>

Presence: [0..1]

Definition: Issuer or issuer's agent deadline to respond with an instruction to an outstanding offer or privilege.

MarketDeadline <MktDdln> contains one of the following elements (see "DateFormat49Choice" on page 729 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		730
Or}	DateCode <DtCd>	[1..1]			730
{Or	Code <Cd>	[1..1]	CodeSet		730
Or}	Proprietary <Prtry>	[1..1]	±		730

7.4.13.18.5 ResponseDeadline <RspnDdln>

Presence: [0..1]

Definition: Date/time at which the account servicer has set as the deadline to respond, with instructions, to an outstanding event.

ResponseDeadline <RspnDdln> contains one of the following elements (see "DateFormat54Choice" on page 727 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		727
Or	DateCodeAndTime <DtCdAndTm>	[1..1]			727
	DateCode <DtCd>	[1..1]			728
{Or	Code <Cd>	[1..1]	CodeSet		728
Or}	Proprietary <Prtry>	[1..1]	±		728
	Time <Tm>	[1..1]	Time		728
Or}	DateCode <DtCd>	[1..1]			729
{Or	Code <Cd>	[1..1]	CodeSet		729
Or}	Proprietary <Prtry>	[1..1]	±		729

7.4.13.18.6 ExpiryDate <XpryDt>

Presence: [0..1]

Definition: Date/time at which an order expires or on which a privilege or offer terminates.

ExpiryDate <XpryDt> contains one of the following elements (see "DateFormat49Choice" on page 729 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		730
Or}	DateCode <DtCd>	[1..1]			730
{Or	Code <Cd>	[1..1]	CodeSet		730
Or}	Proprietary <Prtry>	[1..1]	±		730

7.4.13.18.7 SubscriptionCostDebitDate <SbcptCostDbtDt>*Presence:* [0..1]*Definition:* Date/time by which cash must be in place in order to take part in the event.**SubscriptionCostDebitDate <SbcptCostDbtDt>** contains one of the following elements (see "DateFormat49Choice" on page 729 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		730
Or}	DateCode <DtCd>	[1..1]			730
{Or	Code <Cd>	[1..1]	CodeSet		730
Or}	Proprietary <Prtry>	[1..1]	±		730

7.4.13.18.8 DepositoryCoverExpirationDate <DpstryCoverXprtnDt>*Presence:* [0..1]*Definition:* Last day that a participant of the depository can deliver securities that it had elected on and/or previously protected.**DepositoryCoverExpirationDate <DpstryCoverXprtnDt>** contains one of the following elements (see "DateFormat49Choice" on page 729 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		730
Or}	DateCode <DtCd>	[1..1]			730
{Or	Code <Cd>	[1..1]	CodeSet		730
Or}	Proprietary <Prtry>	[1..1]	±		730

7.4.13.18.9 StockLendingDeadline <StockLndgDdlIn>*Presence:* [0..1]*Definition:* Date/time set as the deadline to respond, with instructions, to an outstanding event, for which the underlying security is out on loan.**StockLendingDeadline <StockLndgDdlIn>** contains one of the following elements (see "DateFormat49Choice" on page 729 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		730
Or}	DateCode <DtCd>	[1..1]			730
{Or	Code <Cd>	[1..1]	CodeSet		730
Or}	Proprietary <Prtry>	[1..1]	±		730

7.4.13.18.10 BorrowerStockLendingDeadline <BrrwrStockLndgDdl>*Presence:* [0..*]*Definition:* Specifies the party borrowing stocks and the associated stock lending deadline assigned to the borrower.**BorrowerStockLendingDeadline <BrrwrStockLndgDdl>** contains the following **BorrowerLendingDeadline6** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	StockLendingDeadline <StockLndgDdl>	[1..1]	±		357
	Borrower <Brrwr>	[1..1]	±		357

7.4.13.18.10.1 StockLendingDeadline <StockLndgDdl>*Presence:* [1..1]*Definition:* Date/time set as the deadline to respond, with instructions, to an outstanding event for which the underlying security is out on loan.**StockLendingDeadline <StockLndgDdl>** contains one of the following elements (see "DateFormat49Choice" on page 729 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		730
Or}	DateCode <DtCd>	[1..1]			730
{Or	Code <Cd>	[1..1]	CodeSet		730
Or}	Proprietary <Prtry>	[1..1]	±		730

7.4.13.18.10.2 Borrower <Brrwr>*Presence:* [1..1]*Definition:* Party who has borrowed stocks on loan.**Borrower <Brrwr>** contains one of the following elements (see "PartyIdentification136Choice" on page 928 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	928
Or}	ProprietaryIdentification <PrtryId>	[1..1]	±		929

7.4.13.19 PeriodDetails <PrdDtls>*Presence:* [0..1]*Definition:* Provides information about the periods related to a corporate action option.

PeriodDetails <PrdDtls> contains the following **CorporateActionPeriod12** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PriceCalculationPeriod <PricClctnPrd>	[0..1]	±		358
	ParallelTradingPeriod <ParlITradgPrd>	[0..1]	±		358
	ActionPeriod <ActnPrd>	[0..1]	±		358
	RevocabilityPeriod <RvcbltyPrd>	[0..1]	±		359
	PrivilegeSuspensionPeriod <PrvlgSspnsnPrd>	[0..1]	±		359
	AccountServicerRevocabilityPeriod <AcctSvcrRvcbltyPrd>	[0..1]	±		359
	DepositorySuspensionPeriodForWithdrawal <DpstrySspnsnPrdForWdrwl>	[0..1]	±		359

7.4.13.19.1 PriceCalculationPeriod <PricClctnPrd>

Presence: [0..1]

Definition: Period during which the price of a security is determined.

PriceCalculationPeriod <PricClctnPrd> contains one of the following elements (see ["Period6Choice"](#) on page 735 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Period <Prd>	[1..1]	±		736
Or}	PeriodCode <PrdCd>	[1..1]	CodeSet		736

7.4.13.19.2 ParallelTradingPeriod <ParlITradgPrd>

Presence: [0..1]

Definition: Period during which both old and new equity may be traded simultaneously, for example, consolidation of equity or splitting of equity.

ParallelTradingPeriod <ParlITradgPrd> contains one of the following elements (see ["Period6Choice"](#) on page 735 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Period <Prd>	[1..1]	±		736
Or}	PeriodCode <PrdCd>	[1..1]	CodeSet		736

7.4.13.19.3 ActionPeriod <ActnPrd>

Presence: [0..1]

Definition: Period during which the specified option, or all options of the event, remains valid, for example, offer period.

ActionPeriod <ActnPrd> contains one of the following elements (see "Period6Choice" on page 735 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Period <Prd>	[1..1]	±		736
Or}	PeriodCode <PrdCd>	[1..1]	CodeSet		736

7.4.13.19.4 RevocabilityPeriod <RvcbltyPrd>

Presence: [0..1]

Definition: Period during which the shareholder can revoke, change or withdraw its instruction.

RevocabilityPeriod <RvcbltyPrd> contains one of the following elements (see "Period6Choice" on page 735 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Period <Prd>	[1..1]	±		736
Or}	PeriodCode <PrdCd>	[1..1]	CodeSet		736

7.4.13.19.5 PrivilegeSuspensionPeriod <PrvlgSspnsnPrd>

Presence: [0..1]

Definition: Period during which the privilege is not available, for example, this can happen whenever a meeting takes place or whenever a coupon payment is due.

PrivilegeSuspensionPeriod <PrvlgSspnsnPrd> contains one of the following elements (see "Period6Choice" on page 735 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Period <Prd>	[1..1]	±		736
Or}	PeriodCode <PrdCd>	[1..1]	CodeSet		736

7.4.13.19.6 AccountServicerRevocabilityPeriod <AcctSvcrRvcbltyPrd>

Presence: [0..1]

Definition: Period during which the participant of the account servicer can revoke change or withdraw its instructions.

AccountServicerRevocabilityPeriod <AcctSvcrRvcbltyPrd> contains one of the following elements (see "Period6Choice" on page 735 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Period <Prd>	[1..1]	±		736
Or}	PeriodCode <PrdCd>	[1..1]	CodeSet		736

7.4.13.19.7 DepositorySuspensionPeriodForWithdrawal <DpstrySspnsnPrdForWdrwl>

Presence: [0..1]

Definition: Period defining the last date on which withdrawal in street name requests on the outturn security will be accepted and the date on which the suspension will be released and withdrawal by transfer processing on the outturn security will resume.

DepositorySuspensionPeriodForWithdrawal <DpstrySspnsnPrdForWdrwl> contains one of the following elements (see "Period6Choice" on page 735 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Period <Prd>	[1..1]	±		736
Or}	PeriodCode <PrdCd>	[1..1]	CodeSet		736

7.4.13.20 RateAndAmountDetails <RateAndAmtDtls>

Presence: [0..1]

Definition: Provides information about rates and amounts related to a corporate action option.

Impacted by: C29 "GrossDividendRate2Rule", C31 "GrossDividendRate3Rule", C47 "NetDividendRate1Rule", C49 "NetDividendRate2Rule", C66 "SecondLevelTax1Rule", C68 "SecondLevelTax2Rule", C70 "SecondLevelTax3Rule", C74 "WithholdingTaxRate1Rule", C76 "WithholdingTaxRate2Rule"

RateAndAmountDetails <RateAndAmtDtls> contains the following **CorporateActionRate109** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AdditionalTax <AddtlTax>	[0..1]	±		361
	GrossDividendRate <GrssDvddRate>	[0..*]	±		362
	NetDividendRate <NetDvddRate>	[0..*]	±		362
	InterestRateUsedForPayment <IntrstRateUsdForPmt>	[0..*]	±		363
	MaximumAllowedOversubscriptionRate <MaxAllwdOvrsbcptRate>	[0..1]	±		364
	ProrationRate <PrratnRate>	[0..1]	±		364
	WithholdingTaxRate <WhldgTaxRate>	[0..*]	±		365
	SecondLevelTax <ScndLvITax>	[0..*]	±		365
	TaxableIncomePerDividendShare <TaxblIncmPerDvddShr>	[0..*]	±		365
	TaxOnIncome <TaxOnIncm>	[0..1]	±		366

Constraints

- **GrossDividendRate2Rule**

If GrossDividendRate is repeated, then:

- RateTypeAndAmountAndRateStatus/RateType/Code values must all be different in each occurrence of GrossDividendRate.

(MT 564 NVR C8).

- **GrossDividendRate3Rule**

Only a single occurrence of either GrossDividendRate/Amount or GrossDividendRate/AmountAndRateStatus or GrossDividendRate/NotSpecifiedRate may be present, only one of these elements may be present. (MT 564 NVR C7).

- **NetDividendRate1Rule**

If NetDividendRate is repeated, then RateTypeAndAmountAndRateStatus/RateType/Code values must all be different in each occurrence of NetDividendRate. (MT 564 NVR C8).

- **NetDividendRate2Rule**

Only a single occurrence of either NetDividendRate/Amount or NetDividendRate/AmountAndRateStatus or NetDividendRate/NotSpecifiedRate may be present, and only one of these elements may be present. (MT 564 NVR C7).

- **SecondLevelTax1Rule**

If SecondLevelTax is repeated, then SecondLevelTax/RateTypeAndRate/RateType/Code values must all be different in each occurrence of SecondLevelTax.

(MT 564 NVR C8).

- **SecondLevelTax2Rule**

Only a single occurrence of either SecondLevelTax/Amount or SecondLevelTax/Rate or SecondLevelTax/NotSpecifiedRate may be present, only one of these elements may be present.

(MT 564 NVR C7).

- **SecondLevelTax3Rule**

If at least one occurrence of SecondLevelTax is present, then at least one occurrence of WithholdingTaxRate must be present.

```
On Condition
  /SecondLevelTax[*] is present
Following Must be True
  /WithholdingTaxRate[*] Must be present
```

- **WithholdingTaxRate1Rule**

If WithholdingTaxRate is repeated, then WithholdingTaxRate/RateTypeAndRate/RateType/Code values must all be different in each occurrence of WithholdingTaxRate.

(MT 564 NVR C8).

- **WithholdingTaxRate2Rule**

Only a single occurrence of either WithholdingTaxRate/Amount or WithholdingTaxRate/Rate or WithholdingTaxRate/NotSpecifiedRate may be present, only one of these elements may be present.

(MT 564 NVR C7).

7.4.13.20.1 AdditionalTax <AddtlTax>

Presence: [0..1]

Definition: Rate used for additional tax that cannot be categorised.

AdditionalTax <AddtlTax> contains one of the following elements (see "RateAndAmountFormat46Choice" on page 1040 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1040
Or	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		1040
Or}	Amount <Amt>	[1..1]	Amount	C1	1041

7.4.13.20.2 GrossDividendRate <GrssDvddRate>

Presence: [0..*]

Definition: Cash dividend amount per equity before deductions or allowances have been made.

GrossDividendRate <GrssDvddRate> contains one of the following elements (see "GrossDividendRateFormat41Choice" on page 839 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Amount <Amt>	[1..1]	Amount	C1	840
Or	AmountAndRateStatus <AmtAndRateSts>	[1..1]			840
	Amount <Amt>	[1..1]	Amount	C1	841
	RateStatus <RateSts>	[1..1]	CodeSet		841
Or	RateTypeAndAmountAndRateStatus <RateTpAndAmtAndRateSts>	[1..1]			841
	RateType <RateTp>	[1..1]			841
{Or	Code <Cd>	[1..1]	CodeSet		842
Or}	Proprietary <Prtry>	[1..1]	±		842
	Amount <Amt>	[1..1]	Amount	C1	843
	RateStatus <RateSts>	[0..1]		C58	843
{Or	Code <Cd>	[1..1]	CodeSet		843
Or}	Proprietary <Prtry>	[1..1]	±		844
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		844

7.4.13.20.3 NetDividendRate <NetDvddRate>

Presence: [0..*]

Definition: Cash dividend amount per equity after deductions or allowances have been made.

NetDividendRate <NetDvddRate> contains one of the following elements (see "NetDividendRateFormat43Choice" on page 835 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Amount <Amt>	[1..1]	Amount	C1	835
Or	AmountAndRateStatus <AmtAndRateSts>	[1..1]			836
	Amount <Amt>	[1..1]	Amount	C1	836
	RateStatus <RateSts>	[1..1]	CodeSet		836
Or	RateTypeAndAmountAndRateStatus <RateTpAndAmtAndRateSts>	[1..1]			836
	RateType <RateTp>	[1..1]			837
{Or	Code <Cd>	[1..1]	CodeSet		837
Or}	Proprietary <Prtry>	[1..1]	±		838
	Amount <Amt>	[1..1]	Amount	C1	838
	RateStatus <RateSts>	[0..1]		C58	838
{Or	Code <Cd>	[1..1]	CodeSet		839
Or}	Proprietary <Prtry>	[1..1]	±		839
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		839

7.4.13.20.4 InterestRateUsedForPayment <IntrstRateUsdForPmt>

Presence: [0..*]

Definition: The actual interest rate used for the payment of the interest for the specified interest period.

Usage guideline: It is used to provide the applicable rate for the current payment, after all calculations have been performed, that is, application of period and method of interest computation.

InterestRateUsedForPayment <IntrstRateUsdForPmt> contains one of the following elements (see "InterestRateUsedForPaymentFormat10Choice" on page 882 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		883
Or	Amount <Amt>	[1..1]	Amount	C1	883
Or	RateTypeAndAmountAndRateStatus <RateTpAndAmtAndRateSts>	[1..1]			883
	RateType <RateTp>	[1..1]			884
{Or	Code <Cd>	[1..1]	CodeSet		884
Or}	Proprietary <Prtry>	[1..1]	±		884
	Amount <Amt>	[1..1]	Amount	C1	885
	RateStatus <RateSts>	[0..1]		C58	885
{Or	Code <Cd>	[1..1]	CodeSet		885
Or}	Proprietary <Prtry>	[1..1]	±		886
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		886

7.4.13.20.5 MaximumAllowedOversubscriptionRate <MaxAllwdOvrsbcptRate>

Presence: [0..1]

Definition: Maximum percentage of shares available through the over subscription privilege, usually a percentage of the basic subscription shares, for example, an account owner subscribing to 100 shares may over subscribe to a maximum of 50 additional shares when the over subscription maximum is 50 percent.

MaximumAllowedOversubscriptionRate <MaxAllwdOvrsbcptRate> contains one of the following elements (see "RateFormat3Choice" on page 987 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		987
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		987

7.4.13.20.6 ProrationRate <PrtratnRate>

Presence: [0..1]

Definition: Percentage of securities accepted by the offeror/issuer.

ProrationRate <PrtratnRate> contains one of the following elements (see "RateFormat3Choice" on page 987 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		987
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		987

7.4.13.20.7 WithholdingTaxRate <WhldgTaxRate>*Presence:* [0..*]*Definition:* Percentage of a cash distribution that will be withheld by the tax authorities of the jurisdiction of the issuer, for which a relief at source and/or reclaim may be possible.**WithholdingTaxRate <WhldgTaxRate>** contains one of the following elements (see "RateAndAmountFormat47Choice" on page 879 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		879
Or	NotSpecifiedRate <NotSpdfdRate>	[1..1]	CodeSet		880
Or	Amount <Amt>	[1..1]	Amount	C1	880
Or}	RateTypeAndRate <RateTpAndRate>	[1..1]			880
	RateType <RateTp>	[1..1]			880
{Or	Code <Cd>	[1..1]	CodeSet		881
Or}	Proprietary <Prtry>	[1..1]	±		881
	Rate <Rate>	[1..1]	Rate		881

7.4.13.20.8 SecondLevelTax <ScndLvITax>*Presence:* [0..*]*Definition:* Rate at which the income will be withheld by a jurisdiction other than the jurisdiction of the issuer's country of tax incorporation, for which a relief at source and/or reclaim may be possible. It is levied in complement or offset of the withholding tax rate (TAXR) levied by the jurisdiction of the issuer's tax domicile.**SecondLevelTax <ScndLvITax>** contains one of the following elements (see "RateAndAmountFormat47Choice" on page 879 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		879
Or	NotSpecifiedRate <NotSpdfdRate>	[1..1]	CodeSet		880
Or	Amount <Amt>	[1..1]	Amount	C1	880
Or}	RateTypeAndRate <RateTpAndRate>	[1..1]			880
	RateType <RateTp>	[1..1]			880
{Or	Code <Cd>	[1..1]	CodeSet		881
Or}	Proprietary <Prtry>	[1..1]	±		881
	Rate <Rate>	[1..1]	Rate		881

7.4.13.20.9 TaxableIncomePerDividendShare <TaxblIncmPerDvddShr>*Presence:* [0..*]

Definition: Amount included in the dividend/NAV that is identified as gains directly or indirectly derived from interest payments, for example, in the context of the EU Savings directive.

TaxableIncomePerDividendShare <TaxblIncmPerDvddShr> contains the following elements (see "RateTypeAndAmountAndStatus33" on page 877 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	RateType <RateTp>	[1..1]			877
{Or	Code <Cd>	[1..1]	CodeSet		877
Or}	Proprietary <Prtry>	[1..1]	±		878
	Amount <Amt>	[1..1]	Amount	C1	878
	RateStatus <RateSts>	[0..1]		C58	878
{Or	Code <Cd>	[1..1]	CodeSet		879
Or}	Proprietary <Prtry>	[1..1]	±		879

7.4.13.20.10 TaxOnIncome <TaxOnIncm>

Presence: [0..1]

Definition: Overall tax withheld at source by fund managers prior to considering the tax obligation of each unit holder.

TaxOnIncome <TaxOnIncm> contains one of the following elements (see "RateAndAmountFormat46Choice" on page 1040 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1040
Or	NotSpecifiedRate <NotSpctdRate>	[1..1]	CodeSet		1040
Or}	Amount <Amt>	[1..1]	Amount	C1	1041

7.4.13.21 PriceDetails <PricDtls>

Presence: [0..1]

Definition: Provides information about the prices related to a corporate action option.

PriceDetails <PricDtls> contains the following **CorporateActionPrice69** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CashInLieuOfSharePrice <CshInLieuOfShrPric>	[0..1]	±		367
	OverSubscriptionDepositPrice <OverSbcptDpstPric>	[0..1]	±		367
	MaximumCashToInstruct <MaxCshToInst>	[0..1]	±		367
	MinimumCashToInstruct <MinCshToInst>	[0..1]	±		368
	MinimumMultipleCashToInstruct <MinMltplCshToInst>	[0..1]	±		368

7.4.13.21.1 CashInLieuOfSharePrice <CshInLieuOfShrPric>*Presence:* [0..1]*Definition:* Cash disbursement in lieu of equities; usually in lieu of fractional quantity.**CashInLieuOfSharePrice <CshInLieuOfShrPric>** contains one of the following elements (see "PriceFormat57Choice" on page 967 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	PercentagePrice <PctgPric>	[1..1]			968
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		968
	PriceValue <PricVal>	[1..1]	Rate		968
Or	AmountPrice <AmtPric>	[1..1]	±		968
Or}	NotSpecifiedPrice <NotSpctdPric>	[1..1]	CodeSet		969

7.4.13.21.2 OverSubscriptionDepositPrice <OverSbcptDpstPric>*Presence:* [0..1]*Definition:* Amount of money required per over-subscribed equity as defined by the issuer.**OverSubscriptionDepositPrice <OverSbcptDpstPric>** contains one of the following elements (see "PriceFormat57Choice" on page 967 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	PercentagePrice <PctgPric>	[1..1]			968
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		968
	PriceValue <PricVal>	[1..1]	Rate		968
Or	AmountPrice <AmtPric>	[1..1]	±		968
Or}	NotSpecifiedPrice <NotSpctdPric>	[1..1]	CodeSet		969

7.4.13.21.3 MaximumCashToInstruct <MaxCshToInst>*Presence:* [0..1]*Definition:* Maximum cash amount that may be instructed.**MaximumCashToInstruct <MaxCshToInst>** contains one of the following elements (see "PriceFormat62Choice" on page 961 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AmountPrice <AmtPric>	[1..1]			961
	AmountPriceType <AmtPricTp>	[1..1]	CodeSet		962
	PriceValue <PricVal>	[1..1]	Amount	C1	962
Or}	NotSpecifiedPrice <NotSpctdPric>	[1..1]	CodeSet		962

7.4.13.21.4 MinimumCashToInstruct <MinCshToInst>*Presence:* [0..1]*Definition:* Minimum cash amount that may be instructed.**MinimumCashToInstruct <MinCshToInst>** contains one of the following elements (see "PriceFormat62Choice" on page 961 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AmountPrice <AmtPric>	[1..1]			961
	AmountPriceType <AmtPricTp>	[1..1]	CodeSet		962
	PriceValue <PricVal>	[1..1]	Amount	C1	962
Or}	NotSpecifiedPrice <NotSpcfdPric>	[1..1]	CodeSet		962

7.4.13.21.5 MinimumMultipleCashToInstruct <MinMltplCshToInst>*Presence:* [0..1]*Definition:* Minimum multiple of a cash amount that may be instructed.**MinimumMultipleCashToInstruct <MinMltplCshToInst>** contains one of the following elements (see "PriceFormat62Choice" on page 961 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AmountPrice <AmtPric>	[1..1]			961
	AmountPriceType <AmtPricTp>	[1..1]	CodeSet		962
	PriceValue <PricVal>	[1..1]	Amount	C1	962
Or}	NotSpecifiedPrice <NotSpcfdPric>	[1..1]	CodeSet		962

7.4.13.22 SecuritiesQuantity <SctiesQty>*Presence:* [0..1]*Definition:* Provides information about securities quantity related to a corporate action option.**SecuritiesQuantity <SctiesQty>** contains the following **SecuritiesOption84** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	MaximumQuantityToInstruct <MaxQtyToInst>	[0..1]	±	C21	369
	MinimumQuantityToInstruct <MinQtyToInst>	[0..1]	±	C21	369
	MinimumMultipleQuantityToInstruct <MinMltplQtyToInst>	[0..1]	±	C20	370
	NewBoardLotQuantity <NewBrdLotQty>	[0..1]	±	C20	370
	NewDenominationQuantity <NewDnmtnQty>	[0..1]	±	C20	371
	FrontEndOddLotQuantity <FrntEndOddLotQty>	[0..1]	±	C20	371
	BackEndOddLotQuantity <BckEndOddLotQty>	[0..1]	±	C20	372

7.4.13.22.1 MaximumQuantityToInstruct <MaxQtyToInst>*Presence:* [0..1]*Definition:* Maximum quantity of financial instrument that may be instructed.*Impacted by:* C21 "DigitalTokenUnitUsageRule"**MaximumQuantityToInstruct <MaxQtyToInst>** contains one of the following elements (see "FinancialInstrumentQuantity44Choice" on page 983 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		984
Or	FaceAmount <FaceAmt>	[1..1]	Amount		984
Or	AmortisedValue <AmtsdVal>	[1..1]	Amount		984
Or	Code <Cd>	[1..1]	CodeSet		984
Or}	DigitalTokenUnit <DgtlTknUnit>	[1..1]	Quantity		984

Constraints

- DigitalTokenUnitUsageRule**

DigitalTokenUnit format may only be used for instruments identified with a digital token identifier and when the related account identification is provided as a blockchain address or wallet identification (using BlockChainAddressOrWallet element as the account identification).

7.4.13.22.2 MinimumQuantityToInstruct <MinQtyToInst>*Presence:* [0..1]*Definition:* Minimum quantity of financial instrument that may be instructed.*Impacted by:* C21 "DigitalTokenUnitUsageRule"**MinimumQuantityToInstruct <MinQtyToInst>** contains one of the following elements (see "FinancialInstrumentQuantity44Choice" on page 983 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		984
Or	FaceAmount <FaceAmt>	[1..1]	Amount		984
Or	AmortisedValue <AmtsdVal>	[1..1]	Amount		984
Or	Code <Cd>	[1..1]	CodeSet		984
Or}	DigitalTokenUnit <DgtlTknUnit>	[1..1]	Quantity		984

Constraints

- **DigitalTokenUnitUsageRule**

DigitalTokenUnit format may only be used for instruments identified with a digital token identifier and when the related account identification is provided as a blockchain address or wallet identification (using BlockChainAddressOrWallet element as the account identification).

7.4.13.22.3 MinimumMultipleQuantityToInstruct <MinMltplQtyToInst>

Presence: [0..1]

Definition: Minimum multiple quantity of financial instrument that may be instructed.

Impacted by: C20 "DigitalTokenUnitUsageRule"

MinimumMultipleQuantityToInstruct <MinMltplQtyToInst> contains one of the following elements (see "FinancialInstrumentQuantity43Choice" on page 984 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		985
Or	FaceAmount <FaceAmt>	[1..1]	Amount		985
Or	AmortisedValue <AmtsdVal>	[1..1]	Amount		985
Or	Code <Cd>	[1..1]	CodeSet		985
Or}	DigitalTokenUnit <DgtlTknUnit>	[1..1]	Quantity		985

Constraints

- **DigitalTokenUnitUsageRule**

DigitalTokenUnit format may only be used for instruments identified with a digital token identifier and when the related account identification is provided as a blockchain address or wallet identification (using BlockChainAddressOrWallet element as the account identification).

7.4.13.22.4 NewBoardLotQuantity <NewBrdLotQty>

Presence: [0..1]

Definition: Quantity of equity that makes up the new board lot.

Impacted by: C20 "DigitalTokenUnitUsageRule"

NewBoardLotQuantity <NewBrdLotQty> contains one of the following elements (see "FinancialInstrumentQuantity43Choice" on page 984 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		985
Or	FaceAmount <FaceAmt>	[1..1]	Amount		985
Or	AmortisedValue <AmtsdVal>	[1..1]	Amount		985
Or	Code <Cd>	[1..1]	CodeSet		985
Or}	DigitalTokenUnit <DgtlTknUnit>	[1..1]	Quantity		985

Constraints

- **DigitalTokenUnitUsageRule**

DigitalTokenUnit format may only be used for instruments identified with a digital token identifier and when the related account identification is provided as a blockchain address or wallet identification (using BlockChainAddressOrWallet element as the account identification).

7.4.13.22.5 NewDenominationQuantity <NewDnmtnQty>

Presence: [0..1]

Definition: New denomination of the equity following, for example, an increase or decrease in nominal value.

Impacted by: C20 "DigitalTokenUnitUsageRule"

NewDenominationQuantity <NewDnmtnQty> contains one of the following elements (see "FinancialInstrumentQuantity43Choice" on page 984 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		985
Or	FaceAmount <FaceAmt>	[1..1]	Amount		985
Or	AmortisedValue <AmtsdVal>	[1..1]	Amount		985
Or	Code <Cd>	[1..1]	CodeSet		985
Or}	DigitalTokenUnit <DgtlTknUnit>	[1..1]	Quantity		985

Constraints

- **DigitalTokenUnitUsageRule**

DigitalTokenUnit format may only be used for instruments identified with a digital token identifier and when the related account identification is provided as a blockchain address or wallet identification (using BlockChainAddressOrWallet element as the account identification).

7.4.13.22.6 FrontEndOddLotQuantity <FrntEndOddLotQty>

Presence: [0..1]

Definition: Specifies that if an order is prorated holders of odd lots who tender their full position will not have tendered position prorated but rather accepted in full.

Impacted by: C20 "DigitalTokenUnitUsageRule"

FrontEndOddLotQuantity <FrntEndOddLotQty> contains one of the following elements (see "FinancialInstrumentQuantity43Choice" on page 984 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		985
Or	FaceAmount <FaceAmt>	[1..1]	Amount		985
Or	AmortisedValue <AmtsdVal>	[1..1]	Amount		985
Or	Code <Cd>	[1..1]	CodeSet		985
Or}	DigitalTokenUnit <DgtlTknUnit>	[1..1]	Quantity		985

Constraints

- **DigitalTokenUnitUsageRule**

DigitalTokenUnit format may only be used for instruments identified with a digital token identifier and when the related account identification is provided as a blockchain address or wallet identification (using BlockChainAddressOrWallet element as the account identification).

7.4.13.22.7 BackEndOddLotQuantity <BckEndOddLotQty>

Presence: [0..1]

Definition: Represents the presence of a back end odd lot provision and the quantity of equity required after proration to be eligible for this privilege.

Impacted by: C20 "DigitalTokenUnitUsageRule"

BackEndOddLotQuantity <BckEndOddLotQty> contains one of the following elements (see "FinancialInstrumentQuantity43Choice" on page 984 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		985
Or	FaceAmount <FaceAmt>	[1..1]	Amount		985
Or	AmortisedValue <AmtsdVal>	[1..1]	Amount		985
Or	Code <Cd>	[1..1]	CodeSet		985
Or}	DigitalTokenUnit <DgtlTknUnit>	[1..1]	Quantity		985

Constraints

- **DigitalTokenUnitUsageRule**

DigitalTokenUnit format may only be used for instruments identified with a digital token identifier and when the related account identification is provided as a blockchain address or wallet identification (using BlockChainAddressOrWallet element as the account identification).

7.4.13.23 SecuritiesMovementDetails <SctiesMvmntDtls>

Presence: [0..*]

Definition: Provides information about securities movement related to a corporate action option.

Impacted by: C25 "ExemptionTypeUsageRule", C35 "IncomeType1Rule", C37
"IncomeTypeUsageRule", C43 "IssuerOfferorTaxabilityIndicatorUsageRule"

SecuritiesMovementDetails <SctiesMvmntDtls> contains the following **SecuritiesOption85** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	SecurityDetails <SctyDtls>	[1..1]	±	C46, C56	375
	CreditDebitIndicator <CdtDbtInd>	[1..1]	CodeSet		377
	TemporaryFinancialInstrumentIndicator <TempFinInstrmlInd>	[0..1]	±		377
	NonEligibleProceedsIndicator <NonElgblPrclsInd>	[0..1]	±		377
	IssuerOfferorTaxabilityIndicator <IssrOfferrTaxbltyInd>	[0..1]	±		377
	NewSecuritiesIssuanceIndicator <NewSctiesIssncInd>	[0..1]	CodeSet		378
	IncomeType <IncmTp>	[0..1]	±		378
	OtherIncomeType <OthrIncmTp>	[0..*]	±		379
	ExemptionType <XmptnTp>	[0..*]	±		379
	EntitledQuantity <EntitldQty>	[0..1]	±		379
	SafekeepingPlace <SfkpgPlc>	[0..1]	±		380
	CountryOfIncomeSource <CtryOfIncmSrc>	[0..1]	CodeSet	C12	380
	FractionDisposition <FrctnDspstn>	[0..1]	±		380
	CurrencyOption <CcyOptn>	[0..1]	CodeSet	C1	380
	TradingPeriod <TradgPrd>	[0..1]	±		381
	DateDetails <DtDtls>	[1..1]			381
	PaymentDate <PmtDt>	[1..1]	±		381
	AvailableDate <AvlblDt>	[0..1]	±		382
	DividendRankingDate <DvddRnkgDt>	[0..1]	±		382
	EarliestPaymentDate <EarlstPmtDt>	[0..1]	±		382
	PariPassuDate <PrpssDt>	[0..1]	±		383
	LastTradingDate <LastTradgDt>	[0..1]	±		383
	RateDetails <RateDtls>	[0..1]			383
	AdditionalQuantityForSubscribedResultantSecurities <AddtlQtyForSbcbdrslntScties>	[0..1]	±		384
	AdditionalQuantityForExistingSecurities <AddtlQtyForExstgScties>	[0..1]	±		384
	NewToOld <NewToOd>	[0..1]	±		385
	TransformationRate <TrfrmatnRate>	[0..1]	Rate		385
	ChargesFees <ChrgsFees>	[0..1]	±		385

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	FiscalStamp <FscIStmp>	[0..1]	±		386
	ApplicableRate <AplblRate>	[0..1]	±		386
	TaxCreditRate <TaxCdtRate>	[0..1]	±		386
	FinancialTransactionTaxRate <FinTxTaxRate>	[0..1]	±		387
	PriceDetails <PricDtls>	[0..1]		C27	387
	IndicativeOrMarketPrice <IndctvOrMktPric>	[0..1]	±		387
	CashInLieuOfSharePrice <CshInLieuOfShrPric>	[0..1]	±		388
	CashValueForTax <CshValForTax>	[0..1]	±		388
	GenericCashPricePaidPerProduct <GncCshPricPdPerPdct>	[0..1]	±		388
	GenericCashPriceReceivedPerProduct <GncCshPricRcvdPerPdct>	[0..1]	±		389

Constraints

- **ExemptionTypeUsageRule**

The lists of exemption type codes to be used in ExemptionType are provided in the document "ETYP-ITYP Exemption & Income Type Codes" available on the SMPG website at www.smpg.info.

- **IncomeType1Rule**

If at least one occurrence of OtherIncomeType is present, then IncomeType must be present.

On Condition
 /OtherIncomeType[*] is present
 Following Must be True
 /IncomeType Must be present

- **IncomeTypeUsageRule**

The lists of income type codes to be used in IncomeType are provided in the document "ETYP-ITYP Exemption & Income Type Codes" available on the SMPG website at www.smpg.info.

- **IssuerOfferorTaxabilityIndicatorUsageRule**

The IssuerOfferorTaxabilityIndicator may only be used when no tax rate is provided in the message and it must not be used in events such as dividend or interest.

The list of proprietary IssuerOfferorTaxabilityIndicator codes that may be used are provided in the document "TXAP Taxability Codes" available on the SMPG website at www.smpg.info.

7.4.13.23.1 SecurityDetails <SctyDtls>

Presence: [1..1]

Definition: Provides description of the financial instrument related to securities movement.

Impacted by: C46 "MaturityDateRule", C56 "PreviousNextFactorRule"

SecurityDetails <SctyDtls> contains the following elements (see "[FinancialInstrumentAttributes115](#)" on page 761 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	FinancialInstrumentIdentification <FinInstrmId>	[1..1]	±	C17, C18, C41, C42, C54	763
	PlaceOfListing <PlcOfListg>	[0..1]	±		764
	DayCountBasis <DayCntBsis>	[0..1]	±		764
	ClassificationType <ClssfctnTp>	[0..1]	±		764
	OptionStyle <OptnStyle>	[0..1]	±		764
	DenominationCurrency <DnmtnCcy>	[0..1]	CodeSet	C2	765
	NextCouponDate <NxtCpnDt>	[0..1]	Date		765
	FloatingRateFixingDate <FltgRateFxdt>	[0..1]	Date		765
	MaturityDate <MtrtyDt>	[0..1]	Date		765
	IssueDate <IsseDt>	[0..1]	Date		765
	NextCallableDate <NxtClblDt>	[0..1]	Date		766
	PutableDate <PutblDt>	[0..1]	Date		766
	DatedDate <DtdDt>	[0..1]	Date		766
	ConversionDate <ConvsDt>	[0..1]	Date		766
	PreviousFactor <PrvsFctr>	[0..1]	±		766
	NextFactor <NxtFctr>	[0..1]	±		766
	InterestRate <IntrstRate>	[0..1]	±		767
	NextInterestRate <NxtIntrstRate>	[0..1]	±		767
	MinimumNominalQuantity <MinNmnlQty>	[0..1]	±	C19	767
	MinimumQuantityToInstruct <MinQtyToInst>	[0..1]	±	C19	768
	MinimumMultipleQuantityToInstruct <MinMltpQtyToInst>	[0..1]	±	C19	768
	ContractSize <CtrctSz>	[0..1]	±	C19	769
	IssuePrice <IssePric>	[0..1]	±		769

Constraints

- **MaturityDateRule**

Maturity date may only provide generic information about the final maturity of the underlying instrument.

- **PreviousNextFactorRule**

In case of partial redemption by pool factor, PreviousFactor provides the factor applicable before redemption and NextFactor provides the factor applicable after redemption.

7.4.13.23.2 CreditDebitIndicator <CdtDbtInd>

Presence: [1..1]

Definition: Specifies whether the value is a debit or credit.

Datatype: "CreditDebitCode" on page 1135

CodeName	Name	Definition
CRDT	Credit	Operation is an increase.
DBIT	Debit	Operation is a decrease.

7.4.13.23.3 TemporaryFinancialInstrumentIndicator <TempFinInstrmInd>

Presence: [0..1]

Definition: Specifies that the security identified is a temporary security identification used for processing reasons, for example, contra security used in the US.

TemporaryFinancialInstrumentIndicator <TempFinInstrmInd> contains one of the following elements (see "TemporaryFinancialInstrumentIndicator4Choice" on page 876 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	TemporaryIndicator <TempInd>	[1..1]	Indicator		876
Or}	Proprietary <Prtry>	[1..1]	±		877

7.4.13.23.4 NonEligibleProceedsIndicator <NonElgblPrclsInd>

Presence: [0..1]

Definition: Specifies information regarding outturn resources that cannot be processed by the Central Securities Depository (CSD). Special delivery instruction is required from the account owner for the corporate action outcome to be credited.

NonEligibleProceedsIndicator <NonElgblPrclsInd> contains one of the following elements (see "NonEligibleProceedsIndicator4Choice" on page 875 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		876
Or}	Proprietary <Prtry>	[1..1]	±		876

7.4.13.23.5 IssuerOfferorTaxabilityIndicator <IssrOfferrTaxbltyInd>

Presence: [0..1]

Definition: Proceeds are taxable according to the information provided by the issuer / offeror.

IssuerOfferorTaxabilityIndicator <IssrOfferrTaxbltyInd> contains one of the following elements (see "IssuerOfferorTaxabilityIndicator1Choice" on page 912 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		912
Or}	Proprietary <Prtry>	[1..1]	±		912

7.4.13.23.6 NewSecuritiesIssuanceIndicator <NewScetiesIssncInd>

Presence: [0..1]

Definition: Specifies the nature of the securities issue.

Datatype: "NewSecuritiesIssuanceType5Code" on page 1150

CodeName	Name	Definition
DEFE	DefeasedSecurityIndicator	Funds have been placed in escrow by a trustee or agent to ensure payment on a portion of the outstanding balance of the original security on maturity date. Applicable only in the frame of a Partial Defeasance PDEF corporate action event.
EXIS	ExistingIssue	Securities proceeds are not new issues.
NEIS	NewIssue	Securities proceeds are newly issued.
NDEF	NonDefeasedSecurityIndicator	Funds will not be guaranteed at maturity by the issuer or paying agent for this newly issued non-defeased security. Applicable only in the frame of a Partial Defeasance PDEF corporate action event.
UKWN	Unknown	Not known whether the securities proceeds are newly issued or not.
NREF	NonRefundedSecurityIndicator	New Security issued that has not been refunded and the maturity date of the original underlying security is unchanged. Applicable only in the frame of a Partial Defeasance PDEF corporate action event.
REFU	RefundedSecurityIndicator	New security issued that has been refunded to an earlier maturity date. Applicable only in the frame of a partial defeasance PDEF corporate action event.

7.4.13.23.7 IncomeType <IncmTp>

Presence: [0..1]

Definition: Specifies the type of income.

The lists of income type codes to be used, are available on the SMPG website at www.smpg.info.

IncomeType <IncmTp> contains the following elements (see ["GenericIdentification47"](#) on page 790 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		790
	Issuer <Issr>	[1..1]	Text		790
	SchemeName <SchmeNm>	[0..1]	Text		791

7.4.13.23.8 OtherIncomeType <OthrIncmTp>

Presence: [0..*]

Definition: Type of income to be used under another tax regime.

OtherIncomeType <OthrIncmTp> contains the following elements (see ["GenericIdentification47"](#) on page 790 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		790
	Issuer <Issr>	[1..1]	Text		790
	SchemeName <SchmeNm>	[0..1]	Text		791

7.4.13.23.9 ExemptionType <XmptnTp>

Presence: [0..*]

Definition: Specifies the basis for the reduced rate of withholding.

ExemptionType <XmptnTp> contains the following elements (see ["GenericIdentification47"](#) on page 790 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		790
	Issuer <Issr>	[1..1]	Text		790
	SchemeName <SchmeNm>	[0..1]	Text		791

7.4.13.23.10 EntitledQuantity <EntitldQty>

Presence: [0..1]

Definition: Quantity of securities based on the terms of the corporate action event and balance of underlying securities entitled to the account owner. (This quantity can be positive or negative).

EntitledQuantity <EntitldQty> contains one of the following elements (see ["Quantity54Choice"](#) on page 981 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Quantity <Qty>	[1..1]	±	C19	981
Or}	OriginalAndCurrentFace <OrgnlAndCurFace>	[1..1]	±		981

7.4.13.23.11 SafekeepingPlace <SfkpgPlc>*Presence:* [0..1]*Definition:* Location where the financial instruments are/will be safekept.**SafekeepingPlace <SfkpgPlc>** contains one of the following elements (see "SafekeepingPlaceFormat39Choice" on page 1048 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Identification <Id>	[1..1]	±		1049
Or	Country <Ctry>	[1..1]	CodeSet	C3	1049
Or	TypeAndIdentification <TpAndId>	[1..1]			1049
	SafekeepingPlaceType <SfkpgPlcTp>	[1..1]	CodeSet		1050
	Identification <Id>	[1..1]	IdentifierSet	C1	1050
Or}	Proprietary <Prtry>	[1..1]	±		1050

7.4.13.23.12 CountryOfIncomeSource <CtryOfIncmSrc>*Presence:* [0..1]*Definition:* Indicates the country from which the income originates.*Impacted by:* C12 "Country"*Datatype:* "CountryCode" on page 1135**Constraints**

- Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

7.4.13.23.13 FractionDisposition <FrctnDspstn>*Presence:* [0..1]*Definition:* Specifies how fractions resulting from derived securities will be processed or how prorated decisions will be rounding, if provided with a pro ration rate.**FractionDisposition <FrctnDspstn>** contains one of the following elements (see "FractionDispositionType31Choice" on page 888 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		888
Or}	Proprietary <Prtry>	[1..1]	±		889

7.4.13.23.14 CurrencyOption <CcyOptn>*Presence:* [0..1]*Definition:* Currency in which the cash disbursed from an interest or dividend payment is offered.*Impacted by:* C1 "ActiveCurrency"

Datatype: "ActiveCurrencyCode" on page 1088

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

7.4.13.23.15 TradingPeriod <TradgPrd>

Presence: [0..1]

Definition: Period during which intermediate or outturn securities are tradable in a secondary market.

TradingPeriod <TradgPrd> contains one of the following elements (see "Period6Choice" on page 735 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Period <Prd>	[1..1]	±		736
Or}	PeriodCode <PrdCd>	[1..1]	CodeSet		736

7.4.13.23.16 DateDetails <DtDtls>

Presence: [1..1]

Definition: Provides information about the dates related to securities movement.

DateDetails <DtDtls> contains the following **SecurityDate18** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PaymentDate <PmtDt>	[1..1]	±		381
	AvailableDate <AvlblDt>	[0..1]	±		382
	DividendRankingDate <DvddRnkgDt>	[0..1]	±		382
	EarliestPaymentDate <EarlstPmtDt>	[0..1]	±		382
	PariPassuDate <PrpssDt>	[0..1]	±		383
	LastTradingDate <LastTradgDt>	[0..1]	±		383

7.4.13.23.16.1 PaymentDate <PmtDt>

Presence: [1..1]

Definition: Date/time at which the movement is due to take place (cash and/or securities).

PaymentDate <PmtDt> contains one of the following elements (see "DateFormat49Choice" on page 729 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		730
Or}	DateCode <DtCd>	[1..1]			730
{Or	Code <Cd>	[1..1]	CodeSet		730
Or}	Proprietary <Prtry>	[1..1]	±		730

7.4.13.23.16.2 AvailableDate <AvlblDt>

Presence: [0..1]

Definition: Date/time at which securities become available for trading, for example first dealing date.

AvailableDate <AvlblDt> contains one of the following elements (see "DateFormat49Choice" on page 729 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		730
Or}	DateCode <DtCd>	[1..1]			730
{Or	Code <Cd>	[1..1]	CodeSet		730
Or}	Proprietary <Prtry>	[1..1]	±		730

7.4.13.23.16.3 DividendRankingDate <DvddRnkgDt>

Presence: [0..1]

Definition: Date/time at which a security will be entitled to a dividend.

DividendRankingDate <DvddRnkgDt> contains one of the following elements (see "DateFormat49Choice" on page 729 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		730
Or}	DateCode <DtCd>	[1..1]			730
{Or	Code <Cd>	[1..1]	CodeSet		730
Or}	Proprietary <Prtry>	[1..1]	±		730

7.4.13.23.16.4 EarliestPaymentDate <EarlstPmtDt>

Presence: [0..1]

Definition: Date/time at which a payment can be made, for example, if payment date is a non-business day or to indicate the first payment date of an offer.

EarliestPaymentDate <EarlstPmtDt> contains one of the following elements (see "DateFormat49Choice" on page 729 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		730
Or}	DateCode <DtCd>	[1..1]			730
{Or	Code <Cd>	[1..1]	CodeSet		730
Or}	Proprietary <Prtry>	[1..1]	±		730

7.4.13.23.16.5 PariPassuDate <PrpssDt>

Presence: [0..1]

Definition: Date/time at which security will assimilate, become fungible, or have the same rights to dividends as the parent issue.

PariPassuDate <PrpssDt> contains one of the following elements (see "DateFormat49Choice" on page 729 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		730
Or}	DateCode <DtCd>	[1..1]			730
{Or	Code <Cd>	[1..1]	CodeSet		730
Or}	Proprietary <Prtry>	[1..1]	±		730

7.4.13.23.16.6 LastTradingDate <LastTradgDt>

Presence: [0..1]

Definition: Date/time at which the securities to be reorganised will cease to be tradeable.

LastTradingDate <LastTradgDt> contains one of the following elements (see "DateFormat49Choice" on page 729 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		730
Or}	DateCode <DtCd>	[1..1]			730
{Or	Code <Cd>	[1..1]	CodeSet		730
Or}	Proprietary <Prtry>	[1..1]	±		730

7.4.13.23.17 RateDetails <RateDtls>

Presence: [0..1]

Definition: Provides information about the rates related to securities movement.

RateDetails <RateDtls> contains the following **CorporateActionRate95** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AdditionalQuantityForSubscribedResultantSecurities <AddtlQtyForSbcbdRslntScies>	[0..1]	±		384
	AdditionalQuantityForExistingSecurities <AddtlQtyForExstgScies>	[0..1]	±		384
	NewToOld <NewToOd>	[0..1]	±		385
	TransformationRate <TrfrmatnRate>	[0..1]	Rate		385
	ChargesFees <ChrgsFees>	[0..1]	±		385
	FiscalStamp <FscIStmp>	[0..1]	±		386
	ApplicableRate <AplblRate>	[0..1]	±		386
	TaxCreditRate <TaxCdtRate>	[0..1]	±		386
	FinancialTransactionTaxRate <FinTxTaxRate>	[0..1]	±		387

7.4.13.23.17.1 AdditionalQuantityForSubscribedResultantSecurities <AddtlQtyForSbcbdRslntScies>

Presence: [0..1]

Definition: Quantity of additional intermediate securities/new equities awarded for a given quantity of securities derived from subscription.

AdditionalQuantityForSubscribedResultantSecurities <AddtlQtyForSbcbdRslntScies> contains one of the following elements (see "[RatioFormat23Choice](#)" on page 874 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	QuantityToQuantity <QtyToQty>	[1..1]	±		874
Or	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		874
Or}	AmountToAmount <AmtToAmt>	[1..1]			875
	Amount1 <Amt1>	[1..1]	Amount	C1	875
	Amount2 <Amt2>	[1..1]	Amount	C1	875

7.4.13.23.17.2 AdditionalQuantityForExistingSecurities <AddtlQtyForExstgScies>

Presence: [0..1]

Definition: Quantity of additional securities for a given quantity of underlying securities where underlying securities are not exchanged or debited, for example, 1 for 1: 1 new equity credited for every 1 underlying equity = 2 resulting equities.

AdditionalQuantityForExistingSecurities <AddtlQtyForExstgScies> contains one of the following elements (see "RatioFormat23Choice" on page 874 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	QuantityToQuantity <QtyToQty>	[1..1]	±		874
Or	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		874
Or}	AmountToAmount <AmtToAmt>	[1..1]			875
	Amount1 <Amt1>	[1..1]	Amount	C1	875
	Amount2 <Amt2>	[1..1]	Amount	C1	875

7.4.13.23.17.3 NewToOld <NewToOd>

Presence: [0..1]

Definition: Quantity of new securities for a given quantity of underlying securities, where the underlying securities will be exchanged or debited, for example, 2 for 1: 2 new equities credited for every 1 underlying equity debited = 2 resulting equities.

NewToOld <NewToOd> contains one of the following elements (see "RatioFormat24Choice" on page 871 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	QuantityToQuantity <QtyToQty>	[1..1]	±		871
Or	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		871
Or	AmountToAmount <AmtToAmt>	[1..1]			872
	Amount1 <Amt1>	[1..1]	Amount	C1	872
	Amount2 <Amt2>	[1..1]	Amount	C1	872
Or	AmountToQuantity <AmtToQty>	[1..1]			873
	Amount <Amt>	[1..1]	Amount	C1	873
	Quantity <Qty>	[1..1]	Quantity		873
Or}	QuantityToAmount <QtyToAmt>	[1..1]			873
	Amount <Amt>	[1..1]	Amount	C1	873
	Quantity <Qty>	[1..1]	Quantity		874

7.4.13.23.17.4 TransformationRate <TrfrmatnRate>

Presence: [0..1]

Definition: Rate used to determine the cash consideration split across outturn settlement transactions that are the result of a transformation of the parent transaction.

Datatype: "PercentageRate" on page 1172

7.4.13.23.17.5 ChargesFees <ChrgsFees>

Presence: [0..1]

Definition: Rate used to calculate the amount of the charges/fees that cannot be categorised.

ChargesFees <ChrgsFees> contains one of the following elements (see "RateAndAmountFormat46Choice" on page 1040 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1040
Or	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		1040
Or}	Amount <Amt>	[1..1]	Amount	C1	1041

7.4.13.23.17.6 FiscalStamp <FscIStmp>

Presence: [0..1]

Definition: Percentage of fiscal tax to apply.

FiscalStamp <FscIStmp> contains one of the following elements (see "RateFormat3Choice" on page 987 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		987
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		987

7.4.13.23.17.7 ApplicableRate <AplblRate>

Presence: [0..1]

Definition: Rate applicable to the event announced, for example, redemption rate for a redemption event.

ApplicableRate <AplblRate> contains one of the following elements (see "RateFormat3Choice" on page 987 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		987
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		987

7.4.13.23.17.8 TaxCreditRate <TaxCdtRate>

Presence: [0..1]

Definition: Amount of money per equity allocated as the result of a tax credit.

TaxCreditRate <TaxCdtRate> contains one of the following elements (see "RateFormat21Choice" on page 1039 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1039
Or	Amount <Amt>	[1..1]	Amount	C1	1039
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		1039

7.4.13.23.17.9 FinancialTransactionTaxRate <FinTxTaxRate>*Presence:* [0..1]*Definition:* Rate of financial transaction tax.**FinancialTransactionTaxRate <FinTxTaxRate>** contains one of the following elements (see "RateFormat3Choice" on page 987 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		987
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		987

7.4.13.23.18 PriceDetails <PricDtls>*Presence:* [0..1]*Definition:* Provides information about the prices related to securities movement.*Impacted by:* C27 "GenericCashPricePerProductGuideline"**PriceDetails <PricDtls>** contains the following **CorporateActionPrice79** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	IndicativeOrMarketPrice <IndctvOrMktPric>	[0..1]	±		387
	CashInLieuOfSharePrice <CshInLieuOfShrPric>	[0..1]	±		388
	CashValueForTax <CshValForTax>	[0..1]	±		388
	GenericCashPricePaidPerProduct <GncCshPricPdPerPdct>	[0..1]	±		388
	GenericCashPriceReceivedPerProduct <GncCshPricRcvdPerPdct>	[0..1]	±		389

Constraints

- GenericCashPricePerProductGuideline**

GenericCashPriceReceivedPerProduct and GenericCashPricePaidPerProduct are prices received or paid in the course of an event. The specific meaning (redemption price, subscription price, reinvestment price, etc.) is derived from the event type.

7.4.13.23.18.1 IndicativeOrMarketPrice <IndctvOrMktPric>*Presence:* [0..1]*Definition:* Indicates whether the price is an indicative price or a market price.**IndicativeOrMarketPrice <IndctvOrMktPric>** contains one of the following elements (see "IndicativeOrMarketPrice11Choice" on page 965 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	IndicativePrice <IndctvPric>	[1..1]	±		965
Or}	MarketPrice <MktPric>	[1..1]	±		966

7.4.13.23.18.2 CashInLieuOfSharePrice <CshInLieuOfShrPric>*Presence:* [0..1]*Definition:* Cash disbursement in lieu of equities; usually in lieu of fractional quantity.**CashInLieuOfSharePrice <CshInLieuOfShrPric>** contains one of the following elements (see "PriceFormat57Choice" on page 967 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	PercentagePrice <PctgPric>	[1..1]			968
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		968
	PriceValue <PricVal>	[1..1]	Rate		968
Or	AmountPrice <AmtPric>	[1..1]	±		968
Or}	NotSpecifiedPrice <NotSpcfdPric>	[1..1]	CodeSet		969

7.4.13.23.18.3 CashValueForTax <CshValForTax>*Presence:* [0..1]*Definition:* Cash value of resulting securities proceeds for tax calculation and/or reporting.**CashValueForTax <CshValForTax>** contains one of the following elements (see "PriceFormat58Choice" on page 967 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AmountPrice <AmtPric>	[1..1]	±		967
Or}	NotSpecifiedPrice <NotSpcfdPric>	[1..1]	CodeSet		967

7.4.13.23.18.4 GenericCashPricePaidPerProduct <GncCshPricPdPerPdct>*Presence:* [0..1]*Definition:* Generic cash price paid per product by the underlying security holder either as a percentage or an amount or a number of points above an index, for example, reinvestment price, strike price and exercise price.**GenericCashPricePaidPerProduct <GncCshPricPdPerPdct>** contains one of the following elements (see "PriceFormat59Choice" on page 969 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	PercentagePrice <PctgPric>	[1..1]			969
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		969
	PriceValue <PricVal>	[1..1]	Rate		970
Or	AmountPrice <AmtPric>	[1..1]	±		970
Or	NotSpecifiedPrice <NotSpcfdPric>	[1..1]	CodeSet		970
Or}	IndexPoints <IndxPts>	[1..1]	Quantity		970

7.4.13.23.18.5 GenericCashPriceReceivedPerProduct <GncCshPricRcvdPerPdct>*Presence:* [0..1]*Definition:* Generic cash price received per product by the underlying security holder either as a percentage or an amount, for example, redemption price.**GenericCashPriceReceivedPerProduct <GncCshPricRcvdPerPdct>** contains one of the following elements (see "PriceFormat70Choice" on page 956 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	PercentagePrice <PctgPric>	[1..1]			957
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		957
	PriceValue <PricVal>	[1..1]	Rate		958
Or	AmountPrice <AmtPric>	[1..1]	±		958
Or	NotSpecifiedPrice <NotSpcfdPric>	[1..1]	CodeSet		958
Or	AmountPricePerFinancialInstrumentQuantity <AmtPricPerFinInstrmQty>	[1..1]			958
	AmountPriceType <AmtPricTp>	[1..1]	CodeSet		959
	PriceValue <PricVal>	[1..1]	Amount	C1	959
	FinancialInstrumentQuantity <FinInstrmQty>	[1..1]	±	C19	959
Or	AmountPricePerAmount <AmtPricPerAmt>	[1..1]			960
	AmountPriceType <AmtPricTp>	[1..1]	CodeSet		960
	PriceValue <PricVal>	[1..1]	Amount	C1	960
	Amount <Amt>	[1..1]	Amount	C1	961
Or}	IndexPoints <IndxPts>	[1..1]	Quantity		961

7.4.13.24 CashMovementDetails <CshMvmntDtls>*Presence:* [0..*]*Definition:* Provides information about the cash movement linked to the corporate action option.*Impacted by:* C26 "ExemptionTypeUsageRule", C36 "IncomeType2Rule", C38 "IncomeTypeUsageRule", C44 "IssuerOfferorTaxabilityIndicatorUsageRule"

CashMovementDetails <CshMvmntDtls> contains the following **CashOption81** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CreditDebitIndicator <CdtDbtInd>	[1..1]	CodeSet		393
	ContractualPaymentIndicator <CtrctlPmtInd>	[0..1]	CodeSet		393
	NonEligibleProceedsIndicator <NonElgblPrclsInd>	[0..1]	±		394
	IssuerOfferorTaxabilityIndicator <IssrOfferrTaxbltyInd>	[0..1]	±		394
	IncomeType <IncmTp>	[0..1]	±		394
	OtherIncomeType <OthrIncmTp>	[0..*]	±		394
	ExemptionType <XmptnTp>	[0..*]	±		395
	CountryOfIncomeSource <CtryOfIncmSrc>	[0..1]	CodeSet	C12	395
	CashAccountIdentification <CshAcctId>	[0..1]	±		395
	AmountDetails <AmtDtls>	[0..1]			396
	GrossCashAmount <GrssCshAmt>	[0..1]	Amount	C1, C13	398
	NetCashAmount <NetCshAmt>	[0..1]	Amount	C1, C13	398
	SolicitationFees <SlctnFees>	[0..1]	Amount	C1, C13	399
	CashInLieuOfShare <CshInLieuOfShr>	[0..1]	Amount	C1, C13	399
	CapitalGain <CptlGn>	[0..1]	Amount	C1, C13	400
	InterestAmount <IntrstAmt>	[0..1]	Amount	C1, C13	400
	MarketClaimAmount <MktClmAmt>	[0..1]	Amount	C1, C13	400
	IndemnityAmount <IndmntyAmt>	[0..1]	Amount	C1, C13	401
	ManufacturedDividendPaymentAmount <ManfctrdDvddPmtAmt>	[0..1]	Amount	C1, C13	401
	ReinvestmentAmount <RinvstmtAmt>	[0..1]	Amount	C1, C13	402
	FullyFrankedAmount <FullyFrnkAmt>	[0..1]	Amount	C1, C13	402
	UnfrankedAmount <UfrnkAmt>	[0..1]	Amount	C1, C13	403
	SundryOrOtherAmount <SndryOrOthrAmt>	[0..1]	Amount	C1, C13	403
	TaxFreeAmount <TaxFreeAmt>	[0..1]	Amount	C1, C13	403
	TaxDeferredAmount <TaxDfrdAmt>	[0..1]	Amount	C1, C13	404
	ValueAddedTaxAmount <ValAddedTaxAmt>	[0..1]	Amount	C1, C13	404
	StampDutyAmount <StmpDtyAmt>	[0..1]	Amount	C1, C13	405
	TaxReclaimAmount <TaxRclmAmt>	[0..1]	Amount	C1, C13	405
	TaxCreditAmount <TaxCdtAmt>	[0..1]	Amount	C1, C13	405
	AdditionalTaxAmount <AddtlTaxAmt>	[0..1]	Amount	C1, C13	406

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	WithholdingTaxAmount <WhldgTaxAmt>	[0..1]	Amount	C1, C13	406
	SecondLevelTaxAmount <ScndLvTaxAmt>	[0..1]	Amount	C1, C14	407
	FiscalStampAmount <FscIStmpAmt>	[0..1]	Amount	C1, C13	407
	ExecutingBrokerAmount <ExctgBrkrAmt>	[0..1]	Amount	C1, C13	407
	PayingAgentCommissionAmount <PngAgtComssnAmt>	[0..1]	Amount	C1, C13	408
	LocalBrokerCommissionAmount <LclBrkrComssnAmt>	[0..1]	Amount	C1, C13	408
	RegulatoryFeesAmount <RgltryFeesAmt>	[0..1]	Amount	C1, C13	409
	ShippingFeesAmount <ShppgFeesAmt>	[0..1]	Amount	C1, C13	409
	ChargesAmount <ChrgsAmt>	[0..1]	Amount	C1, C13	409
	EntitledAmount <EntitldAmt>	[0..1]	Amount	C1, C13	410
	OriginalAmount <OrgnlAmt>	[0..1]	Amount	C1, C13	410
	AccruedInterestAmount <AcrdIntrstAmt>	[0..1]	Amount	C1, C13	411
	IncomePortion <IncmPrtn>	[0..1]	Amount	C1, C13	411
	EqualisationAmount <EqulstnAmt>	[0..1]	Amount	C1, C13	411
	FATCATaxAmount <FATCATaxAmt>	[0..1]	Amount	C1, C13	412
	NRATaxAmount <NRATaxAmt>	[0..1]	Amount	C1, C13	412
	BackUpWithholdingTaxAmount <BckUpWhldgTaxAmt>	[0..1]	Amount	C1, C13	413
	TaxOnIncomeAmount <TaxOnIncmAmt>	[0..1]	Amount	C1, C13	413
	TransactionTax <TxTax>	[0..1]	Amount	C1, C13	413
	DeemedAmount <DmdAmt>	[0..1]	Amount	C1, C13	414
	ForeignIncomeAmount <FrgnIncmAmt>	[0..1]	Amount	C1, C13	414
	DeemedDividendAmount <DmdDvddAmt>	[0..1]	Amount	C1, C13	415
	DeemedFundAmount <DmdFndAmt>	[0..1]	Amount	C1, C13	415
	DeemedInterestAmount <DmdIntrstAmt>	[0..1]	Amount	C1, C13	415
	DeemedRoyaltiesAmount <DmdRyltsAmt>	[0..1]	Amount	C1, C13	416
	DateDetails <DtDtls>	[1..1]			416
	PaymentDate <PmtDt>	[1..1]	±		417
	ValueDate <ValDt>	[0..1]	±		417
	ForeignExchangeRateFixingDate <FXRateFxdDt>	[0..1]	±		417
	EarliestPaymentDate <EarlStPmtDt>	[0..1]	±		417

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ForeignExchangeDetails <FXDtls>	[0..1]	±		418
	RateAndAmountDetails <RateAndAmtDtls>	[0..1]		C15, C16, C30, C32, C48, C50, C67, C69, C71, C75, C77	418
	AdditionalTax <AddtlTax>	[0..1]	±		421
	GrossDividendRate <GrssDvddRate>	[0..*]	±		421
	InterestRateUsedForPayment <IntrstRateUsdForPmt>	[0..*]	±		421
	WithholdingTaxRate <WhldgTaxRate>	[0..*]	±		422
	SecondLevelTax <ScndLvlTax>	[0..*]	±		422
	ChargesFees <ChrgsFees>	[0..1]	±		423
	EarlySolicitationFeeRate <EarlySlctnFeeRate>	[0..1]	±		423
	FiscalStamp <FscIStmp>	[0..1]	±		424
	ThirdPartyIncentiveRate <ThrdPtyIncntivRate>	[0..1]	±		424
	NetDividendRate <NetDvddRate>	[0..*]	±		424
	ApplicableRate <AplblRate>	[0..1]	±		425
	SolicitationFeeRate <SlctnFeeRate>	[0..1]	±		425
	TaxCreditRate <TaxCdtRate>	[0..1]	±		426
	TaxOnIncome <TaxOnIncm>	[0..1]	±		426
	TaxOnProfits <TaxOnPrfts>	[0..1]	±		426
	TaxReclaimRate <TaxRclmRate>	[0..1]	±		427
	EqualisationRate <EqulstnRate>	[0..1]	±		427
	DeemedRate <DmdRate>	[0..*]	±		427
	PriceDetails <PricDtls>	[0..1]		C28	428
	GenericCashPricePaidPerProduct <GncCshPricPdPerPdct>	[0..1]	±		429
	GenericCashPriceReceivedPerProduct <GncCshPricRcvdPerPdct>	[0..1]	±		429

Constraints

- **ExemptionTypeUsageRule**

The lists of exemption type codes to be used in ExemptionType are provided in the document "ETYP-ITYP Exemption & Income Type Codes" available on the SMPG website at www.smpg.info.

- **IncomeType2Rule**

If at least one occurrence of OtherIncomeType is present, then IncomeType must be present.

```
On Condition
  /OtherIncomeType[*] is present
Following Must be True
  /IncomeType Must be present
```

- **IncomeTypeUsageRule**

The lists of income type codes to be used in IncomeType are provided in the document "ETYP-ITYP Exemption & Income Type Codes" available on the SMPG website at www.smpg.info.

- **IssuerOfferorTaxabilityIndicatorUsageRule**

The IssuerOfferorTaxabilityIndicator may only be used when no tax rate is provided in the message and it must not be used in events such as dividend or interest.

The list of proprietary IssuerOfferorTaxabilityIndicator codes that may be used are provided in the document "TXAP Taxability Codes" available on the SMPG website at www.smpg.info.

7.4.13.24.1 CreditDebitIndicator <CdtDbtInd>

Presence: [1..1]

Definition: Indicates whether the value is a debit or a credit.

Datatype: "CreditDebitCode" on page 1135

CodeName	Name	Definition
CRDT	Credit	Operation is an increase.
DBIT	Debit	Operation is a decrease.

7.4.13.24.2 ContractualPaymentIndicator <CtrctlPmtInd>

Presence: [0..1]

Definition: Indicates whether the cash payment occurs or will occur in advance of receipt of proceeds from the issuer and based on a contractual agreement established with the account servicer or upon receipt of proceeds from the issuer.

Datatype: "Payment1Code" on page 1155

CodeName	Name	Definition
ACTU	ActualPayment	The cash payment occurs or will occur upon receipt of proceeds from the issuer.
CONT	ContractualPayment	The cash payment occurs or will occur in advance of receipt of proceeds from the issuer and based on a contractual agreement established with the account servicer.

7.4.13.24.3 NonEligibleProceedsIndicator <NonElgblPrcdsInd>*Presence:* [0..1]*Definition:* Specifies information regarding outturn resources that cannot be processed by the Central Securities Depository (CSD). Special delivery instruction is required from the account owner for the corporate action outcome to be credited.**NonEligibleProceedsIndicator <NonElgblPrcdsInd>** contains one of the following elements (see "NonEligibleProceedsIndicator4Choice" on page 875 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		876
Or}	Proprietary <Prtry>	[1..1]	±		876

7.4.13.24.4 IssuerOfferorTaxabilityIndicator <IssrOfferrTaxbltyInd>*Presence:* [0..1]*Definition:* Proceeds are taxable according to the information provided by the issuer / offeror.**IssuerOfferorTaxabilityIndicator <IssrOfferrTaxbltyInd>** contains one of the following elements (see "IssuerOfferorTaxabilityIndicator1Choice" on page 912 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		912
Or}	Proprietary <Prtry>	[1..1]	±		912

7.4.13.24.5 IncomeType <IncmTp>*Presence:* [0..1]*Definition:* Specifies the type of income.The lists of income type codes to be used, are available on the SMPG website at www.smpg.info.**IncomeType <IncmTp>** contains the following elements (see "GenericIdentification47" on page 790 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		790
	Issuer <Issr>	[1..1]	Text		790
	SchemeName <SchmeNm>	[0..1]	Text		791

7.4.13.24.6 OtherIncomeType <OthrIncmTp>*Presence:* [0..*]*Definition:* Type of income to be used under another tax regime.

OtherIncomeType <OthrIncmTp> contains the following elements (see "[GenericIdentification47](#)" on page 790 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		790
	Issuer <Issr>	[1..1]	Text		790
	SchemeName <SchmeNm>	[0..1]	Text		791

7.4.13.24.7 ExemptionType <XmptnTp>

Presence: [0..*]

Definition: Specifies the basis for the reduced rate of withholding.

ExemptionType <XmptnTp> contains the following elements (see "[GenericIdentification47](#)" on page 790 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		790
	Issuer <Issr>	[1..1]	Text		790
	SchemeName <SchmeNm>	[0..1]	Text		791

7.4.13.24.8 CountryOfIncomeSource <CtryOfIncmSrc>

Presence: [0..1]

Definition: Indicates the country from which the income originates.

Impacted by: C12 "Country"

Datatype: "[CountryCode](#)" on page 1135

Constraints

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

7.4.13.24.9 CashAccountIdentification <CshAcctId>

Presence: [0..1]

Definition: Identification of the account in which cash is maintained.

CashAccountIdentification <CshAcctId> contains one of the following elements (see "[CashAccountIdentification6Choice](#)" on page 712 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	IBAN <IBAN>	[1..1]	IdentifierSet	C33	712
Or}	Proprietary <Prtry>	[1..1]	Text		713

7.4.13.24.10 AmountDetails <AmtDtls>

Presence: [0..1]

Definition: Provides information about the amounts related to a cash movement.

AmountDetails <AmtDtls> contains the following **CorporateActionAmounts58** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	GrossCashAmount <GrssCshAmt>	[0..1]	Amount	C1, C13	398
	NetCashAmount <NetCshAmt>	[0..1]	Amount	C1, C13	398
	SolicitationFees <SlctnFees>	[0..1]	Amount	C1, C13	399
	CashInLieuOfShare <CshInLieuOfShr>	[0..1]	Amount	C1, C13	399
	CapitalGain <CptlGn>	[0..1]	Amount	C1, C13	400
	InterestAmount <IntrstAmt>	[0..1]	Amount	C1, C13	400
	MarketClaimAmount <MktClmAmt>	[0..1]	Amount	C1, C13	400
	IndemnityAmount <IndmntyAmt>	[0..1]	Amount	C1, C13	401
	ManufacturedDividendPaymentAmount <ManfctrdDvddPmtAmt>	[0..1]	Amount	C1, C13	401
	ReinvestmentAmount <RinvstmtAmt>	[0..1]	Amount	C1, C13	402
	FullyFrankedAmount <FullyFrnkAmt>	[0..1]	Amount	C1, C13	402
	UnfrankedAmount <UfrnkAmt>	[0..1]	Amount	C1, C13	403
	SundryOrOtherAmount <SndryOrOthrAmt>	[0..1]	Amount	C1, C13	403
	TaxFreeAmount <TaxFreeAmt>	[0..1]	Amount	C1, C13	403
	TaxDeferredAmount <TaxDfrdAmt>	[0..1]	Amount	C1, C13	404
	ValueAddedTaxAmount <ValAddedTaxAmt>	[0..1]	Amount	C1, C13	404
	StampDutyAmount <StmpDtyAmt>	[0..1]	Amount	C1, C13	405
	TaxReclaimAmount <TaxRclmAmt>	[0..1]	Amount	C1, C13	405
	TaxCreditAmount <TaxCdtAmt>	[0..1]	Amount	C1, C13	405
	AdditionalTaxAmount <AddtlTaxAmt>	[0..1]	Amount	C1, C13	406
	WithholdingTaxAmount <WhldgTaxAmt>	[0..1]	Amount	C1, C13	406
	SecondLevelTaxAmount <ScndLvTaxAmt>	[0..1]	Amount	C1, C14	407
	FiscalStampAmount <FscIStmpAmt>	[0..1]	Amount	C1, C13	407
	ExecutingBrokerAmount <ExctgBrkrAmt>	[0..1]	Amount	C1, C13	407
	PayingAgentCommissionAmount <PngAgtComssnAmt>	[0..1]	Amount	C1, C13	408
	LocalBrokerCommissionAmount <LclBrkrComssnAmt>	[0..1]	Amount	C1, C13	408
	RegulatoryFeesAmount <RgltryFeesAmt>	[0..1]	Amount	C1, C13	409
	ShippingFeesAmount <ShppgFeesAmt>	[0..1]	Amount	C1, C13	409
	ChargesAmount <ChrgsAmt>	[0..1]	Amount	C1, C13	409

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	EntitledAmount <EntitldAmt>	[0..1]	Amount	C1, C13	410
	OriginalAmount <OrgnlAmt>	[0..1]	Amount	C1, C13	410
	AccruedInterestAmount <AcrdIntrstAmt>	[0..1]	Amount	C1, C13	411
	IncomePortion <IncmPrtn>	[0..1]	Amount	C1, C13	411
	EqualisationAmount <EqulstnAmt>	[0..1]	Amount	C1, C13	411
	FATCATaxAmount <FATCATaxAmt>	[0..1]	Amount	C1, C13	412
	NRATaxAmount <NRATaxAmt>	[0..1]	Amount	C1, C13	412
	BackUpWithholdingTaxAmount <BckUpWhldgTaxAmt>	[0..1]	Amount	C1, C13	413
	TaxOnIncomeAmount <TaxOnIncmAmt>	[0..1]	Amount	C1, C13	413
	TransactionTax <TxTax>	[0..1]	Amount	C1, C13	413
	DeemedAmount <DmdAmt>	[0..1]	Amount	C1, C13	414
	ForeignIncomeAmount <FrgrIncmAmt>	[0..1]	Amount	C1, C13	414
	DeemedDividendAmount <DmdDvddAmt>	[0..1]	Amount	C1, C13	415
	DeemedFundAmount <DmdFndAmt>	[0..1]	Amount	C1, C13	415
	DeemedInterestAmount <DmdIntrstAmt>	[0..1]	Amount	C1, C13	415
	DeemedRoyaltiesAmount <DmdRyltsAmt>	[0..1]	Amount	C1, C13	416

7.4.13.24.10.1 GrossCashAmount <GrssCshAmt>*Presence:* [0..1]*Definition:* Amount of money before any deductions and allowances have been made.*Impacted by:* C1 "ActiveCurrency", C13 "CurrencyAmount"*Datatype:* "RestrictedFINActiveCurrencyAndAmount" on page 1086**Constraints**

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

7.4.13.24.10.2 NetCashAmount <NetCshAmt>*Presence:* [0..1]

Definition: Amount of money after deductions and allowances have been made, if any, that is, the total amount +/- charges/fees.

Impacted by: C1 "ActiveCurrency", C13 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1086

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

7.4.13.24.10.3 SolicitationFees <SlctnFees>

Presence: [0..1]

Definition: Cash premium made available if the securities holder consents or participates to an event, for example consent fees or solicitation fees.

Impacted by: C1 "ActiveCurrency", C13 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1086

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

7.4.13.24.10.4 CashInLieuOfShare <CshInLieuOfShr>

Presence: [0..1]

Definition: Cash disbursement in lieu of a fractional quantity of, for example, equity.

Impacted by: C1 "ActiveCurrency", C13 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1086

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217

Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

7.4.13.24.10.5 CapitalGain <CptlGn>

Presence: [0..1]

Definition: Amount of money distributed as the result of a capital gain.

Impacted by: C1 "ActiveCurrency", C13 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1086

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

7.4.13.24.10.6 InterestAmount <IntrstAmt>

Presence: [0..1]

Definition: Amount of money representing a coupon payment.

Impacted by: C1 "ActiveCurrency", C13 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1086

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

7.4.13.24.10.7 MarketClaimAmount <MktClmAmt>

Presence: [0..1]

Definition: Amount of money resulting from a market claim.

Impacted by: C1 "ActiveCurrency", C13 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1086

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

7.4.13.24.10.8 IndemnityAmount <IndmntyAmt>

Presence: [0..1]

Definition: (Unique to France) Amount due to a buyer of securities dealt prior to ex date which may be subject to different rate of taxation.

Impacted by: C1 "ActiveCurrency", C13 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1086

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

7.4.13.24.10.9 ManufacturedDividendPaymentAmount <ManfctrdDvddPmtAmt>

Presence: [0..1]

Definition: Amount of money that the borrower pays to the lender as a compensation. It does not entitle the lender to reclaim any tax credit and is sometimes treated differently by the local tax authorities of the lender. Also covers compensation/indemnity of missed dividend concerning early/late settlements if applicable to a market.

Impacted by: C1 "ActiveCurrency", C13 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1086

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

7.4.13.24.10.10 ReinvestmentAmount <RinvstmtAmt>

Presence: [0..1]

Definition: Amount of money reinvested in additional securities.

Impacted by: C1 "ActiveCurrency", C13 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1086

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

7.4.13.24.10.11 FullyFrankedAmount <FullyFrnkdtAmt>

Presence: [0..1]

Definition: Amount resulting from a fully franked dividend paid by a company; amount includes tax credit for companies that have made sufficient tax payments during the fiscal period.

Impacted by: C1 "ActiveCurrency", C13 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1086

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

7.4.13.24.10.12 UnfrankedAmount <UfrnkAmt>

Presence: [0..1]

Definition: Amount resulting from an unfranked dividend paid by a company; the amount does not include tax credit and is subject to withholding tax.

Impacted by: C1 "ActiveCurrency", C13 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1086

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

7.4.13.24.10.13 SundryOrOtherAmount <SndryOrOthrAmt>

Presence: [0..1]

Definition: Amount of money related to taxable income that cannot be categorised.

Impacted by: C1 "ActiveCurrency", C13 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1086

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

7.4.13.24.10.14 TaxFreeAmount <TaxFreeAmt>

Presence: [0..1]

Definition: Amount of money that has not been subject to taxation.

Impacted by: C1 "ActiveCurrency", C13 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1086

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

7.4.13.24.10.15 TaxDeferredAmount <TaxDfrrdAmt>

Presence: [0..1]

Definition: Amount of income eligible for deferred taxation.

Impacted by: C1 "ActiveCurrency", C13 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1086

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

7.4.13.24.10.16 ValueAddedTaxAmount <ValAddedTaxAmt>

Presence: [0..1]

Definition: Amount of value added tax.

Impacted by: C1 "ActiveCurrency", C13 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1086

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

7.4.13.24.10.17 StampDutyAmount <StmpDtyAmt>

Presence: [0..1]

Definition: Amount of stamp duty.

Impacted by: C1 "ActiveCurrency", C13 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1086

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

7.4.13.24.10.18 TaxReclaimAmount <TaxRclmAmt>

Presence: [0..1]

Definition: Amount that was paid in excess of actual tax obligation and was reclaimed.

Impacted by: C1 "ActiveCurrency", C13 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1086

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

7.4.13.24.10.19 TaxCreditAmount <TaxCdtAmt>

Presence: [0..1]

Definition: Amount of taxes that have been previously paid in relation to the taxable event.

Impacted by: C1 "ActiveCurrency", C13 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1086

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

7.4.13.24.10.20 AdditionalTaxAmount <AddtlTaxAmt>

Presence: [0..1]

Definition: Amount of additional taxes that cannot be categorised.

Impacted by: C1 "ActiveCurrency", C13 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1086

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

7.4.13.24.10.21 WithholdingTaxAmount <WhldgTaxAmt>

Presence: [0..1]

Definition: Amount of a cash distribution that will be withheld by the tax authorities of the jurisdiction of the issuer, for which a relief at source and/or reclaim may be possible.

Impacted by: C1 "ActiveCurrency", C13 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1086

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

7.4.13.24.10.22 SecondLevelTaxAmount <ScndLvITaxAmt>

Presence: [0..1]

Definition: Amount of money withheld by the jurisdiction other than the jurisdiction of the issuer's country of tax incorporation, for which a relief at source and/or reclaim may be possible. It is levied in complement or offset of the withholding tax rate levied by the jurisdiction of the issuer's tax domicile.

Impacted by: C1 "ActiveCurrency", C14 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 1085

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

7.4.13.24.10.23 FiscalStampAmount <FscIStmpAmt>

Presence: [0..1]

Definition: Amount of fiscal tax to apply.

Impacted by: C1 "ActiveCurrency", C13 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1086

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

7.4.13.24.10.24 ExecutingBrokerAmount <ExctgBrkrAmt>

Presence: [0..1]

Definition: Amount of money paid to an executing broker as a commission.

Impacted by: C1 "ActiveCurrency", C13 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1086

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

7.4.13.24.10.25 PayingAgentCommissionAmount <PngAgtComssnAmt>

Presence: [0..1]

Definition: Amount of paying/sub-paying agent commission.

Impacted by: C1 "ActiveCurrency", C13 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1086

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

7.4.13.24.10.26 LocalBrokerCommissionAmount <LclBrkrComssnAmt>

Presence: [0..1]

Definition: Local broker's commission.

Impacted by: C1 "ActiveCurrency", C13 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1086

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

7.4.13.24.10.27 RegulatoryFeesAmount <RgltryFeesAmt>

Presence: [0..1]

Definition: Amount of money charged by a regulatory authority, for example, securities and exchange fees.

Impacted by: C1 "ActiveCurrency", C13 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1086

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

7.4.13.24.10.28 ShippingFeesAmount <ShppgFeesAmt>

Presence: [0..1]

Definition: All costs related to the physical delivery of documents such as stamps, postage, carrier fees, insurances or messenger services.

Impacted by: C1 "ActiveCurrency", C13 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1086

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

7.4.13.24.10.29 ChargesAmount <ChrgsAmt>

Presence: [0..1]

Definition: Amount of money paid for the provision of financial services that cannot be categorised by another qualifier.

Impacted by: C1 "ActiveCurrency", C13 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1086

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

7.4.13.24.10.30 EntitledAmount <EntitldAmt>

Presence: [0..1]

Definition: Cash amount based on the terms of the corporate action event and balance of underlying securities, entitled to/from account owner (which may be positive or negative).

Impacted by: C1 "ActiveCurrency", C13 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1086

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

7.4.13.24.10.31 OriginalAmount <OrgnlAmt>

Presence: [0..1]

Definition: Posting/settlement amount in its original currency when conversion from/into another currency has occurred.

Impacted by: C1 "ActiveCurrency", C13 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1086

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

7.4.13.24.10.32 AccruedInterestAmount <AcrdIntrstAmt>

Presence: [0..1]

Definition: Amount of interest that has been accrued in between coupon payment periods.

Impacted by: C1 "ActiveCurrency", C13 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1086

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

7.4.13.24.10.33 IncomePortion <IncmPrtn>

Presence: [0..1]

Definition: Amount relating to the underlying security for which income is distributed.

Impacted by: C1 "ActiveCurrency", C13 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1086

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

7.4.13.24.10.34 EqualisationAmount <EqualstnAmt>

Presence: [0..1]

Definition: Portion of the fund distribution amount which represents the average accrued income included in the purchase price for units bought during the account period.

Impacted by: C1 "ActiveCurrency", C13 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1086

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

7.4.13.24.10.35 FATCATaxAmount <FATCATaxAmt>

Presence: [0..1]

Definition: FATCA (Foreign Account Tax Compliance Act) related tax amount.

Impacted by: C1 "ActiveCurrency", C13 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1086

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

7.4.13.24.10.36 NRATaxAmount <NRATaxAmt>

Presence: [0..1]

Definition: Amount of tax related income subject to NRA (Non Resident Alien).

Impacted by: C1 "ActiveCurrency", C13 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1086

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

7.4.13.24.10.37 BackUpWithholdingTaxAmount <BckUpWhldgTaxAmt>

Presence: [0..1]

Definition: Amount of tax related to back up withholding.

Impacted by: C1 "ActiveCurrency", C13 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1086

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

7.4.13.24.10.38 TaxOnIncomeAmount <TaxOnIncmAmt>

Presence: [0..1]

Definition: Amount of overall tax withheld at source by fund managers prior to considering the tax obligation of each unit holder.

Impacted by: C1 "ActiveCurrency", C13 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1086

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

7.4.13.24.10.39 TransactionTax <TxTax>

Presence: [0..1]

Definition: Amount of transaction tax.

Impacted by: C1 "ActiveCurrency", C13 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1086

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

7.4.13.24.10.40 DeemedAmount <DmdAmt>

Presence: [0..1]

Definition: Amount of proceeds which is not actually paid to the security holder but on which withholding tax is applicable.

Impacted by: C1 "ActiveCurrency", C13 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1086

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

7.4.13.24.10.41 ForeignIncomeAmount <FrgrnIncmAmt>

Presence: [0..1]

Definition: Amount relating to a foreign income such as a conduit foreign income.

Impacted by: C1 "ActiveCurrency", C13 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1086

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

7.4.13.24.10.42 DeemedDividendAmount <DmdDvddAmt>

Presence: [0..1]

Definition: Deemed amount resulting from the difference between the rate of dividend proceeds attributed to the security holder for the taxable year and the rate of dividend proceeds previously notified to the security holder in relation to cash distributions for the year.

Impacted by: C1 "ActiveCurrency", C13 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1086

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

7.4.13.24.10.43 DeemedFundAmount <DmdFndAmt>

Presence: [0..1]

Definition: Deemed amount resulting from the difference between the rate of the proceeds components comprising a fund payment attributed to the security holder for the taxable year and the rate of the fund proceeds previously notified to the security holder in relation to cash distributions for the year.

Impacted by: C1 "ActiveCurrency", C13 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1086

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

7.4.13.24.10.44 DeemedInterestAmount <DmdIntrstAmt>

Presence: [0..1]

Definition: Deemed amount resulting from the difference between the rate of interest proceeds attributed to the security holder for the taxable year and the rate of interest proceeds previously notified to the security holder in relation to cash distributions for the year.

Impacted by: C1 "ActiveCurrency", C13 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1086

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

7.4.13.24.10.45 DeemedRoyaltiesAmount <DmdRyltsAmt>

Presence: [0..1]

Definition: Deemed amount resulting from the difference between the rate of royalty proceeds attributed to the security holder for the taxable year and the rate of royalty proceeds previously notified to the security holder in relation to cash distributions for the year.

Impacted by: C1 "ActiveCurrency", C13 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1086

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

7.4.13.24.11 DateDetails <DtDtls>

Presence: [1..1]

Definition: Provides information about the dates related to a cash movement.

DateDetails <DtDtls> contains the following **CorporateActionDate72** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PaymentDate <PmtDt>	[1..1]	±		417
	ValueDate <ValDt>	[0..1]	±		417
	ForeignExchangeRateFixingDate <FXRateFxdDt>	[0..1]	±		417
	EarliestPaymentDate <EarlStPmtDt>	[0..1]	±		417

7.4.13.24.11.1 PaymentDate <PmtDt>*Presence:* [1..1]*Definition:* Date/time on which the movement is due to take place (cash and/or securities).**PaymentDate <PmtDt>** contains one of the following elements (see "DateFormat49Choice" on page 729 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		730
Or}	DateCode <DtCd>	[1..1]			730
{Or	Code <Cd>	[1..1]	CodeSet		730
Or}	Proprietary <Prtry>	[1..1]	±		730

7.4.13.24.11.2 ValueDate <ValDt>*Presence:* [0..1]*Definition:* Date/time when calculating economic benefit for a cash amount.**ValueDate <ValDt>** contains one of the following elements (see "DateFormat55Choice" on page 725 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		726
Or}	DateCode <DtCd>	[1..1]			726
{Or	Code <Cd>	[1..1]	CodeSet		726
Or}	Proprietary <Prtry>	[1..1]	±		726

7.4.13.24.11.3 ForeignExchangeRateFixingDate <FXRateFxdDt>*Presence:* [0..1]*Definition:* Date/time at which a foreign exchange rate will be determined.**ForeignExchangeRateFixingDate <FXRateFxdDt>** contains one of the following elements (see "DateFormat49Choice" on page 729 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		730
Or}	DateCode <DtCd>	[1..1]			730
{Or	Code <Cd>	[1..1]	CodeSet		730
Or}	Proprietary <Prtry>	[1..1]	±		730

7.4.13.24.11.4 EarliestPaymentDate <EarlstPmtDt>*Presence:* [0..1]

Definition: Date/time on which a payment can be made, for example, if payment date is a non-business day or to indicate the first payment date of an offer.

EarliestPaymentDate <EarlStPmtDt> contains one of the following elements (see "DateFormat49Choice" on page 729 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		730
Or}	DateCode <DtCd>	[1..1]			730
{Or	Code <Cd>	[1..1]	CodeSet		730
Or}	Proprietary <Prtry>	[1..1]	±		730

7.4.13.24.12 ForeignExchangeDetails <FXDtIs>

Presence: [0..1]

Definition: Exchange rate between the amount and the resulting amount.

ForeignExchangeDetails <FXDtIs> contains the following elements (see "ForeignExchangeTerms28" on page 782 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	UnitCurrency <UnitCcy>	[1..1]	CodeSet	C1	782
	QuotedCurrency <QtdCcy>	[1..1]	CodeSet	C1	782
	ExchangeRate <XchgRate>	[1..1]	Rate		782
	ResultingAmount <RsltgAmt>	[0..1]	Amount	C1, C13	783

7.4.13.24.13 RateAndAmountDetails <RateAndAmtDtIs>

Presence: [0..1]

Definition: Provides information about the corporate action option.

Impacted by: C15 "DeemedRate1Rule", C16 "DeemedRate2Rule", C30 "GrossDividendRate2Rule", C32 "GrossDividendRate3Rule", C48 "NetDividendRate1Rule", C50 "NetDividendRate2Rule", C67 "SecondLevelTax1Rule", C69 "SecondLevelTax2Rule", C71 "SecondLevelTax3Rule", C75 "WithholdingTaxRate1Rule", C77 "WithholdingTaxRate2Rule"

RateAndAmountDetails <RateAndAmtDtls> contains the following **Rate38** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AdditionalTax <AddtlTax>	[0..1]	±		421
	GrossDividendRate <GrssDvddRate>	[0..*]	±		421
	InterestRateUsedForPayment <IntrstRateUsdForPmt>	[0..*]	±		421
	WithholdingTaxRate <WhldgTaxRate>	[0..*]	±		422
	SecondLevelTax <ScndLvITax>	[0..*]	±		422
	ChargesFees <ChrgsFees>	[0..1]	±		423
	EarlySolicitationFeeRate <EarlySlctnFeeRate>	[0..1]	±		423
	FiscalStamp <FscIStmp>	[0..1]	±		424
	ThirdPartyIncentiveRate <ThrdPtyIncntivRate>	[0..1]	±		424
	NetDividendRate <NetDvddRate>	[0..*]	±		424
	ApplicableRate <AplblRate>	[0..1]	±		425
	SolicitationFeeRate <SlctnFeeRate>	[0..1]	±		425
	TaxCreditRate <TaxCdtRate>	[0..1]	±		426
	TaxOnIncome <TaxOnIncm>	[0..1]	±		426
	TaxOnProfits <TaxOnPrfts>	[0..1]	±		426
	TaxReclaimRate <TaxRclmRate>	[0..1]	±		427
	EqualisationRate <EqulstnRate>	[0..1]	±		427
	DeemedRate <DmdRate>	[0..*]	±		427

Constraints

- **DeemedRate1Rule**

If DeemedRate is repeated, then DeemedRate/RateTypeAndAmountAndRateStatus/RateType/Code values and DeemedRate/RateTypeAndRate/RateType/Code values must all be different in each occurrence of DeemedRate.

(MT 564 NVR C8).

- **DeemedRate2Rule**

Only a single occurrence of either DeemedRate/Amount or DeemedRate/Rate or DeemedRate/NotSpecifiedRate may be present, only one of these elements may be present.

(MT 564 NVR C7).

- **GrossDividendRate2Rule**

If GrossDividendRate is repeated, then:

- RateTypeAndAmountAndRateStatus/RateType/Code values must all be different in each occurrence of GrossDividendRate. (MT 564 NVR C8).

- **GrossDividendRate3Rule**

Only a single occurrence of either GrossDividendRate/Amount or GrossDividendRate/AmountAndRateStatus or GrossDividendRate/NotSpecifiedRate may be present, and only one of these elements may be present.

(MT 564 NVR C7).

- **NetDividendRate1Rule**

If NetDividendRate is repeated, then:

- RateTypeAndAmountAndRateStatus/RateType/Code values must all be different in each occurrence of NetDividendRate. (MT 564 NVR C8).

- **NetDividendRate2Rule**

Only a single occurrence of either NetDividendRate/Amount or NetDividendRate/AmountAndRateStatus or NetDividendRate/NotSpecifiedRate may be present, and only one of these elements may be present.

(MT 564 NVR C7).

- **SecondLevelTax1Rule**

If SecondLevelTax is repeated, then SecondLevelTax/RateTypeAndRate/RateType/Code values must all be different in each occurrence of SecondLevelTax.

(MT 564 NVR C8).

- **SecondLevelTax2Rule**

Only a single occurrence of either SecondLevelTax/Amount or SecondLevelTax/Rate or SecondLevelTax/NotSpecifiedRate may be present, only one of these elements may be present.

(MT 564 NVR C7).

- **SecondLevelTax3Rule**

If at least one occurrence of SecondLevelTax is present, then at least one occurrence of WithholdingTaxRate must be present. (MT 564 NVR C19).

```
On Condition
  /SecondLevelTax[*] is present
Following Must be True
  /WithholdingTaxRate[*] Must be present
```

- **WithholdingTaxRate1Rule**

If WithholdingTaxRate is repeated, then WithholdingTaxRate/RateTypeAndRate/RateType/Code values must all be different in each occurrence of WithholdingTaxRate.

(MT 564 NVR C8).

- **WithholdingTaxRate2Rule**

Only a single occurrence of either WithholdingTaxRate/Amount or WithholdingTaxRate/Rate or WithholdingTaxRate/NotSpecifiedRate may be present, only one of these elements may be present.

(MT 564 NVR C7).

7.4.13.24.13.1 AdditionalTax <AddtlTax>*Presence:* [0..1]*Definition:* Rate used for additional tax that cannot be categorised.**AdditionalTax <AddtlTax>** contains one of the following elements (see "RateAndAmountFormat46Choice" on page 1040 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1040
Or	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		1040
Or}	Amount <Amt>	[1..1]	Amount	C1	1041

7.4.13.24.13.2 GrossDividendRate <GrssDvddRate>*Presence:* [0..*]*Definition:* Cash dividend amount per equity before deductions or allowances have been made.**GrossDividendRate <GrssDvddRate>** contains one of the following elements (see "GrossDividendRateFormat42Choice" on page 1016 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Amount <Amt>	[1..1]	Amount	C1	1017
Or	AmountAndRateStatus <AmtAndRateSts>	[1..1]			1017
	Amount <Amt>	[1..1]	Amount	C1	1018
	RateStatus <RateSts>	[1..1]	CodeSet		1018
Or	RateTypeAndAmountAndRateStatus <RateTpAndAmtAndRateSts>	[1..1]			1018
	RateType <RateTp>	[1..1]			1018
{Or	Code <Cd>	[1..1]	CodeSet		1019
Or}	Proprietary <Prtry>	[1..1]	±		1020
	Amount <Amt>	[1..1]	Amount	C1	1020
	RateStatus <RateSts>	[0..1]		C58	1020
{Or	Code <Cd>	[1..1]	CodeSet		1020
Or}	Proprietary <Prtry>	[1..1]	±		1021
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		1021

7.4.13.24.13.3 InterestRateUsedForPayment <IntrstRateUsdForPmt>*Presence:* [0..*]*Definition:* The actual interest rate used for the payment of the interest for the specified interest period.

Usage guideline: It is used to provide the applicable rate for the current payment, after all calculations have been performed, that is, application of period and method of interest computation.

InterestRateUsedForPayment <IntrstRateUsdForPmt> contains one of the following elements (see "InterestRateUsedForPaymentFormat10Choice" on page 882 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		883
Or	Amount <Amt>	[1..1]	Amount	C1	883
Or	RateTypeAndAmountAndRateStatus <RateTpAndAmtAndRateSts>	[1..1]			883
	RateType <RateTp>	[1..1]			884
{Or	Code <Cd>	[1..1]	CodeSet		884
Or}	Proprietary <Prtry>	[1..1]	±		884
	Amount <Amt>	[1..1]	Amount	C1	885
	RateStatus <RateSts>	[0..1]		C58	885
{Or	Code <Cd>	[1..1]	CodeSet		885
Or}	Proprietary <Prtry>	[1..1]	±		886
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		886

7.4.13.24.13.4 WithholdingTaxRate <WhldgTaxRate>

Presence: [0..*]

Definition: Percentage of a cash distribution that will be withheld by the tax authorities of the jurisdiction of the issuer, for which a relief at source and/or reclaim may be possible.

WithholdingTaxRate <WhldgTaxRate> contains one of the following elements (see "RateAndAmountFormat47Choice" on page 879 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		879
Or	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		880
Or	Amount <Amt>	[1..1]	Amount	C1	880
Or}	RateTypeAndRate <RateTpAndRate>	[1..1]			880
	RateType <RateTp>	[1..1]			880
{Or	Code <Cd>	[1..1]	CodeSet		881
Or}	Proprietary <Prtry>	[1..1]	±		881
	Rate <Rate>	[1..1]	Rate		881

7.4.13.24.13.5 SecondLevelTax <ScndLvTax>

Presence: [0..*]

Definition: Rate at which the income will be withheld by a jurisdiction other than the jurisdiction of the issuer's country of tax incorporation, for which a relief at source and/or reclaim may be possible. It is

levied in complement or offset of the withholding tax rate (TAXR) levied by the jurisdiction of the issuer's tax domicile.

SecondLevelTax <ScndLvITax> contains one of the following elements (see "RateAndAmountFormat47Choice" on page 879 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		879
Or	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		880
Or	Amount <Amt>	[1..1]	Amount	C1	880
Or}	RateTypeAndRate <RateTpAndRate>	[1..1]			880
	RateType <RateTp>	[1..1]			880
{Or	Code <Cd>	[1..1]	CodeSet		881
Or}	Proprietary <Prtry>	[1..1]	±		881
	Rate <Rate>	[1..1]	Rate		881

7.4.13.24.13.6 ChargesFees <ChrgsFees>

Presence: [0..1]

Definition: Rate used to calculate the amount of the charges/fees that cannot be categorised.

ChargesFees <ChrgsFees> contains one of the following elements (see "RateAndAmountFormat46Choice" on page 1040 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1040
Or	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		1040
Or}	Amount <Amt>	[1..1]	Amount	C1	1041

7.4.13.24.13.7 EarlySolicitationFeeRate <EarlySlctnFeeRate>

Presence: [0..1]

Definition: Cash rate made available, as an incentive, in addition to the solicitation fee, in order to encourage early participation in an offer.

EarlySolicitationFeeRate <EarlySlctnFeeRate> contains one of the following elements (see "SolicitationFeeRateFormat10Choice" on page 869 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		869
Or	AmountToQuantity <AmtToQty>	[1..1]			870
	Amount <Amt>	[1..1]	Amount	C1	870
	Quantity <Qty>	[1..1]	Quantity		870
Or	Amount <Amt>	[1..1]	Amount	C1	870
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		871

7.4.13.24.13.8 FiscalStamp <FscIStmp>

Presence: [0..1]

Definition: Percentage of fiscal tax to apply.

FiscalStamp <FscIStmp> contains one of the following elements (see "RateFormat3Choice" on page 987 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		987
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		987

7.4.13.24.13.9 ThirdPartyIncentiveRate <ThrdPtyIncntivRate>

Presence: [0..1]

Definition: Cash rate made available in an event in order to encourage participation in the offer. As information, payment is made to a third party who has solicited an entity to take part in the offer.

ThirdPartyIncentiveRate <ThrdPtyIncntivRate> contains one of the following elements (see "RateFormat21Choice" on page 1039 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1039
Or	Amount <Amt>	[1..1]	Amount	C1	1039
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		1039

7.4.13.24.13.10 NetDividendRate <NetDvddRate>

Presence: [0..*]

Definition: Cash dividend amount per equity after deductions or allowances have been made.

NetDividendRate <NetDvddRate> contains one of the following elements (see "NetDividendRateFormat44Choice" on page 1011 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Amount <Amt>	[1..1]	Amount	C1	1012
Or	AmountAndRateStatus <AmtAndRateSts>	[1..1]			1012
	Amount <Amt>	[1..1]	Amount	C1	1013
	RateStatus <RateSts>	[1..1]	CodeSet		1013
Or	RateTypeAndAmountAndRateStatus <RateTpAndAmtAndRateSts>	[1..1]			1013
	RateType <RateTp>	[1..1]			1013
{Or	Code <Cd>	[1..1]	CodeSet		1014
Or}	Proprietary <Prtry>	[1..1]	±		1014
	Amount <Amt>	[1..1]	Amount	C1	1015
	RateStatus <RateSts>	[0..1]		C58	1015
{Or	Code <Cd>	[1..1]	CodeSet		1015
Or}	Proprietary <Prtry>	[1..1]	±		1016
Or}	NotSpecifiedRate <NotSpctdRate>	[1..1]	CodeSet		1016

7.4.13.24.13.11 ApplicableRate <AplblRate>

Presence: [0..1]

Definition: Rate applicable to the event announced, for example, redemption rate for a redemption event.

ApplicableRate <AplblRate> contains one of the following elements (see "RateFormat3Choice" on page 987 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		987
Or}	NotSpecifiedRate <NotSpctdRate>	[1..1]	CodeSet		987

7.4.13.24.13.12 SolicitationFeeRate <SlctnFeeRate>

Presence: [0..1]

Definition: Rate of the cash premium made available if the securities holder consents or participates to an event, for example consent fees or solicitation fee.

SolicitationFeeRate <SlctnFeeRate> contains one of the following elements (see "SolicitationFeeRateFormat10Choice" on page 869 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		869
Or	AmountToQuantity <AmtToQty>	[1..1]			870
	Amount <Amt>	[1..1]	Amount	C1	870
	Quantity <Qty>	[1..1]	Quantity		870
Or	Amount <Amt>	[1..1]	Amount	C1	870
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		871

7.4.13.24.13.13 TaxCreditRate <TaxCdtRate>

Presence: [0..1]

Definition: Amount of money per equity allocated as the result of a tax credit.

TaxCreditRate <TaxCdtRate> contains one of the following elements (see "RateFormat21Choice" on page 1039 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1039
Or	Amount <Amt>	[1..1]	Amount	C1	1039
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		1039

7.4.13.24.13.14 TaxOnIncome <TaxOnIncm>

Presence: [0..1]

Definition: Overall tax withheld at source by fund managers prior to considering the tax obligation of each unit holder.

TaxOnIncome <TaxOnIncm> contains one of the following elements (see "RateAndAmountFormat46Choice" on page 1040 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1040
Or	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		1040
Or}	Amount <Amt>	[1..1]	Amount	C1	1041

7.4.13.24.13.15 TaxOnProfits <TaxOnPrfts>

Presence: [0..1]

Definition: Taxation applied on an amount clearly identified as capital profits, capital gains.

TaxOnProfits <TaxOnPrfts> contains one of the following elements (see "[RateFormat3Choice](#)" on page 987 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		987
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		987

7.4.13.24.13.16 TaxReclaimRate <TaxRclmRate>

Presence: [0..1]

Definition: Percentage of cash that was paid in excess of actual tax obligation and was reclaimed.

TaxReclaimRate <TaxRclmRate> contains one of the following elements (see "[RateFormat3Choice](#)" on page 987 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		987
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		987

7.4.13.24.13.17 EqualisationRate <EqulstnRate>

Presence: [0..1]

Definition: Portion of the fund distribution which represents the average accrued income included in the purchase price for units bought during the account period.

EqualisationRate <EqulstnRate> contains one of the following elements (see "[RateAndAmountFormat48Choice](#)" on page 1038 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Amount <Amt>	[1..1]	Amount	C1	1038
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		1038

7.4.13.24.13.18 DeemedRate <DmdRate>

Presence: [0..*]

Definition: Rate applied for the calculation of deemed proceeds which are not paid to security holders but on which withholding tax is applicable.

DeemedRate <DmdRate> contains one of the following elements (see "RateAndAmountFormat53Choice" on page 1021 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1022
Or	Amount <Amt>	[1..1]	Amount	C1	1022
Or	NotSpecifiedRate <NotSpcedRate>	[1..1]	CodeSet		1023
Or	RateTypeAndAmountAndRateStatus <RateTpAndAmtAndRateSts>	[1..1]			1023
	RateType <RateTp>	[1..1]			1023
{Or	Code <Cd>	[1..1]	CodeSet		1023
Or}	Proprietary <Prtry>	[1..1]	±		1024
	Amount <Amt>	[1..1]	Amount	C1	1024
	RateStatus <RateSts>	[0..1]		C58	1024
{Or	Code <Cd>	[1..1]	CodeSet		1025
Or}	Proprietary <Prtry>	[1..1]	±		1025
Or}	RateTypeAndRate <RateTpAndRate>	[1..1]			1025
	RateType <RateTp>	[1..1]			1026
{Or	Code <Cd>	[1..1]	CodeSet		1026
Or}	Proprietary <Prtry>	[1..1]	±		1026
	Rate <Rate>	[1..1]	Rate		1027

7.4.13.24.14 PriceDetails <PricDtls>

Presence: [0..1]

Definition: Provides information about the prices related to a corporate action option.

Impacted by: C28 "GenericCashPricePerProductGuideline"

PriceDetails <PricDtls> contains the following **PriceDetails29** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	GenericCashPricePaidPerProduct <GncCshPricPdPerPdct>	[0..1]	±		429
	GenericCashPriceReceivedPerProduct <GncCshPricRcvdPerPdct>	[0..1]	±		429

Constraints

- **GenericCashPricePerProductGuideline**

GenericCashPriceReceivedPerProduct and GenericCashPricePaidPerProduct are prices received or paid in the course of an event. The specific meaning (redemption price, subscription price, reinvestment price, ETC.) is derived from the event type.

7.4.13.24.14.1 GenericCashPricePaidPerProduct <GncCshPricPdPerPdct>

Presence: [0..1]

Definition: Generic cash price paid per product by the underlying security holder either as a percentage or an amount or a number of points above an index, for example, reinvestment price, strike price and exercise price.

GenericCashPricePaidPerProduct <GncCshPricPdPerPdct> contains one of the following elements (see "PriceFormat59Choice" on page 969 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	PercentagePrice <PctgPric>	[1..1]			969
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		969
	PriceValue <PricVal>	[1..1]	Rate		970
Or	AmountPrice <AmtPric>	[1..1]	±		970
Or	NotSpecifiedPrice <NotSpctfdPric>	[1..1]	CodeSet		970
Or}	IndexPoints <IndxPts>	[1..1]	Quantity		970

7.4.13.24.14.2 GenericCashPriceReceivedPerProduct <GncCshPricRcvdPerPdct>

Presence: [0..1]

Definition: Generic cash price received per product by the underlying security holder either as a percentage or an amount, for example, redemption price.

GenericCashPriceReceivedPerProduct <GncCshPricRcvdPerPdct> contains one of the following elements (see "PriceFormat70Choice" on page 956 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	PercentagePrice <PctgPric>	[1..1]			957
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		957
	PriceValue <PricVal>	[1..1]	Rate		958
Or	AmountPrice <AmtPric>	[1..1]	±		958
Or	NotSpecifiedPrice <NotSpctdPric>	[1..1]	CodeSet		958
Or	AmountPricePerFinancialInstrumentQuantity <AmtPricPerFinInstrmQty>	[1..1]			958
	AmountPriceType <AmtPricTp>	[1..1]	CodeSet		959
	PriceValue <PricVal>	[1..1]	Amount	C1	959
	FinancialInstrumentQuantity <FinInstrmQty>	[1..1]	±	C19	959
Or	AmountPricePerAmount <AmtPricPerAmt>	[1..1]			960
	AmountPriceType <AmtPricTp>	[1..1]	CodeSet		960
	PriceValue <PricVal>	[1..1]	Amount	C1	960
	Amount <Amt>	[1..1]	Amount	C1	961
Or}	IndexPoints <IndxPts>	[1..1]	Quantity		961

7.4.13.25 AdditionalInformation <AddtlInf>

Presence: [0..1]

Definition: Provides additional information about the corporate action movement.

Impacted by: C3 "AdditionalInformationRule"

AdditionalInformation <AddtlInf> contains the following **CorporateActionNarrative36** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AdditionalText <AddtlTxt>	[0..1]	±		431
	NarrativeVersion <NrrtvVrsn>	[0..1]	±		431
	InformationConditions <InfCnds>	[0..1]	±		431
	InformationToComplyWith <InfToCmplyWth>	[0..1]	±		432
	SecurityRestriction <SctyRstrctn>	[0..1]	±		432
	TaxationConditions <TaxtnCnds>	[0..1]	±		432
	Disclaimer <Dsclmr>	[0..1]	±		433
	CertificationBreakdown <CertfctnBrkdwn>	[0..1]	±		433

Constraints

- **AdditionalInformationRule**

The AdditionalInformation field in all elements must not contain information that can be provided in a structured field unless bilaterally agreed or advised differently in the element definition as in NarrativeVersion.

7.4.13.25.1 AdditionalText <AddtlTxt>

Presence: [0..1]

Definition: Provides additional information or specifies in more detail the content of a message. This field may only be used when the information to be transmitted, cannot be coded.

AdditionalText <AddtlTxt> contains the following elements (see "[UpdatedAdditionalInformation6](#)" on page 914 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	UpdateDescription <UpdDesc>	[0..1]	Text		914
	UpdateDate <UpdDt>	[0..1]	Date		914
	AdditionalInformation <AddtlInf>	[1..1]	Text		914

7.4.13.25.2 NarrativeVersion <NrrtvVrsn>

Presence: [0..1]

Definition: Provides information that can be ignored for automated processing; - reiteration of information that has been included within structured fields, - or narrative information not needed for automatic processing.

NarrativeVersion <NrrtvVrsn> contains the following elements (see "[UpdatedAdditionalInformation6](#)" on page 914 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	UpdateDescription <UpdDesc>	[0..1]	Text		914
	UpdateDate <UpdDt>	[0..1]	Date		914
	AdditionalInformation <AddtlInf>	[1..1]	Text		914

7.4.13.25.3 InformationConditions <InfConds>

Presence: [0..1]

Definition: Provides conditional information related to the event, for example, an offer is subject to 50 percent acceptance, the offeror allows the securities holder to set some conditions.

InformationConditions <InfConds> contains the following elements (see "UpdatedAdditionalInformation5" on page 914 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	UpdateDescription <UpdDesc>	[0..1]	Text		915
	UpdateDate <UpdDt>	[0..1]	Date		915
	AdditionalInformation <AddtlInf>	[1..*]	Text		915

7.4.13.25.4 InformationToComplyWith <InfToCmplyWth>

Presence: [0..1]

Definition: Provides information conditions to the account owner that are to be complied with, for example, not open to US/Canadian residents, Qualified Institutional Buyers (QIB) or SIL (Sophisticated Investor Letter) to be provided.

InformationToComplyWith <InfToCmplyWth> contains the following elements (see "UpdatedAdditionalInformation5" on page 914 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	UpdateDescription <UpdDesc>	[0..1]	Text		915
	UpdateDate <UpdDt>	[0..1]	Date		915
	AdditionalInformation <AddtlInf>	[1..*]	Text		915

7.4.13.25.5 SecurityRestriction <SctyRstrctn>

Presence: [0..1]

Definition: Provides restriction(s) on securities.

SecurityRestriction <SctyRstrctn> contains the following elements (see "UpdatedAdditionalInformation5" on page 914 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	UpdateDescription <UpdDesc>	[0..1]	Text		915
	UpdateDate <UpdDt>	[0..1]	Date		915
	AdditionalInformation <AddtlInf>	[1..*]	Text		915

7.4.13.25.6 TaxationConditions <TaxtnConds>

Presence: [0..1]

Definition: Provides taxation conditions that cannot be included within the structured fields of this message and has not been mentioned in the Service Level Agreement (SLA).

TaxationConditions <TaxtnConds> contains the following elements (see "UpdatedAdditionalInformation5" on page 914 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	UpdateDescription <UpdDesc>	[0..1]	Text		915
	UpdateDate <UpdDt>	[0..1]	Date		915
	AdditionalInformation <AddtlInf>	[1..*]	Text		915

7.4.13.25.7 Disclaimer <Dsclmr>

Presence: [0..1]

Definition: Provides a disclaimer relative to the information provided in the message. It may be ignored for automated processing.

Disclaimer <Dsclmr> contains the following elements (see "UpdatedAdditionalInformation5" on page 914 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	UpdateDescription <UpdDesc>	[0..1]	Text		915
	UpdateDate <UpdDt>	[0..1]	Date		915
	AdditionalInformation <AddtlInf>	[1..*]	Text		915

7.4.13.25.8 CertificationBreakdown <CertfctnBrkdwn>

Presence: [0..1]

Definition: Provides additional information about the type of certification/breakdown required.

CertificationBreakdown <CertfctnBrkdwn> contains the following elements (see "UpdatedAdditionalInformation5" on page 914 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	UpdateDescription <UpdDesc>	[0..1]	Text		915
	UpdateDate <UpdDt>	[0..1]	Date		915
	AdditionalInformation <AddtlInf>	[1..*]	Text		915

7.4.14 AdditionalInformation <AddtlInf>

Presence: [0..1]

Definition: Provides additional information.

Impacted by: C4 "AdditionalInformationRule"

AdditionalInformation <AddtlInf> contains the following **CorporateActionNarrative53** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AdditionalText <AddtlTxt>	[0..1]	±		434
	NarrativeVersion <NrrtvVrsn>	[0..1]	±		434
	InformationConditions <InfConds>	[0..1]	±		435
	InformationToComplyWith <InfToCmplyWth>	[0..1]	±		435
	TaxationConditions <TaxtnConds>	[0..1]	±		435
	Disclaimer <Dsclmr>	[0..1]	±		436
	PartyContactNarrative <PtyCtctNrrtv>	[0..1]	±		436
	RegistrationDetails <RegnDtls>	[0..1]	±		436
	BasketOrIndexInformation <BsktOrIdxInf>	[0..1]	±		437
	CertificationBreakdown <CertfctnBrkdown>	[0..1]	±		437
	ProcessingTextForNextIntermediary <PrcgTxtForNxtIntrmy>	[0..1]	±		437

Constraints

- **AdditionalInformationRule**

The AdditionalInformation field in all elements must not contain information that can be provided in a structured field unless bilaterally agreed or advised differently in the element definition as in NarrativeVersion.

7.4.14.1 AdditionalText <AddtlTxt>

Presence: [0..1]

Definition: Provides additional information or specifies in more detail the content of a message. This field may only be used when the information to be transmitted, cannot be coded.

AdditionalText <AddtlTxt> contains the following elements (see "[UpdatedAdditionalInformation5](#)" on page 914 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	UpdateDescription <UpdDesc>	[0..1]	Text		915
	UpdateDate <UpdDt>	[0..1]	Date		915
	AdditionalInformation <AddtlInf>	[1..*]	Text		915

7.4.14.2 NarrativeVersion <NrrtvVrsn>

Presence: [0..1]

Definition: Provides information that can be ignored for automated processing; - reiteration of information that has been included within structured fields of this message, - or narrative information not needed for automatic processing.

NarrativeVersion <NrrtvVrsn> contains the following elements (see "UpdatedAdditionalInformation5" on page 914 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	UpdateDescription <UpdDesc>	[0..1]	Text		915
	UpdateDate <UpdDt>	[0..1]	Date		915
	AdditionalInformation <AddtlInf>	[1..*]	Text		915

7.4.14.3 InformationConditions <InfConds>

Presence: [0..1]

Definition: Provides conditional information related to the event, for example, an offer is subject to 50 percent acceptance, the offeror allows the securities holder to set some conditions.

InformationConditions <InfConds> contains the following elements (see "UpdatedAdditionalInformation5" on page 914 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	UpdateDescription <UpdDesc>	[0..1]	Text		915
	UpdateDate <UpdDt>	[0..1]	Date		915
	AdditionalInformation <AddtlInf>	[1..*]	Text		915

7.4.14.4 InformationToComplyWith <InfToCmplyWth>

Presence: [0..1]

Definition: Provides information conditions to the account owner that are to be complied with, for example, not open to US/Canadian residents, Qualified Institutional Buyers (QIB) or SIL (Sophisticated Investor Letter) to be provided.

InformationToComplyWith <InfToCmplyWth> contains the following elements (see "UpdatedAdditionalInformation5" on page 914 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	UpdateDescription <UpdDesc>	[0..1]	Text		915
	UpdateDate <UpdDt>	[0..1]	Date		915
	AdditionalInformation <AddtlInf>	[1..*]	Text		915

7.4.14.5 TaxationConditions <TaxtnConds>

Presence: [0..1]

Definition: Provides taxation conditions that cannot be included within the structured fields of this message and has not been mentioned in the Service Level Agreement (SLA).

TaxationConditions <TaxtnConds> contains the following elements (see "UpdatedAdditionalInformation5" on page 914 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	UpdateDescription <UpdDesc>	[0..1]	Text		915
	UpdateDate <UpdDt>	[0..1]	Date		915
	AdditionalInformation <AddtlInf>	[1..*]	Text		915

7.4.14.6 Disclaimer <Dsclmr>

Presence: [0..1]

Definition: Provides a disclaimer relative to the information provided in the message. It may be ignored for automated processing.

Disclaimer <Dsclmr> contains the following elements (see "UpdatedAdditionalInformation5" on page 914 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	UpdateDescription <UpdDesc>	[0..1]	Text		915
	UpdateDate <UpdDt>	[0..1]	Date		915
	AdditionalInformation <AddtlInf>	[1..*]	Text		915

7.4.14.7 PartyContactNarrative <PtyCtctNrrtv>

Presence: [0..1]

Definition: Provides additional information regarding the party, for example, the contact unit or person responsible for the transaction identified in the message.

PartyContactNarrative <PtyCtctNrrtv> contains the following elements (see "UpdatedAdditionalInformation5" on page 914 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	UpdateDescription <UpdDesc>	[0..1]	Text		915
	UpdateDate <UpdDt>	[0..1]	Date		915
	AdditionalInformation <AddtlInf>	[1..*]	Text		915

7.4.14.8 RegistrationDetails <RegnDtls>

Presence: [0..1]

Definition: Provides information required for the registration.

RegistrationDetails <RegnDtls> contains the following elements (see "UpdatedAdditionalInformation5" on page 914 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	UpdateDescription <UpdDesc>	[0..1]	Text		915
	UpdateDate <UpdDt>	[0..1]	Date		915
	AdditionalInformation <AddtlInf>	[1..*]	Text		915

7.4.14.9 BasketOrIndexInformation <BsktOrIndxInf>

Presence: [0..1]

Definition: Provides additional information on the basket or index underlying a security, for example a warrant.

BasketOrIndexInformation <BsktOrIndxInf> contains the following elements (see "UpdatedAdditionalInformation5" on page 914 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	UpdateDescription <UpdDesc>	[0..1]	Text		915
	UpdateDate <UpdDt>	[0..1]	Date		915
	AdditionalInformation <AddtlInf>	[1..*]	Text		915

7.4.14.10 CertificationBreakdown <CertfctnBrkdwn>

Presence: [0..1]

Definition: Provides additional information about the type of certification/breakdown required.

CertificationBreakdown <CertfctnBrkdwn> contains the following elements (see "UpdatedAdditionalInformation5" on page 914 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	UpdateDescription <UpdDesc>	[0..1]	Text		915
	UpdateDate <UpdDt>	[0..1]	Date		915
	AdditionalInformation <AddtlInf>	[1..*]	Text		915

7.4.14.11 ProcessingTextForNextIntermediary <PrcgTxtForNxtlntmry>

Presence: [0..1]

Definition: Provides additional information from the account servicer or a service provider solely intended for the next immediate account holder to enable or facilitate event processing between parties.

ProcessingTextForNextIntermediary <PrcgTxtForNxtIntrmy> contains the following elements (see "UpdatedAdditionalInformation1" on page 915 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	UpdateDescription <UpdDesc>	[0..1]	Text		915
	UpdateDate <UpdDt>	[0..1]	Date		915
	AdditionalInformation <AddtlInf>	[1..*]	Text		916

7.4.15 IssuerAgent <IssrAgt>

Presence: [0..*]

Definition: Party appointed to administer the event on behalf of the issuer company/offoror. The party may be contacted for more information about the event.

IssuerAgent <IssrAgt> contains one of the following elements (see "PartyIdentification151Choice" on page 927 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	927
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		927
Or	NameAndAddress <NmAndAdr>	[1..1]	±		928
Or}	LEI <LEI>	[1..1]	IdentifierSet		928

7.4.16 PayingAgent <PngAgt>

Presence: [0..*]

Definition: Agent (principal or fiscal paying agent) appointed to execute the payment for the corporate action event on behalf of the issuer company/offoror.

PayingAgent <PngAgt> contains one of the following elements (see "PartyIdentification137Choice" on page 929 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	929
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		929
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		930

7.4.17 SubPayingAgent <SubPngAgt>

Presence: [0..*]

Definition: Sub-agent appointed to execute the payment for the corporate action event on behalf of the issuer company/offoror.

SubPayingAgent <SubPngAgt> contains one of the following elements (see "PartyIdentification137Choice" on page 929 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	929
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		929
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		930

7.4.18 Registrar <Regar>

Presence: [0..1]

Definition: Party/agent responsible for maintaining the register of a security.

Registrar <Regar> contains one of the following elements (see "PartyIdentification137Choice" on page 929 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	929
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		929
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		930

7.4.19 ResellingAgent <RsellingAgt>

Presence: [0..*]

Definition: Broker-dealer responsible for reselling to new investors securities (usually bonds) that have been tendered for purchase by their owner.

ResellingAgent <RsellingAgt> contains one of the following elements (see "PartyIdentification137Choice" on page 929 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	929
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		929
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		930

7.4.20 PhysicalSecuritiesAgent <PhysSctiesAgt>

Presence: [0..1]

Definition: Trust company, bank or similar financial institution assigned by an issuer to accept presentations of instruments, usually bonds, for transfer and or exchange.

PhysicalSecuritiesAgent <PhysSctiesAgt> contains one of the following elements (see "PartyIdentification137Choice" on page 929 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	929
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		929
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		930

7.4.21 DropAgent <DrpAgt>

Presence: [0..1]

Definition: Trust company, bank or similar financial institution that acts on behalf of an out-of-town agent or event agent where securities can be delivered in person.

DropAgent <DrpAgt> contains one of the following elements (see "PartyIdentification137Choice" on page 929 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	929
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		929
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		930

7.4.22 SolicitationAgent <SlctnAgt>

Presence: [0..*]

Definition: Trust company, bank or similar financial institution assigned by an issuer to maintain records of investors and account balances and transactions for the consent of a material change.

SolicitationAgent <SlctnAgt> contains one of the following elements (see "PartyIdentification137Choice" on page 929 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	929
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		929
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		930

7.4.23 InformationAgent <InfAgt>

Presence: [0..1]

Definition: Trust company, bank or similar financial institution assigned by an Issuer to provide information and copies of the offering documentation.

InformationAgent <InfAgt> contains one of the following elements (see "PartyIdentification137Choice" on page 929 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	929
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		929
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		930

7.4.24 SupplementaryData <SplmtryData>

Presence: [0..*]

Definition: Additional information that can not be captured in the structured fields and/or any other specific block.

Impacted by: C73 "SupplementaryDataRule"

SupplementaryData <SplmtryData> contains the following elements (see "SupplementaryData1" on page 916 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PlaceAndName <PlcAndNm>	[0..1]	Text		916
	Envelope <Envlp>	[1..1]	(External Schema)		916

Constraints

- **SupplementaryDataRule**

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

7.4.25 Issuer <Issr>

Presence: [0..1]

Definition: Issuer of the financial instrument.

Issuer <Issr> contains one of the following elements (see "PartyIdentification151Choice" on page 927 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	927
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		927
Or	NameAndAddress <NmAndAdr>	[1..1]	±		928
Or}	LEI <LEI>	[1..1]	IdentifierSet		928

7.4.26 Offeror <Offerr>

Presence: [0..*]

Definition: Party making the offer and which is different from the issuing company.

Offeror <Offerr> contains one of the following elements (see ["PartyIdentification151Choice"](#) on page 927 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	927
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		927
Or	NameAndAddress <NmAndAdr>	[1..1]	±		928
Or}	LEI <LEI>	[1..1]	IdentifierSet		928

7.4.27 TransferAgent <TrfAgt>

Presence: [0..1]

Definition: Trust company, bank or similar financial institution appointed by a fund management company to maintain records of investors and account balances. The transfer agent records transactions, including subscriptions, redemptions, switches, transfers, and re-registrations.

TransferAgent <TrfAgt> contains one of the following elements (see ["PartyIdentification151Choice"](#) on page 927 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	927
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		927
Or	NameAndAddress <NmAndAdr>	[1..1]	±		928
Or}	LEI <LEI>	[1..1]	IdentifierSet		928

8 **seev.036.002.13**

CorporateActionMovementConfirmation002V13

8.1 **MessageDefinition Functionality**

Scope

The CorporateActionMovementConfirmation message is sent by an account servicer to an account owner or its designated agent to confirm the posting of securities or cash as a result of a corporate action event.

Usage

The message may also be used to:

- re-send a message previously sent (the sub-function of the message is Duplicate),
- provide a third party with a copy of a message for information (the sub-function of the message is Copy),
- re-send to a third party a copy of a message for information (the sub-function of the message is Copy Duplicate), using the relevant elements in the business application header (BAH).

Outline

The CorporateActionMovementConfirmation002V13 MessageDefinition is composed of 16 MessageBuildingBlocks:

A. Pagination

Page number of the message and continuation indicator to indicate that the multi-part notification is to continue or that the message is the last page of the multi-part notification.

B. NotificationIdentification

Identification of a previously sent notification document.

C. MovementPreliminaryAdviceIdentification

Identification of a previously sent movement preliminary advice document.

D. InstructionIdentification

Identification of a related instruction document.

E. OtherDocumentIdentification

Identification of other documents as well as the document number.

F. EventsLinkage

Identification of another corporate action event that needs to be closely linked to the processing of the event notified in this document.

G. TransactionIdentification

Transaction type and identification information.

H. CorporateActionGeneralInformation

General information about the corporate action event.

I. AccountDetails

General information about the safekeeping account, owner and account balance.

J. CorporateActionDetails

Information about the corporate action event.

K. CorporateActionConfirmationDetails

Information about the corporate action option.

L. AdditionalInformation

Provides additional information.

M. IssuerAgent

Party appointed to administer the event on behalf of the issuer company/offoror. The party may be contacted for more information about the event.

N. PayingAgent

Agent (principal or fiscal paying agent) appointed to execute the payment for the corporate action event on behalf of the issuer company/offoror.

O. SubPayingAgent

Sub-agent appointed to execute the payment for the corporate action event on behalf of the issuer company/offoror.

P. SupplementaryData

Additional information that can not be captured in the structured fields and/or any other specific block.

8.2 Structure

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	Message root <Document> <CorpActnMvmntConf>	[1..1]		C2, C28, C33, C45, C46, C58, C59, C60, C36	
	Pagination <Pgntn>	[0..1]	±		461
	NotificationIdentification <NtfctnId>	[0..1]	±		461
	MovementPreliminaryAdviceIdentification <MvmntPrimryAdvclId>	[0..1]	±		461
	InstructionIdentification <InstrId>	[0..1]	±		461
	OtherDocumentIdentification <OthrDocId>	[0..*]	±		462
	EventsLinkage <EvtsLkg>	[0..*]	±		462
	TransactionIdentification <TxId>	[0..1]			462
	MarketInfrastructureTransactionIdentification <MktInfrstrctrTxId>	[1..1]	Text		463
	CorporateActionGeneralInformation <CorpActnGnlInf>	[1..1]		C44	463
	CorporateActionEventIdentification <CorpActnEvtId>	[1..1]	Text		463
	OfficialCorporateActionEventIdentification <OffclCorpActnEvtId>	[0..1]	Text		464
	ClassActionNumber <ClsActnNb>	[0..1]	Text		464
	EventType <EvtTp>	[1..1]	±	C17	464
	FinancialInstrumentIdentification <FinInstrmId>	[1..1]	±	C14, C15, C34, C35, C47	464
	FractionalQuantity <FrctnlQty>	[0..1]	±	C16	465
	AccountDetails <AcctDtls>	[1..1]		C51, C53, C55, C57	466
	SafekeepingAccount <SfkpgAcct>	[0..1]	Text		468
	BlockchainAddressOrWallet <BlckChainAdrOrWlt>	[0..1]	Text		468
	AccountOwner <AcctOwnr>	[0..1]	±		468
	SafekeepingPlace <SfkpgPlc>	[0..1]	±		468
	Balance <Bal>	[1..1]		C7	469

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	ConfirmedBalance <ConfdBal>	[1..1]	±		470
	TotalEligibleBalance <TtlElgblBal>	[0..1]	±		470
	BlockedBalance <BlckdBal>	[0..1]	±		470
	BorrowedBalance <BrrwdBal>	[0..1]	±		471
	CollateralInBalance <CollInBal>	[0..1]	±		471
	CollateralOutBalance <CollOutBal>	[0..1]	±		471
	OnLoanBalance <OnLnBal>	[0..1]	±		471
	PendingDeliveryBalance <PdgDlvryBal>	[0..*]	±		472
	PendingReceiptBalance <PdgRctBal>	[0..*]	±		472
	OutForRegistrationBalance <OutForRegnBal>	[0..1]	±		472
	SettlementPositionBalance <SttlmPosBal>	[0..*]	±		473
	StreetPositionBalance <StrtPosBal>	[0..1]	±		473
	TradeDatePositionBalance <TradDtPosBal>	[0..1]	±		473
	InTransshipmentBalance <InTrnsShipmntBal>	[0..1]	±		474
	RegisteredBalance <RegdBal>	[0..1]	±		474
	AffectedBalance <AfctdBal>	[0..1]	±		474
	UnaffectedBalance <UafctdBal>	[0..1]	±		475
	CorporateActionDetails <CorpActnDtls>	[0..1]			475
	DateDetails <DtDtls>	[0..1]			475
	RecordDate <RcrdDt>	[0..1]	±		476
	ExDividendDate <ExDvddDt>	[0..1]	±		476
	EventStage <EvtStag>	[0..1]	±		476
	AdditionalBusinessProcessIndicator <AddtlBizPrclnd>	[0..*]	±		477
	IntermediateSecuritiesDistributionType <IntrmdtScitiesDstrbtnTp>	[0..1]	±		477
	LotteryType <LtryTp>	[0..1]	±		477
	CorporateActionConfirmationDetails <CorpActnConfDtls>	[1..1]			478
	OptionNumber <OptnNb>	[1..1]	±		485
	OptionType <OptnTp>	[1..1]	±		485
	OptionFeatures <OptnFeatr>	[0..*]	±		485
	FractionDisposition <FrctnDspstn>	[0..1]	±		486
	CurrencyOption <CcyOptn>	[0..1]	CodeSet	C1	486

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	DateDetails <DtDtls>	[0..1]			486
	ResponseDeadline <RspnDdln>	[0..1]	±		487
	SubscriptionCostDebitDate <SbcptCostDbtDt>	[0..1]	±		487
	MarketDeadline <MktDdln>	[0..1]	±		487
	ExpiryDate <XpryDt>	[0..1]	±		488
	CoverExpirationDeadline <CoverXprtnDdln>	[0..1]	±		488
	ProtectDeadline <PrtctDdln>	[0..1]	±		488
	TradingDate <TradgDt>	[0..1]	±		489
	PeriodDetails <PrdDtls>	[0..1]			489
	PriceCalculationPeriod <PricClctnPrd>	[0..1]	±		489
	ActionPeriod <ActnPrd>	[0..1]	±		490
	ParallelTradingPeriod <ParllTradgPrd>	[0..1]	±		490
	RateAndAmountDetails <RateAndAmtDtls>	[0..1]		C23, C25, C42, C43, C61, C63, C65, C69, C71	491
	GrossDividendRate <GrssDvddRate>	[0..*]	±		492
	NetDividendRate <NetDvddRate>	[0..*]	±		493
	InterestRateUsedForPayment <IntrstRateUsdForPmt>	[0..*]	±		494
	MaximumAllowedOversubscriptionRate <MaxAllwdOvrSbcptRate>	[0..1]	Rate		495
	ProrationRate <PrratnRate>	[0..1]	Rate		495
	WithholdingTaxRate <WhldgTaxRate>	[0..*]	±		495
	SecondLevelTax <ScndLvITax>	[0..*]	±		495
	AdditionalTax <AddtlTax>	[0..1]	±		496
	TaxableIncomePerDividendShare <TaxblIncmPerDvddShr>	[0..*]	±		496
	PriceDetails <PricDtls>	[0..1]		C22	497
	CashInLieuOfSharePrice <CshInLieuOfShrPric>	[0..1]	±		497
	OverSubscriptionDepositPrice <OverSbcptDpstPric>	[0..1]	±		497
	PlaceOfTrade <PlcOfTrad>	[0..1]	±	C39	498
	SecuritiesMovementDetails <SctiesMvmntDtls>	[0..*]		C18, C29,	498

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
				C31, C37	
	FinancialInstrumentIdentification <FinInstrmId>	[1..1]	±	C14, C15, C34, C35, C47	500
	CreditDebitIndicator <CdtDbtInd>	[1..1]	CodeSet		501
	TemporaryFinancialInstrumentIndicator <TempFinInstrmInd>	[0..1]	±		502
	NewSecuritiesIssuanceIndicator <NewSctiesIssncInd>	[0..1]	CodeSet		502
	IssuerOfferorTaxabilityIndicator <IssrOfferrTaxbltyInd>	[0..1]	±		502
	IncomeType <IncmTp>	[0..1]	±		503
	OtherIncomeType <OthrIncmTp>	[0..*]	±		503
	ExemptionType <XmptnTp>	[0..*]	±		503
	CountryOfIncomeSource <CtryOfIncmSrc>	[0..1]	CodeSet	C10	504
	PostingQuantity <PstngQty>	[1..1]	±		504
	SafekeepingPlace <SfkpgPlc>	[0..1]	±		504
	FractionDisposition <FrctnDspstn>	[0..1]	±		505
	CurrencyOption <CcyOptn>	[0..1]	CodeSet	C1	505
	DateDetails <DtDtls>	[1..1]			505
	PostingDate <PstngDt>	[1..1]	±		506
	AvailableDate <AvlblDt>	[0..1]	±		506
	PariPassuDate <PrpssDt>	[0..1]	±		506
	DividendRankingDate <DvddRnkgDt>	[0..1]	±		507
	EarliestPaymentDate <EarlstPmtDt>	[0..1]	±		507
	PaymentDate <PmtDt>	[0..1]	±		507
	RateDetails <RateDtls>	[0..1]			508
	AdditionalQuantityForSubscribedResultantSecurities <AddtlQtyForSbcbdRslnstScties>	[0..1]	±		508
	AdditionalQuantityForExistingSecurities <AddtlQtyForExstgScties>	[0..1]	±		509
	NewToOld <NewToOd>	[0..1]	±		509
	ChargesFees <ChrgsFees>	[0..1]	±		509
	FiscalStamp <FscIStmp>	[0..1]	Rate		510
	ApplicableRate <AplblRate>	[0..1]	Rate		510

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	TaxCreditRate <TaxCdtRate>	[0..1]	±		510
	FinancialTransactionTaxRate <FinTxTaxRate>	[0..1]	Rate		510
	PriceDetails <PricDtls>	[0..1]		C20	510
	CashInLieuOfSharePrice <CshInLieuOfShrPric>	[0..1]	±		511
	IndicativeOrMarketPrice <IndctvOrMktPric>	[0..1]	±		511
	CashValueForTax <CshValForTax>	[0..1]	±		511
	GenericCashPricePaidPerProduct <GncCshPricPdPerPdct>	[0..1]	±		512
	GenericCashPriceReceivedPerProduct <GncCshPricRcvdPerPdct>	[0..1]	±		512
	ReceivingSettlementParties <RcvgSttlmPties>	[0..1]	±	C48, C49	513
	DeliveringSettlementParties <DlvrGSttlmPties>	[0..1]	±	C48, C49	514
	CashMovementDetails <CshMvmntDtls>	[0..*]		C19, C30, C32, C38	515
	CreditDebitIndicator <CdtDbtInd>	[1..1]	CodeSet		520
	ContractualPaymentIndicator <CtrctlPmtInd>	[0..1]	CodeSet		520
	IssuerOfferorTaxabilityIndicator <IssrOfferrTaxbltyInd>	[0..1]	±		520
	IncomeType <IncmTp>	[0..1]	±		520
	OtherIncomeType <OthrIncmTp>	[0..*]	±		521
	ExemptionType <XmptnTp>	[0..*]	±		521
	CountryOfIncomeSource <CtryOfIncmSrc>	[0..1]	CodeSet	C10	521
	Account <Acct>	[0..1]			522
{Or	CashAccount <CshAcct>	[1..1]	±		522
Or	ChargesAccount <ChrgsAcct>	[1..1]	±		522
Or}	TaxAccount <TaxAcct>	[1..1]	±		522
	CashParties <CshPties>	[0..1]			523
	Creditor <Cdtr>	[0..1]	±	C5	523
	CreditorAgent <CdtrAgt>	[0..1]	±	C6	523
	MarketClaimCounterparty <MktClmCtrPty>	[0..1]	±	C5	524
	AmountDetails <AmtDtls>	[1..1]			524
	PostingAmount <PstngAmt>	[1..1]	Amount	C1, C11	526

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	GrossCashAmount <GrssCshAmt>	[0..1]	Amount	C1, C11	527
	NetCashAmount <NetCshAmt>	[0..1]	Amount	C1, C11	527
	SolicitationFees <SlctnFees>	[0..1]	Amount	C1, C11	528
	CashInLieuOfShare <CshInLieuOfShr>	[0..1]	Amount	C1, C11	528
	CapitalGain <CptlGn>	[0..1]	Amount	C1, C11	528
	InterestAmount <IntrstAmt>	[0..1]	Amount	C1, C11	529
	MarketClaimAmount <MktClmAmt>	[0..1]	Amount	C1, C11	529
	IndemnityAmount <IndmntyAmt>	[0..1]	Amount	C1, C11	530
	ManufacturedDividendPaymentAmount <ManfctrdDvddPmtAmt>	[0..1]	Amount	C1, C11	530
	ReinvestmentAmount <RinvstmtAmt>	[0..1]	Amount	C1, C11	531
	FullyFrankedAmount <FullyFrnkAmt>	[0..1]	Amount	C1, C11	531
	UnfrankedAmount <UfrnkAmt>	[0..1]	Amount	C1, C11	531
	SundryOrOtherAmount <SndryOrOthrAmt>	[0..1]	Amount	C1, C11	532
	TaxFreeAmount <TaxFreeAmt>	[0..1]	Amount	C1, C11	532
	TaxDeferredAmount <TaxDfrrdAmt>	[0..1]	Amount	C1, C11	533
	ValueAddedTaxAmount <ValAddedTaxAmt>	[0..1]	Amount	C1, C11	533
	StampDutyAmount <StmpDtyAmt>	[0..1]	Amount	C1, C11	533
	TaxReclaimAmount <TaxRclmAmt>	[0..1]	Amount	C1, C11	534
	TaxCreditAmount <TaxCdtAmt>	[0..1]	Amount	C1, C11	534
	AdditionalTaxAmount <AddtlTaxAmt>	[0..1]	Amount	C1, C11	535
	WithholdingTaxAmount <WhldgTaxAmt>	[0..1]	Amount	C1, C11	535
	SecondLevelTaxAmount <ScndLvlTaxAmt>	[0..1]	Amount	C1, C11	535
	FiscalStampAmount <FscIStmpAmt>	[0..1]	Amount	C1, C11	536
	ExecutingBrokerAmount <ExctgBrkrAmt>	[0..1]	Amount	C1, C11	536
	PayingAgentCommissionAmount <PngAgtComssnAmt>	[0..1]	Amount	C1, C11	537
	LocalBrokerCommissionAmount <LclBrkrComssnAmt>	[0..1]	Amount	C1, C11	537
	RegulatoryFeesAmount <RgltryFeesAmt>	[0..1]	Amount	C1, C11	537
	ShippingFeesAmount <ShppgFeesAmt>	[0..1]	Amount	C1, C11	538
	ChargesAmount <ChrgsAmt>	[0..1]	Amount	C1, C11	538
	CashAmountBroughtForward <CshAmtBrghFwd>	[0..1]	Amount	C1, C11	539
	CashAmountCarriedForward <CshAmtCrrdFwd>	[0..1]	Amount	C1, C11	539

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	NotionalDividendPayableAmount <NtnlDvddPyblAmt>	[0..1]	Amount	C1, C11	539
	NotionalTaxAmount <NtnlTaxAmt>	[0..1]	Amount	C1, C11	540
	TaxArrearsAmount <TaxArrearsAmt>	[0..1]	Amount	C1, C11	540
	OriginalAmount <OrgnlAmt>	[0..1]	Amount	C1, C11	541
	PrincipalOrCorpus <PrncplOrCrps>	[0..1]	Amount	C1, C11	541
	RedemptionPremiumAmount <RedPrmAmt>	[0..1]	Amount	C1, C11	541
	IncomePortion <IncmPrtn>	[0..1]	Amount	C1, C11	542
	StockExchangeTax <StockXchgTax>	[0..1]	Amount	C1, C11	542
	EUTaxRetentionAmount <EUTaxRtnAmt>	[0..1]	Amount	C1, C11	543
	AccruedInterestAmount <AcrdIntrstAmt>	[0..1]	Amount	C1, C11	543
	EqualisationAmount <EqulstnAmt>	[0..1]	Amount	C1, C11	543
	FATCATaxAmount <FATCATaxAmt>	[0..1]	Amount	C1, C11	544
	NRATaxAmount <NRATaxAmt>	[0..1]	Amount	C1, C11	544
	BackUpWithholdingTaxAmount <BckUpWhldgTaxAmt>	[0..1]	Amount	C1, C11	545
	TaxOnIncomeAmount <TaxOnIncmAmt>	[0..1]	Amount	C1, C11	545
	TransactionTax <TxTax>	[0..1]	Amount	C1, C11	545
	DeemedAmount <DmdAmt>	[0..1]	Amount	C1, C11	546
	ForeignIncomeAmount <FrgrIncmAmt>	[0..1]	Amount	C1, C11	546
	DeemedDividendAmount <DmdDvddAmt>	[0..1]	Amount	C1, C11	547
	DeemedFundAmount <DmdFndAmt>	[0..1]	Amount	C1, C11	547
	DeemedInterestAmount <DmdIntrstAmt>	[0..1]	Amount	C1, C11	547
	DeemedRoyaltiesAmount <DmdRyltsAmt>	[0..1]	Amount	C1, C11	548
	AdjustedSubscriptionAmount <AdjstdSbcptAmt>	[0..1]	Amount	C1, C11	548
	RefundedSubscriptionAmount <RfnddSbcptAmt>	[0..1]	Amount	C1, C11	549
	DateDetails <DtDtls>	[1..1]			549
	PostingDate <PstngDt>	[1..1]	±		549
	ValueDate <ValDt>	[0..1]	±		550
	ForeignExchangeRateFixingDate <FXRateFvgDt>	[0..1]	±		550
	EarliestPaymentDate <EarlstPmtDt>	[0..1]	±		550
	PaymentDate <PmtDt>	[0..1]	±		550
	ForeignExchangeDetails <FXDtls>	[0..*]	±		551

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	TaxVoucherDetails <TaxVchrDtls>	[0..1]			551
	Identification <Id>	[1..1]	Text		551
	BargainDate <BrngDt>	[0..1]	±		551
	BargainSettlementDate <BrngSttlmDt>	[0..1]	±		552
	RateAndAmountDetails <RateAndAmtDtls>	[0..1]		C12, C13, C24, C26, C40, C41, C62, C64, C66, C70, C72	552
	AdditionalTax <AddtlTax>	[0..1]	±		554
	ChargesFees <ChrgsFees>	[0..1]	±		555
	FiscalStamp <FscIStmp>	[0..1]	Rate		555
	GrossDividendRate <GrssDvddRate>	[0..*]	±		555
	EarlySolicitationFeeRate <EarlySlctnFeeRate>	[0..1]	±		556
	ThirdPartyIncentiveRate <ThrdPtyIncntivRate>	[0..1]	±		556
	InterestRateUsedForPayment <IntrstRateUsdForPmt>	[0..*]	±		557
	NetDividendRate <NetDvddRate>	[0..*]	±		557
	ApplicableRate <AplblRate>	[0..1]	Rate		558
	SolicitationFeeRate <SlctnFeeRate>	[0..1]	±		558
	TaxCreditRate <TaxCdtRate>	[0..1]	±		559
	WithholdingTaxRate <WhldgTaxRate>	[0..*]	±		559
	SecondLevelTax <ScndLvITax>	[0..*]	±		559
	TaxOnIncome <TaxOnIncm>	[0..1]	±		560
	TaxOnProfits <TaxOnPrfts>	[0..1]	Rate		560
	TaxReclaimRate <TaxRclmRate>	[0..1]	Rate		560
	EqualisationRate <EqulstnRate>	[0..1]	Amount	C1	560
	DeemedRate <DmdRate>	[0..*]	±		561
	PriceDetails <PricDtls>	[0..1]		C21	561
	GenericCashPricePaidPerProduct <GncCshPricPdPerPdct>	[0..1]	±		562
	GenericCashPriceReceivedPerProduct <GncCshPricRcvdPerPdct>	[0..1]	±		562

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	AdditionalInformation <AddtlInf>	[0..1]			563
	AdditionalText <AddtlTxt>	[0..*]	Text		563
	NarrativeVersion <NrrtvVrsn>	[0..*]	Text		563
	PartyContactNarrative <PtyCtctNrrtv>	[0..*]	Text		564
	TaxationConditions <TaxtnConds>	[0..*]	Text		564
	IssuerAgent <IssrAgt>	[0..*]	±		564
	PayingAgent <PngAgt>	[0..*]	±		564
	SubPayingAgent <SubPngAgt>	[0..*]	±		565
	SupplementaryData <SplmtryData>	[0..*]	±	C68	565

8.3 Constraints

C1 ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

C2 AdditionalInformationRule

All elements in the AdditionalInformation sequence must not contain information that can be provided in a structured field unless bilaterally agreed or advised differently in the element definition as in AdditionalInformation/NarrativeVersion.

This constraint is defined at the MessageDefinition level.

C3 AdditionalInformationRule

The AdditionalInformation field in all elements must not contain information that can be provided in a structured field unless bilaterally agreed or advised differently in the element definition as in NarrativeVersion.

C4 AdditionalInformationRule

The AdditionalInformation field in all elements must not contain information that can be provided in a structured field unless bilaterally agreed or advised differently in the element definition as in NarrativeVersion.

C5 AdditionalInformationRule

The AdditionalInformation field in all elements must not contain information that can be provided in a structured field unless bilaterally agreed or advised differently in the element definition as in NarrativeVersion.

C6 AdditionalInformationRule

The AdditionalInformation field in all elements must not contain information that can be provided in a structured field unless bilaterally agreed or advised differently in the element definition as in NarrativeVersion.

C7 AffectedAndUnaffectedBalanceRule

Unaffected balance and Affected balances should only be mentioned as a result of the process that was run through the event. For instance lottery results, Dutch auction results or tender offer results.

C8 AnyBIC

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

C9 BICFI

Valid BICs for financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consist of eight (8) or eleven (11) contiguous characters.

C10 Country

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

C11 CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

C12 DeemedRate1Rule

If DeemedRate is repeated, then DeemedRate/RateTypeAndAmountAndRateStatus/RateType/Code values and DeemedRate/RateTypeAndRate/RateType/Code values must all be different in each occurrence of DeemedRate.

(MT 566 NVR C4).

C13 DeemedRate2Rule

Only a single occurrence of either DeemedRate/Amount or DeemedRate/Rate may be present, only one of those elements may be present.

(MT 566 NVR C3).

C14 DescriptionPresenceRule

If Description is not present then either ISIN or at least one occurrence of OtherIdentification must be present.

C15 DescriptionUsageRule

Description must be used alone as the last resort.

C16 DigitalTokenUnitUsageRule

DigitalTokenUnit format may only be used for instruments identified with a digital token identifier and when the related account identification is provided as a blockchain address or wallet identification (using BlockChainAddressOrWallet element as the account identification).

C17 EventTypeRule

If Code is present, the code OTHR (Other) must only be used in case no other corporate action event code is appropriate.

If Code is present, the code CHAN (Change) must only be used in case no other corporate action event code is appropriate and only for an event which relates to a change.

If Code is present, the code REDM (Final Maturity) must only be used when the redemption is decided by the issuer and not by the securities holders.

C18 ExemptionTypeUsageRule

The lists of exemption type codes to be used in ExemptionType are provided in the document "ETYP-ITYP Exemption & Income Type Codes" available on the SMPG website at www.smpg.info.

C19 ExemptionTypeUsageRule

The lists of exemption type codes to be used in ExemptionType are provided in the document "ETYP-ITYP Exemption & Income Type Codes" available on the SMPG website at www.smpg.info.

C20 GenericCashPricePerProductGuideline

GenericCashPriceReceivedPerProduct and GenericCashPricePaidPerProduct are prices received or paid in the course of an event. The specific meaning (redemption price, subscription price, reinvestment price, etc.) is derived from the event type.

C21 GenericCashPricePerProductGuideline

GenericCashPriceReceivedPerProduct and GenericCashPricePaidPerProduct are prices received or paid in the course of an event. The specific meaning (redemption price, subscription price, reinvestment price, ETC.) is derived from the event type.

C22 GenericCashPriceReceivedPerProductGuideline

GenericCashPriceReceivedPerProduct is the price received in the course of an event. The specific meaning (redemption price, subscription price, reinvestment price, ETC.) is derived from the event type.

C23 GrossDividendRate2Rule

If GrossDividendRate is repeated, then:

- RateTypeAndAmountAndRateStatus/RateType/Code values must all be different in each occurrence of GrossDividendRate. (MT 566 NVR C4).

C24 GrossDividendRate2Rule

If GrossDividendRate is repeated, then:

- RateTypeAndAmountAndRateStatus/RateType/Code values must all be different in each occurrence of GrossDividendRate. (MT 566 NVR C4).

C25 GrossDividendRate3Rule

Only a single occurrence of either GrossDividendRate/Amount or GrossDividendRate/AmountAndRateStatus may be present. Both elements may not be present together. (MT 566 NVR C3).

C26 GrossDividendRate3Rule

Only a single occurrence of either GrossDividendRate/Amount or GrossDividendRate/AmountAndRateStatus may be present. Both elements may not be present together. (MT 566 NVR C3).

C27 IBAN

A valid IBAN consists of all three of the following components: Country Code, check digits and BBAN.

C28 IncentivePremiumEventType1Rule

If Code value is equal to INCP (IncentivePremiumPayment) in CorporateActionDetails/AdditionalBusinessProcessIndicator, then CorporateActionGeneralInformation/EventType/Code value must be equal to CONS (Consent).

(MT 566 NVR C9)

On Condition

/CorporateActionDetails/AdditionalBusinessProcessIndicator[*]/Code is equal to value 'IncentivePremiumPayment'

Following Must be True

/CorporateActionGeneralInformation/EventType/Code Must be equal to value 'Consent'

This constraint is defined at the MessageDefinition level.

C29 IncomeType1Rule

If at least one occurrence of OtherIncomeType is present, then IncomeType must be present.

C30 IncomeType2Rule

If at least one occurrence of OtherIncomeType is present, then IncomeType must be present.

C31 IncomeTypeUsageRule

The lists of income type codes to be used in IncomeType are provided in the document "ETYP-ITYP Exemption & Income Type Codes" available on the SMPG website at www.smpg.info.

C32 IncomeTypeUsageRule

The lists of income type codes to be used in IncomeType are provided in the document "ETYP-ITYP Exemption & Income Type Codes" available on the SMPG website at www.smpg.info.

C33 IntermediateSecuritiesDistribution1Rule

If CorporateActionGeneralInformation/EventType/Code is RHD1 (IntermediateSecuritiesDistribution), then CorporateActionDetails/

IntermediateSecuritiesDistributionType must be present.

(MT 566 NVR C5).

On Condition

/CorporateActionGeneralInformation/EventType/Code is equal to value 'IntermediateSecuritiesDistribution'

Following Must be True

/CorporateActionDetails Must be present

And /CorporateActionDetails/IntermediateSecuritiesDistributionType Must be present

This constraint is defined at the MessageDefinition level.

C34 ISINGuideline

When a ISIN code exist. It is strongly recommended that the ISIN be used.

C35 ISINPresenceRule

If ISIN is not present then either Description or at least one occurrence of OtherIdentification must be present.

C36 IssuerAgentGuideline

IssuerAgent must be used only in the communication between a CSD and local custodians.

This constraint is defined at the MessageDefinition level.

C37 IssuerOfferorTaxabilityIndicatorUsageRule

The IssuerOfferorTaxabilityIndicator may only be used when no tax rate is provided in the message and it must not be used in events such as dividend or interest.

The list of proprietary IssuerOfferorTaxabilityIndicator codes that may be used are provided in the document "TXAP Taxability Codes" available on the SMPG website at www.smpg.info.

C38 IssuerOfferorTaxabilityIndicatorUsageRule

The IssuerOfferorTaxabilityIndicator may only be used when no tax rate is provided in the message and it must not be used in events such as dividend or interest.

The list of proprietary IssuerOfferorTaxabilityIndicator codes that may be used are provided in the document "TXAP Taxability Codes" available on the SMPG website at www.smpg.info.

C39 MarketTypeAndIdentificationRule

If Type/Code is OverTheCounter (OTCO) and if Identification is present, then Identification/Description must specify a system.

If Type/Code is Exchange (EXCH) and if Identification is present, then Identification/MarketIdentifierCode must be present.

C40 NetDividendRate1Rule

If NetDividendRate is repeated, then:

- RateTypeAndAmountAndRateStatus/RateType/Code values must all be different in each occurrence of NetDividendRate. (MT 566 NVR C4).

C41 NetDividendRate2Rule

Only a single occurrence of either NetDividendRate/Amount may be present. Both elements may not be present together. (MT 566 NVR C3).

C42 NettDividendRate1Rule

If NetDividendRate is repeated, then RateTypeAndAmountAndRateStatus/RateType/Code values must all be different in each occurrence of NetDividendRate. (MT 566 NVR C4).

C43 NettDividendRate2Rule

Only a single occurrence of either NetDividendRate/Amount may be present. Both elements may not be present together. (MT 566 NVR C3).

C44 OfficialCorporateActionEventReference

The Securities Market Practice Group (SMPG) has published market practice recommendations on the structure and usage of the Official Corporate Action Event Reference (COAF). Those recommendations are

available in the SMPG Global Market Practices Part 1 document on www.smpg.info.

C45 OptionNumberRule

The CorporateActionConfirmationDetails/OptionNumber value provided must be the same as the one provided in the related CorporateActionOptionDetails/OptionNumber element of the CorporateActionNotification or CorporateActionMovementPreliminaryAdvice messages previously sent.

This constraint is defined at the MessageDefinition level.

C46 OtherDocumentIdentificationRule

If CorporateActionConfirmationDetails/CashMovementDetails/AmountDetails/IndemnityAmount is present, then OtherDocumentationIdentification must be present to refer to the linked settlement confirmation.

This constraint is defined at the MessageDefinition level.

C47 OtherIdentificationPresenceRule

If OtherIdentification is not present then either ISIN or Description must be present.

C48 Party2PresenceRule

If Party 2 is present, then Party 1 must be present.

C49 Party3PresenceRule

If Party 3 is present, then Party 2 must be present.

C50 RateStatusGuideline

The code value ACTU is the default value for a rate status.

C51 SafekeepingAccountOrBlockChainAddress1Rule

Either SafekeepingAccount or BlockChainAddressOrWallet must be present but not both.

C52 SafekeepingAccountOrBlockChainAddress1Rule

Either SafekeepingAccount or BlockChainAddressOrWallet must be present but not both.

C53 SafekeepingAccountOrBlockChainAddress2Rule

If BlockChainAddressOrWallet is present then SafekeepingAccount must be absent.

C54 SafekeepingAccountOrBlockChainAddress2Rule

If BlockChainAddressOrWallet is present then SafekeepingAccount must be absent.

C55 SafekeepingAccountOrBlockChainAddress3Rule

If SafekeepingAccount is present, then BlockChainAddressOrWallet must be absent.

C56 SafekeepingAccountOrBlockChainAddress3Rule

If SafekeepingAccount is present, then BlockChainAddressOrWallet must be absent.

C57 SafekeepingPlaceRule

The SafekeepingPlace is only to be used by global custodians that allow their clients to specify where the securities are to be held, for example, in an ICSD account versus an account at the local CSD.

C58 ScripOrDividendReinvestment1Rule

If CorporateActionConfirmationDetails/CashMovementDetails/AmountDetails/CashAmountCarriedForward or CorporateActionConfirmationDetails/CashMovementDetails/AmountDetails/CashAmountBroughtForward is present, then CorporateActionGeneralInformation/EventType/Code value must be either DVSC (ScripDividend) or DRIP (DividendReinvestment).

This constraint is defined at the MessageDefinition level.

C59 ScripOrDividendReinvestment2Rule

If CorporateActionConfirmationDetails/CashMovementDetails/AmountDetails/NotionalDividendPayableAmount or CorporateActionConfirmationDetails/CashMovementDetails/AmountDetails/NotionalTaxAmount is present, then CorporateActionGeneralInformation/EventType/Code value must be DVSC (ScripDividend).

This constraint is defined at the MessageDefinition level.

C60 ScripOrDividendReinvestment3Rule

If CorporateActionConfirmationDetails/CashMovementDetails/TaxVoucherDetails/BargainDate or CorporateActionConfirmationDetails/CashMovementDetails/TaxVoucherDetails/BargainSettlementDate is present, then CorporateActionGeneralInformation/EventType/Code value must be DRIP (DividendReinvestment).

This constraint is defined at the MessageDefinition level.

C61 SecondLevelTax1Rule

If SecondLevelTax is repeated, then SecondLevelTax/RateTypeAndRate/RateType/Code values must all be different in each occurrence of SecondLevelTax.

(MT 564 NVR C8).

C62 SecondLevelTax1Rule

If SecondLevelTax is repeated, then SecondLevelTax/RateTypeAndRate/RateType/Code values must all be different in each occurrence of SecondLevelTax.

(MT 566 NVR C4).

C63 SecondLevelTax2Rule

Only a single occurrence of either SecondLevelTax/Amount or SecondLevelTax/Rate or SecondLevelTax/NotSpecifiedRate may be present, only one of these elements may be present.

(MT 564 NVR C7).

C64 SecondLevelTax2Rule

Only a single occurrence of either SecondLevelTax/Amount or SecondLevelTax/Rate or SecondLevelTax/NotSpecifiedRate may be present, only one of these elements may be present.

(MT 566 NVR C3).

C65 SecondLevelTax3Rule

If at least one occurrence of SecondLevelTax is present, then at least one occurrence of WithholdingTaxRate must be present. (MT 566 NVR C8).

C66 SecondLevelTax3Rule

If at least one occurrence of SecondLevelTax is present, then at least one occurrence of WithholdingTaxRate must be present. (MT 566 NVR C8).

C67 ShortLongNumberRule

ShortNumber must contain the FIN message type number of the linked message. LongNumber must contain the XML message identifier of the linked message.

C68 SupplementaryDataRule

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

C69 WithholdingTaxRate1Rule

If WithholdingTaxRate is repeated, then WithholdingTaxRate/RateTypeAndRate/RateType/Code values must all be different in each occurrence of WithholdingTaxRate.

(MT 564 NVR C8).

C70 WithholdingTaxRate1Rule

If WithholdingTaxRate is repeated, then WithholdingTaxRate/RateTypeAndRate/RateType/Code values must all be different in each occurrence of WithholdingTaxRate.

(MT 566 NVR C4).

C71 WithholdingTaxRate2Rule

Only a single occurrence of either WithholdingTaxRate/Amount or WithholdingTaxRate/Rate or WithholdingTaxRate/NotSpecifiedRate may be present, only one of these elements may be present.

(MT 564 NVR C7).

C72 WithholdingTaxRate2Rule

Only a single occurrence of either WithholdingTaxRate/Amount or WithholdingTaxRate/Rate or WithholdingTaxRate/NotSpecifiedRate may be present, only one of these elements may be present.

(MT 566 NVR C3).

C73 WithLinkageRule

If Code WITH is used, then the one or more instruction which are linked become bound and which must be executed together. Even if one single transactions/instructions/notifications can not be executed, then all the other transactions/instructions/notifications must also be kept pending. Therefore the use of code WITH must be limited to combine up to 2 or 3 transactions/instructions/notifications.

8.4 Message Building Blocks

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

8.4.1 Pagination <Pgntn>

Presence: [0..1]

Definition: Page number of the message and continuation indicator to indicate that the multi-part notification is to continue or that the message is the last page of the multi-part notification.

Pagination <Pgntn> contains the following elements (see "[Pagination1](#)" on page 856 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PageNumber <PgNb>	[1..1]	Text		856
	LastPageIndicator <LastPgInd>	[1..1]	Indicator		856

8.4.2 NotificationIdentification <NtfctnId>

Presence: [0..1]

Definition: Identification of a previously sent notification document.

NotificationIdentification <NtfctnId> contains the following elements (see "[DocumentIdentification37](#)" on page 736 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		737
	LinkageType <LkgTp>	[0..1]	±	C78	737

8.4.3 MovementPreliminaryAdviceIdentification <MvmntPrlmryAdvId>

Presence: [0..1]

Definition: Identification of a previously sent movement preliminary advice document.

MovementPreliminaryAdviceIdentification <MvmntPrlmryAdvId> contains the following elements (see "[DocumentIdentification37](#)" on page 736 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		737
	LinkageType <LkgTp>	[0..1]	±	C78	737

8.4.4 InstructionIdentification <InstrId>

Presence: [0..1]

Definition: Identification of a related instruction document.

InstructionIdentification <InstrId> contains the following elements (see "DocumentIdentification17" on page 741 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		741

8.4.5 OtherDocumentIdentification <OthrDocId>

Presence: [0..*]

Definition: Identification of other documents as well as the document number.

OtherDocumentIdentification <OthrDocId> contains the following elements (see "DocumentIdentification38" on page 739 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]			739
{Or	AccountServicerDocumentIdentification <AcctSvcrDocId>	[1..1]	Text		740
Or}	AccountOwnerDocumentIdentification <AcctOwnrDocId>	[1..1]	Text		740
	DocumentNumber <DocNb>	[0..1]	±	C6	740
	LinkageType <LkgTp>	[0..1]	±	C78	740

8.4.6 EventsLinkage <EvtsLkg>

Presence: [0..*]

Definition: Identification of another corporate action event that needs to be closely linked to the processing of the event notified in this document.

EventsLinkage <EvtsLkg> contains the following elements (see "CorporateActionEventReference4" on page 910 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	EventIdentification <EvtId>	[1..1]			910
{Or	LinkedOfficialCorporateActionEventIdentification <LkdOffclCorpActnEvtId>	[1..1]	Text		910
Or}	LinkedCorporateActionIdentification <LkdCorpActnId>	[1..1]	Text		910
	LinkageType <LkgTp>	[0..1]	±	C78	910

8.4.7 TransactionIdentification <TxId>

Presence: [0..1]

Definition: Transaction type and identification information.

TransactionIdentification <TxId> contains the following **TransactionIdentification1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	MarketInfrastructureTransactionIdentification <MktInfrstrctrTxId>	[1..1]	Text		463

8.4.7.1 MarketInfrastructureTransactionIdentification <MktInfrstrctrTxId>

Presence: [1..1]

Definition: Identification of a transaction assigned by a market infrastructure other than a central securities depository, for example, Target2-Securities.

Datatype: "RestrictedFINMax35Text" on page 1176

8.4.8 CorporateActionGeneralInformation <CorpActnGnlInf>

Presence: [1..1]

Definition: General information about the corporate action event.

Impacted by: C44 "OfficialCorporateActionEventReference"

CorporateActionGeneralInformation <CorpActnGnlInf> contains the following **CorporateActionGeneralInformation167** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CorporateActionEventIdentification <CorpActnEvtId>	[1..1]	Text		463
	OfficialCorporateActionEventIdentification <OffclCorpActnEvtId>	[0..1]	Text		464
	ClassActionNumber <ClssActnNb>	[0..1]	Text		464
	EventType <EvtTp>	[1..1]	±	C17	464
	FinancialInstrumentIdentification <FinInstrmId>	[1..1]	±	C14, C15, C34, C35, C47	464
	FractionalQuantity <FrctnlQty>	[0..1]	±	C16	465

Constraints

- **OfficialCorporateActionEventReference**

The Securities Market Practice Group (SMPG) has published market practice recommendations on the structure and usage of the Official Corporate Action Event Reference (COAF). Those recommendations are

available in the SMPG Global Market Practices Part 1 document on www.smpg.info.

8.4.8.1 CorporateActionEventIdentification <CorpActnEvtId>

Presence: [1..1]

Definition: Reference assigned by the account servicer to unambiguously identify a corporate action event.

Datatype: "RestrictedFINXMax16Text" on page 1177

8.4.8.2 OfficialCorporateActionEventIdentification <OffclCorpActnEvtId>

Presence: [0..1]

Definition: Official and unique reference assigned by the official central body/entity within each market at the beginning of a corporate action event.

Datatype: "RestrictedFINXMax16Text" on page 1177

8.4.8.3 ClassActionNumber <ClsActnNb>

Presence: [0..1]

Definition: Reference assigned by a court to a class action.

Datatype: "RestrictedFINXMax16Text" on page 1177

8.4.8.4 EventType <EvtTp>

Presence: [1..1]

Definition: Type of corporate action event.

Impacted by: C17 "EventTypeRule"

EventType <EvtTp> contains one of the following elements (see "CorporateActionEventType96Choice" on page 821 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		821
Or}	Proprietary <Prtry>	[1..1]	±		827

Constraints

- **EventTypeRule**

If Code is present, the code OTHR (Other) must only be used in case no other corporate action event code is appropriate.

If Code is present, the code CHAN (Change) must only be used in case no other corporate action event code is appropriate and only for an event which relates to a change.

If Code is present, the code REDM (Final Maturity) must only be used when the redemption is decided by the issuer and not by the securities holders.

8.4.8.5 FinancialInstrumentIdentification <FinInstrmId>

Presence: [1..1]

Definition: Identification of the security concerned by the corporate action.

Impacted by: C14 "DescriptionPresenceRule", C15 "DescriptionUsageRule", C34 "ISINGuideline", C35 "ISINPresenceRule", C47 "OtherIdentificationPresenceRule"

FinancialInstrumentIdentification <FinInstrmId> contains the following elements (see "SecurityIdentification20" on page 748 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ISIN <ISIN>	[0..1]	IdentifierSet		749
	OtherIdentification <OthrId>	[0..*]			749
	Identification <Id>	[1..1]	Text		750
	Suffix <Sfx>	[0..1]	Text		750
	Type <Tp>	[1..1]	±		750
	Description <Desc>	[0..1]	Text		750

Constraints

- **DescriptionPresenceRule**

If Description is not present then either ISIN or at least one occurrence of OtherIdentification must be present.

```
On Condition
  /Description is absent
Following Must be True
  /ISIN Must be present
Or
  /OtherIdentification[*] Must be present
```

- **DescriptionUsageRule**

Description must be used alone as the last resort.

- **ISINGuideline**

When a ISIN code exist. It is strongly recommended that the ISIN be used.

- **ISINPresenceRule**

If ISIN is not present then either Description or at least one occurrence of OtherIdentification must be present.

```
On Condition
  /ISIN is absent
Following Must be True
  /OtherIdentification[*] Must be present
Or
  /Description Must be present
```

- **OtherIdentificationPresenceRule**

If OtherIdentification is not present then either ISIN or Description must be present.

```
On Condition
  /OtherIdentification[*] is absent
Following Must be True
  /ISIN Must be present
Or
  /Description Must be present
```

8.4.8.6 FractionalQuantity <FrctnlQty>

Presence: [0..1]

Definition: Fractional quantity resulting from an event which will be paid with cash in lieu.

Impacted by: C16 "DigitalTokenUnitUsageRule"

FractionalQuantity <FrctnlQty> contains one of the following elements (see "FinancialInstrumentQuantity36Choice" on page 986 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		986
Or	FaceAmount <FaceAmt>	[1..1]	Amount		986
Or	AmortisedValue <AmtsdVal>	[1..1]	Amount		986
Or}	DigitalTokenUnit <DgtlTknUnit>	[1..1]	Quantity		986

Constraints

- **DigitalTokenUnitUsageRule**

DigitalTokenUnit format may only be used for instruments identified with a digital token identifier and when the related account identification is provided as a blockchain address or wallet identification (using BlockChainAddressOrWallet element as the account identification).

8.4.9 AccountDetails <AcctDtls>

Presence: [1..1]

Definition: General information about the safekeeping account, owner and account balance.

Impacted by: C51 "SafekeepingAccountOrBlockChainAddress1Rule", C53

"SafekeepingAccountOrBlockChainAddress2Rule", C55

"SafekeepingAccountOrBlockChainAddress3Rule", C57 "SafekeepingPlaceRule"

AccountDetails <AcctDtls> contains the following **AccountAndBalance52** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	SafekeepingAccount <SfkpgAcct>	[0..1]	Text		468
	BlockChainAddressOrWallet <BlckChainAdrOrWllet>	[0..1]	Text		468
	AccountOwner <AcctOwnr>	[0..1]	±		468
	SafekeepingPlace <SfkpgPlc>	[0..1]	±		468
	Balance <Bal>	[1..1]		C7	469
	ConfirmedBalance <ConfdBal>	[1..1]	±		470
	TotalEligibleBalance <TtlElgblBal>	[0..1]	±		470
	BlockedBalance <BlckdBal>	[0..1]	±		470
	BorrowedBalance <BrrwdBal>	[0..1]	±		471
	CollateralInBalance <CollInBal>	[0..1]	±		471
	CollateralOutBalance <CollOutBal>	[0..1]	±		471
	OnLoanBalance <OnLnBal>	[0..1]	±		471
	PendingDeliveryBalance <PdgDivryBal>	[0..*]	±		472
	PendingReceiptBalance <PdgRctBal>	[0..*]	±		472
	OutForRegistrationBalance <OutForRegnBal>	[0..1]	±		472
	SettlementPositionBalance <SttlmPosBal>	[0..*]	±		473
	StreetPositionBalance <StrtPosBal>	[0..1]	±		473
	TradeDatePositionBalance <TradDtPosBal>	[0..1]	±		473
	InTransshipmentBalance <InTrnsShipmntBal>	[0..1]	±		474
	RegisteredBalance <RegdBal>	[0..1]	±		474
	AffectedBalance <AfctdBal>	[0..1]	±		474
	UnaffectedBalance <UafctdBal>	[0..1]	±		475

Constraints

- **SafekeepingAccountOrBlockChainAddress1Rule**

Either SafekeepingAccount or BlockChainAddressOrWallet must be present but not both.

Following Must be True

/SafekeepingAccount Must be present

Or /BlockChainAddressOrWallet Must be present

- **SafekeepingAccountOrBlockChainAddress2Rule**

If BlockChainAddressOrWallet is present then SafekeepingAccount must be absent.

On Condition

/BlockChainAddressOrWallet is present

Following Must be True
/SafekeepingAccount Must be absent

- **SafekeepingAccountOrBlockChainAddress3Rule**

If SafekeepingAccount is present, then BlockChainAddressOrWallet must be absent.

On Condition
/SafekeepingAccount is present
Following Must be True
/BlockChainAddressOrWallet Must be absent

- **SafekeepingPlaceRule**

The SafekeepingPlace is only to be used by global custodians that allow their clients to specify where the securities are to be held, for example, in an ICSD account versus an account at the local CSD.

8.4.9.1 SafekeepingAccount <SfkpgAcct>

Presence: [0..1]

Definition: Account where financial instruments are maintained.

Datatype: "RestrictedFINXMax35Text" on page 1180

8.4.9.2 BlockChainAddressOrWallet <BlckChainAdrOrWllet>

Presence: [0..1]

Definition: Blockchain address or wallet where digital assets are maintained. This is the equivalent of safekeeping account for digital assets.

Datatype: "RestrictedFINXMax140Text" on page 1177

8.4.9.3 AccountOwner <AcctOwnr>

Presence: [0..1]

Definition: Party that legally owns the account.

AccountOwner <AcctOwnr> contains one of the following elements (see "PartyIdentification136Choice" on page 928 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	928
Or}	ProprietaryIdentification <PrtryId>	[1..1]	±		929

8.4.9.4 SafekeepingPlace <SfkpgPlc>

Presence: [0..1]

Definition: Location where the financial instruments are/will be safekept.

SafekeepingPlace <SfkpgPlc> contains one of the following elements (see "SafekeepingPlaceFormat32Choice" on page 1046 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Identification <Id>	[1..1]	±		1047
Or	Country <Ctry>	[1..1]	CodeSet	C3	1047
Or	TypeAndIdentification <TpAndId>	[1..1]			1047
	SafekeepingPlaceType <SfkpgPlcTp>	[1..1]	CodeSet		1048
	Identification <Id>	[1..1]	IdentifierSet	C1	1048
Or}	Proprietary <Prtry>	[1..1]	±		1048

8.4.9.5 Balance <Bal>

Presence: [1..1]

Definition: Provides information about balance related to a corporate action.

Impacted by: C7 "AffectedAndUnaffectedBalanceRule"

Balance <Bal> contains the following **CorporateActionBalanceDetails45** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ConfirmedBalance <ConfdBal>	[1..1]	±		470
	TotalEligibleBalance <TtlElgblBal>	[0..1]	±		470
	BlockedBalance <BlckdBal>	[0..1]	±		470
	BorrowedBalance <BrrwdBal>	[0..1]	±		471
	CollateralInBalance <CollInBal>	[0..1]	±		471
	CollateralOutBalance <CollOutBal>	[0..1]	±		471
	OnLoanBalance <OnLnBal>	[0..1]	±		471
	PendingDeliveryBalance <PdgDlvryBal>	[0..*]	±		472
	PendingReceiptBalance <PdgRctBal>	[0..*]	±		472
	OutForRegistrationBalance <OutForRegnBal>	[0..1]	±		472
	SettlementPositionBalance <SttlmPosBal>	[0..*]	±		473
	StreetPositionBalance <StrtPosBal>	[0..1]	±		473
	TradeDatePositionBalance <TradDtPosBal>	[0..1]	±		473
	InTransshipmentBalance <InTrnsShipmntBal>	[0..1]	±		474
	RegisteredBalance <RegdBal>	[0..1]	±		474
	AffectedBalance <AfctdBal>	[0..1]	±		474
	UnaffectedBalance <UafctdBal>	[0..1]	±		475

Constraints

- **AffectedAndUnaffectedBalanceRule**

Unaffected balance and Affected balances should only be mentioned as a result of the process that was run through the event. For instance lottery results, Dutch auction results or tender offer results.

8.4.9.5.1 ConfirmedBalance <ConfdBal>

Presence: [1..1]

Definition: Balance to which the payment applies (less or equal to the total eligible balance).

ConfirmedBalance <ConfdBal> contains one of the following elements (see "[BalanceFormat14Choice](#)" on page 716 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Balance <Bal>	[1..1]	±		716
Or	EligibleBalance <ElgblBal>	[1..1]	±		716
Or}	NotEligibleBalance <NotElgblBal>	[1..1]	±		716

8.4.9.5.2 TotalEligibleBalance <TtlElgblBal>

Presence: [0..1]

Definition: Total balance of securities eligible for this corporate action event. The entitlement calculation is based on this balance.

TotalEligibleBalance <TtlElgblBal> contains the following elements (see "[TotalEligibleBalanceFormat11](#)" on page 713 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Balance <Bal>	[0..1]	±		713
	FullPeriodUnits <FullPrdUnits>	[0..1]	±		713
	PartWayPeriodUnits <PartWayPrdUnits>	[0..1]	±		714

8.4.9.5.3 BlockedBalance <BlckdBal>

Presence: [0..1]

Definition: Balance of financial instruments that are blocked.

BlockedBalance <BlckdBal> contains one of the following elements (see "[BalanceFormat14Choice](#)" on page 716 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Balance <Bal>	[1..1]	±		716
Or	EligibleBalance <ElgblBal>	[1..1]	±		716
Or}	NotEligibleBalance <NotElgblBal>	[1..1]	±		716

8.4.9.5.4 BorrowedBalance <BrrwdBal>*Presence:* [0..1]*Definition:* Balance of financial instruments that have been borrowed from another party.**BorrowedBalance <BrrwdBal>** contains one of the following elements (see "[BalanceFormat14Choice](#)" on page 716 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Balance <Bal>	[1..1]	±		716
Or	EligibleBalance <ElgblBal>	[1..1]	±		716
Or}	NotEligibleBalance <NotElgblBal>	[1..1]	±		716

8.4.9.5.5 CollateralInBalance <CollInBal>*Presence:* [0..1]*Definition:* Balance of securities that belong to a third party and that are held for the purpose of collateralisation.**CollateralInBalance <CollInBal>** contains one of the following elements (see "[BalanceFormat14Choice](#)" on page 716 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Balance <Bal>	[1..1]	±		716
Or	EligibleBalance <ElgblBal>	[1..1]	±		716
Or}	NotEligibleBalance <NotElgblBal>	[1..1]	±		716

8.4.9.5.6 CollateralOutBalance <CollOutBal>*Presence:* [0..1]*Definition:* Balance of securities that belong to the safekeeping account indicated within this message, and are deposited with a third party for the purpose of collateralisation.**CollateralOutBalance <CollOutBal>** contains one of the following elements (see "[BalanceFormat14Choice](#)" on page 716 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Balance <Bal>	[1..1]	±		716
Or	EligibleBalance <ElgblBal>	[1..1]	±		716
Or}	NotEligibleBalance <NotElgblBal>	[1..1]	±		716

8.4.9.5.7 OnLoanBalance <OnLnBal>*Presence:* [0..1]*Definition:* Balance of financial instruments that have been loaned to a third party.

OnLoanBalance <OnLnBal> contains one of the following elements (see "BalanceFormat14Choice" on page 716 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Balance <Bal>	[1..1]	±		716
Or	EligibleBalance <ElgblBal>	[1..1]	±		716
Or}	NotEligibleBalance <NotElgblBal>	[1..1]	±		716

8.4.9.5.8 PendingDeliveryBalance <PdgDlvryBal>

Presence: [0..*]

Definition: Balance of financial instruments that are pending delivery.

PendingDeliveryBalance <PdgDlvryBal> contains one of the following elements (see "BalanceFormat16Choice" on page 714 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Balance <Bal>	[1..1]	±		714
Or	EligibleBalance <ElgblBal>	[1..1]	±		714
Or	NotEligibleBalance <NotElgblBal>	[1..1]	±		715
Or	FullPeriodUnits <FullPrdUnits>	[1..1]	±		715
Or}	PartWayPeriodUnits <PartWayPrdUnits>	[1..1]	±		715

8.4.9.5.9 PendingReceiptBalance <PdgRctBal>

Presence: [0..*]

Definition: Balance of financial instruments that are pending receipt.

PendingReceiptBalance <PdgRctBal> contains one of the following elements (see "BalanceFormat16Choice" on page 714 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Balance <Bal>	[1..1]	±		714
Or	EligibleBalance <ElgblBal>	[1..1]	±		714
Or	NotEligibleBalance <NotElgblBal>	[1..1]	±		715
Or	FullPeriodUnits <FullPrdUnits>	[1..1]	±		715
Or}	PartWayPeriodUnits <PartWayPrdUnits>	[1..1]	±		715

8.4.9.5.10 OutForRegistrationBalance <OutForRegnBal>

Presence: [0..1]

Definition: Balance of financial instruments currently being processed by the institution responsible for registering the new beneficial owner (or nominee).

OutForRegistrationBalance <OutForRegnBal> contains one of the following elements (see "BalanceFormat14Choice" on page 716 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Balance <Bal>	[1..1]	±		716
Or	EligibleBalance <ElgblBal>	[1..1]	±		716
Or}	NotEligibleBalance <NotElgblBal>	[1..1]	±		716

8.4.9.5.11 SettlementPositionBalance <SttlmPosBal>

Presence: [0..*]

Definition: Balance of securities representing only settled transactions; pending transactions not included.

SettlementPositionBalance <SttlmPosBal> contains one of the following elements (see "BalanceFormat16Choice" on page 714 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Balance <Bal>	[1..1]	±		714
Or	EligibleBalance <ElgblBal>	[1..1]	±		714
Or	NotEligibleBalance <NotElgblBal>	[1..1]	±		715
Or	FullPeriodUnits <FullPrdUnits>	[1..1]	±		715
Or}	PartWayPeriodUnits <PartWayPrdUnits>	[1..1]	±		715

8.4.9.5.12 StreetPositionBalance <StrtPosBal>

Presence: [0..1]

Definition: Balance of financial instruments that remain registered in the name of the prior beneficial owner.

StreetPositionBalance <StrtPosBal> contains one of the following elements (see "BalanceFormat14Choice" on page 716 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Balance <Bal>	[1..1]	±		716
Or	EligibleBalance <ElgblBal>	[1..1]	±		716
Or}	NotEligibleBalance <NotElgblBal>	[1..1]	±		716

8.4.9.5.13 TradeDatePositionBalance <TradDtPosBal>

Presence: [0..1]

Definition: Balance of securities based on trade date, for example, includes all pending transactions in addition to the balance of settled transactions.

TradeDatePositionBalance <TradDtPosBal> contains one of the following elements (see "BalanceFormat14Choice" on page 716 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Balance <Bal>	[1..1]	±		716
Or	EligibleBalance <ElgblBal>	[1..1]	±		716
Or}	NotEligibleBalance <NotElgblBal>	[1..1]	±		716

8.4.9.5.14 InTransshipmentBalance <InTrnsShipmntBal>

Presence: [0..1]

Definition: Balance of physical securities that are in the process of being transferred from one depository/agent to another.

InTransshipmentBalance <InTrnsShipmntBal> contains one of the following elements (see "BalanceFormat14Choice" on page 716 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Balance <Bal>	[1..1]	±		716
Or	EligibleBalance <ElgblBal>	[1..1]	±		716
Or}	NotEligibleBalance <NotElgblBal>	[1..1]	±		716

8.4.9.5.15 RegisteredBalance <RegdBal>

Presence: [0..1]

Definition: Balance of financial instruments that are registered (in the name of a nominee name or of the beneficial owner).

RegisteredBalance <RegdBal> contains one of the following elements (see "BalanceFormat14Choice" on page 716 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Balance <Bal>	[1..1]	±		716
Or	EligibleBalance <ElgblBal>	[1..1]	±		716
Or}	NotEligibleBalance <NotElgblBal>	[1..1]	±		716

8.4.9.5.16 AffectedBalance <AfctdBal>

Presence: [0..1]

Definition: Balance that has been affected by the process run through the event.

AffectedBalance <AfctdBal> contains one of the following elements (see "BalanceFormat14Choice" on page 716 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Balance <Bal>	[1..1]	±		716
Or	EligibleBalance <ElgblBal>	[1..1]	±		716
Or}	NotEligibleBalance <NotElgblBal>	[1..1]	±		716

8.4.9.5.17 UnaffectedBalance <UafctdBal>

Presence: [0..1]

Definition: Balance that has not been affected by the process run through the event.

UnaffectedBalance <UafctdBal> contains one of the following elements (see "BalanceFormat14Choice" on page 716 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Balance <Bal>	[1..1]	±		716
Or	EligibleBalance <ElgblBal>	[1..1]	±		716
Or}	NotEligibleBalance <NotElgblBal>	[1..1]	±		716

8.4.10 CorporateActionDetails <CorpActnDtls>

Presence: [0..1]

Definition: Information about the corporate action event.

CorporateActionDetails <CorpActnDtls> contains the following **CorporateAction64** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	DateDetails <DtDtls>	[0..1]			475
	RecordDate <RcrdDt>	[0..1]	±		476
	ExDividendDate <ExDvddDt>	[0..1]	±		476
	EventStage <EvtStag>	[0..1]	±		476
	AdditionalBusinessProcessIndicator <AddtlBizPrclnd>	[0..*]	±		477
	IntermediateSecuritiesDistributionType <IntrmdtScitiesDstrbtnTp>	[0..1]	±		477
	LotteryType <LtryTp>	[0..1]	±		477

8.4.10.1 DateDetails <DtDtls>

Presence: [0..1]

Definition: Provides information about the dates related to a corporate action event.

DateDetails <DtDtls> contains the following **CorporateActionDate66** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	RecordDate <RcrdDt>	[0..1]	±		476
	ExDividendDate <ExDvddDt>	[0..1]	±		476

8.4.10.1.1 RecordDate <RcrdDt>

Presence: [0..1]

Definition: Date/time at which positions are struck at the end of the day to note which parties will receive the relevant amount of entitlement, due to be distributed on payment date.

RecordDate <RcrdDt> contains one of the following elements (see "[DateFormat49Choice](#)" on page 729 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		730
Or}	DateCode <DtCd>	[1..1]			730
{Or	Code <Cd>	[1..1]	CodeSet		730
Or}	Proprietary <Prtry>	[1..1]	±		730

8.4.10.1.2 ExDividendDate <ExDvddDt>

Presence: [0..1]

Definition: Date/time as from which trading (including exchange and OTC trading) occurs on the underlying security without the benefit.

ExDividendDate <ExDvddDt> contains one of the following elements (see "[DateFormat49Choice](#)" on page 729 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		730
Or}	DateCode <DtCd>	[1..1]			730
{Or	Code <Cd>	[1..1]	CodeSet		730
Or}	Proprietary <Prtry>	[1..1]	±		730

8.4.10.2 EventStage <EvtStag>

Presence: [0..1]

Definition: Stage in the corporate action event life cycle.

EventStage <EvtStag> contains one of the following elements (see "CorporateActionEventStageFormat15Choice" on page 863 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		863
Or}	Proprietary <Prtry>	[1..1]	±		863

8.4.10.3 AdditionalBusinessProcessIndicator <AddtlBizPrclnd>

Presence: [0..*]

Definition: Indicates that the additional business process relates to a claim on the associated corporate action event.

AdditionalBusinessProcessIndicator <AddtlBizPrclnd> contains one of the following elements (see "AdditionalBusinessProcessFormat20Choice" on page 792 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		792
Or}	Proprietary <Prtry>	[1..1]	±		794

8.4.10.4 IntermediateSecuritiesDistributionType <IntrmdtSciesDstrbtnTp>

Presence: [0..1]

Definition: Type of intermediates securities distribution.

IntermediateSecuritiesDistributionType <IntrmdtSciesDstrbtnTp> contains one of the following elements (see "IntermediateSecuritiesDistributionTypeFormat18Choice" on page 895 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		895
Or}	Proprietary <Prtry>	[1..1]	±		896

8.4.10.5 LotteryType <LtryTp>

Presence: [0..1]

Definition: Specifies the type of lottery announced.

LotteryType <LtryTp> contains one of the following elements (see "LotteryTypeFormat5Choice" on page 891 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		892
Or}	Proprietary <Prtry>	[1..1]	±		892

8.4.11 CorporateActionConfirmationDetails <CorpActnConfDtls>

Presence: [1..1]

Definition: Information about the corporate action option.

CorporateActionConfirmationDetails <CorpActnConfDtls> contains the following
CorporateActionOption205 elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	OptionNumber <OptnNb>	[1..1]	±		485
	OptionType <OptnTp>	[1..1]	±		485
	OptionFeatures <OptnFeatrs>	[0..*]	±		485
	FractionDisposition <FrctnDspstn>	[0..1]	±		486
	CurrencyOption <CcyOptn>	[0..1]	CodeSet	C1	486
	DateDetails <DtDtls>	[0..1]			486
	ResponseDeadline <RspnDdln>	[0..1]	±		487
	SubscriptionCostDebitDate <SbcptCostDbtDt>	[0..1]	±		487
	MarketDeadline <MktDdln>	[0..1]	±		487
	ExpiryDate <XpryDt>	[0..1]	±		488
	CoverExpirationDeadline <CoverXprtnDdln>	[0..1]	±		488
	ProtectDeadline <PrtctDdln>	[0..1]	±		488
	TradingDate <TradgDt>	[0..1]	±		489
	PeriodDetails <PrdDtls>	[0..1]			489
	PriceCalculationPeriod <PricClctnPrd>	[0..1]	±		489
	ActionPeriod <ActnPrd>	[0..1]	±		490
	ParallelTradingPeriod <ParllTradgPrd>	[0..1]	±		490
	RateAndAmountDetails <RateAndAmtDtls>	[0..1]		C23, C25, C42, C43, C61, C63, C65, C69, C71	491
	GrossDividendRate <GrssDvddRate>	[0..*]	±		492
	NetDividendRate <NetDvddRate>	[0..*]	±		493
	InterestRateUsedForPayment <IntrstRateUsdForPmt>	[0..*]	±		494
	MaximumAllowedOversubscriptionRate <MaxAllwdOvrscptRate>	[0..1]	Rate		495
	ProrationRate <PrratnRate>	[0..1]	Rate		495
	WithholdingTaxRate <WhldgTaxRate>	[0..*]	±		495
	SecondLevelTax <ScndLvITax>	[0..*]	±		495
	AdditionalTax <AddtlTax>	[0..1]	±		496

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	TaxableIncomePerDividendShare <TaxblIncmPerDvddShr>	[0..*]	±		496
	PriceDetails <PricDtls>	[0..1]		C22	497
	CashInLieuOfSharePrice <CshInLieuOfShrPric>	[0..1]	±		497
	OverSubscriptionDepositPrice <OverSbcptDpstPric>	[0..1]	±		497
	PlaceOfTrade <PlcOfTrad>	[0..1]	±	C39	498
	SecuritiesMovementDetails <SctiesMvmntDtls>	[0..*]		C18, C29, C31, C37	498
	FinancialInstrumentIdentification <FinInstrmId>	[1..1]	±	C14, C15, C34, C35, C47	500
	CreditDebitIndicator <CdtDbtInd>	[1..1]	CodeSet		501
	TemporaryFinancialInstrumentIndicator <TempFinInstrmInd>	[0..1]	±		502
	NewSecuritiesIssuanceIndicator <NewSctiesIssncInd>	[0..1]	CodeSet		502
	IssuerOfferorTaxabilityIndicator <IssrOfferrTaxbltyInd>	[0..1]	±		502
	IncomeType <IncmTp>	[0..1]	±		503
	OtherIncomeType <OthrIncmTp>	[0..*]	±		503
	ExemptionType <XmptnTp>	[0..*]	±		503
	CountryOfIncomeSource <CtryOfIncmSrc>	[0..1]	CodeSet	C10	504
	PostingQuantity <PstngQty>	[1..1]	±		504
	SafekeepingPlace <SfkpgPlc>	[0..1]	±		504
	FractionDisposition <FrctnDspstn>	[0..1]	±		505
	CurrencyOption <CcyOptn>	[0..1]	CodeSet	C1	505
	DateDetails <DtDtls>	[1..1]			505
	PostingDate <PstngDt>	[1..1]	±		506
	AvailableDate <AvlblDt>	[0..1]	±		506
	PariPassuDate <PrpssDt>	[0..1]	±		506
	DividendRankingDate <DvddRnkgDt>	[0..1]	±		507
	EarliestPaymentDate <EarlstPmtDt>	[0..1]	±		507
	PaymentDate <PmtDt>	[0..1]	±		507
	RateDetails <RateDtls>	[0..1]			508

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AdditionalQuantityForSubscribedResultantSecurities <AddtlQtyForSbcbdRsltntScies>	[0..1]	±		508
	AdditionalQuantityForExistingSecurities <AddtlQtyForExstgScies>	[0..1]	±		509
	NewToOld <NewToOd>	[0..1]	±		509
	ChargesFees <ChrgsFees>	[0..1]	±		509
	FiscalStamp <FscIStmp>	[0..1]	Rate		510
	ApplicableRate <AplblRate>	[0..1]	Rate		510
	TaxCreditRate <TaxCdtRate>	[0..1]	±		510
	FinancialTransactionTaxRate <FinTxTaxRate>	[0..1]	Rate		510
	PriceDetails <PricDtls>	[0..1]		C20	510
	CashInLieuOfSharePrice <CshInLieuOfShrPric>	[0..1]	±		511
	IndicativeOrMarketPrice <IndctvOrMktPric>	[0..1]	±		511
	CashValueForTax <CshValForTax>	[0..1]	±		511
	GenericCashPricePaidPerProduct <GncCshPricPdPerPdct>	[0..1]	±		512
	GenericCashPriceReceivedPerProduct <GncCshPricRcvdPerPdct>	[0..1]	±		512
	ReceivingSettlementParties <RcvgSttlmPties>	[0..1]	±	C48, C49	513
	DeliveringSettlementParties <DlvrSttlmPties>	[0..1]	±	C48, C49	514
	CashMovementDetails <CshMvmntDtls>	[0..*]		C19, C30, C32, C38	515
	CreditDebitIndicator <CdtDbtInd>	[1..1]	CodeSet		520
	ContractualPaymentIndicator <CtrctlPmtInd>	[0..1]	CodeSet		520
	IssuerOfferorTaxabilityIndicator <IssrOfferrTaxbltyInd>	[0..1]	±		520
	IncomeType <IncmTp>	[0..1]	±		520
	OtherIncomeType <OthrIncmTp>	[0..*]	±		521
	ExemptionType <XmptnTp>	[0..*]	±		521
	CountryOfIncomeSource <CtryOfIncmSrc>	[0..1]	CodeSet	C10	521
	Account <Acct>	[0..1]			522
{Or	CashAccount <CshAcct>	[1..1]	±		522
Or	ChargesAccount <ChrgsAcct>	[1..1]	±		522

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
Or}	TaxAccount <TaxAcct>	[1..1]	±		522
	CashParties <CshPties>	[0..1]			523
	Creditor <Cdtr>	[0..1]	±	C5	523
	CreditorAgent <CdtrAgt>	[0..1]	±	C6	523
	MarketClaimCounterparty <MktClmCtrPty>	[0..1]	±	C5	524
	AmountDetails <AmtDtls>	[1..1]			524
	PostingAmount <PstngAmt>	[1..1]	Amount	C1, C11	526
	GrossCashAmount <GrssCshAmt>	[0..1]	Amount	C1, C11	527
	NetCashAmount <NetCshAmt>	[0..1]	Amount	C1, C11	527
	SolicitationFees <SlctnFees>	[0..1]	Amount	C1, C11	528
	CashInLieuOfShare <CshInLieuOfShr>	[0..1]	Amount	C1, C11	528
	CapitalGain <CptlGn>	[0..1]	Amount	C1, C11	528
	InterestAmount <IntrstAmt>	[0..1]	Amount	C1, C11	529
	MarketClaimAmount <MktClmAmt>	[0..1]	Amount	C1, C11	529
	IndemnityAmount <IndmntyAmt>	[0..1]	Amount	C1, C11	530
	ManufacturedDividendPaymentAmount <ManfctrdDvddPmtAmt>	[0..1]	Amount	C1, C11	530
	ReinvestmentAmount <RinvstmtAmt>	[0..1]	Amount	C1, C11	531
	FullyFrankedAmount <FullyFrnkAmt>	[0..1]	Amount	C1, C11	531
	UnfrankedAmount <UfrnkAmt>	[0..1]	Amount	C1, C11	531
	SundryOrOtherAmount <SndryOrOthrAmt>	[0..1]	Amount	C1, C11	532
	TaxFreeAmount <TaxFreeAmt>	[0..1]	Amount	C1, C11	532
	TaxDeferredAmount <TaxDfrdAmt>	[0..1]	Amount	C1, C11	533
	ValueAddedTaxAmount <ValAddedTaxAmt>	[0..1]	Amount	C1, C11	533
	StampDutyAmount <StmpDtyAmt>	[0..1]	Amount	C1, C11	533
	TaxReclaimAmount <TaxRclmAmt>	[0..1]	Amount	C1, C11	534
	TaxCreditAmount <TaxCdtAmt>	[0..1]	Amount	C1, C11	534
	AdditionalTaxAmount <AddtlTaxAmt>	[0..1]	Amount	C1, C11	535
	WithholdingTaxAmount <WhldgTaxAmt>	[0..1]	Amount	C1, C11	535
	SecondLevelTaxAmount <ScndLvlTaxAmt>	[0..1]	Amount	C1, C11	535
	FiscalStampAmount <FscIStmpAmt>	[0..1]	Amount	C1, C11	536
	ExecutingBrokerAmount <ExctgBrkrAmt>	[0..1]	Amount	C1, C11	536

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PayingAgentCommissionAmount <PngAgtComssnAmt>	[0..1]	Amount	C1, C11	537
	LocalBrokerCommissionAmount <LclBrkrComssnAmt>	[0..1]	Amount	C1, C11	537
	RegulatoryFeesAmount <RgltryFeesAmt>	[0..1]	Amount	C1, C11	537
	ShippingFeesAmount <ShppgFeesAmt>	[0..1]	Amount	C1, C11	538
	ChargesAmount <ChrgsAmt>	[0..1]	Amount	C1, C11	538
	CashAmountBroughtForward <CshAmtBrghtFwd>	[0..1]	Amount	C1, C11	539
	CashAmountCarriedForward <CshAmtCrrdFwd>	[0..1]	Amount	C1, C11	539
	NotionalDividendPayableAmount <NtnlDvddPyblAmt>	[0..1]	Amount	C1, C11	539
	NotionalTaxAmount <NtnlTaxAmt>	[0..1]	Amount	C1, C11	540
	TaxArrearsAmount <TaxArrearsAmt>	[0..1]	Amount	C1, C11	540
	OriginalAmount <OrgnlAmt>	[0..1]	Amount	C1, C11	541
	PrincipalOrCorpus <PrncplOrCrps>	[0..1]	Amount	C1, C11	541
	RedemptionPremiumAmount <RedPrmAmt>	[0..1]	Amount	C1, C11	541
	IncomePortion <IncmPrtn>	[0..1]	Amount	C1, C11	542
	StockExchangeTax <StockXchgTax>	[0..1]	Amount	C1, C11	542
	EUTaxRetentionAmount <EUTaxRtnAmt>	[0..1]	Amount	C1, C11	543
	AccruedInterestAmount <AcrdIntrstAmt>	[0..1]	Amount	C1, C11	543
	EqualisationAmount <EqulstnAmt>	[0..1]	Amount	C1, C11	543
	FATCATaxAmount <FATCATaxAmt>	[0..1]	Amount	C1, C11	544
	NRATaxAmount <NRATaxAmt>	[0..1]	Amount	C1, C11	544
	BackUpWithholdingTaxAmount <BckUpWhldgTaxAmt>	[0..1]	Amount	C1, C11	545
	TaxOnIncomeAmount <TaxOnIncmAmt>	[0..1]	Amount	C1, C11	545
	TransactionTax <TxTax>	[0..1]	Amount	C1, C11	545
	DeemedAmount <DmdAmt>	[0..1]	Amount	C1, C11	546
	ForeignIncomeAmount <FrgnIncmAmt>	[0..1]	Amount	C1, C11	546
	DeemedDividendAmount <DmdDvddAmt>	[0..1]	Amount	C1, C11	547
	DeemedFundAmount <DmdFndAmt>	[0..1]	Amount	C1, C11	547
	DeemedInterestAmount <DmdIntrstAmt>	[0..1]	Amount	C1, C11	547
	DeemedRoyaltiesAmount <DmdRyltsAmt>	[0..1]	Amount	C1, C11	548
	AdjustedSubscriptionAmount <AdjstdSbcptAmt>	[0..1]	Amount	C1, C11	548

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	RefundedSubscriptionAmount <RfnddSbcptAmt>	[0..1]	Amount	C1, C11	549
	DateDetails <DtDtls>	[1..1]			549
	PostingDate <PstngDt>	[1..1]	±		549
	ValueDate <ValDt>	[0..1]	±		550
	ForeignExchangeRateFixingDate <FXRateFgxDt>	[0..1]	±		550
	EarliestPaymentDate <EarlstPmtDt>	[0..1]	±		550
	PaymentDate <PmtDt>	[0..1]	±		550
	ForeignExchangeDetails <FXDtls>	[0..*]	±		551
	TaxVoucherDetails <TaxVchrDtls>	[0..1]			551
	Identification <Id>	[1..1]	Text		551
	BargainDate <BrngDt>	[0..1]	±		551
	BargainSettlementDate <BrngSttlmDt>	[0..1]	±		552
	RateAndAmountDetails <RateAndAmtDtls>	[0..1]		C12, C13, C24, C26, C40, C41, C62, C64, C66, C70, C72	552
	AdditionalTax <AddtlTax>	[0..1]	±		554
	ChargesFees <ChrgsFees>	[0..1]	±		555
	FiscalStamp <FscIStmp>	[0..1]	Rate		555
	GrossDividendRate <GrssDvddRate>	[0..*]	±		555
	EarlySolicitationFeeRate <EarlySlctnFeeRate>	[0..1]	±		556
	ThirdPartyIncentiveRate <ThrdPtyIncntivRate>	[0..1]	±		556
	InterestRateUsedForPayment <IntrstRateUsdForPmt>	[0..*]	±		557
	NetDividendRate <NetDvddRate>	[0..*]	±		557
	ApplicableRate <AplblRate>	[0..1]	Rate		558
	SolicitationFeeRate <SlctnFeeRate>	[0..1]	±		558
	TaxCreditRate <TaxCdtRate>	[0..1]	±		559
	WithholdingTaxRate <WhldgTaxRate>	[0..*]	±		559
	SecondLevelTax <ScndLvlTax>	[0..*]	±		559

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	TaxOnIncome <TaxOnIncm>	[0..1]	±		560
	TaxOnProfits <TaxOnPrfts>	[0..1]	Rate		560
	TaxReclaimRate <TaxRclmRate>	[0..1]	Rate		560
	EqualisationRate <EqulstnRate>	[0..1]	Amount	C1	560
	DeemedRate <DmdRate>	[0..*]	±		561
	PriceDetails <PricDtls>	[0..1]		C21	561
	GenericCashPricePaidPerProduct <GncCshPricPdPerPdct>	[0..1]	±		562
	GenericCashPriceReceivedPerProduct <GncCshPricRcvdPerPdct>	[0..1]	±		562

8.4.11.1 OptionNumber <OptnNb>

Presence: [1..1]

Definition: Number identifying the available corporate action options.

OptionNumber <OptnNb> contains one of the following elements (see "OptionNumber1Choice" on page 916 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Number <Nb>	[1..1]	Text		916
Or}	Code <Cd>	[1..1]	CodeSet		917

8.4.11.2 OptionType <OptnTp>

Presence: [1..1]

Definition: Specifies the corporate action options available to the account owner.

OptionType <OptnTp> contains one of the following elements (see "CorporateActionOption39Choice" on page 819 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		819
Or}	Proprietary <Prtry>	[1..1]	±		820

8.4.11.3 OptionFeatures <OptnFeatrs>

Presence: [0..*]

Definition: Specifies the features that may apply to a corporate action option.

OptionFeatures <OptnFeatrs> contains one of the following elements (see "OptionFeaturesFormat19Choice" on page 860 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		860
Or}	Proprietary <Prtry>	[1..1]	±		861

8.4.11.4 FractionDisposition <FrctnDspstn>

Presence: [0..1]

Definition: Specifies how fractions resulting from derived securities will be processed or how prorated decisions will be rounding, if provided with a pro ration rate.

FractionDisposition <FrctnDspstn> contains one of the following elements (see "FractionDispositionType30Choice" on page 866 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		866
Or}	Proprietary <Prtry>	[1..1]	±		866

8.4.11.5 CurrencyOption <CcyOptn>

Presence: [0..1]

Definition: Currency in which the cash disbursed from an interest or dividend payment is offered.

Impacted by: C1 "ActiveCurrency"

Datatype: "ActiveCurrencyCode" on page 1088

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

8.4.11.6 DateDetails <DtDtIs>

Presence: [0..1]

Definition: Provides information about the dates related to a corporate action option.

DateDetails <DtDtls> contains the following **CorporateActionDate80** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ResponseDeadline <RspnDdlIn>	[0..1]	±		487
	SubscriptionCostDebitDate <SbcptCostDbtDt>	[0..1]	±		487
	MarketDeadline <MktDdlIn>	[0..1]	±		487
	ExpiryDate <XpryDt>	[0..1]	±		488
	CoverExpirationDeadline <CoverXprtnDdlIn>	[0..1]	±		488
	ProtectDeadline <PrctDdlIn>	[0..1]	±		488
	TradingDate <TradgDt>	[0..1]	±		489

8.4.11.6.1 ResponseDeadline <RspnDdlIn>

Presence: [0..1]

Definition: Date/time at which the account servicer has set as the deadline to respond, with instructions, to an outstanding event.

ResponseDeadline <RspnDdlIn> contains one of the following elements (see "[DateFormat49Choice](#)" on page 729 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		730
Or}	DateCode <DtCd>	[1..1]			730
{Or	Code <Cd>	[1..1]	CodeSet		730
Or}	Proprietary <Prtry>	[1..1]	±		730

8.4.11.6.2 SubscriptionCostDebitDate <SbcptCostDbtDt>

Presence: [0..1]

Definition: Date/time by which cash must be in place in order to take part in the event.

SubscriptionCostDebitDate <SbcptCostDbtDt> contains one of the following elements (see "[DateFormat49Choice](#)" on page 729 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		730
Or}	DateCode <DtCd>	[1..1]			730
{Or	Code <Cd>	[1..1]	CodeSet		730
Or}	Proprietary <Prtry>	[1..1]	±		730

8.4.11.6.3 MarketDeadline <MktDdlIn>

Presence: [0..1]

Definition: Issuer or issuer's agent deadline to respond, with an instruction, to an outstanding offer or privilege.

MarketDeadline <MktDdln> contains one of the following elements (see "DateFormat49Choice" on page 729 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		730
Or}	DateCode <DtCd>	[1..1]			730
{Or	Code <Cd>	[1..1]	CodeSet		730
Or}	Proprietary <Prtry>	[1..1]	±		730

8.4.11.6.4 ExpiryDate <XpryDt>

Presence: [0..1]

Definition: Date/time at which an order expires or on which a privilege or offer terminates.

ExpiryDate <XpryDt> contains one of the following elements (see "DateFormat49Choice" on page 729 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		730
Or}	DateCode <DtCd>	[1..1]			730
{Or	Code <Cd>	[1..1]	CodeSet		730
Or}	Proprietary <Prtry>	[1..1]	±		730

8.4.11.6.5 CoverExpirationDeadline <CoverXprtnDdln>

Presence: [0..1]

Definition: Last day a holder can deliver the securities that it had elected on and/or previously protected.

CoverExpirationDeadline <CoverXprtnDdln> contains one of the following elements (see "DateFormat43Choice" on page 731 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		731
Or}	DateCode <DtCd>	[1..1]			732
{Or	Code <Cd>	[1..1]	CodeSet		732
Or}	Proprietary <Prtry>	[1..1]	±		732

8.4.11.6.6 ProtectDeadline <PrctDdln>

Presence: [0..1]

Definition: Last date/time a holder can request to defer delivery of securities pursuant to a notice of guaranteed delivery or other required documentation.

ProtectDeadline <PrctDdln> contains one of the following elements (see ["DateFormat43Choice"](#) on page 731 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		731
Or}	DateCode <DtCd>	[1..1]			732
{Or	Code <Cd>	[1..1]	CodeSet		732
Or}	Proprietary <Prtry>	[1..1]	±		732

8.4.11.6.7 TradingDate <TradgDt>

Presence: [0..1]

Definition: Date/time at which the deal (rights) was agreed.

TradingDate <TradgDt> contains one of the following elements (see ["DateFormat49Choice"](#) on page 729 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		730
Or}	DateCode <DtCd>	[1..1]			730
{Or	Code <Cd>	[1..1]	CodeSet		730
Or}	Proprietary <Prtry>	[1..1]	±		730

8.4.11.7 PeriodDetails <PrdDtls>

Presence: [0..1]

Definition: Provides information about the periods related to a corporate action option.

PeriodDetails <PrdDtls> contains the following **CorporateActionPeriod13** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PriceCalculationPeriod <PricClctnPrd>	[0..1]	±		489
	ActionPeriod <ActnPrd>	[0..1]	±		490
	ParallelTradingPeriod <ParllTradgPrd>	[0..1]	±		490

8.4.11.7.1 PriceCalculationPeriod <PricClctnPrd>

Presence: [0..1]

Definition: Period during which the price of a security is determined.

PriceCalculationPeriod <PricClctnPrd> contains the following elements (see "Period11" on page 734 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	StartDate <StartDt>	[1..1]			734
{Or	Date <Dt>	[1..1]	±		734
Or}	NotSpecifiedDate <NotSpcfdDt>	[1..1]	CodeSet		734
	EndDate <EndDt>	[1..1]			735
{Or	Date <Dt>	[1..1]	±		735
Or}	NotSpecifiedDate <NotSpcfdDt>	[1..1]	CodeSet		735

8.4.11.7.2 ActionPeriod <ActnPrd>

Presence: [0..1]

Definition: Period during which the specified option, or all options of the event, remains valid, for example, offer period.

ActionPeriod <ActnPrd> contains the following elements (see "Period11" on page 734 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	StartDate <StartDt>	[1..1]			734
{Or	Date <Dt>	[1..1]	±		734
Or}	NotSpecifiedDate <NotSpcfdDt>	[1..1]	CodeSet		734
	EndDate <EndDt>	[1..1]			735
{Or	Date <Dt>	[1..1]	±		735
Or}	NotSpecifiedDate <NotSpcfdDt>	[1..1]	CodeSet		735

8.4.11.7.3 ParallelTradingPeriod <ParlITradgPrd>

Presence: [0..1]

Definition: Period during which both old and new equity may be traded simultaneously, for example, consolidation of equity or splitting of equity.

ParallelTradingPeriod <ParlITradgPrd> contains the following elements (see "Period11" on page 734 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	StartDate <StartDt>	[1..1]			734
{Or	Date <Dt>	[1..1]	±		734
Or}	NotSpecifiedDate <NotSpcfdDt>	[1..1]	CodeSet		734
	EndDate <EndDt>	[1..1]			735
{Or	Date <Dt>	[1..1]	±		735
Or}	NotSpecifiedDate <NotSpcfdDt>	[1..1]	CodeSet		735

8.4.11.8 RateAndAmountDetails <RateAndAmtDtls>

Presence: [0..1]

Definition: Provides information about rates and amounts related to a corporate action option.

Impacted by: C23 "GrossDividendRate2Rule", C25 "GrossDividendRate3Rule", C42 "NettDividendRate1Rule", C43 "NettDividendRate2Rule", C61 "SecondLevelTax1Rule", C63 "SecondLevelTax2Rule", C65 "SecondLevelTax3Rule", C69 "WithholdingTaxRate1Rule", C71 "WithholdingTaxRate2Rule"

RateAndAmountDetails <RateAndAmtDtls> contains the following **CorporateActionRate108** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	GrossDividendRate <GrssDvddRate>	[0..*]	±		492
	NetDividendRate <NetDvddRate>	[0..*]	±		493
	InterestRateUsedForPayment <IntrstRateUsdForPmt>	[0..*]	±		494
	MaximumAllowedOversubscriptionRate <MaxAllwdOvrsbcptRate>	[0..1]	Rate		495
	ProrationRate <PrratnRate>	[0..1]	Rate		495
	WithholdingTaxRate <WhldgTaxRate>	[0..*]	±		495
	SecondLevelTax <ScndLvITax>	[0..*]	±		495
	AdditionalTax <AddtlITax>	[0..1]	±		496
	TaxableIncomePerDividendShare <TaxblIncmPerDvddShr>	[0..*]	±		496

Constraints

- **GrossDividendRate2Rule**

If GrossDividendRate is repeated, then:

- RateTypeAndAmountAndRateStatus/RateType/Code values must all be different in each occurrence of GrossDividendRate. (MT 566 NVR C4).

- **GrossDividendRate3Rule**

Only a single occurrence of either GrossDividendRate/Amount or GrossDividendRate/AmountAndRateStatus may be present. Both elements may not be present together. (MT 566 NVR C3).

- **NettDividendRate1Rule**

If NetDividendRate is repeated, then RateTypeAndAmountAndRateStatus/RateType/Code values must all be different in each occurrence of NetDividendRate. (MT 566 NVR C4).

- **NettDividendRate2Rule**

Only a single occurrence of either NetDividendRate/Amount may be present. Both elements may not be present together. (MT 566 NVR C3).

- **SecondLevelTax1Rule**

If SecondLevelTax is repeated, then SecondLevelTax/RateTypeAndRate/RateType/Code values must all be different in each occurrence of SecondLevelTax.

(MT 564 NVR C8).

- **SecondLevelTax2Rule**

Only a single occurrence of either SecondLevelTax/Amount or SecondLevelTax/Rate or SecondLevelTax/NotSpecifiedRate may be present, only one of these elements may be present.

(MT 564 NVR C7).

- **SecondLevelTax3Rule**

If at least one occurrence of SecondLevelTax is present, then at least one occurrence of WithholdingTaxRate must be present. (MT 566 NVR C8).

```
On Condition
  /SecondLevelTax[*] is present
Following Must be True
  /WithholdingTaxRate[*] Must be present
```

- **WithholdingTaxRate1Rule**

If WithholdingTaxRate is repeated, then WithholdingTaxRate/RateTypeAndRate/RateType/Code values must all be different in each occurrence of WithholdingTaxRate.

(MT 564 NVR C8).

- **WithholdingTaxRate2Rule**

Only a single occurrence of either WithholdingTaxRate/Amount or WithholdingTaxRate/Rate or WithholdingTaxRate/NotSpecifiedRate may be present, only one of these elements may be present.

(MT 564 NVR C7).

8.4.11.8.1 GrossDividendRate <GrssDvddRate>

Presence: [0..*]

Definition: Cash dividend amount per equity before deductions or allowances have been made.

GrossDividendRate <GrssDvddRate> contains one of the following elements (see "GrossDividendRateFormat39Choice" on page 1007 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Amount <Amt>	[1..1]	Amount	C1	1007
Or	AmountAndRateStatus <AmtAndRateSts>	[1..1]			1008
	Amount <Amt>	[1..1]	Amount	C1	1008
	RateStatus <RateSts>	[1..1]	CodeSet		1008
Or}	RateTypeAndAmountAndRateStatus <RateTpAndAmtAndRateSts>	[1..1]			1008
	RateType <RateTp>	[1..1]			1009
{Or	Code <Cd>	[1..1]	CodeSet		1009
Or}	Proprietary <Prtry>	[1..1]	±		1010
	Amount <Amt>	[1..1]	Amount	C1	1010
	RateStatus <RateSts>	[0..1]		C58	1010
{Or	Code <Cd>	[1..1]	CodeSet		1011
Or}	Proprietary <Prtry>	[1..1]	±		1011

8.4.11.8.2 NetDividendRate <NetDvddRate>

Presence: [0..*]

Definition: Cash dividend amount per equity after deductions or allowances have been made.

NetDividendRate <NetDvddRate> contains one of the following elements (see "NetDividendRateFormat41Choice" on page 1002 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Amount <Amt>	[1..1]	Amount	C1	1003
Or	AmountAndRateStatus <AmtAndRateSts>	[1..1]			1003
	Amount <Amt>	[1..1]	Amount	C1	1004
	RateStatus <RateSts>	[1..1]	CodeSet		1004
Or}	RateTypeAndAmountAndRateStatus <RateTpAndAmtAndRateSts>	[1..1]			1004
	RateType <RateTp>	[1..1]			1004
{Or	Code <Cd>	[1..1]	CodeSet		1005
Or}	Proprietary <Prtry>	[1..1]	±		1005
	Amount <Amt>	[1..1]	Amount	C1	1006
	RateStatus <RateSts>	[0..1]		C58	1006
{Or	Code <Cd>	[1..1]	CodeSet		1006
Or}	Proprietary <Prtry>	[1..1]	±		1007

8.4.11.8.3 InterestRateUsedForPayment <IntrstRateUsdForPmt>

Presence: [0..*]

Definition: Actual interest rate used for the payment of the interest for the specified interest period.

InterestRateUsedForPayment <IntrstRateUsdForPmt> contains one of the following elements (see "InterestRateUsedForPaymentFormat9Choice" on page 1033 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1033
Or	Amount <Amt>	[1..1]	Amount	C1	1033
Or}	RateTypeAndAmountAndRateStatus <RateTpAndAmtAndRateSts>	[1..1]			1034
	RateType <RateTp>	[1..1]			1034
{Or	Code <Cd>	[1..1]	CodeSet		1034
Or}	Proprietary <Prtry>	[1..1]	±		1035
	Amount <Amt>	[1..1]	Amount	C1	1035
	RateStatus <RateSts>	[0..1]		C58	1035
{Or	Code <Cd>	[1..1]	CodeSet		1035
Or}	Proprietary <Prtry>	[1..1]	±		1036

8.4.11.8.4 MaximumAllowedOversubscriptionRate <MaxAllwdOvrsbcptRate>*Presence:* [0..1]

Definition: A maximum percentage of shares available through the over subscription privilege, usually a percentage of the basic subscription shares, for example, an account owner subscribing to 100 shares may over subscribe to a maximum of 50 additional shares when the over subscription maximum is 50 percent.

Datatype: "PercentageRate" on page 1172**8.4.11.8.5 ProrationRate <PrratnRate>***Presence:* [0..1]

Definition: Percentage of securities accepted by the offeror/issuer.

Datatype: "PercentageRate" on page 1172**8.4.11.8.6 WithholdingTaxRate <WhldgTaxRate>***Presence:* [0..*]

Definition: Percentage of a cash distribution that will be withheld by the tax authorities of the jurisdiction of the issuer, for which a relief at source and/or reclaim may be possible.

WithholdingTaxRate <WhldgTaxRate> contains one of the following elements (see "RateAndAmountFormat45Choice" on page 1036 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1036
Or	Amount <Amt>	[1..1]	Amount	C1	1036
Or}	RateTypeAndRate <RateTpAndRate>	[1..1]			1037
	RateType <RateTp>	[1..1]			1037
{Or	Code <Cd>	[1..1]	CodeSet		1037
Or}	Proprietary <Prtry>	[1..1]	±		1038
	Rate <Rate>	[1..1]	Rate		1038

8.4.11.8.7 SecondLevelTax <ScndLvTax>*Presence:* [0..*]

Definition: Rate at which the income will be withheld by a jurisdiction other than the jurisdiction of the issuer's country of tax incorporation, for which a relief at source and/or reclaim may be possible. It is levied in complement or offset of the withholding tax rate (TAXR) levied by the jurisdiction of the issuer's tax domicile.

SecondLevelTax <ScndLvITax> contains one of the following elements (see "RateAndAmountFormat45Choice" on page 1036 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1036
Or	Amount <Amt>	[1..1]	Amount	C1	1036
Or}	RateTypeAndRate <RateTpAndRate>	[1..1]			1037
	RateType <RateTp>	[1..1]			1037
{Or	Code <Cd>	[1..1]	CodeSet		1037
Or}	Proprietary <Prtry>	[1..1]	±		1038
	Rate <Rate>	[1..1]	Rate		1038

8.4.11.8.8 AdditionalTax <AddtlTax>

Presence: [0..1]

Definition: Rate used for additional tax that cannot be categorised.

AdditionalTax <AddtlTax> contains one of the following elements (see "RateAndAmountFormat43Choice" on page 1039 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1040
Or}	Amount <Amt>	[1..1]	Amount	C1	1040

8.4.11.8.9 TaxableIncomePerDividendShare <TaxblIncmPerDvddShr>

Presence: [0..*]

Definition: Amount included in the dividend/NAV that is identified as gains directly or indirectly derived from interest payments, for example, in the context of the EU Savings directive.

TaxableIncomePerDividendShare <TaxblIncmPerDvddShr> contains the following elements (see "RateTypeAndAmountAndStatus33" on page 877 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	RateType <RateTp>	[1..1]			877
{Or	Code <Cd>	[1..1]	CodeSet		877
Or}	Proprietary <Prtry>	[1..1]	±		878
	Amount <Amt>	[1..1]	Amount	C1	878
	RateStatus <RateSts>	[0..1]		C58	878
{Or	Code <Cd>	[1..1]	CodeSet		879
Or}	Proprietary <Prtry>	[1..1]	±		879

8.4.11.9 PriceDetails <PricDtls>*Presence:* [0..1]*Definition:* Provides information about the prices related to a corporate action option.*Impacted by:* C22 "GenericCashPriceReceivedPerProductGuideline"**PriceDetails <PricDtls>** contains the following **CorporateActionPrice63** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CashInLieuOfSharePrice <CshInLieuOfShrPric>	[0..1]	±		497
	OverSubscriptionDepositPrice <OverSbcptDpstPric>	[0..1]	±		497

Constraints

- **GenericCashPriceReceivedPerProductGuideline**

GenericCashPriceReceivedPerProduct is the price received in the course of an event. The specific meaning (redemption price, subscription price, reinvestment price, ETC.) is derived from the event type.

8.4.11.9.1 CashInLieuOfSharePrice <CshInLieuOfShrPric>*Presence:* [0..1]*Definition:* Cash disbursement in lieu of equities; usually in lieu of fractional quantity.**CashInLieuOfSharePrice <CshInLieuOfShrPric>** contains one of the following elements (see "PriceFormat52Choice" on page 964 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	PercentagePrice <PctgPric>	[1..1]			964
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		964
	PriceValue <PricVal>	[1..1]	Rate		965
Or}	AmountPrice <AmtPric>	[1..1]	±		965

8.4.11.9.2 OverSubscriptionDepositPrice <OverSbcptDpstPric>*Presence:* [0..1]*Definition:* Amount of money required per over-subscribed equity as defined by the issuer.**OverSubscriptionDepositPrice <OverSbcptDpstPric>** contains one of the following elements (see "PriceFormat52Choice" on page 964 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	PercentagePrice <PctgPric>	[1..1]			964
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		964
	PriceValue <PricVal>	[1..1]	Rate		965
Or}	AmountPrice <AmtPric>	[1..1]	±		965

8.4.11.10 PlaceOfTrade <PlcOfTrad>*Presence:* [0..1]*Definition:* Place where the trade was executed.*Impacted by:* C39 "MarketTypeAndIdentificationRule"**PlaceOfTrade <PlcOfTrad>** contains the following elements (see "MarketIdentification90" on page 859 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[0..1]	±		859
	Type <Tp>	[1..1]			859
{Or	Code <Cd>	[1..1]	CodeSet		860
Or}	Proprietary <Prtry>	[1..1]	±		860

Constraints

- **MarketTypeAndIdentificationRule**

If Type/Code is OverTheCounter (OTCO) and if Identification is present, then Identification/Description must specify a system.

If Type/Code is Exchange (EXCH) and if Identification is present, then Identification/MarketIdentifierCode must be present.

8.4.11.11 SecuritiesMovementDetails <SctiesMvmntDtls>*Presence:* [0..*]*Definition:* Provides information about the securities movement linked to the corporate action option.*Impacted by:* C18 "ExemptionTypeUsageRule", C29 "IncomeType1Rule", C31 "IncomeTypeUsageRule", C37 "IssuerOfferorTaxabilityIndicatorUsageRule"

SecuritiesMovementDetails <SctiesMvmntDtls> contains the following **SecuritiesOption87** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	FinancialInstrumentIdentification <FinInstrmId>	[1..1]	±	C14, C15, C34, C35, C47	500
	CreditDebitIndicator <CdtDbtInd>	[1..1]	CodeSet		501
	TemporaryFinancialInstrumentIndicator <TempFinInstrmInd>	[0..1]	±		502
	NewSecuritiesIssuanceIndicator <NewSctiesIssncInd>	[0..1]	CodeSet		502
	IssuerOfferorTaxabilityIndicator <IssrOfferrTaxbltyInd>	[0..1]	±		502
	IncomeType <IncmTp>	[0..1]	±		503
	OtherIncomeType <OthrIncmTp>	[0..*]	±		503
	ExemptionType <XmptnTp>	[0..*]	±		503
	CountryOfIncomeSource <CtryOfIncmSrc>	[0..1]	CodeSet	C10	504
	PostingQuantity <PstngQty>	[1..1]	±		504
	SafekeepingPlace <SfkpgPlc>	[0..1]	±		504
	FractionDisposition <FrctnDspstn>	[0..1]	±		505
	CurrencyOption <CcyOptn>	[0..1]	CodeSet	C1	505
	DateDetails <DtDtls>	[1..1]			505
	PostingDate <PstngDt>	[1..1]	±		506
	AvailableDate <AvlblDt>	[0..1]	±		506
	PariPassuDate <PrpssDt>	[0..1]	±		506
	DividendRankingDate <DvddRnkgDt>	[0..1]	±		507
	EarliestPaymentDate <EarlstPmtDt>	[0..1]	±		507
	PaymentDate <PmtDt>	[0..1]	±		507
	RateDetails <RateDtls>	[0..1]			508
	AdditionalQuantityForSubscribedResultantSecurities <AddtlQtyForSbcdbdRsltntScties>	[0..1]	±		508
	AdditionalQuantityForExistingSecurities <AddtlQtyForExstgScties>	[0..1]	±		509
	NewToOld <NewToOd>	[0..1]	±		509
	ChargesFees <ChrgsFees>	[0..1]	±		509
	FiscalStamp <FscIStmp>	[0..1]	Rate		510
	ApplicableRate <AplblRate>	[0..1]	Rate		510

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	TaxCreditRate <TaxCdtRate>	[0..1]	±		510
	FinancialTransactionTaxRate <FinTxTaxRate>	[0..1]	Rate		510
	PriceDetails <PricDtls>	[0..1]		C20	510
	CashInLieuOfSharePrice <CshInLieuOfShrPric>	[0..1]	±		511
	IndicativeOrMarketPrice <IndctvOrMktPric>	[0..1]	±		511
	CashValueForTax <CshValForTax>	[0..1]	±		511
	GenericCashPricePaidPerProduct <GncCshPricPdPerPdct>	[0..1]	±		512
	GenericCashPriceReceivedPerProduct <GncCshPricRcvdPerPdct>	[0..1]	±		512
	ReceivingSettlementParties <RcvgSttlmPties>	[0..1]	±	C48, C49	513
	DeliveringSettlementParties <DlvrgSttlmPties>	[0..1]	±	C48, C49	514

Constraints

- **ExemptionTypeUsageRule**

The lists of exemption type codes to be used in ExemptionType are provided in the document "ETYP-ITYP Exemption & Income Type Codes" available on the SMPG website at www.smpg.info.

- **IncomeType1Rule**

If at least one occurrence of OtherIncomeType is present, then IncomeType must be present.

```
On Condition
  /OtherIncomeType[*] is present
Following Must be True
  /IncomeType Must be present
```

- **IncomeTypeUsageRule**

The lists of income type codes to be used in IncomeType are provided in the document "ETYP-ITYP Exemption & Income Type Codes" available on the SMPG website at www.smpg.info.

- **IssuerOfferorTaxabilityIndicatorUsageRule**

The IssuerOfferorTaxabilityIndicator may only be used when no tax rate is provided in the message and it must not be used in events such as dividend or interest.

The list of proprietary IssuerOfferorTaxabilityIndicator codes that may be used are provided in the document "TXAP Taxability Codes" available on the SMPG website at www.smpg.info.

8.4.11.11.1 FinancialInstrumentIdentification <FinInstrmId>

Presence: [1..1]

Definition: Identification of the financial instrument.

Impacted by: C14 "DescriptionPresenceRule", C15 "DescriptionUsageRule", C34 "ISINGuideline", C35 "ISINPresenceRule", C47 "OtherIdentificationPresenceRule"

FinancialInstrumentIdentification <FinInstrmId> contains the following elements (see "SecurityIdentification20" on page 748 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ISIN <ISIN>	[0..1]	IdentifierSet		749
	OtherIdentification <OthrlId>	[0..*]			749
	Identification <Id>	[1..1]	Text		750
	Suffix <Sfx>	[0..1]	Text		750
	Type <Tp>	[1..1]	±		750
	Description <Desc>	[0..1]	Text		750

Constraints

- **DescriptionPresenceRule**

If Description is not present then either ISIN or at least one occurrence of OtherIdentification must be present.

```
On Condition
  /Description is absent
Following Must be True
  /ISIN Must be present
Or    /OtherIdentification[*] Must be present
```

- **DescriptionUsageRule**

Description must be used alone as the last resort.

- **ISINGuideline**

When a ISIN code exist. It is strongly recommended that the ISIN be used.

- **ISINPresenceRule**

If ISIN is not present then either Description or at least one occurrence of OtherIdentification must be present.

```
On Condition
  /ISIN is absent
Following Must be True
  /OtherIdentification[*] Must be present
Or    /Description Must be present
```

- **OtherIdentificationPresenceRule**

If OtherIdentification is not present then either ISIN or Description must be present.

```
On Condition
  /OtherIdentification[*] is absent
Following Must be True
  /ISIN Must be present
Or    /Description Must be present
```

8.4.11.11.2 CreditDebitIndicator <CdtDbtInd>

Presence: [1..1]

Definition: Specifies whether the value is a debit or credit.

Datatype: "CreditDebitCode" on page 1135

CodeName	Name	Definition
CRDT	Credit	Operation is an increase.
DBIT	Debit	Operation is a decrease.

8.4.11.11.3 TemporaryFinancialInstrumentIndicator <TempFinInstrmInd>

Presence: [0..1]

Definition: Specifies that the security identified is a temporary security identification used for processing reasons, for example, contra security used in the US.

TemporaryFinancialInstrumentIndicator <TempFinInstrmInd> contains one of the following elements (see ["TemporaryFinancialInstrumentIndicator4Choice"](#) on page 876 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	TemporaryIndicator <TempInd>	[1..1]	Indicator		876
Or}	Proprietary <Prtry>	[1..1]	±		877

8.4.11.11.4 NewSecuritiesIssuanceIndicator <NewSciesIssncInd>

Presence: [0..1]

Definition: Specifies the nature of the securities issue.

Datatype: ["NewSecuritiesIssuanceType6Code"](#) on page 1151

CodeName	Name	Definition
DEFE	DefeasedSecurityIndicator	Funds have been placed in escrow by a trustee or agent to ensure payment on a portion of the outstanding balance of the original security on maturity date. Applicable only in the frame of a Partial Defeasance PDEF corporate action event.
NDEF	NonDefeasedSecurityIndicator	Funds will not be guaranteed at maturity by the issuer or paying agent for this newly issued non-defeased security. Applicable only in the frame of a Partial Defeasance PDEF corporate action event.
REFU	RefundedSecurityIndicator	New security issued that has been refunded to an earlier maturity date. Applicable only in the frame of a partial defeasance PDEF corporate action event.
NREF	NonRefundedSecurityIndicator	New Security issued that has not been refunded and the maturity date of the original underlying security is unchanged. Applicable only in the frame of a Partial Defeasance PDEF corporate action event.

8.4.11.11.5 IssuerOfferorTaxabilityIndicator <IssrOfferrTaxbltyInd>

Presence: [0..1]

Definition: Proceeds are taxable according to the information provided by the issuer / offeror.

IssuerOfferorTaxabilityIndicator <IssrOfferrTaxbltyInd> contains one of the following elements (see "IssuerOfferorTaxabilityIndicator1Choice" on page 912 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		912
Or}	Proprietary <Prtry>	[1..1]	±		912

8.4.11.11.6 IncomeType <IncmTp>

Presence: [0..1]

Definition: Specifies the type of income.

The lists of income type codes to be used, are available on the SMPG website at www.smpg.info.

IncomeType <IncmTp> contains the following elements (see "GenericIdentification47" on page 790 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		790
	Issuer <Issr>	[1..1]	Text		790
	SchemeName <SchmeNm>	[0..1]	Text		791

8.4.11.11.7 OtherIncomeType <OthrIncmTp>

Presence: [0..*]

Definition: Type of income to be used under another tax regime.

OtherIncomeType <OthrIncmTp> contains the following elements (see "GenericIdentification47" on page 790 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		790
	Issuer <Issr>	[1..1]	Text		790
	SchemeName <SchmeNm>	[0..1]	Text		791

8.4.11.11.8 ExemptionType <XmptnTp>

Presence: [0..*]

Definition: Specifies the basis for the reduced rate of withholding.

ExemptionType <XmptnTp> contains the following elements (see "[GenericIdentification47](#)" on page 790 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		790
	Issuer <Issr>	[1..1]	Text		790
	SchemeName <SchmeNm>	[0..1]	Text		791

8.4.11.11.9 CountryOfIncomeSource <CtryOfIncmSrc>

Presence: [0..1]

Definition: Indicates the country from which the income originates.

Impacted by: C10 "Country"

Datatype: "[CountryCode](#)" on page 1135

Constraints

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

8.4.11.11.10 PostingQuantity <PstngQty>

Presence: [1..1]

Definition: Quantity of securities that have been posted (credit or debit) to the safekeeping account.

PostingQuantity <PstngQty> contains one of the following elements (see "[Quantity54Choice](#)" on page 981 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Quantity <Qty>	[1..1]	±	C19	981
Or}	OriginalAndCurrentFace <OrgnlAndCurFace>	[1..1]	±		981

8.4.11.11.11 SafekeepingPlace <SfkpgPlc>

Presence: [0..1]

Definition: Location where the financial instruments are/will be safekept.

SafekeepingPlace <SfkpgPlc> contains one of the following elements (see "SafekeepingPlaceFormat39Choice" on page 1048 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Identification <Id>	[1..1]	±		1049
Or	Country <Ctry>	[1..1]	CodeSet	C3	1049
Or	TypeAndIdentification <TpAndId>	[1..1]			1049
	SafekeepingPlaceType <SfkpgPlcTp>	[1..1]	CodeSet		1050
	Identification <Id>	[1..1]	IdentifierSet	C1	1050
Or}	Proprietary <Prtry>	[1..1]	±		1050

8.4.11.11.12 FractionDisposition <FrctnDspstn>

Presence: [0..1]

Definition: Specifies how fractions resulting from derived securities will be processed or how prorated decisions will be rounding, if provided with a pro ration rate.

FractionDisposition <FrctnDspstn> contains one of the following elements (see "FractionDispositionType30Choice" on page 866 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		866
Or}	Proprietary <Prtry>	[1..1]	±		866

8.4.11.11.13 CurrencyOption <CcyOptn>

Presence: [0..1]

Definition: Currency in which the cash disbursed from an interest or dividend payment is offered.

Impacted by: C1 "ActiveCurrency"

Datatype: "ActiveCurrencyCode" on page 1088

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

8.4.11.11.14 DateDetails <DtDtls>

Presence: [1..1]

Definition: Provides information about the dates related to securities movement.

DateDetails <DtDtls> contains the following **SecurityDate17** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PostingDate <PstngDt>	[1..1]	±		506
	AvailableDate <AvlblDt>	[0..1]	±		506
	PariPassuDate <PrpssDt>	[0..1]	±		506
	DividendRankingDate <DvddRnkgDt>	[0..1]	±		507
	EarliestPaymentDate <EarlstPmtDt>	[0..1]	±		507
	PaymentDate <PmtDt>	[0..1]	±		507

8.4.11.11.14.1 PostingDate <PstngDt>

Presence: [1..1]

Definition: Date of the posting (credit or debit) to the account.

PostingDate <PstngDt> contains one of the following elements (see ["DateAndDateTime2Choice"](#) on page 731 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		731
Or}	DateTime <DtTm>	[1..1]	DateTime		731

8.4.11.11.14.2 AvailableDate <AvlblDt>

Presence: [0..1]

Definition: Date/time at which securities become available for trading, for example first dealing date.

AvailableDate <AvlblDt> contains one of the following elements (see ["DateFormat49Choice"](#) on page 729 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		730
Or}	DateCode <DtCd>	[1..1]			730
{Or	Code <Cd>	[1..1]	CodeSet		730
Or}	Proprietary <Prtry>	[1..1]	±		730

8.4.11.11.14.3 PariPassuDate <PrpssDt>

Presence: [0..1]

Definition: Date/time at which security will assimilate, become fungible, or have the same rights to dividends as the parent issue.

PariPassuDate <PrpssDt> contains one of the following elements (see "DateFormat49Choice" on page 729 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		730
Or}	DateCode <DtCd>	[1..1]			730
{Or	Code <Cd>	[1..1]	CodeSet		730
Or}	Proprietary <Prtry>	[1..1]	±		730

8.4.11.11.14.4 DividendRankingDate <DvddRnkgDt>

Presence: [0..1]

Definition: Date/time at which a security will be entitled to a dividend.

DividendRankingDate <DvddRnkgDt> contains one of the following elements (see "DateFormat49Choice" on page 729 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		730
Or}	DateCode <DtCd>	[1..1]			730
{Or	Code <Cd>	[1..1]	CodeSet		730
Or}	Proprietary <Prtry>	[1..1]	±		730

8.4.11.11.14.5 EarliestPaymentDate <EarlstPmtDt>

Presence: [0..1]

Definition: Date/time at which a payment can be made, for example, if payment date is a non-business day or to indicate the first payment date of an offer.

EarliestPaymentDate <EarlstPmtDt> contains one of the following elements (see "DateFormat49Choice" on page 729 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		730
Or}	DateCode <DtCd>	[1..1]			730
{Or	Code <Cd>	[1..1]	CodeSet		730
Or}	Proprietary <Prtry>	[1..1]	±		730

8.4.11.11.14.6 PaymentDate <PmtDt>

Presence: [0..1]

Definition: Date/time at which the movement is due to take place (cash and/or securities).

PaymentDate <PmtDt> contains one of the following elements (see "[DateFormat49Choice](#)" on page 729 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		730
Or}	DateCode <DtCd>	[1..1]			730
{Or	Code <Cd>	[1..1]	CodeSet		730
Or}	Proprietary <Prtry>	[1..1]	±		730

8.4.11.11.15 RateDetails <RateDtls>

Presence: [0..1]

Definition: Provides information about the rates related to securities movement.

RateDetails <RateDtls> contains the following **CorporateActionRate93** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AdditionalQuantityForSubscribedResultantSecurities <AddtlQtyForSbcbdrslntScties>	[0..1]	±		508
	AdditionalQuantityForExistingSecurities <AddtlQtyForExstgScties>	[0..1]	±		509
	NewToOld <NewToOd>	[0..1]	±		509
	ChargesFees <ChrgsFees>	[0..1]	±		509
	FiscalStamp <FscIStmp>	[0..1]	Rate		510
	ApplicableRate <AplblRate>	[0..1]	Rate		510
	TaxCreditRate <TaxCdtRate>	[0..1]	±		510
	FinancialTransactionTaxRate <FinTxTaxRate>	[0..1]	Rate		510

8.4.11.11.15.1 AdditionalQuantityForSubscribedResultantSecurities <AddtlQtyForSbcbdrslntScties>

Presence: [0..1]

Definition: Quantity of additional intermediate securities/new equities awarded for a given quantity of securities derived from subscription.

AdditionalQuantityForSubscribedResultantSecurities <AddtlQtyForSbcbdrslntScties> contains one of the following elements (see "[RatioFormat21Choice](#)" on page 1031 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	QuantityToQuantity <QtyToQty>	[1..1]	±		1032
Or}	AmountToAmount <AmtToAmt>	[1..1]			1032
	Amount1 <Amt1>	[1..1]	Amount	C1	1032
	Amount2 <Amt2>	[1..1]	Amount	C1	1032

8.4.11.11.15.2 AdditionalQuantityForExistingSecurities <AddtlQtyForExstgScties>*Presence:* [0..1]*Definition:* Quantity of additional securities for a given quantity of underlying securities where underlying securities are not exchanged or debited, for example, 1 for 1: 1 new equity credited for every 1 underlying equity = 2 resulting equities.**AdditionalQuantityForExistingSecurities <AddtlQtyForExstgScties>** contains one of the following elements (see "RatioFormat21Choice" on page 1031 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	QuantityToQuantity <QtyToQty>	[1..1]	±		1032
Or}	AmountToAmount <AmtToAmt>	[1..1]			1032
	Amount1 <Amt1>	[1..1]	Amount	C1	1032
	Amount2 <Amt2>	[1..1]	Amount	C1	1032

8.4.11.11.15.3 NewToOld <NewToOd>*Presence:* [0..1]*Definition:* Quantity of new securities for a given quantity of underlying securities, where the underlying securities will be exchanged or debited, for example, 2 for 1: 2 new equities credited for every 1 underlying equity debited = 2 resulting equities.**NewToOld <NewToOd>** contains one of the following elements (see "RatioFormat22Choice" on page 1028 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	QuantityToQuantity <QtyToQty>	[1..1]	±		1029
Or	AmountToAmount <AmtToAmt>	[1..1]			1029
	Amount1 <Amt1>	[1..1]	Amount	C1	1029
	Amount2 <Amt2>	[1..1]	Amount	C1	1030
Or	AmountToQuantity <AmtToQty>	[1..1]			1030
	Amount <Amt>	[1..1]	Amount	C1	1030
	Quantity <Qty>	[1..1]	Quantity		1031
Or}	QuantityToAmount <QtyToAmt>	[1..1]			1031
	Amount <Amt>	[1..1]	Amount	C1	1031
	Quantity <Qty>	[1..1]	Quantity		1031

8.4.11.11.15.4 ChargesFees <ChrgsFees>*Presence:* [0..1]*Definition:* Rate used to calculate the amount of the charges/fees that cannot be categorised.

ChargesFees <ChrgsFees> contains one of the following elements (see ["RateAndAmountFormat43Choice"](#) on page 1039 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1040
Or}	Amount <Amt>	[1..1]	Amount	C1	1040

8.4.11.11.15.5 FiscalStamp <FscIStmp>

Presence: [0..1]

Definition: Percentage of fiscal tax to apply.

Datatype: ["PercentageRate"](#) on page 1172

8.4.11.11.15.6 ApplicableRate <AplblRate>

Presence: [0..1]

Definition: Rate applicable to the event announced, for example, redemption rate for a redemption event.

Datatype: ["PercentageRate"](#) on page 1172

8.4.11.11.15.7 TaxCreditRate <TaxCdtRate>

Presence: [0..1]

Definition: Amount of money per equity allocated as the result of a tax credit.

TaxCreditRate <TaxCdtRate> contains one of the following elements (see ["RateFormat23Choice"](#) on page 1001 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1002
Or}	Amount <Amt>	[1..1]	Amount	C1	1002

8.4.11.11.15.8 FinancialTransactionTaxRate <FinTxTaxRate>

Presence: [0..1]

Definition: Rate of financial transaction tax.

Datatype: ["PercentageRate"](#) on page 1172

8.4.11.11.16 PriceDetails <PricDtls>

Presence: [0..1]

Definition: Provides information about the prices related to securities movement.

Impacted by: [C20 "GenericCashPricePerProductGuideline"](#)

PriceDetails <PricDtls> contains the following **CorporateActionPrice78** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CashInLieuOfSharePrice <CshInLieuOfShrPric>	[0..1]	±		511
	IndicativeOrMarketPrice <IndctvOrMktPric>	[0..1]	±		511
	CashValueForTax <CshValForTax>	[0..1]	±		511
	GenericCashPricePaidPerProduct <GncCshPricPdPerPdct>	[0..1]	±		512
	GenericCashPriceReceivedPerProduct <GncCshPricRcvdPerPdct>	[0..1]	±		512

Constraints

- **GenericCashPricePerProductGuideline**

GenericCashPriceReceivedPerProduct and GenericCashPricePaidPerProduct are prices received or paid in the course of an event. The specific meaning (redemption price, subscription price, reinvestment price, etc.) is derived from the event type.

8.4.11.11.16.1 CashInLieuOfSharePrice <CshInLieuOfShrPric>

Presence: [0..1]

Definition: Cash disbursement in lieu of equities; usually in lieu of fractional quantity.

CashInLieuOfSharePrice <CshInLieuOfShrPric> contains one of the following elements (see "PriceFormat52Choice" on page 964 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	PercentagePrice <PctgPric>	[1..1]			964
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		964
	PriceValue <PricVal>	[1..1]	Rate		965
Or}	AmountPrice <AmtPric>	[1..1]	±		965

8.4.11.11.16.2 IndicativeOrMarketPrice <IndctvOrMktPric>

Presence: [0..1]

Definition: Indicates whether the price is an indicative price or a market price.

IndicativeOrMarketPrice <IndctvOrMktPric> contains one of the following elements (see "IndicativeOrMarketPrice9Choice" on page 966 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	IndicativePrice <IndctvPric>	[1..1]	±		966
Or}	MarketPrice <MktPric>	[1..1]	±		966

8.4.11.11.16.3 CashValueForTax <CshValForTax>

Presence: [0..1]

Definition: Cash value of resulting securities proceeds for tax calculation and/or reporting.

CashValueForTax <CshValForTax> contains the following elements (see "AmountPrice4" on page 946 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AmountPriceType <AmtPricTp>	[1..1]	CodeSet		946
	PriceValue <PricVal>	[1..1]	Amount	C1	946

8.4.11.11.16.4 GenericCashPricePaidPerProduct <GncCshPricPdPerPdct>

Presence: [0..1]

Definition: Generic cash price paid per product by the underlying security holder either as a percentage or an amount or a number of points above an index, for example, reinvestment price, strike price and exercise price.

GenericCashPricePaidPerProduct <GncCshPricPdPerPdct> contains one of the following elements (see "PriceFormat55Choice" on page 962 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	PercentagePrice <PctgPric>	[1..1]			963
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		963
	PriceValue <PricVal>	[1..1]	Rate		963
Or	AmountPrice <AmtPric>	[1..1]	±		963
Or}	IndexPoints <IndxPts>	[1..1]	Quantity		964

8.4.11.11.16.5 GenericCashPriceReceivedPerProduct <GncCshPricRcvdPerPdct>

Presence: [0..1]

Definition: Generic cash price received per product by the underlying security holder either as a percentage or an amount, for example, redemption price.

GenericCashPriceReceivedPerProduct <GncCshPricRcvdPerPdct> contains one of the following elements (see "PriceFormat68Choice" on page 947 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	PercentagePrice <PctgPric>	[1..1]			947
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		948
	PriceValue <PricVal>	[1..1]	Rate		948
Or	AmountPrice <AmtPric>	[1..1]	±		948
Or	AmountPricePerFinancialInstrumentQuantity <AmtPricPerFinInstrmQty>	[1..1]			948
	AmountPriceType <AmtPricTp>	[1..1]	CodeSet		949
	PriceValue <PricVal>	[1..1]	Amount	C1	949
	FinancialInstrumentQuantity <FinInstrmQty>	[1..1]	±	C19	949
Or	AmountPricePerAmount <AmtPricPerAmt>	[1..1]			950
	AmountPriceType <AmtPricTp>	[1..1]	CodeSet		950
	PriceValue <PricVal>	[1..1]	Amount	C1	950
	Amount <Amt>	[1..1]	Amount	C1	951
Or}	IndexPoints <IndxPts>	[1..1]	Quantity		951

8.4.11.11.17 ReceivingSettlementParties <RcvgSttlmPties>

Presence: [0..1]

Definition: Identifies the chain of receiving settlement parties.

Impacted by: C48 "Party2PresenceRule", C49 "Party3PresenceRule"

ReceivingSettlementParties <RcvgSttlmPties> contains the following elements (see "SettlementParties103" on page 1052 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Depository <Dpstry>	[0..1]		C3	1053
	Identification <Id>	[1..1]			1053
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	1054
Or	NameAndAddress <NmAndAdr>	[1..1]	±		1054
Or}	Country <Ctry>	[1..1]	CodeSet	C3	1054
	ProcessingIdentification <Prcgld>	[0..1]	Text		1054
	AlternateIdentification <Altrnld>	[0..*]	±		1055
	Party1 <Pty1>	[0..1]	±	C4, C52, C54, C56	1055
	Party2 <Pty2>	[0..1]	±	C4, C52, C54, C56	1056
	Party3 <Pty3>	[0..1]	±	C4, C52, C54, C56	1057

Constraints

- **Party2PresenceRule**

If Party 2 is present, then Party 1 must be present.

On Condition
 /Party2 is present
 Following Must be True
 /Party1 Must be present

- **Party3PresenceRule**

If Party 3 is present, then Party 2 must be present.

On Condition
 /Party3 is present
 Following Must be True
 /Party2 Must be present

8.4.11.11.18 DeliveringSettlementParties <DlvrSttlmPties>

Presence: [0..1]

Definition: Identifies the chain of delivering settlement parties.

Impacted by: C48 "Party2PresenceRule", C49 "Party3PresenceRule"

DeliveringSettlementParties <DlvrgSttlmPties> contains the following elements (see "SettlementParties103" on page 1052 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Depository <Dpstry>	[0..1]		C3	1053
	Identification <Id>	[1..1]			1053
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	1054
Or	NameAndAddress <NmAndAdr>	[1..1]	±		1054
Or}	Country <Ctry>	[1..1]	CodeSet	C3	1054
	ProcessingIdentification <Prccgld>	[0..1]	Text		1054
	AlternateIdentification <Altrnld>	[0..*]	±		1055
	Party1 <Pty1>	[0..1]	±	C4, C52, C54, C56	1055
	Party2 <Pty2>	[0..1]	±	C4, C52, C54, C56	1056
	Party3 <Pty3>	[0..1]	±	C4, C52, C54, C56	1057

Constraints

- **Party2PresenceRule**

If Party 2 is present, then Party 1 must be present.

On Condition
 /Party2 is present
 Following Must be True
 /Party1 Must be present

- **Party3PresenceRule**

If Party 3 is present, then Party 2 must be present.

On Condition
 /Party3 is present
 Following Must be True
 /Party2 Must be present

8.4.11.12 CashMovementDetails <CshMvmntDtls>

Presence: [0..*]

Definition: Provides information about the cash movement related to a corporate action option.

Impacted by: C19 "ExemptionTypeUsageRule", C30 "IncomeType2Rule", C32 "IncomeTypeUsageRule", C38 "IssuerOfferorTaxabilityIndicatorUsageRule"

CashMovementDetails <CshMvmntDtls> contains the following **CashOption80** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CreditDebitIndicator <CdtDbtInd>	[1..1]	CodeSet		520
	ContractualPaymentIndicator <CtrctlPmtInd>	[0..1]	CodeSet		520
	IssuerOfferorTaxabilityIndicator <IssrOfferrTaxbltyInd>	[0..1]	±		520
	IncomeType <IncmTp>	[0..1]	±		520
	OtherIncomeType <OthrIncmTp>	[0..*]	±		521
	ExemptionType <XmptnTp>	[0..*]	±		521
	CountryOfIncomeSource <CtryOfIncmSrc>	[0..1]	CodeSet	C10	521
	Account <Acct>	[0..1]			522
{Or	CashAccount <CshAcct>	[1..1]	±		522
Or	ChargesAccount <ChrgsAcct>	[1..1]	±		522
Or}	TaxAccount <TaxAcct>	[1..1]	±		522
	CashParties <CshPties>	[0..1]			523
	Creditor <Cdtr>	[0..1]	±	C5	523
	CreditorAgent <CdtrAgt>	[0..1]	±	C6	523
	MarketClaimCounterparty <MktClmCtrPty>	[0..1]	±	C5	524
	AmountDetails <AmtDtls>	[1..1]			524
	PostingAmount <PstngAmt>	[1..1]	Amount	C1, C11	526
	GrossCashAmount <GrssCshAmt>	[0..1]	Amount	C1, C11	527
	NetCashAmount <NetCshAmt>	[0..1]	Amount	C1, C11	527
	SolicitationFees <SlctnFees>	[0..1]	Amount	C1, C11	528
	CashInLieuOfShare <CshInLieuOfShr>	[0..1]	Amount	C1, C11	528
	CapitalGain <CptlGn>	[0..1]	Amount	C1, C11	528
	InterestAmount <IntrstAmt>	[0..1]	Amount	C1, C11	529
	MarketClaimAmount <MktClmAmt>	[0..1]	Amount	C1, C11	529
	IndemnityAmount <IndmntyAmt>	[0..1]	Amount	C1, C11	530
	ManufacturedDividendPaymentAmount <ManfctrdDvddPmtAmt>	[0..1]	Amount	C1, C11	530
	ReinvestmentAmount <RinvstmtAmt>	[0..1]	Amount	C1, C11	531
	FullyFrankedAmount <FullyFrnkAmt>	[0..1]	Amount	C1, C11	531
	UnfrankedAmount <UfrnkAmt>	[0..1]	Amount	C1, C11	531
	SundryOrOtherAmount <SndryOrOthrAmt>	[0..1]	Amount	C1, C11	532

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	TaxFreeAmount <TaxFreeAmt>	[0..1]	Amount	C1, C11	532
	TaxDeferredAmount <TaxDfrdAmt>	[0..1]	Amount	C1, C11	533
	ValueAddedTaxAmount <ValAddedTaxAmt>	[0..1]	Amount	C1, C11	533
	StampDutyAmount <StmpDtyAmt>	[0..1]	Amount	C1, C11	533
	TaxReclaimAmount <TaxRclmAmt>	[0..1]	Amount	C1, C11	534
	TaxCreditAmount <TaxCdtAmt>	[0..1]	Amount	C1, C11	534
	AdditionalTaxAmount <AddtlTaxAmt>	[0..1]	Amount	C1, C11	535
	WithholdingTaxAmount <WhldgTaxAmt>	[0..1]	Amount	C1, C11	535
	SecondLevelTaxAmount <ScndLvlTaxAmt>	[0..1]	Amount	C1, C11	535
	FiscalStampAmount <FscIStmpAmt>	[0..1]	Amount	C1, C11	536
	ExecutingBrokerAmount <ExctgBrkrAmt>	[0..1]	Amount	C1, C11	536
	PayingAgentCommissionAmount <PngAgtComssnAmt>	[0..1]	Amount	C1, C11	537
	LocalBrokerCommissionAmount <LclBrkrComssnAmt>	[0..1]	Amount	C1, C11	537
	RegulatoryFeesAmount <RgltryFeesAmt>	[0..1]	Amount	C1, C11	537
	ShippingFeesAmount <ShppgFeesAmt>	[0..1]	Amount	C1, C11	538
	ChargesAmount <ChrgsAmt>	[0..1]	Amount	C1, C11	538
	CashAmountBroughtForward <CshAmtBrghtFwd>	[0..1]	Amount	C1, C11	539
	CashAmountCarriedForward <CshAmtCrrdFwd>	[0..1]	Amount	C1, C11	539
	NotionalDividendPayableAmount <NtnlDvddPyblAmt>	[0..1]	Amount	C1, C11	539
	NotionalTaxAmount <NtnlTaxAmt>	[0..1]	Amount	C1, C11	540
	TaxArrearsAmount <TaxArrearsAmt>	[0..1]	Amount	C1, C11	540
	OriginalAmount <OrgnlAmt>	[0..1]	Amount	C1, C11	541
	PrincipalOrCorpus <PrncplOrCrps>	[0..1]	Amount	C1, C11	541
	RedemptionPremiumAmount <RedPrmAmt>	[0..1]	Amount	C1, C11	541
	IncomePortion <IncmPrtn>	[0..1]	Amount	C1, C11	542
	StockExchangeTax <StockXchgTax>	[0..1]	Amount	C1, C11	542
	EUTaxRetentionAmount <EUTaxRtntnAmt>	[0..1]	Amount	C1, C11	543
	AccruedInterestAmount <AcrdIntrstAmt>	[0..1]	Amount	C1, C11	543
	EqualisationAmount <EqulstnAmt>	[0..1]	Amount	C1, C11	543
	FATCATaxAmount <FATCATaxAmt>	[0..1]	Amount	C1, C11	544

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NRATaxAmount <NRATaxAmt>	[0..1]	Amount	C1, C11	544
	BackUpWithholdingTaxAmount <BckUpWhldgTaxAmt>	[0..1]	Amount	C1, C11	545
	TaxOnIncomeAmount <TaxOnIncmAmt>	[0..1]	Amount	C1, C11	545
	TransactionTax <TxTax>	[0..1]	Amount	C1, C11	545
	DeemedAmount <DmdAmt>	[0..1]	Amount	C1, C11	546
	ForeignIncomeAmount <FrgrnIncmAmt>	[0..1]	Amount	C1, C11	546
	DeemedDividendAmount <DmdDvddAmt>	[0..1]	Amount	C1, C11	547
	DeemedFundAmount <DmdFndAmt>	[0..1]	Amount	C1, C11	547
	DeemedInterestAmount <DmdIntrstAmt>	[0..1]	Amount	C1, C11	547
	DeemedRoyaltiesAmount <DmdRyltsAmt>	[0..1]	Amount	C1, C11	548
	AdjustedSubscriptionAmount <AdjstdSbcptAmt>	[0..1]	Amount	C1, C11	548
	RefundedSubscriptionAmount <RfnddSbcptAmt>	[0..1]	Amount	C1, C11	549
	DateDetails <DtDtls>	[1..1]			549
	PostingDate <PstngDt>	[1..1]	±		549
	ValueDate <ValDt>	[0..1]	±		550
	ForeignExchangeRateFixingDate <FXRateFxdDt>	[0..1]	±		550
	EarliestPaymentDate <EarlstPmtDt>	[0..1]	±		550
	PaymentDate <PmtDt>	[0..1]	±		550
	ForeignExchangeDetails <FXDtls>	[0..*]	±		551
	TaxVoucherDetails <TaxVchrDtls>	[0..1]			551
	Identification <Id>	[1..1]	Text		551
	BargainDate <BrgrnDt>	[0..1]	±		551
	BargainSettlementDate <BrgrnSttlmDt>	[0..1]	±		552
	RateAndAmountDetails <RateAndAmtDtls>	[0..1]		C12, C13, C24, C26, C40, C41, C62, C64, C66, C70, C72	552
	AdditionalTax <AddtlTax>	[0..1]	±		554
	ChargesFees <ChrgsFees>	[0..1]	±		555

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	FiscalStamp <FscIStmp>	[0..1]	Rate		555
	GrossDividendRate <GrssDvddRate>	[0..*]	±		555
	EarlySolicitationFeeRate <EarlySlctnFeeRate>	[0..1]	±		556
	ThirdPartyIncentiveRate <ThrdPtyIncntivRate>	[0..1]	±		556
	InterestRateUsedForPayment <IntrstRateUsdForPmt>	[0..*]	±		557
	NetDividendRate <NetDvddRate>	[0..*]	±		557
	ApplicableRate <AplblRate>	[0..1]	Rate		558
	SolicitationFeeRate <SlctnFeeRate>	[0..1]	±		558
	TaxCreditRate <TaxCdtRate>	[0..1]	±		559
	WithholdingTaxRate <WhldgTaxRate>	[0..*]	±		559
	SecondLevelTax <ScndLvI/Tax>	[0..*]	±		559
	TaxOnIncome <TaxOnIncm>	[0..1]	±		560
	TaxOnProfits <TaxOnPrfts>	[0..1]	Rate		560
	TaxReclaimRate <TaxRclmRate>	[0..1]	Rate		560
	EqualisationRate <EqulstnRate>	[0..1]	Amount	C1	560
	DeemedRate <DmdRate>	[0..*]	±		561
	PriceDetails <PricDtls>	[0..1]		C21	561
	GenericCashPricePaidPerProduct <GncCshPricPdPerPdct>	[0..1]	±		562
	GenericCashPriceReceivedPerProduct <GncCshPricRcvdPerPdct>	[0..1]	±		562

Constraints

- **ExemptionTypeUsageRule**

The lists of exemption type codes to be used in ExemptionType are provided in the document "ETYP-ITYP Exemption & Income Type Codes" available on the SMPG website at www.smpg.info.

- **IncomeType2Rule**

If at least one occurrence of OtherIncomeType is present, then IncomeType must be present.

```
On Condition
  /OtherIncomeType[*] is present
Following Must be True
  /IncomeType Must be present
```

- **IncomeTypeUsageRule**

The lists of income type codes to be used in IncomeType are provided in the document "ETYP-ITYP Exemption & Income Type Codes" available on the SMPG website at www.smpg.info.

- **IssuerOfferorTaxabilityIndicatorUsageRule**

The IssuerOfferorTaxabilityIndicator may only be used when no tax rate is provided in the message and it must not be used in events such as dividend or interest.

The list of proprietary IssuerOfferorTaxabilityIndicator codes that may be used are provided in the document "TXAP Taxability Codes" available on the SMPG website at www.smpg.info.

8.4.11.12.1 CreditDebitIndicator <CdtDbtInd>

Presence: [1..1]

Definition: Indicates whether the value is a debit or credit.

Datatype: "CreditDebitCode" on page 1135

CodeName	Name	Definition
CRDT	Credit	Operation is an increase.
DBIT	Debit	Operation is a decrease.

8.4.11.12.2 ContractualPaymentIndicator <CtrctlPmtInd>

Presence: [0..1]

Definition: Indicates whether the cash payment occurs or will occur in advance of receipt of proceeds from the issuer and based on a contractual agreement established with the account servicer or upon receipt of proceeds from the issuer.

Datatype: "Payment1Code" on page 1155

CodeName	Name	Definition
ACTU	ActualPayment	The cash payment occurs or will occur upon receipt of proceeds from the issuer.
CONT	ContractualPayment	The cash payment occurs or will occur in advance of receipt of proceeds from the issuer and based on a contractual agreement established with the account servicer.

8.4.11.12.3 IssuerOfferorTaxabilityIndicator <IssrOfferrTaxbltyInd>

Presence: [0..1]

Definition: Proceeds are taxable according to the information provided by the issuer / offeror.

IssuerOfferorTaxabilityIndicator <IssrOfferrTaxbltyInd> contains one of the following elements (see "IssuerOfferorTaxabilityIndicator1Choice" on page 912 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		912
Or}	Proprietary <Prtry>	[1..1]	±		912

8.4.11.12.4 IncomeType <IncmTp>

Presence: [0..1]

Definition: Specifies the type of income.

The lists of income type codes to be used, are available on the SMPG website at www.smpg.info.

IncomeType <IncmTp> contains the following elements (see "[GenericIdentification47](#)" on page 790 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		790
	Issuer <Issr>	[1..1]	Text		790
	SchemeName <SchmeNm>	[0..1]	Text		791

8.4.11.12.5 OtherIncomeType <OthrIncmTp>

Presence: [0..*]

Definition: Type of income to be used under another tax regime.

OtherIncomeType <OthrIncmTp> contains the following elements (see "[GenericIdentification47](#)" on page 790 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		790
	Issuer <Issr>	[1..1]	Text		790
	SchemeName <SchmeNm>	[0..1]	Text		791

8.4.11.12.6 ExemptionType <XmptnTp>

Presence: [0..*]

Definition: Specifies the basis for the reduced rate of withholding.

ExemptionType <XmptnTp> contains the following elements (see "[GenericIdentification47](#)" on page 790 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		790
	Issuer <Issr>	[1..1]	Text		790
	SchemeName <SchmeNm>	[0..1]	Text		791

8.4.11.12.7 CountryOfIncomeSource <CtryOfIncmSrc>

Presence: [0..1]

Definition: Indicates the country from which the income originates.

Impacted by: [C10 "Country"](#)

Datatype: "[CountryCode](#)" on page 1135

Constraints

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

8.4.11.12.8 Account <Acct>

Presence: [0..1]

Definition: Choice between a cash account, a charges account or a tax account.

Account <Acct> contains one of the following **Account9Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	CashAccount <CshAcct>	[1..1]	±		522
Or	ChargesAccount <ChrgsAcct>	[1..1]	±		522
Or}	TaxAccount <TaxAcct>	[1..1]	±		522

8.4.11.12.8.1 CashAccount <CshAcct>

Presence: [1..1]

Definition: Account in which cash is maintained.

CashAccount <CshAcct> contains one of the following elements (see "CashAccountIdentification6Choice" on page 712 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	IBAN <IBAN>	[1..1]	IdentifierSet	C33	712
Or}	Proprietary <Prtry>	[1..1]	Text		713

8.4.11.12.8.2 ChargesAccount <ChrgsAcct>

Presence: [1..1]

Definition: Account to be used for charges if different from the account for payment.

ChargesAccount <ChrgsAcct> contains one of the following elements (see "CashAccountIdentification6Choice" on page 712 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	IBAN <IBAN>	[1..1]	IdentifierSet	C33	712
Or}	Proprietary <Prtry>	[1..1]	Text		713

8.4.11.12.8.3 TaxAccount <TaxAcct>

Presence: [1..1]

Definition: Account to be used for taxes if different from the account for payment.

TaxAccount <TaxAcct> contains one of the following elements (see "CashAccountIdentification6Choice" on page 712 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	IBAN <IBAN>	[1..1]	IdentifierSet	C33	712
Or}	Proprietary <Prtry>	[1..1]	Text		713

8.4.11.12.9 CashParties <CshPties>

Presence: [0..1]

Definition: Provides information about cash parties.

CashParties <CshPties> contains the following **CashParties37** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Creditor <Cdtr>	[0..1]	±	C5	523
	CreditorAgent <CdtrAgt>	[0..1]	±	C6	523
	MarketClaimCounterparty <MktCImCtrPty>	[0..1]	±	C5	524

8.4.11.12.9.1 Creditor <Cdtr>

Presence: [0..1]

Definition: Party to which an amount of money is due.

Impacted by: C5 "AdditionalInformationRule"

Creditor <Cdtr> contains the following elements (see "PartyIdentificationAndAccount174" on page 925 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		926
	CashAccount <CshAcct>	[0..1]	±		926
	ProcessingIdentification <PrctlId>	[0..1]	Text		926
	AlternateIdentification <AltrnId>	[0..1]	±		927

Constraints

- **AdditionalInformationRule**

The AdditionalInformation field in all elements must not contain information that can be provided in a structured field unless bilaterally agreed or advised differently in the element definition as in NarrativeVersion.

8.4.11.12.9.2 CreditorAgent <CdtrAgt>

Presence: [0..1]

Definition: Financial institution servicing an account for the creditor.

Impacted by: C6 "AdditionalInformationRule"

CreditorAgent <CdtrAgt> contains the following elements (see "PartyIdentificationAndAccount175" on page 923 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]			924
{Or	BICFI <BICFI>	[1..1]	IdentifierSet	C2	924
Or	NameAndAddress <NmAndAdr>	[1..1]	±		924
Or}	ProprietaryIdentification <PrtryId>	[1..1]	±		924
	CashAccount <CshAcct>	[0..1]	±		925
	ProcessingIdentification <PrcgId>	[0..1]	Text		925
	AlternateIdentification <AltrnId>	[0..1]	±		925

Constraints

- **AdditionalInformationRule**

The AdditionalInformation field in all elements must not contain information that can be provided in a structured field unless bilaterally agreed or advised differently in the element definition as in NarrativeVersion.

8.4.11.12.9.3 MarketClaimCounterparty <MktClnCtrPty>

Presence: [0..1]

Definition: Party that has reimbursed the account owner with funds to which they were legally entitled.

Impacted by: C5 "AdditionalInformationRule"

MarketClaimCounterparty <MktClnCtrPty> contains the following elements (see "PartyIdentificationAndAccount174" on page 925 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		926
	CashAccount <CshAcct>	[0..1]	±		926
	ProcessingIdentification <PrcgId>	[0..1]	Text		926
	AlternateIdentification <AltrnId>	[0..1]	±		927

Constraints

- **AdditionalInformationRule**

The AdditionalInformation field in all elements must not contain information that can be provided in a structured field unless bilaterally agreed or advised differently in the element definition as in NarrativeVersion.

8.4.11.12.10 AmountDetails <AmtDtls>

Presence: [1..1]

Definition: Provides information about the amounts related to a cash movement.

AmountDetails <AmtDtls> contains the following **CorporateActionAmounts57** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PostingAmount <PstngAmt>	[1..1]	Amount	C1, C11	526
	GrossCashAmount <GrssCshAmt>	[0..1]	Amount	C1, C11	527
	NetCashAmount <NetCshAmt>	[0..1]	Amount	C1, C11	527
	SolicitationFees <SlctnFees>	[0..1]	Amount	C1, C11	528
	CashInLieuOfShare <CshInLieuOfShr>	[0..1]	Amount	C1, C11	528
	CapitalGain <CptlGn>	[0..1]	Amount	C1, C11	528
	InterestAmount <IntrstAmt>	[0..1]	Amount	C1, C11	529
	MarketClaimAmount <MktClnAmt>	[0..1]	Amount	C1, C11	529
	IndemnityAmount <IndmntyAmt>	[0..1]	Amount	C1, C11	530
	ManufacturedDividendPaymentAmount <ManfctrdDvddPmtAmt>	[0..1]	Amount	C1, C11	530
	ReinvestmentAmount <RinvstmtAmt>	[0..1]	Amount	C1, C11	531
	FullyFrankedAmount <FullyFrnkAmt>	[0..1]	Amount	C1, C11	531
	UnfrankedAmount <UfrnkAmt>	[0..1]	Amount	C1, C11	531
	SundryOrOtherAmount <SndryOrOthrAmt>	[0..1]	Amount	C1, C11	532
	TaxFreeAmount <TaxFreeAmt>	[0..1]	Amount	C1, C11	532
	TaxDeferredAmount <TaxDfrdAmt>	[0..1]	Amount	C1, C11	533
	ValueAddedTaxAmount <ValAddedTaxAmt>	[0..1]	Amount	C1, C11	533
	StampDutyAmount <StmpDtyAmt>	[0..1]	Amount	C1, C11	533
	TaxReclaimAmount <TaxRclmAmt>	[0..1]	Amount	C1, C11	534
	TaxCreditAmount <TaxCdtAmt>	[0..1]	Amount	C1, C11	534
	AdditionalTaxAmount <AddtlTaxAmt>	[0..1]	Amount	C1, C11	535
	WithholdingTaxAmount <WhldgTaxAmt>	[0..1]	Amount	C1, C11	535
	SecondLevelTaxAmount <ScndLvlTaxAmt>	[0..1]	Amount	C1, C11	535
	FiscalStampAmount <FscIStmpAmt>	[0..1]	Amount	C1, C11	536
	ExecutingBrokerAmount <ExctgBrkrAmt>	[0..1]	Amount	C1, C11	536
	PayingAgentCommissionAmount <PngAgtComssnAmt>	[0..1]	Amount	C1, C11	537
	LocalBrokerCommissionAmount <LclBrkrComssnAmt>	[0..1]	Amount	C1, C11	537
	RegulatoryFeesAmount <RgltryFeesAmt>	[0..1]	Amount	C1, C11	537
	ShippingFeesAmount <ShppgFeesAmt>	[0..1]	Amount	C1, C11	538

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ChargesAmount <ChrgsAmt>	[0..1]	Amount	C1, C11	538
	CashAmountBroughtForward <CshAmtBrghtFwd>	[0..1]	Amount	C1, C11	539
	CashAmountCarriedForward <CshAmtCrrdFwd>	[0..1]	Amount	C1, C11	539
	NotionalDividendPayableAmount <NtnlDvddPyblAmt>	[0..1]	Amount	C1, C11	539
	NotionalTaxAmount <NtnlTaxAmt>	[0..1]	Amount	C1, C11	540
	TaxArrearsAmount <TaxArrearsAmt>	[0..1]	Amount	C1, C11	540
	OriginalAmount <OrgnlAmt>	[0..1]	Amount	C1, C11	541
	PrincipalOrCorpus <PrncplOrCrps>	[0..1]	Amount	C1, C11	541
	RedemptionPremiumAmount <RedPrmAmt>	[0..1]	Amount	C1, C11	541
	IncomePortion <IncmPrtn>	[0..1]	Amount	C1, C11	542
	StockExchangeTax <StockXchgTax>	[0..1]	Amount	C1, C11	542
	EUTaxRetentionAmount <EUTaxRtntnAmt>	[0..1]	Amount	C1, C11	543
	AccruedInterestAmount <AcrdIntrstAmt>	[0..1]	Amount	C1, C11	543
	EqualisationAmount <EqulstnAmt>	[0..1]	Amount	C1, C11	543
	FATCATaxAmount <FATCATaxAmt>	[0..1]	Amount	C1, C11	544
	NRATaxAmount <NRATaxAmt>	[0..1]	Amount	C1, C11	544
	BackUpWithholdingTaxAmount <BckUpWhldgTaxAmt>	[0..1]	Amount	C1, C11	545
	TaxOnIncomeAmount <TaxOnIncmAmt>	[0..1]	Amount	C1, C11	545
	TransactionTax <TxTax>	[0..1]	Amount	C1, C11	545
	DeemedAmount <DmdAmt>	[0..1]	Amount	C1, C11	546
	ForeignIncomeAmount <FrgnIncmAmt>	[0..1]	Amount	C1, C11	546
	DeemedDividendAmount <DmdDvddAmt>	[0..1]	Amount	C1, C11	547
	DeemedFundAmount <DmdFndAmt>	[0..1]	Amount	C1, C11	547
	DeemedInterestAmount <DmdIntrstAmt>	[0..1]	Amount	C1, C11	547
	DeemedRoyaltiesAmount <DmdRyltsAmt>	[0..1]	Amount	C1, C11	548
	AdjustedSubscriptionAmount <AdjstdSbcptAmt>	[0..1]	Amount	C1, C11	548
	RefundedSubscriptionAmount <RfnddSbcptAmt>	[0..1]	Amount	C1, C11	549

8.4.11.12.10.1 PostingAmount <PstngAmt>*Presence:* [1..1]*Definition:* Amount of money that is to be/was posted to the account.*Impacted by:* C1 "ActiveCurrency", C11 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1086

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

8.4.11.12.10.2 GrossCashAmount <GrssCshAmt>

Presence: [0..1]

Definition: Amount of money before any deductions and allowances have been made.

Impacted by: C1 "ActiveCurrency", C11 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1086

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

8.4.11.12.10.3 NetCashAmount <NetCshAmt>

Presence: [0..1]

Definition: Amount of money after deductions and allowances have been made, if any, that is, the total amount +/- charges/fees.

Impacted by: C1 "ActiveCurrency", C11 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1086

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217

Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

8.4.11.12.10.4 SolicitationFees <SlctnFees>

Presence: [0..1]

Definition: Cash premium made available if the securities holder consents or participates to an event, for example consent fees or solicitation fees.

Impacted by: C1 "ActiveCurrency", C11 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1086

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

8.4.11.12.10.5 CashInLieuOfShare <CshInLieuOfShr>

Presence: [0..1]

Definition: Cash disbursement in lieu of a fractional quantity of, for example, equity.

Impacted by: C1 "ActiveCurrency", C11 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1086

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

8.4.11.12.10.6 CapitalGain <CptlGn>

Presence: [0..1]

Definition: Amount of money distributed as the result of a capital gain.

Impacted by: C1 "ActiveCurrency", C11 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1086

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

8.4.11.12.10.7 InterestAmount <IntrstAmt>

Presence: [0..1]

Definition: Amount of money representing a coupon payment.

Impacted by: C1 "ActiveCurrency", C11 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1086

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

8.4.11.12.10.8 MarketClaimAmount <MktCImAmt>

Presence: [0..1]

Definition: Amount of money resulting from a market claim.

Impacted by: C1 "ActiveCurrency", C11 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1086

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217

Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

8.4.11.12.10.9 IndemnityAmount <IndmntyAmt>

Presence: [0..1]

Definition: (Unique to France) Amount due to a buyer of securities dealt prior to ex date which may be subject to different rate of taxation.

Impacted by: C1 "ActiveCurrency", C11 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1086

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

8.4.11.12.10.10 ManufacturedDividendPaymentAmount <ManfctrdDvddPmtAmt>

Presence: [0..1]

Definition: Amount of money that the borrower pays to the lender as a compensation. It does not entitle the lender to reclaim any tax credit and is sometimes treated differently by the local tax authorities of the lender. Also covers compensation/indemnity of missed dividend concerning early/late settlements if applicable to a market.

Impacted by: C1 "ActiveCurrency", C11 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1086

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

8.4.11.12.10.11 ReinvestmentAmount <RinvstmtAmt>

Presence: [0..1]

Definition: Amount of money reinvested in additional securities.

Impacted by: C1 "ActiveCurrency", C11 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1086

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

8.4.11.12.10.12 FullyFrankedAmount <FullyFrnkdtAmt>

Presence: [0..1]

Definition: Amount resulting from a fully franked dividend paid by a company; amount includes tax credit for companies that have made sufficient tax payments during the fiscal period.

Impacted by: C1 "ActiveCurrency", C11 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1086

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

8.4.11.12.10.13 UnfrankedAmount <UfrnkdtAmt>

Presence: [0..1]

Definition: Amount resulting from an unfranked dividend paid by a company; the amount does not include tax credit and is subject to withholding tax.

Impacted by: C1 "ActiveCurrency", C11 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1086

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

8.4.11.12.10.14 SundryOrOtherAmount <SndryOrOthrAmt>

Presence: [0..1]

Definition: Amount of money related to taxable income that cannot be categorised.

Impacted by: C1 "ActiveCurrency", C11 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1086

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

8.4.11.12.10.15 TaxFreeAmount <TaxFreeAmt>

Presence: [0..1]

Definition: Amount of money that has not been subject to taxation.

Impacted by: C1 "ActiveCurrency", C11 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1086

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

8.4.11.12.10.16 TaxDeferredAmount <TaxDfrdAmt>

Presence: [0..1]

Definition: Amount of income eligible for deferred taxation.

Impacted by: C1 "ActiveCurrency", C11 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1086

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

8.4.11.12.10.17 ValueAddedTaxAmount <ValAddedTaxAmt>

Presence: [0..1]

Definition: Tax on value added.

Impacted by: C1 "ActiveCurrency", C11 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1086

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

8.4.11.12.10.18 StampDutyAmount <StmpDtyAmt>

Presence: [0..1]

Definition: Amount of stamp duty.

Impacted by: C1 "ActiveCurrency", C11 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1086

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

8.4.11.12.10.19 TaxReclaimAmount <TaxRclmAmt>

Presence: [0..1]

Definition: Amount that was paid in excess of actual tax obligation and was reclaimed.

Impacted by: C1 "ActiveCurrency", C11 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1086

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

8.4.11.12.10.20 TaxCreditAmount <TaxCdtAmt>

Presence: [0..1]

Definition: Amount of taxes that have been previously paid in relation to the taxable event.

Impacted by: C1 "ActiveCurrency", C11 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1086

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

8.4.11.12.10.21 AdditionalTaxAmount <AddtlTaxAmt>

Presence: [0..1]

Definition: Amount of additional taxes that cannot be categorised.

Impacted by: C1 "ActiveCurrency", C11 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1086

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

8.4.11.12.10.22 WithholdingTaxAmount <WhldgTaxAmt>

Presence: [0..1]

Definition: Amount of a cash distribution that will be withheld by the tax authorities of the jurisdiction of the issuer, for which a relief at source and/or reclaim may be possible.

Impacted by: C1 "ActiveCurrency", C11 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1086

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

8.4.11.12.10.23 SecondLevelTaxAmount <ScndLvITaxAmt>

Presence: [0..1]

Definition: Amount of money withheld by the jurisdiction other than the jurisdiction of the issuer's country of tax incorporation, for which a relief at source and/or reclaim may be possible. It is levied in complement or offset of the withholding tax rate levied by the jurisdiction of the issuer's tax domicile.

Impacted by: C1 "ActiveCurrency", C11 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1086

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

8.4.11.12.10.24 FiscalStampAmount <FscIStmpAmt>

Presence: [0..1]

Definition: Amount of fiscal tax to apply.

Impacted by: C1 "ActiveCurrency", C11 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1086

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

8.4.11.12.10.25 ExecutingBrokerAmount <ExctgBrkrAmt>

Presence: [0..1]

Definition: Amount of money paid to an executing broker as a commission.

Impacted by: C1 "ActiveCurrency", C11 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1086

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

8.4.11.12.10.26 PayingAgentCommissionAmount <PngAgtComssnAmt>

Presence: [0..1]

Definition: Amount of paying/sub-paying agent commission.

Impacted by: C1 "ActiveCurrency", C11 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1086

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

8.4.11.12.10.27 LocalBrokerCommissionAmount <LclBrkrComssnAmt>

Presence: [0..1]

Definition: Local broker's commission.

Impacted by: C1 "ActiveCurrency", C11 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1086

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

8.4.11.12.10.28 RegulatoryFeesAmount <RgltryFeesAmt>

Presence: [0..1]

Definition: Amount of money charged by a regulatory authority, for example, Securities and Exchange fees.

Impacted by: C1 "ActiveCurrency", C11 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1086

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

8.4.11.12.10.29 ShippingFeesAmount <ShppgFeesAmt>

Presence: [0..1]

Definition: All costs related to the physical delivery of documents such as stamps, postage, carrier fees, insurances or messenger services.

Impacted by: C1 "ActiveCurrency", C11 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1086

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

8.4.11.12.10.30 ChargesAmount <ChrgsAmt>

Presence: [0..1]

Definition: Amount of money paid for the provision of financial services that cannot be categorised by another qualifier.

Impacted by: C1 "ActiveCurrency", C11 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1086

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

8.4.11.12.10.31 CashAmountBroughtForward <CshAmtBrghFwd>

Presence: [0..1]

Definition: Indicates cash retained from previous dividend.

Impacted by: C1 "ActiveCurrency", C11 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1086

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

8.4.11.12.10.32 CashAmountCarriedForward <CshAmtCrrdFwd>

Presence: [0..1]

Definition: Indicates the balance carried forward to next dividend.

Impacted by: C1 "ActiveCurrency", C11 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1086

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

8.4.11.12.10.33 NotionalDividendPayableAmount <NtnlDvddPyblAmt>

Presence: [0..1]

Definition: Amount of cash that would have been payable if the dividend had been taken in the form of cash rather than shares.

Impacted by: C1 "ActiveCurrency", C11 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1086

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

8.4.11.12.10.34 NotionalTaxAmount <NtnlTaxAmt>

Presence: [0..1]

Definition: Where new securities are issued in lieu of a cash dividend, the notional tax is the tax on the amount of cash that would have been paid.

Impacted by: C1 "ActiveCurrency", C11 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1086

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

8.4.11.12.10.35 TaxArrearsAmount <TaxArrearsAmt>

Presence: [0..1]

Definition: Amount of money paid by the tax authorities in addition to the payment of the tax refund itself.

Impacted by: C1 "ActiveCurrency", C11 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1086

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

8.4.11.12.10.36 OriginalAmount <OrgnlAmt>

Presence: [0..1]

Definition: Posting/settlement Amount in its original currency when conversion from/into another currency has occurred.

Impacted by: C1 "ActiveCurrency", C11 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1086

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

8.4.11.12.10.37 PrincipalOrCorpus <PrncplOrCrps>

Presence: [0..1]

Definition: Amount of money representing a distribution of a bond's principal, for example, repayment of outstanding debt.

Impacted by: C1 "ActiveCurrency", C11 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1086

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

8.4.11.12.10.38 RedemptionPremiumAmount <RedPrmAmt>

Presence: [0..1]

Definition: Amount of money (not interest) in addition to the principal at the redemption of a bond.

Impacted by: C1 "ActiveCurrency", C11 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1086

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

8.4.11.12.10.39 IncomePortion <IncmPrtn>

Presence: [0..1]

Definition: Amount relating to the underlying security for which income is distributed.

Impacted by: C1 "ActiveCurrency", C11 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1086

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

8.4.11.12.10.40 StockExchangeTax <StockXchgTax>

Presence: [0..1]

Definition: Amount of stock exchange tax.

Impacted by: C1 "ActiveCurrency", C11 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1086

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

8.4.11.12.10.41 EUTaxRetentionAmount <EUTaxRtnAmt>

Presence: [0..1]

Definition: Total amount of tax withheld at source in conformance with the EU Savings Directive.

Impacted by: C1 "ActiveCurrency", C11 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1086

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

8.4.11.12.10.42 AccruedInterestAmount <AcrdIntrstAmt>

Presence: [0..1]

Definition: Amount of interest that has been accrued in between coupon payment periods.

Impacted by: C1 "ActiveCurrency", C11 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1086

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

8.4.11.12.10.43 EqualisationAmount <EqualstnAmt>

Presence: [0..1]

Definition: Portion of the fund distribution amount which represents the average accrued income included in the purchase price for units bought during the account period.

Impacted by: C1 "ActiveCurrency", C11 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1086

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

8.4.11.12.10.44 FATCATaxAmount <FATCATaxAmt>

Presence: [0..1]

Definition: FATCA (Foreign Account Tax Compliance Act) related tax amount.

Impacted by: C1 "ActiveCurrency", C11 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1086

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

8.4.11.12.10.45 NRATaxAmount <NRATaxAmt>

Presence: [0..1]

Definition: Amount of tax related income subject to NRA (Non Resident Alien).

Impacted by: C1 "ActiveCurrency", C11 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1086

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

8.4.11.12.10.46 BackUpWithholdingTaxAmount <BckUpWhldgTaxAmt>

Presence: [0..1]

Definition: Amount of tax related to back up withholding.

Impacted by: C1 "ActiveCurrency", C11 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1086

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

8.4.11.12.10.47 TaxOnIncomeAmount <TaxOnIncmAmt>

Presence: [0..1]

Definition: Amount of overall tax withheld at source by fund managers prior to considering the tax obligation of each unit holder.

Impacted by: C1 "ActiveCurrency", C11 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1086

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

8.4.11.12.10.48 TransactionTax <TxTax>

Presence: [0..1]

Definition: Amount of transaction tax.

Impacted by: C1 "ActiveCurrency", C11 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1086

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

8.4.11.12.10.49 DeemedAmount <DmdAmt>

Presence: [0..1]

Definition: Amount of proceeds which is not actually paid to the security holder but on which withholding tax is applicable.

Impacted by: C1 "ActiveCurrency", C11 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1086

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

8.4.11.12.10.50 ForeignIncomeAmount <FrgrnIncmt>

Presence: [0..1]

Definition: Amount relating to a foreign income such as a conduit foreign income.

Impacted by: C1 "ActiveCurrency", C11 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1086

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

8.4.11.12.10.51 DeemedDividendAmount <DmdDvddAmt>

Presence: [0..1]

Definition: Deemed amount resulting from the difference between the rate of dividend proceeds attributed to the security holder for the taxable year and the rate of dividend proceeds previously notified to the security holder in relation to cash distributions for the year.

Impacted by: C1 "ActiveCurrency", C11 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1086

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

8.4.11.12.10.52 DeemedFundAmount <DmdFndAmt>

Presence: [0..1]

Definition: Deemed amount resulting from the difference between the rate of the proceeds components comprising a fund payment attributed to the security holder for the taxable year and the rate of the fund proceeds previously notified to the security holder in relation to cash distributions for the year.

Impacted by: C1 "ActiveCurrency", C11 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1086

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

8.4.11.12.10.53 DeemedInterestAmount <DmdIntrstAmt>

Presence: [0..1]

Definition: Deemed amount resulting from the difference between the rate of interest proceeds attributed to the security holder for the taxable year and the rate of interest proceeds previously notified to the security holder in relation to cash distributions for the year.

Impacted by: C1 "ActiveCurrency", C11 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1086

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

8.4.11.12.10.54 DeemedRoyaltiesAmount <DmdRyltsAmt>

Presence: [0..1]

Definition: Deemed amount resulting from the difference between the rate of royalty proceeds attributed to the security holder for the taxable year and the rate of royalty proceeds previously notified to the security holder in relation to cash distributions for the year.

Impacted by: C1 "ActiveCurrency", C11 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1086

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

8.4.11.12.10.55 AdjustedSubscriptionAmount <AdjstdSbcptAmt>

Presence: [0..1]

Definition: Amount of money debited or credited to adjust for the difference between the estimated and the final subscription rate for Rights Exercise events.

Impacted by: C1 "ActiveCurrency", C11 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1086

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217

Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

8.4.11.12.10.56 RefundedSubscriptionAmount <RfnddSbcptAmt>

Presence: [0..1]

Definition: Amount of money refunded from the original subscription cost for a Rights Exercise event. This occurs when the event is prorated and the subscription cost relating to the unaffected (unaccepted) balance is returned to the holder.

Impacted by: C1 "ActiveCurrency", C11 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1086

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

8.4.11.12.11 DateDetails <DtDtls>

Presence: [1..1]

Definition: Provides information about the dates related to a cash movement.

DateDetails <DtDtls> contains the following **CorporateActionDate65** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PostingDate <PstngDt>	[1..1]	±		549
	ValueDate <ValDt>	[0..1]	±		550
	ForeignExchangeRateFixingDate <FXRateFxdDt>	[0..1]	±		550
	EarliestPaymentDate <EarlstPmtDt>	[0..1]	±		550
	PaymentDate <PmtDt>	[0..1]	±		550

8.4.11.12.11.1 PostingDate <PstngDt>

Presence: [1..1]

Definition: Date/time of the posting (credit or debit) to the account.

PostingDate <PstngDt> contains one of the following elements (see ["DateAndDateTime2Choice"](#) on page 731 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		731
Or}	DateTime <DtTm>	[1..1]	DateTime		731

8.4.11.12.11.2 ValueDate <ValDt>

Presence: [0..1]

Definition: Date/time when calculating economic benefit for a cash amount.

ValueDate <ValDt> contains one of the following elements (see ["DateAndDateTime2Choice"](#) on page 731 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		731
Or}	DateTime <DtTm>	[1..1]	DateTime		731

8.4.11.12.11.3 ForeignExchangeRateFixingDate <FXRateFxdDt>

Presence: [0..1]

Definition: Date/time at which a foreign exchange rate will be determined.

ForeignExchangeRateFixingDate <FXRateFxdDt> contains one of the following elements (see ["DateAndDateTime2Choice"](#) on page 731 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		731
Or}	DateTime <DtTm>	[1..1]	DateTime		731

8.4.11.12.11.4 EarliestPaymentDate <EarlstPmtDt>

Presence: [0..1]

Definition: Date/time on which a payment can be made, for example, if the payment date is a non-business day or to indicate the first payment date of an offer.

EarliestPaymentDate <EarlstPmtDt> contains one of the following elements (see ["DateAndDateTime2Choice"](#) on page 731 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		731
Or}	DateTime <DtTm>	[1..1]	DateTime		731

8.4.11.12.11.5 PaymentDate <PmtDt>

Presence: [0..1]

Definition: Date on which the distribution is due to take place (cash and/or securities).

PaymentDate <PmtDt> contains one of the following elements (see "[DateAndDateTime2Choice](#)" on page 731 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		731
Or}	DateTime <DtTm>	[1..1]	DateTime		731

8.4.11.12.12 ForeignExchangeDetails <FXDtIs>

Presence: [0..*]

Definition: Exchange rate between the amount and the resulting amount.

ForeignExchangeDetails <FXDtIs> contains the following elements (see "[ForeignExchangeTerms27](#)" on page 783 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	UnitCurrency <UnitCcy>	[1..1]	CodeSet	C1	783
	QuotedCurrency <QtdCcy>	[1..1]	CodeSet	C1	784
	ExchangeRate <XchgRate>	[1..1]	Rate		784
	ResultingAmount <RsltgAmt>	[1..1]	Amount	C1, C13	784

8.4.11.12.13 TaxVoucherDetails <TaxVchrDtIs>

Presence: [0..1]

Definition: Provides information about the tax voucher related to a cash movement.

TaxVoucherDetails <TaxVchrDtIs> contains the following **TaxVoucher5** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		551
	BargainDate <BrngDt>	[0..1]	±		551
	BargainSettlementDate <BrngSttlmDt>	[0..1]	±		552

8.4.11.12.13.1 Identification <Id>

Presence: [1..1]

Definition: Unique reference for the tax voucher required by the relevant tax authorities to ensure that any claim relating to this particular tax voucher cannot be duplicated.

Datatype: "[RestrictedFINXMax16Text](#)" on page 1177

8.4.11.12.13.2 BargainDate <BrngDt>

Presence: [0..1]

Definition: Date on which a dividend reinvestment purchase was completed. If there is only one bargain involved, the time it was struck needs to be included.

BargainDate <BrngnDt> contains one of the following elements (see ["DateAndDateTime2Choice"](#) on page 731 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		731
Or}	DateTime <DtTm>	[1..1]	DateTime		731

8.4.11.12.13.3 BargainSettlementDate <BrngnSttlmDt>

Presence: [0..1]

Definition: Settlement date of the dividend reinvestment purchase transaction.

BargainSettlementDate <BrngnSttlmDt> contains one of the following elements (see ["DateAndDateTime2Choice"](#) on page 731 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		731
Or}	DateTime <DtTm>	[1..1]	DateTime		731

8.4.11.12.14 RateAndAmountDetails <RateAndAmtDtls>

Presence: [0..1]

Definition: Provides information about the corporate action option.

Impacted by: C12 "DeemedRate1Rule", C13 "DeemedRate2Rule", C24 "GrossDividendRate2Rule", C26 "GrossDividendRate3Rule", C40 "NetDividendRate1Rule", C41 "NetDividendRate2Rule", C62 "SecondLevelTax1Rule", C64 "SecondLevelTax2Rule", C66 "SecondLevelTax3Rule", C70 "WithholdingTaxRate1Rule", C72 "WithholdingTaxRate2Rule"

RateAndAmountDetails <RateAndAmtDtls> contains the following **Rate37** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AdditionalTax <AddtlTax>	[0..1]	±		554
	ChargesFees <ChrgsFees>	[0..1]	±		555
	FiscalStamp <FscIStmp>	[0..1]	Rate		555
	GrossDividendRate <GrssDvddRate>	[0..*]	±		555
	EarlySolicitationFeeRate <EarlySlctnFeeRate>	[0..1]	±		556
	ThirdPartyIncentiveRate <ThrdPtyIncntivRate>	[0..1]	±		556
	InterestRateUsedForPayment <IntrstRateUsdForPmt>	[0..*]	±		557
	NetDividendRate <NetDvddRate>	[0..*]	±		557
	ApplicableRate <AplblRate>	[0..1]	Rate		558
	SolicitationFeeRate <SlctnFeeRate>	[0..1]	±		558
	TaxCreditRate <TaxCdtRate>	[0..1]	±		559
	WithholdingTaxRate <WhldgTaxRate>	[0..*]	±		559
	SecondLevelTax <ScndLvITax>	[0..*]	±		559
	TaxOnIncome <TaxOnIncm>	[0..1]	±		560
	TaxOnProfits <TaxOnPrfts>	[0..1]	Rate		560
	TaxReclaimRate <TaxRclmRate>	[0..1]	Rate		560
	EqualisationRate <EqulstnRate>	[0..1]	Amount	C1	560
	DeemedRate <DmdRate>	[0..*]	±		561

Constraints

- **DeemedRate1Rule**

If DeemedRate is repeated, then DeemedRate/RateTypeAndAmountAndRateStatus/RateType/Code values and DeemedRate/RateTypeAndRate/RateType/Code values must all be different in each occurrence of DeemedRate.

(MT 566 NVR C4).

- **DeemedRate2Rule**

Only a single occurrence of either DeemedRate/Amount or DeemedRate/Rate may be present, only one of those elements may be present.

(MT 566 NVR C3).

- **GrossDividendRate2Rule**

If GrossDividendRate is repeated, then:

- RateTypeAndAmountAndRateStatus/RateType/Code values must all be different in each occurrence of GrossDividendRate. (MT 566 NVR C4).

- **GrossDividendRate3Rule**

Only a single occurrence of either GrossDividendRate/Amount or GrossDividendRate/AmountAndRateStatus may be present. Both elements may not be present together. (MT 566 NVR C3).

- **NetDividendRate1Rule**

If NetDividendRate is repeated, then:

- RateTypeAndAmountAndRateStatus/RateType/Code values must all be different in each occurrence of NetDividendRate. (MT 566 NVR C4).

- **NetDividendRate2Rule**

Only a single occurrence of either NetDividendRate/Amount may be present. Both elements may not be present together. (MT 566 NVR C3).

- **SecondLevelTax1Rule**

If SecondLevelTax is repeated, then SecondLevelTax/RateTypeAndRate/RateType/Code values must all be different in each occurrence of SecondLevelTax.

(MT 566 NVR C4).

- **SecondLevelTax2Rule**

Only a single occurrence of either SecondLevelTax/Amount or SecondLevelTax/Rate or SecondLevelTax/NotSpecifiedRate may be present, only one of these elements may be present.

(MT 566 NVR C3).

- **SecondLevelTax3Rule**

If at least one occurrence of SecondLevelTax is present, then at least one occurrence of WithholdingTaxRate must be present. (MT 566 NVR C8).

```
On Condition
  /SecondLevelTax[*] is present
Following Must be True
  /WithholdingTaxRate[*] Must be present
```

- **WithholdingTaxRate1Rule**

If WithholdingTaxRate is repeated, then WithholdingTaxRate/RateTypeAndRate/RateType/Code values must all be different in each occurrence of WithholdingTaxRate.

(MT 566 NVR C4).

- **WithholdingTaxRate2Rule**

Only a single occurrence of either WithholdingTaxRate/Amount or WithholdingTaxRate/Rate or WithholdingTaxRate/NotSpecifiedRate may be present, only one of these elements may be present.

(MT 566 NVR C3).

8.4.11.12.14.1 AdditionalTax <AddtlTax>

Presence: [0..1]

Definition: Rate used for additional tax that cannot be categorised.

AdditionalTax <AddtlTax> contains one of the following elements (see "RateAndAmountFormat43Choice" on page 1039 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1040
Or}	Amount <Amt>	[1..1]	Amount	C1	1040

8.4.11.12.14.2 ChargesFees <ChrgsFees>

Presence: [0..1]

Definition: Rate used to calculate the amount of the charges/fees that cannot be categorised.

ChargesFees <ChrgsFees> contains one of the following elements (see "RateAndAmountFormat43Choice" on page 1039 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1040
Or}	Amount <Amt>	[1..1]	Amount	C1	1040

8.4.11.12.14.3 FiscalStamp <FscIStmp>

Presence: [0..1]

Definition: Percentage of fiscal tax to apply.

Datatype: "PercentageRate" on page 1172

8.4.11.12.14.4 GrossDividendRate <GrssDvddRate>

Presence: [0..*]

Definition: Cash dividend amount per equity before deductions or allowances have been made.

GrossDividendRate <GrssDvddRate> contains one of the following elements (see "GrossDividendRateFormat40Choice" on page 997 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Amount <Amt>	[1..1]	Amount	C1	997
Or	AmountAndRateStatus <AmtAndRateSts>	[1..1]			998
	Amount <Amt>	[1..1]	Amount	C1	998
	RateStatus <RateSts>	[1..1]	CodeSet		998
Or}	RateTypeAndAmountAndRateStatus <RateTpAndAmtAndRateSts>	[1..1]			998
	RateType <RateTp>	[1..1]			999
{Or	Code <Cd>	[1..1]	CodeSet		999
Or}	Proprietary <Prtry>	[1..1]	±		1000
	Amount <Amt>	[1..1]	Amount	C1	1000
	RateStatus <RateSts>	[0..1]		C58	1001
{Or	Code <Cd>	[1..1]	CodeSet		1001
Or}	Proprietary <Prtry>	[1..1]	±		1001

8.4.11.12.14.5 EarlySolicitationFeeRate <EarlySlctnFeeRate>

Presence: [0..1]

Definition: Cash rate made available, as an incentive, in addition to the solicitation fee, in order to encourage early participation in an offer.

EarlySolicitationFeeRate <EarlySlctnFeeRate> contains one of the following elements (see "SolicitationFeeRateFormat9Choice" on page 1027 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1027
Or	AmountToQuantity <AmtToQty>	[1..1]			1027
	Amount <Amt>	[1..1]	Amount	C1	1027
	Quantity <Qty>	[1..1]	Quantity		1028
Or}	Amount <Amt>	[1..1]	Amount	C1	1028

8.4.11.12.14.6 ThirdPartyIncentiveRate <ThrdPtyIncntivRate>

Presence: [0..1]

Definition: Cash rate made available in an event in order to encourage participation in the offer. As information, Payment is made to a third party who has solicited an entity to take part in the offer.

ThirdPartyIncentiveRate <ThrdPtyIncntivRate> contains one of the following elements (see "RateAndAmountFormat43Choice" on page 1039 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1040
Or}	Amount <Amt>	[1..1]	Amount	C1	1040

8.4.11.12.14.7 InterestRateUsedForPayment <IntrstRateUsdForPmt>

Presence: [0..*]

Definition: Actual interest rate used for the payment of the interest for the specified interest period.

InterestRateUsedForPayment <IntrstRateUsdForPmt> contains one of the following elements (see "InterestRateUsedForPaymentFormat9Choice" on page 1033 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1033
Or	Amount <Amt>	[1..1]	Amount	C1	1033
Or}	RateTypeAndAmountAndRateStatus <RateTpAndAmtAndRateSts>	[1..1]			1034
	RateType <RateTp>	[1..1]			1034
{Or	Code <Cd>	[1..1]	CodeSet		1034
Or}	Proprietary <Prtry>	[1..1]	±		1035
	Amount <Amt>	[1..1]	Amount	C1	1035
	RateStatus <RateSts>	[0..1]		C58	1035
{Or	Code <Cd>	[1..1]	CodeSet		1035
Or}	Proprietary <Prtry>	[1..1]	±		1036

8.4.11.12.14.8 NetDividendRate <NetDvddRate>

Presence: [0..*]

Definition: Cash dividend amount per equity after deductions or allowances have been made.

NetDividendRate <NetDvddRate> contains one of the following elements (see "NetDividendRateFormat42Choice" on page 987 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Amount <Amt>	[1..1]	Amount	C1	988
Or	AmountAndRateStatus <AmtAndRateSts>	[1..1]			988
	Amount <Amt>	[1..1]	Amount	C1	989
	RateStatus <RateSts>	[1..1]	CodeSet		989
Or}	RateTypeAndAmountAndRateStatus <RateTpAndAmtAndRateSts>	[1..1]			989
	RateType <RateTp>	[1..1]			989
{Or	Code <Cd>	[1..1]	CodeSet		990
Or}	Proprietary <Prtry>	[1..1]	±		990
	Amount <Amt>	[1..1]	Amount	C1	991
	RateStatus <RateSts>	[0..1]		C58	991
{Or	Code <Cd>	[1..1]	CodeSet		991
Or}	Proprietary <Prtry>	[1..1]	±		992

8.4.11.12.14.9 ApplicableRate <AplblRate>

Presence: [0..1]

Definition: Rate applicable to the event announced, for example, redemption rate for a redemption event.

Datatype: "PercentageRate" on page 1172

8.4.11.12.14.10 SolicitationFeeRate <SlctnFeeRate>

Presence: [0..1]

Definition: Rate of the cash premium made available if the securities holder consents or participates to an event, for example consent fees or solicitation fee.

SolicitationFeeRate <SlctnFeeRate> contains one of the following elements (see "SolicitationFeeRateFormat9Choice" on page 1027 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1027
Or	AmountToQuantity <AmtToQty>	[1..1]			1027
	Amount <Amt>	[1..1]	Amount	C1	1027
	Quantity <Qty>	[1..1]	Quantity		1028
Or}	Amount <Amt>	[1..1]	Amount	C1	1028

8.4.11.12.14.11 TaxCreditRate <TaxCdtRate>*Presence:* [0..1]*Definition:* Amount of money per equity allocated as the result of a tax credit.**TaxCreditRate <TaxCdtRate>** contains one of the following elements (see ["RateFormat23Choice"](#) on page 1001 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1002
Or}	Amount <Amt>	[1..1]	Amount	C1	1002

8.4.11.12.14.12 WithholdingTaxRate <WhldgTaxRate>*Presence:* [0..*]*Definition:* Percentage of a cash distribution that will be withheld by the tax authorities of the jurisdiction of the issuer, for which a relief at source and/or reclaim may be possible.**WithholdingTaxRate <WhldgTaxRate>** contains one of the following elements (see ["RateAndAmountFormat45Choice"](#) on page 1036 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1036
Or	Amount <Amt>	[1..1]	Amount	C1	1036
Or}	RateTypeAndRate <RateTpAndRate>	[1..1]			1037
	RateType <RateTp>	[1..1]			1037
{Or	Code <Cd>	[1..1]	CodeSet		1037
Or}	Proprietary <Prtry>	[1..1]	±		1038
	Rate <Rate>	[1..1]	Rate		1038

8.4.11.12.14.13 SecondLevelTax <ScndLvlTax>*Presence:* [0..*]*Definition:* Rate at which the income will be withheld by a jurisdiction other than the jurisdiction of the issuer's country of tax incorporation, for which a relief at source and/or reclaim may be possible. It is levied in complement or offset of the withholding tax rate (TAXR) levied by the jurisdiction of the issuer's tax domicile.

SecondLevelTax <ScndLvITax> contains one of the following elements (see "RateAndAmountFormat45Choice" on page 1036 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1036
Or	Amount <Amt>	[1..1]	Amount	C1	1036
Or}	RateTypeAndRate <RateTpAndRate>	[1..1]			1037
	RateType <RateTp>	[1..1]			1037
{Or	Code <Cd>	[1..1]	CodeSet		1037
Or}	Proprietary <Prtry>	[1..1]	±		1038
	Rate <Rate>	[1..1]	Rate		1038

8.4.11.12.14.14 TaxOnIncome <TaxOnIncm>

Presence: [0..1]

Definition: Taxation applied on an amount clearly identified as an income.

TaxOnIncome <TaxOnIncm> contains one of the following elements (see "RateAndAmountFormat43Choice" on page 1039 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1040
Or}	Amount <Amt>	[1..1]	Amount	C1	1040

8.4.11.12.14.15 TaxOnProfits <TaxOnPrfts>

Presence: [0..1]

Definition: Taxation applied on an amount clearly identified as capital profits, capital gains.

Datatype: "PercentageRate" on page 1172

8.4.11.12.14.16 TaxReclaimRate <TaxRclmRate>

Presence: [0..1]

Definition: Percentage of cash that was paid in excess of actual tax obligation and was reclaimed.

Datatype: "PercentageRate" on page 1172

8.4.11.12.14.17 EqualisationRate <EqulstnRate>

Presence: [0..1]

Definition: Portion of the fund distribution which represents the average accrued income included in the purchase price for units bought during the account period.

Impacted by: C1 "ActiveCurrency"

Datatype: "RestrictedFINActiveCurrencyAnd13DecimalAmount" on page 1086

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

8.4.11.12.14.18 DeemedRate <DmdRate>

Presence: [0..*]

Definition: Rate applied for the calculation of deemed proceeds which are not paid to security holders but on which withholding tax is applicable.

DeemedRate <DmdRate> contains one of the following elements (see "RateAndAmountFormat54Choice" on page 992 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		993
Or	Amount <Amt>	[1..1]	Amount	C1	993
Or	RateTypeAndAmountAndRateStatus <RateTpAndAmtAndRateSts>	[1..1]			993
	RateType <RateTp>	[1..1]			993
{Or	Code <Cd>	[1..1]	CodeSet		994
Or}	Proprietary <Prtry>	[1..1]	±		994
	Amount <Amt>	[1..1]	Amount	C1	994
	RateStatus <RateSts>	[0..1]		C58	995
{Or	Code <Cd>	[1..1]	CodeSet		995
Or}	Proprietary <Prtry>	[1..1]	±		995
Or}	RateTypeAndRate <RateTpAndRate>	[1..1]			995
	RateType <RateTp>	[1..1]			996
{Or	Code <Cd>	[1..1]	CodeSet		996
Or}	Proprietary <Prtry>	[1..1]	±		996
	Rate <Rate>	[1..1]	Rate		997

8.4.11.12.15 PriceDetails <PricDtls>

Presence: [0..1]

Definition: Provides information about the prices related to a corporate action option.

Impacted by: C21 "GenericCashPricePerProductGuideline"

PriceDetails <PricDtls> contains the following **PriceDetails30** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	GenericCashPricePaidPerProduct <GncCshPricPdPerPdct>	[0..1]	±		562
	GenericCashPriceReceivedPerProduct <GncCshPricRcvdPerPdct>	[0..1]	±		562

Constraints

- **GenericCashPricePerProductGuideline**

GenericCashPriceReceivedPerProduct and GenericCashPricePaidPerProduct are prices received or paid in the course of an event. The specific meaning (redemption price, subscription price, reinvestment price, ETC.) is derived from the event type.

8.4.11.12.15.1 GenericCashPricePaidPerProduct <GncCshPricPdPerPdct>

Presence: [0..1]

Definition: Generic cash price paid per product by the underlying security holder either as a percentage or an amount or a number of points above an index, for example, reinvestment price, strike price and exercise price.

GenericCashPricePaidPerProduct <GncCshPricPdPerPdct> contains one of the following elements (see "PriceFormat55Choice" on page 962 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	PercentagePrice <PctgPric>	[1..1]			963
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		963
	PriceValue <PricVal>	[1..1]	Rate		963
Or	AmountPrice <AmtPric>	[1..1]	±		963
Or}	IndexPoints <IndxPts>	[1..1]	Quantity		964

8.4.11.12.15.2 GenericCashPriceReceivedPerProduct <GncCshPricRcvdPerPdct>

Presence: [0..1]

Definition: Generic cash price received per product by the underlying security holder either as a percentage or an amount, for example, redemption price.

GenericCashPriceReceivedPerProduct <GncCshPricRcvdPerPdct> contains one of the following elements (see "PriceFormat68Choice" on page 947 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	PercentagePrice <PctgPric>	[1..1]			947
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		948
	PriceValue <PricVal>	[1..1]	Rate		948
Or	AmountPrice <AmtPric>	[1..1]	±		948
Or	AmountPricePerFinancialInstrumentQuantity <AmtPricPerFinInstrmQty>	[1..1]			948
	AmountPriceType <AmtPricTp>	[1..1]	CodeSet		949
	PriceValue <PricVal>	[1..1]	Amount	C1	949
	FinancialInstrumentQuantity <FinInstrmQty>	[1..1]	±	C19	949
Or	AmountPricePerAmount <AmtPricPerAmt>	[1..1]			950
	AmountPriceType <AmtPricTp>	[1..1]	CodeSet		950
	PriceValue <PricVal>	[1..1]	Amount	C1	950
	Amount <Amt>	[1..1]	Amount	C1	951
Or}	IndexPoints <IndxPts>	[1..1]	Quantity		951

8.4.12 AdditionalInformation <AddtlInf>

Presence: [0..1]

Definition: Provides additional information.

AdditionalInformation <AddtlInf> contains the following **CorporateActionNarrative35** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AdditionalText <AddtlTxt>	[0..*]	Text		563
	NarrativeVersion <NrrtvVrsn>	[0..*]	Text		563
	PartyContactNarrative <PtyCtctNrrtv>	[0..*]	Text		564
	TaxationConditions <TaxtnConds>	[0..*]	Text		564

8.4.12.1 AdditionalText <AddtlTxt>

Presence: [0..*]

Definition: Provides additional information or specifies in more detail the content of a message. This field may only be used when the information to be transmitted, cannot be coded.

Datatype: "RestrictedFINXMax350Text" on page 1179

8.4.12.2 NarrativeVersion <NrrtvVrsn>

Presence: [0..*]

Definition: Provides information that can be ignored for automated processing; - reiteration of information that has been included within structured fields, - or narrative information not needed for automatic processing.

Datatype: "RestrictedFINXMax350Text" on page 1179

8.4.12.3 PartyContactNarrative <PtyCtctNrrtv>

Presence: [0..*]

Definition: Provides additional information regarding the party, for example, the contact unit or person responsible for the transaction identified in the message.

Datatype: "RestrictedFINXMax350Text" on page 1179

8.4.12.4 TaxationConditions <TaxtnConds>

Presence: [0..*]

Definition: Provides taxation conditions that cannot be included within the structured fields of this message and has not been mentioned in the Service Level Agreement (SLA).

Datatype: "RestrictedFINXMax350Text" on page 1179

8.4.13 IssuerAgent <IssrAgt>

Presence: [0..*]

Definition: Party appointed to administer the event on behalf of the issuer company/offoror. The party may be contacted for more information about the event.

IssuerAgent <IssrAgt> contains one of the following elements (see "PartyIdentification137Choice" on page 929 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	929
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		929
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		930

8.4.14 PayingAgent <PngAgt>

Presence: [0..*]

Definition: Agent (principal or fiscal paying agent) appointed to execute the payment for the corporate action event on behalf of the issuer company/offoror.

PayingAgent <PngAgt> contains one of the following elements (see "PartyIdentification137Choice" on page 929 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	929
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		929
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		930

8.4.15 SubPayingAgent <SubPngAgt>

Presence: [0..*]

Definition: Sub-agent appointed to execute the payment for the corporate action event on behalf of the issuer company/offeror.

SubPayingAgent <SubPngAgt> contains one of the following elements (see "PartyIdentification137Choice" on page 929 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	929
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		929
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		930

8.4.16 SupplementaryData <SplmtryData>

Presence: [0..*]

Definition: Additional information that can not be captured in the structured fields and/or any other specific block.

Impacted by: C68 "SupplementaryDataRule"

SupplementaryData <SplmtryData> contains the following elements (see "SupplementaryData1" on page 916 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PlaceAndName <PlcAndNm>	[0..1]	Text		916
	Envelope <Envlp>	[1..1]	(External Schema)		916

Constraints

- **SupplementaryDataRule**

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

9 **seev.037.002.13**

CorporateActionMovementReversalAdvice002V13

9.1 **MessageDefinition Functionality**

Scope

The CorporateActionMovementReversalAdvice message is sent by an account servicer to an account owner or its designated agent to reverse a previously confirmed posting of securities or cash.

Usage

The message may also be used to:

- re-send a message previously sent (the sub-function of the message is Duplicate),
- provide a third party with a copy of a message for information (the sub-function of the message is Copy),
- re-send to a third party a copy of a message for information (the sub-function of the message is Copy Duplicate), using the relevant elements in the business application header (BAH).

Outline

The CorporateActionMovementReversalAdvice002V13 MessageDefinition is composed of 14 MessageBuildingBlocks:

- MovementConfirmationIdentification**
Identification of a previously sent movement confirmation document.
- OtherDocumentIdentification**
Identification of other documents as well as the document number.
- EventsLinkage**
Identification of another corporate action event that needs to be closely linked to the processing of the event notified in this document.
- ReversalReason**
Reason for the reversal.
- TransactionIdentification**
Transaction type and identification information.
- CorporateActionGeneralInformation**
General information about the corporate action event.
- AccountDetails**
General information about the safekeeping account, owner and account balance.
- CorporateActionDetails**

Information about the corporate action event.

I. CorporateActionConfirmationDetails

Information about the corporate action option.

J. AdditionalInformation

Provides additional information.

K. IssuerAgent

Party appointed to administer the event on behalf of the issuer company/offeror. The party may be contacted for more information about the event.

L. PayingAgent

Agent (principal or fiscal paying agent) appointed to execute the payment for the corporate action event on behalf of the issuer company/offeror.

M. SubPayingAgent

Sub-agent appointed to execute the payment for the corporate action event on behalf of the issuer company/offeror.

N. SupplementaryData

Additional information that can not be captured in the structured fields and/or any other specific block.

9.2 Structure

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	Message root <Document> <CorpActnMvmntRvslAdv>	[1..1]		C2, C11, C16, C14	
	MovementConfirmationIdentification <MvmntConflId>	[1..1]	±		572
	OtherDocumentIdentification <OthrDocId>	[0..*]	±		572
	EventsLinkage <EvtsLkg>	[0..*]	±		573
	ReversalReason <RvslRsn>	[0..1]	±	C3	573
	TransactionIdentification <TxId>	[0..1]			574
	MarketInfrastructureTransactionIdentification <MktInfrstrctrTxId>	[1..1]	Text		574
	CorporateActionGeneralInformation <CorpActnGnlInf>	[1..1]		C15	574
	CorporateActionEventIdentification <CorpActnEvtId>	[1..1]	Text		575
	OfficialCorporateActionEventIdentification <OffclCorpActnEvtId>	[0..1]	Text		575
	ClassActionNumber <ClsActnNb>	[0..1]	Text		575
	EventType <EvtTp>	[1..1]	±	C9	575
	FinancialInstrumentIdentification <FinInstrmId>	[1..1]	±	C6, C7, C12, C13, C17	576
	FractionalQuantity <FrctnlQty>	[0..1]	±	C8	577
	AccountDetails <AcctDtls>	[1..1]		C18, C19, C20	577
	SafekeepingAccount <SfkpgAcct>	[0..1]	Text		578
	BlockChainAddressOrWallet <BlckChainAdrOrWlt>	[0..1]	Text		578
	ConfirmedBalance <ConfdBal>	[1..1]	±		578
	CorporateActionDetails <CorpActnDtls>	[0..1]			578
	DateDetails <DtDtls>	[0..1]			579
	RecordDate <RcrdDt>	[0..1]	±		579
	ExDividendDate <ExDvddDt>	[0..1]	±		579
	EventStage <EvtStag>	[0..1]	±		580
	AdditionalBusinessProcessIndicator <AddtlBizPrclnd>	[0..1]	±		580
	IntermediateSecuritiesDistributionType <IntrmdtScitiesDstrbtnTp>	[0..1]	±		580

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	LotteryType <LtryTp>	[0..1]	±		581
	CorporateActionConfirmationDetails <CorpActnConfDtls>	[1..1]			581
	OptionNumber <OptnNb>	[1..1]	±		582
	OptionType <OptnTp>	[1..1]	±		582
	SecuritiesMovementDetails <SctiesMvmntDtls>	[0..*]			583
	FinancialInstrumentIdentification <FinInstrmId>	[1..1]	±	C6, C7, C12, C13, C17	583
	CreditDebitIndicator <CdtDbtInd>	[1..1]	CodeSet		584
	PostingQuantity <PstngQty>	[1..1]	±		584
	PostingDate <PstngDt>	[1..1]	±		585
	OriginalPostingDate <OrgnlPstngDt>	[0..1]	±		585
	CashMovementDetails <CshMvmntDtls>	[0..*]			585
	CreditDebitIndicator <CdtDbtInd>	[1..1]	CodeSet		586
	Account <Acct>	[0..1]			586
{Or	CashAccount <CshAcct>	[1..1]	±		586
Or	ChargesAccount <ChrgsAcct>	[1..1]	±		587
Or}	TaxAccount <TaxAcct>	[1..1]	±		587
	PostingDate <PstngDt>	[1..1]	±		587
	OriginalPostingDate <OrgnlPstngDt>	[0..1]	±		587
	ValueDate <ValDt>	[0..1]	±		588
	PostingAmount <PstngAmt>	[1..1]	Amount	C1, C5	588
	AdditionalInformation <AddtlInf>	[0..1]			588
	AdditionalText <AddtlTxt>	[0..*]	Text		589
	NarrativeVersion <NrrtvVrsn>	[0..*]	Text		589
	PartyContactNarrative <PtyCtctNrrtv>	[0..*]	Text		589
	TaxationConditions <TaxtnConds>	[0..*]	Text		589
	IssuerAgent <IssrAgt>	[0..*]	±		589
	PayingAgent <PngAgt>	[0..*]	±		590
	SubPayingAgent <SubPngAgt>	[0..*]	±		590
	SupplementaryData <SplmtryData>	[0..*]	±	C22	590

9.3 Constraints

C1 **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

C2 **AdditionalInformationRule**

All elements in the AdditionalInformation sequence must not contain information that can be provided in a structured field unless bilaterally agreed or advised differently in the element definition as in AdditionalInformation/NarrativeVersion.

This constraint is defined at the MessageDefinition level.

C3 **AdditionalReasonInformationRule**

The AdditionalReasonInformation element must not contain information that can be provided in a structured field unless bilaterally agreed.

C4 **AnyBIC**

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

C5 **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

C6 **DescriptionPresenceRule**

If Description is not present then either ISIN or at least one occurrence of OtherIdentification must be present.

C7 **DescriptionUsageRule**

Description must be used alone as the last resort.

C8 **DigitalTokenUnitUsageRule**

DigitalTokenUnit format may only be used for instruments identified with a digital token identifier and when the related account identification is provided as a blockchain address or wallet identification (using BlockChainAddressOrWallet element as the account identification).

C9 **EventTypeRule**

If Code is present, the code OTHR (Other) must only be used in case no other corporate action event code is appropriate.

If Code is present, the code CHAN (Change) must only be used in case no other corporate action event code is appropriate and only for an event which relates to a change.

If Code is present, the code REDM (Final Maturity) must only be used when the redemption is decided by the issuer and not by the securities holders.

C10 IBAN

A valid IBAN consists of all three of the following components: Country Code, check digits and BBAN.

C11 IntermediateSecuritiesDistribution1Rule

If CorporateActionGeneralInformation/EventType/Code is RHD1 (IntermediateSecuritiesDistribution), then CorporateActionDetails/

IntermediateSecuritiesDistributionType must be present.

(MT 566 NVR C5).

On Condition

/CorporateActionGeneralInformation/EventType/Code is equal to value 'IntermediateSecuritiesDistribution'

Following Must be True

/CorporateActionDetails Must be present

And /CorporateActionDetails/IntermediateSecuritiesDistributionType Must be present

This constraint is defined at the MessageDefinition level.

C12 ISINGuideline

When a ISIN code exist. It is strongly recommended that the ISIN be used.

C13 ISINPresenceRule

If ISIN is not present then either Description or at least one occurrence of OtherIdentification must be present.

C14 IssuerAgentGuideline

IssuerAgent must be used only in the communication between a CSD and local custodians.

This constraint is defined at the MessageDefinition level.

C15 OfficialCorporateActionEventReference

The Securities Market Practice Group (SMPG) has published market practice recommendations on the structure and usage of the Official Corporate Action Event Reference (COAF). Those recommendations are

available in the SMPG Global Market Practices Part 1 document on www.smpg.info.

C16 OptionNumberRule

The CorporateActionConfirmationDetails/OptionNumber value provided must be the same as the one provided in the related CorporateActionOptionDetails/OptionNumber element of the CorporateActionNotification or CorporateActionMovementPreliminaryAdvice messages previously sent.

This constraint is defined at the MessageDefinition level.

C17 OtherIdentificationPresenceRule

If OtherIdentification is not present then either ISIN or Description must be present.

C18 SafekeepingAccountOrBlockChainAddress1Rule

Either SafekeepingAccount or BlockChainAddressOrWallet must be present but not both.

C19 SafekeepingAccountOrBlockChainAddress2Rule

If BlockChainAddressOrWallet is present then SafekeepingAccount must be absent.

C20 SafekeepingAccountOrBlockChainAddress3Rule

If SafekeepingAccount is present, then BlockChainAddressOrWallet must be absent.

C21 ShortLongNumberRule

ShortNumber must contain the FIN message type number of the linked message. LongNumber must contain the XML message identifier of the linked message.

C22 SupplementaryDataRule

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

C23 WithLinkageRule

If Code WITH is used, then the one or more instruction which are linked become bound and which must be executed together. Even if one single transactions/instructions/notifications can not be executed, then all the other transactions/instructions/notifications must also be kept pending. Therefore the use of code WITH must be limited to combine up to 2 or 3 transactions/instructions/notifications.

9.4 Message Building Blocks

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

9.4.1 MovementConfirmationIdentification <MvmntConfld>

Presence: [1..1]

Definition: Identification of a previously sent movement confirmation document.

MovementConfirmationIdentification <MvmntConfld> contains the following elements (see "DocumentIdentification37" on page 736 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		737
	LinkageType <LkgTp>	[0..1]	±	C78	737

9.4.2 OtherDocumentIdentification <OthrDocld>

Presence: [0..*]

Definition: Identification of other documents as well as the document number.

OtherDocumentIdentification <OthrDocId> contains the following elements (see "DocumentIdentification38" on page 739 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]			739
{Or	AccountServicerDocumentIdentification <AcctSvcrDocId>	[1..1]	Text		740
Or}	AccountOwnerDocumentIdentification <AcctOwnrDocId>	[1..1]	Text		740
	DocumentNumber <DocNb>	[0..1]	±	C6	740
	LinkageType <LkgTp>	[0..1]	±	C78	740

9.4.3 EventsLinkage <EvtsLkg>

Presence: [0..*]

Definition: Identification of another corporate action event that needs to be closely linked to the processing of the event notified in this document.

EventsLinkage <EvtsLkg> contains the following elements (see "CorporateActionEventReference4" on page 910 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	EventIdentification <EvtId>	[1..1]			910
{Or	LinkedOfficialCorporateActionEventIdentification <LkdOffclCorpActnEvtId>	[1..1]	Text		910
Or}	LinkedCorporateActionIdentification <LkdCorpActnId>	[1..1]	Text		910
	LinkageType <LkgTp>	[0..1]	±	C78	910

9.4.4 ReversalReason <RvslRsn>

Presence: [0..1]

Definition: Reason for the reversal.

Impacted by: C3 "AdditionalReasonInformationRule"

ReversalReason <RvslRsn> contains the following elements (see "CorporateActionReversalReason4" on page 858 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reason <Rsn>	[1..1]	±		858
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		859

Constraints

- **AdditionalReasonInformationRule**

The AdditionalReasonInformation element must not contain information that can be provided in a structured field unless bilaterally agreed.

9.4.5 TransactionIdentification <TxId>

Presence: [0..1]

Definition: Transaction type and identification information.

TransactionIdentification <TxId> contains the following **TransactionIdentification1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	MarketInfrastructureTransactionIdentification <MktInfrstrctrTxId>	[1..1]	Text		574

9.4.5.1 MarketInfrastructureTransactionIdentification <MktInfrstrctrTxId>

Presence: [1..1]

Definition: Identification of a transaction assigned by a market infrastructure other than a central securities depository, for example, Target2-Securities.

Datatype: "RestrictedFINMax35Text" on page 1176

9.4.6 CorporateActionGeneralInformation <CorpActnGnInf>

Presence: [1..1]

Definition: General information about the corporate action event.

Impacted by: C15 "OfficialCorporateActionEventReference"

CorporateActionGeneralInformation <CorpActnGnInf> contains the following **CorporateActionGeneralInformation167** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CorporateActionEventIdentification <CorpActnEvtId>	[1..1]	Text		575
	OfficialCorporateActionEventIdentification <OffclCorpActnEvtId>	[0..1]	Text		575
	ClassActionNumber <ClssActnNb>	[0..1]	Text		575
	EventType <EvtTp>	[1..1]	±	C9	575
	FinancialInstrumentIdentification <FinInstrmId>	[1..1]	±	C6, C7, C12, C13, C17	576
	FractionalQuantity <FrctnQty>	[0..1]	±	C8	577

Constraints

- **OfficialCorporateActionEventReference**

The Securities Market Practice Group (SMPG) has published market practice recommendations on the structure and usage of the Official Corporate Action Event Reference (COAF). Those recommendations are

available in the SMPG Global Market Practices Part 1 document on www.smpg.info.

9.4.6.1 CorporateActionEventIdentification <CorpActnEvtId>

Presence: [1..1]

Definition: Reference assigned by the account servicer to unambiguously identify a corporate action event.

Datatype: "RestrictedFINXMax16Text" on page 1177

9.4.6.2 OfficialCorporateActionEventIdentification <OffclCorpActnEvtId>

Presence: [0..1]

Definition: Official and unique reference assigned by the official central body/entity within each market at the beginning of a corporate action event.

Datatype: "RestrictedFINXMax16Text" on page 1177

9.4.6.3 ClassActionNumber <ClsActnNb>

Presence: [0..1]

Definition: Reference assigned by a court to a class action.

Datatype: "RestrictedFINXMax16Text" on page 1177

9.4.6.4 EventType <EvtTp>

Presence: [1..1]

Definition: Type of corporate action event.

Impacted by: C9 "EventTypeRule"

EventType <EvtTp> contains one of the following elements (see "CorporateActionEventType96Choice" on page 821 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		821
Or}	Proprietary <Prtry>	[1..1]	±		827

Constraints

- **EventTypeRule**

If Code is present, the code OTHR (Other) must only be used in case no other corporate action event code is appropriate.

If Code is present, the code CHAN (Change) must only be used in case no other corporate action event code is appropriate and only for an event which relates to a change.

If Code is present, the code REDM (Final Maturity) must only be used when the redemption is decided by the issuer and not by the securities holders.

9.4.6.5 FinancialInstrumentIdentification <FinInstrmId>

Presence: [1..1]

Definition: Identification of the security concerned by the corporate action.

Impacted by: C6 "DescriptionPresenceRule", C7 "DescriptionUsageRule", C12 "ISINGuideline", C13 "ISINPresenceRule", C17 "OtherIdentificationPresenceRule"

FinancialInstrumentIdentification <FinInstrmId> contains the following elements (see "SecurityIdentification20" on page 748 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ISIN </ISIN>	[0..1]	IdentifierSet		749
	OtherIdentification <OthrId>	[0..*]			749
	Identification </Id>	[1..1]	Text		750
	Suffix <Sfx>	[0..1]	Text		750
	Type <Tp>	[1..1]	±		750
	Description <Desc>	[0..1]	Text		750

Constraints

- **DescriptionPresenceRule**

If Description is not present then either ISIN or at least one occurrence of OtherIdentification must be present.

```
On Condition
  /Description is absent
Following Must be True
  /ISIN Must be present
Or    /OtherIdentification[*] Must be present
```

- **DescriptionUsageRule**

Description must be used alone as the last resort.

- **ISINGuideline**

When a ISIN code exist. It is strongly recommended that the ISIN be used.

- **ISINPresenceRule**

If ISIN is not present then either Description or at least one occurrence of OtherIdentification must be present.

```
On Condition
  /ISIN is absent
Following Must be True
  /OtherIdentification[*] Must be present
Or    /Description Must be present
```

- **OtherIdentificationPresenceRule**

If OtherIdentification is not present then either ISIN or Description must be present.

```
On Condition
  /OtherIdentification[*] is absent
Following Must be True
  /ISIN Must be present
Or    /Description Must be present
```

9.4.6.6 FractionalQuantity <FrctnlQty>

Presence: [0..1]

Definition: Fractional quantity resulting from an event which will be paid with cash in lieu.

Impacted by: [C8 "DigitalTokenUnitUsageRule"](#)

FractionalQuantity <FrctnlQty> contains one of the following elements (see ["FinancialInstrumentQuantity36Choice"](#) on page 986 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		986
Or	FaceAmount <FaceAmt>	[1..1]	Amount		986
Or	AmortisedValue <AmtsdVal>	[1..1]	Amount		986
Or}	DigitalTokenUnit <DgtlTknUnit>	[1..1]	Quantity		986

Constraints

- **DigitalTokenUnitUsageRule**

DigitalTokenUnit format may only be used for instruments identified with a digital token identifier and when the related account identification is provided as a blockchain address or wallet identification (using BlockchainAddressOrWallet element as the account identification).

9.4.7 AccountDetails <AcctDtls>

Presence: [1..1]

Definition: General information about the safekeeping account, owner and account balance.

Impacted by: [C18 "SafekeepingAccountOrBlockchainAddress1Rule"](#), [C19 "SafekeepingAccountOrBlockchainAddress2Rule"](#), [C20 "SafekeepingAccountOrBlockchainAddress3Rule"](#)

AccountDetails <AcctDtls> contains the following **AccountAndBalance54** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	SafekeepingAccount <SfkpgAcct>	[0..1]	Text		578
	BlockchainAddressOrWallet <BlckChainAdrOrWlt>	[0..1]	Text		578
	ConfirmedBalance <ConfdBal>	[1..1]	±		578

Constraints

- **SafekeepingAccountOrBlockChainAddress1Rule**

Either SafekeepingAccount or BlockChainAddressOrWallet must be present but not both.

Following Must be True

/SafekeepingAccount Must be present

Or /BlockChainAddressOrWallet Must be present

- **SafekeepingAccountOrBlockChainAddress2Rule**

If BlockChainAddressOrWallet is present then SafekeepingAccount must be absent.

On Condition

/BlockChainAddressOrWallet is present

Following Must be True

/SafekeepingAccount Must be absent

- **SafekeepingAccountOrBlockChainAddress3Rule**

If SafekeepingAccount is present, then BlockChainAddressOrWallet must be absent.

On Condition

/SafekeepingAccount is present

Following Must be True

/BlockChainAddressOrWallet Must be absent

9.4.7.1 SafekeepingAccount <SfkpgAcct>

Presence: [0..1]

Definition: Account where financial instruments are maintained.

Datatype: "RestrictedFINXMax35Text" on page 1180

9.4.7.2 BlockChainAddressOrWallet <BlckChainAdrOrWllet>

Presence: [0..1]

Definition: Blockchain address or wallet where digital assets are maintained. This is the equivalent of safekeeping account for digital assets.

Datatype: "RestrictedFINXMax140Text" on page 1177

9.4.7.3 ConfirmedBalance <ConfdBal>

Presence: [1..1]

Definition: Balance to which the payment applies (less or equal to the total eligible balance).

ConfirmedBalance <ConfdBal> contains one of the following elements (see "BalanceFormat14Choice" on page 716 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Balance <Bal>	[1..1]	±		716
Or	EligibleBalance <ElgblBal>	[1..1]	±		716
Or}	NotEligibleBalance <NotElgblBal>	[1..1]	±		716

9.4.8 CorporateActionDetails <CorpActnDtls>

Presence: [0..1]

Definition: Information about the corporate action event.

CorporateActionDetails <CorpActnDtIs> contains the following **CorporateAction66** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	DateDetails <DtDtIs>	[0..1]			579
	RecordDate <RcrdDt>	[0..1]	±		579
	ExDividendDate <ExDvddDt>	[0..1]	±		579
	EventStage <EvtStag>	[0..1]	±		580
	AdditionalBusinessProcessIndicator <AddtlBizPrclnd>	[0..1]	±		580
	IntermediateSecuritiesDistributionType <IntrmdtSciesDstrbtp>	[0..1]	±		580
	LotteryType <LtryTp>	[0..1]	±		581

9.4.8.1 DateDetails <DtDtIs>

Presence: [0..1]

Definition: Provides information about the dates related to a corporate action event.

DateDetails <DtDtIs> contains the following **CorporateActionDate66** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	RecordDate <RcrdDt>	[0..1]	±		579
	ExDividendDate <ExDvddDt>	[0..1]	±		579

9.4.8.1.1 RecordDate <RcrdDt>

Presence: [0..1]

Definition: Date/time at which positions are struck at the end of the day to note which parties will receive the relevant amount of entitlement, due to be distributed on payment date.

RecordDate <RcrdDt> contains one of the following elements (see "DateFormat49Choice" on page 729 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		730
Or}	DateCode <DtCd>	[1..1]			730
{Or	Code <Cd>	[1..1]	CodeSet		730
Or}	Proprietary <Prtry>	[1..1]	±		730

9.4.8.1.2 ExDividendDate <ExDvddDt>

Presence: [0..1]

Definition: Date/time as from which trading (including exchange and OTC trading) occurs on the underlying security without the benefit.

ExDividendDate <ExDvddDt> contains one of the following elements (see "DateFormat49Choice" on page 729 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		730
Or}	DateCode <DtCd>	[1..1]			730
{Or	Code <Cd>	[1..1]	CodeSet		730
Or}	Proprietary <Prtry>	[1..1]	±		730

9.4.8.2 EventStage <EvtStag>

Presence: [0..1]

Definition: Stage in the corporate action event life cycle.

EventStage <EvtStag> contains one of the following elements (see "CorporateActionEventStageFormat15Choice" on page 863 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		863
Or}	Proprietary <Prtry>	[1..1]	±		863

9.4.8.3 AdditionalBusinessProcessIndicator <AddtlBizPrclnd>

Presence: [0..1]

Definition: Indicates that the additional business process relates to a claim on the associated corporate action event.

AdditionalBusinessProcessIndicator <AddtlBizPrclnd> contains one of the following elements (see "AdditionalBusinessProcessFormat14Choice" on page 861 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		861
Or}	Proprietary <Prtry>	[1..1]	±		863

9.4.8.4 IntermediateSecuritiesDistributionType <IntrmdtSciesDstrbtp>

Presence: [0..1]

Definition: Type of intermediates securities distribution.

IntermediateSecuritiesDistributionType <IntrmdtSciesDstrbtp> contains one of the following elements (see "IntermediateSecuritiesDistributionTypeFormat18Choice" on page 895 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		895
Or}	Proprietary <Prtry>	[1..1]	±		896

9.4.8.5 LotteryType <LtryTp>*Presence:* [0..1]*Definition:* Specifies the type of lottery announced.**LotteryType <LtryTp>** contains one of the following elements (see "[LotteryTypeFormat5Choice](#)" on page 891 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		892
Or}	Proprietary <Prtry>	[1..1]	±		892

**9.4.9 CorporateActionConfirmationDetails
<CorpActnConfDtls>***Presence:* [1..1]*Definition:* Information about the corporate action option.

CorporateActionConfirmationDetails <CorpActnConfDtls> contains the following
CorporateActionOption207 elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	OptionNumber <OptnNb>	[1..1]	±		582
	OptionType <OptnTp>	[1..1]	±		582
	SecuritiesMovementDetails <SctiesMvmntDtls>	[0..*]			583
	FinancialInstrumentIdentification <FinInstrmId>	[1..1]	±	C6, C7, C12, C13, C17	583
	CreditDebitIndicator <CdtDbtInd>	[1..1]	CodeSet		584
	PostingQuantity <PstngQty>	[1..1]	±		584
	PostingDate <PstngDt>	[1..1]	±		585
	OriginalPostingDate <OrgnlPstngDt>	[0..1]	±		585
	CashMovementDetails <CshMvmntDtls>	[0..*]			585
	CreditDebitIndicator <CdtDbtInd>	[1..1]	CodeSet		586
	Account <Acct>	[0..1]			586
{Or	CashAccount <CshAcct>	[1..1]	±		586
Or	ChargesAccount <ChrgsAcct>	[1..1]	±		587
Or}	TaxAccount <TaxAcct>	[1..1]	±		587
	PostingDate <PstngDt>	[1..1]	±		587
	OriginalPostingDate <OrgnlPstngDt>	[0..1]	±		587
	ValueDate <ValDt>	[0..1]	±		588
	PostingAmount <PstngAmt>	[1..1]	Amount	C1, C5	588

9.4.9.1 OptionNumber <OptnNb>

Presence: [1..1]

Definition: Number identifying the available corporate action options.

OptionNumber <OptnNb> contains one of the following elements (see "[OptionNumber1Choice](#)" on page 916 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Number <Nb>	[1..1]	Text		916
Or}	Code <Cd>	[1..1]	CodeSet		917

9.4.9.2 OptionType <OptnTp>

Presence: [1..1]

Definition: Specifies the corporate action options available to the account owner.

OptionType <OptnTp> contains one of the following elements (see "[CorporateActionOption39Choice](#)" on page 819 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		819
Or}	Proprietary <Prtry>	[1..1]	±		820

9.4.9.3 SecuritiesMovementDetails <SctiesMvmntDtls>

Presence: [0..*]

Definition: Provides information about the securities movement linked to the corporate action option.

SecuritiesMovementDetails <SctiesMvmntDtls> contains the following **SecuritiesOption86** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	FinancialInstrumentIdentification <FinInstrmId>	[1..1]	±	C6, C7, C12, C13, C17	583
	CreditDebitIndicator <CdtDbtInd>	[1..1]	CodeSet		584
	PostingQuantity <PstngQty>	[1..1]	±		584
	PostingDate <PstngDt>	[1..1]	±		585
	OriginalPostingDate <OrgnlPstngDt>	[0..1]	±		585

9.4.9.3.1 FinancialInstrumentIdentification <FinInstrmId>

Presence: [1..1]

Definition: Identification of the financial instrument.

Impacted by: C6 "DescriptionPresenceRule", C7 "DescriptionUsageRule", C12 "ISINGuideline", C13 "ISINPresenceRule", C17 "OtherIdentificationPresenceRule"

FinancialInstrumentIdentification <FinInstrmId> contains the following elements (see "[SecurityIdentification20](#)" on page 748 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ISIN <ISIN>	[0..1]	IdentifierSet		749
	OtherIdentification <OthrId>	[0..*]			749
	Identification <Id>	[1..1]	Text		750
	Suffix <Sfx>	[0..1]	Text		750
	Type <Tp>	[1..1]	±		750
	Description <Desc>	[0..1]	Text		750

Constraints

- **DescriptionPresenceRule**

If Description is not present then either ISIN or at least one occurrence of OtherIdentification must be present.

```
On Condition
    /Description is absent
Following Must be True
    /ISIN Must be present
Or    /OtherIdentification[*] Must be present
```

- **DescriptionUsageRule**

Description must be used alone as the last resort.

- **ISINGuideline**

When a ISIN code exist. It is strongly recommended that the ISIN be used.

- **ISINPresenceRule**

If ISIN is not present then either Description or at least one occurrence of OtherIdentification must be present.

```
On Condition
    /ISIN is absent
Following Must be True
    /OtherIdentification[*] Must be present
Or    /Description Must be present
```

- **OtherIdentificationPresenceRule**

If OtherIdentification is not present then either ISIN or Description must be present.

```
On Condition
    /OtherIdentification[*] is absent
Following Must be True
    /ISIN Must be present
Or    /Description Must be present
```

9.4.9.3.2 CreditDebitIndicator <CdtDbtInd>

Presence: [1..1]

Definition: Specifies whether the value is a debit or credit.

Datatype: "CreditDebitCode" on page 1135

CodeName	Name	Definition
CRDT	Credit	Operation is an increase.
DBIT	Debit	Operation is a decrease.

9.4.9.3.3 PostingQuantity <PstngQty>

Presence: [1..1]

Definition: Quantity of securities that have been posted (credit or debit) to the safekeeping account.

PostingQuantity <PstngQty> contains one of the following elements (see "[Quantity54Choice](#)" on page 981 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Quantity <Qty>	[1..1]	±	C19	981
Or}	OriginalAndCurrentFace <OrgnlAndCurFace>	[1..1]	±		981

9.4.9.3.4 PostingDate <PstngDt>

Presence: [1..1]

Definition: Date of the posting (credit or debit) to the account.

PostingDate <PstngDt> contains one of the following elements (see "[DateAndDateTime2Choice](#)" on page 731 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		731
Or}	DateTime <DtTm>	[1..1]	DateTime		731

9.4.9.3.5 OriginalPostingDate <OrgnlPstngDt>

Presence: [0..1]

Definition: Date/Time of the posting (credit or debit) to the account that was initially communicated in the confirmation.

OriginalPostingDate <OrgnlPstngDt> contains one of the following elements (see "[DateAndDateTime2Choice](#)" on page 731 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		731
Or}	DateTime <DtTm>	[1..1]	DateTime		731

9.4.9.4 CashMovementDetails <CshMvmntDtls>

Presence: [0..*]

Definition: Provides information about the cash movement related to a corporate action option.

CashMovementDetails <CshMvmntDtls> contains the following **CashOption62** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CreditDebitIndicator <CdtDbtInd>	[1..1]	CodeSet		586
	Account <Acct>	[0..1]			586
{Or	CashAccount <CshAcct>	[1..1]	±		586
Or	ChargesAccount <ChrgsAcct>	[1..1]	±		587
Or}	TaxAccount <TaxAcct>	[1..1]	±		587
	PostingDate <PstngDt>	[1..1]	±		587
	OriginalPostingDate <OrgnlPstngDt>	[0..1]	±		587
	ValueDate <ValDt>	[0..1]	±		588
	PostingAmount <PstngAmt>	[1..1]	Amount	C1, C5	588

9.4.9.4.1 CreditDebitIndicator <CdtDbtInd>

Presence: [1..1]

Definition: Indicates whether the value is a debit or credit.

Datatype: "CreditDebitCode" on page 1135

CodeName	Name	Definition
CRDT	Credit	Operation is an increase.
DBIT	Debit	Operation is a decrease.

9.4.9.4.2 Account <Acct>

Presence: [0..1]

Definition: Choice between a cash account, a charges account or a tax account.

Account <Acct> contains one of the following **Account9Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	CashAccount <CshAcct>	[1..1]	±		586
Or	ChargesAccount <ChrgsAcct>	[1..1]	±		587
Or}	TaxAccount <TaxAcct>	[1..1]	±		587

9.4.9.4.2.1 CashAccount <CshAcct>

Presence: [1..1]

Definition: Account in which cash is maintained.

CashAccount <CshAcct> contains one of the following elements (see "[CashAccountIdentification6Choice](#)" on page 712 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	IBAN <IBAN>	[1..1]	IdentifierSet	C33	712
Or}	Proprietary <Prtry>	[1..1]	Text		713

9.4.9.4.2.2 ChargesAccount <ChrgsAcct>

Presence: [1..1]

Definition: Account to be used for charges if different from the account for payment.

ChargesAccount <ChrgsAcct> contains one of the following elements (see "[CashAccountIdentification6Choice](#)" on page 712 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	IBAN <IBAN>	[1..1]	IdentifierSet	C33	712
Or}	Proprietary <Prtry>	[1..1]	Text		713

9.4.9.4.2.3 TaxAccount <TaxAcct>

Presence: [1..1]

Definition: Account to be used for taxes if different from the account for payment.

TaxAccount <TaxAcct> contains one of the following elements (see "[CashAccountIdentification6Choice](#)" on page 712 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	IBAN <IBAN>	[1..1]	IdentifierSet	C33	712
Or}	Proprietary <Prtry>	[1..1]	Text		713

9.4.9.4.3 PostingDate <PstngDt>

Presence: [1..1]

Definition: Date/Time of the posting (credit or debit) to the account.

PostingDate <PstngDt> contains one of the following elements (see "[DateAndDateTime2Choice](#)" on page 731 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		731
Or}	DateTime <DtTm>	[1..1]	DateTime		731

9.4.9.4.4 OriginalPostingDate <OrgnlPstngDt>

Presence: [0..1]

Definition: Date/Time of the posting (credit or debit) to the account that was initially communicated in the confirmation.

OriginalPostingDate <OrgnPstngDt> contains one of the following elements (see "[DateAndDateTime2Choice](#)" on page 731 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		731
Or}	DateTime <DtTm>	[1..1]	DateTime		731

9.4.9.4.5 ValueDate <ValDt>

Presence: [0..1]

Definition: Date/time when calculating economic benefit for a cash amount.

ValueDate <ValDt> contains one of the following elements (see "[DateAndDateTime2Choice](#)" on page 731 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		731
Or}	DateTime <DtTm>	[1..1]	DateTime		731

9.4.9.4.6 PostingAmount <PstngAmt>

Presence: [1..1]

Definition: Amount of money that is to be/was posted to the account.

Impacted by: [C1 "ActiveCurrency"](#), [C5 "CurrencyAmount"](#)

Datatype: "[RestrictedFINActiveCurrencyAndAmount](#)" on page 1086

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

9.4.10 AdditionalInformation <AddtlInf>

Presence: [0..1]

Definition: Provides additional information.

AdditionalInformation <AddtlInf> contains the following **CorporateActionNarrative35** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AdditionalText <AddtlTxt>	[0..*]	Text		589
	NarrativeVersion <NrrtvVrsn>	[0..*]	Text		589
	PartyContactNarrative <PtyCtctNrrtv>	[0..*]	Text		589
	TaxationConditions <TaxtnConds>	[0..*]	Text		589

9.4.10.1 AdditionalText <AddtlTxt>

Presence: [0..*]

Definition: Provides additional information or specifies in more detail the content of a message. This field may only be used when the information to be transmitted, cannot be coded.

Datatype: "RestrictedFINXMax350Text" on page 1179

9.4.10.2 NarrativeVersion <NrrtvVrsn>

Presence: [0..*]

Definition: Provides information that can be ignored for automated processing; - reiteration of information that has been included within structured fields, - or narrative information not needed for automatic processing.

Datatype: "RestrictedFINXMax350Text" on page 1179

9.4.10.3 PartyContactNarrative <PtyCtctNrrtv>

Presence: [0..*]

Definition: Provides additional information regarding the party, for example, the contact unit or person responsible for the transaction identified in the message.

Datatype: "RestrictedFINXMax350Text" on page 1179

9.4.10.4 TaxationConditions <TaxtnConds>

Presence: [0..*]

Definition: Provides taxation conditions that cannot be included within the structured fields of this message and has not been mentioned in the Service Level Agreement (SLA).

Datatype: "RestrictedFINXMax350Text" on page 1179

9.4.11 IssuerAgent <IssrAgt>

Presence: [0..*]

Definition: Party appointed to administer the event on behalf of the issuer company/offeree. The party may be contacted for more information about the event.

IssuerAgent <IssrAgt> contains one of the following elements (see "PartyIdentification137Choice" on page 929 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	929
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		929
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		930

9.4.12 PayingAgent <PngAgt>

Presence: [0..*]

Definition: Agent (principal or fiscal paying agent) appointed to execute the payment for the corporate action event on behalf of the issuer company/offoror.

PayingAgent <PngAgt> contains one of the following elements (see "PartyIdentification137Choice" on page 929 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	929
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		929
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		930

9.4.13 SubPayingAgent <SubPngAgt>

Presence: [0..*]

Definition: Sub-agent appointed to execute the payment for the corporate action event on behalf of the issuer company/offoror.

SubPayingAgent <SubPngAgt> contains one of the following elements (see "PartyIdentification137Choice" on page 929 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	929
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		929
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		930

9.4.14 SupplementaryData <SplmtryData>

Presence: [0..*]

Definition: Additional information that can not be captured in the structured fields and/or any other specific block.

Impacted by: C22 "SupplementaryDataRule"

SupplementaryData <SplmtryData> contains the following elements (see "[SupplementaryData1](#)" on page 916 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PlaceAndName <PlcAndNm>	[0..1]	Text		916
	Envelope <Envlp>	[1..1]	(External Schema)		916

Constraints

- **SupplementaryDataRule**

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

10 **seev.038.002.07**

CorporateActionNarrative002V07

10.1 **MessageDefinition Functionality**

Scope

The CorporateActionNarrative message is sent between an account servicer and an account owner or its designated agent to cater for such processes that cannot be handled using messages such as the CorporateActionNotification. It is not to be used for event narrative as that should be included in the CorporateActionNotification. Examples of when the CorporateActionNarrative message may be used include tax reclaims, restrictions, documentation requirements. This message should only be used when bilaterally agreed. This message is bi-directional.

Usage

The message may also be used to:

- re-send a message previously sent (the sub-function of the message is Duplicate),
- provide a third party with a copy of a message for information (the sub-function of the message is Copy),
- re-send to a third party a copy of a message for information (the sub-function of the message is Copy Duplicate), using the relevant elements in the business application header (BAH).

Outline

The CorporateActionNarrative002V07 MessageDefinition is composed of 5 MessageBuildingBlocks:

A. AccountDetails

General information about the safekeeping account and the account owner.

B. UnderlyingSecurity

Provides information about the securitised right for entitlement.

C. CorporateActionGeneralInformation

General information about the corporate action event.

D. AdditionalInformation

Provides additional information.

E. SupplementaryData

Additional information that can not be captured in the structured fields and/or any other specific block.

10.2 Structure

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	Message root <Document> <CorpActnNrrtv>	[1..1]			
	AccountDetails <AcctDtls>	[0..1]			595
{Or	ForAllAccounts <ForAllAccts>	[1..1]	±		595
Or}	AccountsListAndBalanceDetails <AcctsListAndBalDtls>	[1..*]		C11, C12, C13, C14	595
	SafekeepingAccount <SfkpgAcct>	[0..1]	Text		596
	BlockchainAddressOrWallet <BlckChainAdrOrWlIt>	[0..1]	Text		596
	AccountOwner <AcctOwnr>	[0..1]	±		597
	SafekeepingPlace <SfkpgPlc>	[0..1]	±		597
	ConfirmedBalance <ConfdBal>	[1..1]	±		597
	UnderlyingSecurity <UndrlygScty>	[0..1]	±	C4, C5, C7, C8, C10	597
	CorporateActionGeneralInformation <CorpActnGnlInf>	[1..1]		C9	599
	CorporateActionEventIdentification <CorpActnEvtId>	[1..1]	Text		599
	OfficialCorporateActionEventIdentification <OffclCorpActnEvtId>	[0..1]	Text		599
	NarrativeType <NrrtvTp>	[0..1]			599
{Or	Code <Cd>	[1..1]	CodeSet		600
Or}	Proprietary <Prtry>	[1..1]	±		600
	AdditionalInformation <AddtlInf>	[1..1]	±	C1	601
	SupplementaryData <SplmtryData>	[0..*]	±	C15	601

10.3 Constraints

C1 AdditionalInformationRule

The AdditionalInformation element must not contain information that can be provided in a structured field unless bilaterally agreed.

C2 AnyBIC

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

C3 Country

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

C4 DescriptionPresenceRule

If Description is not present then either ISIN or at least one occurrence of OtherIdentification must be present.

C5 DescriptionUsageRule

Description must be used alone as the last resort.

C6 DigitalTokenUnitUsageRule

DigitalTokenUnit format may only be used for instruments identified with a digital token identifier and when the related account identification is provided as a blockchain address or wallet identification (using BlockChainAddressOrWallet element as the account identification).

C7 ISINGuideline

When a ISIN code exist. It is strongly recommended that the ISIN be used.

C8 ISINPresenceRule

If ISIN is not present then either Description or at least one occurrence of OtherIdentification must be present.

C9 OfficialCorporateActionEventReference

The Securities Market Practice Group (SMPG) has published market practice recommendations on the structure and usage of the Official Corporate Action Event Reference (COAF). Those recommendations are

available in the SMPG Global Market Practices Part 1 document on www.smpg.info.

C10 OtherIdentificationPresenceRule

If OtherIdentification is not present then either ISIN or Description must be present.

C11 SafekeepingAccountOrBlockChainAddress1Rule

Either SafekeepingAccount or BlockChainAddressOrWallet must be present but not both.

C12 SafekeepingAccountOrBlockChainAddress2Rule

If BlockChainAddressOrWallet is present then SafekeepingAccount must be absent.

C13 SafekeepingAccountOrBlockChainAddress3Rule

If SafekeepingAccount is present, then BlockChainAddressOrWallet must be absent.

C14 SafekeepingPlaceRule

The SafekeepingPlace is only to be used by global custodians that allow their clients to specify where the securities are to be held, for example, in an ICSD account versus an account at the local CSD.

C15 SupplementaryDataRule

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

10.4 Message Building Blocks

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

10.4.1 AccountDetails <AcctDtls>

Presence: [0..1]

Definition: General information about the safekeeping account and the account owner.

AccountDetails <AcctDtls> contains one of the following **AccountIdentification53Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	ForAllAccounts <ForAllAccts>	[1..1]	±		595
Or}	AccountsListAndBalanceDetails <AcctsListAndBalDtls>	[1..*]		C11, C12, C13, C14	595
	SafekeepingAccount <SfkpgAcct>	[0..1]	Text		596
	BlockChainAddressOrWallet <BlckChainAdrOrWlt>	[0..1]	Text		596
	AccountOwner <AcctOwnr>	[0..1]	±		597
	SafekeepingPlace <SfkpgPlc>	[0..1]	±		597
	ConfirmedBalance <ConfdBal>	[1..1]	±		597

10.4.1.1 ForAllAccounts <ForAllAccts>

Presence: [1..1]

Definition: All safekeeping accounts that own underlying financial instrument.

ForAllAccounts <ForAllAccts> contains the following elements (see "[AccountIdentification10](#)" on page 712 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	IdentificationCode <IdCd>	[1..1]	CodeSet		712

10.4.1.2 AccountsListAndBalanceDetails <AcctsListAndBalDtls>

Presence: [1..*]

Definition: Selected safekeeping accounts list (and optionally balance information) to which the corporate action event applies.

Impacted by: [C11 "SafekeepingAccountOrBlockChainAddress1Rule"](#), [C12 "SafekeepingAccountOrBlockChainAddress2Rule"](#), [C13 "SafekeepingAccountOrBlockChainAddress3Rule"](#), [C14 "SafekeepingPlaceRule"](#)

AccountsListAndBalanceDetails <AcctsListAndBalDtls> contains the following **AccountIdentification65** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	SafekeepingAccount <SfkpgAcct>	[0..1]	Text		596
	BlockChainAddressOrWallet <BlckChainAdrOrWlIt>	[0..1]	Text		596
	AccountOwner <AcctOwnr>	[0..1]	±		597
	SafekeepingPlace <SfkpgPlc>	[0..1]	±		597
	ConfirmedBalance <ConfdBal>	[1..1]	±		597

Constraints

- **SafekeepingAccountOrBlockChainAddress1Rule**

Either SafekeepingAccount or BlockChainAddressOrWallet must be present but not both.

Following Must be True

/SafekeepingAccount Must be present

Or /BlockChainAddressOrWallet Must be present

- **SafekeepingAccountOrBlockChainAddress2Rule**

If BlockChainAddressOrWallet is present then SafekeepingAccount must be absent.

On Condition

/BlockChainAddressOrWallet is present

Following Must be True

/SafekeepingAccount Must be absent

- **SafekeepingAccountOrBlockChainAddress3Rule**

If SafekeepingAccount is present, then BlockChainAddressOrWallet must be absent.

On Condition

/SafekeepingAccount is present

Following Must be True

/BlockChainAddressOrWallet Must be absent

- **SafekeepingPlaceRule**

The SafekeepingPlace is only to be used by global custodians that allow their clients to specify where the securities are to be held, for example, in an ICSD account versus an account at the local CSD.

10.4.1.2.1 SafekeepingAccount <SfkpgAcct>

Presence: [0..1]

Definition: Account where financial instruments are maintained.

Datatype: "RestrictedFINXMax35Text" on page 1180

10.4.1.2.2 BlockChainAddressOrWallet <BlckChainAdrOrWlIt>

Presence: [0..1]

Definition: Blockchain address or wallet where digital assets are maintained. This is the equivalent of safekeeping account for digital assets.

Datatype: "RestrictedFINXMax140Text" on page 1177

10.4.1.2.3 AccountOwner <AcctOwnr>*Presence:* [0..1]*Definition:* Party that legally owns the account.**AccountOwner <AcctOwnr>** contains one of the following elements (see "PartyIdentification136Choice" on page 928 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	928
Or}	ProprietaryIdentification <PrtryId>	[1..1]	±		929

10.4.1.2.4 SafekeepingPlace <SfkpgPlc>*Presence:* [0..1]*Definition:* Location where the financial instruments are/will be safekept.**SafekeepingPlace <SfkpgPlc>** contains one of the following elements (see "SafekeepingPlaceFormat32Choice" on page 1046 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Identification <Id>	[1..1]	±		1047
Or	Country <Ctry>	[1..1]	CodeSet	C3	1047
Or	TypeAndIdentification <TpAndId>	[1..1]			1047
	SafekeepingPlaceType <SfkpgPlcTp>	[1..1]	CodeSet		1048
	Identification <Id>	[1..1]	IdentifierSet	C1	1048
Or}	Proprietary <Prtry>	[1..1]	±		1048

10.4.1.2.5 ConfirmedBalance <ConfdBal>*Presence:* [1..1]*Definition:* Balance to which the payment applies (less or equal to the total eligible balance).**ConfirmedBalance <ConfdBal>** contains one of the following elements (see "BalanceFormat14Choice" on page 716 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Balance <Bal>	[1..1]	±		716
Or	EligibleBalance <ElgblBal>	[1..1]	±		716
Or}	NotEligibleBalance <NotElgblBal>	[1..1]	±		716

10.4.2 UnderlyingSecurity <UndrlygScty>*Presence:* [0..1]*Definition:* Provides information about the securitised right for entitlement.

Impacted by: C4 "DescriptionPresenceRule", C5 "DescriptionUsageRule", C7 "ISINGuideline", C8 "ISINPresenceRule", C10 "OtherIdentificationPresenceRule"

UnderlyingSecurity <UndrlygScty> contains the following elements (see "SecurityIdentification20" on page 748 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ISIN <ISIN>	[0..1]	IdentifierSet		749
	OtherIdentification <Othrld>	[0..*]			749
	Identification <Id>	[1..1]	Text		750
	Suffix <Sfx>	[0..1]	Text		750
	Type <Tp>	[1..1]	±		750
	Description <Desc>	[0..1]	Text		750

Constraints

- **DescriptionPresenceRule**

If Description is not present then either ISIN or at least one occurrence of OtherIdentification must be present.

```
On Condition
  /Description is absent
Following Must be True
  /ISIN Must be present
Or
  /OtherIdentification[*] Must be present
```

- **DescriptionUsageRule**

Description must be used alone as the last resort.

- **ISINGuideline**

When a ISIN code exist. It is strongly recommended that the ISIN be used.

- **ISINPresenceRule**

If ISIN is not present then either Description or at least one occurrence of OtherIdentification must be present.

```
On Condition
  /ISIN is absent
Following Must be True
  /OtherIdentification[*] Must be present
Or
  /Description Must be present
```

- **OtherIdentificationPresenceRule**

If OtherIdentification is not present then either ISIN or Description must be present.

```
On Condition
  /OtherIdentification[*] is absent
Following Must be True
  /ISIN Must be present
Or
  /Description Must be present
```

10.4.3 CorporateActionGeneralInformation <CorpActnGnllnf>

Presence: [1..1]

Definition: General information about the corporate action event.

Impacted by: C9 "OfficialCorporateActionEventReference"

CorporateActionGeneralInformation <CorpActnGnllnf> contains the following **CorporateActionGeneralInformation102** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CorporateActionEventIdentification <CorpActnEvtld>	[1..1]	Text		599
	OfficialCorporateActionEventIdentification <OffclCorpActnEvtld>	[0..1]	Text		599
	NarrativeType <NrrtvTp>	[0..1]			599
{Or	Code <Cd>	[1..1]	CodeSet		600
Or}	Proprietary <Prtry>	[1..1]	±		600

Constraints

- **OfficialCorporateActionEventReference**

The Securities Market Practice Group (SMPG) has published market practice recommendations on the structure and usage of the Official Corporate Action Event Reference (COAF). Those recommendations are

available in the SMPG Global Market Practices Part 1 document on www.smpg.info.

10.4.3.1 CorporateActionEventIdentification <CorpActnEvtld>

Presence: [1..1]

Definition: Reference assigned by the account servicer to unambiguously identify a corporate action event.

Datatype: "RestrictedFINXMax16Text" on page 1177

10.4.3.2 OfficialCorporateActionEventIdentification <OffclCorpActnEvtld>

Presence: [0..1]

Definition: Official and unique reference assigned by the official central body/entity within each market at the beginning of a corporate action event.

Datatype: "RestrictedFINXMax16Text" on page 1177

10.4.3.3 NarrativeType <NrrtvTp>

Presence: [0..1]

Definition: Specifies the type of narrative related to the message.

NarrativeType <NrtrvTp> contains one of the following **CorporateActionNarrative4Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		600
Or}	Proprietary <Prtry>	[1..1]	±		600

10.4.3.3.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the narrative type of the message.

Datatype: "CorporateActionNarrative1Code" on page 1125

CodeName	Name	Definition
TAXE	TaxationConditions	Provides taxation conditions that cannot be included within the structured fields of this message.
REGI	RegistrationDetails	Provides information required for the registration.
WTRC	WithholdingTaxReliefCertification	Certification process for withholding tax reduction or exemption based on the tax status of the holder.
RFMC	ICSDRefusalOfMarkUpDownConfirmation	In the context of a corporate action, an International Central Securities Depository (ICSD) refuses a mark-up/ mark-down confirmation sent by the account servicer.
PAUT	ChasingOfPriorAuthorization	International Central Securities Depository (ICSD) chases the authorisation from the account servicer in order to process the exchange from global temporary to global permanent.
CTIN	ConfirmationOfHoldingTransfer	Confirmation of transfer of interests between International Central Securities Depositories (ICSDs) following a corporate actions.

10.4.3.3.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification of the narrative type of the message.

Proprietary <Prtry> contains the following elements (see "GenericIdentification47" on page 790 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		790
	Issuer <Issr>	[1..1]	Text		790
	SchemeName <SchmeNm>	[0..1]	Text		791

10.4.4 AdditionalInformation <AddtlInf>

Presence: [1..1]

Definition: Provides additional information.

Impacted by: C1 "AdditionalInformationRule"

AdditionalInformation <AddtlInf> contains the following elements (see "UpdatedAdditionalInformation10" on page 868 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	UpdateDescription <UpdDesc>	[0..1]	Text		869
	UpdateDate <UpdDt>	[0..1]	Date		869
	AdditionalInformation <AddtlInf>	[1..*]	Text		869

Constraints

- **AdditionalInformationRule**

The AdditionalInformation element must not contain information that can be provided in a structured field unless bilaterally agreed.

10.4.5 SupplementaryData <SplmtryData>

Presence: [0..*]

Definition: Additional information that can not be captured in the structured fields and/or any other specific block.

Impacted by: C15 "SupplementaryDataRule"

SupplementaryData <SplmtryData> contains the following elements (see "SupplementaryData1" on page 916 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PlaceAndName <PlcAndNm>	[0..1]	Text		916
	Envelope <Envlp>	[1..1]	(External Schema)		916

Constraints

- **SupplementaryDataRule**

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

11 **seev.039.002.11**

CorporateActionCancellationAdvice002V11

11.1 **MessageDefinition Functionality**

Scope

The CorporateActionCancellationAdvice message is sent by an account servicer to an account owner or its designated agent to cancel a previously announced corporate action event in case of error from the account servicer or in case of withdrawal by the issuer.

Usage

The message may also be used to:

- re-send a message previously sent (the sub-function of the message is Duplicate),
- provide a third party with a copy of a message for information (the sub-function of the message is Copy),
- re-send to a third party a copy of a message for information (the sub-function of the message is Copy Duplicate), using the relevant elements in the business application header (BAH).

Outline

The CorporateActionCancellationAdvice002V11 MessageDefinition is composed of 15 MessageBuildingBlocks:

- A. CancellationAdviceGeneralInformation**
General information about the event cancellation status and cancellation reason.
- B. CorporateActionGeneralInformation**
General information about the corporate action event.
- C. AccountsDetails**
General information about the safekeeping account and the account owner.
- D. CorporateActionDetails**
Information about the corporate action event.
- E. AdditionalText**
Provides additional information or specifies in more detail the content of a message. This field may only be used when the information to be transmitted, cannot be coded.
- F. IssuerAgent**
Party appointed to administer the event on behalf of the issuer company/offeror. The party may be contacted for more information about the event.
- G. PayingAgent**
Agent (principal or fiscal paying agent) appointed to execute the payment for the corporate action event on behalf of the issuer company/offeror.

H. SubPayingAgent

Sub-agent appointed to execute the payment for the corporate action event on behalf of the issuer company/offeree.

I. Registrar

Party/agent responsible for maintaining the register of a security.

J. ResellingAgent

Broker-dealer responsible for reselling to new investors securities (usually bonds) that have been tendered for purchase by their owner.

K. PhysicalSecuritiesAgent

Trust company, bank or similar financial institution assigned by an issuer to accept presentations of instruments, usually bonds, for transfer and or exchange.

L. DropAgent

Trust company, bank or similar financial institution that acts on behalf of an out-of-town agent or event agent where securities can be delivered in person.

M. SolicitationAgent

Trust company, bank or similar financial institution assigned by an issuer to maintain records of investors and account balances and transactions for the consent of a material change.

N. InformationAgent

Trust company, bank or similar financial institution assigned by an Issuer to provide information and copies of the offering documentation.

O. SupplementaryData

Additional information that can not be captured in the structured fields and/or any other specific block.

11.2 Structure

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	Message root <Document> <CorpActnCxlAdv>	[1..1]			
	CancellationAdviceGeneralInformation <CxlAdvGnllnf>	[1..1]			606
	CancellationReasonCode <CxlRsnCd>	[1..1]	CodeSet		607
	CancellationReason <CxlRsn>	[0..1]	Text		607
	ProcessingStatus <PrcgSts>	[1..1]			607
	EventCompletenessStatus <EvtCmpltnsSts>	[1..1]	CodeSet		607
	EventConfirmationStatus <EvtConfSts>	[1..1]	CodeSet		608
	CorporateActionGeneralInformation <CorpActnGnllnf>	[1..1]		C8	608
	CorporateActionEventIdentification <CorpActnEvtld>	[1..1]	Text		609
	OfficialCorporateActionEventIdentification <OffclCorpActnEvtld>	[0..1]	Text		609
	ClassActionNumber <ClsActnNb>	[0..1]	Text		609
	EventType <EvtTp>	[1..1]	±	C5	609
	MandatoryVoluntaryEventType <MndtryVlntryEvtTp>	[1..1]	±		610
	FinancialInstrumentIdentification <FinInstrmld>	[1..1]	±	C3, C4, C6, C7, C9	610
	AccountsDetails <AcctsDtls>	[1..1]			611
{Or	ForAllAccounts <ForAllAccts>	[1..1]	±		611
Or}	AccountsList <AcctsList>	[1..*]		C10, C11, C12, C13	612
	SafekeepingAccount <SfkpgAcct>	[0..1]	Text		612
	BlockchainAddressOrWallet <BlckChainAdrOrWlt>	[0..1]	Text		613
	AccountOwner <AcctOwnr>	[0..1]	±		613
	SafekeepingPlace <SfkpgPlc>	[0..1]	±		613
	CorporateActionDetails <CorpActnDtls>	[0..1]			613
	DateDetails <DtDtls>	[0..1]			614
	RecordDate <RcrdDt>	[0..1]	±		614
	ExDividendDate <ExDvddDt>	[0..1]	±		614
	EventStage <EvtStag>	[0..1]	±		615
	AdditionalBusinessProcessIndicator <AddtlBizPrclnd>	[0..*]	±		615

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	LotteryType <LtryTp>	[0..1]	±		615
	AdditionalText <AddtlTxt>	[0..*]	Text		616
	IssuerAgent <IssrAgt>	[0..*]	±		616
	PayingAgent <PngAgt>	[0..*]	±		616
	SubPayingAgent <SubPngAgt>	[0..*]	±		616
	Registrar <Regar>	[0..1]	±		617
	ResellingAgent <RsellingAgt>	[0..*]	±		617
	PhysicalSecuritiesAgent <PhysScitiesAgt>	[0..1]	±		617
	DropAgent <DrpAgt>	[0..1]	±		618
	SolicitationAgent <SlctnAgt>	[0..*]	±		618
	InformationAgent <InfAgt>	[0..1]	±		618
	SupplementaryData <SplmtryData>	[0..*]	±	C14	619

11.3 Constraints

C1 AnyBIC

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

C2 Country

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

C3 DescriptionPresenceRule

If Description is not present then either ISIN or at least one occurrence of OtherIdentification must be present.

C4 DescriptionUsageRule

Description must be used alone as the last resort.

C5 EventTypeRule

If Code is present, the code OTHR (Other) must only be used if no other corporate action event code is appropriate.

If Code is present, the code CHAN (Change) must only be used if no other corporate action event code is appropriate and only for an event which relates to a change.

If Code is present, the code REDM (Final Maturity) must only be used if the redemption is decided by the issuer and not by the securities holders.

C6 ISINGuideline

When a ISIN code exist. It is strongly recommended that the ISIN be used.

C7 ISINPresenceRule

If ISIN is not present then either Description or at least one occurrence of OtherIdentification must be present.

C8 OfficialCorporateActionEventReference

The Securities Market Practice Group (SMPG) has published market practice recommendations on the structure and usage of the Official Corporate Action Event Reference (COAF). Those recommendations are available in the SMPG Global Market Practices Part 1 document on www.smpg.info.

C9 OtherIdentificationPresenceRule

If OtherIdentification is not present then either ISIN or Description must be present.

C10 SafekeepingAccountOrBlockChainAddress1Rule

Either SafekeepingAccount or BlockChainAddressOrWallet must be present but not both.

C11 SafekeepingAccountOrBlockChainAddress2Rule

If BlockChainAddressOrWallet is present then SafekeepingAccount must be absent.

C12 SafekeepingAccountOrBlockChainAddress3Rule

If SafekeepingAccount is present, then BlockChainAddressOrWallet must be absent.

C13 SafekeepingPlaceRule

The SafekeepingPlace is only to be used by global custodians that allow their clients to specify where the securities are to be held, for example, in an ICSD account versus an account at the local CSD.

C14 SupplementaryDataRule

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

11.4 Message Building Blocks

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

11.4.1 CancellationAdviceGeneralInformation <CxlAdvcGnllnf>

Presence: [1..1]

Definition: General information about the event cancellation status and cancellation reason.

CancellationAdviceGeneralInformation <CxIAdvGnllnf> contains the following **CorporateActionCancellation4** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CancellationReasonCode <CxIRsnCd>	[1..1]	CodeSet		607
	CancellationReason <CxIRsn>	[0..1]	Text		607
	ProcessingStatus <PrcgSts>	[1..1]			607
	EventCompletenessStatus <EvtCmpltnsSts>	[1..1]	CodeSet		607
	EventConfirmationStatus <EvtConfSts>	[1..1]	CodeSet		608

11.4.1.1 CancellationReasonCode <CxIRsnCd>

Presence: [1..1]

Definition: Specifies reasons for cancellation of a corporate action event.

Datatype: "CorporateActionCancellationReason1Code" on page 1097

CodeName	Name	Definition
WITH	Withdrawal	Cancellation due to the corporate action event being cancelled by the issuer.
PROC	Processing	Cancellation due to a processing error.

11.4.1.2 CancellationReason <CxIRsn>

Presence: [0..1]

Definition: Additional information about cancellation of a corporate action event.

Datatype: "RestrictedFINXMax140Text" on page 1177

11.4.1.3 ProcessingStatus <PrcgSts>

Presence: [1..1]

Definition: Specifies the status of the details of the event.

ProcessingStatus <PrcgSts> contains the following **CorporateActionEventStatus1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	EventCompletenessStatus <EvtCmpltnsSts>	[1..1]	CodeSet		607
	EventConfirmationStatus <EvtConfSts>	[1..1]	CodeSet		608

11.4.1.3.1 EventCompletenessStatus <EvtCmpltnsSts>

Presence: [1..1]

Definition: Indicates whether the details provided about an event are complete or incomplete.

Datatype: "EventCompletenessStatus1Code" on page 1138

CodeName	Name	Definition
COMP	Complete	Event details are complete.
INCO	Incomplete	Event details are not complete.

11.4.1.3.2 EventConfirmationStatus <EvtConfSts>

Presence: [1..1]

Definition: Indicates the status of the occurrence of an event.

Datatype: "EventConfirmationStatus1Code" on page 1138

CodeName	Name	Definition
CONF	Confirmed	Occurrence of the event has been confirmed.
UCON	Unconfirmed	Occurrence of the event has not been confirmed.

11.4.2 CorporateActionGeneralInformation <CorpActnGnlInf>

Presence: [1..1]

Definition: General information about the corporate action event.

Impacted by: C8 "OfficialCorporateActionEventReference"

CorporateActionGeneralInformation <CorpActnGnlInf> contains the following **CorporateActionGeneralInformation143** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CorporateActionEventIdentification <CorpActnEvtId>	[1..1]	Text		609
	OfficialCorporateActionEventIdentification <OffclCorpActnEvtId>	[0..1]	Text		609
	ClassActionNumber <ClssActnNb>	[0..1]	Text		609
	EventType <EvtTp>	[1..1]	±	C5	609
	MandatoryVoluntaryEventType <MndtryVlntryEvtTp>	[1..1]	±		610
	FinancialInstrumentIdentification <FinInstrmId>	[1..1]	±	C3, C4, C6, C7, C9	610

Constraints

- **OfficialCorporateActionEventReference**

The Securities Market Practice Group (SMPG) has published market practice recommendations on the structure and usage of the Official Corporate Action Event Reference (COAF). Those

recommendations are available in the SMPG Global Market Practices Part 1 document on www.smpg.info.

11.4.2.1 CorporateActionEventIdentification <CorpActnEvtId>

Presence: [1..1]

Definition: Reference assigned by the account servicer to unambiguously identify a corporate action event.

Datatype: "RestrictedFINXMax16Text" on page 1177

11.4.2.2 OfficialCorporateActionEventIdentification <OffclCorpActnEvtId>

Presence: [0..1]

Definition: Official and unique reference assigned by the official central body/entity within each market at the beginning of a corporate action event.

Datatype: "RestrictedFINXMax16Text" on page 1177

11.4.2.3 ClassActionNumber <ClsActnNb>

Presence: [0..1]

Definition: Reference assigned by a court to a class action.

Datatype: "RestrictedFINXMax16Text" on page 1177

11.4.2.4 EventType <EvtTp>

Presence: [1..1]

Definition: Type of corporate action event.

Impacted by: C5 "EventTypeRule"

EventType <EvtTp> contains one of the following elements (see "CorporateActionEventType89Choice" on page 847 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		848
Or}	Proprietary <Prtry>	[1..1]	±		854

Constraints

- **EventTypeRule**

If Code is present, the code OTHR (Other) must only be used if no other corporate action event code is appropriate.

If Code is present, the code CHAN (Change) must only be used if no other corporate action event code is appropriate and only for an event which relates to a change.

If Code is present, the code REDM (Final Maturity) must only be used if the redemption is decided by the issuer and not by the securities holders.

11.4.2.5 MandatoryVoluntaryEventType <MndtryVlntryEvtTp>

Presence: [1..1]

Definition: Specifies whether the event is mandatory, mandatory with options or voluntary.

MandatoryVoluntaryEventType <MndtryVlntryEvtTp> contains one of the following elements (see "[CorporateActionMandatoryVoluntary4Choice](#)" on page 908 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		908
Or}	Proprietary <Prtry>	[1..1]	±		908

11.4.2.6 FinancialInstrumentIdentification <FinInstrmId>

Presence: [1..1]

Definition: Identification of the security concerned by the corporate action.

Impacted by: C3 "DescriptionPresenceRule", C4 "DescriptionUsageRule", C6 "ISINGuideline", C7 "ISINPresenceRule", C9 "OtherIdentificationPresenceRule"

FinancialInstrumentIdentification <FinInstrmId> contains the following elements (see "[SecurityIdentification20](#)" on page 748 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ISIN <ISIN>	[0..1]	IdentifierSet		749
	OtherIdentification <OthrId>	[0..*]			749
	Identification <Id>	[1..1]	Text		750
	Suffix <Sfx>	[0..1]	Text		750
	Type <Tp>	[1..1]	±		750
	Description <Desc>	[0..1]	Text		750

Constraints

- **DescriptionPresenceRule**

If Description is not present then either ISIN or at least one occurrence of OtherIdentification must be present.

```
On Condition
  /Description is absent
Following Must be True
  /ISIN Must be present
Or    /OtherIdentification[*] Must be present
```

- **DescriptionUsageRule**

Description must be used alone as the last resort.

- **ISINGuideline**

When a ISIN code exist. It is strongly recommended that the ISIN be used.

- **ISINPresenceRule**

If ISIN is not present then either Description or at least one occurrence of OtherIdentification must be present.

```
On Condition
  /ISIN is absent
Following Must be True
  /OtherIdentification[*] Must be present
Or    /Description Must be present
```

- **OtherIdentificationPresenceRule**

If OtherIdentification is not present then either ISIN or Description must be present.

```
On Condition
  /OtherIdentification[*] is absent
Following Must be True
  /ISIN Must be present
Or    /Description Must be present
```

11.4.3 AccountsDetails <AcctsDtls>

Presence: [1..1]

Definition: General information about the safekeeping account and the account owner.

AccountsDetails <AcctsDtls> contains one of the following **AccountIdentification50Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	ForAllAccounts <ForAllAccts>	[1..1]	±		611
Or}	AccountsList <AcctsList>	[1..*]		C10, C11, C12, C13	612
	SafekeepingAccount <SfkpgAcct>	[0..1]	Text		612
	BlockChainAddressOrWallet <BlckChainAdrOrWlt>	[0..1]	Text		613
	AccountOwner <AcctOwnr>	[0..1]	±		613
	SafekeepingPlace <SfkpgPlc>	[0..1]	±		613

11.4.3.1 ForAllAccounts <ForAllAccts>

Presence: [1..1]

Definition: All safekeeping accounts that own underlying financial instrument.

ForAllAccounts <ForAllAccts> contains the following elements (see "[AccountIdentification10](#)" on page 712 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	IdentificationCode <IdCd>	[1..1]	CodeSet		712

11.4.3.2 AccountsList <AcctsList>

Presence: [1..*]

Definition: Selected safekeeping accounts list to which the corporate action event applies.

Impacted by: C10 "SafekeepingAccountOrBlockChainAddress1Rule", C11 "SafekeepingAccountOrBlockChainAddress2Rule", C12 "SafekeepingAccountOrBlockChainAddress3Rule", C13 "SafekeepingPlaceRule"

AccountsList <AcctsList> contains the following **AccountIdentification61** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	SafekeepingAccount <SfkpgAcct>	[0..1]	Text		612
	BlockChainAddressOrWallet <BlckChainAdrOrWlt>	[0..1]	Text		613
	AccountOwner <AcctOwnr>	[0..1]	±		613
	SafekeepingPlace <SfkpgPlc>	[0..1]	±		613

Constraints

- **SafekeepingAccountOrBlockChainAddress1Rule**

Either SafekeepingAccount or BlockChainAddressOrWallet must be present but not both.

Following Must be True

 /SafekeepingAccount Must be present

Or /BlockChainAddressOrWallet Must be present

- **SafekeepingAccountOrBlockChainAddress2Rule**

If BlockChainAddressOrWallet is present then SafekeepingAccount must be absent.

On Condition

 /BlockChainAddressOrWallet is present

Following Must be True

 /SafekeepingAccount Must be absent

- **SafekeepingAccountOrBlockChainAddress3Rule**

If SafekeepingAccount is present, then BlockChainAddressOrWallet must be absent.

On Condition

 /SafekeepingAccount is present

Following Must be True

 /BlockChainAddressOrWallet Must be absent

- **SafekeepingPlaceRule**

The SafekeepingPlace is only to be used by global custodians that allow their clients to specify where the securities are to be held, for example, in an ICSD account versus an account at the local CSD.

11.4.3.2.1 SafekeepingAccount <SfkpgAcct>

Presence: [0..1]

Definition: Account where financial instruments are maintained.

Datatype: "RestrictedFINXMax35Text" on page 1180

11.4.3.2.2 BlockChainAddressOrWallet <BlckChainAdrOrWllt>*Presence:* [0..1]*Definition:* Blockchain address or wallet where digital assets are maintained. This is the equivalent of safekeeping account for digital assets.*Datatype:* "RestrictedFINXMax140Text" on page 1177**11.4.3.2.3 AccountOwner <AcctOwnr>***Presence:* [0..1]*Definition:* Party that legally owns the account.**AccountOwner <AcctOwnr>** contains one of the following elements (see "PartyIdentification136Choice" on page 928 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	928
Or}	ProprietaryIdentification <PrtryId>	[1..1]	±		929

11.4.3.2.4 SafekeepingPlace <SfkpgPlc>*Presence:* [0..1]*Definition:* Location where the financial instruments are/will be safekept.**SafekeepingPlace <SfkpgPlc>** contains one of the following elements (see "SafekeepingPlaceFormat32Choice" on page 1046 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Identification <Id>	[1..1]	±		1047
Or	Country <Ctry>	[1..1]	CodeSet	C3	1047
Or	TypeAndIdentification <TpAndId>	[1..1]			1047
	SafekeepingPlaceType <SfkpgPlcTp>	[1..1]	CodeSet		1048
	Identification <Id>	[1..1]	IdentifierSet	C1	1048
Or}	Proprietary <Prtry>	[1..1]	±		1048

11.4.4 CorporateActionDetails <CorpActnDtls>*Presence:* [0..1]*Definition:* Information about the corporate action event.

CorporateActionDetails <CorpActnDtls> contains the following **CorporateAction46** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	DateDetails <DtDtls>	[0..1]			614
	RecordDate <RcrdDt>	[0..1]	±		614
	ExDividendDate <ExDvddDt>	[0..1]	±		614
	EventStage <EvtStag>	[0..1]	±		615
	AdditionalBusinessProcessIndicator <AddtlBizPrclnd>	[0..*]	±		615
	LotteryType <LtryTp>	[0..1]	±		615

11.4.4.1 DateDetails <DtDtls>

Presence: [0..1]

Definition: Provides information about the dates related to a corporate action event.

DateDetails <DtDtls> contains the following **CorporateActionDate66** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	RecordDate <RcrdDt>	[0..1]	±		614
	ExDividendDate <ExDvddDt>	[0..1]	±		614

11.4.4.1.1 RecordDate <RcrdDt>

Presence: [0..1]

Definition: Date/time at which positions are struck at the end of the day to note which parties will receive the relevant amount of entitlement, due to be distributed on payment date.

RecordDate <RcrdDt> contains one of the following elements (see "[DateFormat49Choice](#)" on page 729 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		730
Or}	DateCode <DtCd>	[1..1]			730
{Or	Code <Cd>	[1..1]	CodeSet		730
Or}	Proprietary <Prtry>	[1..1]	±		730

11.4.4.1.2 ExDividendDate <ExDvddDt>

Presence: [0..1]

Definition: Date/time as from which trading (including exchange and OTC trading) occurs on the underlying security without the benefit.

ExDividendDate <ExDvddDt> contains one of the following elements (see "DateFormat49Choice" on page 729 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		730
Or}	DateCode <DtCd>	[1..1]			730
{Or	Code <Cd>	[1..1]	CodeSet		730
Or}	Proprietary <Prtry>	[1..1]	±		730

11.4.4.2 EventStage <EvtStag>

Presence: [0..1]

Definition: Stage in the corporate action event life cycle.

EventStage <EvtStag> contains one of the following elements (see "CorporateActionEventStageFormat15Choice" on page 863 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		863
Or}	Proprietary <Prtry>	[1..1]	±		863

11.4.4.3 AdditionalBusinessProcessIndicator <AddtlBizPrclnd>

Presence: [0..*]

Definition: Specifies the type of the additional business process linked to a corporate action event such as a claim compensation or tax refund.

AdditionalBusinessProcessIndicator <AddtlBizPrclnd> contains one of the following elements (see "AdditionalBusinessProcessFormat16Choice" on page 801 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		801
Or}	Proprietary <Prtry>	[1..1]	±		801

11.4.4.4 LotteryType <LtryTp>

Presence: [0..1]

Definition: Specifies the type of lottery announced.

LotteryType <LtryTp> contains one of the following elements (see "LotteryTypeFormat5Choice" on page 891 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		892
Or}	Proprietary <Prtry>	[1..1]	±		892

11.4.5 AdditionalText <AddtlTxt>

Presence: [0..*]

Definition: Provides additional information or specifies in more detail the content of a message. This field may only be used when the information to be transmitted, cannot be coded.

Datatype: "RestrictedFINZMax8000Text" on page 1180

11.4.6 IssuerAgent <IssrAgt>

Presence: [0..*]

Definition: Party appointed to administer the event on behalf of the issuer company/offoror. The party may be contacted for more information about the event.

IssuerAgent <IssrAgt> contains one of the following elements (see "PartyIdentification137Choice" on page 929 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	929
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		929
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		930

11.4.7 PayingAgent <PngAgt>

Presence: [0..*]

Definition: Agent (principal or fiscal paying agent) appointed to execute the payment for the corporate action event on behalf of the issuer company/offoror.

PayingAgent <PngAgt> contains one of the following elements (see "PartyIdentification137Choice" on page 929 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	929
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		929
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		930

11.4.8 SubPayingAgent <SubPngAgt>

Presence: [0..*]

Definition: Sub-agent appointed to execute the payment for the corporate action event on behalf of the issuer company/offoror.

SubPayingAgent <SubPngAgt> contains one of the following elements (see "PartyIdentification137Choice" on page 929 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	929
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		929
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		930

11.4.9 Registrar <Regar>

Presence: [0..1]

Definition: Party/agent responsible for maintaining the register of a security.

Registrar <Regar> contains one of the following elements (see "PartyIdentification137Choice" on page 929 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	929
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		929
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		930

11.4.10 ResellingAgent <RsellingAgt>

Presence: [0..*]

Definition: Broker-dealer responsible for reselling to new investors securities (usually bonds) that have been tendered for purchase by their owner.

ResellingAgent <RsellingAgt> contains one of the following elements (see "PartyIdentification137Choice" on page 929 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	929
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		929
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		930

11.4.11 PhysicalSecuritiesAgent <PhysSctiesAgt>

Presence: [0..1]

Definition: Trust company, bank or similar financial institution assigned by an issuer to accept presentations of instruments, usually bonds, for transfer and or exchange.

PhysicalSecuritiesAgent <PhysSctiesAgt> contains one of the following elements (see "PartyIdentification137Choice" on page 929 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	929
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		929
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		930

11.4.12 DropAgent <DrpAgt>

Presence: [0..1]

Definition: Trust company, bank or similar financial institution that acts on behalf of an out-of-town agent or event agent where securities can be delivered in person.

DropAgent <DrpAgt> contains one of the following elements (see "PartyIdentification137Choice" on page 929 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	929
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		929
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		930

11.4.13 SolicitationAgent <SlctnAgt>

Presence: [0..*]

Definition: Trust company, bank or similar financial institution assigned by an issuer to maintain records of investors and account balances and transactions for the consent of a material change.

SolicitationAgent <SlctnAgt> contains one of the following elements (see "PartyIdentification137Choice" on page 929 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	929
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		929
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		930

11.4.14 InformationAgent <InfAgt>

Presence: [0..1]

Definition: Trust company, bank or similar financial institution assigned by an Issuer to provide information and copies of the offering documentation.

InformationAgent <InfAgt> contains one of the following elements (see "[PartyIdentification137Choice](#)" on page 929 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	929
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		929
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		930

11.4.15 SupplementaryData <SplmtryData>

Presence: [0..*]

Definition: Additional information that can not be captured in the structured fields and/or any other specific block.

Impacted by: C14 "SupplementaryDataRule"

SupplementaryData <SplmtryData> contains the following elements (see "[SupplementaryData1](#)" on page 916 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PlaceAndName <PlcAndNm>	[0..1]	Text		916
	Envelope <Envlp>	[1..1]	(External Schema)		916

Constraints

- **SupplementaryDataRule**

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

12 seev.040.002.11 CorporateActionInstructionCancellationRequest002V11

12.1 MessageDefinition Functionality

Scope

The CorporateActionInstructionCancellationRequest message is sent by an account owner to an account servicer to request cancellation of a previously sent corporate action election instruction.

Usage

The message may also be used to:

- re-send a message previously sent (the sub-function of the message is Duplicate),
- provide a third party with a copy of a message for information (the sub-function of the message is Copy),
- re-send to a third party a copy of a message for information (the sub-function of the message is Copy Duplicate), using the relevant elements in the business application header (BAH).

Outline

The CorporateActionInstructionCancellationRequest002V11 MessageDefinition is composed of 7 MessageBuildingBlocks:

A. ChangeInstructionIndicator

Indicates that cancelled instruction will be replaced by a new corporate action instruction to be sent later.

B. InstructionIdentification

Identification of a previously sent instruction document.

C. CorporateActionGeneralInformation

General information about the corporate action event.

D. AccountDetails

General information about the safekeeping account and the account owner.

E. CorporateActionInstruction

Information about the corporate action option.

F. ProtectInstruction

Provides detailed information on protect and cover protect instructions.

G. SupplementaryData

Additional information that can not be captured in the structured fields and/or any other specific block.

12.2 Structure

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	<i>Message root <Document> <CorpActnInstrCxlReq></i>	[1..1]		C2, C4, C3	
	ChangeInstructionIndicator <ChngInstrInd>	[0..1]	Indicator		624
	InstructionIdentification <InstrId>	[1..1]	±		624
	CorporateActionGeneralInformation <CorpActnGnlInf>	[1..1]		C9, C13	625
	CorporateActionEventIdentification <CorpActnEvtId>	[1..1]	Text		625
	OfficialCorporateActionEventIdentification <OffclCorpActnEvtId>	[0..1]	Text		625
	EventType <EvtTp>	[1..1]	±	C10	626
	FinancialInstrumentIdentification <FinInstrmId>	[0..1]	±	C6, C7, C11, C12, C16	626
	AccountDetails <AcctDtls>	[1..1]		C17, C18, C19, C20	627
	SafekeepingAccount <SfkpgAcct>	[0..1]	Text		628
	BlockChainAddressOrWallet <BlckChainAdrOrWlt>	[0..1]	Text		628
	AccountOwner <AcctOwnr>	[0..1]	±		629
	SafekeepingPlace <SfkpgPlc>	[0..1]	±		629
	CorporateActionInstruction <CorpActnInstr>	[1..1]		C14, C15	629
	OptionNumber <OptnNb>	[1..1]	±		630
	OptionType <OptnTp>	[1..1]	±		630
	InstructedQuantity <InstdQty>	[1..1]	±		630
	ProtectInstruction <PrtctInstr>	[0..1]			631
	TransactionType <TxTp>	[1..1]	CodeSet		631
	TransactionIdentification <TxId>	[0..1]	Text		631
	ProtectDate <PrtctDt>	[0..1]	Date		631
	SupplementaryData <SplmtryData>	[0..*]	±	C21	631

12.3 Constraints

C1 AnyBIC

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

C2 BeneficialOwnerBreakdownRequestRule

If CorporateActionInstruction/OptionType/Code value is BOBD (BeneficialOwnerBreakdownRequest), then CorporateActionGeneralInformation/EventType/Code value must be WTRC (Withholding Tax Relief Certification).

(MT565 NVR C8)

On Condition

/CorporateActionInstruction/OptionType/Code is equal to value
'BeneficialOwnerBreakdownRequest'

Following Must be True

/CorporateActionGeneralInformation/EventType/Code Must be equal to value
'WithholdingTaxReliefCertification'

This constraint is defined at the MessageDefinition level.

C3 ChangeInstructionIndicatorGuideline

ChangeInstructionIndicator may only be used if CorporateActionOptionDetails/WithdrawalAllowedIndicator was set to 'false' or '0' (No) in the CorporateActionNotification message previously received and if CorporateActionOptionDetails/ChangeAllowedIndicator was set to 'true' or '1' (Yes) in the CorporateActionNotification message previously received.

This constraint is defined at the MessageDefinition level.

C4 CorporateActionEventIdentificationRule

If the CorporateActionInstruction message was unsolicited (that is, this instruction has not been preceded by a CorporateActionNotification), then, CorporateActionGeneralInformation/CorporateActionEventIdentification must be NONREF.

This constraint is defined at the MessageDefinition level.

C5 Country

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

C6 DescriptionPresenceRule

If Description is not present then either ISIN or at least one occurrence of OtherIdentification must be present.

C7 DescriptionUsageRule

Description must be used alone as the last resort.

C8 DigitalTokenUnitUsageRule

DigitalTokenUnit format may only be used for instruments identified with a digital token identifier and when the related account identification is provided as a blockchain address or wallet identification (using BlockChainAddressOrWallet element as the account identification).

C9 EventTypeRule

EventType/Code OTHR must only be used in case no other corporate action event code is appropriate.

EventType/Code CHAN must only be used in case no other corporate action event code is appropriate and only for an event which relates to a change.

EventType/Code REDM must only be used when the redemption is decided by the issuer and not by the securities holders.

C10 EventTypeRule

If Code is present, the code OTHR must only be used in case no other corporate action event code is appropriate.

If Code is present, the code CHAN must only be used in case no other corporate action event code is appropriate and only for an event which relates to a change.

If Code is present, the code REDM must only be used when the redemption is decided by the issuer and not by the securities holders.

C11 ISINGuideline

When a ISIN code exist, it is strongly recommended that the ISIN be used.

C12 ISINPresenceRule

If ISIN is not present then either Description or at least one occurrence of OtherIdentification must be present.

C13 OfficialCorporateActionEventReference

The Securities Market Practice Group (SMPG) has published market practice recommendations on the structure and usage of the Official Corporate Action Event Reference (COAF). Those recommendations are

available in the SMPG Global Market Practices Part 1 document on www.smpg.info.

C14 OptionNumber1Rule

For differentiation between options, the OptionNumber must be used (preferred rather than the OptionType for example in the case where two or more offers can be described by the same corporate action option code). Therefore, the OptionNumber value must be different for each option.

C15 OptionNumber2Rule

When the CorporateActionInstruction is unsolicited, that is, the instruction has not been preceded by an Corporate ActionNotification, then OptionNumber/code must be UNSO (unsolicited).

C16 OtherIdentificationPresenceRule

If OtherIdentification is not present then either ISIN or Description must be present.

C17 SafekeepingAccountOrBlockChainAddress1Rule

Either SafekeepingAccount or BlockChainAddressOrWallet must be present but not both.

C18 SafekeepingAccountOrBlockChainAddress2Rule

If BlockChainAddressOrWallet is present then SafekeepingAccount must be absent.

C19 SafekeepingAccountOrBlockChainAddress3Rule

If SafekeepingAccount is present, then BlockChainAddressOrWallet must be absent.

C20 SafekeepingPlaceRule

The SafekeepingPlace is only to be used by global custodians that allow their clients to specify where the securities are to be held, for example, in an ICSD account versus an account at the local CSD.

C21 SupplementaryDataRule

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

C22 WithLinkageRule

If Code WITH is used, then the one or more instruction which are linked become bound and which must be executed together. Even if one single transactions/instructions/notifications can not be executed, then all the other transactions/instructions/notifications must also be kept pending. Therefore the use of code WITH must be limited to combine up to 2 or 3 transactions/instructions/notifications.

12.4 Message Building Blocks

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

12.4.1 ChnglnstructionIndicator <ChnglnstrInd>

Presence: [0..1]

Definition: Indicates that cancelled instruction will be replaced by a new corporate action instruction to be sent later.

Datatype: One of the following values must be used (see ["YesNoIndicator"](#) on page 1171):

- *Meaning When True:* Yes
- *Meaning When False:* No

12.4.2 InstructionIdentification <InstrId>

Presence: [1..1]

Definition: Identification of a previously sent instruction document.

InstructionIdentification <InstrId> contains the following elements (see ["DocumentIdentification37"](#) on page 736 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		737
	LinkageType <LkgTp>	[0..1]	±	C78	737

12.4.3 CorporateActionGeneralInformation <CorpActnGnlInf>

Presence: [1..1]

Definition: General information about the corporate action event.

Impacted by: C9 "EventTypeRule", C13 "OfficialCorporateActionEventReference"

CorporateActionGeneralInformation <CorpActnGnlInf> contains the following **CorporateActionGeneralInformation159** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CorporateActionEventIdentification <CorpActnEvtId>	[1..1]	Text		625
	OfficialCorporateActionEventIdentification <OffclCorpActnEvtId>	[0..1]	Text		625
	EventType <EvtTp>	[1..1]	±	C10	626
	FinancialInstrumentIdentification <FinInstrmId>	[0..1]	±	C6, C7, C11, C12, C16	626

Constraints

- **EventTypeRule**

EventType/Code OTHR must only be used in case no other corporate action event code is appropriate.

EventType/Code CHAN must only be used in case no other corporate action event code is appropriate and only for an event which relates to a change.

EventType/Code REDM must only be used when the redemption is decided by the issuer and not by the securities holders.

- **OfficialCorporateActionEventReference**

The Securities Market Practice Group (SMPG) has published market practice recommendations on the structure and usage of the Official Corporate Action Event Reference (COAF). Those recommendations are

available in the SMPG Global Market Practices Part 1 document on www.smpg.info.

12.4.3.1 CorporateActionEventIdentification <CorpActnEvtId>

Presence: [1..1]

Definition: Reference assigned by the account servicer to unambiguously identify a corporate action event.

Datatype: "RestrictedFINXMax16Text" on page 1177

12.4.3.2 OfficialCorporateActionEventIdentification <OffclCorpActnEvtId>

Presence: [0..1]

Definition: Official and unique reference assigned by the official central body/entity within each market at the beginning of a corporate action event.

Datatype: "RestrictedFINXMax16Text" on page 1177

12.4.3.3 EventType <EvtTp>

Presence: [1..1]

Definition: Type of corporate action event.

Impacted by: C10 "EventTypeRule"

EventType <EvtTp> contains one of the following elements (see "CorporateActionEventType103Choice" on page 804 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		804
Or}	Proprietary <Prtry>	[1..1]	±		810

Constraints

- **EventTypeRule**

If Code is present, the code OTHR must only be used in case no other corporate action event code is appropriate.

If Code is present, the code CHAN must only be used in case no other corporate action event code is appropriate and only for an event which relates to a change.

If Code is present, the code REDM must only be used when the redemption is decided by the issuer and not by the securities holders.

12.4.3.4 FinancialInstrumentIdentification <FinInstrmId>

Presence: [0..1]

Definition: Identification of the security concerned by the corporate action.

Impacted by: C6 "DescriptionPresenceRule", C7 "DescriptionUsageRule", C11 "ISINGuideline", C12 "ISINPresenceRule", C16 "OtherIdentificationPresenceRule"

FinancialInstrumentIdentification <FinInstrmId> contains the following elements (see "SecurityIdentification21" on page 778 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ISIN <ISIN>	[0..1]	IdentifierSet		779
	OtherIdentification <OthrlId>	[0..*]			779
	Identification <Id>	[1..1]	Text		779
	Suffix <Sfx>	[0..1]	Text		779
	Type <Tp>	[1..1]	±		780
	Description <Desc>	[0..1]	Text		780

Constraints

- **DescriptionPresenceRule**

If Description is not present then either ISIN or at least one occurrence of OtherIdentification must be present.

```
On Condition
  /Description is absent
Following Must be True
  /ISIN Must be present
Or    /OtherIdentification[*] Must be present
```

- **DescriptionUsageRule**

Description must be used alone as the last resort.

- **ISINGuideline**

When a ISIN code exist, it is strongly recommended that the ISIN be used.

- **ISINPresenceRule**

If ISIN is not present then either Description or at least one occurrence of OtherIdentification must be present.

```
On Condition
  /ISIN is absent
Following Must be True
  /OtherIdentification[*] Must be present
Or    /Description Must be present
```

- **OtherIdentificationPresenceRule**

If OtherIdentification is not present then either ISIN or Description must be present.

```
On Condition
  /OtherIdentification[*] is absent
Following Must be True
  /ISIN Must be present
Or    /Description Must be present
```

12.4.4 AccountDetails <AcctDtls>

Presence: [1..1]

Definition: General information about the safekeeping account and the account owner.

Impacted by: C17 "SafekeepingAccountOrBlockChainAddress1Rule", C18
"SafekeepingAccountOrBlockChainAddress2Rule", C19
"SafekeepingAccountOrBlockChainAddress3Rule", C20 "SafekeepingPlaceRule"

AccountDetails <AcctDtls> contains the following **AccountIdentification62** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	SafekeepingAccount <SfkpgAcct>	[0..1]	Text		628
	BlockChainAddressOrWallet <BlckChainAdrOrWlIt>	[0..1]	Text		628
	AccountOwner <AcctOwnr>	[0..1]	±		629
	SafekeepingPlace <SfkpgPlc>	[0..1]	±		629

Constraints

- **SafekeepingAccountOrBlockChainAddress1Rule**

Either SafekeepingAccount or BlockChainAddressOrWallet must be present but not both.

Following Must be True

/SafekeepingAccount Must be present

Or /BlockChainAddressOrWallet Must be present

- **SafekeepingAccountOrBlockChainAddress2Rule**

If BlockChainAddressOrWallet is present then SafekeepingAccount must be absent.

On Condition

/BlockChainAddressOrWallet is present

Following Must be True

/SafekeepingAccount Must be absent

- **SafekeepingAccountOrBlockChainAddress3Rule**

If SafekeepingAccount is present, then BlockChainAddressOrWallet must be absent.

On Condition

/SafekeepingAccount is present

Following Must be True

/BlockChainAddressOrWallet Must be absent

- **SafekeepingPlaceRule**

The SafekeepingPlace is only to be used by global custodians that allow their clients to specify where the securities are to be held, for example, in an ICSD account versus an account at the local CSD.

12.4.4.1 SafekeepingAccount <SfkpgAcct>

Presence: [0..1]

Definition: Account where financial instruments are maintained.

Datatype: "RestrictedFINXMax35Text" on page 1180

12.4.4.2 BlockChainAddressOrWallet <BlckChainAdrOrWlIt>

Presence: [0..1]

Definition: Blockchain address or wallet where digital assets are maintained. This is the equivalent of safekeeping account for digital assets.

Datatype: "Max140Text" on page 1174

12.4.4.3 AccountOwner <AcctOwnr>

Presence: [0..1]

Definition: Party that legally owns the account.

AccountOwner <AcctOwnr> contains one of the following elements (see "PartyIdentification136Choice" on page 928 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	928
Or}	ProprietaryIdentification <PrtryId>	[1..1]	±		929

12.4.4.4 SafekeepingPlace <SfkpgPlc>

Presence: [0..1]

Definition: Location where the financial instruments are/will be safekept.

SafekeepingPlace <SfkpgPlc> contains one of the following elements (see "SafekeepingPlaceFormat34Choice" on page 1044 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Identification <Id>	[1..1]	±		1044
Or	Country <Ctry>	[1..1]	CodeSet	C3	1044
Or	TypeAndIdentification <TpAndId>	[1..1]			1045
	SafekeepingPlaceType <SfkpgPlcTp>	[1..1]	CodeSet		1045
	Identification <Id>	[1..1]	IdentifierSet	C1	1045
Or}	Proprietary <Prtry>	[1..1]			1046
	Type <Tp>	[1..1]	±		1046
	Identification <Id>	[0..1]	Text		1046

12.4.5 CorporateActionInstruction <CorpActnInstr>

Presence: [1..1]

Definition: Information about the corporate action option.

Impacted by: C14 "OptionNumber1Rule", C15 "OptionNumber2Rule"

CorporateActionInstruction <CorpActnInstr> contains the following **CorporateActionOption202** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	OptionNumber <OptnNb>	[1..1]	±		630
	OptionType <OptnTp>	[1..1]	±		630
	InstructedQuantity <InstdQty>	[1..1]	±		630

Constraints

- **OptionNumber1Rule**

For differentiation between options, the OptionNumber must be used (preferred rather than the OptionType for example in the case where two or more offers can be described by the same corporate action option code). Therefore, the OptionNumber value must be different for each option.

- **OptionNumber2Rule**

When the CorporateActionInstruction is unsolicited, that is, the instruction has not been preceded by an Corporate ActionNotification, then OptionNumber/code must be UNSO (unsolicited).

12.4.5.1 OptionNumber <OptnNb>

Presence: [1..1]

Definition: Number identifying the available corporate action options.

OptionNumber <OptnNb> contains one of the following elements (see "[OptionNumber1Choice](#)" on [page 916](#) for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Number <Nb>	[1..1]	Text		916
Or}	Code <Cd>	[1..1]	CodeSet		917

12.4.5.2 OptionType <OptnTp>

Presence: [1..1]

Definition: Specifies the corporate action options available to the account owner.

OptionType <OptnTp> contains one of the following elements (see "[CorporateActionOption43Choice](#)" on [page 811](#) for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		811
Or}	Proprietary <Prtry>	[1..1]	±		813

12.4.5.3 InstructedQuantity <InstdQty>

Presence: [1..1]

Definition: Quantity of securities to which this instruction applies.

InstructedQuantity <InstdQty> contains one of the following elements (see "[Quantity55Choice](#)" on page 979 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		980
Or	OriginalAndCurrentFaceAmount <OrgnlAndCurFaceAmt>	[1..1]	±		980
Or}	Quantity <Qty>	[1..1]	±	C19	980

12.4.6 ProtectInstruction <PrtctInstr>

Presence: [0..1]

Definition: Provides detailed information on protect and cover protect instructions.

ProtectInstruction <PrtctInstr> contains the following **ProtectInstruction7** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	TransactionType <TxTp>	[1..1]	CodeSet		631
	TransactionIdentification <TxId>	[0..1]	Text		631
	ProtectDate <PrtctDt>	[0..1]	Date		631

12.4.6.1 TransactionType <TxTp>

Presence: [1..1]

Definition: Indicates whether the instruction is a protect or a cover protect instruction.

Datatype: "[ProtectTransactionType3Code](#)" on page 1160

CodeName	Name	Definition
PROT	ProtectTransaction	Reorganisation is a protect transaction type.

12.4.6.2 TransactionIdentification <TxId>

Presence: [0..1]

Definition: Unique reference of the protect transaction assigned by the depository and used for cover protect validation.

Datatype: "[RestrictedFINMax15Text](#)" on page 1176

12.4.6.3 ProtectDate <PrtctDt>

Presence: [0..1]

Definition: Date at which the protect instruction was created and used for cover protect validation.

Datatype: "[ISODate](#)" on page 1168

12.4.7 SupplementaryData <SplmtryData>

Presence: [0..*]

Definition: Additional information that can not be captured in the structured fields and/or any other specific block.

Impacted by: C21 "SupplementaryDataRule"

SupplementaryData <SplmtryData> contains the following elements (see "SupplementaryData1" on page 916 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PlaceAndName <PlcAndNm>	[0..1]	Text		916
	Envelope <Envlp>	[1..1]	(External Schema)		916

Constraints

- **SupplementaryDataRule**

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

13 seev.041.002.12 CorporateActionInstructionCancellationRequestStatusAdvice002V12

13.1 MessageDefinition Functionality

Scope

The CorporateActionInstructionCancellationRequestStatusAdvice message is sent by an account servicer to an account owner or its designated agent to report the status of a previously received CorporateActionInstructionCancellationRequest message sent by the account owner. This will include the acknowledgement/rejection of a request to cancel an outstanding instruction.

Usage

The message may also be used to:

- re-send a message previously sent (the sub-function of the message is Duplicate),
- provide a third party with a copy of a message for information (the sub-function of the message is Copy),
- re-send to a third party a copy of a message for information (the sub-function of the message is Copy Duplicate), using the relevant elements in the business application header (BAH).

Outline

The CorporateActionInstructionCancellationRequestStatusAdvice002V12 MessageDefinition is composed of 8 MessageBuildingBlocks:

- InstructionCancellationRequestIdentification**
Identification of a related instruction cancellation request document.
- OtherDocumentIdentification**
Identification of other documents as well as the document number.
- CorporateActionGeneralInformation**
General information about the corporate action event.
- InstructionCancellationRequestStatus**
Provides information about the processing status of the instruction cancellation request.
- CorporateActionInstruction**
Information about the corporate action option.
- ProtectInstruction**
Provides detailed information on protect and cover protect instructions.
- AdditionalInformation**
Provides additional information.

H. SupplementaryData

Additional information that can not be captured in the structured fields and/or any other specific block.

13.2 Structure

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	Message root <Document> <CorpActnInstrCxlReqStsAdv>	[1..1]		C3, C9	
	InstructionCancellationRequestIdentification <InstrCxlReqId>	[0..1]	±		639
	OtherDocumentIdentification <OthrDocId>	[0..*]	±		639
	CorporateActionGeneralInformation <CorpActnGnllnf>	[1..1]		C15, C20	640
	CorporateActionEventIdentification <CorpActnEvtId>	[1..1]	Text		641
	OfficialCorporateActionEventIdentification <OffclCorpActnEvtId>	[0..1]	Text		641
	ClassActionNumber <ClsActnNb>	[0..1]	Text		641
	EventType <EvtTp>	[1..1]	±	C16	641
	InstructionCancellationRequestStatus <InstrCxlReqSts>	[1..*]			642
{Or	CancellationCompleted <CxlCmpltd>	[1..1]	±		642
Or	Accepted <Accptd>	[1..1]	±		642
Or	Rejected <Rjctd>	[1..1]	±		643
Or	PendingCancellation <PdgCxl>	[1..1]	±		643
Or}	ProprietaryStatus <PrtrySts>	[1..1]	±		643
	CorporateActionInstruction <CorpActnInstr>	[0..1]		C21, C23, C24, C25, C26, C28, C29	644
	OptionNumber <OptnNb>	[1..1]	±		645
	OptionType <OptnTp>	[1..1]	±		646
	OptionFeatures <OptnFeatrs>	[0..1]	±		646
	AccountOwner <AcctOwnr>	[0..1]	±		646
	SafekeepingAccount <SfkpgAcct>	[0..1]	Text		646
	BlockChainAddressOrWallet <BlckChainAdrOrWllt>	[0..1]	Text		647
	CashAccount <CshAcct>	[0..1]	±		647
	SafekeepingPlace <SfkpgPlc>	[0..1]	±		647
	FinancialInstrumentIdentification <FinInstrmId>	[0..1]	±	C12, C13, C18, C19, C22	647

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	TotalEligibleBalance <TtlElgblBal>	[0..1]	±		648
	InstructedBalance <InstdBal>	[0..1]	±		649
	UninstructedBalance <UinstdBal>	[0..1]	±		649
	ProtectBalance <PrcttBal>	[0..1]	±		649
	StatusQuantity <StsQty>	[0..1]	±		649
	StatusCashAmount <StsCshAmt>	[0..1]	Amount	C2, C11	650
	ProtectInstruction <PrcttInstr>	[0..1]			650
	TransactionType <TxTp>	[1..1]	CodeSet		650
	ProtectTransactionStatus <PrcttTxSts>	[0..1]	CodeSet		651
	TransactionIdentification <TxId>	[0..1]	Text		651
	ProtectDate <PrcttDt>	[0..1]	Date		651
	UncoveredProtectQuantity <UcvrdPrcttQty>	[0..1]	±		651
	AdditionalInformation <AddtlInf>	[0..1]			651
	AdditionalText <AddtlTxt>	[0..*]	Text		652
	PartyContactNarrative <PtyCtctNrrtv>	[0..*]	Text		652
	SupplementaryData <SplmtryData>	[0..*]	±	C30	652

13.3 Constraints

C1 AccountServicerDeadlineMissedGuideline

When ReasonCode/Code is ADEA (Account Servicer Deadline Missed), the instruction is assumed to be processed "on a best effort basis" by the account servicer.

C2 ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

C3 AdditionalInformationRule

All elements in the AdditionalInformation sequence must not contain information that can be provided in a structured field unless bilaterally agreed.

This constraint is defined at the MessageDefinition level.

C4 AdditionalReasonInformationRule

The AdditionalReasonInformation element must not contain information that can be provided in a structured field unless bilaterally agreed.

C5 AdditionalReasonInformationRule

The AdditionalReasonInformation element must not contain information that can be provided in a structured field unless bilaterally agreed.

C6 AdditionalReasonInformationRule

The AdditionalReasonInformation element must not contain information that can be provided in a structured field unless bilaterally agreed.

C7 AdditionalReasonInformationRule

The AdditionalReasonInformation element must not contain information that can be provided in a structured field unless bilaterally agreed.

C8 AnyBIC

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

C9 BeneficialOwnerBreakdownRequestRule

If CorporateActionInstruction/OptionType/Code value is BOBD (BeneficialOwnerBreakdownRequest), then CorporateActionGeneralInformation/EventType/Code value must be WTRC (Withholding Tax Relief Certification).

(MT567 NVR C6)

On Condition

/CorporateActionInstruction/OptionType/Code is equal to value
'BeneficialOwnerBreakdownRequest'

Following Must be True

/CorporateActionGeneralInformation/EventType/Code Must be equal to value
'WithholdingTaxReliefCertification'

This constraint is defined at the MessageDefinition level.

C10 Country

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

C11 CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

C12 DescriptionPresenceRule

If Description is not present then either ISIN or at least one occurrence of OtherIdentification must be present.

C13 DescriptionUsageRule

Description must be used alone as the last resort.

C14 DigitalTokenUnitUsageRule

DigitalTokenUnit format may only be used for instruments identified with a digital token identifier and when the related account identification is provided as a blockchain address or wallet identification (using BlockChainAddressOrWallet element as the account identification).

C15 EventTypeRule

EventType/Code OTHR must only be used in case no other corporate action event code is appropriate.

EventType/Code CHAN must only be used in case no other corporate action event code is appropriate and only for an event which relates to a change.

EventType/Code REDM must only be used when the redemption is decided by the issuer and not by the securities holders.

C16 EventTypeRule

If Code is present, the code OTHR must only be used in case no other corporate action event code is appropriate.

If Code is present, the code CHAN must only be used in case no other corporate action event code is appropriate and only for an event which relates to a change.

If Code is present, the code REDM must only be used when the redemption is decided by the issuer and not by the securities holders.

C17 IBAN

A valid IBAN consists of all three of the following components: Country Code, check digits and BBAN.

C18 ISINGuideline

When a ISIN code exist. It is strongly recommended that the ISIN be used.

C19 ISINPresenceRule

If ISIN is not present then either Description or at least one occurrence of OtherIdentification must be present.

C20 OfficialCorporateActionEventReference

The Securities Market Practice Group (SMPG) has published market practice recommendations on the structure and usage of the Official Corporate Action Event Reference (COAF). Those recommendations are

available in the SMPG Global Market Practices Part 1 document on www.smpg.info.

C21 OptionNumberRule

For differentiation between options, the OptionNumber rather than the OptionType must be used, for example, in the case where two or more offers can be described by the same corporate action option code. Therefore, the OptionNumber value must be different for each option.

C22 OtherIdentificationPresenceRule

If OtherIdentification is not present then either ISIN or Description must be present.

C23 SafekeepingAccountOrBlockChainAddress1Rule

If SafekeepingAccount is present, BlockChainAddressOrWallet must be absent.

C24 SafekeepingAccountOrBlockChainAddress2Rule

If BlockChainAddressOrWallet is present, SafekeepingAccount must be absent.

C25 SafekeepingAccountOrBlockChainAddress3Rule

Either SafekeepingAccount or BlockChainAddressOrWallet must be present but not both.

C26 SafekeepingPlaceRule

SafekeepingPlace must only be used by global custodians that allow their clients to specify where the securities are to be held, for example, in an ICSD account versus an account at the local CSD.

C27 ShortLongNumberRule

ShortNumber must contain the FIN message type number of the linked message. LongNumber must contain the XML message identifier of the linked message.

C28 StatusQuantityOrStatusCashAmount1Rule

If StatusQuantity is present, then StatusCashAmount is not allowed. (MT 567 C5).

C29 StatusQuantityOrStatusCashAmount2Rule

If StatusCashAmount is present, then StatusQuantity is not allowed. (MT 567 C5).

C30 SupplementaryDataRule

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

13.4 Message Building Blocks

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

13.4.1 InstructionCancellationRequestIdentification <InstrCxlReqId>

Presence: [0..1]

Definition: Identification of a related instruction cancellation request document.

InstructionCancellationRequestIdentification <InstrCxlReqId> contains the following elements (see "DocumentIdentification17" on page 741 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		741

13.4.2 OtherDocumentIdentification <OthrDocId>

Presence: [0..*]

Definition: Identification of other documents as well as the document number.

OtherDocumentIdentification <OthrDocId> contains the following elements (see "DocumentIdentification34" on page 738 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]			738
{Or	AccountServicerDocumentIdentification <AcctSvcrDocId>	[1..1]	Text		738
Or}	AccountOwnerDocumentIdentification <AcctOwnrDocId>	[1..1]	Text		739
	DocumentNumber <DocNb>	[0..1]	±	C6	739

13.4.3 CorporateActionGeneralInformation <CorpActnGnInf>

Presence: [1..1]

Definition: General information about the corporate action event.

Impacted by: C15 "EventTypeRule", C20 "OfficialCorporateActionEventReference"

CorporateActionGeneralInformation <CorpActnGnInf> contains the following **CorporateActionGeneralInformation158** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CorporateActionEventIdentification <CorpActnEvtId>	[1..1]	Text		641
	OfficialCorporateActionEventIdentification <OffclCorpActnEvtId>	[0..1]	Text		641
	ClassActionNumber <ClssActnNb>	[0..1]	Text		641
	EventType <EvtTp>	[1..1]	±	C16	641

Constraints

- **EventTypeRule**

EventType/Code OTHR must only be used in case no other corporate action event code is appropriate.

EventType/Code CHAN must only be used in case no other corporate action event code is appropriate and only for an event which relates to a change.

EventType/Code REDM must only be used when the redemption is decided by the issuer and not by the securities holders.

- **OfficialCorporateActionEventReference**

The Securities Market Practice Group (SMPG) has published market practice recommendations on the structure and usage of the Official Corporate Action Event Reference (COAF). Those recommendations are

available in the SMPG Global Market Practices Part 1 document on www.smpg.info.

13.4.3.1 CorporateActionEventIdentification <CorpActnEvtId>*Presence:* [1..1]*Definition:* Reference assigned by the account servicer to unambiguously identify a corporate action event.*Datatype:* "RestrictedFINXMax16Text" on page 1177**13.4.3.2 OfficialCorporateActionEventIdentification <OffclCorpActnEvtId>***Presence:* [0..1]*Definition:* Official and unique reference assigned by the official central body/entity within each market at the beginning of a corporate action event.*Datatype:* "RestrictedFINXMax16Text" on page 1177**13.4.3.3 ClassActionNumber <ClssActnNb>***Presence:* [0..1]*Definition:* Reference assigned by a court to a class action.*Datatype:* "RestrictedFINXMax16Text" on page 1177**13.4.3.4 EventType <EvtTp>***Presence:* [1..1]*Definition:* Type of corporate action event.*Impacted by:* C16 "EventTypeRule"**EventType <EvtTp>** contains one of the following elements (see "CorporateActionEventType103Choice" on page 804 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		804
Or}	Proprietary <Prtry>	[1..1]	±		810

Constraints

- EventTypeRule**

If Code is present, the code OTHR must only be used in case no other corporate action event code is appropriate.

If Code is present, the code CHAN must only be used in case no other corporate action event code is appropriate and only for an event which relates to a change.

If Code is present, the code REDM must only be used when the redemption is decided by the issuer and not by the securities holders.

13.4.4 InstructionCancellationRequestStatus <InstrCxlReqSts>

Presence: [1..*]

Definition: Provides information about the processing status of the instruction cancellation request.

InstructionCancellationRequestStatus <InstrCxlReqSts> contains one of the following **InstructionCancellationRequestStatus14Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	CancellationCompleted <CxlCmpltd>	[1..1]	±		642
Or	Accepted <Accptd>	[1..1]	±		642
Or	Rejected <Rjctd>	[1..1]	±		643
Or	PendingCancellation <PdgCxl>	[1..1]	±		643
Or}	ProprietaryStatus <PrtrySts>	[1..1]	±		643

13.4.4.1 CancellationCompleted <CxlCmpltd>

Presence: [1..1]

Definition: Provides status information related to an instruction cancellation request completed.

CancellationCompleted <CxlCmpltd> contains one of the following elements (see "CancelledStatus14Choice" on page 1077 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	NoSpecifiedReason <NoSpcfdRsn>	[1..1]	CodeSet		1077
Or}	Reason <Rsn>	[1..*]		C4	1077
	ReasonCode <RsnCd>	[1..1]			1078
{Or	Code <Cd>	[1..1]	CodeSet		1078
Or}	Proprietary <Prtry>	[1..1]	±		1078
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		1079

13.4.4.2 Accepted <Accptd>

Presence: [1..1]

Definition: Provides status information related to a cancellation request accepted for further processing.

Accepted <Accptd> contains the following elements (see "[NoSpecifiedReason1](#)" on page 1058 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NoSpecifiedReason <NoSpctdRsn>	[1..1]	CodeSet		1058

13.4.4.3 Rejected <Rjctd>

Presence: [1..1]

Definition: Provides status information related to a cancellation request rejected for further processing due to system (data) reasons.

Rejected <Rjctd> contains one of the following elements (see "[RejectedStatus47Choice](#)" on page 1061 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	NoSpecifiedReason <NoSpctdRsn>	[1..1]	CodeSet		1062
Or}	Reason <Rsn>	[1..*]		C5	1062
	ReasonCode <RsnCd>	[1..1]			1062
{Or	Code <Cd>	[1..1]	CodeSet		1063
Or}	Proprietary <Prtry>	[1..1]	±		1063
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		1063

13.4.4.4 PendingCancellation <PdgCxl>

Presence: [1..1]

Definition: Provides status information related to a pending cancellation request.

PendingCancellation <PdgCxl> contains one of the following elements (see "[PendingCancellationStatus8Choice](#)" on page 1075 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	NotSpecifiedReason <NotSpctdRsn>	[1..1]	CodeSet		1075
Or}	Reason <Rsn>	[1..*]		C1, C6	1075
	ReasonCode <RsnCd>	[1..1]			1076
{Or	Code <Cd>	[1..1]	CodeSet		1076
Or}	Proprietary <Prtry>	[1..1]	±		1076
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		1077

13.4.4.5 ProprietaryStatus <PrtrySts>

Presence: [1..1]

Definition: Proprietary status related to an instruction cancellation request.

ProprietaryStatus <PrtrySts> contains the following elements (see ["ProprietaryStatusAndReason7"](#) on page 1079 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ProprietaryStatus <PrtrySts>	[1..1]	±		1079
	ProprietaryReason <PrtryRsn>	[0..*]	±	C2	1079

13.4.5 CorporateActionInstruction <CorpActnInstr>

Presence: [0..1]

Definition: Information about the corporate action option.

Impacted by: C21 "OptionNumberRule", C23 "SafekeepingAccountOrBlockChainAddress1Rule", C24 "SafekeepingAccountOrBlockChainAddress2Rule", C25 "SafekeepingAccountOrBlockChainAddress3Rule", C26 "SafekeepingPlaceRule", C28 "StatusQuantityOrStatusCashAmount1Rule", C29 "StatusQuantityOrStatusCashAmount2Rule"

CorporateActionInstruction <CorpActnInstr> contains the following **CorporateActionOption201** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	OptionNumber <OptnNb>	[1..1]	±		645
	OptionType <OptnTp>	[1..1]	±		646
	OptionFeatures <OptnFeatrs>	[0..1]	±		646
	AccountOwner <AcctOwnr>	[0..1]	±		646
	SafekeepingAccount <SfkpgAcct>	[0..1]	Text		646
	BlockChainAddressOrWallet <BlckChainAdrOrWlt>	[0..1]	Text		647
	CashAccount <CshAcct>	[0..1]	±		647
	SafekeepingPlace <SfkpgPlc>	[0..1]	±		647
	FinancialInstrumentIdentification <FinInstrmld>	[0..1]	±	C12, C13, C18, C19, C22	647
	TotalEligibleBalance <TtlElgblBal>	[0..1]	±		648
	InstructedBalance <InstdBal>	[0..1]	±		649
	UninstructedBalance <UinstdBal>	[0..1]	±		649
	ProtectBalance <PrctBal>	[0..1]	±		649
	StatusQuantity <StsQty>	[0..1]	±		649
	StatusCashAmount <StsCshAmt>	[0..1]	Amount	C2, C11	650

Constraints

- **OptionNumberRule**

For differentiation between options, the OptionNumber rather than the OptionType must be used, for example, in the case where two or more offers can be described by the same corporate action option code. Therefore, the OptionNumber value must be different for each option.

- **SafekeepingAccountOrBlockChainAddress1Rule**

If SafekeepingAccount is present, BlockChainAddressOrWallet must be absent.

```
On Condition
    /SafekeepingAccount is present
Following Must be True
    /BlockChainAddressOrWallet Must be absent
```

- **SafekeepingAccountOrBlockChainAddress2Rule**

If BlockChainAddressOrWallet is present, SafekeepingAccount must be absent.

```
On Condition
    /BlockChainAddressOrWallet is present
Following Must be True
    /SafekeepingAccount Must be absent
```

- **SafekeepingAccountOrBlockChainAddress3Rule**

Either SafekeepingAccount or BlockChainAddressOrWallet must be present but not both.

```
Following Must be True
    /SafekeepingAccount Must be present
Or    /BlockChainAddressOrWallet Must be present
```

- **SafekeepingPlaceRule**

SafekeepingPlace must only be used by global custodians that allow their clients to specify where the securities are to be held, for example, in an ICSD account versus an account at the local CSD.

- **StatusQuantityOrStatusCashAmount1Rule**

If StatusQuantity is present, then StatusCashAmount is not allowed. (MT 567 C5).

```
On Condition
    /StatusQuantity is present
Following Must be True
    /StatusCashAmount Must be absent
```

- **StatusQuantityOrStatusCashAmount2Rule**

If StatusCashAmount is present, then StatusQuantity is not allowed. (MT 567 C5).

```
On Condition
    /StatusCashAmount is present
Following Must be True
    /StatusQuantity Must be absent
```

13.4.5.1 OptionNumber <OptnNb>

Presence: [1..1]

Definition: Number identifying the available corporate action options.

OptionNumber <OptnNb> contains one of the following elements (see "OptionNumber1Choice" on page 916 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Number <Nb>	[1..1]	Text		916
Or}	Code <Cd>	[1..1]	CodeSet		917

13.4.5.2 OptionType <OptnTp>

Presence: [1..1]

Definition: Specifies the corporate action options available to the account owner.

OptionType <OptnTp> contains one of the following elements (see "CorporateActionOption42Choice" on page 802 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		802
Or}	Proprietary <Prtry>	[1..1]	±		804

13.4.5.3 OptionFeatures <OptnFeatr>

Presence: [0..1]

Definition: Specifies the features that may apply to a corporate action option.

OptionFeatures <OptnFeatr> contains one of the following elements (see "OptionFeaturesFormat27Choice" on page 854 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		854
Or}	Proprietary <Prtry>	[1..1]	±		855

13.4.5.4 AccountOwner <AcctOwnr>

Presence: [0..1]

Definition: Party that owns the account.

AccountOwner <AcctOwnr> contains one of the following elements (see "PartyIdentification136Choice" on page 928 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	928
Or}	ProprietaryIdentification <PrtryId>	[1..1]	±		929

13.4.5.5 SafekeepingAccount <SfkpgAcct>

Presence: [0..1]

Definition: Account where financial instruments are maintained.

Datatype: "RestrictedFINXMax35Text" on page 1180

13.4.5.6 BlockChainAddressOrWallet <BlckChainAdrOrWllt>

Presence: [0..1]

Definition: Blockchain address or wallet where digital assets are maintained. This is the equivalent of safekeeping account for digital assets.

Datatype: "RestrictedFINXMax140Text" on page 1177

13.4.5.7 CashAccount <CshAcct>

Presence: [0..1]

Definition: Account on which a securities entry is made.

CashAccount <CshAcct> contains one of the following elements (see "CashAccountIdentification6Choice" on page 712 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	IBAN <IBAN>	[1..1]	IdentifierSet	C33	712
Or}	Proprietary <Prtry>	[1..1]	Text		713

13.4.5.8 SafekeepingPlace <SfkpgPlc>

Presence: [0..1]

Definition: Location where the financial instruments are/will be safekept.

SafekeepingPlace <SfkpgPlc> contains one of the following elements (see "SafekeepingPlaceFormat32Choice" on page 1046 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Identification <Id>	[1..1]	±		1047
Or	Country <Ctry>	[1..1]	CodeSet	C3	1047
Or	TypeAndIdentification <TpAndId>	[1..1]			1047
	SafekeepingPlaceType <SfkpgPlcTp>	[1..1]	CodeSet		1048
	Identification <Id>	[1..1]	IdentifierSet	C1	1048
Or}	Proprietary <Prtry>	[1..1]	±		1048

13.4.5.9 FinancialInstrumentIdentification <FinInstrmId>

Presence: [0..1]

Definition: Identifies the financial instrument.

Impacted by: C12 "DescriptionPresenceRule", C13 "DescriptionUsageRule", C18 "ISINGuideline", C19 "ISINPresenceRule", C22 "OtherIdentificationPresenceRule"

FinancialInstrumentIdentification <FinInstrmId> contains the following elements (see "SecurityIdentification20" on page 748 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ISIN <ISIN>	[0..1]	IdentifierSet		749
	OtherIdentification <OthrlId>	[0..*]			749
	Identification <Id>	[1..1]	Text		750
	Suffix <Sfx>	[0..1]	Text		750
	Type <Tp>	[1..1]	±		750
	Description <Desc>	[0..1]	Text		750

Constraints

- **DescriptionPresenceRule**

If Description is not present then either ISIN or at least one occurrence of OtherIdentification must be present.

```
On Condition
  /Description is absent
Following Must be True
  /ISIN Must be present
Or    /OtherIdentification[*] Must be present
```

- **DescriptionUsageRule**

Description must be used alone as the last resort.

- **ISINGuideline**

When a ISIN code exist. It is strongly recommended that the ISIN be used.

- **ISINPresenceRule**

If ISIN is not present then either Description or at least one occurrence of OtherIdentification must be present.

```
On Condition
  /ISIN is absent
Following Must be True
  /OtherIdentification[*] Must be present
Or    /Description Must be present
```

- **OtherIdentificationPresenceRule**

If OtherIdentification is not present then either ISIN or Description must be present.

```
On Condition
  /OtherIdentification[*] is absent
Following Must be True
  /ISIN Must be present
Or    /Description Must be present
```

13.4.5.10 TotalEligibleBalance <TtlElgblBal>

Presence: [0..1]

Definition: Total balance of securities eligible for this corporate action event. The entitlement calculation is based on this balance.

TotalEligibleBalance <TtlElgblBal> contains the following elements (see "[SignedQuantityFormat12](#)" on page 972 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ShortLongPosition <ShrtLngPos>	[1..1]	CodeSet		973
	QuantityChoice <QtyChc>	[1..1]	±		973

13.4.5.11 InstructedBalance <InstdBal>

Presence: [0..1]

Definition: Balance of instructed position.

InstructedBalance <InstdBal> contains the following elements (see "[SignedQuantityFormat12](#)" on page 972 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ShortLongPosition <ShrtLngPos>	[1..1]	CodeSet		973
	QuantityChoice <QtyChc>	[1..1]	±		973

13.4.5.12 UninstructedBalance <UinstdBal>

Presence: [0..1]

Definition: Balance of uninstructed position.

UninstructedBalance <UinstdBal> contains the following elements (see "[SignedQuantityFormat12](#)" on page 972 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ShortLongPosition <ShrtLngPos>	[1..1]	CodeSet		973
	QuantityChoice <QtyChc>	[1..1]	±		973

13.4.5.13 ProtectBalance <PrctctBal>

Presence: [0..1]

Definition: Balance of securities which are in protect status in a CSD operating a protect cover service.

ProtectBalance <PrctctBal> contains the following elements (see "[SignedQuantityFormat12](#)" on page 972 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ShortLongPosition <ShrtLngPos>	[1..1]	CodeSet		973
	QuantityChoice <QtyChc>	[1..1]	±		973

13.4.5.14 StatusQuantity <StsQty>

Presence: [0..1]

Definition: Quantity of securities that has been assigned the status indicated.

StatusQuantity <StsQty> contains one of the following elements (see ["Quantity54Choice"](#) on page 981 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Quantity <Qty>	[1..1]	±	C19	981
Or}	OriginalAndCurrentFace <OrgnlAndCurFace>	[1..1]	±		981

13.4.5.15 StatusCashAmount <StsCshAmt>

Presence: [0..1]

Definition: Amount of cash subscribed that has been assigned the status indicated.

Impacted by: [C2 "ActiveCurrency"](#), [C11 "CurrencyAmount"](#)

Datatype: ["RestrictedFINActiveCurrencyAndAmount"](#) on page 1086

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

13.4.6 ProtectInstruction <PrctInstr>

Presence: [0..1]

Definition: Provides detailed information on protect and cover protect instructions.

ProtectInstruction <PrctInstr> contains the following **ProtectInstruction8** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	TransactionType <TxTp>	[1..1]	CodeSet		650
	ProtectTransactionStatus <PrctTxSts>	[0..1]	CodeSet		651
	TransactionIdentification <TxId>	[0..1]	Text		651
	ProtectDate <PrctDt>	[0..1]	Date		651
	UncoveredProtectQuantity <UcvrdPrctQty>	[0..1]	±		651

13.4.6.1 TransactionType <TxTp>

Presence: [1..1]

Definition: Indicates whether the instruction is a protect or a cover protect instruction.

Datatype: ["ProtectTransactionType3Code"](#) on page 1160

CodeName	Name	Definition
PROT	ProtectTransaction	Reorganisation is a protect transaction type.

13.4.6.2 ProtectTransactionStatus <PrctTxSts>

Presence: [0..1]

Definition: Status of the protect transaction.

Datatype: "ProtectInstructionStatus4Code" on page 1159

CodeName	Name	Definition
OPEN	Open	Protect instruction which has not been fully covered. Will include partial covers.

13.4.6.3 TransactionIdentification <TxId>

Presence: [0..1]

Definition: Unique reference of the protect transaction assigned by the depository and used for cover protect validation.

Datatype: "RestrictedFINMax15Text" on page 1176

13.4.6.4 ProtectDate <PrctDt>

Presence: [0..1]

Definition: Date at which the protect instruction was created and used for cover protect validation.

Datatype: "ISODate" on page 1168

13.4.6.5 UncoveredProtectQuantity <UcvrdPrctQty>

Presence: [0..1]

Definition: Remaining quantity of protect instruction which has not been covered.

UncoveredProtectQuantity <UcvrdPrctQty> contains one of the following elements (see "FinancialInstrumentQuantity31Choice" on page 780 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		780
Or}	FaceAmount <FaceAmt>	[1..1]	Amount		780

13.4.7 AdditionalInformation <AddtlInf>

Presence: [0..1]

Definition: Provides additional information.

AdditionalInformation <AddtlInf> contains the following **CorporateActionNarrative19** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AdditionalText <AddtlTxt>	[0..*]	Text		652
	PartyContactNarrative <PtyCtctNrrtv>	[0..*]	Text		652

13.4.7.1 AdditionalText <AddtlTxt>

Presence: [0..*]

Definition: Provides additional information or specifies in more detail the content of a message. This field may only be used when the information to be transmitted, cannot be coded.

Datatype: "RestrictedFINXMax350Text" on page 1179

13.4.7.2 PartyContactNarrative <PtyCtctNrrtv>

Presence: [0..*]

Definition: Provides additional information regarding the party, for example, the contact unit or person responsible for the transaction identified in the message.

Datatype: "RestrictedFINXMax350Text" on page 1179

13.4.8 SupplementaryData <SplmtryData>

Presence: [0..*]

Definition: Additional information that can not be captured in the structured fields and/or any other specific block.

Impacted by: C30 "SupplementaryDataRule"

SupplementaryData <SplmtryData> contains the following elements (see "SupplementaryData1" on page 916 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PlaceAndName <PlcAndNm>	[0..1]	Text		916
	Envelope <Envlp>	[1..1]	(External Schema)		916

Constraints

- **SupplementaryDataRule**

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

14 **seev.042.002.11**

CorporateActionInstructionStatementReport002V11

14.1 **MessageDefinition Functionality**

Scope

The CorporateActionInstructionStatementReport message is sent by an account servicer to an account owner or its designated agent to report balances at the safekeeping account level for one or more corporate action events or at the corporate action event level for one or more safekeeping accounts.

Usage

The message may also be used to:

- re-send a message previously sent (the sub-function of the message is Duplicate),
- provide a third party with a copy of a message for information (the sub-function of the message is Copy),
- re-send to a third party a copy of a message for information (the sub-function of the message is Copy Duplicate), using the relevant elements in the business application header (BAH).

Outline

The CorporateActionInstructionStatementReport002V11 MessageDefinition is composed of 4 MessageBuildingBlocks:

A. Pagination

Page number of the message (within a statement) and continuation indicator to indicate that the statement is to continue or that the message is the last page of the statement.

B. StatementGeneralDetails

General characteristics related to a statement which reports information.

C. AccountAndStatementDetails

Account information and detailed account holdings information report for corporate action events.

D. SupplementaryData

Additional information that can not be captured in the structured fields and/or any other specific block.

14.2 Structure

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	Message root <Document> <CorpActnInstrStmtRpt>	[1..1]		C7, C15	
	Pagination <Pgntn>	[1..1]	±		659
	StatementGeneralDetails <StmntGnlDtls>	[1..1]	±		659
	AccountAndStatementDetails <AcctAndStmntDtls>	[1..*]		C17, C18, C19, C20	659
	SafekeepingAccount <SfkpgAcct>	[0..1]	Text		663
	BlockChainAddressOrWallet <BlckChainAdrOrWlt>	[0..1]	Text		663
	AccountOwner <AcctOwnr>	[0..1]	±		663
	SafekeepingPlace <SfkpgPlc>	[0..1]	±		663
	CorporateActionEventAndBalance <CorpActnEvtAndBal>	[0..*]			664
	GeneralInformation <GnlInf>	[1..1]			667
	CorporateActionEventIdentification <CorpActnEvtId>	[1..1]	Text		668
	OfficialCorporateActionEventIdentification <OffclCorpActnEvtId>	[0..1]	Text		668
	EventType <EvtTp>	[1..1]	±	C12	668
	MandatoryVoluntaryEventType <MndtryVlntryEvtTp>	[1..1]	±		668
	LastNotificationIdentification <LastNtfctnId>	[0..1]			669
	Identification <Id>	[1..1]	Text		669
	CreationDateTime <CreDtTm>	[0..1]	±		669
	UnderlyingSecurity <UndrlygScty>	[1..1]	±	C9, C10, C13, C14, C16	669
	Balance <Bal>	[0..1]			670
	TotalEligibleBalance <TtlElgblBal>	[1..1]	±		673
	UninstructedBalance <UinstdBal>	[1..1]	±		673
	TotalInstructedBalanceDetails <TtlInstdBalDtls>	[1..1]			673
	TotalInstructedBalance <TtlInstdBal>	[1..1]	±		675
	TotalAcceptedInstructionBalance <TtlAccptdInstrBal>	[0..1]	±		675
	TotalCancelledInstructionBalance <TtlCancInstrBal>	[0..1]	±		676
	TotalPendingInstructionBalance <TtlPdglInstrBal>	[0..1]	±		676
	TotalRejectedInstructionBalance <TtlRjctdInstrBal>	[0..1]	±		676

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	TotalProtectInstructionBalance <TtlPrctInstrBal>	[0..1]	±		676
	OptionDetails <OptnDtls>	[0..*]			677
	OptionNumber <OptnNb>	[0..1]	Text		679
	OptionType <OptnTp>	[1..1]	±		679
	InstructedBalance <InstdBal>	[1..1]	±		679
	DefaultAction <DfltActn>	[0..1]	±		679
	OptionAcceptedInstructedBalance <OptnAccptdInstdBal>	[0..1]	±		680
	OptionCancelledInstructionBalance <OptnCancInstrBal>	[0..1]	±		680
	OptionPendingInstructionBalance <OptnPdgInstrBal>	[0..1]	±		680
	OptionRejectedInstructionBalance <OptnRjctdInstrBal>	[0..1]	±		680
	OptionProtectInstructionBalance <OptnPrctInstrBal>	[0..1]	±		681
	EventDeadlines <EvtDdlns>	[1..1]			681
	EarlyResponseDeadline <EarlyRspnDdln>	[0..1]	±		681
	ResponseDeadline <RspnDdln>	[0..1]	±		682
	MarketDeadline <MktDdln>	[0..1]	±		682
	ProtectDeadline <PrctDdln>	[0..1]	±		683
	CoverProtectDeadline <CoverPrctDdln>	[0..1]	±		683
	OptionInstructionDetails <OptnInstrDtls>	[0..*]			683
	InstructionIdentification <InstrId>	[1..1]	Text		684
	InstructionSequenceNumber <InstrSeqNb>	[0..1]	Text		684
	ProtectIndicator <PrctInd>	[0..1]	CodeSet		684
	InstructionQuantity <InstrQty>	[1..1]	±	C11	685
	InstructionDate <InstrDt>	[1..1]	Date		685
	ProtectDate <PrctDt>	[0..1]	Date		685
	CoverProtectDate <CoverPrctDt>	[0..1]	Date		685
	BidPrice <BidPric>	[0..1]	±		686
	ConditionalQuantity <CondlQty>	[0..1]	±	C11	686
	CustomerReference <CstmrRef>	[0..1]	Text		686
	InstructionNarrative <InstrNrrtv>	[0..1]	Text		686
	InstructionStatus <InstrSts>	[1..1]			687
{Or	Accepted <Accptd>	[1..1]	±		687

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
Or	Cancelled <Canc>	[1..1]	±		687
Or	AcceptedForFurtherProcessing <AccptdForFrthrPrcg>	[1..1]	±		688
Or	Rejected <Rjctd>	[1..1]	±		688
Or	Pending <Pdg>	[1..1]	±		688
Or	PendingCancellation <PdgCxl>	[1..1]	±		689
Or	Covered <Cvrd>	[1..1]	±		689
Or}	Uncovered <Ucvrd>	[1..1]	±		689
	BlockedBalance <BlckdBal>	[0..1]	±		689
	BorrowedBalance <BrrwdBal>	[0..1]	±		690
	CollateralInBalance <CollInBal>	[0..1]	±		690
	CollateralOutBalance <CollOutBal>	[0..1]	±		690
	OnLoanBalance <OnLnBal>	[0..1]	±		690
	OutForRegistrationBalance <OutForRegnBal>	[0..1]	±		691
	SettlementPositionBalance <SttlmPosBal>	[0..1]	±		691
	StreetPositionBalance <StrtPosBal>	[0..1]	±		691
	TradeDatePositionBalance <TradDtPosBal>	[0..1]	±		692
	InTransshipmentBalance <InTrnsShipmntBal>	[0..1]	±		692
	RegisteredBalance <RegdBal>	[0..1]	±		692
	ObligatedBalance <OblgtdBal>	[0..1]	±		692
	PendingDeliveryBalance <PdgDivryBal>	[0..*]			693
	Balance <Bal>	[1..1]	±		693
	PendingTransactions <PdgTx>	[0..*]	±		693
	PendingReceiptBalance <PdgRctBal>	[0..*]			693
	Balance <Bal>	[1..1]	±		694
	PendingTransactions <PdgTx>	[0..*]	±		694
	SupplementaryData <SplmtryData>	[0..*]	±	C21	694
	SupplementaryData <SplmtryData>	[0..*]	±	C21	695

14.3 Constraints

C1 AccountServicerDeadlineMissedGuideline

When ReasonCode/Code is ADEA (Account Servicer Deadline Missed), the instruction is assumed to be processed "on a best effort basis" by the account servicer.

C2 ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

C3 AdditionalReasonInformationRule

The AdditionalReasonInformation element must not contain information that can be provided in a structured field unless bilaterally agreed.

C4 AdditionalReasonInformationRule

The AdditionalReasonInformation element must not contain information that can be provided in a structured field unless bilaterally agreed.

C5 AdditionalReasonInformationRule

The AdditionalReasonInformation element must not contain information that can be provided in a structured field unless bilaterally agreed.

C6 AnyBIC

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

C7 CorporateActionEventAndBalanceRule

If StatementGeneralDetails/ActivityIndicator is 'true' or '1' (Yes) then at least one occurrence of AccountAndStatementDetails/CorporateActionEventAndBalance must be present.

If StatementGeneralDetails/ActivityIndicator is 'false' or '0' (No) then AccountAndStatementDetails/CorporateActionEventAndBalance must not be present in any occurrences of AccountAndStatementDetails.

This constraint is defined at the MessageDefinition level.

C8 Country

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

C9 DescriptionPresenceRule

If Description is not present then either ISIN or at least one occurrence of OtherIdentification must be present.

C10 DescriptionUsageRule

Description must be used alone as the last resort.

C11 DigitalTokenUnitUsageRule

DigitalTokenUnit format may only be used for instruments identified with a digital token identifier and when the related account identification is provided as a blockchain address or wallet identification (using BlockChainAddressOrWallet element as the account identification).

C12 EventTypeRule

If Code is present, the code OTHR must only be used in case no other corporate action event code is appropriate.

If Code is present, the code CHAN must only be used in case no other corporate action event code is appropriate and only for an event which relates to a change.

If Code is present, the code REDM must only be used when the redemption is decided by the issuer and not by the securities holders.

C13 ISINGuideline

When a ISIN code exist. It is strongly recommended that the ISIN be used.

C14 ISINPresenceRule

If ISIN is not present then either Description or at least one occurrence of OtherIdentification must be present.

C15 MultipleAccountAndStatementDetailsRule

If StatementGeneralDetails/ReportingType is MASE (Multiple Accounts) then AccountAndStatementDetails may be repeated and AccountAndStatementDetails/CorporateActionEventAndBalance must not be repeated in any occurrences of AccountAndStatementDetails.

If StatementGeneralDetails/ReportingType is SAME (Multiple Events) then AccountAndStatementDetails must not be repeated, and AccountAndStatementDetails/CorporateActionEventAndBalance may be repeated.

This constraint is defined at the MessageDefinition level.

C16 OtherIdentificationPresenceRule

If OtherIdentification is not present then either ISIN or Description must be present.

C17 SafekeepingAccountOrBlockChainAddress1Rule

Either SafekeepingAccount or BlockChainAddressOrWallet must be present but not both.

C18 SafekeepingAccountOrBlockChainAddress2Rule

If BlockChainAddressOrWallet is present then SafekeepingAccount must be absent.

C19 SafekeepingAccountOrBlockChainAddress3Rule

If SafekeepingAccount is present, then BlockChainAddressOrWallet must be absent.

C20 SafekeepingPlaceRule

SafekeepingPlace is only to be used by global custodians that allow their clients to specify where the securities are to be held, for example, in an ICSD account versus an account at the local CSD.

C21 SupplementaryDataRule

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

14.4 Message Building Blocks

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

14.4.1 Pagination <Pgntn>

Presence: [1..1]

Definition: Page number of the message (within a statement) and continuation indicator to indicate that the statement is to continue or that the message is the last page of the statement.

Pagination <Pgntn> contains the following elements (see "Pagination1" on page 856 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PageNumber <PgNb>	[1..1]	Text		856
	LastPageIndicator <LastPgInd>	[1..1]	Indicator		856

14.4.2 StatementGeneralDetails <StmntGnlDtls>

Presence: [1..1]

Definition: General characteristics related to a statement which reports information.

StatementGeneralDetails <StmntGnlDtls> contains the following elements (see "Statement75" on page 798 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	StatementType <StmntTp>	[1..1]	CodeSet		798
	ReportingType <RptgTp>	[1..1]	CodeSet		799
	StatementIdentification <StmntId>	[1..1]	Text		799
	InstructionAggregationPeriod <InstrAggtnPrd>	[0..1]	±		799
	ReportNumber <RptNb>	[0..1]	Text		799
	StatementDateTime <StmntDtTm>	[1..1]	±		800
	Frequency <Frqcy>	[1..1]	±		800
	UpdateType <UpdTp>	[1..1]	±		800
	ActivityIndicator <ActvtyInd>	[1..1]	Indicator		800
	NotificationDeadlinePeriod <NtfctnDdlPrd>	[0..1]	±		800

14.4.3 AccountAndStatementDetails <AcctAndStmntDtls>

Presence: [1..*]

Definition: Account information and detailed account holdings information report for corporate action events.

Impacted by: C17 "SafekeepingAccountOrBlockChainAddress1Rule", C18 "SafekeepingAccountOrBlockChainAddress2Rule", C19 "SafekeepingAccountOrBlockChainAddress3Rule", C20 "SafekeepingPlaceRule"

AccountAndStatementDetails <AcctAndStmtDtls> contains the following **AccountIdentification63** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	SafekeepingAccount <SfkpgAcct>	[0..1]	Text		663
	BlockchainAddressOrWallet <BlckChainAdrOrWlt>	[0..1]	Text		663
	AccountOwner <AcctOwnr>	[0..1]	±		663
	SafekeepingPlace <SfkpgPlc>	[0..1]	±		663
	CorporateActionEventAndBalance <CorpActnEvtAndBal>	[0..*]			664
	GeneralInformation <GnlInf>	[1..1]			667
	CorporateActionEventIdentification <CorpActnEvtId>	[1..1]	Text		668
	OfficialCorporateActionEventIdentification <OffclCorpActnEvtId>	[0..1]	Text		668
	EventType <EvtTp>	[1..1]	±	C12	668
	MandatoryVoluntaryEventType <MndtryVlntryEvtTp>	[1..1]	±		668
	LastNotificationIdentification <LastNtfctnId>	[0..1]			669
	Identification <Id>	[1..1]	Text		669
	CreationDateTime <CreDtTm>	[0..1]	±		669
	UnderlyingSecurity <UndrlygScty>	[1..1]	±	C9, C10, C13, C14, C16	669
	Balance <Bal>	[0..1]			670
	TotalEligibleBalance <TtlElgblBal>	[1..1]	±		673
	UninstructedBalance <UinstdBal>	[1..1]	±		673
	TotalInstructedBalanceDetails <TtlInstdBalDtls>	[1..1]			673
	TotalInstructedBalance <TtlInstdBal>	[1..1]	±		675
	TotalAcceptedInstructionBalance <TtlAccptdInstrBal>	[0..1]	±		675
	TotalCancelledInstructionBalance <TtlCancInstrBal>	[0..1]	±		676
	TotalPendingInstructionBalance <TtlPdgInstrBal>	[0..1]	±		676
	TotalRejectedInstructionBalance <TtlRjctdInstrBal>	[0..1]	±		676
	TotalProtectInstructionBalance <TtlPrtctInstrBal>	[0..1]	±		676
	OptionDetails <OptnDtls>	[0..*]			677
	OptionNumber <OptnNb>	[0..1]	Text		679
	OptionType <OptnTp>	[1..1]	±		679

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	InstructedBalance <InstdBal>	[1..1]	±		679
	DefaultAction <DfltActn>	[0..1]	±		679
	OptionAcceptedInstructedBalance <OptnAccptdInstdBal>	[0..1]	±		680
	OptionCancelledInstructionBalance <OptnCancInstrBal>	[0..1]	±		680
	OptionPendingInstructionBalance <OptnPdgInstrBal>	[0..1]	±		680
	OptionRejectedInstructionBalance <OptnRjctdInstrBal>	[0..1]	±		680
	OptionProtectInstructionBalance <OptnPrtctInstrBal>	[0..1]	±		681
	EventDeadlines <EvtDdlns>	[1..1]			681
	EarlyResponseDeadline <EarlyRspnDdln>	[0..1]	±		681
	ResponseDeadline <RspnDdln>	[0..1]	±		682
	MarketDeadline <MktDdln>	[0..1]	±		682
	ProtectDeadline <PrtctDdln>	[0..1]	±		683
	CoverProtectDeadline <CoverPrtctDdln>	[0..1]	±		683
	OptionInstructionDetails <OptnInstrDtls>	[0..*]			683
	InstructionIdentification <InstrId>	[1..1]	Text		684
	InstructionSequenceNumber <InstrSeqNb>	[0..1]	Text		684
	ProtectIndicator <PrtctInd>	[0..1]	CodeSet		684
	InstructionQuantity <InstrQty>	[1..1]	±	C11	685
	InstructionDate <InstrDt>	[1..1]	Date		685
	ProtectDate <PrtctDt>	[0..1]	Date		685
	CoverProtectDate <CoverPrtctDt>	[0..1]	Date		685
	BidPrice <BidPric>	[0..1]	±		686
	ConditionalQuantity <CondlQty>	[0..1]	±	C11	686
	CustomerReference <CstmrRef>	[0..1]	Text		686
	InstructionNarrative <InstrNrrtv>	[0..1]	Text		686
	InstructionStatus <InstrSts>	[1..1]			687
{Or	Accepted <Accptd>	[1..1]	±		687
Or	Cancelled <Canc>	[1..1]	±		687
Or	AcceptedForFurtherProcessing <AccptdForFrthrPrg>	[1..1]	±		688

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
Or	Rejected <Rjctd>	[1..1]	±		688
Or	Pending <Pdg>	[1..1]	±		688
Or	PendingCancellation <PdgCxl>	[1..1]	±		689
Or	Covered <Cvrd>	[1..1]	±		689
Or}	Uncovered <Ucvrd>	[1..1]	±		689
	BlockedBalance <BlckdBal>	[0..1]	±		689
	BorrowedBalance <BrrwdBal>	[0..1]	±		690
	CollateralInBalance <CollInBal>	[0..1]	±		690
	CollateralOutBalance <CollOutBal>	[0..1]	±		690
	OnLoanBalance <OnLnBal>	[0..1]	±		690
	OutForRegistrationBalance <OutForRegnBal>	[0..1]	±		691
	SettlementPositionBalance <SttlmPosBal>	[0..1]	±		691
	StreetPositionBalance <StrtPosBal>	[0..1]	±		691
	TradeDatePositionBalance <TradDtPosBal>	[0..1]	±		692
	InTransshipmentBalance <InTrnsShipmntBal>	[0..1]	±		692
	RegisteredBalance <RegdBal>	[0..1]	±		692
	ObligatedBalance <OblgtdBal>	[0..1]	±		692
	PendingDeliveryBalance <PdgDlvryBal>	[0..*]			693
	Balance <Bal>	[1..1]	±		693
	PendingTransactions <PdgTx>	[0..*]	±		693
	PendingReceiptBalance <PdgRctBal>	[0..*]			693
	Balance <Bal>	[1..1]	±		694
	PendingTransactions <PdgTx>	[0..*]	±		694
	SupplementaryData <SplmtryData>	[0..*]	±	C21	694

Constraints

- **SafekeepingAccountOrBlockChainAddress1Rule**

Either SafekeepingAccount or BlockChainAddressOrWallet must be present but not both.

Following Must be True

/SafekeepingAccount Must be present

Or /BlockChainAddressOrWallet Must be present

- **SafekeepingAccountOrBlockChainAddress2Rule**

If BlockChainAddressOrWallet is present then SafekeepingAccount must be absent.

On Condition
 /BlockchainAddressOrWallet is present
 Following Must be True
 /SafekeepingAccount Must be absent

- **SafekeepingAccountOrBlockchainAddress3Rule**

If SafekeepingAccount is present, then BlockchainAddressOrWallet must be absent.

On Condition
 /SafekeepingAccount is present
 Following Must be True
 /BlockchainAddressOrWallet Must be absent

- **SafekeepingPlaceRule**

SafekeepingPlace is only to be used by global custodians that allow their clients to specify where the securities are to be held, for example, in an ICSD account versus an account at the local CSD.

14.4.3.1 SafekeepingAccount <SfkpgAcct>

Presence: [0..1]

Definition: Account where financial instruments are maintained.

Datatype: "RestrictedFINXMax35Text" on page 1180

14.4.3.2 BlockchainAddressOrWallet <BlckChainAdrOrWllt>

Presence: [0..1]

Definition: Blockchain address or wallet where digital assets are maintained. This is the equivalent of safekeeping account for digital assets.

Datatype: "RestrictedFINXMax140Text" on page 1177

14.4.3.3 AccountOwner <AcctOwnr>

Presence: [0..1]

Definition: Party that legally owns the account.

AccountOwner <AcctOwnr> contains one of the following elements (see "PartyIdentification136Choice" on page 928 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	928
Or}	ProprietaryIdentification <PrtryId>	[1..1]	±		929

14.4.3.4 SafekeepingPlace <SfkpgPlc>

Presence: [0..1]

Definition: Location where the financial instruments are/will be safekept.

SafekeepingPlace <SfkpgPlc> contains one of the following elements (see "SafekeepingPlaceFormat32Choice" on page 1046 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Identification <Id>	[1..1]	±		1047
Or	Country <Ctry>	[1..1]	CodeSet	C3	1047
Or	TypeAndIdentification <TpAndId>	[1..1]			1047
	SafekeepingPlaceType <SfkpgPlcTp>	[1..1]	CodeSet		1048
	Identification <Id>	[1..1]	IdentifierSet	C1	1048
Or}	Proprietary <Prtry>	[1..1]	±		1048

14.4.3.5 CorporateActionEventAndBalance <CorpActnEvtAndBal>

Presence: [0..*]

Definition: Detailed account holdings information report for a corporate action event.

CorporateActionEventAndBalance <CorpActnEvtAndBal> contains the following
CorporateActionEventAndBalance23 elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	GeneralInformation <Gnllnf>	[1..1]			667
	CorporateActionEventIdentification <CorpActnEvtId>	[1..1]	Text		668
	OfficialCorporateActionEventIdentification <OffclCorpActnEvtId>	[0..1]	Text		668
	EventType <EvtTp>	[1..1]	±	C12	668
	MandatoryVoluntaryEventType <MndtryVlntryEvtTp>	[1..1]	±		668
	LastNotificationIdentification <LastNtfctnId>	[0..1]			669
	Identification <Id>	[1..1]	Text		669
	CreationDateTime <CreDtTm>	[0..1]	±		669
	UnderlyingSecurity <UndrlygScty>	[1..1]	±	C9, C10, C13, C14, C16	669
	Balance <Bal>	[0..1]			670
	TotalEligibleBalance <TtlElgblBal>	[1..1]	±		673
	UninstructedBalance <UinstdBal>	[1..1]	±		673
	TotalInstructedBalanceDetails <TtlInstdBalDtls>	[1..1]			673
	TotalInstructedBalance <TtlInstdBal>	[1..1]	±		675
	TotalAcceptedInstructionBalance <TtlAccptdInstrBal>	[0..1]	±		675
	TotalCancelledInstructionBalance <TtlCancInstrBal>	[0..1]	±		676
	TotalPendingInstructionBalance <TtlPdgInstrBal>	[0..1]	±		676
	TotalRejectedInstructionBalance <TtlRjctdInstrBal>	[0..1]	±		676
	TotalProtectInstructionBalance <TtlPrctInstrBal>	[0..1]	±		676
	OptionDetails <OptnDtls>	[0..*]			677
	OptionNumber <OptnNb>	[0..1]	Text		679
	OptionType <OptnTp>	[1..1]	±		679
	InstructedBalance <InstdBal>	[1..1]	±		679
	DefaultAction <DfltActn>	[0..1]	±		679
	OptionAcceptedInstructedBalance <OptnAccptdInstdBal>	[0..1]	±		680
	OptionCancelledInstructionBalance <OptnCancInstrBal>	[0..1]	±		680
	OptionPendingInstructionBalance <OptnPdgInstrBal>	[0..1]	±		680

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	OptionRejectedInstructionBalance <OptnRjctdInstrBal>	[0..1]	±		680
	OptionProtectInstructionBalance <OptnPrctInstrBal>	[0..1]	±		681
	EventDeadlines <EvtDdlns>	[1..1]			681
	EarlyResponseDeadline <EarlyRspnDdlIn>	[0..1]	±		681
	ResponseDeadline <RspnDdlIn>	[0..1]	±		682
	MarketDeadline <MktDdlIn>	[0..1]	±		682
	ProtectDeadline <PrctDdlIn>	[0..1]	±		683
	CoverProtectDeadline <CoverPrctDdlIn>	[0..1]	±		683
	OptionInstructionDetails <OptnInstrDtIs>	[0..*]			683
	InstructionIdentification <InstrId>	[1..1]	Text		684
	InstructionSequenceNumber <InstrSeqNb>	[0..1]	Text		684
	ProtectIndicator <PrctInd>	[0..1]	CodeSet		684
	InstructionQuantity <InstrQty>	[1..1]	±	C11	685
	InstructionDate <InstrDt>	[1..1]	Date		685
	ProtectDate <PrctDt>	[0..1]	Date		685
	CoverProtectDate <CoverPrctDt>	[0..1]	Date		685
	BidPrice <BidPric>	[0..1]	±		686
	ConditionalQuantity <CondlQty>	[0..1]	±	C11	686
	CustomerReference <CstmrRef>	[0..1]	Text		686
	InstructionNarrative <InstrNrrtv>	[0..1]	Text		686
	InstructionStatus <InstrSts>	[1..1]			687
{Or	Accepted <Accptd>	[1..1]	±		687
Or	Cancelled <Canc>	[1..1]	±		687
Or	AcceptedForFurtherProcessing <AccptdForFrthrPrpg>	[1..1]	±		688
Or	Rejected <Rjctd>	[1..1]	±		688
Or	Pending <Pdg>	[1..1]	±		688
Or	PendingCancellation <PdgCxl>	[1..1]	±		689
Or	Covered <Cvrd>	[1..1]	±		689
Or}	Uncovered <Ucvrd>	[1..1]	±		689
	BlockedBalance <BlckdBal>	[0..1]	±		689

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	BorrowedBalance <BrrwdBal>	[0..1]	±		690
	CollateralInBalance <CollInBal>	[0..1]	±		690
	CollateralOutBalance <CollOutBal>	[0..1]	±		690
	OnLoanBalance <OnLnBal>	[0..1]	±		690
	OutForRegistrationBalance <OutForRegnBal>	[0..1]	±		691
	SettlementPositionBalance <SttlmPosBal>	[0..1]	±		691
	StreetPositionBalance <StrtPosBal>	[0..1]	±		691
	TradeDatePositionBalance <TradDtPosBal>	[0..1]	±		692
	InTransshipmentBalance <InTrnsShipmntBal>	[0..1]	±		692
	RegisteredBalance <RegdBal>	[0..1]	±		692
	ObligatedBalance <OblgtdBal>	[0..1]	±		692
	PendingDeliveryBalance <PdgDlvryBal>	[0..*]			693
	Balance <Bal>	[1..1]	±		693
	PendingTransactions <PdgTx>	[0..*]	±		693
	PendingReceiptBalance <PdgRctBal>	[0..*]			693
	Balance <Bal>	[1..1]	±		694
	PendingTransactions <PdgTx>	[0..*]	±		694
	SupplementaryData <SplmtryData>	[0..*]	±	C21	694

14.4.3.5.1 GeneralInformation <GnlInf>

Presence: [1..1]

Definition: Provides general information related to a corporate action event.

GeneralInformation <GnlInf> contains the following **EventInformation16** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CorporateActionEventIdentification <CorpActnEvtId>	[1..1]	Text		668
	OfficialCorporateActionEventIdentification <OffclCorpActnEvtId>	[0..1]	Text		668
	EventType <EvtTp>	[1..1]	±	C12	668
	MandatoryVoluntaryEventType <MndtryVlntryEvtTp>	[1..1]	±		668
	LastNotificationIdentification <LastNtfctnId>	[0..1]			669
	Identification <Id>	[1..1]	Text		669
	CreationDateTime <CreDtTm>	[0..1]	±		669

14.4.3.5.1.1 CorporateActionEventIdentification <CorpActnEvtId>*Presence:* [1..1]*Definition:* Reference assigned by the account servicer to unambiguously identify a corporate action event.*Datatype:* "RestrictedFINXMax16Text" on page 1177**14.4.3.5.1.2 OfficialCorporateActionEventIdentification <OffclCorpActnEvtId>***Presence:* [0..1]*Definition:* Provides the reference of the linked official corporate action event.*Datatype:* "RestrictedFINXMax16Text" on page 1177**14.4.3.5.1.3 EventType <EvtTp>***Presence:* [1..1]*Definition:* Type of corporate action event.*Impacted by:* C12 "EventTypeRule"**EventType <EvtTp>** contains one of the following elements (see "CorporateActionEventType103Choice" on page 804 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		804
Or}	Proprietary <Prtry>	[1..1]	±		810

Constraints

- **EventTypeRule**

If Code is present, the code OTHR must only be used in case no other corporate action event code is appropriate.

If Code is present, the code CHAN must only be used in case no other corporate action event code is appropriate and only for an event which relates to a change.

If Code is present, the code REDM must only be used when the redemption is decided by the issuer and not by the securities holders.

14.4.3.5.1.4 MandatoryVoluntaryEventType <MndtryVlntryEvtTp>*Presence:* [1..1]*Definition:* Specifies whether the event is mandatory, mandatory with options or voluntary.

MandatoryVoluntaryEventType <MndtryVlntryEvtTp> contains one of the following elements (see "CorporateActionMandatoryVoluntary4Choice" on page 908 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		908
Or}	Proprietary <Prtry>	[1..1]	±		908

14.4.3.5.1.5 LastNotificationIdentification <LastNtfctnId>

Presence: [0..1]

Definition: Provides information about the identification of the last notification.

LastNotificationIdentification <LastNtfctnId> contains the following **NotificationIdentification6** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		669
	CreationDateTime <CreDtTm>	[0..1]	±		669

14.4.3.5.1.5.1 Identification <Id>

Presence: [1..1]

Definition: Unique identifier of the last notification document (message) assigned by the sender of the document.

Datatype: "RestrictedFINXMax16Text" on page 1177

14.4.3.5.1.5.2 CreationDateTime <CreDtTm>

Presence: [0..1]

Definition: Date and time at which the last notification document (message) was created by the sender.

CreationDateTime <CreDtTm> contains one of the following elements (see "DateAndDateTime2Choice" on page 731 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		731
Or}	DateTime <DtTm>	[1..1]	DateTime		731

14.4.3.5.2 UnderlyingSecurity <UndrlygScty>

Presence: [1..1]

Definition: Security concerned by the corporate action.

Impacted by: C9 "DescriptionPresenceRule", C10 "DescriptionUsageRule", C13 "ISINGuideline", C14 "ISINPresenceRule", C16 "OtherIdentificationPresenceRule"

UnderlyingSecurity <UndrlygScty> contains the following elements (see "SecurityIdentification20" on page 748 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ISIN <ISIN>	[0..1]	IdentifierSet		749
	OtherIdentification <Othrld>	[0..*]			749
	Identification <Id>	[1..1]	Text		750
	Suffix <Sfx>	[0..1]	Text		750
	Type <Tp>	[1..1]	±		750
	Description <Desc>	[0..1]	Text		750

Constraints

- **DescriptionPresenceRule**

If Description is not present then either ISIN or at least one occurrence of OtherIdentification must be present.

```
On Condition
  /Description is absent
Following Must be True
  /ISIN Must be present
Or    /OtherIdentification[*] Must be present
```

- **DescriptionUsageRule**

Description must be used alone as the last resort.

- **ISINGuideline**

When a ISIN code exist. It is strongly recommended that the ISIN be used.

- **ISINPresenceRule**

If ISIN is not present then either Description or at least one occurrence of OtherIdentification must be present.

```
On Condition
  /ISIN is absent
Following Must be True
  /OtherIdentification[*] Must be present
Or    /Description Must be present
```

- **OtherIdentificationPresenceRule**

If OtherIdentification is not present then either ISIN or Description must be present.

```
On Condition
  /OtherIdentification[*] is absent
Following Must be True
  /ISIN Must be present
Or    /Description Must be present
```

14.4.3.5.3 Balance <Bal>

Presence: [0..1]

Definition: Provides information about the balance related to a corporate action.

Balance <Bal> contains the following **CorporateActionBalance47** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	TotalEligibleBalance <TtlElgblBal>	[1..1]	±		673
	UninstructedBalance <UinstdBal>	[1..1]	±		673
	TotalInstructedBalanceDetails <TtlInstdBalDtls>	[1..1]			673
	TotalInstructedBalance <TtlInstdBal>	[1..1]	±		675
	TotalAcceptedInstructionBalance <TtlAccptdInstrBal>	[0..1]	±		675
	TotalCancelledInstructionBalance <TtlCanclInstrBal>	[0..1]	±		676
	TotalPendingInstructionBalance <TtlPdgInstrBal>	[0..1]	±		676
	TotalRejectedInstructionBalance <TtlRjctdInstrBal>	[0..1]	±		676
	TotalProtectInstructionBalance <TtlPrctInstrBal>	[0..1]	±		676
	OptionDetails <OptnDtls>	[0..*]			677
	OptionNumber <OptnNb>	[0..1]	Text		679
	OptionType <OptnTp>	[1..1]	±		679
	InstructedBalance <InstdBal>	[1..1]	±		679
	DefaultAction <DfltActn>	[0..1]	±		679
	OptionAcceptedInstructedBalance <OptnAccptdInstdBal>	[0..1]	±		680
	OptionCancelledInstructionBalance <OptnCanclInstrBal>	[0..1]	±		680
	OptionPendingInstructionBalance <OptnPdgInstrBal>	[0..1]	±		680
	OptionRejectedInstructionBalance <OptnRjctdInstrBal>	[0..1]	±		680
	OptionProtectInstructionBalance <OptnPrctInstrBal>	[0..1]	±		681
	EventDeadlines <EvtDdlns>	[1..1]			681
	EarlyResponseDeadline <EarlyRspnDdln>	[0..1]	±		681
	ResponseDeadline <RspnDdln>	[0..1]	±		682
	MarketDeadline <MktDdln>	[0..1]	±		682
	ProtectDeadline <PrctDdln>	[0..1]	±		683
	CoverProtectDeadline <CoverPrctDdln>	[0..1]	±		683
	OptionInstructionDetails <OptnInstrDtls>	[0..*]			683
	InstructionIdentification <InstrId>	[1..1]	Text		684
	InstructionSequenceNumber <InstrSeqNb>	[0..1]	Text		684
	ProtectIndicator <PrctInd>	[0..1]	CodeSet		684

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	InstructionQuantity <InstrQty>	[1..1]	±	C11	685
	InstructionDate <InstrDt>	[1..1]	Date		685
	ProtectDate <PrctDt>	[0..1]	Date		685
	CoverProtectDate <CoverPrctDt>	[0..1]	Date		685
	BidPrice <BidPric>	[0..1]	±		686
	ConditionalQuantity <CondlQty>	[0..1]	±	C11	686
	CustomerReference <CstmrRef>	[0..1]	Text		686
	InstructionNarrative <InstrNrrtv>	[0..1]	Text		686
	InstructionStatus <InstrSts>	[1..1]			687
{Or	Accepted <Accptd>	[1..1]	±		687
Or	Cancelled <Canc>	[1..1]	±		687
Or	AcceptedForFurtherProcessing <AccptdForFrthrPrpg>	[1..1]	±		688
Or	Rejected <Rjctd>	[1..1]	±		688
Or	Pending <Pdg>	[1..1]	±		688
Or	PendingCancellation <PdgCxl>	[1..1]	±		689
Or	Covered <Cvrd>	[1..1]	±		689
Or}	Uncovered <Ucvrd>	[1..1]	±		689
	BlockedBalance <BlckdBal>	[0..1]	±		689
	BorrowedBalance <BrrwdBal>	[0..1]	±		690
	CollateralInBalance <CollInBal>	[0..1]	±		690
	CollateralOutBalance <CollOutBal>	[0..1]	±		690
	OnLoanBalance <OnLnBal>	[0..1]	±		690
	OutForRegistrationBalance <OutForRegnBal>	[0..1]	±		691
	SettlementPositionBalance <SttlmPosBal>	[0..1]	±		691
	StreetPositionBalance <StrtPosBal>	[0..1]	±		691
	TradeDatePositionBalance <TradDtPosBal>	[0..1]	±		692
	InTransshipmentBalance <InTrnsShipmntBal>	[0..1]	±		692
	RegisteredBalance <RegdBal>	[0..1]	±		692
	ObligatedBalance <OblgtdBal>	[0..1]	±		692
	PendingDeliveryBalance <PdgDlvryBal>	[0..*]			693
	Balance <Bal>	[1..1]	±		693

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PendingTransactions <PdgTxs>	[0..*]	±		693
	PendingReceiptBalance <PdgRctBal>	[0..*]			693
	Balance <Bal>	[1..1]	±		694
	PendingTransactions <PdgTxs>	[0..*]	±		694

14.4.3.5.3.1 TotalEligibleBalance <TtlElgblBal>

Presence: [1..1]

Definition: Total quantity of financial instruments of the balance.

TotalEligibleBalance <TtlElgblBal> contains one of the following elements (see "[Quantity80Choice](#)" on page 976 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	QuantityChoice <QtyChc>	[1..1]	±		977
Or}	ProprietaryQuantity <PrtryQty>	[1..1]			977
	ShortLongPosition <ShrtLngPos>	[0..1]	CodeSet		977
	Quantity <Qty>	[1..1]	Quantity		978
	QuantityType <QtyTp>	[1..1]	Text		978
	Issuer </ssr>	[1..1]	Text		978
	SchemeName <SchmeNm>	[0..1]	Text		978

14.4.3.5.3.2 UninstructedBalance <UinstdBal>

Presence: [1..1]

Definition: Quantity of securities in the sub-balance.

UninstructedBalance <UinstdBal> contains one of the following elements (see "[BalanceFormat14Choice](#)" on page 716 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Balance <Bal>	[1..1]	±		716
Or	EligibleBalance <ElgblBal>	[1..1]	±		716
Or}	NotEligibleBalance <NotElgblBal>	[1..1]	±		716

14.4.3.5.3.3 TotalInstructedBalanceDetails <TtlInstdBalDtls>

Presence: [1..1]

Definition: Provides information about the total instructed balance.

TotalInstructedBalanceDetails <TtlInstdBalDtls> contains the following **InstructedBalance17** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	TotalInstructedBalance <TtlInstdBal>	[1..1]	±		675
	TotalAcceptedInstructionBalance <TtlAccptdInstrBal>	[0..1]	±		675
	TotalCancelledInstructionBalance <TtlCancInstrBal>	[0..1]	±		676
	TotalPendingInstructionBalance <TtlPdglInstrBal>	[0..1]	±		676
	TotalRejectedInstructionBalance <TtlRjctdInstrBal>	[0..1]	±		676
	TotalProtectInstructionBalance <TtlPrctInstrBal>	[0..1]	±		676
	OptionDetails <OptnDtls>	[0..*]			677
	OptionNumber <OptnNb>	[0..1]	Text		679
	OptionType <OptnTp>	[1..1]	±		679
	InstructedBalance <InstdBal>	[1..1]	±		679
	DefaultAction <DfltActn>	[0..1]	±		679
	OptionAcceptedInstructedBalance <OptnAccptdInstdBal>	[0..1]	±		680
	OptionCancelledInstructionBalance <OptnCancInstrBal>	[0..1]	±		680
	OptionPendingInstructionBalance <OptnPdglInstrBal>	[0..1]	±		680
	OptionRejectedInstructionBalance <OptnRjctdInstrBal>	[0..1]	±		680
	OptionProtectInstructionBalance <OptnPrctInstrBal>	[0..1]	±		681
	EventDeadlines <EvtDdlns>	[1..1]			681
	EarlyResponseDeadline <EarlyRspnDdln>	[0..1]	±		681
	ResponseDeadline <RspnDdln>	[0..1]	±		682
	MarketDeadline <MktDdln>	[0..1]	±		682
	ProtectDeadline <PrctDdln>	[0..1]	±		683
	CoverProtectDeadline <CoverPrctDdln>	[0..1]	±		683
	OptionInstructionDetails <OptnInstrDtls>	[0..*]			683
	InstructionIdentification <InstrId>	[1..1]	Text		684
	InstructionSequenceNumber <InstrSeqNb>	[0..1]	Text		684
	ProtectIndicator <PrctInd>	[0..1]	CodeSet		684
	InstructionQuantity <InstrQty>	[1..1]	±	C11	685
	InstructionDate <InstrDt>	[1..1]	Date		685
	ProtectDate <PrctDt>	[0..1]	Date		685

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CoverProtectDate <CoverPrctDt>	[0..1]	Date		685
	BidPrice <BidPric>	[0..1]	±		686
	ConditionalQuantity <CondlQty>	[0..1]	±	C11	686
	CustomerReference <CstmrRef>	[0..1]	Text		686
	InstructionNarrative <InstrNrrtv>	[0..1]	Text		686
	InstructionStatus <InstrSts>	[1..1]			687
{Or	Accepted <Accptd>	[1..1]	±		687
Or	Cancelled <Canc>	[1..1]	±		687
Or	AcceptedForFurtherProcessing <AccptdForFrthrProg>	[1..1]	±		688
Or	Rejected <Rjctd>	[1..1]	±		688
Or	Pending <Pdg>	[1..1]	±		688
Or	PendingCancellation <PdgCxl>	[1..1]	±		689
Or	Covered <Cvrd>	[1..1]	±		689
Or}	Uncovered <Ucvrd>	[1..1]	±		689

14.4.3.5.3.3.1 TotalInstructedBalance <TtlInstBal>

Presence: [1..1]

Definition: Provides information about the total instructed balance.

TotalInstructedBalance <TtlInstBal> contains one of the following elements (see "BalanceFormat14Choice" on page 716 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Balance <Bal>	[1..1]	±		716
Or	EligibleBalance <ElgblBal>	[1..1]	±		716
Or}	NotEligibleBalance <NotElgblBal>	[1..1]	±		716

14.4.3.5.3.3.2 TotalAcceptedInstructionBalance <TtlAccptdInstrBal>

Presence: [0..1]

Definition: Daily total of all accepted instructions for given day. Cover protect instructions will be included in this total balance.

TotalAcceptedInstructionBalance <TtlAcptdInstrBal> contains the following elements (see "SignedQuantityFormat13" on page 973 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ShortLongPosition <ShrtLngPos>	[1..1]	CodeSet		973
	Quantity <Qty>	[1..1]	±	C19	974

14.4.3.5.3.3.3 TotalCancelledInstructionBalance <TtlCancInstrBal>

Presence: [0..1]

Definition: Daily total of cancelled instructions for a given day.

TotalCancelledInstructionBalance <TtlCancInstrBal> contains the following elements (see "SignedQuantityFormat13" on page 973 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ShortLongPosition <ShrtLngPos>	[1..1]	CodeSet		973
	Quantity <Qty>	[1..1]	±	C19	974

14.4.3.5.3.3.4 TotalPendingInstructionBalance <TtlPdgInstrBal>

Presence: [0..1]

Definition: Daily total of pending instructions in pending status. It includes cancel pending instructions.

TotalPendingInstructionBalance <TtlPdgInstrBal> contains the following elements (see "SignedQuantityFormat13" on page 973 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ShortLongPosition <ShrtLngPos>	[1..1]	CodeSet		973
	Quantity <Qty>	[1..1]	±	C19	974

14.4.3.5.3.3.5 TotalRejectedInstructionBalance <TtlRjctdInstrBal>

Presence: [0..1]

Definition: Daily total of rejected instructions.

TotalRejectedInstructionBalance <TtlRjctdInstrBal> contains the following elements (see "SignedQuantityFormat13" on page 973 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ShortLongPosition <ShrtLngPos>	[1..1]	CodeSet		973
	Quantity <Qty>	[1..1]	±	C19	974

14.4.3.5.3.3.6 TotalProtectInstructionBalance <TtlPrctInstrBal>

Presence: [0..1]

Definition: Daily total of all protect instructions sent in a given day.

TotalProtectInstructionBalance <TtlPrctInstrBal> contains the following elements (see "SignedQuantityFormat13" on page 973 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ShortLongPosition <ShrtLngPos>	[1..1]	CodeSet		973
	Quantity <Qty>	[1..1]	±	C19	974

14.4.3.5.3.3.7 OptionDetails <OptnDtls>

Presence: [0..*]

Definition: Provide instructed balance breakdown information per option.

OptionDetails <OptnDtls> contains the following **InstructedCorporateActionOption18** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	OptionNumber <OptnNb>	[0..1]	Text		679
	OptionType <OptnTp>	[1..1]	±		679
	InstructedBalance <InstdBal>	[1..1]	±		679
	DefaultAction <DfltActn>	[0..1]	±		679
	OptionAcceptedInstructedBalance <OptnAccptdInstdBal>	[0..1]	±		680
	OptionCancelledInstructionBalance <OptnCancInstrBal>	[0..1]	±		680
	OptionPendingInstructionBalance <OptnPdgInstrBal>	[0..1]	±		680
	OptionRejectedInstructionBalance <OptnRjctdInstrBal>	[0..1]	±		680
	OptionProtectInstructionBalance <OptnPrtctInstrBal>	[0..1]	±		681
	EventDeadlines <EvtDdlns>	[1..1]			681
	EarlyResponseDeadline <EarlyRspnDdln>	[0..1]	±		681
	ResponseDeadline <RspnDdln>	[0..1]	±		682
	MarketDeadline <MktDdln>	[0..1]	±		682
	ProtectDeadline <PrtctDdln>	[0..1]	±		683
	CoverProtectDeadline <CoverPrtctDdln>	[0..1]	±		683
	OptionInstructionDetails <OptnInstrDtls>	[0..*]			683
	InstructionIdentification <InstrId>	[1..1]	Text		684
	InstructionSequenceNumber <InstrSeqNb>	[0..1]	Text		684
	ProtectIndicator <PrtctInd>	[0..1]	CodeSet		684
	InstructionQuantity <InstrQty>	[1..1]	±	C11	685
	InstructionDate <InstrDt>	[1..1]	Date		685
	ProtectDate <PrtctDt>	[0..1]	Date		685
	CoverProtectDate <CoverPrtctDt>	[0..1]	Date		685
	BidPrice <BidPric>	[0..1]	±		686
	ConditionalQuantity <CondlQty>	[0..1]	±	C11	686
	CustomerReference <CstmrRef>	[0..1]	Text		686
	InstructionNarrative <InstrNrrtv>	[0..1]	Text		686
	InstructionStatus <InstrSts>	[1..1]			687
{Or	Accepted <Accptd>	[1..1]	±		687

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
Or	Cancelled <Canc>	[1..1]	±		687
Or	AcceptedForFurtherProcessing <AccptdForFrthrPrcg>	[1..1]	±		688
Or	Rejected <Rjctd>	[1..1]	±		688
Or	Pending <Pdg>	[1..1]	±		688
Or	PendingCancellation <PdgCxl>	[1..1]	±		689
Or	Covered <Cvrd>	[1..1]	±		689
Or}	Uncovered <Ucvrd>	[1..1]	±		689

14.4.3.5.3.3.7.1 OptionNumber <OptnNb>*Presence:* [0..1]*Definition:* Number identifying the available corporate action options.*Datatype:* "Exact3NumericText" on page 1173**14.4.3.5.3.3.7.2 OptionType <OptnTp>***Presence:* [1..1]*Definition:* Specifies the corporate action options available to the account owner.**OptionType <OptnTp>** contains one of the following elements (see "CorporateActionOption36Choice" on page 846 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		846
Or}	Proprietary <Prtry>	[1..1]	±		847

14.4.3.5.3.3.7.3 InstructedBalance <InstdBal>*Presence:* [1..1]*Definition:* Balance of instructed position.**InstructedBalance <InstdBal>** contains one of the following elements (see "BalanceFormat14Choice" on page 716 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Balance <Bal>	[1..1]	±		716
Or	EligibleBalance <ElgblBal>	[1..1]	±		716
Or}	NotEligibleBalance <NotElgblBal>	[1..1]	±		716

14.4.3.5.3.3.7.4 DefaultAction <DfltActn>*Presence:* [0..1]*Definition:* Indicates the default action related to a corporate action event.

DefaultAction <DfltActn> contains one of the following elements (see "DefaultProcessingOrStandingInstruction1Choice" on page 792 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	DefaultOptionIndicator <DfltOptnInd>	[1..1]	Indicator		792
Or}	StandingInstructionIndicator <StgInstrInd>	[1..1]	Indicator		792

14.4.3.5.3.3.7.5 OptionAcceptedInstructedBalance <OptnAccptdInstdBal>

Presence: [0..1]

Definition: Daily total of accepted instructions received for a given option.

OptionAcceptedInstructedBalance <OptnAccptdInstdBal> contains the following elements (see "SignedQuantityFormat13" on page 973 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ShortLongPosition <ShrtLngPos>	[1..1]	CodeSet		973
	Quantity <Qty>	[1..1]	±	C19	974

14.4.3.5.3.3.7.6 OptionCancelledInstructionBalance <OptnCncInstrBal>

Presence: [0..1]

Definition: Daily total of cancelled instructions for a given option.

OptionCancelledInstructionBalance <OptnCncInstrBal> contains the following elements (see "SignedQuantityFormat13" on page 973 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ShortLongPosition <ShrtLngPos>	[1..1]	CodeSet		973
	Quantity <Qty>	[1..1]	±	C19	974

14.4.3.5.3.3.7.7 OptionPendingInstructionBalance <OptnPdgInstrBal>

Presence: [0..1]

Definition: Daily total of pending instructions in pending status for a given option. It includes cancel pending instructions.

OptionPendingInstructionBalance <OptnPdgInstrBal> contains the following elements (see "SignedQuantityFormat13" on page 973 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ShortLongPosition <ShrtLngPos>	[1..1]	CodeSet		973
	Quantity <Qty>	[1..1]	±	C19	974

14.4.3.5.3.3.7.8 OptionRejectedInstructionBalance <OptnRjctdInstrBal>

Presence: [0..1]

Definition: Daily total of rejected instructions for a given option.

OptionRejectedInstructionBalance <OptnRjctdInstrBal> contains the following elements (see "SignedQuantityFormat13" on page 973 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ShortLongPosition <ShrtLngPos>	[1..1]	CodeSet		973
	Quantity <Qty>	[1..1]	±	C19	974

14.4.3.5.3.3.7.9 OptionProtectInstructionBalance <OptnPrtctInstrBal>

Presence: [0..1]

Definition: Daily total of all protect instructions for a given option.

OptionProtectInstructionBalance <OptnPrtctInstrBal> contains the following elements (see "SignedQuantityFormat13" on page 973 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ShortLongPosition <ShrtLngPos>	[1..1]	CodeSet		973
	Quantity <Qty>	[1..1]	±	C19	974

14.4.3.5.3.3.7.10 EventDeadlines <EvtDdlns>

Presence: [1..1]

Definition: Provides information about the deadlines related to a corporate action option.

EventDeadlines <EvtDdlns> contains the following **CorporateActionEventDeadlines4** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	EarlyResponseDeadline <EarlyRspnDdln>	[0..1]	±		681
	ResponseDeadline <RspnDdln>	[0..1]	±		682
	MarketDeadline <MktDdln>	[0..1]	±		682
	ProtectDeadline <PrtctDdln>	[0..1]	±		683
	CoverProtectDeadline <CoverPrtctDdln>	[0..1]	±		683

14.4.3.5.3.3.7.10.1 EarlyResponseDeadline <EarlyRspnDdln>

Presence: [0..1]

Definition: Date/time that the account servicer has set as the deadline to respond, with instructions, to an outstanding event, giving the holder eligibility to incentives. This time is dependent on the reference time zone of the account servicer as specified in a Service Level Agreement (SLA).

EarlyResponseDeadline <EarlyRspnDdln> contains one of the following elements (see "DateFormat49Choice" on page 729 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		730
Or}	DateCode <DtCd>	[1..1]			730
{Or	Code <Cd>	[1..1]	CodeSet		730
Or}	Proprietary <Prtry>	[1..1]	±		730

14.4.3.5.3.3.7.10.2 ResponseDeadline <RspnDdln>

Presence: [0..1]

Definition: Date/time at which the account servicer has set as the deadline to respond, with instructions, to an outstanding event. This time is dependent on the reference time zone of the account servicer as specified in a Service Level Agreement (SLA).

ResponseDeadline <RspnDdln> contains one of the following elements (see "DateFormat54Choice" on page 727 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		727
Or	DateCodeAndTime <DtCdAndTm>	[1..1]			727
	DateCode <DtCd>	[1..1]			728
{Or	Code <Cd>	[1..1]	CodeSet		728
Or}	Proprietary <Prtry>	[1..1]	±		728
	Time <Tm>	[1..1]	Time		728
Or}	DateCode <DtCd>	[1..1]			729
{Or	Code <Cd>	[1..1]	CodeSet		729
Or}	Proprietary <Prtry>	[1..1]	±		729

14.4.3.5.3.3.7.10.3 MarketDeadline <MktDdln>

Presence: [0..1]

Definition: Issuer or issuer's agent deadline to respond with an instruction to an outstanding offer or privilege.

MarketDeadline <MktDdln> contains one of the following elements (see "DateFormat49Choice" on page 729 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		730
Or}	DateCode <DtCd>	[1..1]			730
{Or	Code <Cd>	[1..1]	CodeSet		730
Or}	Proprietary <Prtry>	[1..1]	±		730

14.4.3.5.3.3.7.10.4 ProtectDeadline <PrctDdln>

Presence: [0..1]

Definition: Deadline for protect instructions.

ProtectDeadline <PrctDdln> contains one of the following elements (see "DateFormat49Choice" on page 729 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		730
Or}	DateCode <DtCd>	[1..1]			730
{Or	Code <Cd>	[1..1]	CodeSet		730
Or}	Proprietary <Prtry>	[1..1]	±		730

14.4.3.5.3.3.7.10.5 CoverProtectDeadline <CoverPrctDdln>

Presence: [0..1]

Definition: Deadline for covering a protect instruction.

CoverProtectDeadline <CoverPrctDdln> contains one of the following elements (see "DateFormat49Choice" on page 729 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		730
Or}	DateCode <DtCd>	[1..1]			730
{Or	Code <Cd>	[1..1]	CodeSet		730
Or}	Proprietary <Prtry>	[1..1]	±		730

14.4.3.5.3.3.7.11 OptionInstructionDetails <OptnInstrDtls>

Presence: [0..*]

Definition: Instructions details received for the given option.

OptionInstructionDetails <OptnInstrDtls> contains the following **OptionInstructionDetails8** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	InstructionIdentification <InstrId>	[1..1]	Text		684
	InstructionSequenceNumber <InstrSeqNb>	[0..1]	Text		684
	ProtectIndicator <PrctInd>	[0..1]	CodeSet		684
	InstructionQuantity <InstrQty>	[1..1]	±	C11	685
	InstructionDate <InstrDt>	[1..1]	Date		685
	ProtectDate <PrctDt>	[0..1]	Date		685
	CoverProtectDate <CoverPrctDt>	[0..1]	Date		685
	BidPrice <BidPric>	[0..1]	±		686
	ConditionalQuantity <CondQty>	[0..1]	±	C11	686
	CustomerReference <CstmrRef>	[0..1]	Text		686
	InstructionNarrative <InstrNrrtv>	[0..1]	Text		686
	InstructionStatus <InstrSts>	[1..1]			687
{Or	Accepted <Accptd>	[1..1]	±		687
Or	Cancelled <Canc>	[1..1]	±		687
Or	AcceptedForFurtherProcessing <AccptdForFrthrPrpg>	[1..1]	±		688
Or	Rejected <Rjctd>	[1..1]	±		688
Or	Pending <Pdg>	[1..1]	±		688
Or	PendingCancellation <PdgCxl>	[1..1]	±		689
Or	Covered <Cvrd>	[1..1]	±		689
Or}	Uncovered <Ucvrd>	[1..1]	±		689

14.4.3.5.3.3.7.11.1 InstructionIdentification <InstrId>

Presence: [1..1]

Definition: Identifies the instruction or protect instruction.

Datatype: "RestrictedFINMax15Text" on page 1176

14.4.3.5.3.3.7.11.2 InstructionSequenceNumber <InstrSeqNb>

Presence: [0..1]

Definition: Provides the sequence number of the instruction.

Datatype: "Max3NumericText" on page 1175

14.4.3.5.3.3.7.11.3 ProtectIndicator <PrctInd>

Presence: [0..1]

Definition: Indicates whether the instruction is a protect or a cover protect instruction.

Datatype: "ProtectTransactionType2Code" on page 1159

CodeName	Name	Definition
PROT	ProtectTransaction	Reorganisation is a protect transaction type.
COVP	CoverOnBehalfOfAnotherParticipantTransaction	Reorganisation is a cover on behalf of another participant transaction type.
COVR	CoverProtectTransaction	Reorganisation is a cover protect transaction type.

14.4.3.5.3.3.7.11.4 InstructionQuantity <InstrQty>

Presence: [1..1]

Definition: Securities quantity instructed in the instruction.

Impacted by: C11 "DigitalTokenUnitUsageRule"

InstructionQuantity <InstrQty> contains one of the following elements (see "FinancialInstrumentQuantity36Choice" on page 986 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		986
Or	FaceAmount <FaceAmt>	[1..1]	Amount		986
Or	AmortisedValue <AmtsdVal>	[1..1]	Amount		986
Or}	DigitalTokenUnit <DgtlTknUnit>	[1..1]	Quantity		986

Constraints

- **DigitalTokenUnitUsageRule**

DigitalTokenUnit format may only be used for instruments identified with a digital token identifier and when the related account identification is provided as a blockchain address or wallet identification (using BlockchainAddressOrWallet element as the account identification).

14.4.3.5.3.3.7.11.5 InstructionDate <InstrDt>

Presence: [1..1]

Definition: Date of the instruction.

Datatype: "ISODate" on page 1168

14.4.3.5.3.3.7.11.6 ProtectDate <PrctDt>

Presence: [0..1]

Definition: Date of the client protect instruction.

Datatype: "ISODate" on page 1168

14.4.3.5.3.3.7.11.7 CoverProtectDate <CoverPrctDt>

Presence: [0..1]

Definition: Date of the cover protect Instruction

Datatype: "ISODate" on page 1168

14.4.3.5.3.3.7.11.8 BidPrice <BidPric>

Presence: [0..1]

Definition: Bid price of the instruction.

BidPrice <BidPric> contains one of the following elements (see "PriceFormat57Choice" on page 967 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	PercentagePrice <PctgPric>	[1..1]			968
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		968
	PriceValue <PricVal>	[1..1]	Rate		968
Or	AmountPrice <AmtPric>	[1..1]	±		968
Or}	NotSpecifiedPrice <NotSpcfdPric>	[1..1]	CodeSet		969

14.4.3.5.3.3.7.11.9 ConditionalQuantity <CondlQty>

Presence: [0..1]

Definition: Conditional quantity of the instruction.

Impacted by: C11 "DigitalTokenUnitUsageRule"

ConditionalQuantity <CondlQty> contains one of the following elements (see "FinancialInstrumentQuantity36Choice" on page 986 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		986
Or	FaceAmount <FaceAmt>	[1..1]	Amount		986
Or	AmortisedValue <AmtsdVal>	[1..1]	Amount		986
Or}	DigitalTokenUnit <DgtlTknUnit>	[1..1]	Quantity		986

Constraints

- **DigitalTokenUnitUsageRule**

DigitalTokenUnit format may only be used for instruments identified with a digital token identifier and when the related account identification is provided as a blockchain address or wallet identification (using BlockChainAddressOrWallet element as the account identification).

14.4.3.5.3.3.7.11.10 CustomerReference <CstmrRef>

Presence: [0..1]

Definition: Customer related narrative information.

Datatype: "RestrictedFINMax50Text" on page 1177

14.4.3.5.3.3.7.11.11 InstructionNarrative <InstrNrrtv>

Presence: [0..1]

Definition: Narrative information from the submitted instruction.

Datatype: "RestrictedFINXMax350Text" on page 1179

14.4.3.5.3.3.7.11.12 InstructionStatus <InstrSts>

Presence: [1..1]

Definition: Status of a particular instruction.

InstructionStatus <InstrSts> contains one of the following **InstructionProcessingStatus48Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Accepted <Accptd>	[1..1]	±		687
Or	Cancelled <Canc>	[1..1]	±		687
Or	AcceptedForFurtherProcessing <AccptdForFrthrPrg>	[1..1]	±		688
Or	Rejected <Rjctd>	[1..1]	±		688
Or	Pending <Pdg>	[1..1]	±		688
Or	PendingCancellation <PdgCxl>	[1..1]	±		689
Or	Covered <Cvrd>	[1..1]	±		689
Or}	Uncovered <Ucvrd>	[1..1]	±		689

14.4.3.5.3.3.7.11.12.1 Accepted <Accptd>

Presence: [1..1]

Definition: Instruction is accepted.

Accepted <Accptd> contains the following elements (see "NoSpecifiedReason1" on page 1058 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NoSpecifiedReason <NoSpctdRsn>	[1..1]	CodeSet		1058

14.4.3.5.3.3.7.11.12.2 Cancelled <Canc>

Presence: [1..1]

Definition: Instruction cancelled by client cancellation instruction.

Cancelled <Canc> contains one of the following elements (see "[CancelledStatus15Choice](#)" on page 1082 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	NoSpecifiedReason <NoSpcfdRsn>	[1..1]	CodeSet		1082
Or}	Reason <Rsn>	[1..*]		C3	1082
	ReasonCode <RsnCd>	[1..1]			1083
{Or	Code <Cd>	[1..1]	CodeSet		1083
Or}	Proprietary <Prtry>	[1..1]	±		1083
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		1084

14.4.3.5.3.3.7.11.12.3 AcceptedForFurtherProcessing <AccptdForFrthrPrcg>

Presence: [1..1]

Definition: Provides status information related to an instruction request that is accepted. This means that the instruction has been received, is processable and has been validated for further processing.

AcceptedForFurtherProcessing <AccptdForFrthrPrcg> contains the following elements (see "[NoSpecifiedReason1](#)" on page 1058 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NoSpecifiedReason <NoSpcfdRsn>	[1..1]	CodeSet		1058

14.4.3.5.3.3.7.11.12.4 Rejected <Rjctd>

Presence: [1..1]

Definition: Instruction rejected by the receiver.

Rejected <Rjctd> contains one of the following elements (see "[RejectedStatus45Choice](#)" on page 1058 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	NoSpecifiedReason <NoSpcfdRsn>	[1..1]	CodeSet		1058
Or}	Reason <Rsn>	[1..*]		C4	1059
	ReasonCode <RsnCd>	[1..1]			1059
{Or	Code <Cd>	[1..1]	CodeSet		1059
Or}	Proprietary <Prtry>	[1..1]	±		1061
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		1061

14.4.3.5.3.3.7.11.12.5 Pending <Pdg>

Presence: [1..1]

Definition: Instruction has not been completed.

Pending <Pdg> contains the following elements (see "NoSpecifiedReason1" on page 1058 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NoSpecifiedReason <NoSpdfdRsn>	[1..1]	CodeSet		1058

14.4.3.5.3.3.7.11.12.6 PendingCancellation <PdgCxl>

Presence: [1..1]

Definition: Cancellation instruction is pending.

PendingCancellation <PdgCxl> contains one of the following elements (see "PendingCancellationStatus9Choice" on page 1072 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	NotSpecifiedReason <NotSpdfdRsn>	[1..1]	CodeSet		1072
Or}	Reason <Rsn>	[1..*]		C1, C5	1072
	ReasonCode <RsnCd>	[1..1]			1073
{Or	Code <Cd>	[1..1]	CodeSet		1073
Or}	Proprietary <Prtry>	[1..1]	±		1073
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		1074

14.4.3.5.3.3.7.11.12.7 Covered <Cvrd>

Presence: [1..1]

Definition: Protect Instruction has been full covered.

Covered <Cvrd> contains the following elements (see "NoSpecifiedReason1" on page 1058 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NoSpecifiedReason <NoSpdfdRsn>	[1..1]	CodeSet		1058

14.4.3.5.3.3.7.11.12.8 Uncovered <Ucvrd>

Presence: [1..1]

Definition: Cover protect instruction accepted but it has not fully covered the protect instruction.

Uncovered <Ucvrd> contains the following elements (see "NoSpecifiedReason1" on page 1058 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NoSpecifiedReason <NoSpdfdRsn>	[1..1]	CodeSet		1058

14.4.3.5.3.4 BlockedBalance <BlckdBal>

Presence: [0..1]

Definition: Balance of financial instruments that are blocked.

BlockedBalance <BldkdBal> contains the following elements (see "[SignedQuantityFormat13](#)" on page 973 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ShortLongPosition <ShrtLngPos>	[1..1]	CodeSet		973
	Quantity <Qty>	[1..1]	±	C19	974

14.4.3.5.3.5 BorrowedBalance <BrrwdBal>

Presence: [0..1]

Definition: Balance of financial instruments that have been borrowed from another party.

BorrowedBalance <BrrwdBal> contains the following elements (see "[SignedQuantityFormat13](#)" on page 973 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ShortLongPosition <ShrtLngPos>	[1..1]	CodeSet		973
	Quantity <Qty>	[1..1]	±	C19	974

14.4.3.5.3.6 CollateralInBalance <CollInBal>

Presence: [0..1]

Definition: Balance of securities that belong to a third party and that are held for the purpose of collateralisation.

CollateralInBalance <CollInBal> contains the following elements (see "[SignedQuantityFormat13](#)" on page 973 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ShortLongPosition <ShrtLngPos>	[1..1]	CodeSet		973
	Quantity <Qty>	[1..1]	±	C19	974

14.4.3.5.3.7 CollateralOutBalance <CollOutBal>

Presence: [0..1]

Definition: Balance of securities that belong to the safekeeping account indicated within this message, and are deposited with a third party for the purpose of collateralisation.

CollateralOutBalance <CollOutBal> contains the following elements (see "[SignedQuantityFormat13](#)" on page 973 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ShortLongPosition <ShrtLngPos>	[1..1]	CodeSet		973
	Quantity <Qty>	[1..1]	±	C19	974

14.4.3.5.3.8 OnLoanBalance <OnLnBal>

Presence: [0..1]

Definition: Balance of financial instruments that have been loaned to a third party.

OnLoanBalance <OnLnBal> contains the following elements (see "[SignedQuantityFormat13](#)" on page 973 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ShortLongPosition <ShrtLngPos>	[1..1]	CodeSet		973
	Quantity <Qty>	[1..1]	±	C19	974

14.4.3.5.3.9 OutForRegistrationBalance <OutForRegnBal>

Presence: [0..1]

Definition: Balance of financial instruments currently being processed by the institution responsible for registering the new beneficial owner (or nominee).

OutForRegistrationBalance <OutForRegnBal> contains the following elements (see "[SignedQuantityFormat13](#)" on page 973 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ShortLongPosition <ShrtLngPos>	[1..1]	CodeSet		973
	Quantity <Qty>	[1..1]	±	C19	974

14.4.3.5.3.10 SettlementPositionBalance <SttlmPosBal>

Presence: [0..1]

Definition: Balance of securities representing only settled transactions; pending transactions not included.

SettlementPositionBalance <SttlmPosBal> contains the following elements (see "[SignedQuantityFormat13](#)" on page 973 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ShortLongPosition <ShrtLngPos>	[1..1]	CodeSet		973
	Quantity <Qty>	[1..1]	±	C19	974

14.4.3.5.3.11 StreetPositionBalance <StrtPosBal>

Presence: [0..1]

Definition: Balance of financial instruments that remain registered in the name of the prior beneficial owner.

StreetPositionBalance <StrtPosBal> contains the following elements (see "[SignedQuantityFormat13](#)" on page 973 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ShortLongPosition <ShrtLngPos>	[1..1]	CodeSet		973
	Quantity <Qty>	[1..1]	±	C19	974

14.4.3.5.3.12 TradeDatePositionBalance <TradDtPosBal>*Presence:* [0..1]*Definition:* Balance of securities based on trade date, for example, includes all pending transactions in addition to the balance of settled transactions.**TradeDatePositionBalance <TradDtPosBal>** contains the following elements (see "[SignedQuantityFormat13](#)" on page 973 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ShortLongPosition <ShrtLngPos>	[1..1]	CodeSet		973
	Quantity <Qty>	[1..1]	±	C19	974

14.4.3.5.3.13 InTransshipmentBalance <InTrnsShpmtBal>*Presence:* [0..1]*Definition:* Balance of physical securities that are in the process of being transferred from one depository/agent to another.**InTransshipmentBalance <InTrnsShpmtBal>** contains the following elements (see "[SignedQuantityFormat13](#)" on page 973 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ShortLongPosition <ShrtLngPos>	[1..1]	CodeSet		973
	Quantity <Qty>	[1..1]	±	C19	974

14.4.3.5.3.14 RegisteredBalance <RegdBal>*Presence:* [0..1]*Definition:* Balance of financial instruments that are registered (in the name of a nominee name or of the beneficial owner).**RegisteredBalance <RegdBal>** contains the following elements (see "[SignedQuantityFormat13](#)" on page 973 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ShortLongPosition <ShrtLngPos>	[1..1]	CodeSet		973
	Quantity <Qty>	[1..1]	±	C19	974

14.4.3.5.3.15 ObligatedBalance <OblgtdBal>*Presence:* [0..1]*Definition:* Position that account holders should return to the account servicer to participate in the event or to fulfil their obligation for the event to be complete, for example, return of securities for late announced drawing.

ObligatedBalance <OblgtdBal> contains the following elements (see "SignedQuantityFormat13" on page 973 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ShortLongPosition <ShrtLngPos>	[1..1]	CodeSet		973
	Quantity <Qty>	[1..1]	±	C19	974

14.4.3.5.3.16 PendingDeliveryBalance <PdgDlvryBal>

Presence: [0..*]

Definition: Balance of financial instruments that are pending delivery.

PendingDeliveryBalance <PdgDlvryBal> contains the following **PendingBalance8** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Balance <Bal>	[1..1]	±		693
	PendingTransactions <PdgTx>	[0..*]	±		693

14.4.3.5.3.16.1 Balance <Bal>

Presence: [1..1]

Definition: Signed quantity of balance.

Balance <Bal> contains the following elements (see "SignedQuantityFormat13" on page 973 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ShortLongPosition <ShrtLngPos>	[1..1]	CodeSet		973
	Quantity <Qty>	[1..1]	±	C19	974

14.4.3.5.3.16.2 PendingTransactions <PdgTx>

Presence: [0..*]

Definition: Overall process covering the trade and settlement transactions of financial instruments.

PendingTransactions <PdgTx> contains the following elements (see "SettlementTypeAndIdentification26" on page 786 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Payment <Pmt>	[1..1]	CodeSet		786
	TransactionIdentification <TxId>	[1..1]	Text		786
	SettlementDate <SttlmDt>	[0..1]	±		787

14.4.3.5.3.17 PendingReceiptBalance <PdgRctBal>

Presence: [0..*]

Definition: Balance of financial instruments that are pending receipt.

PendingReceiptBalance <PdgRctBal> contains the following **PendingBalance8** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Balance <Bal>	[1..1]	±		694
	PendingTransactions <PdgTx>	[0..*]	±		694

14.4.3.5.3.17.1 Balance <Bal>

Presence: [1..1]

Definition: Signed quantity of balance.

Balance <Bal> contains the following elements (see "[SignedQuantityFormat13](#)" on page 973 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ShortLongPosition <ShrtLngPos>	[1..1]	CodeSet		973
	Quantity <Qty>	[1..1]	±	C19	974

14.4.3.5.3.17.2 PendingTransactions <PdgTx>

Presence: [0..*]

Definition: Overall process covering the trade and settlement transactions of financial instruments.

PendingTransactions <PdgTx> contains the following elements (see "[SettlementTypeAndIdentification26](#)" on page 786 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Payment <Pmt>	[1..1]	CodeSet		786
	TransactionIdentification <TxId>	[1..1]	Text		786
	SettlementDate <SttlmDt>	[0..1]	±		787

14.4.3.5.4 SupplementaryData <SplmtryData>

Presence: [0..*]

Definition: Provides additional information related to the event and the balance of the corporate action.

Impacted by: C21 "[SupplementaryDataRule](#)"

SupplementaryData <SplmtryData> contains the following elements (see "[SupplementaryData1](#)" on page 916 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PlaceAndName <PlcAndNm>	[0..1]	Text		916
	Envelope <Envlp>	[1..1]	(External Schema)		916

Constraints

- **SupplementaryDataRule**

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

14.4.4 **SupplementaryData <SplmtryData>**

Presence: [0..*]

Definition: Additional information that can not be captured in the structured fields and/or any other specific block.

Impacted by: C21 "SupplementaryDataRule"

SupplementaryData <SplmtryData> contains the following elements (see "[SupplementaryData1](#)" on page 916 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PlaceAndName <PlcAndNm>	[0..1]	Text		916
	Envelope <Envlp>	[1..1]	(External Schema)		916

Constraints

- **SupplementaryDataRule**

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

15 seev.044.002.11 CorporateActionMovementPreliminaryAdvice CancellationAdvice002V11

15.1 MessageDefinition Functionality

Scope

The CorporateActionMovementPreliminaryAdviceCancellationAdvice message is sent by an account servicer to an account owner or its designated agent to cancel a previously announced CorporateActionMovementPreliminaryAdvice.

Usage

The message may also be used to:

- re-send a message previously sent (the sub-function of the message is Duplicate),
- provide a third party with a copy of a message for information (the sub-function of the message is Copy),
- re-send to a third party a copy of a message for information (the sub-function of the message is Copy Duplicate), using the relevant elements in the business application header (BAH).

Outline

The CorporateActionMovementPreliminaryAdviceCancellationAdvice002V11 MessageDefinition is composed of 14 MessageBuildingBlocks:

- MovementPreliminaryAdviceIdentification**
Identification of a previously sent movement preliminary advice document.
- CorporateActionGeneralInformation**
General information about the corporate action event.
- AccountDetails**
General information about the safekeeping account and the account owner.
- CorporateActionDetails**
Information about the corporate action event.
- IssuerAgent**
Party appointed to administer the event on behalf of the issuer company/offeror. The party may be contacted for more information about the event.
- PayingAgent**
Agent (principal or fiscal paying agent) appointed to execute the payment for the corporate action event on behalf of the issuer company/offeror.
- SubPayingAgent**

Sub-agent appointed to execute the payment for the corporate action event on behalf of the issuer company/offeror.

H. Registrar

Party/agent responsible for maintaining the register of a security.

I. ResellingAgent

Broker-dealer responsible for reselling to new investors securities (usually bonds) that have been tendered for purchase by their owner.

J. PhysicalSecuritiesAgent

Trust company, bank or similar financial institution assigned by an issuer to accept presentations of instruments, usually bonds, for transfer and or exchange.

K. DropAgent

Trust company, bank or similar financial institution that acts on behalf of an out-of-town agent or event agent where securities can be delivered in person.

L. SolicitationAgent

Trust company, bank or similar financial institution assigned by an issuer to maintain records of investors and account balances and transactions for the consent of a material change.

M. InformationAgent

Trust company, bank or similar financial institution assigned by an Issuer to provide information and copies of the offering documentation.

N. SupplementaryData

Additional information that can not be captured in the structured fields and/or any other specific block.

15.2 Structure

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	Message root <Document> <CorpActnMvmntPrimryAdvCxlAdvC>	[1..1]			
	MovementPreliminaryAdviceIdentification <MvmntPrimryAdvCld>	[1..1]	±		700
	CorporateActionGeneralInformation <CorpActnGnlInf>	[1..1]		C8	701
	CorporateActionEventIdentification <CorpActnEvtId>	[1..1]	Text		701
	OfficialCorporateActionEventIdentification <OffclCorpActnEvtId>	[0..1]	Text		701
	ClassActionNumber <ClsActnNb>	[0..1]	Text		701
	EventType <EvtTp>	[1..1]	±	C5	702
	MandatoryVoluntaryEventType <MndtryVlntryEvtTp>	[1..1]	±		702
	FinancialInstrumentIdentification <FinInstrmId>	[1..1]	±	C3, C4, C6, C7, C9	702
	AccountDetails <AcctDtls>	[1..1]			703
{Or	ForAllAccounts <ForAllAccts>	[1..1]	±		704
Or}	AccountsList <AcctsList>	[1..*]		C10, C11, C12, C13	704
	SafekeepingAccount <SfkpgAcct>	[0..1]	Text		705
	BlockchainAddressOrWallet <BckChainAdrOrWlt>	[0..1]	Text		705
	AccountOwner <AcctOwnr>	[0..1]	±		705
	SafekeepingPlace <SfkpgPlc>	[0..1]	±		705
	CorporateActionDetails <CorpActnDtls>	[0..1]			706
	DateDetails <DtDtls>	[0..1]			706
	RecordDate <RcrdDt>	[0..1]	±		706
	ExDividendDate <ExDvddDt>	[0..1]	±		707
	EventStage <EvtStag>	[0..1]	±		707
	LotteryType <LtryTp>	[0..1]	±		707
	IssuerAgent <IssrAgt>	[0..*]	±		708
	PayingAgent <PngAgt>	[0..*]	±		708
	SubPayingAgent <SubPngAgt>	[0..*]	±		708
	Registrar <Regar>	[0..1]	±		709
	ResellingAgent <RsellingAgt>	[0..*]	±		709

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	PhysicalSecuritiesAgent <PhysSctiesAgt>	[0..1]	±		709
	DropAgent <DrpAgt>	[0..1]	±		710
	SolicitationAgent <SlctnAgt>	[0..*]	±		710
	InformationAgent <InfAgt>	[0..1]	±		710
	SupplementaryData <SplmtryData>	[0..*]	±	C14	711

15.3 Constraints

C1 AnyBIC

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

C2 Country

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

C3 DescriptionPresenceRule

If Description is not present then either ISIN or at least one occurrence of OtherIdentification must be present.

C4 DescriptionUsageRule

Description must be used alone as the last resort.

C5 EventTypeRule

If Code is present, the code OTHR (Other) must only be used in case no other corporate action event code is appropriate.

If Code is present, the code CHAN (Change) must only be used in case no other corporate action event code is appropriate and only for an event which relates to a change.

If Code is present, the code REDM (Final Maturity) must only be used when the redemption is decided by the issuer and not by the securities holders.

C6 ISINGuideline

When a ISIN code exist. It is strongly recommended that the ISIN be used.

C7 ISINPresenceRule

If ISIN is not present then either Description or at least one occurrence of OtherIdentification must be present.

C8 OfficialCorporateActionEventReference

The Securities Market Practice Group (SMPG) has published market practice recommendations on the structure and usage of the Official Corporate Action Event Reference

(COAF). Those recommendations are available in the SMPG Global Market Practices Part 1 document on www.smpg.info.

C9 OtherIdentificationPresenceRule

If OtherIdentification is not present then either ISIN or Description must be present.

C10 SafekeepingAccountOrBlockChainAddress1Rule

Either SafekeepingAccount or BlockChainAddressOrWallet must be present but not both.

C11 SafekeepingAccountOrBlockChainAddress2Rule

If BlockChainAddressOrWallet is present then SafekeepingAccount must be absent.

C12 SafekeepingAccountOrBlockChainAddress3Rule

If SafekeepingAccount is present, then BlockChainAddressOrWallet must be absent.

C13 SafekeepingPlaceRule

The SafekeepingPlace is only to be used by global custodians that allow their clients to specify where the securities are to be held, for example, in an ICSD account versus an account at the local CSD.

C14 SupplementaryDataRule

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

C15 WithLinkageRule

If Code WITH is used, then the one or more instruction which are linked become bound and which must be executed together. Even if one single transactions/instructions/notifications can not be executed, then all the other transactions/instructions/notifications must also be kept pending. Therefore the use of code WITH must be limited to combine up to 2 or 3 transactions/instructions/notifications.

15.4 Message Building Blocks

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

15.4.1 MovementPreliminaryAdviceIdentification <MvmntPrlmryAdvcl>

Presence: [1..1]

Definition: Identification of a previously sent movement preliminary advice document.

MovementPreliminaryAdviceIdentification <MvmntPrlmryAdvcl> contains the following elements (see "DocumentIdentification37" on page 736 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		737
	LinkageType <LkgTp>	[0..1]	±	C78	737

15.4.2 CorporateActionGeneralInformation <CorpActnGnlInf>

Presence: [1..1]

Definition: General information about the corporate action event.

Impacted by: C8 "OfficialCorporateActionEventReference"

CorporateActionGeneralInformation <CorpActnGnlInf> contains the following **CorporateActionGeneralInformation150** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CorporateActionEventIdentification <CorpActnEvtId>	[1..1]	Text		701
	OfficialCorporateActionEventIdentification <OffclCorpActnEvtId>	[0..1]	Text		701
	ClassActionNumber <ClsActnNb>	[0..1]	Text		701
	EventType <EvtTp>	[1..1]	±	C5	702
	MandatoryVoluntaryEventType <MndtryVlntryEvtTp>	[1..1]	±		702
	FinancialInstrumentIdentification <FinInstrmId>	[1..1]	±	C3, C4, C6, C7, C9	702

Constraints

- **OfficialCorporateActionEventReference**

The Securities Market Practice Group (SMPG) has published market practice recommendations on the structure and usage of the Official Corporate Action Event Reference (COAF). Those recommendations are available in the SMPG Global Market Practices Part 1 document on www.smpg.info.

15.4.2.1 CorporateActionEventIdentification <CorpActnEvtId>

Presence: [1..1]

Definition: Reference assigned by the account servicer to unambiguously identify a corporate action event.

Datatype: "RestrictedFINXMax16Text" on page 1177

15.4.2.2 OfficialCorporateActionEventIdentification <OffclCorpActnEvtId>

Presence: [0..1]

Definition: Official and unique reference assigned by the official central body/entity within each market at the beginning of a corporate action event.

Datatype: "RestrictedFINXMax16Text" on page 1177

15.4.2.3 ClassActionNumber <ClsActnNb>

Presence: [0..1]

Definition: Reference assigned by a court to a class action.

Datatype: "RestrictedFINXMax16Text" on page 1177

15.4.2.4 EventType <EvtTp>

Presence: [1..1]*Definition:* Type of corporate action event.*Impacted by:* C5 "EventTypeRule"

EventType <EvtTp> contains one of the following elements (see "CorporateActionEventType97Choice" on page 828 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		828
Or}	Proprietary <Prtry>	[1..1]	±		834

Constraints

- **EventTypeRule**

If Code is present, the code OTHR (Other) must only be used in case no other corporate action event code is appropriate.

If Code is present, the code CHAN (Change) must only be used in case no other corporate action event code is appropriate and only for an event which relates to a change.

If Code is present, the code REDM (Final Maturity) must only be used when the redemption is decided by the issuer and not by the securities holders.

15.4.2.5 MandatoryVoluntaryEventType <MndtryVlntryEvtTp>

Presence: [1..1]*Definition:* Specifies whether the event is mandatory, mandatory with options or voluntary.

MandatoryVoluntaryEventType <MndtryVlntryEvtTp> contains one of the following elements (see "CorporateActionMandatoryVoluntary4Choice" on page 908 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		908
Or}	Proprietary <Prtry>	[1..1]	±		908

15.4.2.6 FinancialInstrumentIdentification <FinInstrmId>

Presence: [1..1]*Definition:* Identification of the security concerned by the corporate action.

Impacted by: C3 "DescriptionPresenceRule", C4 "DescriptionUsageRule", C6 "ISINGuideline", C7 "ISINPresenceRule", C9 "OtherIdentificationPresenceRule"

FinancialInstrumentIdentification <FinInstrmId> contains the following elements (see "SecurityIdentification20" on page 748 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ISIN <ISIN>	[0..1]	IdentifierSet		749
	OtherIdentification <OthrlId>	[0..*]			749
	Identification <Id>	[1..1]	Text		750
	Suffix <Sfx>	[0..1]	Text		750
	Type <Tp>	[1..1]	±		750
	Description <Desc>	[0..1]	Text		750

Constraints

- **DescriptionPresenceRule**

If Description is not present then either ISIN or at least one occurrence of OtherIdentification must be present.

```
On Condition
  /Description is absent
Following Must be True
  /ISIN Must be present
Or    /OtherIdentification[*] Must be present
```

- **DescriptionUsageRule**

Description must be used alone as the last resort.

- **ISINGuideline**

When a ISIN code exist. It is strongly recommended that the ISIN be used.

- **ISINPresenceRule**

If ISIN is not present then either Description or at least one occurrence of OtherIdentification must be present.

```
On Condition
  /ISIN is absent
Following Must be True
  /OtherIdentification[*] Must be present
Or    /Description Must be present
```

- **OtherIdentificationPresenceRule**

If OtherIdentification is not present then either ISIN or Description must be present.

```
On Condition
  /OtherIdentification[*] is absent
Following Must be True
  /ISIN Must be present
Or    /Description Must be present
```

15.4.3 AccountDetails <AcctDtls>

Presence: [1..1]

Definition: General information about the safekeeping account and the account owner.

AccountDetails <AcctDtls> contains one of the following **AccountIdentification50Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	ForAllAccounts <ForAllAccts>	[1..1]	±		704
Or}	AccountsList <AcctsList>	[1..*]		C10, C11, C12, C13	704
	SafekeepingAccount <SfkpgAcct>	[0..1]	Text		705
	BlockChainAddressOrWallet <BlckChainAdrOrWlt>	[0..1]	Text		705
	AccountOwner <AcctOwnr>	[0..1]	±		705
	SafekeepingPlace <SfkpgPlc>	[0..1]	±		705

15.4.3.1 ForAllAccounts <ForAllAccts>

Presence: [1..1]

Definition: All safekeeping accounts that own underlying financial instrument.

ForAllAccounts <ForAllAccts> contains the following elements (see ["AccountIdentification10"](#) on page 712 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	IdentificationCode <IdCd>	[1..1]	CodeSet		712

15.4.3.2 AccountsList <AcctsList>

Presence: [1..*]

Definition: Selected safekeeping accounts list to which the corporate action event applies.

Impacted by: C10 "SafekeepingAccountOrBlockChainAddress1Rule", C11 "SafekeepingAccountOrBlockChainAddress2Rule", C12 "SafekeepingAccountOrBlockChainAddress3Rule", C13 "SafekeepingPlaceRule"

AccountsList <AcctsList> contains the following **AccountIdentification61** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	SafekeepingAccount <SfkpgAcct>	[0..1]	Text		705
	BlockChainAddressOrWallet <BlckChainAdrOrWlt>	[0..1]	Text		705
	AccountOwner <AcctOwnr>	[0..1]	±		705
	SafekeepingPlace <SfkpgPlc>	[0..1]	±		705

Constraints

- **SafekeepingAccountOrBlockChainAddress1Rule**

Either SafekeepingAccount or BlockChainAddressOrWallet must be present but not both.

Following Must be True
 /SafekeepingAccount Must be present
 Or /BlockChainAddressOrWallet Must be present

- **SafekeepingAccountOrBlockChainAddress2Rule**

If BlockChainAddressOrWallet is present then SafekeepingAccount must be absent.

On Condition
 /BlockChainAddressOrWallet is present
 Following Must be True
 /SafekeepingAccount Must be absent

- **SafekeepingAccountOrBlockChainAddress3Rule**

If SafekeepingAccount is present, then BlockChainAddressOrWallet must be absent.

On Condition
 /SafekeepingAccount is present
 Following Must be True
 /BlockChainAddressOrWallet Must be absent

- **SafekeepingPlaceRule**

The SafekeepingPlace is only to be used by global custodians that allow their clients to specify where the securities are to be held, for example, in an ICSD account versus an account at the local CSD.

15.4.3.2.1 SafekeepingAccount <SfkpgAcct>

Presence: [0..1]

Definition: Account where financial instruments are maintained.

Datatype: "RestrictedFINXMax35Text" on page 1180

15.4.3.2.2 BlockChainAddressOrWallet <BlckChainAdrOrWllt>

Presence: [0..1]

Definition: Blockchain address or wallet where digital assets are maintained. This is the equivalent of safekeeping account for digital assets.

Datatype: "RestrictedFINXMax140Text" on page 1177

15.4.3.2.3 AccountOwner <AcctOwnr>

Presence: [0..1]

Definition: Party that legally owns the account.

AccountOwner <AcctOwnr> contains one of the following elements (see "PartyIdentification136Choice" on page 928 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	928
Or}	ProprietaryIdentification <PrtryId>	[1..1]	±		929

15.4.3.2.4 SafekeepingPlace <SfkpgPlc>

Presence: [0..1]

Definition: Location where the financial instruments are/will be safekept.

SafekeepingPlace <SfkpgPlc> contains one of the following elements (see "SafekeepingPlaceFormat32Choice" on page 1046 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Identification <Id>	[1..1]	±		1047
Or	Country <Ctry>	[1..1]	CodeSet	C3	1047
Or	TypeAndIdentification <TpAndId>	[1..1]			1047
	SafekeepingPlaceType <SfkpgPlcTp>	[1..1]	CodeSet		1048
	Identification <Id>	[1..1]	IdentifierSet	C1	1048
Or}	Proprietary <Prtry>	[1..1]	±		1048

15.4.4 CorporateActionDetails <CorpActnDtls>

Presence: [0..1]

Definition: Information about the corporate action event.

CorporateActionDetails <CorpActnDtls> contains the following **CorporateAction48** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	DateDetails <DtDtls>	[0..1]			706
	RecordDate <RcrdDt>	[0..1]	±		706
	ExDividendDate <ExDvddDt>	[0..1]	±		707
	EventStage <EvtStag>	[0..1]	±		707
	LotteryType <LtryTp>	[0..1]	±		707

15.4.4.1 DateDetails <DtDtls>

Presence: [0..1]

Definition: Provides information about the dates related to a corporate action event.

DateDetails <DtDtls> contains the following **CorporateActionDate66** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	RecordDate <RcrdDt>	[0..1]	±		706
	ExDividendDate <ExDvddDt>	[0..1]	±		707

15.4.4.1.1 RecordDate <RcrdDt>

Presence: [0..1]

Definition: Date/time at which positions are struck at the end of the day to note which parties will receive the relevant amount of entitlement, due to be distributed on payment date.

RecordDate <RcrdDt> contains one of the following elements (see "DateFormat49Choice" on page 729 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		730
Or}	DateCode <DtCd>	[1..1]			730
{Or	Code <Cd>	[1..1]	CodeSet		730
Or}	Proprietary <Prtry>	[1..1]	±		730

15.4.4.1.2 ExDividendDate <ExDvddDt>

Presence: [0..1]

Definition: Date/time as from which trading (including exchange and OTC trading) occurs on the underlying security without the benefit.

ExDividendDate <ExDvddDt> contains one of the following elements (see "DateFormat49Choice" on page 729 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		730
Or}	DateCode <DtCd>	[1..1]			730
{Or	Code <Cd>	[1..1]	CodeSet		730
Or}	Proprietary <Prtry>	[1..1]	±		730

15.4.4.2 EventStage <EvtStag>

Presence: [0..1]

Definition: Stage in the corporate action event life cycle.

EventStage <EvtStag> contains one of the following elements (see "CorporateActionEventStageFormat15Choice" on page 863 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		863
Or}	Proprietary <Prtry>	[1..1]	±		863

15.4.4.3 LotteryType <LtryTp>

Presence: [0..1]

Definition: Specifies the type of lottery announced.

LotteryType <LtryTp> contains one of the following elements (see "[LotteryTypeFormat5Choice](#)" on page 891 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		892
Or}	Proprietary <Prtry>	[1..1]	±		892

15.4.5 IssuerAgent <IssrAgt>

Presence: [0..*]

Definition: Party appointed to administer the event on behalf of the issuer company/offoror. The party may be contacted for more information about the event.

IssuerAgent <IssrAgt> contains one of the following elements (see "[PartyIdentification137Choice](#)" on page 929 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	929
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		929
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		930

15.4.6 PayingAgent <PngAgt>

Presence: [0..*]

Definition: Agent (principal or fiscal paying agent) appointed to execute the payment for the corporate action event on behalf of the issuer company/offoror.

PayingAgent <PngAgt> contains one of the following elements (see "[PartyIdentification137Choice](#)" on page 929 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	929
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		929
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		930

15.4.7 SubPayingAgent <SubPngAgt>

Presence: [0..*]

Definition: Sub-agent appointed to execute the payment for the corporate action event on behalf of the issuer company/offoror.

SubPayingAgent <SubPngAgt> contains one of the following elements (see "PartyIdentification137Choice" on page 929 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	929
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		929
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		930

15.4.8 Registrar <Regar>

Presence: [0..1]

Definition: Party/agent responsible for maintaining the register of a security.

Registrar <Regar> contains one of the following elements (see "PartyIdentification137Choice" on page 929 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	929
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		929
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		930

15.4.9 ResellingAgent <RsellingAgt>

Presence: [0..*]

Definition: Broker-dealer responsible for reselling to new investors securities (usually bonds) that have been tendered for purchase by their owner.

ResellingAgent <RsellingAgt> contains one of the following elements (see "PartyIdentification137Choice" on page 929 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	929
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		929
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		930

15.4.10 PhysicalSecuritiesAgent <PhysSctiesAgt>

Presence: [0..1]

Definition: Trust company, bank or similar financial institution assigned by an issuer to accept presentations of instruments, usually bonds, for transfer and or exchange.

PhysicalSecuritiesAgent <PhysSctiesAgt> contains one of the following elements (see "PartyIdentification137Choice" on page 929 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	929
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		929
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		930

15.4.11 DropAgent <DrpAgt>

Presence: [0..1]

Definition: Trust company, bank or similar financial institution that acts on behalf of an out-of-town agent or event agent where securities can be delivered in person.

DropAgent <DrpAgt> contains one of the following elements (see "PartyIdentification137Choice" on page 929 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	929
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		929
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		930

15.4.12 SolicitationAgent <SlctnAgt>

Presence: [0..*]

Definition: Trust company, bank or similar financial institution assigned by an issuer to maintain records of investors and account balances and transactions for the consent of a material change.

SolicitationAgent <SlctnAgt> contains one of the following elements (see "PartyIdentification137Choice" on page 929 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	929
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		929
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		930

15.4.13 InformationAgent <InfAgt>

Presence: [0..1]

Definition: Trust company, bank or similar financial institution assigned by an Issuer to provide information and copies of the offering documentation.

InformationAgent <InfAgt> contains one of the following elements (see "PartyIdentification137Choice" on page 929 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	929
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		929
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		930

15.4.14 SupplementaryData <SplmtryData>

Presence: [0..*]

Definition: Additional information that can not be captured in the structured fields and/or any other specific block.

Impacted by: C14 "SupplementaryDataRule"

SupplementaryData <SplmtryData> contains the following elements (see "SupplementaryData1" on page 916 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PlaceAndName <PlcAndNm>	[0..1]	Text		916
	Envelope <Envlp>	[1..1]	(External Schema)		916

Constraints

- **SupplementaryDataRule**

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

16 Message Items Types

16.1 MessageComponents

16.1.1 Account Identification

16.1.1.1 AccountIdentification10

Definition: Provides account identification information.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	IdentificationCode <IdCd>	[1..1]	CodeSet		712

16.1.1.1.1 IdentificationCode <IdCd>

Presence: [1..1]

Definition: Standard code to specify that announcement applies to all safekeeping accounts that own underlying financial instrument.

Datatype: "SafekeepingAccountIdentification1Code" on page 1166

CodeName	Name	Definition
GENR	General	Announcement applies to all safekeeping accounts that own underlying financial instrument. (Used for general or preliminary announcements.).

16.1.1.2 CashAccountIdentification6Choice

Definition: Unique identifier of an account, as assigned by the account servicer.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	IBAN <IBAN>	[1..1]	IdentifierSet	C33	712
Or}	Proprietary <Prtry>	[1..1]	Text		713

16.1.1.2.1 IBAN <IBAN>

Presence: [1..1]

Definition: International Bank Account Number (IBAN) - identifier used internationally by financial institutions to uniquely identify the account of a customer. Further specifications of the format and content of the IBAN can be found in the standard ISO 13616 "Banking and related financial services - International Bank Account Number (IBAN)" version 1997-10-01, or later revisions.

Impacted by: C33 "IBAN"

Datatype: "IBAN2007Identifier" on page 1170

Constraints

- **IBAN**

A valid IBAN consists of all three of the following components: Country Code, check digits and BBAN.

16.1.1.2.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Unique identifier for an account. It is assigned by the account servicer using a proprietary identification scheme.

Datatype: "RestrictedFINX2Max34Text" on page 1177

16.1.2 Balance**16.1.2.1 TotalEligibleBalanceFormat11**

Definition: Total eligible balance for the corporate action and full and part way period units.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Balance <Bal>	[0..1]	±		713
	FullPeriodUnits <FullPrdUnits>	[0..1]	±		713
	PartWayPeriodUnits <PartWayPrdUnits>	[0..1]	±		714

16.1.2.1.1 Balance <Bal>

Presence: [0..1]

Definition: Provides information about balance related to a corporate action.

Balance <Bal> contains one of the following elements (see "Quantity80Choice" on page 976 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	QuantityChoice <QtyChc>	[1..1]	±		977
Or}	ProprietaryQuantity <PrtryQty>	[1..1]			977
	ShortLongPosition <ShrtLngPos>	[0..1]	CodeSet		977
	Quantity <Qty>	[1..1]	Quantity		978
	QuantityType <QtyTp>	[1..1]	Text		978
	Issuer <Issr>	[1..1]	Text		978
	SchemeName <SchmeNm>	[0..1]	Text		978

16.1.2.1.2 FullPeriodUnits <FullPrdUnits>

Presence: [0..1]

Definition: Number of units of a fund that were purchased in a previous distribution period and/or held at the beginning of a distribution period, for example Group I Units in the UK.

FullPeriodUnits <FullPrdUnits> contains the following elements (see "[SignedQuantityFormat13](#)" on page 973 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ShortLongPosition <ShrtLngPos>	[1..1]	CodeSet		973
	Quantity <Qty>	[1..1]	±	C19	974

16.1.2.1.3 PartWayPeriodUnits <PartWayPrdUnits>

Presence: [0..1]

Definition: Number of units of a fund that were purchased part way throughout a distribution period, for example Group II Units in the U.K.

PartWayPeriodUnits <PartWayPrdUnits> contains the following elements (see "[SignedQuantityFormat13](#)" on page 973 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ShortLongPosition <ShrtLngPos>	[1..1]	CodeSet		973
	Quantity <Qty>	[1..1]	±	C19	974

16.1.2.2 BalanceFormat16Choice

Definition: Choice between balance, eligible balance and not eligible balance formats.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Balance <Bal>	[1..1]	±		714
Or	EligibleBalance <ElgblBal>	[1..1]	±		714
Or	NotEligibleBalance <NotElgblBal>	[1..1]	±		715
Or	FullPeriodUnits <FullPrdUnits>	[1..1]	±		715
Or}	PartWayPeriodUnits <PartWayPrdUnits>	[1..1]	±		715

16.1.2.2.1 Balance <Bal>

Presence: [1..1]

Definition: Provides information about balance related to a corporate action.

Balance <Bal> contains the following elements (see "[SignedQuantityFormat12](#)" on page 972 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ShortLongPosition <ShrtLngPos>	[1..1]	CodeSet		973
	QuantityChoice <QtyChc>	[1..1]	±		973

16.1.2.2.2 EligibleBalance <ElgblBal>

Presence: [1..1]

Definition: Provide eligible balance information in different formats.

EligibleBalance <ElgblBal> contains the following elements (see "[SignedQuantityFormat13](#)" on page 973 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ShortLongPosition <ShrtLngPos>	[1..1]	CodeSet		973
	Quantity <Qty>	[1..1]	±	C19	974

16.1.2.2.3 NotEligibleBalance <NotElgblBal>

Presence: [1..1]

Definition: Provide not eligible balance information in different formats.

NotEligibleBalance <NotElgblBal> contains the following elements (see "[SignedQuantityFormat13](#)" on page 973 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ShortLongPosition <ShrtLngPos>	[1..1]	CodeSet		973
	Quantity <Qty>	[1..1]	±	C19	974

16.1.2.2.4 FullPeriodUnits <FullPrdUnits>

Presence: [1..1]

Definition: Number of units of a fund that were purchased in a previous distribution period and/or held at the beginning of a distribution period, for example Group I Units in the UK.

FullPeriodUnits <FullPrdUnits> contains the following elements (see "[SignedQuantityFormat13](#)" on page 973 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ShortLongPosition <ShrtLngPos>	[1..1]	CodeSet		973
	Quantity <Qty>	[1..1]	±	C19	974

16.1.2.2.5 PartWayPeriodUnits <PartWayPrdUnits>

Presence: [1..1]

Definition: Number of units of a fund that were purchased part way throughout a distribution period, for example Group II Units in the U.K.

PartWayPeriodUnits <PartWayPrdUnits> contains the following elements (see "[SignedQuantityFormat13](#)" on page 973 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ShortLongPosition <ShrtLngPos>	[1..1]	CodeSet		973
	Quantity <Qty>	[1..1]	±	C19	974

16.1.2.3 BalanceFormat14Choice

Definition: Choice between balance, eligible balance and not eligible balance formats.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Balance <Bal>	[1..1]	±		716
Or	EligibleBalance <ElgblBal>	[1..1]	±		716
Or}	NotEligibleBalance <NotElgblBal>	[1..1]	±		716

16.1.2.3.1 Balance <Bal>

Presence: [1..1]

Definition: Provides information about balance related to a corporate action.

Balance <Bal> contains the following elements (see "[SignedQuantityFormat12](#)" on page 972 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ShortLongPosition <ShrtLngPos>	[1..1]	CodeSet		973
	QuantityChoice <QtyChc>	[1..1]	±		973

16.1.2.3.2 EligibleBalance <ElgblBal>

Presence: [1..1]

Definition: Provide eligible balance information in different formats.

EligibleBalance <ElgblBal> contains the following elements (see "[SignedQuantityFormat13](#)" on page 973 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ShortLongPosition <ShrtLngPos>	[1..1]	CodeSet		973
	Quantity <Qty>	[1..1]	±	C19	974

16.1.2.3.3 NotEligibleBalance <NotElgblBal>

Presence: [1..1]

Definition: Provide not eligible balance information in different formats.

NotEligibleBalance <NotElgblBal> contains the following elements (see "[SignedQuantityFormat13](#)" on page 973 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ShortLongPosition <ShrtLngPos>	[1..1]	CodeSet		973
	Quantity <Qty>	[1..1]	±	C19	974

16.1.3 Date Period

16.1.3.1 DatePeriod2

Definition: Range of time defined by a start date and an end date.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	FromDate <FrDt>	[1..1]	Date		717
	ToDate <ToDt>	[1..1]	Date		717

16.1.3.1.1 FromDate <FrDt>

Presence: [1..1]

Definition: Start date of the range.

Datatype: "ISODate" on page 1168

16.1.3.1.2 ToDate <ToDt>

Presence: [1..1]

Definition: End date of the range.

Datatype: "ISODate" on page 1168

16.1.3.2 CorporateActionPeriod15

Definition: Specifies periods of a corporate action.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PriceCalculationPeriod <PricClctnPrd>	[0..1]	±		718
	InterestPeriod <IntrstPrd>	[0..1]	±		719
	CompulsoryPurchasePeriod <CmplsryPurchsPrd>	[0..1]	±		719
	ClaimPeriod <ClmPrd>	[0..1]	±		719
	DepositorySuspensionPeriodForBookEntryTransfer <DpstrySspnsnPrdForBookNtryTrf>	[0..1]	±		719
	DepositorySuspensionPeriodForDepositAtAgent <DpstrySspnsnPrdForDpstAtAgt>	[0..1]	±		720
	DepositorySuspensionPeriodForDeposit <DpstrySspnsnPrdForDpst>	[0..1]	±		720
	DepositorySuspensionPeriodForPledge <DpstrySspnsnPrdForPldg>	[0..1]	±		720
	DepositorySuspensionPeriodForSegregation <DpstrySspnsnPrdForSgrtn>	[0..1]	±		721
	DepositorySuspensionPeriodForWithdrawalAtAgent <DpstrySspnsnPrdForWdrwlAtAgt>	[0..1]	±		721
	DepositorySuspensionPeriodForWithdrawalInNomineeName <DpstrySspnsnPrdForWdrwlInNmneeNm>	[0..1]	±		721
	DepositorySuspensionPeriodForWithdrawalInStreetName <DpstrySspnsnPrdForWdrwlInStrtNm>	[0..1]	±		721
	BookClosurePeriod <BookClsrPrd>	[0..1]	±		722
	CoDepositoriesSuspensionPeriod <CoDpstriesSspnsnPrd>	[0..1]	±		722
	SplitPeriod <SplitPrd>	[0..1]	±		722

Constraints

- **InterestPeriodRule**

InterestPeriod must be used only if it is different from a normal accrued interest period.

16.1.3.2.1 PriceCalculationPeriod <PricClctnPrd>

Presence: [0..1]

Definition: Period during which the price of a security is determined.

PriceCalculationPeriod <PricClctnPrd> contains one of the following elements (see "Period6Choice" on page 735 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Period <Prd>	[1..1]	±		736
Or}	PeriodCode <PrdCd>	[1..1]	CodeSet		736

16.1.3.2.2 InterestPeriod <IntrstPrd>*Presence:* [0..1]*Definition:* Period during which the interest rate has been applied.**InterestPeriod <IntrstPrd>** contains one of the following elements (see ["Period6Choice"](#) on page 735 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Period <Prd>	[1..1]	±		736
Or}	PeriodCode <PrdCd>	[1..1]	CodeSet		736

16.1.3.2.3 CompulsoryPurchasePeriod <CmplsryPurchsPrd>*Presence:* [0..1]*Definition:* Period during a take-over where any outstanding equity must be purchased by the take-over company.**CompulsoryPurchasePeriod <CmplsryPurchsPrd>** contains one of the following elements (see ["Period6Choice"](#) on page 735 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Period <Prd>	[1..1]	±		736
Or}	PeriodCode <PrdCd>	[1..1]	CodeSet		736

16.1.3.2.4 ClaimPeriod <CImPrd>*Presence:* [0..1]*Definition:* Period assigned by the court in a class action. It determines the client's eligible transactions that will be included in the class action and used to determine the resulting entitlement.**ClaimPeriod <CImPrd>** contains one of the following elements (see ["Period6Choice"](#) on page 735 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Period <Prd>	[1..1]	±		736
Or}	PeriodCode <PrdCd>	[1..1]	CodeSet		736

16.1.3.2.5 DepositorySuspensionPeriodForBookEntryTransfer <DpstrySspnsnPrdForBookNtryTrf>*Presence:* [0..1]*Definition:* Period defining the last date for which book entry transfers will be accepted and the date on which the suspension will be released and book entry transfer processing will resume.

DepositorySuspensionPeriodForBookEntryTransfer <DpstrySspnsnPrdForBookNtryTrf>
contains one of the following elements (see "Period6Choice" on page 735 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Period <Prd>	[1..1]	±		736
Or}	PeriodCode <PrdCd>	[1..1]	CodeSet		736

16.1.3.2.6 DepositorySuspensionPeriodForDepositAtAgent <DpstrySspnsnPrdForDpstAtAg>

Presence: [0..1]

Definition: Period defining the last date for which deposits, into nominee name, at the agent will be accepted and the date on which the suspension will be released and deposits at agent will resume.

DepositorySuspensionPeriodForDepositAtAgent <DpstrySspnsnPrdForDpstAtAg> contains one of the following elements (see "Period6Choice" on page 735 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Period <Prd>	[1..1]	±		736
Or}	PeriodCode <PrdCd>	[1..1]	CodeSet		736

16.1.3.2.7 DepositorySuspensionPeriodForDeposit <DpstrySspnsnPrdForDpst>

Presence: [0..1]

Definition: Period defining the last date for which deposits will be accepted and the date on which the suspension will be released and deposits will resume.

DepositorySuspensionPeriodForDeposit <DpstrySspnsnPrdForDpst> contains one of the following elements (see "Period6Choice" on page 735 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Period <Prd>	[1..1]	±		736
Or}	PeriodCode <PrdCd>	[1..1]	CodeSet		736

16.1.3.2.8 DepositorySuspensionPeriodForPledge <DpstrySspnsnPrdForPldg>

Presence: [0..1]

Definition: Period defining the last date for which pledges will be accepted and the date on which the suspension will be released and pledge processing will resume.

DepositorySuspensionPeriodForPledge <DpstrySspnsnPrdForPldg> contains one of the following elements (see "Period6Choice" on page 735 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Period <Prd>	[1..1]	±		736
Or}	PeriodCode <PrdCd>	[1..1]	CodeSet		736

16.1.3.2.9 DepositorySuspensionPeriodForSegregation <DpstrySspnsnPrdForSgrtn>*Presence:* [0..1]

Definition: Period defining the last date for which intra-position balances can be segregated and the date on which the suspension will be released and the ability to segregate intra-position balances will resume.

DepositorySuspensionPeriodForSegregation <DpstrySspnsnPrdForSgrtn> contains one of the following elements (see "Period6Choice" on page 735 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Period <Prd>	[1..1]	±		736
Or}	PeriodCode <PrdCd>	[1..1]	CodeSet		736

16.1.3.2.10 DepositorySuspensionPeriodForWithdrawalAtAgent <DpstrySspnsnPrdForWdrwlAtAgt>*Presence:* [0..1]

Definition: Period defining the last date for which withdrawals, from nominee name at the agent will be accepted and the date on which the suspension will be released and withdrawals at agent processing will resume.

DepositorySuspensionPeriodForWithdrawalAtAgent <DpstrySspnsnPrdForWdrwlAtAgt> contains one of the following elements (see "Period6Choice" on page 735 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Period <Prd>	[1..1]	±		736
Or}	PeriodCode <PrdCd>	[1..1]	CodeSet		736

16.1.3.2.11 DepositorySuspensionPeriodForWithdrawalInNomineeName <DpstrySspnsnPrdForWdrwlInNmneeNm>*Presence:* [0..1]

Definition: Period defining the last date for which physical withdrawals in the nominee's name will be accepted and the date on which the suspension will be released and physical withdrawals in the nominee's name will resume.

DepositorySuspensionPeriodForWithdrawalInNomineeName <DpstrySspnsnPrdForWdrwlInNmneeNm> contains one of the following elements (see "Period6Choice" on page 735 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Period <Prd>	[1..1]	±		736
Or}	PeriodCode <PrdCd>	[1..1]	CodeSet		736

16.1.3.2.12 DepositorySuspensionPeriodForWithdrawalInStreetName <DpstrySspnsnPrdForWdrwlInStrtNm>*Presence:* [0..1]

Definition: Period defining the last date on which withdrawal requests in street name's will be accepted on the event security and the date on which the suspension will be released and withdrawal in street name's processing on the event security will resume.

DepositorySuspensionPeriodForWithdrawalInStreetName

<DpstrySspnsnPrdForWdrwlInStrtNm> contains one of the following elements (see "Period6Choice" on page 735 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Period <Prd>	[1..1]	±		736
Or}	PeriodCode <PrdCd>	[1..1]	CodeSet		736

16.1.3.2.13 BookClosurePeriod <BookClsrPrd>

Presence: [0..1]

Definition: Period defining the last date on which shareholder registration will be accepted by the issuer and the date on which shareholder registration will resume.

BookClosurePeriod <BookClsrPrd> contains one of the following elements (see "Period6Choice" on page 735 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Period <Prd>	[1..1]	±		736
Or}	PeriodCode <PrdCd>	[1..1]	CodeSet		736

16.1.3.2.14 CoDepositoriesSuspensionPeriod <CoDpstrisSspnsnPrd>

Presence: [0..1]

Definition: Period during which the settlement activities at the co-depositories are suspended in order to stabilise the holdings at the CSD.

CoDepositoriesSuspensionPeriod <CoDpstrisSspnsnPrd> contains one of the following elements (see "Period6Choice" on page 735 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Period <Prd>	[1..1]	±		736
Or}	PeriodCode <PrdCd>	[1..1]	CodeSet		736

16.1.3.2.15 SplitPeriod <SpltPrd>

Presence: [0..1]

Definition: Period during which a physical certificate can be split.

SplitPeriod <SpltPrd> contains one of the following elements (see "Period6Choice" on page 735 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Period <Prd>	[1..1]	±		736
Or}	PeriodCode <PrdCd>	[1..1]	CodeSet		736

16.1.4 Date Time

16.1.4.1 DateFormat41Choice

Definition: Choice between an ISODate format or a date code.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		723
Or}	DateCode <DtCd>	[1..1]			723
{Or	Code <Cd>	[1..1]	CodeSet		723
Or}	Proprietary <Prtry>	[1..1]	±		724

16.1.4.1.1 Date <Dt>

Presence: [1..1]

Definition: Date expressed as a calendar date.

Datatype: "ISODate" on page 1168

16.1.4.1.2 DateCode <DtCd>

Presence: [1..1]

Definition: Specifies the type of date.

DateCode <DtCd> contains one of the following **DateCode22Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		723
Or}	Proprietary <Prtry>	[1..1]	±		724

16.1.4.1.2.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the type of date.

Datatype: "DateType8Code" on page 1136

CodeName	Name	Definition
UKWN	Unknown	Date is unknown by the sender or has not been established.

CodeName	Name	Definition
ONGO	Ongoing	Ongoing basis, which indicates that the date is determined by "ongoing basis" process, for example "au fil de l'eau".

16.1.4.1.2.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification of the type of date.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 790 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		790
	Issuer <Issr>	[1..1]	Text		790
	SchemeName <SchmeNm>	[0..1]	Text		791

16.1.4.2 DateFormat59Choice

Definition: Choice between an ISODate or ISODateTime format or a date code.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		724
Or}	DateCode <DtCd>	[1..1]			724
{Or	Code <Cd>	[1..1]	CodeSet		725
Or}	Proprietary <Prtry>	[1..1]	±		725

16.1.4.2.1 Date <Dt>

Presence: [1..1]

Definition: Date expressed as a calendar date.

Date <Dt> contains one of the following elements (see "[DateAndDateTime2Choice](#)" on page 731 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		731
Or}	DateTime <DtTm>	[1..1]	DateTime		731

16.1.4.2.2 DateCode <DtCd>

Presence: [1..1]

Definition: Specifies the type of date.

DateCode <DtCd> contains one of the following **DateCode33Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		725
Or}	Proprietary <Prtry>	[1..1]	±		725

16.1.4.2.2.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the type of date.

Datatype: "DateType9Code" on page 1136

CodeName	Name	Definition
PWAL	BlockingTillEndOfElectionPeriod	Blocking occurs until and inclusive of the end of the election period of the option.
MKDT	BlockingTillMarketDeadline	Blocking occurs until and inclusive of the market deadline of the option.
MEET	BlockingTillMeetingDate	Blocking occurs until and inclusive of the meeting date.
PAYD	BlockingTillPaymentDate	Unblocking will occur on payment date of the option.
RDTE	BlockingTillRecordDate	Blocking occurs until and inclusive of the record date of the event.
RDDT	BlockingTillResponseDeadline	Blocking occurs until and inclusive of the account servicer deadline for the option.
NARR	NarrativeUnblockingDate	Refer to additional information element for the date until when the securities are blocked.
UKWN	Unknown	Date is unknown by the sender or has not been established.

16.1.4.2.2.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification of the type of date.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification30](#)" on page 789 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		790
	Issuer <Issr>	[1..1]	Text		790
	SchemeName <SchmeNm>	[0..1]	Text		790

16.1.4.3 DateFormat55Choice

Definition: Choice between an ISODate or ISODateTime format or a date code.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		726
Or}	DateCode <DtCd>	[1..1]			726
{Or	Code <Cd>	[1..1]	CodeSet		726
Or}	Proprietary <Prtry>	[1..1]	±		726

16.1.4.3.1 Date <Dt>

Presence: [1..1]

Definition: Date expressed as a calendar date.

Date <Dt> contains one of the following elements (see ["DateAndDateTime2Choice"](#) on page 731 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		731
Or}	DateTime <DtTm>	[1..1]	DateTime		731

16.1.4.3.2 DateCode <DtCd>

Presence: [1..1]

Definition: Specifies the type of date.

DateCode <DtCd> contains one of the following **DateCode27Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		726
Or}	Proprietary <Prtry>	[1..1]	±		726

16.1.4.3.2.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to indicate the date is unknown.

Datatype: ["DateType1Code"](#) on page 1135

CodeName	Name	Definition
UKWN	Unknown	Date is unknown by the sender or has not been established.

16.1.4.3.2.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification of the type of date.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 790 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		790
	Issuer <Issr>	[1..1]	Text		790
	SchemeName <SchmeNm>	[0..1]	Text		791

16.1.4.4 DateFormat54Choice

Definition: Choice between an ISODate or ISODateTime format or a date code or a date code and a time.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		727
Or	DateCodeAndTime <DtCdAndTm>	[1..1]			727
	DateCode <DtCd>	[1..1]			728
{Or	Code <Cd>	[1..1]	CodeSet		728
Or}	Proprietary <Prtry>	[1..1]	±		728
	Time <Tm>	[1..1]	Time		728
Or}	DateCode <DtCd>	[1..1]			729
{Or	Code <Cd>	[1..1]	CodeSet		729
Or}	Proprietary <Prtry>	[1..1]	±		729

16.1.4.4.1 Date <Dt>

Presence: [1..1]

Definition: Date expressed as a calendar date.

Date <Dt> contains one of the following elements (see "[DateAndDateTime2Choice](#)" on page 731 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		731
Or}	DateTime <DtTm>	[1..1]	DateTime		731

16.1.4.4.2 DateCodeAndTime <DtCdAndTm>

Presence: [1..1]

Definition: Specifies a date code and a time.

DateCodeAndTime <DtCdAndTm> contains the following **DateCodeAndTimeFormat4** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	DateCode <DtCd>	[1..1]			728
{Or	Code <Cd>	[1..1]	CodeSet		728
Or}	Proprietary <Prtry>	[1..1]	±		728
	Time <Tm>	[1..1]	Time		728

16.1.4.4.2.1 DateCode <DtCd>

Presence: [1..1]

Definition: Specifies the type of date.

DateCode <DtCd> contains one of the following **DateCode26Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		728
Or}	Proprietary <Prtry>	[1..1]	±		728

16.1.4.4.2.1.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the type of date.

Datatype: "DateType7Code" on page 1136

CodeName	Name	Definition
ONGO	Ongoing	Ongoing basis, which indicates that the date is determined by "ongoing basis" process, for example "au fil de l'eau".

16.1.4.4.2.1.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification of the type of date.

Proprietary <Prtry> contains the following elements (see "GenericIdentification47" on page 790 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		790
	Issuer <Issr>	[1..1]	Text		790
	SchemeName <SchmeNm>	[0..1]	Text		791

16.1.4.4.2.2 Time <Tm>

Presence: [1..1]

Definition: Specifies the time.

Datatype: "ISOTime" on page 1180

16.1.4.4.3 DateCode <DtCd>

Presence: [1..1]

Definition: Specifies the type of date.

DateCode <DtCd> contains one of the following **DateCode22Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		729
Or}	Proprietary <Prtry>	[1..1]	±		729

16.1.4.4.3.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the type of date.

Datatype: "DateType8Code" on page 1136

CodeName	Name	Definition
UKWN	Unknown	Date is unknown by the sender or has not been established.
ONGO	Ongoing	Ongoing basis, which indicates that the date is determined by "ongoing basis" process, for example "au fil de l'eau".

16.1.4.4.3.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification of the type of date.

Proprietary <Prtry> contains the following elements (see "GenericIdentification47" on page 790 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		790
	Issuer <Issr>	[1..1]	Text		790
	SchemaName <SchmeNm>	[0..1]	Text		791

16.1.4.5 DateFormat49Choice

Definition: Choice between an ISODate or ISODateTime format or a date code.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		730
Or}	DateCode <DtCd>	[1..1]			730
{Or	Code <Cd>	[1..1]	CodeSet		730
Or}	Proprietary <Prtry>	[1..1]	±		730

16.1.4.5.1 Date <Dt>

Presence: [1..1]

Definition: Date expressed as a calendar date.

Date <Dt> contains one of the following elements (see ["DateAndDateTime2Choice"](#) on page 731 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		731
Or}	DateTime <DtTm>	[1..1]	DateTime		731

16.1.4.5.2 DateCode <DtCd>

Presence: [1..1]

Definition: Specifies the type of date.

DateCode <DtCd> contains one of the following **DateCode22Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		730
Or}	Proprietary <Prtry>	[1..1]	±		730

16.1.4.5.2.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the type of date.

Datatype: ["DateType8Code"](#) on page 1136

CodeName	Name	Definition
UKWN	Unknown	Date is unknown by the sender or has not been established.
ONGO	Ongoing	Ongoing basis, which indicates that the date is determined by "ongoing basis" process, for example "au fil de l'eau".

16.1.4.5.2.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification of the type of date.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 790 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		790
	Issuer <Issr>	[1..1]	Text		790
	SchemeName <SchmeNm>	[0..1]	Text		791

16.1.4.6 DateAndDateTime2Choice

Definition: Choice between a date or a date and time format.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		731
Or}	DateTime <DtTm>	[1..1]	DateTime		731

16.1.4.6.1 Date <Dt>

Presence: [1..1]

Definition: Specified date.

Datatype: "[ISODate](#)" on page 1168

16.1.4.6.2 DateTime <DtTm>

Presence: [1..1]

Definition: Specified date and time.

Datatype: "[ISODatetime](#)" on page 1168

16.1.4.7 DateFormat43Choice

Definition: Choice between an ISODate or ISODatetime format or a date code.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		731
Or}	DateCode <DtCd>	[1..1]			732
{Or	Code <Cd>	[1..1]	CodeSet		732
Or}	Proprietary <Prtry>	[1..1]	±		732

16.1.4.7.1 Date <Dt>

Presence: [1..1]

Definition: Date expressed as a calendar date.

Date <Dt> contains one of the following elements (see ["DateAndDateTime2Choice"](#) on page 731 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		731
Or}	DateTime <DtTm>	[1..1]	DateTime		731

16.1.4.7.2 DateCode <DtCd>

Presence: [1..1]

Definition: Specifies the type of date.

DateCode <DtCd> contains one of the following **DateCode19Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		732
Or}	Proprietary <Prtry>	[1..1]	±		732

16.1.4.7.2.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the type of date.

Datatype: ["DateType8Code"](#) on page 1136

CodeName	Name	Definition
UKWN	Unknown	Date is unknown by the sender or has not been established.
ONGO	Ongoing	Ongoing basis, which indicates that the date is determined by "ongoing basis" process, for example "au fil de l'eau".

16.1.4.7.2.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification of the type of date.

Proprietary <Prtry> contains the following elements (see ["GenericIdentification30"](#) on page 789 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		790
	Issuer <Issr>	[1..1]	Text		790
	SchemeName <SchmeNm>	[0..1]	Text		790

16.1.5 Date Time Period

16.1.5.1 DateTimePeriod1

Definition: Time span defined by a start date and time, and an end date and time.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	FromDateTime <FrDtTm>	[1..1]	DateTime		733
	ToDateTime <ToDtTm>	[1..1]	DateTime		733

16.1.5.1.1 FromDateTime <FrDtTm>

Presence: [1..1]

Definition: Date and time at which the period starts.

Datatype: ["ISODateTime" on page 1168](#)

16.1.5.1.2 ToDateTime <ToDtTm>

Presence: [1..1]

Definition: Date and time at which the period ends.

Datatype: ["ISODateTime" on page 1168](#)

16.1.5.2 DateOrDateTimePeriod1Choice

Definition: Choice between a date or a date and time format for a period.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		733
Or}	DateTime <DtTm>	[1..1]	±		733

16.1.5.2.1 Date <Dt>

Presence: [1..1]

Definition: Period expressed with dates.

Date <Dt> contains the following elements (see ["DatePeriod2" on page 717](#) for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	FromDate <FrDt>	[1..1]	Date		717
	ToDate <ToDt>	[1..1]	Date		717

16.1.5.2.2 DateTime <DtTm>

Presence: [1..1]

Definition: Period expressed a dates and times.

DateTime <DtTm> contains the following elements (see ["DateTimePeriod1"](#) on page 733 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	FromDateTime <FrDtTm>	[1..1]	DateTime		733
	ToDateTime <ToDtTm>	[1..1]	DateTime		733

16.1.5.3 Period11

Definition: Time span defined by a start date and time, and an end date and time.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	StartDate <StartDt>	[1..1]			734
{Or	Date <Dt>	[1..1]	±		734
Or}	NotSpecifiedDate <NotSpcfdDt>	[1..1]	CodeSet		734
	EndDate <EndDt>	[1..1]			735
{Or	Date <Dt>	[1..1]	±		735
Or}	NotSpecifiedDate <NotSpcfdDt>	[1..1]	CodeSet		735

16.1.5.3.1 StartDate <StartDt>

Presence: [1..1]

Definition: Date and time at which the range starts.

StartDate <StartDt> contains one of the following **DateFormat45Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		734
Or}	NotSpecifiedDate <NotSpcfdDt>	[1..1]	CodeSet		734

16.1.5.3.1.1 Date <Dt>

Presence: [1..1]

Definition: Date expressed as an ISO Date.

Date <Dt> contains one of the following elements (see ["DateAndDateTime2Choice"](#) on page 731 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		731
Or}	DateTime <DtTm>	[1..1]	DateTime		731

16.1.5.3.1.2 NotSpecifiedDate <NotSpcfdDt>

Presence: [1..1]

Definition: Date not specified, for example, the date is unknown.

Datatype: "DateType8Code" on page 1136

CodeName	Name	Definition
UKWN	Unknown	Date is unknown by the sender or has not been established.
ONGO	Ongoing	Ongoing basis, which indicates that the date is determined by "ongoing basis" process, for example "au fil de l'eau".

16.1.5.3.2 EndDate <EndDt>

Presence: [1..1]

Definition: Date and time at which the range ends.

EndDate <EndDt> contains one of the following **DateFormat45Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		735
Or}	NotSpecifiedDate <NotSpcfdDt>	[1..1]	CodeSet		735

16.1.5.3.2.1 Date <Dt>

Presence: [1..1]

Definition: Date expressed as an ISO Date.

Date <Dt> contains one of the following elements (see "DateAndDateTime2Choice" on page 731 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		731
Or}	DateTime <DtTm>	[1..1]	DateTime		731

16.1.5.3.2.2 NotSpecifiedDate <NotSpcfdDt>

Presence: [1..1]

Definition: Date not specified, for example, the date is unknown.

Datatype: "DateType8Code" on page 1136

CodeName	Name	Definition
UKWN	Unknown	Date is unknown by the sender or has not been established.
ONGO	Ongoing	Ongoing basis, which indicates that the date is determined by "ongoing basis" process, for example "au fil de l'eau".

16.1.5.4 Period6Choice

Definition: Choice between a period or a period code.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Period <Prd>	[1..1]	±		736
Or}	PeriodCode <PrdCd>	[1..1]	CodeSet		736

16.1.5.4.1 Period <Prd>

Presence: [1..1]

Definition: Time span defined by a start date and time, and an end date and time.

Period <Prd> contains the following elements (see "Period11" on page 734 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	StartDate <StartDt>	[1..1]			734
{Or	Date <Dt>	[1..1]	±		734
Or}	NotSpecifiedDate <NotSpcfdDt>	[1..1]	CodeSet		734
	EndDate <EndDt>	[1..1]			735
{Or	Date <Dt>	[1..1]	±		735
Or}	NotSpecifiedDate <NotSpcfdDt>	[1..1]	CodeSet		735

16.1.5.4.2 PeriodCode <PrdCd>

Presence: [1..1]

Definition: Standard code to specify the type of period.

Datatype: "DateType8Code" on page 1136

CodeName	Name	Definition
UKWN	Unknown	Date is unknown by the sender or has not been established.
ONGO	Ongoing	Ongoing basis, which indicates that the date is determined by "ongoing basis" process, for example "au fil de l'eau".

16.1.6 Document

16.1.6.1 DocumentIdentification37

Definition: Identification of a document and type of link.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		737
	LinkageType <LkgTp>	[0..1]	±	C78	737

16.1.6.1.1 Identification <Id>*Presence:* [1..1]*Definition:* Identifies the document.*Datatype:* "RestrictedFINXMax16Text" on page 1177**16.1.6.1.2 LinkageType <LkgTp>***Presence:* [0..1]*Definition:* Specifies when this document is to be processed relative to another referred document.*Impacted by:* C78 "WithLinkageRule"**LinkageType <LkgTp>** contains one of the following elements (see "ProcessingPosition10Choice" on page 857 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		857
Or}	Proprietary <Prtry>	[1..1]	±		858

Constraints

- **WithLinkageRule**

If Code WITH is used, then the one or more instruction which are linked become bound and which must be executed together. Even if one single transactions/instructions/notifications can not be executed, then all the other transactions/instructions/notifications must also be kept pending. Therefore the use of code WITH must be limited to combine up to 2 or 3 transactions/instructions/notifications.

16.1.6.2 DocumentNumber6Choice*Definition:* Choice between a short document number, a long document number or a proprietary document number.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	ShortNumber <ShrtNb>	[1..1]	Text		737
Or	LongNumber <LngNb>	[1..1]	Text		738
Or}	ProprietaryNumber <PrtryNb>	[1..1]	±		738

Constraints

- **ShortLongNumberRule**

ShortNumber must contain the FIN message type number of the linked message. LongNumber must contain the XML message identifier of the linked message.

16.1.6.2.1 ShortNumber <ShrtNb>*Presence:* [1..1]*Definition:* Message type number of the document referenced.

Datatype: "Exact3NumericText" on page 1173

16.1.6.2.2 LongNumber <LngNb>

Presence: [1..1]

Definition: MX Message identifier of the referenced document.

Datatype: "ISO20022MessageIdentificationText" on page 1173

16.1.6.2.3 ProprietaryNumber <PrtryNb>

Presence: [1..1]

Definition: Proprietary document identification.

ProprietaryNumber <PrtryNb> contains the following elements (see "GenericIdentification86" on page 788 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		788
	Issuer <Issr>	[1..1]	Text		788
	SchemeName <SchmeNm>	[0..1]	Text		788

16.1.6.3 DocumentIdentification34

Definition: Identification of a document as well as the document number.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]			738
{Or	AccountServicerDocumentIdentification <AcctSvcrDocId>	[1..1]	Text		738
Or}	AccountOwnerDocumentIdentification <AcctOwnrDocId>	[1..1]	Text		739
	DocumentNumber <DocNb>	[0..1]	±	C6	739

16.1.6.3.1 Identification <Id>

Presence: [1..1]

Definition: Unique identifier of the document (message) assigned either by the account servicer or the account owner.

Identification <Id> contains one of the following **DocumentIdentification4Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AccountServicerDocumentIdentification <AcctSvcrDocId>	[1..1]	Text		738
Or}	AccountOwnerDocumentIdentification <AcctOwnrDocId>	[1..1]	Text		739

16.1.6.3.1.1 AccountServicerDocumentIdentification <AcctSvcrDocId>

Presence: [1..1]

Definition: Identification of the document assigned by the account servicer.

Datatype: "RestrictedFINXMax16Text" on page 1177

16.1.6.3.1.2 AccountOwnerDocumentIdentification <AcctOwnrDocId>

Presence: [1..1]

Definition: Identification of the document assigned by the account owner.

Datatype: "RestrictedFINXMax16Text" on page 1177

16.1.6.3.2 DocumentNumber <DocNb>

Presence: [0..1]

Definition: Identification of the type of document.

Impacted by: C6 "ShortLongNumberRule"

DocumentNumber <DocNb> contains one of the following elements (see "DocumentNumber6Choice" on page 737 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	ShortNumber <ShrtNb>	[1..1]	Text		737
Or	LongNumber <LngNb>	[1..1]	Text		738
Or}	ProprietaryNumber <PrtryNb>	[1..1]	±		738

Constraints

- **ShortLongNumberRule**

ShortNumber must contain the FIN message type number of the linked message. LongNumber must contain the XML message identifier of the linked message.

16.1.6.4 DocumentIdentification38

Definition: Identification of a document as well as the document number and type of link.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]			739
{Or	AccountServicerDocumentIdentification <AcctSvcrDocId>	[1..1]	Text		740
Or}	AccountOwnerDocumentIdentification <AcctOwnrDocId>	[1..1]	Text		740
	DocumentNumber <DocNb>	[0..1]	±	C6	740
	LinkageType <LkgTp>	[0..1]	±	C78	740

16.1.6.4.1 Identification <Id>

Presence: [1..1]

Definition: Unique identifier of the document (message) assigned either by the account servicer or the account owner.

Identification <Id> contains one of the following **DocumentIdentification4Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AccountServicerDocumentIdentification <AcctSvcrDocId>	[1..1]	Text		740
Or}	AccountOwnerDocumentIdentification <AcctOwnrDocId>	[1..1]	Text		740

16.1.6.4.1.1 AccountServicerDocumentIdentification <AcctSvcrDocId>

Presence: [1..1]

Definition: Identification of the document assigned by the account servicer.

Datatype: "RestrictedFINXMax16Text" on page 1177

16.1.6.4.1.2 AccountOwnerDocumentIdentification <AcctOwnrDocId>

Presence: [1..1]

Definition: Identification of the document assigned by the account owner.

Datatype: "RestrictedFINXMax16Text" on page 1177

16.1.6.4.2 DocumentNumber <DocNb>

Presence: [0..1]

Definition: Identification of the type of document.

Impacted by: C6 "ShortLongNumberRule"

DocumentNumber <DocNb> contains one of the following elements (see "DocumentNumber6Choice" on page 737 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	ShortNumber <ShrtNb>	[1..1]	Text		737
Or	LongNumber <LngNb>	[1..1]	Text		738
Or}	ProprietaryNumber <PrtryNb>	[1..1]	±		738

Constraints

- **ShortLongNumberRule**

ShortNumber must contain the FIN message type number of the linked message. LongNumber must contain the XML message identifier of the linked message.

16.1.6.4.3 LinkageType <LkgTp>

Presence: [0..1]

Definition: Specifies when this document is to be processed relative to another referred document.

Impacted by: C78 "WithLinkageRule"

LinkageType <LkgTp> contains one of the following elements (see "ProcessingPosition10Choice" on page 857 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		857
Or}	Proprietary <Prtry>	[1..1]	±		858

Constraints

- **WithLinkageRule**

If Code WITH is used, then the one or more instruction which are linked become bound and which must be executed together. Even if one single transactions/instructions/notifications can not be executed, then all the other transactions/instructions/notifications must also be kept pending. Therefore the use of code WITH must be limited to combine up to 2 or 3 transactions/instructions/notifications.

16.1.6.5 DocumentIdentification17

Definition: Identifies a document by a unique identification.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		741

16.1.6.5.1 Identification <Id>

Presence: [1..1]

Definition: Identifies the document.

Datatype: "RestrictedFINXMax16Text" on page 1177

16.1.7 Financial Institution Identification

16.1.7.1 ClearingSystemMemberIdentification2

Definition: Unique identification, as assigned by a clearing system, to unambiguously identify a member of the clearing system.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ClearingSystemIdentification <ClrSysId>	[0..1]	±		741
	MemberIdentification <MmbId>	[1..1]	Text		742

16.1.7.1.1 ClearingSystemIdentification <ClrSysId>

Presence: [0..1]

Definition: Specification of a pre-agreed offering between clearing agents or the channel through which the payment instruction is processed.

ClearingSystemIdentification <ClrSysId> contains one of the following elements (see "ClearingSystemIdentification2Choice" on page 1084 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1084
Or}	Proprietary <Prtry>	[1..1]	Text		1084

16.1.7.1.2 MemberIdentification <Mmbld>

Presence: [1..1]

Definition: Identification of a member of a clearing system.

Datatype: "Max35Text" on page 1174

16.1.7.2 FinancialInstitutionIdentification18

Definition: Specifies the details to identify a financial institution.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	BICFI <BICFI>	[0..1]	IdentifierSet	C2	742
	ClearingSystemMemberIdentification <ClrSysMmbld>	[0..1]	±		742
	LEI <LEI>	[0..1]	IdentifierSet		743
	Name <Nm>	[0..1]	Text		743
	PostalAddress <PstlAdr>	[0..1]	±		743
	Other <Othr>	[0..1]	±		744

16.1.7.2.1 BICFI <BICFI>

Presence: [0..1]

Definition: Code allocated to a financial institution by the ISO 9362 Registration Authority as described in ISO 9362 "Banking - Banking telecommunication messages - Business identifier code (BIC)".

Impacted by: C2 "BICFI"

Datatype: "BICFIDec2014Identifier" on page 1169

Constraints

- **BICFI**

Valid BICs for financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consist of eight (8) or eleven (11) contiguous characters.

16.1.7.2.2 ClearingSystemMemberIdentification <ClrSysMmbld>

Presence: [0..1]

Definition: Information used to identify a member within a clearing system.

ClearingSystemMemberIdentification <ClrSysMmbld> contains the following elements (see "[ClearingSystemMemberIdentification2](#)" on page 741 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ClearingSystemIdentification <ClrSysId>	[0..1]	±		741
	MemberIdentification <Mmbld>	[1..1]	Text		742

16.1.7.2.3 LEI <LEI>

Presence: [0..1]

Definition: Legal entity identifier of the financial institution.

Datatype: "[LEIIdentifier](#)" on page 1170

16.1.7.2.4 Name <Nm>

Presence: [0..1]

Definition: Name by which an agent is known and which is usually used to identify that agent.

Datatype: "[Max140Text](#)" on page 1174

16.1.7.2.5 PostalAddress <PstlAdr>

Presence: [0..1]

Definition: Information that locates and identifies a specific address, as defined by postal services.

PostalAddress <PstIAdr> contains the following elements (see "PostalAddress24" on page 942 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AddressType <AdrTp>	[0..1]			943
{Or	Code <Cd>	[1..1]	CodeSet		943
Or}	Proprietary <Prtry>	[1..1]	±		944
	Department <Dept>	[0..1]	Text		944
	SubDepartment <SubDept>	[0..1]	Text		944
	StreetName <StrtNm>	[0..1]	Text		944
	BuildingNumber <BldgNb>	[0..1]	Text		944
	BuildingName <BldgNm>	[0..1]	Text		945
	Floor <Flr>	[0..1]	Text		945
	PostBox <PstBx>	[0..1]	Text		945
	Room <Room>	[0..1]	Text		945
	PostCode <PstCd>	[0..1]	Text		945
	TownName <TwnNm>	[0..1]	Text		945
	TownLocationName <TwnLctnNm>	[0..1]	Text		945
	DistrictName <DstrctNm>	[0..1]	Text		945
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		946
	Country <Ctry>	[0..1]	CodeSet	C3	946
	AddressLine <AdrLine>	[0..7]	Text		946

16.1.7.2.6 Other <Othr>

Presence: [0..1]

Definition: Unique identification of an agent, as assigned by an institution, using an identification scheme.

Other <Othr> contains the following elements (see "GenericFinancialIdentification1" on page 747 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		747
	SchemeName <SchmeNm>	[0..1]			748
{Or	Code <Cd>	[1..1]	CodeSet		748
Or}	Proprietary <Prtry>	[1..1]	Text		748
	Issuer <Issr>	[0..1]	Text		748

16.1.7.3 BranchData3

Definition: Information that locates and identifies a specific branch of a financial institution.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[0..1]	Text		745
	LEI <LEI>	[0..1]	IdentifierSet		745
	Name <Nm>	[0..1]	Text		745
	PostalAddress <PstlAdr>	[0..1]	±		745

16.1.7.3.1 Identification <Id>

Presence: [0..1]

Definition: Unique and unambiguous identification of a branch of a financial institution.

Datatype: "Max35Text" on page 1174

16.1.7.3.2 LEI <LEI>

Presence: [0..1]

Definition: Legal entity identification for the branch of the financial institution.

Datatype: "LEIIdentifier" on page 1170

16.1.7.3.3 Name <Nm>

Presence: [0..1]

Definition: Name by which an agent is known and which is usually used to identify that agent.

Datatype: "Max140Text" on page 1174

16.1.7.3.4 PostalAddress <PstlAdr>

Presence: [0..1]

Definition: Information that locates and identifies a specific address, as defined by postal services.

PostalAddress <PstIAdr> contains the following elements (see "PostalAddress24" on page 942 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AddressType <AdrTp>	[0..1]			943
{Or	Code <Cd>	[1..1]	CodeSet		943
Or}	Proprietary <Prtry>	[1..1]	±		944
	Department <Dept>	[0..1]	Text		944
	SubDepartment <SubDept>	[0..1]	Text		944
	StreetName <StrtNm>	[0..1]	Text		944
	BuildingNumber <BldgNb>	[0..1]	Text		944
	BuildingName <BldgNm>	[0..1]	Text		945
	Floor <Flr>	[0..1]	Text		945
	PostBox <PstBx>	[0..1]	Text		945
	Room <Room>	[0..1]	Text		945
	PostCode <PstCd>	[0..1]	Text		945
	TownName <TwnNm>	[0..1]	Text		945
	TownLocationName <TwnLctnNm>	[0..1]	Text		945
	DistrictName <DstrctNm>	[0..1]	Text		945
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		946
	Country <Ctry>	[0..1]	CodeSet	C3	946
	AddressLine <AdrLine>	[0..7]	Text		946

16.1.7.4 BranchAndFinancialInstitutionIdentification6

Definition: Unique and unambiguous identification of a financial institution or a branch of a financial institution.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	FinancialInstitutionIdentification <FinInstnId>	[1..1]	±		746
	BranchIdentification <BrnchId>	[0..1]	±		747

16.1.7.4.1 FinancialInstitutionIdentification <FinInstnId>

Presence: [1..1]

Definition: Unique and unambiguous identification of a financial institution, as assigned under an internationally recognised or proprietary identification scheme.

FinancialInstitutionIdentification <FinInstnId> contains the following elements (see "FinancialInstitutionIdentification18" on page 742 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	BICFI <BICFI>	[0..1]	IdentifierSet	C2	742
	ClearingSystemMemberIdentification <ClrSysMmbld>	[0..1]	±		742
	LEI <LEI>	[0..1]	IdentifierSet		743
	Name <Nm>	[0..1]	Text		743
	PostalAddress <PstlAdr>	[0..1]	±		743
	Other <Othr>	[0..1]	±		744

16.1.7.4.2 BranchIdentification <BrnchId>

Presence: [0..1]

Definition: Identifies a specific branch of a financial institution.

Usage: This component should be used in case the identification information in the financial institution component does not provide identification up to branch level.

BranchIdentification <BrnchId> contains the following elements (see "BranchData3" on page 745 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[0..1]	Text		745
	LEI <LEI>	[0..1]	IdentifierSet		745
	Name <Nm>	[0..1]	Text		745
	PostalAddress <PstlAdr>	[0..1]	±		745

16.1.7.5 GenericFinancialIdentification1

Definition: Information related to an identification of a financial institution.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		747
	SchemeName <SchmeNm>	[0..1]			748
{Or	Code <Cd>	[1..1]	CodeSet		748
Or}	Proprietary <Prtry>	[1..1]	Text		748
	Issuer <Issr>	[0..1]	Text		748

16.1.7.5.1 Identification <Id>

Presence: [1..1]

Definition: Unique and unambiguous identification of a person.

Datatype: "Max35Text" on page 1174

16.1.7.5.2 SchemeName <SchmeNm>

Presence: [0..1]

Definition: Name of the identification scheme.

SchemeName <SchmeNm> contains one of the following **FinancialIdentificationSchemeName1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		748
Or}	Proprietary <Prtry>	[1..1]	Text		748

16.1.7.5.2.1 Code <Cd>

Presence: [1..1]

Definition: Name of the identification scheme, in a coded form as published in an external list.

Datatype: "ExternalFinancialInstitutionIdentification1Code" on page 1139

16.1.7.5.2.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Name of the identification scheme, in a free text form.

Datatype: "Max35Text" on page 1174

16.1.7.5.3 Issuer <Issr>

Presence: [0..1]

Definition: Entity that assigns the identification.

Datatype: "Max35Text" on page 1174

16.1.8 Financial Instrument

16.1.8.1 SecurityIdentification20

Definition: Identification of a security.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ISIN <ISIN>	[0..1]	IdentifierSet		749
	OtherIdentification <OthrId>	[0..*]			749
	Identification <Id>	[1..1]	Text		750
	Suffix <Sfx>	[0..1]	Text		750
	Type <Tp>	[1..1]	±		750
	Description <Desc>	[0..1]	Text		750

Constraints

- **DescriptionPresenceRule**

If Description is not present then either ISIN or at least one occurrence of OtherIdentification must be present.

```
On Condition
    /Description is absent
Following Must be True
    /ISIN Must be present
Or
    /OtherIdentification[*] Must be present
```

- **DescriptionUsageRule**

Description must be used alone as the last resort.

- **ISINGuideline**

When a ISIN code exist. It is strongly recommended that the ISIN be used.

- **ISINPresenceRule**

If ISIN is not present then either Description or at least one occurrence of OtherIdentification must be present.

```
On Condition
    /ISIN is absent
Following Must be True
    /OtherIdentification[*] Must be present
Or
    /Description Must be present
```

- **OtherIdentificationPresenceRule**

If OtherIdentification is not present then either ISIN or Description must be present.

```
On Condition
    /OtherIdentification[*] is absent
Following Must be True
    /ISIN Must be present
Or
    /Description Must be present
```

16.1.8.1.1 ISIN <ISIN>

Presence: [0..1]

Definition: International Securities Identification Number (ISIN). A numbering system designed by the United Nation's International Organisation for Standardisation (ISO). The ISIN is composed of a 2-character prefix representing the country of issue, followed by the national security number (if one exists), and a check digit. Each country has a national numbering agency that assigns ISIN numbers for securities in that country.

Datatype: "ISINOct2015Identifier" on page 1170

16.1.8.1.2 OtherIdentification <Othrid>

Presence: [0..*]

Definition: Identification of a security by proprietary or domestic identification scheme.

OtherIdentification <OthrId> contains the following **OtherIdentification2** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		750
	Suffix <Sfx>	[0..1]	Text		750
	Type <Tp>	[1..1]	±		750

16.1.8.1.2.1 Identification <Id>

Presence: [1..1]

Definition: Identification of a security.

Datatype: "RestrictedFINXMax31Text" on page 1179

16.1.8.1.2.2 Suffix <Sfx>

Presence: [0..1]

Definition: Identifies the suffix of the security identification.

Datatype: "Max16Text" on page 1174

16.1.8.1.2.3 Type <Tp>

Presence: [1..1]

Definition: Type of the identification.

Type <Tp> contains one of the following elements (see "IdentificationSource4Choice" on page 785 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		786
Or}	Proprietary <Prtry>	[1..1]	Text		786

16.1.8.1.3 Description <Desc>

Presence: [0..1]

Definition: Textual description of a security instrument.

Datatype: "RestrictedFINXMax140Text" on page 1177

16.1.8.2 FinancialInstrumentAttributes120

Definition: Description of the financial instrument.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	FinancialInstrumentIdentification <FinInstrmId>	[0..1]	±	C17, C18, C41, C42, C54	751
	PlaceOfListing <PlcOfListg>	[0..1]	±		752
	DayCountBasis <DayCntBsis>	[0..1]	±		753
	ClassificationType <ClssfctnTp>	[0..1]	±		753
	DenominationCurrency <DnmtnCcy>	[0..1]	CodeSet	C2	753
	NextCouponDate <NxtCpnDt>	[0..1]	Date		754
	ExpiryDate <XpryDt>	[0..1]	Date		754
	FloatingRateFixingDate <FltgRateFxdDt>	[0..1]	Date		754
	MaturityDate <MtrtyDt>	[0..1]	Date		754
	IssueDate <IsseDt>	[0..1]	Date		754
	NextCallableDate <NxtClblDt>	[0..1]	Date		754
	PutableDate <PutblDt>	[0..1]	Date		754
	DatedDate <DtdDt>	[0..1]	Date		754
	ConversionDate <ConvsDt>	[0..1]	Date		755
	PreviousFactor <PrvsFctr>	[0..1]	Rate		755
	NextFactor <NxtFctr>	[0..1]	Rate		755
	InterestRate <IntrstRate>	[0..1]	Rate		755
	NextInterestRate <NxtIntrstRate>	[0..1]	Rate		755
	MinimumNominalQuantity <MinNmnlQty>	[0..1]	±	C19	755
	ContractSize <CtrctSz>	[0..1]	±	C19	756

Constraints

- **PreviousNextFactorRule**

In case of partial redemption by pool factor, PreviousFactor provides the factor applicable before redemption and NextFactor provides the factor applicable after redemption.

16.1.8.2.1 FinancialInstrumentIdentification <FinInstrmId>

Presence: [0..1]

Definition: Identification of a financial instrument.

Impacted by: C17 "DescriptionPresenceRule", C18 "DescriptionUsageRule", C41 "ISINGuideline", C42 "ISINPresenceRule", C54 "OtherIdentificationPresenceRule"

FinancialInstrumentIdentification <FinInstrmId> contains the following elements (see "SecurityIdentification20" on page 748 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ISIN <ISIN>	[0..1]	IdentifierSet		749
	OtherIdentification <OthrlId>	[0..*]			749
	Identification <Id>	[1..1]	Text		750
	Suffix <Sfx>	[0..1]	Text		750
	Type <Tp>	[1..1]	±		750
	Description <Desc>	[0..1]	Text		750

Constraints

- **DescriptionPresenceRule**

If Description is not present then either ISIN or at least one occurrence of OtherIdentification must be present.

```
On Condition
  /Description is absent
Following Must be True
  /ISIN Must be present
Or    /OtherIdentification[*] Must be present
```

- **DescriptionUsageRule**

Description must be used alone as the last resort.

- **ISINGuideline**

When a ISIN code exist. It is strongly recommended that the ISIN be used.

- **ISINPresenceRule**

If ISIN is not present then either Description or at least one occurrence of OtherIdentification must be present.

```
On Condition
  /ISIN is absent
Following Must be True
  /OtherIdentification[*] Must be present
Or    /Description Must be present
```

- **OtherIdentificationPresenceRule**

If OtherIdentification is not present then either ISIN or Description must be present.

```
On Condition
  /OtherIdentification[*] is absent
Following Must be True
  /ISIN Must be present
Or    /Description Must be present
```

16.1.8.2.2 PlaceOfListing <PlcOfListg>

Presence: [0..1]

Definition: Place where the referenced financial instrument is listed.

PlaceOfListing <PlcOfListg> contains one of the following elements (see "[MarketIdentification4Choice](#)" on page 791 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	MarketIdentifierCode <MktldrCd>	[1..1]	IdentifierSet		791
Or}	Description <Desc>	[1..1]	Text		791

16.1.8.2.3 DayCountBasis <DayCntBsis>

Presence: [0..1]

Definition: Specifies the computation method of (accrued) interest of the financial instrument.

DayCountBasis <DayCntBsis> contains one of the following elements (see "[InterestComputationMethodFormat5Choice](#)" on page 904 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		904
Or}	Proprietary <Prtry>	[1..1]	±		907

16.1.8.2.4 ClassificationType <ClssfctnTp>

Presence: [0..1]

Definition: Classification type of the financial instrument, as per the ISO Classification of Financial Instrument (CFI).

ClassificationType <ClssfctnTp> contains one of the following elements (see "[ClassificationType33Choice](#)" on page 903 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	ClassificationFinancialInstrument <ClssfctnFinInstrm>	[1..1]	IdentifierSet		904
Or}	AlternateClassification <AltrnClssfctn>	[1..1]	±		904

16.1.8.2.5 DenominationCurrency <DnmtnCcy>

Presence: [0..1]

Definition: Currency in which a financial instrument is currently denominated.

Impacted by: C2 "ActiveOrHistoricCurrency"

Datatype: "ActiveOrHistoricCurrencyCode" on page 1088

Constraints

- **ActiveOrHistoricCurrency**

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3)

contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

16.1.8.2.6 NextCouponDate <NxtCpnDt>

Presence: [0..1]

Definition: Next payment date of an interest bearing financial instrument.

Datatype: "ISODate" on page 1168

16.1.8.2.7 ExpiryDate <XpryDt>

Presence: [0..1]

Definition: Date on which an order expires or at which a privilege or offer terminates.

Datatype: "ISODate" on page 1168

16.1.8.2.8 FloatingRateFixingDate <FltgRateFxdDt>

Presence: [0..1]

Definition: Date on which the interest rate or redemption price will be/was calculated according to the terms of the issue.

Datatype: "ISODate" on page 1168

16.1.8.2.9 MaturityDate <MtrtyDt>

Presence: [0..1]

Definition: Date on which a financial instrument becomes due and assets are to be repaid.

Datatype: "ISODate" on page 1168

16.1.8.2.10 IssueDate <IsseDt>

Presence: [0..1]

Definition: Date on which the financial instrument is issued.

Datatype: "ISODate" on page 1168

16.1.8.2.11 NextCallableDate <NxtClblDt>

Presence: [0..1]

Definition: Date on which a financial instrument is called away/redeemed before its scheduled maturity.

Datatype: "ISODate" on page 1168

16.1.8.2.12 PutableDate <PutblDt>

Presence: [0..1]

Definition: Date on which a holder of a financial instrument has the right to request redemption of the principal amount prior to its scheduled maturity date.

Datatype: "ISODate" on page 1168

16.1.8.2.13 DatedDate <DtdDt>

Presence: [0..1]

Definition: Date on which an interest bearing financial instrument begins to accrue interest.

Datatype: "ISODate" on page 1168

16.1.8.2.14 ConversionDate <ConvDt>

Presence: [0..1]

Definition: Deadline by which a convertible security must be converted, according to the terms of the issue.

Datatype: "ISODate" on page 1168

16.1.8.2.15 PreviousFactor <PrvsFctr>

Presence: [0..1]

Definition: Factor used to calculate the value of the outstanding principal of the financial instrument (for factored securities) until the next redemption (factor) date.

Datatype: "BaseOne14Rate" on page 1172

16.1.8.2.16 NextFactor <NxtFctr>

Presence: [0..1]

Definition: Factor used to calculate the value of the outstanding principal of the financial instrument (for factored securities) that will be applicable after the redemption (factor) date.

Datatype: "BaseOne14Rate" on page 1172

16.1.8.2.17 InterestRate <IntrstRate>

Presence: [0..1]

Definition: Annualised interest rate of a financial instrument used to calculate the actual interest rate of the coupon or the accrued interest.

Datatype: "PercentageRate" on page 1172

16.1.8.2.18 NextInterestRate <NxtIntrstRate>

Presence: [0..1]

Definition: Interest rate applicable to the next interest payment period in relation to variable rate instruments.

Datatype: "PercentageRate" on page 1172

16.1.8.2.19 MinimumNominalQuantity <MinNmnlQty>

Presence: [0..1]

Definition: Minimum nominal quantity of financial instrument.

Impacted by: C19 "DigitalTokenUnitUsageRule"

MinimumNominalQuantity <MinNmnlQty> contains one of the following elements (see "FinancialInstrumentQuantity36Choice" on page 986 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		986
Or	FaceAmount <FaceAmt>	[1..1]	Amount		986
Or	AmortisedValue <AmtsdVal>	[1..1]	Amount		986
Or}	DigitalTokenUnit <DgtlTknUnit>	[1..1]	Quantity		986

Constraints

- **DigitalTokenUnitUsageRule**

DigitalTokenUnit format may only be used for instruments identified with a digital token identifier and when the related account identification is provided as a blockchain address or wallet identification (using BlockChainAddressOrWallet element as the account identification).

16.1.8.2.20 ContractSize <CtrctSz>

Presence: [0..1]

Definition: Ratio or multiplying factor used to convert one contract into a financial instrument quantity.

Impacted by: C19 "DigitalTokenUnitUsageRule"

ContractSize <CtrctSz> contains one of the following elements (see "FinancialInstrumentQuantity36Choice" on page 986 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		986
Or	FaceAmount <FaceAmt>	[1..1]	Amount		986
Or	AmortisedValue <AmtsdVal>	[1..1]	Amount		986
Or}	DigitalTokenUnit <DgtlTknUnit>	[1..1]	Quantity		986

Constraints

- **DigitalTokenUnitUsageRule**

DigitalTokenUnit format may only be used for instruments identified with a digital token identifier and when the related account identification is provided as a blockchain address or wallet identification (using BlockChainAddressOrWallet element as the account identification).

16.1.8.3 FinancialInstrumentAttributes117

Definition: Description of the financial instrument.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	SecurityIdentification <Sctyld>	[1..1]	±	C17, C18, C41, C42, C54	757
	Quantity <Qty>	[0..1]	Quantity		758
	RenounceableEntitlementStatusType <RnnclEntitlmtStsTp>	[0..1]	±		758
	FractionDisposition <FrctnDspstr>	[0..1]	±		758
	IntermediateSecuritiesToUnderlyingRatio <IntrmdtScitiesToUndrlygRatio>	[0..1]	±		759
	MarketPrice <MktPric>	[0..1]	±		759
	ExpiryDate <XpryDt>	[1..1]	±		759
	PostingDate <PstngDt>	[1..1]	±		759
	TradingPeriod <TradgPrd>	[0..1]	±		760
	UninstructedBalance <UinstdBal>	[0..1]	±		760
	InstructedBalance <InstdBal>	[0..1]	±		760

16.1.8.3.1 SecurityIdentification <Sctyld>

Presence: [1..1]

Definition: Identifies the financial instrument.

Impacted by: C17 "DescriptionPresenceRule", C18 "DescriptionUsageRule", C41 "ISINGuideline", C42 "ISINPresenceRule", C54 "OtherIdentificationPresenceRule"

SecurityIdentification <Sctyld> contains the following elements (see "SecurityIdentification20" on page 748 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ISIN <ISIN>	[0..1]	IdentifierSet		749
	OtherIdentification <Othrld>	[0..*]			749
	Identification <Id>	[1..1]	Text		750
	Suffix <Sfx>	[0..1]	Text		750
	Type <Tp>	[1..1]	±		750
	Description <Desc>	[0..1]	Text		750

Constraints

- **DescriptionPresenceRule**

If Description is not present then either ISIN or at least one occurrence of OtherIdentification must be present.

```

On Condition
  /Description is absent
Following Must be True
  /ISIN Must be present
Or    /OtherIdentification[*] Must be present

```

- **DescriptionUsageRule**

Description must be used alone as the last resort.

- **ISINGuideline**

When a ISIN code exist. It is strongly recommended that the ISIN be used.

- **ISINPresenceRule**

If ISIN is not present then either Description or at least one occurrence of OtherIdentification must be present.

```

On Condition
  /ISIN is absent
Following Must be True
  /OtherIdentification[*] Must be present
Or    /Description Must be present

```

- **OtherIdentificationPresenceRule**

If OtherIdentification is not present then either ISIN or Description must be present.

```

On Condition
  /OtherIdentification[*] is absent
Following Must be True
  /ISIN Must be present
Or    /Description Must be present

```

16.1.8.3.2 Quantity <Qty>

Presence: [0..1]

Definition: Quantity of entitled intermediate securities based on the balance of underlying securities.

Datatype: "RestrictedFINDecimalNumber" on page 1172

16.1.8.3.3 RenounceableEntitlementStatusType <RnnclEntitlmntStsTp>

Presence: [0..1]

Definition: Specifies whether terms of the event allow resale of the rights.

RenounceableEntitlementStatusType <RnnclEntitlmntStsTp> contains one of the following elements (see "RenounceableEntitlementStatusTypeFormat4Choice" on page 902 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		902
Or}	Proprietary <Prtry>	[1..1]	±		902

16.1.8.3.4 FractionDisposition <FrctnDspstn>

Presence: [0..1]

Definition: Specifies how fractions resulting from derived securities will be processed or how prorated decisions will be rounding, if provided with a pro ration rate.

FractionDisposition <FrctnDspstn> contains one of the following elements (see "[FractionDispositionType32Choice](#)" on page 901 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		901
Or}	Proprietary <Prtry>	[1..1]	±		902

16.1.8.3.5 IntermediateSecuritiesToUnderlyingRatio <IntrmdtSciesToUndrlygRatio>

Presence: [0..1]

Definition: Quantity of intermediate securities awarded for a given quantity of underlying security.

IntermediateSecuritiesToUnderlyingRatio <IntrmdtSciesToUndrlygRatio> contains the following elements (see "[QuantityToQuantityRatio2](#)" on page 1052 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Quantity1 <Qty1>	[1..1]	Quantity		1052
	Quantity2 <Qty2>	[1..1]	Quantity		1052

16.1.8.3.6 MarketPrice <MktPric>

Presence: [0..1]

Definition: Last reported/known price of a financial instrument in a market.

MarketPrice <MktPric> contains the following elements (see "[AmountPrice4](#)" on page 946 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AmountPriceType <AmtPricTp>	[1..1]	CodeSet		946
	PriceValue <PricVal>	[1..1]	Amount	C1	946

16.1.8.3.7 ExpiryDate <XpryDt>

Presence: [1..1]

Definition: Date on which an order expires or at which a privilege or offer terminates.

ExpiryDate <XpryDt> contains one of the following elements (see "[DateFormat41Choice](#)" on page 723 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		723
Or}	DateCode <DtCd>	[1..1]			723
{Or	Code <Cd>	[1..1]	CodeSet		723
Or}	Proprietary <Prtry>	[1..1]	±		724

16.1.8.3.8 PostingDate <PstngDt>

Presence: [1..1]

Definition: Date of the posting (credit or debit) to the account.

PostingDate <PstngDt> contains one of the following elements (see "DateFormat41Choice" on page 723 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		723
Or}	DateCode <DtCd>	[1..1]			723
{Or	Code <Cd>	[1..1]	CodeSet		723
Or}	Proprietary <Prtry>	[1..1]	±		724

16.1.8.3.9 TradingPeriod <TradgPrd>

Presence: [0..1]

Definition: Period during which intermediate or outturn securities are tradable in a secondary market.

TradingPeriod <TradgPrd> contains the following elements (see "Period11" on page 734 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	StartDate <StartDt>	[1..1]			734
{Or	Date <Dt>	[1..1]	±		734
Or}	NotSpecifiedDate <NotSpcfdDt>	[1..1]	CodeSet		734
	EndDate <EndDt>	[1..1]			735
{Or	Date <Dt>	[1..1]	±		735
Or}	NotSpecifiedDate <NotSpcfdDt>	[1..1]	CodeSet		735

16.1.8.3.10 UninstructedBalance <UinstdBal>

Presence: [0..1]

Definition: Balance of uninstructed position.

UninstructedBalance <UinstdBal> contains one of the following elements (see "BalanceFormat14Choice" on page 716 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Balance <Bal>	[1..1]	±		716
Or	EligibleBalance <ElgblBal>	[1..1]	±		716
Or}	NotEligibleBalance <NotElgblBal>	[1..1]	±		716

16.1.8.3.11 InstructedBalance <InstdBal>

Presence: [0..1]

Definition: Balance of instructed position.

InstructedBalance <InstdBal> contains one of the following elements (see "[BalanceFormat14Choice](#)" on page 716 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Balance <Bal>	[1..1]	±		716
Or	EligibleBalance <ElgblBal>	[1..1]	±		716
Or}	NotEligibleBalance <NotElgblBal>	[1..1]	±		716

16.1.8.4 FinancialInstrumentAttributes115

Definition: Description of the financial instrument.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	FinancialInstrumentIdentification <FinInstrmId>	[1..1]	±	C17, C18, C41, C42, C54	763
	PlaceOfListing <PlcOfListg>	[0..1]	±		764
	DayCountBasis <DayCntBsis>	[0..1]	±		764
	ClassificationType <ClssfctnTp>	[0..1]	±		764
	OptionStyle <OptnStyle>	[0..1]	±		764
	DenominationCurrency <DnmtnCcy>	[0..1]	CodeSet	C2	765
	NextCouponDate <NxtCpnDt>	[0..1]	Date		765
	FloatingRateFixingDate <FltgRateFxdDt>	[0..1]	Date		765
	MaturityDate <MtrtyDt>	[0..1]	Date		765
	IssueDate <IsseDt>	[0..1]	Date		765
	NextCallableDate <NxtClblDt>	[0..1]	Date		766
	PutableDate <PutblDt>	[0..1]	Date		766
	DatedDate <DtdDt>	[0..1]	Date		766
	ConversionDate <ConvsDt>	[0..1]	Date		766
	PreviousFactor <PrvsFctr>	[0..1]	±		766
	NextFactor <NxtFctr>	[0..1]	±		766
	InterestRate <IntrstRate>	[0..1]	±		767
	NextInterestRate <NxtIntrstRate>	[0..1]	±		767
	MinimumNominalQuantity <MinNmnlQty>	[0..1]	±	C19	767
	MinimumQuantityToInstruct <MinQtyToInst>	[0..1]	±	C19	768
	MinimumMultipleQuantityToInstruct <MinMltplQtyToInst>	[0..1]	±	C19	768
	ContractSize <CtrctSz>	[0..1]	±	C19	769
	IssuePrice <IssePric>	[0..1]	±		769

Constraints

- **MaturityDateRule**

Maturity date may only provide generic information about the final maturity of the underlying instrument.

- **PreviousNextFactorRule**

In case of partial redemption by pool factor, PreviousFactor provides the factor applicable before redemption and NextFactor provides the factor applicable after redemption.

16.1.8.4.1 FinancialInstrumentIdentification <FinInstrmId>*Presence:* [1..1]*Definition:* Identification of the financial instrument.*Impacted by:* C17 "DescriptionPresenceRule", C18 "DescriptionUsageRule", C41 "ISINGuideline", C42 "ISINPresenceRule", C54 "OtherIdentificationPresenceRule"**FinancialInstrumentIdentification <FinInstrmId>** contains the following elements (see "SecurityIdentification20" on page 748 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ISIN <ISIN>	[0..1]	IdentifierSet		749
	OtherIdentification <OthrId>	[0..*]			749
	Identification <Id>	[1..1]	Text		750
	Suffix <Sfx>	[0..1]	Text		750
	Type <Tp>	[1..1]	±		750
	Description <Desc>	[0..1]	Text		750

Constraints

- **DescriptionPresenceRule**

If Description is not present then either ISIN or at least one occurrence of OtherIdentification must be present.

```
On Condition
  /Description is absent
Following Must be True
  /ISIN Must be present
Or    /OtherIdentification[*] Must be present
```

- **DescriptionUsageRule**

Description must be used alone as the last resort.

- **ISINGuideline**

When a ISIN code exist. It is strongly recommended that the ISIN be used.

- **ISINPresenceRule**

If ISIN is not present then either Description or at least one occurrence of OtherIdentification must be present.

```
On Condition
  /ISIN is absent
Following Must be True
  /OtherIdentification[*] Must be present
Or    /Description Must be present
```

- **OtherIdentificationPresenceRule**

If OtherIdentification is not present then either ISIN or Description must be present.

```
On Condition
  /OtherIdentification[*] is absent
Following Must be True
```

/ISIN Must be present
Or /Description Must be present

16.1.8.4.2 PlaceOfListing <PlcOfListg>

Presence: [0..1]

Definition: Place where the referenced financial instrument is listed.

PlaceOfListing <PlcOfListg> contains one of the following elements (see "MarketIdentification4Choice" on page 791 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	MarketIdentifierCode <MktldrCd>	[1..1]	IdentifierSet		791
Or}	Description <Desc>	[1..1]	Text		791

16.1.8.4.3 DayCountBasis <DayCntBsis>

Presence: [0..1]

Definition: Specifies the computation method of (accrued) interest of the financial instrument.

DayCountBasis <DayCntBsis> contains one of the following elements (see "InterestComputationMethodFormat5Choice" on page 904 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		904
Or}	Proprietary <Prtry>	[1..1]	±		907

16.1.8.4.4 ClassificationType <ClssfctnTp>

Presence: [0..1]

Definition: Classification type of the financial instrument, as per the ISO Classification of Financial Instrument (CFI).

ClassificationType <ClssfctnTp> contains one of the following elements (see "ClassificationType33Choice" on page 903 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	ClassificationFinancialInstrument <ClssfctnFinInstrm>	[1..1]	IdentifierSet		904
Or}	AlternateClassification <AltrnClssfctn>	[1..1]	±		904

16.1.8.4.5 OptionStyle <OptnStyle>

Presence: [0..1]

Definition: Specifies how an option can be exercised.

OptionStyle <OptnStyle> contains one of the following elements (see "OptionStyle9Choice" on page 903 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		903
Or}	Proprietary <Prtry>	[1..1]	±		903

16.1.8.4.6 DenominationCurrency <DnmtnCcy>

Presence: [0..1]

Definition: Currency in which a financial instrument is currently denominated.

Impacted by: C2 "ActiveOrHistoricCurrency"

Datatype: "ActiveOrHistoricCurrencyCode" on page 1088

Constraints

- **ActiveOrHistoricCurrency**

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

16.1.8.4.7 NextCouponDate <NxtCpnDt>

Presence: [0..1]

Definition: Next payment date of an interest bearing financial instrument.

Datatype: "ISODate" on page 1168

16.1.8.4.8 FloatingRateFixingDate <FltgRateFxdDt>

Presence: [0..1]

Definition: Date on which the interest rate or redemption price will be/was calculated according to the terms of the issue.

Datatype: "ISODate" on page 1168

16.1.8.4.9 MaturityDate <MtrtyDt>

Presence: [0..1]

Definition: Date on which a financial instrument becomes due and assets are to be repaid.

Datatype: "ISODate" on page 1168

16.1.8.4.10 IssueDate <IsseDt>

Presence: [0..1]

Definition: Date at which the security was made available.

Datatype: "ISODate" on page 1168

16.1.8.4.11 NextCallableDate <NxtCllbIDt>*Presence:* [0..1]*Definition:* Date on which a financial instrument is called away/redeemed before its scheduled maturity.*Datatype:* "ISODate" on page 1168**16.1.8.4.12 PutableDate <PutbIDt>***Presence:* [0..1]*Definition:* Date on which a holder of a financial instrument has the right to request redemption of the principal amount prior to its scheduled maturity date.*Datatype:* "ISODate" on page 1168**16.1.8.4.13 DatedDate <DtdDt>***Presence:* [0..1]*Definition:* Date on which an interest bearing financial instrument begins to accrue interest.*Datatype:* "ISODate" on page 1168**16.1.8.4.14 ConversionDate <ConvDt>***Presence:* [0..1]*Definition:* Deadline by which a convertible security must be converted, according to the terms of the issue.*Datatype:* "ISODate" on page 1168**16.1.8.4.15 PreviousFactor <PrvsFctr>***Presence:* [0..1]*Definition:* Factor used to calculate the value of the outstanding principal of the financial instrument (for factored securities) until the next redemption (factor) date.**PreviousFactor <PrvsFctr>** contains one of the following elements (see "RateFormat12Choice" on page 913 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		914
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		914

16.1.8.4.16 NextFactor <NxtFctr>*Presence:* [0..1]*Definition:* Factor used to calculate the value of the outstanding principal of the financial instrument (for factored securities) that will be applicable after the redemption (factor) date.

NextFactor <NxtFctr> contains one of the following elements (see "[RateFormat12Choice](#)" on page 913 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		914
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		914

16.1.8.4.17 InterestRate <IntrstRate>

Presence: [0..1]

Definition: Annualised interest rate of a financial instrument used to calculate the actual interest rate of the coupon or the accrued interest.

InterestRate <IntrstRate> contains one of the following elements (see "[RateFormat3Choice](#)" on page 987 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		987
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		987

16.1.8.4.18 NextInterestRate <NxtIntrstRate>

Presence: [0..1]

Definition: Interest rate applicable to the next interest payment period in relation to variable rate instruments.

NextInterestRate <NxtIntrstRate> contains one of the following elements (see "[RateFormat3Choice](#)" on page 987 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		987
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		987

16.1.8.4.19 MinimumNominalQuantity <MinNmnlQty>

Presence: [0..1]

Definition: Minimum nominal quantity of financial instrument.

Impacted by: [C19 "DigitalTokenUnitUsageRule"](#)

MinimumNominalQuantity <MinNmnlQty> contains one of the following elements (see "FinancialInstrumentQuantity36Choice" on page 986 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		986
Or	FaceAmount <FaceAmt>	[1..1]	Amount		986
Or	AmortisedValue <AmtsdVal>	[1..1]	Amount		986
Or}	DigitalTokenUnit <DgtlTknUnit>	[1..1]	Quantity		986

Constraints

- **DigitalTokenUnitUsageRule**

DigitalTokenUnit format may only be used for instruments identified with a digital token identifier and when the related account identification is provided as a blockchain address or wallet identification (using BlockChainAddressOrWallet element as the account identification).

16.1.8.4.20 MinimumQuantityToInstruct <MinQtyToInst>

Presence: [0..1]

Definition: Minimum quantity of financial instrument that may be instructed.

Impacted by: C19 "DigitalTokenUnitUsageRule"

MinimumQuantityToInstruct <MinQtyToInst> contains one of the following elements (see "FinancialInstrumentQuantity36Choice" on page 986 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		986
Or	FaceAmount <FaceAmt>	[1..1]	Amount		986
Or	AmortisedValue <AmtsdVal>	[1..1]	Amount		986
Or}	DigitalTokenUnit <DgtlTknUnit>	[1..1]	Quantity		986

Constraints

- **DigitalTokenUnitUsageRule**

DigitalTokenUnit format may only be used for instruments identified with a digital token identifier and when the related account identification is provided as a blockchain address or wallet identification (using BlockChainAddressOrWallet element as the account identification).

16.1.8.4.21 MinimumMultipleQuantityToInstruct <MinMltplQtyToInst>

Presence: [0..1]

Definition: Minimum multiple quantity of financial instrument that may be instructed.

Impacted by: C19 "DigitalTokenUnitUsageRule"

MinimumMultipleQuantityToInstruct <MinMltplQtyToInst> contains one of the following elements (see "FinancialInstrumentQuantity36Choice" on page 986 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		986
Or	FaceAmount <FaceAmt>	[1..1]	Amount		986
Or	AmortisedValue <AmtsdVal>	[1..1]	Amount		986
Or}	DigitalTokenUnit <DgtlTknUnit>	[1..1]	Quantity		986

Constraints

- **DigitalTokenUnitUsageRule**

DigitalTokenUnit format may only be used for instruments identified with a digital token identifier and when the related account identification is provided as a blockchain address or wallet identification (using BlockChainAddressOrWallet element as the account identification).

16.1.8.4.22 ContractSize <CtrctSz>

Presence: [0..1]

Definition: Ratio or multiplying factor used to convert one contract into a financial instrument quantity.

Impacted by: C19 "DigitalTokenUnitUsageRule"

ContractSize <CtrctSz> contains one of the following elements (see "FinancialInstrumentQuantity36Choice" on page 986 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		986
Or	FaceAmount <FaceAmt>	[1..1]	Amount		986
Or	AmortisedValue <AmtsdVal>	[1..1]	Amount		986
Or}	DigitalTokenUnit <DgtlTknUnit>	[1..1]	Quantity		986

Constraints

- **DigitalTokenUnitUsageRule**

DigitalTokenUnit format may only be used for instruments identified with a digital token identifier and when the related account identification is provided as a blockchain address or wallet identification (using BlockChainAddressOrWallet element as the account identification).

16.1.8.4.23 IssuePrice <IssePric>

Presence: [0..1]

Definition: Initial issue/valuation price of a resulting security under a corporate action.

IssuePrice <IssePric> contains one of the following elements (see "PriceFormat57Choice" on page 967 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	PercentagePrice <PctgPric>	[1..1]			968
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		968
	PriceValue <PricVal>	[1..1]	Rate		968
Or	AmountPrice <AmtPric>	[1..1]	±		968
Or}	NotSpecifiedPrice <NotSpctdPric>	[1..1]	CodeSet		969

16.1.8.5 FinancialInstrumentAttributes114

Definition: Description of the financial instrument.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	FinancialInstrumentIdentification <FinInstrmId>	[1..1]	±	C17, C18, C41, C42, C54	772
	PlaceOfListing <PlcOfListg>	[0..1]	±		773
	DayCountBasis <DayCntBsis>	[0..1]	±		773
	ClassificationType <ClssfctnTp>	[0..1]	±		773
	OptionStyle <OptnStyle>	[0..1]	±		773
	DenominationCurrency <DnmtnCcy>	[0..1]	CodeSet	C2	774
	NextCouponDate <NxtCpnDt>	[0..1]	Date		774
	ExpiryDate <XpryDt>	[0..1]	Date		774
	FloatingRateFixingDate <FltgRateFxdDt>	[0..1]	Date		774
	MaturityDate <MtrtyDt>	[0..1]	Date		774
	IssueDate <IssDt>	[0..1]	Date		775
	NextCallableDate <NxtClblDt>	[0..1]	Date		775
	PutableDate <PutblDt>	[0..1]	Date		775
	DatedDate <DtdDt>	[0..1]	Date		775
	ConversionDate <ConvsDt>	[0..1]	Date		775
	InterestRate <IntrstRate>	[0..1]	±		775
	NextInterestRate <NxtIntrstRate>	[0..1]	±		775
	PercentageOfDebtClaim <PctgOfDebtClm>	[0..1]	±		776
	PreviousFactor <PrvsFctr>	[0..1]	±		776
	NextFactor <NxtFctr>	[0..1]	±		776
	WarrantParity <WarrtParity>	[0..1]	±		777
	MinimumNominalQuantity <MinNmnlQty>	[0..1]	±	C19	777
	ContractSize <CtrctSz>	[0..1]	±	C19	777

Constraints

- **MaturityDateRule**

Maturity date may only provide generic information about the final maturity of the underlying instrument.

- **PreviousNextFactorRule**

In case of partial redemption by pool factor, PreviousFactor provides the factor applicable before redemption and NextFactor provides the factor applicable after redemption.

16.1.8.5.1 FinancialInstrumentIdentification <FinInstrmId>

Presence: [1..1]

Definition: Identifies the financial instrument.

Impacted by: C17 "DescriptionPresenceRule", C18 "DescriptionUsageRule", C41 "ISINGuideline", C42 "ISINPresenceRule", C54 "OtherIdentificationPresenceRule"

FinancialInstrumentIdentification <FinInstrmId> contains the following elements (see "SecurityIdentification20" on page 748 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ISIN <ISIN>	[0..1]	IdentifierSet		749
	OtherIdentification <OthrId>	[0..*]			749
	Identification <Id>	[1..1]	Text		750
	Suffix <Sfx>	[0..1]	Text		750
	Type <Tp>	[1..1]	±		750
	Description <Desc>	[0..1]	Text		750

Constraints

- **DescriptionPresenceRule**

If Description is not present then either ISIN or at least one occurrence of OtherIdentification must be present.

```
On Condition
  /Description is absent
Following Must be True
  /ISIN Must be present
Or    /OtherIdentification[*] Must be present
```

- **DescriptionUsageRule**

Description must be used alone as the last resort.

- **ISINGuideline**

When a ISIN code exist. It is strongly recommended that the ISIN be used.

- **ISINPresenceRule**

If ISIN is not present then either Description or at least one occurrence of OtherIdentification must be present.

```
On Condition
  /ISIN is absent
Following Must be True
  /OtherIdentification[*] Must be present
Or    /Description Must be present
```

- **OtherIdentificationPresenceRule**

If OtherIdentification is not present then either ISIN or Description must be present.

```
On Condition
  /OtherIdentification[*] is absent
Following Must be True
```

/ISIN Must be present
Or /Description Must be present

16.1.8.5.2 PlaceOfListing <PlcOfListg>

Presence: [0..1]

Definition: Place where the referenced financial instrument is listed.

PlaceOfListing <PlcOfListg> contains one of the following elements (see "MarketIdentification4Choice" on page 791 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	MarketIdentifierCode <MktldrCd>	[1..1]	IdentifierSet		791
Or}	Description <Desc>	[1..1]	Text		791

16.1.8.5.3 DayCountBasis <DayCntBsis>

Presence: [0..1]

Definition: Specifies the computation method of (accrued) interest of the financial instrument.

DayCountBasis <DayCntBsis> contains one of the following elements (see "InterestComputationMethodFormat5Choice" on page 904 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		904
Or}	Proprietary <Prtry>	[1..1]	±		907

16.1.8.5.4 ClassificationType <ClssfctnTp>

Presence: [0..1]

Definition: Classification type of the financial instrument, as per the ISO Classification of Financial Instrument (CFI).

ClassificationType <ClssfctnTp> contains one of the following elements (see "ClassificationType33Choice" on page 903 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	ClassificationFinancialInstrument <ClssfctnFinInstrm>	[1..1]	IdentifierSet		904
Or}	AlternateClassification <AltrnClssfctn>	[1..1]	±		904

16.1.8.5.5 OptionStyle <OptnStyle>

Presence: [0..1]

Definition: Specifies how an option can be exercised.

OptionStyle <OptnStyle> contains one of the following elements (see ["OptionStyle9Choice"](#) on page 903 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		903
Or}	Proprietary <Prtry>	[1..1]	±		903

16.1.8.5.6 DenominationCurrency <DnmtnCcy>

Presence: [0..1]

Definition: Currency in which a financial instrument is currently denominated.

Impacted by: [C2 "ActiveOrHistoricCurrency"](#)

Datatype: ["ActiveOrHistoricCurrencyCode"](#) on page 1088

Constraints

- **ActiveOrHistoricCurrency**

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

16.1.8.5.7 NextCouponDate <NxtCpnDt>

Presence: [0..1]

Definition: Next payment date of an interest bearing financial instrument.

Datatype: ["ISODate"](#) on page 1168

16.1.8.5.8 ExpiryDate <XpryDt>

Presence: [0..1]

Definition: Date on which an order expires or at which a privilege or offer terminates.

Datatype: ["ISODate"](#) on page 1168

16.1.8.5.9 FloatingRateFixingDate <FltgRateFxdDt>

Presence: [0..1]

Definition: Date on which the interest rate or redemption price will be/was calculated according to the terms of the issue.

Datatype: ["ISODate"](#) on page 1168

16.1.8.5.10 MaturityDate <MtrtyDt>

Presence: [0..1]

Definition: Date on which a financial instrument becomes due and assets are to be repaid.

Datatype: ["ISODate"](#) on page 1168

16.1.8.5.11 IssueDate <IsseDt>*Presence:* [0..1]*Definition:* Date on which the financial instrument is issued.*Datatype:* "ISODate" on page 1168**16.1.8.5.12 NextCallableDate <NxtCllbIDt>***Presence:* [0..1]*Definition:* Date on which a financial instrument is called away/redeemed before its scheduled maturity.*Datatype:* "ISODate" on page 1168**16.1.8.5.13 PutableDate <PutbIDt>***Presence:* [0..1]*Definition:* Date on which a holder of a financial instrument has the right to request redemption of the principal amount prior to its scheduled maturity date.*Datatype:* "ISODate" on page 1168**16.1.8.5.14 DatedDate <DtdDt>***Presence:* [0..1]*Definition:* Date on which an interest bearing financial instrument begins to accrue interest.*Datatype:* "ISODate" on page 1168**16.1.8.5.15 ConversionDate <ConvSDt>***Presence:* [0..1]*Definition:* Deadline by which a convertible security must be converted, according to the terms of the issue.*Datatype:* "ISODate" on page 1168**16.1.8.5.16 InterestRate <IntrstRate>***Presence:* [0..1]*Definition:* Annualised interest rate of a financial instrument used to calculate the actual interest rate of the coupon or the accrued interest.**InterestRate <IntrstRate>** contains one of the following elements (see "RateFormat3Choice" on page 987 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		987
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		987

16.1.8.5.17 NextInterestRate <NxtIntrstRate>*Presence:* [0..1]*Definition:* Interest rate applicable to the next interest payment period in relation to variable rate instruments.

NextInterestRate <NxtIntrstRate> contains one of the following elements (see "[RateFormat3Choice](#)" on page 987 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		987
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		987

16.1.8.5.18 PercentageOfDebtClaim <PctgOfDebtClm>

Presence: [0..1]

Definition: Percentage of the underlying assets of a fund that represents a debt, for example, in the context of the EU Savings directive.

PercentageOfDebtClaim <PctgOfDebtClm> contains one of the following elements (see "[RateFormat3Choice](#)" on page 987 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		987
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		987

16.1.8.5.19 PreviousFactor <PrvsFctr>

Presence: [0..1]

Definition: Factor used to calculate the value of the outstanding principal of the financial instrument (for factored securities) until the next redemption (factor) date.

PreviousFactor <PrvsFctr> contains one of the following elements (see "[RateFormat12Choice](#)" on page 913 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		914
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		914

16.1.8.5.20 NextFactor <NxtFctr>

Presence: [0..1]

Definition: Factor used to calculate the value of the outstanding principal of the financial instrument (for factored securities) that will applicable after the redemption (factor) date.

NextFactor <NxtFctr> contains one of the following elements (see "[RateFormat12Choice](#)" on page 913 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		914
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		914

16.1.8.5.21 WarrantParity <WarrtParity>*Presence:* [0..1]*Definition:* Provides the ratio between the quantity of warrants and the quantity of underlying securities.**WarrantParity <WarrtParity>** contains the following elements (see "[QuantityToQuantityRatio2](#)" on page 1052 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Quantity1 <Qty1>	[1..1]	Quantity		1052
	Quantity2 <Qty2>	[1..1]	Quantity		1052

16.1.8.5.22 MinimumNominalQuantity <MinNmnlQty>*Presence:* [0..1]*Definition:* Minimum nominal quantity of financial instrument.*Impacted by:* [C19 "DigitalTokenUnitUsageRule"](#)**MinimumNominalQuantity <MinNmnlQty>** contains one of the following elements (see "[FinancialInstrumentQuantity36Choice](#)" on page 986 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		986
Or	FaceAmount <FaceAmt>	[1..1]	Amount		986
Or	AmortisedValue <AmtsdVal>	[1..1]	Amount		986
Or}	DigitalTokenUnit <DgtlTknUnit>	[1..1]	Quantity		986

Constraints

- DigitalTokenUnitUsageRule**

DigitalTokenUnit format may only be used for instruments identified with a digital token identifier and when the related account identification is provided as a blockchain address or wallet identification (using BlockChainAddressOrWallet element as the account identification).

16.1.8.5.23 ContractSize <CtrctSz>*Presence:* [0..1]*Definition:* Ratio or multiplying factor used to convert one contract into a financial instrument quantity.*Impacted by:* [C19 "DigitalTokenUnitUsageRule"](#)

ContractSize <CtrctSz> contains one of the following elements (see "FinancialInstrumentQuantity36Choice" on page 986 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		986
Or	FaceAmount <FaceAmt>	[1..1]	Amount		986
Or	AmortisedValue <AmtsdVal>	[1..1]	Amount		986
Or}	DigitalTokenUnit <DgtlTknUnit>	[1..1]	Quantity		986

Constraints

- **DigitalTokenUnitUsageRule**

DigitalTokenUnit format may only be used for instruments identified with a digital token identifier and when the related account identification is provided as a blockchain address or wallet identification (using BlockChainAddressOrWallet element as the account identification).

16.1.9 Financial Instrument Identification

16.1.9.1 SecurityIdentification21

Definition: Identification of a security.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ISIN <ISIN>	[0..1]	IdentifierSet		779
	OtherIdentification <OthrId>	[0..*]			779
	Identification <Id>	[1..1]	Text		779
	Suffix <Sfx>	[0..1]	Text		779
	Type <Tp>	[1..1]	±		780
	Description <Desc>	[0..1]	Text		780

Constraints

- **DescriptionPresenceRule**

If Description is not present then either ISIN or at least one occurrence of OtherIdentification must be present.

```
On Condition
  /Description is absent
Following Must be True
  /ISIN Must be present
Or    /OtherIdentification[*] Must be present
```

- **DescriptionUsageRule**

Description must be used alone as the last resort.

- **ISINGuideline**

When a ISIN code exist, it is strongly recommended that the ISIN be used.

- **ISINPresenceRule**

If ISIN is not present then either Description or at least one occurrence of OtherIdentification must be present.

```
On Condition
  /ISIN is absent
Following Must be True
  /OtherIdentification[*] Must be present
Or    /Description Must be present
```

- **OtherIdentificationPresenceRule**

If OtherIdentification is not present then either ISIN or Description must be present.

```
On Condition
  /OtherIdentification[*] is absent
Following Must be True
  /ISIN Must be present
Or    /Description Must be present
```

16.1.9.1.1 ISIN <ISIN>

Presence: [0..1]

Definition: International Securities Identification Number (ISIN). A numbering system designed by the United Nation's International Organisation for Standardisation (ISO). The ISIN is composed of a 2-character prefix representing the country of issue, followed by the national security number (if one exists), and a check digit. Each country has a national numbering agency that assigns ISIN numbers for securities in that country.

Datatype: "ISINOct2015Identifier" on page 1170

16.1.9.1.2 OtherIdentification <OthrId>

Presence: [0..*]

Definition: Identification of a security by proprietary or domestic identification scheme.

OtherIdentification <OthrId> contains the following **OtherIdentification2** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		779
	Suffix <Sfx>	[0..1]	Text		779
	Type <Tp>	[1..1]	±		780

16.1.9.1.2.1 Identification <Id>

Presence: [1..1]

Definition: Identification of a security.

Datatype: "RestrictedFINXMax31Text" on page 1179

16.1.9.1.2.2 Suffix <Sfx>

Presence: [0..1]

Definition: Identifies the suffix of the security identification.

Datatype: "Max16Text" on page 1174

16.1.9.1.2.3 Type <Tp>

Presence: [1..1]

Definition: Type of the identification.

Type <Tp> contains one of the following elements (see "IdentificationSource4Choice" on page 785 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		786
Or}	Proprietary <Prtry>	[1..1]	Text		786

16.1.9.1.3 Description <Desc>

Presence: [0..1]

Definition: Textual description of a security instrument.

Datatype: "RestrictedFINXMax140Text" on page 1177

16.1.10 Financial Instrument Quantity

16.1.10.1 FinancialInstrumentQuantity31Choice

Definition: Choice between formats for the quantity of security.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		780
Or}	FaceAmount <FaceAmt>	[1..1]	Amount		780

16.1.10.1.1 Unit <Unit>

Presence: [1..1]

Definition: Quantity expressed as a number, for example, a number of shares.

Datatype: "RestrictedFINDecimalNumber" on page 1172

16.1.10.1.2 FaceAmount <FaceAmt>

Presence: [1..1]

Definition: Quantity expressed as an amount representing the face amount, that is, the principal of a debt instrument.

Datatype: "RestrictedFINImpliedCurrencyAndAmount" on page 1087

16.1.11 Foreign Exchange

16.1.11.1 ForeignExchangeTerms19

Definition: Provides information about the terms of the foreign exchange transaction.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	UnitCurrency <UnitCcy>	[1..1]	CodeSet	C1	781
	QuotedCurrency <QtdCcy>	[1..1]	CodeSet	C1	781
	ExchangeRate <XchgRate>	[1..1]	Rate		781

16.1.11.1.1 UnitCurrency <UnitCcy>

Presence: [1..1]

Definition: Currency in which the rate of exchange is expressed in a currency exchange. In the example 1GBP = xxxCUR, the unit currency is GBP.

Impacted by: C1 "ActiveCurrency"

Datatype: "ActiveCurrencyCode" on page 1088

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

16.1.11.1.2 QuotedCurrency <QtdCcy>

Presence: [1..1]

Definition: Currency into which the base currency is converted, in a currency exchange.

Impacted by: C1 "ActiveCurrency"

Datatype: "ActiveCurrencyCode" on page 1088

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

16.1.11.1.3 ExchangeRate <XchgRate>

Presence: [1..1]

Definition: Factor used for the conversion of an amount from one currency into another. This reflects the price at which one currency was bought with another currency.

Usage: ExchangeRate expresses the ratio between UnitCurrency and QuotedCurrency (ExchangeRate = UnitCurrency/QuotedCurrency).

Datatype: "BaseOneRate" on page 1172

16.1.11.2 ForeignExchangeTerms28

Definition: Provides information about the terms of the foreign exchange transaction.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	UnitCurrency <UnitCcy>	[1..1]	CodeSet	C1	782
	QuotedCurrency <QtdCcy>	[1..1]	CodeSet	C1	782
	ExchangeRate <XchgRate>	[1..1]	Rate		782
	ResultingAmount <RsltgAmt>	[0..1]	Amount	C1, C13	783

16.1.11.2.1 UnitCurrency <UnitCcy>

Presence: [1..1]

Definition: Currency in which the rate of exchange is expressed in a currency exchange. In the example 1GBP = xxxCUR, the unit currency is GBP.

Impacted by: C1 "ActiveCurrency"

Datatype: "ActiveCurrencyCode" on page 1088

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

16.1.11.2.2 QuotedCurrency <QtdCcy>

Presence: [1..1]

Definition: Currency into which the base currency is converted, in a currency exchange.

Impacted by: C1 "ActiveCurrency"

Datatype: "ActiveCurrencyCode" on page 1088

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

16.1.11.2.3 ExchangeRate <XchgRate>

Presence: [1..1]

Definition: Factor used for the conversion of an amount from one currency into another. This reflects the price at which one currency was bought with another currency.

Usage: ExchangeRate expresses the ratio between UnitCurrency and QuotedCurrency (ExchangeRate = UnitCurrency/QuotedCurrency).

Datatype: "BaseOneRate" on page 1172

16.1.11.2.4 ResultingAmount <RsltgAmt>

Presence: [0..1]

Definition: Counter value of a foreign exchange conversion.

Impacted by: C1 "ActiveCurrency", C13 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1086

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

16.1.11.3 ForeignExchangeTerms27

Definition: Provides information about the terms of the foreign exchange transaction.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	UnitCurrency <UnitCcy>	[1..1]	CodeSet	C1	783
	QuotedCurrency <QtdCcy>	[1..1]	CodeSet	C1	784
	ExchangeRate <XchgRate>	[1..1]	Rate		784
	ResultingAmount <RsltgAmt>	[1..1]	Amount	C1, C13	784

16.1.11.3.1 UnitCurrency <UnitCcy>

Presence: [1..1]

Definition: Currency in which the rate of exchange is expressed in a currency exchange. In the example 1GBP = xxxCUR, the unit currency is GBP.

Impacted by: C1 "ActiveCurrency"

Datatype: "ActiveCurrencyCode" on page 1088

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217

Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

16.1.11.3.2 QuotedCurrency <QtdCcy>

Presence: [1..1]

Definition: Currency into which the base currency is converted, in a currency exchange.

Impacted by: C1 "ActiveCurrency"

Datatype: "ActiveCurrencyCode" on page 1088

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

16.1.11.3.3 ExchangeRate <XchgRate>

Presence: [1..1]

Definition: Factor used for the conversion of an amount from one currency into another. This reflects the price at which one currency was bought with another currency.

Usage: ExchangeRate expresses the ratio between UnitCurrency and QuotedCurrency ($\text{ExchangeRate} = \text{UnitCurrency} / \text{QuotedCurrency}$).

Datatype: "BaseOneRate" on page 1172

16.1.11.3.4 ResultingAmount <RsltAmt>

Presence: [1..1]

Definition: Counter value of a foreign exchange conversion.

Impacted by: C1 "ActiveCurrency", C13 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1086

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

16.1.12 Frequency

16.1.12.1 Frequency26Choice

Definition: Choice of format for a frequency, for example, the frequency of delivery of a statement.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		785
Or}	Proprietary <Prtry>	[1..1]	±		785

16.1.12.1.1 Code <Cd>

Presence: [1..1]

Definition: Frequency expressed as an ISO 20022 code.

Datatype: "EventFrequency4Code" on page 1139

CodeName	Name	Definition
YEAR	Annual	Event takes place every year or once a year.
ADHO	Adhoc	Event takes place as necessary.
MNTH	Monthly	Event takes place every month or once a month.
DAIL	Daily	Event takes place every day.
INDA	IntraDay	Event takes place several times a day.
WEEK	Weekly	Event takes place once a week.

16.1.12.1.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Frequency expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "GenericIdentification47" on page 790 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		790
	Issuer <Issr>	[1..1]	Text		790
	SchemeName <SchmeNm>	[0..1]	Text		791

16.1.13 Identification Information

16.1.13.1 IdentificationSource4Choice

Definition: Choice between source of identification of a financial instrument.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		786
Or}	Proprietary <Prtry>	[1..1]	Text		786

16.1.13.1.1 Code <Cd>

Presence: [1..1]

Definition: Unique and unambiguous identification source, as assigned via a pre-determined code list.

Datatype: "ExternalFinancialInstrumentIdentificationType1Code" on page 1140

16.1.13.1.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Unique and unambiguous identification source using a proprietary identification scheme.

Datatype: "RestrictedFINExact2Text" on page 1176

16.1.13.2 SettlementTypeAndIdentification26

Definition: Overall process covering the trade and settlement transactions of financial instruments.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Payment <Pmt>	[1..1]	CodeSet		786
	TransactionIdentification <TxId>	[1..1]	Text		786
	SettlementDate <SttlmDt>	[0..1]	±		787

16.1.13.2.1 Payment <Pmt>

Presence: [1..1]

Definition: Specifies how the transaction is to be settled.

Datatype: "DeliveryReceiptType2Code" on page 1137

CodeName	Name	Definition
FREE	SeparateSettlement	Settlement of the financial instrument and cash is separate.
APMT	AgainstPaymentSettlement	Settlement of the financial instrument and cash takes place in a delivery versus payment (DVP) environment, that is, through an International Central Securities Depository (ICSD) or Central Securities Depository (CSD).

16.1.13.2.2 TransactionIdentification <TxId>

Presence: [1..1]

Definition: Reference of the transaction.

Datatype: "RestrictedFINXMax16Text" on page 1177

16.1.13.2.3 SettlementDate <SttlmDt>*Presence:* [0..1]*Definition:* Identifies the intended settlement date.**SettlementDate <SttlmDt>** contains one of the following elements (see "[DateAndDateTime2Choice](#)" on page 731 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		731
Or}	DateTime <DtTm>	[1..1]	DateTime		731

16.1.13.3 IdentificationType42Choice*Definition:* Choice between a code and a data source scheme to specify the type of alternate identification.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		787
Or}	Proprietary <Prtry>	[1..1]	±		787

16.1.13.3.1 Code <Cd>*Presence:* [1..1]*Definition:* Type of identification is defined using a code.*Datatype:* "[TypeOfIdentification1Code](#)" on page 1167

CodeName	Name	Definition
ARNU	AlienRegistrationNumber	Number assigned by a government agency to identify foreign nationals.
CCPT	PassportNumber	Number assigned by a passport authority.
CHTY	TaxExemptIdentificationNumber	Number assigned to a tax exempt entity.
CORP	CorporateIdentification	Number assigned to a corporate entity.
DRLC	DriverLicenseNumber	Number assigned to a driver's license.
FIIN	ForeignInvestmentIdentityNumber	Number assigned to a foreign investor (other than the alien number).
TXID	TaxIdentificationNumber	Number assigned by a tax authority to an entity.

16.1.13.3.2 Proprietary <Prtry>*Presence:* [1..1]*Definition:* Type of identification is defined using a data source scheme.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification30](#)" on page 789 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		790
	Issuer <Issr>	[1..1]	Text		790
	SchemeName <SchmeNm>	[0..1]	Text		790

16.1.13.4 GenericIdentification86

Definition: Identification using a proprietary scheme.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		788
	Issuer <Issr>	[1..1]	Text		788
	SchemeName <SchmeNm>	[0..1]	Text		788

16.1.13.4.1 Identification <Id>

Presence: [1..1]

Definition: Proprietary information, often a code, issued by the data source scheme issuer.

Datatype: "[RestrictedFINXMax30Text](#)" on page 1178

16.1.13.4.2 Issuer <Issr>

Presence: [1..1]

Definition: Entity that assigns the identification.

Datatype: "[Max4AlphaNumericText](#)" on page 1175

16.1.13.4.3 SchemeName <SchmeNm>

Presence: [0..1]

Definition: Short textual description of the scheme.

Datatype: "[Max4AlphaNumericText](#)" on page 1175

16.1.13.5 GenericIdentification85

Definition: Identification expressed as a proprietary type and narrative description.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Type <Tp>	[1..1]	±		788
	Identification <Id>	[0..1]	Text		789

16.1.13.5.1 Type <Tp>

Presence: [1..1]

Definition: Proprietary information, often a code, issued by the data source scheme issuer.

Type <Tp> contains the following elements (see "GenericIdentification47" on page 790 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		790
	Issuer <Issr>	[1..1]	Text		790
	SchemeName <SchmeNm>	[0..1]	Text		791

16.1.13.5.2 Identification <Id>

Presence: [0..1]

Definition: Name or number assigned by an entity to enable recognition of that entity.

Datatype: "RestrictedFINXMax30Text" on page 1178

16.1.13.6 GenericIdentification84

Definition: Identification using a proprietary scheme.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		789
	Issuer <Issr>	[1..1]	Text		789
	SchemeName <SchmeNm>	[0..1]	Text		789

16.1.13.6.1 Identification <Id>

Presence: [1..1]

Definition: Proprietary information, often a code, issued by the data source scheme issuer.

Datatype: "RestrictedFINXMax34Text" on page 1179

16.1.13.6.2 Issuer <Issr>

Presence: [1..1]

Definition: Entity that assigns the identification.

Datatype: "Max4AlphaNumericText" on page 1175

16.1.13.6.3 SchemeName <SchmeNm>

Presence: [0..1]

Definition: Short textual description of the scheme.

Datatype: "Max4AlphaNumericText" on page 1175

16.1.13.7 GenericIdentification30

Definition: Information related to an identification, for example, party identification or account identification.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		790
	Issuer <Issr>	[1..1]	Text		790
	SchemeName <SchmeNm>	[0..1]	Text		790

16.1.13.7.1 Identification <Id>

Presence: [1..1]

Definition: Proprietary information, often a code, issued by the data source scheme issuer.

Datatype: "Exact4AlphaNumericText" on page 1173

16.1.13.7.2 Issuer <Issr>

Presence: [1..1]

Definition: Entity that assigns the identification.

Datatype: "Max35Text" on page 1174

16.1.13.7.3 SchemeName <SchmeNm>

Presence: [0..1]

Definition: Short textual description of the scheme.

Datatype: "Max35Text" on page 1174

16.1.13.8 GenericIdentification47

Definition: Information related to an identification, for example, party identification or account identification.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		790
	Issuer <Issr>	[1..1]	Text		790
	SchemeName <SchmeNm>	[0..1]	Text		791

16.1.13.8.1 Identification <Id>

Presence: [1..1]

Definition: Proprietary information, often a code, issued by the data source scheme issuer.

Datatype: "Exact4AlphaNumericText" on page 1173

16.1.13.8.2 Issuer <Issr>

Presence: [1..1]

Definition: Entity that assigns the identification.

Datatype: "Max4AlphaNumericText" on page 1175

16.1.13.8.3 SchemeName <SchmeNm>*Presence:* [0..1]*Definition:* Short textual description of the scheme.*Datatype:* "Max4AlphaNumericText" on page 1175**16.1.14 Market****16.1.14.1 MarketIdentification2Choice***Definition:* Choice of market identification.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	MarketIdentifierCode <MktldrCd>	[1..1]	IdentifierSet		791
Or}	Description <Desc>	[1..1]	Text		791

16.1.14.1.1 MarketIdentifierCode <MktldrCd>*Presence:* [1..1]*Definition:* ISO 10383 Market Identification Code.*Datatype:* "MICIdentifier" on page 1170**16.1.14.1.2 Description <Desc>***Presence:* [1..1]*Definition:* Description of the market when no Market Identifier Code is available.*Datatype:* "RestrictedFINXMax30Text" on page 1178**16.1.14.2 MarketIdentification4Choice***Definition:* Choice of market identification.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	MarketIdentifierCode <MktldrCd>	[1..1]	IdentifierSet		791
Or}	Description <Desc>	[1..1]	Text		791

16.1.14.2.1 MarketIdentifierCode <MktldrCd>*Presence:* [1..1]*Definition:* Market Identifier Code. Identification of a financial market, as stipulated in the norm ISO 10383 "Codes for exchanges and market identifications".*Datatype:* "MICIdentifier" on page 1170**16.1.14.2.2 Description <Desc>***Presence:* [1..1]*Definition:* Description of the market when no Market Identifier Code is available.

Datatype: "RestrictedFINXMax30Text" on page 1178

16.1.15 Miscellaneous

16.1.15.1 DefaultProcessingOrStandingInstruction1Choice

Definition: Choice between default processing or standing instruction.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	DefaultOptionIndicator <DfltOptnInd>	[1..1]	Indicator		792
Or}	StandingInstructionIndicator <StgInstrInd>	[1..1]	Indicator		792

16.1.15.1.1 DefaultOptionIndicator <DfltOptnInd>

Presence: [1..1]

Definition: Indicates whether the option, for example, currency option, will be selected by default if no instruction is provided by the account owner.

Datatype: One of the following values must be used (see "YesNoIndicator" on page 1171):

- *Meaning When True:* Yes
- *Meaning When False:* No

16.1.15.1.2 StandingInstructionIndicator <StgInstrInd>

Presence: [1..1]

Definition: Indicates whether an account owner has placed a standing order to select this corporate action option.

Datatype: One of the following values must be used (see "YesNoIndicator" on page 1171):

- *Meaning When True:* Yes
- *Meaning When False:* No

16.1.15.2 AdditionalBusinessProcessFormat20Choice

Definition: Choice between a standard code or proprietary code to specify the type of the additional business process, that is, a tax refund.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		792
Or}	Proprietary <Prtry>	[1..1]	±		794

16.1.15.2.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the additional business process "tax refund" linked to a corporate action event.

Datatype: "AdditionalBusinessProcess11Code" on page 1089

CodeName	Name	Definition
CLAI	ClaimOrCompensation	Relates to a claim on the associated corporate action event.
TAXR	TaxRefund	Relates to a tax refund from the authorities on the associated corporate action event.
ACLA	AutomaticMarketClaim	Will automatically give rise to market claims by the account servicer, for example, a Central Securities Depository (CSD).
ATXF	SubjectToTransformation	As a result of the corporate action, all transactions in the underlying security will be cancelled by the system and replaced with transactions in the outturn resource(s).
CNTR	CancelUnderlyingTrades	As a result of the corporate action, all transactions in the underlying security will be cancelled by the system.
CONS	Consent	Relates to a consent within a corporate action event other than a Consent event (: 22F:: CAEV//CONS).
NAMC	NoAutomaticMarketClaimsAndTransformations	Event will not automatically give rise to market claims and transformations by the account servicer, for example, a Central Securities Depository (CSD).
NPLE	NoSplitElection	Issuer only allows a single option to be selected per designated holding.
SCHM	SchemeOrPlanOfArrangement	Component event of a scheme/plan of arrangement: a reorganisation of a company or a group of companies, and their capital.
PPUT	PartialMandatoryPutRedemption	Partial mandatory exchange of a portion of bonds where the exchanged securities are usually remarketed. The issuer may offer holders the right to retain instead of exchanging their securities. A lottery will be used to determine eligibility for the event.
PPRE	PartialPreFunding	Partial pre-funding of a debt instrument prior to maturity drawn through a lottery process. One new security is issued with an earlier maturity date for the refunded (called portion) and the other new security is issued with the original maturity date for the non refunded (remaining) portion. Applicable only in the frame of a partial defeasance corporate action event.
FPRE	FullPrefunding	Full pre-funding of a debt instrument by the issuer prior to original maturity when the issuer deposits assets in trust. Applicable only in the frame of a partial defeasance corporate action event.

CodeName	Name	Definition
INCP	IncentivePremiumPayment	The event is a payment of an incentive premium related to the voting conditions prescribed at a general meeting.

16.1.15.2.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification of the additional business process "tax refund" linked to a corporate action event.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 790 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		790
	Issuer <Issr>	[1..1]	Text		790
	SchemeName <SchmeNm>	[0..1]	Text		791

16.1.15.3 AdditionalBusinessProcessFormat21Choice

Definition: Choice between a standard code or proprietary code to specify the type of the additional business process, that is, a tax refund.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		794
Or}	Proprietary <Prtry>	[1..1]	±		795

16.1.15.3.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the additional business process "tax refund" linked to a corporate action event.

Datatype: "[AdditionalBusinessProcess10Code](#)" on page 1088

CodeName	Name	Definition
CLAI	ClaimOrCompensation	Relates to a claim on the associated corporate action event.
REVR	NotificationOfReversal	Indicates a preadvice of movement reversal.
TAXR	TaxRefund	Relates to a tax refund from the authorities on the associated corporate action event.
PPUT	PartialMandatoryPutRedemption	Partial mandatory exchange of a portion of bonds where the exchanged securities are usually remarketed. The issuer may offer holders the right to retain instead of exchanging their securities. A lottery will

CodeName	Name	Definition
		be used to determine eligibility for the event.
PPRE	PartialPreFunding	Partial pre-funding of a debt instrument prior to maturity drawn through a lottery process. One new security is issued with an earlier maturity date for the refunded (called portion) and the other new security is issued with the original maturity date for the non refunded (remaining) portion. Applicable only in the frame of a partial defeasance corporate action event.
FPRE	FullPrefunding	Full pre-funding of a debt instrument by the issuer prior to original maturity when the issuer deposits assets in trust. Applicable only in the frame of a partial defeasance corporate action event.
REAC	RequiredAction	Proceeds / entitlements of the mandatory event can only be paid if specified action is taken by the account owner.
INCP	IncentivePremiumPayment	The event is a payment of an incentive premium related to the voting conditions prescribed at a general meeting.

16.1.15.3.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification of the additional business process "tax refund" linked to a corporate action event.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 790 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		790
	Issuer <Issr>	[1..1]	Text		790
	SchemeName <SchmeNm>	[0..1]	Text		791

16.1.15.4 AdditionalBusinessProcessFormat22Choice

Definition: Choice between a standard code or proprietary code to specify the type of the additional business process.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		795
Or}	Proprietary <Prtry>	[1..1]	±		797

16.1.15.4.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the additional business process linked to a corporate action event.

Datatype: "AdditionalBusinessProcess9Code" on page 1092

CodeName	Name	Definition
ACLA	AutomaticMarketClaim	Will automatically give rise to market claims by the account servicer, for example, a Central Securities Depository (CSD).
ATXF	SubjectToTransformation	As a result of the corporate action, all transactions in the underlying security will be cancelled by the system and replaced with transactions in the outturn resource(s).
CNTR	CancelUnderlyingTrades	As a result of the corporate action, all transactions in the underlying security will be cancelled by the system.
NAMC	NoAutomaticMarketClaimsAndTransformations	Event will not automatically give rise to market claims and transformations by the account servicer, for example, a Central Securities Depository (CSD).
NPLE	NoSplitElection	Issuer only allows a single option to be selected per designated holding.
SCHM	SchemeOrPlanOfArrangement	Component event of a scheme/plan of arrangement: a reorganisation of a company or a group of companies, and their capital.
CONS	Consent	Relates to a consent within a corporate action event other than a Consent event (: 22F:: CAEV//CONS).
PPUT	PartialMandatoryPutRedemption	Partial mandatory exchange of a portion of bonds where the exchanged securities are usually remarketed. The issuer may offer holders the right to retain instead of exchanging their securities. A lottery will be used to determine eligibility for the event.
FPRE	FullPrefunding	Full pre-funding of a debt instrument by the issuer prior to original maturity when the issuer deposits assets in trust. Applicable only in the frame of a partial defeasance corporate action event.
PPRE	PartialPreFunding	Partial pre-funding of a debt instrument prior to maturity drawn through a lottery process. One new security is issued with an earlier maturity date for the refunded (called portion) and the other new security is issued with the original maturity date for the non refunded (remaining) portion. Applicable only in the frame of a partial defeasance corporate action event.
REAC	RequiredAction	Proceeds / entitlements of the mandatory event can only be paid if specified action is taken by the account owner.

CodeName	Name	Definition
INCP	IncentivePremiumPayment	The event is a payment of an incentive premium related to the voting conditions prescribed at a general meeting.

16.1.15.4.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification of the additional business process linked to a corporate action event.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 790 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		790
	Issuer <Issr>	[1..1]	Text		790
	SchemeName <SchmeNm>	[0..1]	Text		791

16.1.15.5 OfferTypeFormat13Choice

Definition: Choice between a standard code or proprietary code to specify the type of offer.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		797
Or}	Proprietary <Prtry>	[1..1]	±		798

16.1.15.5.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the conditions that apply to the offer.

Datatype: "[OfferType4Code](#)" on page 1152

CodeName	Name	Definition
SQUE	SqueezeOutBid	Offer launched by a company, in possession of a large number of the shares (90% - 95%) of a company (generally as a result of a tender offer), in order to acquire the remaining shares of that company.
ERUN	RestrictionExchange	Exchange of Restricted to Unrestricted (or vice versa).
PART	PartialOffer	Offer made by the offeror for a portion of the outstanding shares not already owned by the offeror.
FCFS	FirstComeFirstServed	Securities will be accepted in the order they have been submitted until the target number of quantity sought is reached.

CodeName	Name	Definition
FINL	FinalOffer	Final or last offer to holders subject to offer conditions being reached, for example, 50 percent accepted on a tender.
NDIS	DissenterRightsNotApplicable	Dissenter's rights are not applicable for this option of the offer.
DISS	DissenterRights	Securities holder has the right to disagree with a mandatory event. This right is not inherent in all offers and therefore must be noted in announcements only when applicable.

16.1.15.5.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification of the conditions that apply to the offer.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 790 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		790
	Issuer <Issr>	[1..1]	Text		790
	SchemeName <SchmeNm>	[0..1]	Text		791

16.1.15.6 Statement75

Definition: General characteristics related to a statement which reports information.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	StatementType <StmtTp>	[1..1]	CodeSet		798
	ReportingType <RptgTp>	[1..1]	CodeSet		799
	StatementIdentification <StmtId>	[1..1]	Text		799
	InstructionAggregationPeriod <InstrAggtnPrd>	[0..1]	±		799
	ReportNumber <RptNb>	[0..1]	Text		799
	StatementDateTime <StmtDtTm>	[1..1]	±		800
	Frequency <Frqcy>	[1..1]	±		800
	UpdateType <UpdTp>	[1..1]	±		800
	ActivityIndicator <ActvtyInd>	[1..1]	Indicator		800
	NotificationDeadlinePeriod <NtfctnDdlnPrd>	[0..1]	±		800

16.1.15.6.1 StatementType <StmtTp>

Presence: [1..1]

Definition: Indicates whether the statement is a balance only notification or if it includes missing instructions only or all instructions.

Datatype: "CorporateActionStatementType2Code" on page 1134

CodeName	Name	Definition
MISS	Missing	Statement contains missing instructions.
ALLL	All	Statement contains all instructions.
BALO	BalanceOnlyNotification	Balance information with no instruction details provided.
BALI	BalanceInformation	Balance information with instruction details.

16.1.15.6.2 ReportingType <RptgTp>

Presence: [1..1]

Definition: Indicates whether the statement report on account holdings for corporate action events is for single account/multiple events or multiple accounts/single event.

Datatype: "CorporateActionStatementReportingType1Code" on page 1134

CodeName	Name	Definition
MASE	MultipleAccounts	The reporting is for a single event and for multiple accounts.
SAME	MultipleEvents	The reporting is for multiple events for a single account.

16.1.15.6.3 StatementIdentification <StmtId>

Presence: [1..1]

Definition: Reference of the statement.

Datatype: "RestrictedFINXMax16Text" on page 1177

16.1.15.6.4 InstructionAggregationPeriod <InstrAggtnPrd>

Presence: [0..1]

Definition: Indicates the period of instruction details within the statement.

InstructionAggregationPeriod <InstrAggtnPrd> contains the following elements (see "DatePeriod2" on page 717 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	FromDate <FrDt>	[1..1]	Date		717
	ToDate <ToDt>	[1..1]	Date		717

16.1.15.6.5 ReportNumber <RptNb>

Presence: [0..1]

Definition: Sequential number of the statement.

Datatype: "Max5NumericText" on page 1175

16.1.15.6.6 StatementDateTime <StmtdtTm>*Presence:* [1..1]*Definition:* Date of the statement.

StatementDateTime <StmtdtTm> contains one of the following elements (see "[DateAndDateTime2Choice](#)" on page 731 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		731
Or}	DateTime <DtTm>	[1..1]	DateTime		731

16.1.15.6.7 Frequency <Frqcy>*Presence:* [1..1]*Definition:* Frequency of the statement.

Frequency <Frqcy> contains one of the following elements (see "[Frequency26Choice](#)" on page 785 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		785
Or}	Proprietary <Prtry>	[1..1]	±		785

16.1.15.6.8 UpdateType <UpdTp>*Presence:* [1..1]*Definition:* Indicates whether the report is complete or contains changes only.

UpdateType <UpdTp> contains one of the following elements (see "[UpdateType16Choice](#)" on page 856 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		856
Or}	Proprietary <Prtry>	[1..1]	±		857

16.1.15.6.9 ActivityIndicator <ActvtyInd>*Presence:* [1..1]*Definition:* Indicates whether there is activity or information update reported in the statement.*Datatype:* One of the following values must be used (see "[YesNoIndicator](#)" on page 1171):

- *Meaning When True:* Yes
- *Meaning When False:* No

16.1.15.6.10 NotificationDeadlinePeriod <NtfctnDdlnPrd>*Presence:* [0..1]*Definition:* Period during which identification deadline has been set.

NotificationDeadlinePeriod <NtfctnDdlnPrd> contains one of the following elements (see "DateOrDateTimePeriod1Choice" on page 733 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		733
Or}	DateTime <DtTm>	[1..1]	±		733

16.1.15.7 AdditionalBusinessProcessFormat16Choice

Definition: Choice between a standard code or proprietary code to specify the type of the additional business process.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		801
Or}	Proprietary <Prtry>	[1..1]	±		801

16.1.15.7.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the additional business process linked to a corporate action event.

Datatype: "AdditionalBusinessProcess8Code" on page 1091

CodeName	Name	Definition
CONS	Consent	Relates to a consent within a corporate action event other than a Consent event (: 22F:: CAEV//CONS).
FPRE	FullPrefunding	Full pre-funding of a debt instrument by the issuer prior to original maturity when the issuer deposits assets in trust. Applicable only in the frame of a partial defeasance corporate action event.
PPUT	PartialMandatoryPutRedemption	Partial mandatory exchange of a portion of bonds where the exchanged securities are usually remarketed. The issuer may offer holders the right to retain instead of exchanging their securities. A lottery will be used to determine eligibility for the event.
PPRE	PartialPreFunding	Partial pre-funding of a debt instrument prior to maturity drawn through a lottery process. One new security is issued with an earlier maturity date for the refunded (called portion) and the other new security is issued with the original maturity date for the non refunded (remaining) portion. Applicable only in the frame of a partial defeasance corporate action event.

16.1.15.7.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification of the additional business process linked to a corporate action event.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 790 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		790
	Issuer <Issr>	[1..1]	Text		790
	SchemeName <SchmeNm>	[0..1]	Text		791

16.1.15.8 CorporateActionOption42Choice

Definition: Choice between a standard code or a proprietary code to specify the type of the corporate action option.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		802
Or}	Proprietary <Prtry>	[1..1]	±		804

16.1.15.8.1 Code <Cd>

Presence: [1..1]

Definition: Specifies the corporate action options available to the account owner.

Datatype: "[CorporateActionOption17Code](#)" on page 1132

CodeName	Name	Definition
ABST	Abstain	Vote expressed as abstain. In this case, the issuing company will add the number of shares to the quorum of the meeting. If the voting right is not executed, it will not be added to the quorum. In this case, code NOAC should be used.
BSPL	BonusSharePlan	Receive equities from the share premium reserve of the company and considered as a capital distribution rather than a disbursement of income with different tax implications (typically found in Australia).
BUYA	BuyUp	Buy additional securities to round up position.
CASE	CashAndSecurity	Option to choose between different security and cash options.
CASH	Cash	Option to choose cash.
CEXC	ConsentAndExchange	Vote to consent to change the terms of the securities agreement and to exchange securities.

CodeName	Name	Definition
CONN	ConsentDenied	Vote not to approve the event or proposal.
CONY	ConsentGranted	Vote to approve the event or proposal.
CTEN	ConsentAndTender	Vote to consent to change the terms of the securities agreement and to tender securities for cash.
EXER	Exercise	Exercise intermediate securities or warrants.
LAPS	Lapse	Allow event or entitled security to expire.
MKDW	MarkDown	Mark down the global certificate as a result of a corporate action (instruction from International Central Securities Depositories (ICSDs) to common depositories who are holding the global certificate on behalf of the International Central Securities Depositories (ICSDs)).
MKUP	MarkUp	Mark up the global certificate as a result of a corporate action (instruction from International Central Securities Depositories (ICSDs) to common depositories who are holding the global certificate on behalf of the International Central Securities Depositories (ICSDs)).
MPUT	Retain	Option that allows a holder to elect to retain their holding, for example, a putable bond.
NOAC	NoAction	Option for the account owner not to take part in the event. This would include opt-out for class actions and lodging of dissenters' rights.
NOQU	NonQualifiedInvestor	Account owner is a non-qualified investor.
OFFR	ProposedRate	In a remarketing of variable notes, the margin that shareholders can propose in respect of the next interest period.
OTHR	Other	Generic corporate action option to be used in case that no other specific code is appropriate.
OVER	Oversubscribe	Subscribe to more equities than underlying securities position allows.
QINV	QualifiedInvestor	Account owner is a qualified investor.
SECU	Security	Distribution of securities to holders.
SLLE	SellEntitlement	Sell the intermediate securities.
TAXI	TaxInstruction	Tax instruction.
PRUN	UnknownProceeds	Proceeds not known during election period. The option can result in cash and/or securities.

CodeName	Name	Definition
BOBD	BeneficialOwnerBreakdownRequest	Breakdown of beneficial ownership required for withholding tax reclaim event.

16.1.15.8.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification of the type of corporate action option.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 790 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		790
	Issuer <Issr>	[1..1]	Text		790
	SchemeName <SchmeNm>	[0..1]	Text		791

16.1.15.9 CorporateActionEventType103Choice

Definition: Choice between formats to express the corporate event type.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		804
Or}	Proprietary <Prtry>	[1..1]	±		810

Constraints

- **EventTypeRule**

If Code is present, the code OTHR must only be used in case no other corporate action event code is appropriate.

If Code is present, the code CHAN must only be used in case no other corporate action event code is appropriate and only for an event which relates to a change.

If Code is present, the code REDM must only be used when the redemption is decided by the issuer and not by the securities holders.

16.1.15.9.1 Code <Cd>

Presence: [1..1]

Definition: Event types expressed as a code.

Datatype: "[CorporateActionEventType34Code](#)" on page 1118

CodeName	Name	Definition
ACTV	ActiveTradingStatus	Trading in the security has commenced or security has been re-activated after a suspension in trading.
ATTI	Attachment	Combination of different security types to create a unit. Units are usually comprised of warrants and bonds or warrants and equities. Securities may be combined at the request of the security holder or based on market convention.
BRUP	Bankruptcy	Legal status of a company unable to pay creditors. Bankruptcy usually involves a formal court ruling. Securities may become valueless.
DFLT	BondDefault	Failure by the company to perform obligations defined as default events under the bond agreement and that have not been remedied.
BONU	BonusIssue	Bonus or capitalisation issue. Security holders receive additional assets free of payment from the issuer, in proportion to their holding.
EXRI	CallOnIntermediateSecurities	Call or exercise on nil paid securities or intermediate securities resulting from an intermediate securities distribution (RHDI). This code is used for the second event, when an intermediate securities' issue (rights/coupons) is composed of two events, the first event being the distribution of intermediate securities.
CAPD	CapitalDistribution	Corporate event pays shareholders an amount in cash issued from the capital account. There is no reduction to the face value of a single share (or the share has no par value). The number of circulating shares remains unchanged.
CAPG	CapitalGainsDistribution	Event is the distribution of profits resulting from the sale of securities. Shareholders of mutual funds, unit trusts, or SICAVs are recipients of capital gains distributions and are often reinvested in additional shares of the fund.
CAPI	Capitalisation	Increase of the current principal of a debt instrument without increasing the nominal value. It normally arises from the incorporation of due but unpaid interest into the principal. This is commonly done by increasing the pool factor value, for example, capitalisation, and negative amortisation.
DRCA	CashDistributionFromNonEligibleSecuritiesSales	Distribution to shareholders of cash resulting from the selling of non-eligible securities, for example, in the frame of a depositary receipt program.
DVCA	CashDividend	Distribution of cash to shareholders, in proportion to their equity holding.

CodeName	Name	Definition
		Ordinary dividends are recurring and regular. Shareholder must take cash and may be offered a choice of currency.
CHAN	Change	Information regarding a change further described in the corporate action details.
COOP	CompanyOption	Company option may be granted by the company, allowing the holder to take up shares at some future date(s) at a pre arranged price in the company. A company may not grant options which enable the holder to take up unissued shares at a time which is five or more years from the date of the grant. Option holders are not members of a company. They are contingent creditors of a company and hence may, in some instances, be entitled to vote on and be bound by a scheme of arrangement between the creditors and the company. As many options have multiple exercise periods a company option will either lapse or carry on to the next expiry date.
CLSA	ClassActionProposedSettlement	Situation where interested parties seek restitution for financial loss. The security holder may be offered the opportunity to join a class action proceeding and would need to respond with an instruction.
CONS	Consent	Procedure that aims to obtain consent of holder to a proposal by the issuer or a third party without convening a meeting. For example, consent to change the terms of a bond.
CONV	Conversion	Conversion of securities (generally convertible bonds or preferred shares) into another form of securities (usually common shares) at a pre-stated price/ratio.
CREV	CreditEvent	Occurrence of credit derivative for which the issuer of one or several underlying securities is unable to fulfill its financial obligations (as defined in terms and conditions).
DECR	DecreaseInValue	Reduction of face value of a single share or the value of fund assets. The number of circulating shares/units remains unchanged. This event may include a cash payout to holders.
DETI	Detachment	Separation of components that comprise a security, for example, usually units comprised of warrants and bond or warrants and equity. Units may be broken up at the request of the security holder or based on market convention.
DSCL	Disclosure	Requirement for holders or beneficial owners to disclose their name, location and holdings of any issue to the issuer.

CodeName	Name	Definition
DVOP	DividendOption	Distribution of a dividend to shareholders with a choice of benefit to receive. Shareholders may choose to receive shares or cash. To be distinguished from DRIP as the company creates new share capital in exchange for the dividend rather than investing the dividend in the market.
DRIP	DividendReinvestment	Dividend payment where holders can keep cash or have the cash reinvested in the market by the issuer into additional shares in the issuing company. To be distinguished from DVOP as the company invests the dividend in the market rather than creating new share capital in exchange for the dividend.
DRAW	Drawing	Securities are redeemed in part before the scheduled final maturity date. It is done without any pool factor reduction. The redemption is reflected in a debit of the face amount (FAMT). Drawing is distinct from partial call since drawn bonds are chosen by lottery. Therefore, not every holder is affected in the same way.
DTCH	DutchAuction	Action by a party wishing to acquire a security. Holders of the security are invited to make an offer to sell, within a specific price range. The acquiring party will buy from the holder with lowest offer.
EXOF	Exchange	Exchange of holdings for other securities and/or cash. The exchange can be either mandatory or voluntary involving the exchange of outstanding securities for different securities and/or cash. For example "exchange offer", "capital reorganisation" or "funds separation".
REDM	FinalMaturity	Redemption of an entire issue outstanding of securities, for example, bonds, preferred equity, funds, by the issuer or its agent, for example, asset manager, at final maturity.
MCAL	FullCall	Redemption of an entire issue outstanding of securities, for example, bonds, preferred equity, funds, by the issuer or its agent, for example, asset manager, before final maturity.
INCR	IncreaseInValue	Increase in the face value of a single security. The number of circulating securities remains unchanged.
PPMT	InstalmentCall	Instalment towards the purchase of equity capital, subject to an agreement between an issuer and a purchaser.
INTR	InterestPayment	Interest payment distributed to holders of an interest bearing asset.

CodeName	Name	Definition
RHDI	IntermediateSecuritiesDistribution	Distribution of intermediate securities that gives the holder the right to take part in a future event.
LIQU	LiquidationDividend	Distribution of cash, assets or both. Debt may be paid in order of priority based on preferred claims to assets specified by the security.
EXTM	MaturityExtension	As stipulated in the security's Terms and Conditions, the issuer or the holder may prolong the maturity date of a security. After extension, the security details may differ from the original issue. An issuer initiated extension may be subject to holder's approval.
MRGR	Merger	Exchange of outstanding securities, initiated by the issuer which may include options, as the result of two or more companies combining assets, that is, an external, third party company. Cash payments may accompany share exchange.
NOOF	NonOfficialOffer	Offers that are not supervised or regulated by an official entity and being offered by a party, for example a broker, usually at a discount price, for example broker offer, mini-tender, mini odd lot offer or third party offer.
CERT	NonUSTEFRADCertification	Non-US beneficial owner certification requirement for exchange of temporary to permanent notes.
ODLT	OddLotSalePurchase	Sale or purchase of odd-lots to/from the issuing company, initiated either by the holder of the security or through an offer made by the issuer.
OTHR	OtherEvent	Other event, use only when no other event type applies, for example, a new event type.
PARI	PariPassu	Occurs when securities with different characteristics, for example, shares with different entitlements to dividend or voting rights, become identical in all respects, for example, pari-passu or assimilation. May be scheduled in advance, for example, shares resulting from a bonus may become fungible after a pre-set period of time, or may result from outside events, for example, merger, reorganisation, issue of supplementary tranches.
PCAL	PartialRedemptionWithoutPoolFactorReduction	Securities are redeemed in part before their scheduled final maturity date. It is done without any pool factor reduction. The redemption is reflected in a debit of the face amount (FAMT).
PRED	PartialRedemptionWithPoolFactorReduction	Securities are redeemed in part before their scheduled final maturity date. The

CodeName	Name	Definition
		redemption is reflected in a pool factor reduction. No movement of securities occurs.
PINK	PayInKind	Interest payment, in any kind except cash, distributed to holders of an interest bearing asset.
PLAC	PlaceOfIncorporation	Changes in the state of incorporation for US companies and changes in the place of incorporation for foreign companies. Where shares need to be registered following the incorporation change, the holder(s) may have to elect the registrar.
PDEF	Prefunding	Also called partial defeasance. Issuer has money set aside to redeem a portion of an issue and the indenture states that the securities could be called earlier than the stated maturity.
PRIO	PriorityIssue	Form of open or public offer where, due to a limited amount of securities available, priority is given to existing shareholders.
BPUT	PutRedemption	Early redemption of a security at the election of the holder subject to the terms and condition of the issue with no reduction in nominal value.
REDO	Redenomination	Event by which the unit (currency and/or nominal) of a security is restated, for example, nominal/par value of security in a national currency is restated in another currency.
REMK	RemarketingAgreement	Purchase and sale of remarketed preferred equities/bonds through the negotiation of interest rate between the issuers and the holders.
BIDS	RepurchaseOffer	Repurchase offer / issuer bid / reverse rights. Offer to existing holders by the issuing company to repurchase its own securities. The objective of the offer is to reduce the number of outstanding securities.
SPLR	ReverseStockSplit	Decrease in a company's number of outstanding equities without any change in the shareholder's equity or the aggregate market value at the time of the split. Equity price and nominal value are increased accordingly.
RHTS	RightsIssue	Offer to holders of a security to subscribe for additional securities via the distribution of an intermediate security. Both processes are included in the same event.
DVSC	ScripDividend	Dividend or interest paid in the form of scrip.
SHPR	SharesPremiumDividend	Corporate event pays shareholders an amount in cash issued from the shares

CodeName	Name	Definition
		premium reserve. It is similar to a dividend but with different tax implications.
SMAL	SmallestNegotiableUnit	Modification of the smallest negotiable unit of shares in order to obtain a new negotiable unit.
SOFF	SpinOff	Distribution of securities issued by another company. The distributed securities may either be of a newly created or of an existing company. For example, spin-off, demerger, unbundling, divestment.
DVSE	StockDividend	Dividend paid to shareholders in the form of equities of the issuing corporation.
SPLF	StockSplit	Increase in a corporation's number of outstanding equities without any change in the shareholder's equity or the aggregate market value at the time of the split. Equity price and nominal value are reduced accordingly.
TREC	TaxReclaim	Event related to tax reclaim activities.
TEND	Tender	Offer made to holders by a third party, requesting them to sell (tender) or exchange their securities.
DLST	TradingStatusDelisted	Security is no longer able to comply with the listing requirements of a stock exchange and is removed from official board quotation.
SUSP	TradingStatusSuspended	Trading in the security has been suspended.
EXWA	WarrantExercise	Option offered to holders to buy (call warrant) or to sell (put warrant) a specific amount of stock, cash, or commodity, at a predetermined price, during a predetermined period of time (which usually corresponds to the life of the issue).
WTRC	WithholdingTaxReliefCertification	Certification process for withholding tax reduction or exemption based on the tax status of the holder.
WRTH	Worthless	Booking out of valueless securities.
ACCU	Accumulation	Funds related event in which the income (for example accumulation units) that accrues during an accounting period is retained within the fund instead of being paid away to investors. The retained income is nonetheless deemed to have been distributed to investors for tax purposes.

16.1.15.9.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Event types expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 790 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		790
	Issuer <Issr>	[1..1]	Text		790
	SchemeName <SchmeNm>	[0..1]	Text		791

16.1.15.10 CorporateActionOption43Choice

Definition: Choice between a standard code or a proprietary code to specify the type of the corporate action option.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		811
Or}	Proprietary <Prtry>	[1..1]	±		813

16.1.15.10.1 Code <Cd>

Presence: [1..1]

Definition: Specifies the corporate action options available to the account owner.

Datatype: "[CorporateActionOption16Code](#)" on page 1130

CodeName	Name	Definition
ABST	Abstain	Vote expressed as abstain. In this case, the issuing company will add the number of shares to the quorum of the meeting. If the voting right is not executed, it will not be added to the quorum. In this case, code NOAC should be used.
BSPL	BonusSharePlan	Receive equities from the share premium reserve of the company and considered as a capital distribution rather than a disbursement of income with different tax implications (typically found in Australia).
BUYA	BuyUp	Buy additional securities to round up position.
CASE	CashAndSecurity	Option to choose between different security and cash options.
CASH	Cash	Option to choose cash.
CERT	Certification	Certification is provided.
CEXC	ConsentAndExchange	Vote to consent to change the terms of the securities agreement and to exchange securities.

CodeName	Name	Definition
CONN	ConsentDenied	Vote not to approve the event or proposal.
CONY	ConsentGranted	Vote to approve the event or proposal.
CTEN	ConsentAndTender	Vote to consent to change the terms of the securities agreement and to tender securities for cash.
EXER	Exercise	Exercise intermediate securities or warrants.
LAPS	Lapse	Allow event or entitled security to expire.
MKDW	MarkDown	Mark down the global certificate as a result of a corporate action (instruction from International Central Securities Depositories (ICSDs) to common depositories who are holding the global certificate on behalf of the International Central Securities Depositories (ICSDs)).
MKUP	MarkUp	Mark up the global certificate as a result of a corporate action (instruction from International Central Securities Depositories (ICSDs) to common depositories who are holding the global certificate on behalf of the International Central Securities Depositories (ICSDs)).
MPUT	Retain	Option that allows a holder to elect to retain their holding, for example, a putable bond.
NOAC	NoAction	Option for the account owner not to take part in the event. This would include opt-out for class actions and lodging of dissenters' rights.
NOQU	NonQualifiedInvestor	Account owner is a non-qualified investor.
OFFR	ProposedRate	In a remarketing of variable notes, the margin that shareholders can propose in respect of the next interest period.
OTHR	Other	Generic corporate action option to be used in case that no other specific code is appropriate.
OVER	Oversubscribe	Subscribe to more equities than underlying securities position allows.
QINV	QualifiedInvestor	Account owner is a qualified investor.
SECU	Security	Distribution of securities to holders.
SLLE	SellEntitlement	Sell the intermediate securities.
TAXI	TaxInstruction	Tax instruction.
PRUN	UnknownProceeds	Proceeds not known during election period. The option can result in cash and/or securities.

CodeName	Name	Definition
BOBD	BeneficialOwnerBreakdownRequest	Breakdown of beneficial ownership required for withholding tax reclaim event.

16.1.15.10.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification of the type of corporate action option.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 790 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		790
	Issuer <Issr>	[1..1]	Text		790
	SchemeName <SchmeNm>	[0..1]	Text		791

16.1.15.11 UpdatedAdditionalInformation16

Definition: Additional information with update description and date.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Language <Lang>	[1..1]	CodeSet		813
	UpdateDescription <UpdDesc>	[0..1]	Text		813
	UpdateDate <UpdDt>	[0..1]	Date		814
	AdditionalInformation <AddtlInf>	[1..*]	Text		814

Constraints

- **AdditionalInformationRule**

The AdditionalInformation element must not contain information that can be provided in a structured field unless bilaterally agreed.

16.1.15.11.1 Language <Lang>

Presence: [1..1]

Definition: Language used to provide additional information and using the ISO 639-1 language code standard.

Datatype: "[ISO2ALanguageCode](#)" on page 1148

16.1.15.11.2 UpdateDescription <UpdDesc>

Presence: [0..1]

Definition: Specifies the amendments made to the narrative since the last message.

Datatype: "[RestrictedFINXMax140Text](#)" on page 1177

16.1.15.11.3 UpdateDate <UpdDt>*Presence:* [0..1]*Definition:* Specifies the date at which the narrative has been updated.*Datatype:* "ISODate" on page 1168**16.1.15.11.4 AdditionalInformation <AddtlInf>***Presence:* [1..*]*Definition:* Provides additional textual information.*Datatype:* "RestrictedFINZMax8000Text" on page 1180**16.1.15.12 UpdatedAdditionalInformation15***Definition:* Additional information with update description and date.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Language <Lang>	[1..1]	CodeSet		814
	UpdateDescription <UpdDesc>	[0..1]	Text		814
	UpdateDate <UpdDt>	[0..1]	Date		814
	AdditionalInformation <AddtlInf>	[1..*]	Text		814

16.1.15.12.1 Language <Lang>*Presence:* [1..1]*Definition:* Language used to provide additional information and using the ISO 639-1 language code standard.*Datatype:* "ISO2ALanguageCode" on page 1148**16.1.15.12.2 UpdateDescription <UpdDesc>***Presence:* [0..1]*Definition:* Specifies the amendments made to the narrative since the last message.*Datatype:* "RestrictedFINXMax140Text" on page 1177**16.1.15.12.3 UpdateDate <UpdDt>***Presence:* [0..1]*Definition:* Specifies the date at which the narrative has been updated.*Datatype:* "ISODate" on page 1168**16.1.15.12.4 AdditionalInformation <AddtlInf>***Presence:* [1..*]*Definition:* Provides additional textual information.*Datatype:* "RestrictedFINXMax350Text" on page 1179

16.1.15.13 UpdatedAdditionalInformation14

Definition: Additional information with update description and date.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Language <Lang>	[1..1]	CodeSet		815
	UpdateDescription <UpdDesc>	[0..1]	Text		815
	UpdateDate <UpdDt>	[0..1]	Date		815
	AdditionalInformation <AddtlInf>	[1..1]	Text		815

16.1.15.13.1 Language <Lang>

Presence: [1..1]

Definition: Language used to provide additional information and using the ISO 639-1 language code standard.

Datatype: "ISO2ALanguageCode" on page 1148

16.1.15.13.2 UpdateDescription <UpdDesc>

Presence: [0..1]

Definition: Specifies the amendments made to the narrative since the last message.

Datatype: "RestrictedFINXMax140Text" on page 1177

16.1.15.13.3 UpdateDate <UpdDt>

Presence: [0..1]

Definition: Specifies the date at which the narrative has been updated.

Datatype: "ISODate" on page 1168

16.1.15.13.4 AdditionalInformation <AddtlInf>

Presence: [1..1]

Definition: Provides additional textual information.

Datatype: "RestrictedFINXMax350Text" on page 1179

16.1.15.14 CorporateActionOption46Choice

Definition: Choice between a standard code or a proprietary code to specify the type of corporate action options.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		815
Or}	Proprietary <Prtry>	[1..1]	±		817

16.1.15.14.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the type of corporate action options.

Datatype: "CorporateActionOption15Code" on page 1129

CodeName	Name	Definition
ABST	Abstain	Vote expressed as abstain. In this case, the issuing company will add the number of shares to the quorum of the meeting. If the voting right is not executed, it will not be added to the quorum. In this case, code NOAC should be used.
BSPL	BonusSharePlan	Receive equities from the share premium reserve of the company and considered as a capital distribution rather than a disbursement of income with different tax implications (typically found in Australia).
BUYA	BuyUp	Buy additional securities to round up position.
CASE	CashAndSecurity	Option to choose between different security and cash options.
CASH	Cash	Option to choose cash.
CEXC	ConsentAndExchange	Vote to consent to change the terms of the securities agreement and to exchange securities.
CONN	ConsentDenied	Vote not to approve the event or proposal.
CONY	ConsentGranted	Vote to approve the event or proposal.
CTEN	ConsentAndTender	Vote to consent to change the terms of the securities agreement and to tender securities for cash.
EXER	Exercise	Exercise intermediate securities or warrants.
LAPS	Lapse	Allow event or entitled security to expire.
MPUT	Retain	Option that allows a holder to elect to retain their holding, for example, a puttable bond.
NOAC	NoAction	Option for the account owner not to take part in the event. This would include opt-out for class actions and lodging of dissenters' rights.
NOQU	NonQualifiedInvestor	Account owner is a non-qualified investor.
OFFR	ProposedRate	In a remarketing of variable notes, the margin that shareholders can propose in respect of the next interest period.
OTHR	Other	Generic corporate action option to be used in case that no other specific code is appropriate.
OVER	Oversubscribe	Subscribe to more equities than underlying securities position allows.

CodeName	Name	Definition
QINV	QualifiedInvestor	Account owner is a qualified investor.
SECU	Security	Distribution of securities to holders.
SLLE	SellEntitlement	Sell the intermediate securities.
PRUN	UnknownProceeds	Proceeds not known during election period. The option can result in cash and/or securities.
BOBD	BeneficialOwnerBreakdownRequest	Breakdown of beneficial ownership required for withholding tax reclaim event.

16.1.15.14.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification of the type of corporate action options.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 790 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		790
	Issuer <Issr>	[1..1]	Text		790
	SchemeName <SchmeNm>	[0..1]	Text		791

16.1.15.15 UpdatedURLInformation5

Definition: Additional information with update description and date.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Language <Lang>	[1..1]	CodeSet		817
	UpdateDescription <UpdDesc>	[0..1]	Text		817
	UpdateDate <UpdDt>	[0..1]	Date		818
	URLAddress <URLAdr>	[1..1]	Text		818

16.1.15.15.1 Language <Lang>

Presence: [1..1]

Definition: Language used to provide additional information and using the ISO 639-1 language code standard.

Datatype: "[ISO2ALanguageCode](#)" on page 1148

16.1.15.15.2 UpdateDescription <UpdDesc>

Presence: [0..1]

Definition: Specifies the amendments made to the narrative since the last message.

Datatype: "[RestrictedFINXMax140Text](#)" on page 1177

16.1.15.15.3 UpdateDate <UpdDt>*Presence:* [0..1]*Definition:* Specifies the date at which the narrative has been updated.*Datatype:* "ISODate" on page 1168**16.1.15.15.4 URLAddress <URLAdr>***Presence:* [1..1]*Definition:* Provides the web address, that is, the address for the Universal Resource Locator (URL), to use over the www (HTTP) service where additional information may be found.*Datatype:* "RestrictedFINZMax2048Text" on page 1180**16.1.15.16 BidRangeType1Choice***Definition:* Bid range type as a choice of a defined coded value or a proprietary value.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		818
Or}	Proprietary <Prtry>	[1..1]	±		818

16.1.15.16.1 Code <Cd>*Presence:* [1..1]*Definition:* Bid range type expressed as a code value.*Datatype:* "BidRangeType1Code" on page 1095

CodeName	Name	Definition
DIVI	Divisible	All values (including minimum and maximum prices) are divisible by the bid interval.
INCR	Incremental	No values within the bid range are divisible by the bid interval including the minimum and maximum prices. Each value between the minimum and maximum prices is incremented by the bid interval.
MULT	InMultiple	Minimum and maximum prices are not divisible by the bid interval. All successive values between the minimum and maximum prices are in multiples of the bid interval.

16.1.15.16.2 Proprietary <Prtry>*Presence:* [1..1]*Definition:* Bid range type expressed as a proprietary value.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification30](#)" on page 789 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		790
	Issuer <Issr>	[1..1]	Text		790
	SchemeName <SchmeNm>	[0..1]	Text		790

16.1.15.17 CorporateActionOption39Choice

Definition: Choice between a standard code or a proprietary code.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		819
Or}	Proprietary <Prtry>	[1..1]	±		820

16.1.15.17.1 Code <Cd>

Presence: [1..1]

Definition: Option type expressed as a code.

Datatype: "[CorporateActionOption12Code](#)" on page 1127

CodeName	Name	Definition
ABST	Abstain	Vote expressed as abstain. In this case, the issuing company will add the number of shares to the quorum of the meeting. If the voting right is not executed, it will not be added to the quorum. In this case, code NOAC should be used.
BSPL	BonusSharePlan	Receive equities from the share premium reserve of the company and considered as a capital distribution rather than a disbursement of income with different tax implications (typically found in Australia).
BUYA	BuyUp	Buy additional securities to round up position.
CASE	CashAndSecurity	Option to choose between different security and cash options.
CASH	Cash	Option to choose cash.
CEXC	ConsentAndExchange	Vote to consent to change the terms of the securities agreement and to exchange securities.
CONN	ConsentDenied	Vote not to approve the event or proposal.
CONY	ConsentGranted	Vote to approve the event or proposal.

CodeName	Name	Definition
CTEN	ConsentAndTender	Vote to consent to change the terms of the securities agreement and to tender securities for cash.
EXER	Exercise	Exercise intermediate securities or warrants.
LAPS	Lapse	Allow event or entitled security to expire.
MKDW	MarkDown	Mark down the global certificate as a result of a corporate action (instruction from International Central Securities Depositories (ICSDs) to common depositories who are holding the global certificate on behalf of the International Central Securities Depositories (ICSDs)).
MKUP	MarkUp	Mark up the global certificate as a result of a corporate action (instruction from International Central Securities Depositories (ICSDs) to common depositories who are holding the global certificate on behalf of the International Central Securities Depositories (ICSDs)).
MPUT	Retain	Option that allows a holder to elect to retain their holding, for example, a puttable bond.
NOAC	NoAction	Option for the account owner not to take part in the event. This would include opt-out for class actions and lodging of dissenters' rights.
NOQU	NonQualifiedInvestor	Account owner is a non-qualified investor.
OFFR	ProposedRate	In a remarketing of variable notes, the margin that shareholders can propose in respect of the next interest period.
OTHR	Other	Generic corporate action option to be used in case that no other specific code is appropriate.
OVER	Oversubscribe	Subscribe to more equities than underlying securities position allows.
QINV	QualifiedInvestor	Account owner is a qualified investor.
SECU	Security	Distribution of securities to holders.
SLLE	SellEntitlement	Sell the intermediate securities.
PRUN	UnknownProceeds	Proceeds not known during election period. The option can result in cash and/or securities.

16.1.15.17.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Option type expressed as a proprietary identification.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 790 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		790
	Issuer <Issr>	[1..1]	Text		790
	SchemeName <SchmeNm>	[0..1]	Text		791

16.1.15.18 CorporateActionEventType96Choice

Definition: Choice between formats to express the corporate event type.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		821
Or}	Proprietary <Prtry>	[1..1]	±		827

Constraints

- EventTypeRule**

If Code is present, the code OTHR (Other) must only be used in case no other corporate action event code is appropriate.

If Code is present, the code CHAN (Change) must only be used in case no other corporate action event code is appropriate and only for an event which relates to a change.

If Code is present, the code REDM (Final Maturity) must only be used when the redemption is decided by the issuer and not by the securities holders.

16.1.15.18.1 Code <Cd>

Presence: [1..1]

Definition: Event type expressed as a code.

Datatype: "[CorporateActionEventType30Code](#)" on page 1100

CodeName	Name	Definition
ACTV	ActiveTradingStatus	Trading in the security has commenced or security has been re-activated after a suspension in trading.
ATTI	Attachment	Combination of different security types to create a unit. Units are usually comprised of warrants and bonds or warrants and equities. Securities may be combined at the request of the security holder or based on market convention.
BRUP	Bankruptcy	Legal status of a company unable to pay creditors. Bankruptcy usually involves a formal court ruling. Securities may become valueless.

CodeName	Name	Definition
DFLT	BondDefault	Failure by the company to perform obligations defined as default events under the bond agreement and that have not been remedied.
BONU	BonusIssue	Bonus or capitalisation issue. Security holders receive additional assets free of payment from the issuer, in proportion to their holding.
EXRI	CallOnIntermediateSecurities	Call or exercise on nil paid securities or intermediate securities resulting from an intermediate securities distribution (RHD). This code is used for the second event, when an intermediate securities' issue (rights/coupons) is composed of two events, the first event being the distribution of intermediate securities.
CAPD	CapitalDistribution	Corporate event pays shareholders an amount in cash issued from the capital account. There is no reduction to the face value of a single share (or the share has no par value). The number of circulating shares remains unchanged.
CAPG	CapitalGainsDistribution	Event is the distribution of profits resulting from the sale of securities. Shareholders of mutual funds, unit trusts, or SICAVs are recipients of capital gains distributions and are often reinvested in additional shares of the fund.
CAPI	Capitalisation	Increase of the current principal of a debt instrument without increasing the nominal value. It normally arises from the incorporation of due but unpaid interest into the principal. This is commonly done by increasing the pool factor value, for example, capitalisation, and negative amortisation.
DRCA	CashDistributionFromNonEligibleSecuritiesSales	Distribution to shareholders of cash resulting from the selling of non-eligible securities, for example, in the frame of a depositary receipt program.
DVCA	CashDividend	Distribution of cash to shareholders, in proportion to their equity holding. Ordinary dividends are recurring and regular. Shareholder must take cash and may be offered a choice of currency.
CHAN	Change	Information regarding a change further described in the corporate action details.
COOP	CompanyOption	Company option may be granted by the company, allowing the holder to take up shares at some future date(s) at a pre arranged price in the company. A company may not grant options which enable the holder to take up unissued shares at a time which is five or more years from the date of the grant. Option holders are not members of a company.

CodeName	Name	Definition
		They are contingent creditors of a company and hence may, in some instances, be entitled to vote on and be bound by a scheme of arrangement between the creditors and the company. As many options have multiple exercise periods a company option will either lapse or carry on to the next expiry date.
CLSA	ClassActionProposedSettlement	Situation where interested parties seek restitution for financial loss. The security holder may be offered the opportunity to join a class action proceeding and would need to respond with an instruction.
CONS	Consent	Procedure that aims to obtain consent of holder to a proposal by the issuer or a third party without convening a meeting. For example, consent to change the terms of a bond.
CONV	Conversion	Conversion of securities (generally convertible bonds or preferred shares) into another form of securities (usually common shares) at a pre-stated price/ratio.
CREV	CreditEvent	Occurrence of credit derivative for which the issuer of one or several underlying securities is unable to fulfill its financial obligations (as defined in terms and conditions).
DECR	DecreaseInValue	Reduction of face value of a single share or the value of fund assets. The number of circulating shares/units remains unchanged. This event may include a cash payout to holders.
DETI	Detachment	Separation of components that comprise a security, for example, usually units comprised of warrants and bond or warrants and equity. Units may be broken up at the request of the security holder or based on market convention.
DSCL	Disclosure	Requirement for holders or beneficial owners to disclose their name, location and holdings of any issue to the issuer.
DVOP	DividendOption	Distribution of a dividend to shareholders with a choice of benefit to receive. Shareholders may choose to receive shares or cash. To be distinguished from DRIP as the company creates new share capital in exchange for the dividend rather than investing the dividend in the market.
DRIP	DividendReinvestment	Dividend payment where holders can keep cash or have the cash reinvested in the market by the issuer into additional shares in the issuing company. To be distinguished from DVOP as the company invests the dividend in the

CodeName	Name	Definition
		market rather than creating new share capital in exchange for the dividend.
DRAW	Drawing	Securities are redeemed in part before the scheduled final maturity date. It is done without any pool factor reduction. The redemption is reflected in a debit of the face amount (FAMT). Drawing is distinct from partial call since drawn bonds are chosen by lottery. Therefore, not every holder is affected in the same way.
DTCH	DutchAuction	Action by a party wishing to acquire a security. Holders of the security are invited to make an offer to sell, within a specific price range. The acquiring party will buy from the holder with lowest offer.
EXOF	Exchange	Exchange of holdings for other securities and/or cash. The exchange can be either mandatory or voluntary involving the exchange of outstanding securities for different securities and/or cash. For example "exchange offer", "capital reorganisation" or "funds separation".
REDM	FinalMaturity	Redemption of an entire issue outstanding of securities, for example, bonds, preferred equity, funds, by the issuer or its agent, for example, asset manager, at final maturity.
MCAL	FullCall	Redemption of an entire issue outstanding of securities, for example, bonds, preferred equity, funds, by the issuer or its agent, for example, asset manager, before final maturity.
INCR	IncreaseInValue	Increase in the face value of a single security. The number of circulating securities remains unchanged.
PPMT	InstalmentCall	Instalment towards the purchase of equity capital, subject to an agreement between an issuer and a purchaser.
INTR	InterestPayment	Interest payment distributed to holders of an interest bearing asset.
RHDI	IntermediateSecuritiesDistribution	Distribution of intermediate securities that gives the holder the right to take part in a future event.
LIQU	LiquidationDividend	Distribution of cash, assets or both. Debt may be paid in order of priority based on preferred claims to assets specified by the security.
EXTM	MaturityExtension	As stipulated in the security's Terms and Conditions, the issuer or the holder may prolong the maturity date of a security. After extension, the security details may differ from the original issue. An issuer initiated extension may be subject to holder's approval.

CodeName	Name	Definition
MRGR	Merger	Exchange of outstanding securities, initiated by the issuer which may include options, as the result of two or more companies combining assets, that is, an external, third party company. Cash payments may accompany share exchange.
NOOF	NonOfficialOffer	Offers that are not supervised or regulated by an official entity and being offered by a party, for example a broker, usually at a discount price, for example broker offer, mini-tender, mini odd lot offer or third party offer.
CERT	NonUSTEFRADCertification	Non-US beneficial owner certification requirement for exchange of temporary to permanent notes.
ODLT	OddLotSalePurchase	Sale or purchase of odd-lots to/from the issuing company, initiated either by the holder of the security or through an offer made by the issuer.
OTHR	OtherEvent	Other event, use only when no other event type applies, for example, a new event type.
PARI	PariPassu	Occurs when securities with different characteristics, for example, shares with different entitlements to dividend or voting rights, become identical in all respects, for example, pari-passu or assimilation. May be scheduled in advance, for example, shares resulting from a bonus may become fungible after a pre-set period of time, or may result from outside events, for example, merger, reorganisation, issue of supplementary tranches.
PCAL	PartialRedemptionWithoutPoolFactorReduction	Securities are redeemed in part before their scheduled final maturity date. It is done without any pool factor reduction. The redemption is reflected in a debit of the face amount (FAMT).
PRED	PartialRedemptionWithPoolFactorReduction	Securities are redeemed in part before their scheduled final maturity date. The redemption is reflected in a pool factor reduction. No movement of securities occurs.
PINK	PayInKind	Interest payment, in any kind except cash, distributed to holders of an interest bearing asset.
PLAC	PlaceOfIncorporation	Changes in the state of incorporation for US companies and changes in the place of incorporation for foreign companies. Where shares need to be registered following the incorporation change, the holder(s) may have to elect the registrar.
PDEF	Prefunding	Also called partial defeasance. Issuer has money set aside to redeem a portion

CodeName	Name	Definition
		of an issue and the indenture states that the securities could be called earlier than the stated maturity.
PRIO	PriorityIssue	Form of open or public offer where, due to a limited amount of securities available, priority is given to existing shareholders.
BPUT	PutRedemption	Early redemption of a security at the election of the holder subject to the terms and condition of the issue with no reduction in nominal value.
REDO	Redenomination	Event by which the unit (currency and/or nominal) of a security is restated, for example, nominal/par value of security in a national currency is restated in another currency.
REMK	RemarketingAgreement	Purchase and sale of remarketed preferred equities/bonds through the negotiation of interest rate between the issuers and the holders.
BIDS	RepurchaseOffer	Repurchase offer / issuer bid / reverse rights. Offer to existing holders by the issuing company to repurchase its own securities. The objective of the offer is to reduce the number of outstanding securities.
SPLR	ReverseStockSplit	Decrease in a company's number of outstanding equities without any change in the shareholder's equity or the aggregate market value at the time of the split. Equity price and nominal value are increased accordingly.
RHTS	RightsIssue	Offer to holders of a security to subscribe for additional securities via the distribution of an intermediate security. Both processes are included in the same event.
DVSC	ScripDividend	Dividend or interest paid in the form of scrip.
SHPR	SharesPremiumDividend	Corporate event pays shareholders an amount in cash issued from the shares premium reserve. It is similar to a dividend but with different tax implications.
SMAL	SmallestNegotiableUnit	Modification of the smallest negotiable unit of shares in order to obtain a new negotiable unit.
SOFF	SpinOff	Distribution of securities issued by another company. The distributed securities may either be of a newly created or of an existing company. For example, spin-off, demerger, unbundling, divestment.

CodeName	Name	Definition
DVSE	StockDividend	Dividend paid to shareholders in the form of equities of the issuing corporation.
SPLF	StockSplit	Increase in a corporation's number of outstanding equities without any change in the shareholder's equity or the aggregate market value at the time of the split. Equity price and nominal value are reduced accordingly.
TREC	TaxReclaim	Event related to tax reclaim activities.
TEND	Tender	Offer made to holders by a third party, requesting them to sell (tender) or exchange their securities.
DLST	TradingStatusDelisted	Security is no longer able to comply with the listing requirements of a stock exchange and is removed from official board quotation.
SUSP	TradingStatusSuspended	Trading in the security has been suspended.
EXWA	WarrantExercise	Option offered to holders to buy (call warrant) or to sell (put warrant) a specific amount of stock, cash, or commodity, at a predetermined price, during a predetermined period of time (which usually corresponds to the life of the issue).
WTRC	WithholdingTaxReliefCertification	Certification process for withholding tax reduction or exemption based on the tax status of the holder.
WRTH	Worthless	Booking out of valueless securities.
ACCU	Accumulation	Funds related event in which the income (for example accumulation units) that accrues during an accounting period is retained within the fund instead of being paid away to investors. The retained income is nonetheless deemed to have been distributed to investors for tax purposes.
MTNG	SecuritiesHoldersMeeting	Ordinary or annual or extraordinary or special general meeting.
TNDP	TaxOnNonDistributedProceeds	Taxable component on non-distributed proceeds, for example, Australian deemed income or US 871m income regulation.

16.1.15.18.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Event type expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 790 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		790
	Issuer <Issr>	[1..1]	Text		790
	SchemeName <SchmeNm>	[0..1]	Text		791

16.1.15.19 CorporateActionEventType97Choice

Definition: Choice between formats to express the corporate event type.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		828
Or}	Proprietary <Prtry>	[1..1]	±		834

Constraints

- **EventTypeRule**

If Code is present, the code OTHR (Other) must only be used in case no other corporate action event code is appropriate.

If Code is present, the code CHAN (Change) must only be used in case no other corporate action event code is appropriate and only for an event which relates to a change.

If Code is present, the code REDM (Final Maturity) must only be used when the redemption is decided by the issuer and not by the securities holders.

16.1.15.19.1 Code <Cd>

Presence: [1..1]

Definition: Event type expressed as a code.

Datatype: "[CorporateActionEventType32Code](#)" on page 1112

CodeName	Name	Definition
ACTV	ActiveTradingStatus	Trading in the security has commenced or security has been re-activated after a suspension in trading.
ATTI	Attachment	Combination of different security types to create a unit. Units are usually comprised of warrants and bonds or warrants and equities. Securities may be combined at the request of the security holder or based on market convention.
BRUP	Bankruptcy	Legal status of a company unable to pay creditors. Bankruptcy usually involves a formal court ruling. Securities may become valueless.

CodeName	Name	Definition
DFLT	BondDefault	Failure by the company to perform obligations defined as default events under the bond agreement and that have not been remedied.
BONU	BonusIssue	Bonus or capitalisation issue. Security holders receive additional assets free of payment from the issuer, in proportion to their holding.
EXRI	CallOnIntermediateSecurities	Call or exercise on nil paid securities or intermediate securities resulting from an intermediate securities distribution (RHD). This code is used for the second event, when an intermediate securities' issue (rights/coupons) is composed of two events, the first event being the distribution of intermediate securities.
CAPD	CapitalDistribution	Corporate event pays shareholders an amount in cash issued from the capital account. There is no reduction to the face value of a single share (or the share has no par value). The number of circulating shares remains unchanged.
CAPG	CapitalGainsDistribution	Event is the distribution of profits resulting from the sale of securities. Shareholders of mutual funds, unit trusts, or SICAVs are recipients of capital gains distributions and are often reinvested in additional shares of the fund.
CAPI	Capitalisation	Increase of the current principal of a debt instrument without increasing the nominal value. It normally arises from the incorporation of due but unpaid interest into the principal. This is commonly done by increasing the pool factor value, for example, capitalisation, and negative amortisation.
DRCA	CashDistributionFromNonEligibleSecuritiesSales	Distribution to shareholders of cash resulting from the selling of non-eligible securities, for example, in the frame of a depositary receipt program.
DVCA	CashDividend	Distribution of cash to shareholders, in proportion to their equity holding. Ordinary dividends are recurring and regular. Shareholder must take cash and may be offered a choice of currency.
CHAN	Change	Information regarding a change further described in the corporate action details.
COOP	CompanyOption	Company option may be granted by the company, allowing the holder to take up shares at some future date(s) at a pre arranged price in the company. A company may not grant options which enable the holder to take up unissued shares at a time which is five or more years from the date of the grant. Option holders are not members of a company.

CodeName	Name	Definition
		They are contingent creditors of a company and hence may, in some instances, be entitled to vote on and be bound by a scheme of arrangement between the creditors and the company. As many options have multiple exercise periods a company option will either lapse or carry on to the next expiry date.
CLSA	ClassActionProposedSettlement	Situation where interested parties seek restitution for financial loss. The security holder may be offered the opportunity to join a class action proceeding and would need to respond with an instruction.
CONS	Consent	Procedure that aims to obtain consent of holder to a proposal by the issuer or a third party without convening a meeting. For example, consent to change the terms of a bond.
CONV	Conversion	Conversion of securities (generally convertible bonds or preferred shares) into another form of securities (usually common shares) at a pre-stated price/ratio.
CREV	CreditEvent	Occurrence of credit derivative for which the issuer of one or several underlying securities is unable to fulfill its financial obligations (as defined in terms and conditions).
DECR	DecreaseInValue	Reduction of face value of a single share or the value of fund assets. The number of circulating shares/units remains unchanged. This event may include a cash payout to holders.
DETI	Detachment	Separation of components that comprise a security, for example, usually units comprised of warrants and bond or warrants and equity. Units may be broken up at the request of the security holder or based on market convention.
DSCL	Disclosure	Requirement for holders or beneficial owners to disclose their name, location and holdings of any issue to the issuer.
DVOP	DividendOption	Distribution of a dividend to shareholders with a choice of benefit to receive. Shareholders may choose to receive shares or cash. To be distinguished from DRIP as the company creates new share capital in exchange for the dividend rather than investing the dividend in the market.
DRIP	DividendReinvestment	Dividend payment where holders can keep cash or have the cash reinvested in the market by the issuer into additional shares in the issuing company. To be distinguished from DVOP as the company invests the dividend in the

CodeName	Name	Definition
		market rather than creating new share capital in exchange for the dividend.
DRAW	Drawing	Securities are redeemed in part before the scheduled final maturity date. It is done without any pool factor reduction. The redemption is reflected in a debit of the face amount (FAMT). Drawing is distinct from partial call since drawn bonds are chosen by lottery. Therefore, not every holder is affected in the same way.
DTCH	DutchAuction	Action by a party wishing to acquire a security. Holders of the security are invited to make an offer to sell, within a specific price range. The acquiring party will buy from the holder with lowest offer.
EXOF	Exchange	Exchange of holdings for other securities and/or cash. The exchange can be either mandatory or voluntary involving the exchange of outstanding securities for different securities and/or cash. For example "exchange offer", "capital reorganisation" or "funds separation".
REDM	FinalMaturity	Redemption of an entire issue outstanding of securities, for example, bonds, preferred equity, funds, by the issuer or its agent, for example, asset manager, at final maturity.
MCAL	FullCall	Redemption of an entire issue outstanding of securities, for example, bonds, preferred equity, funds, by the issuer or its agent, for example, asset manager, before final maturity.
INCR	IncreaseInValue	Increase in the face value of a single security. The number of circulating securities remains unchanged.
PPMT	InstalmentCall	Instalment towards the purchase of equity capital, subject to an agreement between an issuer and a purchaser.
INTR	InterestPayment	Interest payment distributed to holders of an interest bearing asset.
RHDI	IntermediateSecuritiesDistribution	Distribution of intermediate securities that gives the holder the right to take part in a future event.
LIQU	LiquidationDividend	Distribution of cash, assets or both. Debt may be paid in order of priority based on preferred claims to assets specified by the security.
EXTM	MaturityExtension	As stipulated in the security's Terms and Conditions, the issuer or the holder may prolong the maturity date of a security. After extension, the security details may differ from the original issue. An issuer initiated extension may be subject to holder's approval.

CodeName	Name	Definition
MRGR	Merger	Exchange of outstanding securities, initiated by the issuer which may include options, as the result of two or more companies combining assets, that is, an external, third party company. Cash payments may accompany share exchange.
NOOF	NonOfficialOffer	Offers that are not supervised or regulated by an official entity and being offered by a party, for example a broker, usually at a discount price, for example broker offer, mini-tender, mini odd lot offer or third party offer.
CERT	NonUSTEFRADCertification	Non-US beneficial owner certification requirement for exchange of temporary to permanent notes.
ODLT	OddLotSalePurchase	Sale or purchase of odd-lots to/from the issuing company, initiated either by the holder of the security or through an offer made by the issuer.
OTHR	OtherEvent	Other event, use only when no other event type applies, for example, a new event type.
PARI	PariPassu	Occurs when securities with different characteristics, for example, shares with different entitlements to dividend or voting rights, become identical in all respects, for example, pari-passu or assimilation. May be scheduled in advance, for example, shares resulting from a bonus may become fungible after a pre-set period of time, or may result from outside events, for example, merger, reorganisation, issue of supplementary tranches.
PCAL	PartialRedemptionWithoutPoolFactorReduction	Securities are redeemed in part before their scheduled final maturity date. It is done without any pool factor reduction. The redemption is reflected in a debit of the face amount (FAMT).
PRED	PartialRedemptionWithPoolFactorReduction	Securities are redeemed in part before their scheduled final maturity date. The redemption is reflected in a pool factor reduction. No movement of securities occurs.
PINK	PayInKind	Interest payment, in any kind except cash, distributed to holders of an interest bearing asset.
PLAC	PlaceOfIncorporation	Changes in the state of incorporation for US companies and changes in the place of incorporation for foreign companies. Where shares need to be registered following the incorporation change, the holder(s) may have to elect the registrar.
PDEF	Prefunding	Also called partial defeasance. Issuer has money set aside to redeem a portion

CodeName	Name	Definition
		of an issue and the indenture states that the securities could be called earlier than the stated maturity.
PRI0	PriorityIssue	Form of open or public offer where, due to a limited amount of securities available, priority is given to existing shareholders.
BPUT	PutRedemption	Early redemption of a security at the election of the holder subject to the terms and condition of the issue with no reduction in nominal value.
REDO	Redenomination	Event by which the unit (currency and/or nominal) of a security is restated, for example, nominal/par value of security in a national currency is restated in another currency.
REMK	RemarketingAgreement	Purchase and sale of remarketed preferred equities/bonds through the negotiation of interest rate between the issuers and the holders.
BIDS	RepurchaseOffer	Repurchase offer / issuer bid / reverse rights. Offer to existing holders by the issuing company to repurchase its own securities. The objective of the offer is to reduce the number of outstanding securities.
SPLR	ReverseStockSplit	Decrease in a company's number of outstanding equities without any change in the shareholder's equity or the aggregate market value at the time of the split. Equity price and nominal value are increased accordingly.
RHTS	RightsIssue	Offer to holders of a security to subscribe for additional securities via the distribution of an intermediate security. Both processes are included in the same event.
DVSC	ScripDividend	Dividend or interest paid in the form of scrip.
SHPR	SharesPremiumDividend	Corporate event pays shareholders an amount in cash issued from the shares premium reserve. It is similar to a dividend but with different tax implications.
SMAL	SmallestNegotiableUnit	Modification of the smallest negotiable unit of shares in order to obtain a new negotiable unit.
SOFF	SpinOff	Distribution of securities issued by another company. The distributed securities may either be of a newly created or of an existing company. For example, spin-off, demerger, unbundling, divestment.

CodeName	Name	Definition
DVSE	StockDividend	Dividend paid to shareholders in the form of equities of the issuing corporation.
SPLF	StockSplit	Increase in a corporation's number of outstanding equities without any change in the shareholder's equity or the aggregate market value at the time of the split. Equity price and nominal value are reduced accordingly.
TREC	TaxReclaim	Event related to tax reclaim activities.
TEND	Tender	Offer made to holders by a third party, requesting them to sell (tender) or exchange their securities.
DLST	TradingStatusDelisted	Security is no longer able to comply with the listing requirements of a stock exchange and is removed from official board quotation.
SUSP	TradingStatusSuspended	Trading in the security has been suspended.
EXWA	WarrantExercise	Option offered to holders to buy (call warrant) or to sell (put warrant) a specific amount of stock, cash, or commodity, at a predetermined price, during a predetermined period of time (which usually corresponds to the life of the issue).
WTRC	WithholdingTaxReliefCertification	Certification process for withholding tax reduction or exemption based on the tax status of the holder.
WRTH	Worthless	Booking out of valueless securities.
ACCU	Accumulation	Funds related event in which the income (for example accumulation units) that accrues during an accounting period is retained within the fund instead of being paid away to investors. The retained income is nonetheless deemed to have been distributed to investors for tax purposes.
TNDP	TaxOnNonDistributedProceeds	Taxable component on non-distributed proceeds, for example, Australian deemed income or US 871m income regulation.

16.1.15.19.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Event type expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 790 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		790
	Issuer <Issr>	[1..1]	Text		790
	SchemeName <SchmeNm>	[0..1]	Text		791

16.1.15.20 NetDividendRateFormat43Choice

Definition: Choice between an amount or an unspecified rate.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Amount <Amt>	[1..1]	Amount	C1	835
Or	AmountAndRateStatus <AmtAndRateSts>	[1..1]			836
	Amount <Amt>	[1..1]	Amount	C1	836
	RateStatus <RateSts>	[1..1]	CodeSet		836
Or	RateTypeAndAmountAndRateStatus <RateTpAndAmtAndRateSts>	[1..1]			836
	RateType <RateTp>	[1..1]			837
{Or	Code <Cd>	[1..1]	CodeSet		837
Or}	Proprietary <Prtry>	[1..1]	±		838
	Amount <Amt>	[1..1]	Amount	C1	838
	RateStatus <RateSts>	[0..1]		C58	838
{Or	Code <Cd>	[1..1]	CodeSet		839
Or}	Proprietary <Prtry>	[1..1]	±		839
Or}	NotSpecifiedRate <NotSpctdRate>	[1..1]	CodeSet		839

16.1.15.20.1 Amount <Amt>

Presence: [1..1]

Definition: Number of monetary units specified in a currency.

Impacted by: C1 "ActiveCurrency"

Datatype: "[RestrictedFINActiveCurrencyAnd13DecimalAmount](#)" on page 1086

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217

Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

16.1.15.20.2 AmountAndRateStatus <AmtAndRateSts>

Presence: [1..1]

Definition: Specifies an amount and a rate status.

AmountAndRateStatus <AmtAndRateSts> contains the following **AmountAndRateStatus2** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Amount <Amt>	[1..1]	Amount	C1	836
	RateStatus <RateSts>	[1..1]	CodeSet		836

16.1.15.20.2.1 Amount <Amt>

Presence: [1..1]

Definition: Value expressed as an amount.

Impacted by: C1 "ActiveCurrency"

Datatype: "RestrictedFINActiveCurrencyAnd13DecimalAmount" on page 1086

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

16.1.15.20.2.2 RateStatus <RateSts>

Presence: [1..1]

Definition: Value expressed as a rate status.

Datatype: "RateStatus1Code" on page 1160

CodeName	Name	Definition
ACTU	ActualRate	Rate is actual.
INDI	IndicativeRate	Rate is indicative.

16.1.15.20.3 RateTypeAndAmountAndRateStatus <RateTpAndAmtAndRateSts>

Presence: [1..1]

Definition: Specifies different formats for the net dividend rate.

RateTypeAndAmountAndRateStatus <RateTpAndAmtAndRateSts> contains the following **RateTypeAndAmountAndStatus60** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	RateType <RateTp>	[1..1]			837
{Or	Code <Cd>	[1..1]	CodeSet		837
Or}	Proprietary <Prtry>	[1..1]	±		838
	Amount <Amt>	[1..1]	Amount	C1	838
	RateStatus <RateSts>	[0..1]		C58	838
{Or	Code <Cd>	[1..1]	CodeSet		839
Or}	Proprietary <Prtry>	[1..1]	±		839

16.1.15.20.3.1 RateType <RateTp>

Presence: [1..1]

Definition: Value expressed as a rate type.

RateType <RateTp> contains one of the following **RateType81Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		837
Or}	Proprietary <Prtry>	[1..1]	±		838

16.1.15.20.3.1.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the type of net dividend rate.

Datatype: "NetDividendRateType6Code" on page 1149

CodeName	Name	Definition
CAPO	CapitalPortion	Rate relating to the underlying security for which capital is distributed.
CDFI	ForeignIncome	Rate relating to a foreign income type such as a conduit foreign income.
FLFR	FullyFranked	Rate resulting from a fully franked dividend paid by a company; the rate includes tax credit for companies that have made sufficient tax payments during the fiscal period.
INCO	IncomePortion	Rate relating to the underlying security for which income is distributed.
INTR	Interest	Rate relating to the underlying security for which interest is paid.
REES	RealEstatePropertyIncomePortion	Rate of income distribution originated by real estate investment.

CodeName	Name	Definition
SOIC	SundryOrOtherIncome	Rate relating to the underlying security for which other income is paid.
TXBL	TaxablePortion	Rate relating to the underlying security for which tax is charged.
TXDF	TaxDeferred	Rate relating to the underlying security for which tax is deferred.
TXFR	TaxFree	Rate relating to the underlying security which is not taxable.
UNFR	Unfranked	Rate resulting from an unfranked dividend paid by a company; the rate does not include tax credit and is subject to withholding tax.

16.1.15.20.3.1.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification of the type of net dividend rate.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 790 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		790
	Issuer <Issr>	[1..1]	Text		790
	SchemeName <SchmeNm>	[0..1]	Text		791

16.1.15.20.3.2 Amount <Amt>

Presence: [1..1]

Definition: Value expressed as an amount.

Impacted by: [C1 "ActiveCurrency"](#)

Datatype: "[RestrictedFINActiveCurrencyAnd13DecimalAmount](#)" on page 1086

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

16.1.15.20.3.3 RateStatus <RateSts>

Presence: [0..1]

Definition: Value expressed as a rate status.

Impacted by: [C58 "RateStatusGuideline"](#)

RateStatus <RateSts> contains one of the following **RateStatus4Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		839
Or}	Proprietary <Prtry>	[1..1]	±		839

Constraints

- **RateStatusGuideline**

The code value ACTU is the default value for a rate status.

16.1.15.20.3.3.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the status of the rate.

Datatype: "RateStatus1Code" on page 1160

CodeName	Name	Definition
ACTU	ActualRate	Rate is actual.
INDI	IndicativeRate	Rate is indicative.

16.1.15.20.3.3.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification of the status of the rate.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 790 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		790
	Issuer <Issr>	[1..1]	Text		790
	SchemeName <SchmeNm>	[0..1]	Text		791

16.1.15.20.4 NotSpecifiedRate <NotSpcfdRate>

Presence: [1..1]

Definition: Value of the rate not specified.

Datatype: "RateValueType7Code" on page 1161

CodeName	Name	Definition
UKWN	Unknown	Rate is unknown by the sender or has not been established.

16.1.15.21 GrossDividendRateFormat41Choice

Definition: Choice between an amount or an unspecified rate.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Amount <Amt>	[1..1]	Amount	C1	840
Or	AmountAndRateStatus <AmtAndRateSts>	[1..1]			840
	Amount <Amt>	[1..1]	Amount	C1	841
	RateStatus <RateSts>	[1..1]	CodeSet		841
Or	RateTypeAndAmountAndRateStatus <RateTpAndAmtAndRateSts>	[1..1]			841
	RateType <RateTp>	[1..1]			841
{Or	Code <Cd>	[1..1]	CodeSet		842
Or}	Proprietary <Prtry>	[1..1]	±		842
	Amount <Amt>	[1..1]	Amount	C1	843
	RateStatus <RateSts>	[0..1]		C58	843
{Or	Code <Cd>	[1..1]	CodeSet		843
Or}	Proprietary <Prtry>	[1..1]	±		844
Or}	NotSpecifiedRate <NotSpcdfRate>	[1..1]	CodeSet		844

16.1.15.21.1 Amount <Amt>

Presence: [1..1]

Definition: Number of monetary units specified in a currency.

Impacted by: C1 "ActiveCurrency"

Datatype: "RestrictedFINActiveCurrencyAnd13DecimalAmount" on page 1086

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

16.1.15.21.2 AmountAndRateStatus <AmtAndRateSts>

Presence: [1..1]

Definition: Specifies an amount and a rate status.

AmountAndRateStatus <AmtAndRateSts> contains the following **AmountAndRateStatus2** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Amount <Amt>	[1..1]	Amount	C1	841
	RateStatus <RateSts>	[1..1]	CodeSet		841

16.1.15.21.2.1 Amount <Amt>*Presence:* [1..1]*Definition:* Value expressed as an amount.*Impacted by:* C1 "ActiveCurrency"*Datatype:* "RestrictedFINActiveCurrencyAnd13DecimalAmount" on page 1086**Constraints**

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

16.1.15.21.2.2 RateStatus <RateSts>*Presence:* [1..1]*Definition:* Value expressed as a rate status.*Datatype:* "RateStatus1Code" on page 1160

CodeName	Name	Definition
ACTU	ActualRate	Rate is actual.
INDI	IndicativeRate	Rate is indicative.

16.1.15.21.3 RateTypeAndAmountAndRateStatus <RateTpAndAmtAndRateSts>*Presence:* [1..1]*Definition:* Specifies different formats for the gross dividend rate.

RateTypeAndAmountAndRateStatus <RateTpAndAmtAndRateSts> contains the following **RateTypeAndAmountAndStatus59** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	RateType <RateTp>	[1..1]			841
{Or	Code <Cd>	[1..1]	CodeSet		842
Or}	Proprietary <Prtry>	[1..1]	±		842
	Amount <Amt>	[1..1]	Amount	C1	843
	RateStatus <RateSts>	[0..1]		C58	843
{Or	Code <Cd>	[1..1]	CodeSet		843
Or}	Proprietary <Prtry>	[1..1]	±		844

16.1.15.21.3.1 RateType <RateTp>*Presence:* [1..1]*Definition:* Value expressed as a rate type.

RateType <RateTp> contains one of the following **RateType80Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		842
Or}	Proprietary <Prtry>	[1..1]	±		842

16.1.15.21.3.1.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the type of gross dividend rate.

Datatype: "GrossDividendRateType6Code" on page 1142

CodeName	Name	Definition
CAPO	CapitalPortion	Rate relating to the underlying security for which capital is distributed.
FLFR	FullyFranked	Rate resulting from a fully franked dividend paid by a company; the rate includes tax credit for companies that have made sufficient tax payments during the fiscal period.
INCO	IncomePortion	Rate relating to the underlying security for which income is distributed.
INTR	Interest	Rate relating to the underlying security for which interest is paid.
LTCG	LongTermCapitalGain	Long term capital gain.
REES	RealEstatePropertyIncomePortion	Rate of income distribution originated by real estate investment.
STCG	ShortTermCapitalGain	Short term capital gain.
SOIC	SundryOrOtherIncome	Rate relating to the underlying security for which other income is paid.
TXBL	TaxablePortion	Rate relating to the underlying security for which tax is charged.
TXDF	TaxDeferred	Rate relating to the underlying security for which tax is deferred.
TXFR	TaxFree	Rate relating to the underlying security which is not taxable.
UNFR	Unfranked	Rate resulting from an unfranked dividend paid by a company; the rate does not include tax credit and is subject to withholding tax.
CDFI	ForeignIncome	Rate relating to a foreign income type such as a conduit foreign income.

16.1.15.21.3.1.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification of the type of gross dividend rate.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 790 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		790
	Issuer <Issr>	[1..1]	Text		790
	SchemeName <SchmeNm>	[0..1]	Text		791

16.1.15.21.3.2 Amount <Amt>

Presence: [1..1]

Definition: Value expressed as an amount.

Impacted by: [C1 "ActiveCurrency"](#)

Datatype: "[RestrictedFINActiveCurrencyAnd13DecimalAmount](#)" on page 1086

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

16.1.15.21.3.3 RateStatus <RateSts>

Presence: [0..1]

Definition: Value expressed as a rate status.

Impacted by: [C58 "RateStatusGuideline"](#)

RateStatus <RateSts> contains one of the following **RateStatus4Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		843
Or}	Proprietary <Prtry>	[1..1]	±		844

Constraints

- **RateStatusGuideline**

The code value ACTU is the default value for a rate status.

16.1.15.21.3.3.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the status of the rate.

Datatype: "[RateStatus1Code](#)" on page 1160

CodeName	Name	Definition
ACTU	ActualRate	Rate is actual.

CodeName	Name	Definition
INDI	IndicativeRate	Rate is indicative.

16.1.15.21.3.3.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification of the status of the rate.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 790 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		790
	Issuer <Issr>	[1..1]	Text		790
	SchemeName <SchmeNm>	[0..1]	Text		791

16.1.15.21.4 NotSpecifiedRate <NotSpcdfRate>

Presence: [1..1]

Definition: Value of the rate not specified.

Datatype: "[RateType13Code](#)" on page 1161

CodeName	Name	Definition
UKWN	Unknown	Rate is unknown by the sender or has not been established.
NILP	NilPayment	Rate will not be paid.

16.1.15.22 OptionFeaturesFormat26Choice

Definition: Choice between a standard code and a proprietary code to specify the features that may apply to a corporate action option.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		844
Or}	Proprietary <Prtry>	[1..1]	±		845

16.1.15.22.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the features that may apply to a corporate action option.

Datatype: "[OptionFeatures11Code](#)" on page 1153

CodeName	Name	Definition
ASVO	AccountServicerOption	Option is offered by the account servicer only.
BOIS	BeneficiaryOwnerInstruction	Indicates that the holder needs to instruct at beneficiary owner level only.

CodeName	Name	Definition
COND	Conditional	Feature whereby the holder can elect to place a condition on the acceptance of the option.
MAXC	MaximumCash	Maximum cash option, may be subject to scaling, as such you may receive a combination of cash and securities outturn.
MAXS	MaximumSecurities	Maximum stock option, may be subject to scaling, as such you may receive a combination of securities and cash outturn.
NOSE	NoServiceOffered	Feature whereby the holder must elect directly to the issuer's agent (issuer only supported option).
OPLF	OddLotPreference	Tender or exchange with the odd lot preference.
CAOS	OptionApplicability	Option applicability is not subject to the account owner decision but depends on the terms defined by the issuer, for example in the case of equity linked notes or warrants.
PINS	PreviousInstructionInvalidity	Indicates the previously sent instructions becomes invalid. This is only applicable after a market deadline extension.
PROR	Proration	Feature whereby the option can be subject to pro ration in case, for example, of over-subscription.
VVPR	ReducedWithholdingTax	Reduced withholding tax rate applies to the option.
QCAS	InstructCashAmount	Feature whereby the holder should only instruct a cash amount.
SHAR	ShareholderNumber	Indicates usage of a reference number to identify an investor or a shareholder with the issuer or the registration provider (for instance allocation code).

16.1.15.22.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification of the features that may apply to a corporate action option.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 790 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		790
	Issuer <Issr>	[1..1]	Text		790
	SchemeName <SchemeNm>	[0..1]	Text		791

16.1.15.23 CorporateActionOption36Choice

Definition: Choice between a standard code or a proprietary code to specify the type of corporate action options.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		846
Or}	Proprietary <Prtry>	[1..1]	±		847

16.1.15.23.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the type of corporate action options.

Datatype: "CorporateActionOption11Code" on page 1126

CodeName	Name	Definition
ABST	Abstain	Vote expressed as abstain. In this case, the issuing company will add the number of shares to the quorum of the meeting. If the voting right is not executed, it will not be added to the quorum. In this case, code NOAC should be used.
BSPL	BonusSharePlan	Receive equities from the share premium reserve of the company and considered as a capital distribution rather than a disbursement of income with different tax implications (typically found in Australia).
BUYA	BuyUp	Buy additional securities to round up position.
CASE	CashAndSecurity	Option to choose between different security and cash options.
CASH	Cash	Option to choose cash.
CEXC	ConsentAndExchange	Vote to consent to change the terms of the securities agreement and to exchange securities.
CONN	ConsentDenied	Vote not to approve the event or proposal.
CONY	ConsentGranted	Vote to approve the event or proposal.
CTEN	ConsentAndTender	Vote to consent to change the terms of the securities agreement and to tender securities for cash.
EXER	Exercise	Exercise intermediate securities or warrants.
LAPS	Lapse	Allow event or entitled security to expire.
MPUT	Retain	Option that allows a holder to elect to retain their holding, for example, a puttable bond.

CodeName	Name	Definition
NOAC	NoAction	Option for the account owner not to take part in the event. This would include opt-out for class actions and lodging of dissenters' rights.
NOQU	NonQualifiedInvestor	Account owner is a non-qualified investor.
OFFR	ProposedRate	In a remarketing of variable notes, the margin that shareholders can propose in respect of the next interest period.
OTHR	Other	Generic corporate action option to be used in case that no other specific code is appropriate.
OVER	Oversubscribe	Subscribe to more equities than underlying securities position allows.
QINV	QualifiedInvestor	Account owner is a qualified investor.
SECU	Security	Distribution of securities to holders.
SLLE	SellEntitlement	Sell the intermediate securities.
PRUN	UnknownProceeds	Proceeds not known during election period. The option can result in cash and/or securities.

16.1.15.23.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification of the type of corporate action options.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 790 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		790
	Issuer <Issr>	[1..1]	Text		790
	SchemeName <SchmeNm>	[0..1]	Text		791

16.1.15.24 CorporateActionEventType89Choice

Definition: Choice between formats to express the corporate event type.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		848
Or}	Proprietary <Prtry>	[1..1]	±		854

Constraints

- **EventTypeRule**

If Code is present, the code OTHR (Other) must only be used if no other corporate action event code is appropriate.

If Code is present, the code CHAN (Change) must only be used if no other corporate action event code is appropriate and only for an event which relates to a change.

If Code is present, the code REDM (Final Maturity) must only be used if the redemption is decided by the issuer and not by the securities holders.

16.1.15.24.1 Code <Cd>

Presence: [1..1]

Definition: Event type expressed as a code.

Datatype: "CorporateActionEventType31Code" on page 1106

CodeName	Name	Definition
ACTV	ActiveTradingStatus	Trading in the security has commenced or security has been re-activated after a suspension in trading.
ATTI	Attachment	Combination of different security types to create a unit. Units are usually comprised of warrants and bonds or warrants and equities. Securities may be combined at the request of the security holder or based on market convention.
BRUP	Bankruptcy	Legal status of a company unable to pay creditors. Bankruptcy usually involves a formal court ruling. Securities may become valueless.
DFLT	BondDefault	Failure by the company to perform obligations defined as default events under the bond agreement and that have not been remedied.
BONU	BonusIssue	Bonus or capitalisation issue. Security holders receive additional assets free of payment from the issuer, in proportion to their holding.
EXRI	CallOnIntermediateSecurities	Call or exercise on nil paid securities or intermediate securities resulting from an intermediate securities distribution (RHDI). This code is used for the second event, when an intermediate securities' issue (rights/coupons) is composed of two events, the first event being the distribution of intermediate securities.
CAPD	CapitalDistribution	Corporate event pays shareholders an amount in cash issued from the capital account. There is no reduction to the face value of a single share (or the share has no par value). The number of circulating shares remains unchanged.

CodeName	Name	Definition
CAPG	CapitalGainsDistribution	Event is the distribution of profits resulting from the sale of securities. Shareholders of mutual funds, unit trusts, or SICAVs are recipients of capital gains distributions and are often reinvested in additional shares of the fund.
CAPI	Capitalisation	Increase of the current principal of a debt instrument without increasing the nominal value. It normally arises from the incorporation of due but unpaid interest into the principal. This is commonly done by increasing the pool factor value, for example, capitalisation, and negative amortisation.
DRCA	CashDistributionFromNonEligibleSecuritiesSales	Distribution to shareholders of cash resulting from the selling of non-eligible securities, for example, in the frame of a depositary receipt program.
DVCA	CashDividend	Distribution of cash to shareholders, in proportion to their equity holding. Ordinary dividends are recurring and regular. Shareholder must take cash and may be offered a choice of currency.
CHAN	Change	Information regarding a change further described in the corporate action details.
COOP	CompanyOption	Company option may be granted by the company, allowing the holder to take up shares at some future date(s) at a pre arranged price in the company. A company may not grant options which enable the holder to take up unissued shares at a time which is five or more years from the date of the grant. Option holders are not members of a company. They are contingent creditors of a company and hence may, in some instances, be entitled to vote on and be bound by a scheme of arrangement between the creditors and the company. As many options have multiple exercise periods a company option will either lapse or carry on to the next expiry date.
CLSA	ClassActionProposedSettlement	Situation where interested parties seek restitution for financial loss. The security holder may be offered the opportunity to join a class action proceeding and would need to respond with an instruction.
CONS	Consent	Procedure that aims to obtain consent of holder to a proposal by the issuer or a third party without convening a meeting. For example, consent to change the terms of a bond.
CONV	Conversion	Conversion of securities (generally convertible bonds or preferred shares) into another form of securities (usually common shares) at a pre-stated price/ ratio.

CodeName	Name	Definition
CREV	CreditEvent	Occurrence of credit derivative for which the issuer of one or several underlying securities is unable to fulfill its financial obligations (as defined in terms and conditions).
DECR	DecreaseInValue	Reduction of face value of a single share or the value of fund assets. The number of circulating shares/units remains unchanged. This event may include a cash payout to holders.
DETI	Detachment	Separation of components that comprise a security, for example, usually units comprised of warrants and bond or warrants and equity. Units may be broken up at the request of the security holder or based on market convention.
DSCL	Disclosure	Requirement for holders or beneficial owners to disclose their name, location and holdings of any issue to the issuer.
DVOP	DividendOption	Distribution of a dividend to shareholders with a choice of benefit to receive. Shareholders may choose to receive shares or cash. To be distinguished from DRIP as the company creates new share capital in exchange for the dividend rather than investing the dividend in the market.
DRIP	DividendReinvestment	Dividend payment where holders can keep cash or have the cash reinvested in the market by the issuer into additional shares in the issuing company. To be distinguished from DVOP as the company invests the dividend in the market rather than creating new share capital in exchange for the dividend.
DRAW	Drawing	Securities are redeemed in part before the scheduled final maturity date. It is done without any pool factor reduction. The redemption is reflected in a debit of the face amount (FAMT). Drawing is distinct from partial call since drawn bonds are chosen by lottery. Therefore, not every holder is affected in the same way.
DTCH	DutchAuction	Action by a party wishing to acquire a security. Holders of the security are invited to make an offer to sell, within a specific price range. The acquiring party will buy from the holder with lowest offer.
EXOF	Exchange	Exchange of holdings for other securities and/or cash. The exchange can be either mandatory or voluntary involving the exchange of outstanding securities for different securities and/or cash. For example "exchange offer", "capital reorganisation" or "funds separation".

CodeName	Name	Definition
REDM	FinalMaturity	Redemption of an entire issue outstanding of securities, for example, bonds, preferred equity, funds, by the issuer or its agent, for example, asset manager, at final maturity.
MCAL	FullCall	Redemption of an entire issue outstanding of securities, for example, bonds, preferred equity, funds, by the issuer or its agent, for example, asset manager, before final maturity.
INCR	IncreaseInValue	Increase in the face value of a single security. The number of circulating securities remains unchanged.
PPMT	InstalmentCall	Instalment towards the purchase of equity capital, subject to an agreement between an issuer and a purchaser.
INTR	InterestPayment	Interest payment distributed to holders of an interest bearing asset.
RHDI	IntermediateSecuritiesDistribution	Distribution of intermediate securities that gives the holder the right to take part in a future event.
LIQU	LiquidationDividend	Distribution of cash, assets or both. Debt may be paid in order of priority based on preferred claims to assets specified by the security.
EXTM	MaturityExtension	As stipulated in the security's Terms and Conditions, the issuer or the holder may prolong the maturity date of a security. After extension, the security details may differ from the original issue. An issuer initiated extension may be subject to holder's approval.
MRGR	Merger	Exchange of outstanding securities, initiated by the issuer which may include options, as the result of two or more companies combining assets, that is, an external, third party company. Cash payments may accompany share exchange.
NOOF	NonOfficialOffer	Offers that are not supervised or regulated by an official entity and being offered by a party, for example a broker, usually at a discount price, for example broker offer, mini-tender, mini odd lot offer or third party offer.
CERT	NonUSTEFRADCertification	Non-US beneficial owner certification requirement for exchange of temporary to permanent notes.
ODLT	OddLotSalePurchase	Sale or purchase of odd-lots to/from the issuing company, initiated either by the holder of the security or through an offer made by the issuer.

CodeName	Name	Definition
OTHR	OtherEvent	Other event, use only when no other event type applies, for example, a new event type.
PARI	PariPassu	Occurs when securities with different characteristics, for example, shares with different entitlements to dividend or voting rights, become identical in all respects, for example, pari-passu or assimilation. May be scheduled in advance, for example, shares resulting from a bonus may become fungible after a pre-set period of time, or may result from outside events, for example, merger, reorganisation, issue of supplementary tranches.
PCAL	PartialRedemptionWithoutPoolFactorReduction	Securities are redeemed in part before their scheduled final maturity date. It is done without any pool factor reduction. The redemption is reflected in a debit of the face amount (FAMT).
PRED	PartialRedemptionWithPoolFactorReduction	Securities are redeemed in part before their scheduled final maturity date. The redemption is reflected in a pool factor reduction. No movement of securities occurs.
PINK	PayInKind	Interest payment, in any kind except cash, distributed to holders of an interest bearing asset.
PLAC	PlaceOfIncorporation	Changes in the state of incorporation for US companies and changes in the place of incorporation for foreign companies. Where shares need to be registered following the incorporation change, the holder(s) may have to elect the registrar.
PDEF	Prefunding	Also called partial defeasance. Issuer has money set aside to redeem a portion of an issue and the indenture states that the securities could be called earlier than the stated maturity.
PRIO	PriorityIssue	Form of open or public offer where, due to a limited amount of securities available, priority is given to existing shareholders.
BPUT	PutRedemption	Early redemption of a security at the election of the holder subject to the terms and condition of the issue with no reduction in nominal value.
REDO	Redenomination	Event by which the unit (currency and/or nominal) of a security is restated, for example, nominal/par value of security in a national currency is restated in another currency.
REMK	RemarketingAgreement	Purchase and sale of remarketed preferred equities/bonds through the negotiation of interest rate between the issuers and the holders.

CodeName	Name	Definition
BIDS	RepurchaseOffer	Repurchase offer / issuer bid / reverse rights. Offer to existing holders by the issuing company to repurchase its own securities. The objective of the offer is to reduce the number of outstanding securities.
SPLR	ReverseStockSplit	Decrease in a company's number of outstanding equities without any change in the shareholder's equity or the aggregate market value at the time of the split. Equity price and nominal value are increased accordingly.
RHTS	RightsIssue	Offer to holders of a security to subscribe for additional securities via the distribution of an intermediate security. Both processes are included in the same event.
DVSC	ScripDividend	Dividend or interest paid in the form of scrip.
SHPR	SharesPremiumDividend	Corporate event pays shareholders an amount in cash issued from the shares premium reserve. It is similar to a dividend but with different tax implications.
SMAL	SmallestNegotiableUnit	Modification of the smallest negotiable unit of shares in order to obtain a new negotiable unit.
SOFF	SpinOff	Distribution of securities issued by another company. The distributed securities may either be of a newly created or of an existing company. For example, spin-off, demerger, unbundling, divestment.
DVSE	StockDividend	Dividend paid to shareholders in the form of equities of the issuing corporation.
SPLF	StockSplit	Increase in a corporation's number of outstanding equities without any change in the shareholder's equity or the aggregate market value at the time of the split. Equity price and nominal value are reduced accordingly.
TREC	TaxReclaim	Event related to tax reclaim activities.
TEND	Tender	Offer made to holders by a third party, requesting them to sell (tender) or exchange their securities.
DLST	TradingStatusDelisted	Security is no longer able to comply with the listing requirements of a stock exchange and is removed from official board quotation.
SUSP	TradingStatusSuspended	Trading in the security has been suspended.
EXWA	WarrantExercise	Option offered to holders to buy (call warrant) or to sell (put warrant) a specific

CodeName	Name	Definition
		amount of stock, cash, or commodity, at a predetermined price, during a predetermined period of time (which usually corresponds to the life of the issue).
WTRC	WithholdingTaxReliefCertification	Certification process for withholding tax reduction or exemption based on the tax status of the holder.
WRTH	Worthless	Booking out of valueless securities.
ACCU	Accumulation	Funds related event in which the income (for example accumulation units) that accrues during an accounting period is retained within the fund instead of being paid away to investors. The retained income is nonetheless deemed to have been distributed to investors for tax purposes.
INFO	Information	Information provided by the issuer having no accounting/financial impact on the holder.
TNDP	TaxOnNonDistributedProceeds	Taxable component on non-distributed proceeds, for example, Australian deemed income or US 871m income regulation.

16.1.15.24.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Event type expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 790 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		790
	Issuer <Issr>	[1..1]	Text		790
	SchemeName <SchmeNm>	[0..1]	Text		791

16.1.15.25 OptionFeaturesFormat27Choice

Definition: Choice between a standard code and a proprietary code to specify the features that may apply to a corporate action option.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		854
Or}	Proprietary <Prtry>	[1..1]	±		855

16.1.15.25.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the features that may apply to a corporate action option.

Datatype: "OptionFeatures12Code" on page 1154

CodeName	Name	Definition
OPLF	OddLotPreference	Tender or exchange with the odd lot preference.

16.1.15.25.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification of the features that may apply to a corporate action option.

Proprietary <Prtry> contains the following elements (see "GenericIdentification47" on page 790 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		790
	Issuer <Issr>	[1..1]	Text		790
	SchemeName <SchmeNm>	[0..1]	Text		791

16.1.15.26 EventSequenceTypeFormat2Choice

Definition: Choice between a standard code and proprietary code to specify the type of event in a series of predefined or planned events.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		855
Or}	Proprietary <Prtry>	[1..1]	±		855

16.1.15.26.1 Code <Cd>

Presence: [1..1]

Definition: Type of an event sequence, in a coded format.

Datatype: "EventSequenceType1Code" on page 1139

CodeName	Name	Definition
FINL	Final	Final event in a series of successive events.
INTE	Interim	Interim event in a series of successive events.

16.1.15.26.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Type of an event sequence, in a proprietary format.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 790 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		790
	Issuer <Issr>	[1..1]	Text		790
	SchemeName <SchmeNm>	[0..1]	Text		791

16.1.15.27 Pagination1

Definition: Number used to sequence pages when it is not possible for data to be conveyed in a single message and the data has to be split across several pages (messages).

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PageNumber <PgNb>	[1..1]	Text		856
	LastPageIndicator <LastPgInd>	[1..1]	Indicator		856

16.1.15.27.1 PageNumber <PgNb>

Presence: [1..1]

Definition: Page number.

Datatype: "[Max5NumericText](#)" on page 1175

16.1.15.27.2 LastPageIndicator <LastPgInd>

Presence: [1..1]

Definition: Indicates the last page.

Datatype: One of the following values must be used (see "[YesNoIndicator](#)" on page 1171):

- *Meaning When True:* Yes
- *Meaning When False:* No

16.1.15.28 UpdateType16Choice

Definition: Choice of format for the update information.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		856
Or}	Proprietary <Prtry>	[1..1]	±		857

16.1.15.28.1 Code <Cd>

Presence: [1..1]

Definition: Indicates whether the report is complete or contains changes only.

Datatype: "[StatementUpdateType1Code](#)" on page 1167

CodeName	Name	Definition
COMP	Complete	Statement is complete.
DELT	Delta	Statement contains changes only.

16.1.15.28.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Indicates whether the report is complete or contains changes only.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 790 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		790
	Issuer <Issr>	[1..1]	Text		790
	SchemeName <SchmeNm>	[0..1]	Text		791

16.1.15.29 ProcessingPosition10Choice

Definition: Choice of format for the processing position.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		857
Or}	Proprietary <Prtry>	[1..1]	±		858

Constraints

- **WithLinkageRule**

If Code WITH is used, then the one or more instruction which are linked become bound and which must be executed together. Even if one single transactions/instructions/notifications can not be executed, then all the other transactions/instructions/notifications must also be kept pending. Therefore the use of code WITH must be limited to combine up to 2 or 3 transactions/instructions/notifications.

16.1.15.29.1 Code <Cd>

Presence: [1..1]

Definition: Processing position expressed as an ISO 20022 code.

Datatype: "[ProcessingPosition3Code](#)" on page 1158

CodeName	Name	Definition
AFTE	After	Specifies that the transaction/instruction is to be executed after the linked transaction/instruction.
WITH	With	Specifies that the transaction/instruction is to be executed with the linked transaction/instruction.

CodeName	Name	Definition
BEFO	Before	Specifies that the transaction/instruction is to be executed before the linked transaction/instruction.
INFO	Information	Specifies that the transactions/instructions are linked for information purposes only.

16.1.15.29.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Processing position expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 790 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		790
	Issuer <Issr>	[1..1]	Text		790
	SchemeName <SchmeNm>	[0..1]	Text		791

16.1.15.30 CorporateActionReversalReason4

Definition: Specifies the reason why the corporate action reversal occurs.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reason <Rsn>	[1..1]	±		858
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		859

Constraints

- AdditionalReasonInformationRule**

The AdditionalReasonInformation element must not contain information that can be provided in a structured field unless bilaterally agreed.

16.1.15.30.1 Reason <Rsn>

Presence: [1..1]

Definition: Specifies the reason for the reversal.

Reason <Rsn> contains one of the following elements (see "[CorporateActionReversalReason4Choice](#)" on page 864 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		864
Or}	Proprietary <Prtry>	[1..1]	±		864

16.1.15.30.2 AdditionalReasonInformation <AddtlRsnInf>*Presence:* [0..1]*Definition:* Provides additional information about the processed instruction.*Datatype:* "RestrictedFINXMax256Text" on page 1178**16.1.15.31 MarketIdentification90***Definition:* Context, or geographic environment, in which trading parties may meet in order to negotiate and execute trades among themselves.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[0..1]	±		859
	Type <Tp>	[1..1]			859
{Or	Code <Cd>	[1..1]	CodeSet		860
Or}	Proprietary <Prtry>	[1..1]	±		860

Constraints

- MarketTypeAndIdentificationRule**

If Type/Code is OverTheCounter (OTCO) and if Identification is present, then Identification/Description must specify a system.

If Type/Code is Exchange (EXCH) and if Identification is present, then Identification/MarketIdentifierCode must be present.

16.1.15.31.1 Identification <Id>*Presence:* [0..1]*Definition:* Code allocated to places of trade, that is, stock exchanges, regulated markets, for example, Electronic Trading Platforms (ECN), and unregulated markets, for example, Automated Trading Systems (ATS), as sources of prices and related information, in order to facilitate automated processing.**Identification <Id>** contains one of the following elements (see "MarketIdentification2Choice" on page 791 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	MarketIdentifierCode <MktldrCd>	[1..1]	IdentifierSet		791
Or}	Description <Desc>	[1..1]	Text		791

16.1.15.31.2 Type <Tp>*Presence:* [1..1]*Definition:* Nature of a market in which transactions take place.

Type <Tp> contains one of the following **MarketType16Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		860
Or}	Proprietary <Prtry>	[1..1]	±		860

16.1.15.31.2.1 Code <Cd>

Presence: [1..1]

Definition: Market type expressed as an ISO 20022 code.

Datatype: "MarketType2Code" on page 1148

CodeName	Name	Definition
PRIM	PrimaryMarket	The place is a primary market.
SECM	SecondaryMarket	The place is a secondary market.
OTCO	OverTheCounter	The place is over the counter.
VARI	Various	Various places.
EXCH	StockExchange	The place is a stock exchange.

16.1.15.31.2.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Market type expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 790 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		790
	Issuer <Issr>	[1..1]	Text		790
	SchemeName <SchmeNm>	[0..1]	Text		791

16.1.15.32 OptionFeaturesFormat19Choice

Definition: Choice between a standard code or a proprietary code to specify the features that may apply to a corporate action option.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		860
Or}	Proprietary <Prtry>	[1..1]	±		861

16.1.15.32.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the features that may apply to a corporate action option.

Datatype: "OptionFeatures6Code" on page 1154

CodeName	Name	Definition
COND	Conditional	Feature whereby the holder can elect to place a condition on the acceptance of the option.
MAXC	MaximumCash	Maximum cash option, may be subject to scaling, as such you may receive a combination of cash and securities outturn.
MAXS	MaximumSecurities	Maximum stock option, may be subject to scaling, as such you may receive a combination of securities and cash outturn.
OPLF	OddLotPreference	Tender or exchange with the odd lot preference.
PROR	Proration	Feature whereby the option can be subject to pro ration in case, for example, of over-subscription.
VVPR	ReducedWithholdingTax	Reduced withholding tax rate applies to the option.

16.1.15.32.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification of the features that may apply to a corporate action option.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 790 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		790
	Issuer <Issr>	[1..1]	Text		790
	SchemeName <SchmeNm>	[0..1]	Text		791

16.1.15.33 AdditionalBusinessProcessFormat14Choice

Definition: Choice between a standard code or proprietary code to specify the type of the additional business process, that is, a tax refund.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		861
Or}	Proprietary <Prtry>	[1..1]	±		863

16.1.15.33.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the additional business process "tax refund" linked to a corporate action event.

Datatype: "AdditionalBusinessProcess7Code" on page 1090

CodeName	Name	Definition
CLAI	ClaimOrCompensation	Relates to a claim on the associated corporate action event.
TAXR	TaxRefund	Relates to a tax refund from the authorities on the associated corporate action event.
ACLA	AutomaticMarketClaim	Will automatically give rise to market claims by the account servicer, for example, a Central Securities Depository (CSD).
ATXF	SubjectToTransformation	As a result of the corporate action, all transactions in the underlying security will be cancelled by the system and replaced with transactions in the outturn resource(s).
CNTR	CancelUnderlyingTrades	As a result of the corporate action, all transactions in the underlying security will be cancelled by the system.
CONS	Consent	Relates to a consent within a corporate action event other than a Consent event (: 22F:: CAEV//CONS).
NAMC	NoAutomaticMarketClaimsAndTransformations	Event will not automatically give rise to market claims and transformations by the account servicer, for example, a Central Securities Depository (CSD).
NPLE	NoSplitElection	Issuer only allows a single option to be selected per designated holding.
SCHM	SchemeOrPlanOfArrangement	Component event of a scheme/plan of arrangement: a reorganisation of a company or a group of companies, and their capital.
PPUT	PartialMandatoryPutRedemption	Partial mandatory exchange of a portion of bonds where the exchanged securities are usually remarketed. The issuer may offer holders the right to retain instead of exchanging their securities. A lottery will be used to determine eligibility for the event.
PPRE	PartialPreFunding	Partial pre-funding of a debt instrument prior to maturity drawn through a lottery process. One new security is issued with an earlier maturity date for the refunded (called portion) and the other new security is issued with the original maturity date for the non refunded (remaining) portion. Applicable only in the frame of a partial defeasance corporate action event.
FPRE	FullPrefunding	Full pre-funding of a debt instrument by the issuer prior to original maturity when the issuer deposits assets in trust. Applicable only in the frame of a partial defeasance corporate action event.

16.1.15.33.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification of the additional business process "tax refund" linked to a corporate action event.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 790 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		790
	Issuer <Issr>	[1..1]	Text		790
	SchemeName <SchmeNm>	[0..1]	Text		791

16.1.15.34 CorporateActionEventStageFormat15Choice

Definition: Choice between a standard code or proprietary code to specify the event stage type.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		863
Or}	Proprietary <Prtry>	[1..1]	±		863

16.1.15.34.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the stage of the corporate action event.

Datatype: "[CorporateActionEventStage4Code](#)" on page 1100

CodeName	Name	Definition
FULL	CancellationAndReRun	Cancellation and re-run of an original lottery or an original and supplemental lottery. A subsequent lottery will be performed under a new event.
PART	SupplementalCancellationAndReRun	Cancellation and re-run of a supplemental lottery only. A subsequent new supplemental lottery will be performed under the original event.
RESC	SupplementalCancellation	A supplemental lottery is being cancelled by the issuer. No subsequent lottery will be performed.

16.1.15.34.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification of the stage of the corporate action event.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 790 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		790
	Issuer <Issr>	[1..1]	Text		790
	SchemeName <SchmeNm>	[0..1]	Text		791

16.1.15.35 CorporateActionReversalReason4Choice

Definition: Choice between a standard code or proprietary code to specify the reason for the reversal.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		864
Or}	Proprietary <Prtry>	[1..1]	±		864

16.1.15.35.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the reason for the reversal.

Datatype: "[CorporateActionReversalReason1Code](#)" on page 1134

CodeName	Name	Definition
DCBD	DayCountBasisDifference	Difference in day count basis.
IVAD	IncorrectValueDate	Value date is incorrect.
IREC	IncorrectRecordDate	Record date is incorrect.
IPRI	IncorrectPrice	Price is incorrect.
UPAY	UnduePayment	Payment is not due.
IETR	IncorrectEventLevelTaxRate	Event level tax rate is incorrect.
FNRC	FundsNotReceived	Funds have not been received.
POCS	PaymentOutsideClearingSystem	Payment will occur outside of clearing system.
IPCU	IncorrectPaymentCurrency	Payment currency is incorrect.

16.1.15.35.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification for the reason of the reversal.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 790 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		790
	Issuer <Issr>	[1..1]	Text		790
	SchemeName <SchmeNm>	[0..1]	Text		791

16.1.15.36 CorporateActionChangeTypeFormat7Choice

Definition: Choice between a standard code or a proprietary code to specify a type of change.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		865
Or}	Proprietary <Prtry>	[1..1]	±		865

16.1.15.36.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the type of changes.

Datatype: "[CorporateActionChangeType2Code](#)" on page 1098

CodeName	Name	Definition
BERE	BearToRegistered	Change from bearer into registered form.
CERT	Certificates	Change of all instruments into certificates.
DEPH	DematerialisedToPhysical	Change from dematerialised into physical form.
GPPH	GlobalPermanentToPhysical	Change from global permanent to physical form.
GTGP	GlobalTemporaryToGlobalPermanent	Change from global temporary to global permanent form.
GTPH	GlobalTemporaryToPhysical	Change from global temporary to physical form.
NAME	Name	The issuing company changes its name. CA event shows the change from old name to new name and may involve surrendering physical shares with the old name to the registrar.
PHDE	PhysicalToDematerialised	Change from physical into dematerialised form.
REBE	RegisteredToBearer	Change from registered into bearer form.
TERM	Terms	Change in the terms of the security.

16.1.15.36.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification of the type of changes.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 790 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		790
	Issuer <Issr>	[1..1]	Text		790
	SchemeName <SchmeNm>	[0..1]	Text		791

16.1.15.37 FractionDispositionType30Choice

Definition: Choice between a standard code or a proprietary code to specify the type of fraction disposition.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		866
Or}	Proprietary <Prtry>	[1..1]	±		866

16.1.15.37.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify how fractions resulting from derived securities will be processed or how prorated decisions will be rounding, if provided with a pro ration rate.

Datatype: "FractionDispositionType11Code" on page 1141

CodeName	Name	Definition
BUYU	BuyUp	Buy securities up to next whole number.
CINL	CashInLieuOfFraction	Fractional part of cash. Take cash in lieu of fractions.
DIST	IssueFraction	Take distribution of fractions in the form of securities.
RDDN	RoundDown	Round the entitlement down to the last full unit/minimum nominal quantity, fractions are discarded.
STAN	RoundToNearest	If the fraction is greater than or equal to 0.5 of the resulting security then round up, else round down as specified in the respective RDUP and RDDN codes.
RDUP	RoundUp	Round up to the next full unit/minimum nominal quantity at no cost.

16.1.15.37.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification of the type of fraction disposition.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 790 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		790
	Issuer <Issr>	[1..1]	Text		790
	SchemeName <SchmeNm>	[0..1]	Text		791

16.1.15.38 FractionDispositionType29Choice

Definition: Choice between a standard code or a proprietary code to specify the type of fraction disposition.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		867
Or}	Proprietary <Prtry>	[1..1]	±		867

16.1.15.38.1 Code <Cd>

Presence: [1..1]

Definition: Specifies how fractions resulting from derived securities will be processed or how prorated decisions will be rounding, if provided with a pro ration rate.

Datatype: "FractionDispositionType10Code" on page 1141

CodeName	Name	Definition
BUYU	BuyUp	Buy securities up to next whole number.
CINL	CashInLieuOfFraction	Fractional part of cash. Take cash in lieu of fractions.
EXPI	Expire	Let fractions expire worthless.
DIST	IssueFraction	Take distribution of fractions in the form of securities.

16.1.15.38.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification of the type of fraction disposition.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 790 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		790
	Issuer <Issr>	[1..1]	Text		790
	SchemeName <SchmeNm>	[0..1]	Text		791

16.1.15.39 BeneficiaryCertificationType11Choice

Definition: Choice between a standard code or proprietary code to specify the type of beneficiary certification required.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		868
Or}	Proprietary <Prtry>	[1..1]	±		868

16.1.15.39.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the type of certification required.

Datatype: "BeneficiaryCertificationType5Code" on page 1095

CodeName	Name	Definition
ACCI	AccreditedInvestor	The holder of the security has to irrevocably certify that it is an Accredited Investor as defined in US Securities and Exchange Commission Regulation D.
NCOM	NonCompany	The holder of the security has to certify he is an Non-Company related person.
QIBB	QIBCertification	The holder of the security has to irrevocably certify that it is a Qualified Institutional Buyer as defined in US Securities and Exchange Commission Rule 144A.

16.1.15.39.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification of the type of certification required.

Proprietary <Prtry> contains the following elements (see "GenericIdentification47" on page 790 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		790
	Issuer <Issr>	[1..1]	Text		790
	SchemeName <SchmeNm>	[0..1]	Text		791

16.1.15.40 UpdatedAdditionalInformation10

Definition: Additional information with update description and date.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	UpdateDescription <UpdDesc>	[0..1]	Text		869
	UpdateDate <UpdDt>	[0..1]	Date		869
	AdditionalInformation <AddtlInf>	[1..*]	Text		869

Constraints

- **AdditionalInformationRule**

The AdditionalInformation element must not contain information that can be provided in a structured field unless bilaterally agreed.

16.1.15.40.1 UpdateDescription <UpdDesc>

Presence: [0..1]

Definition: Specifies the amendments made to the narrative since the last message.

Datatype: "RestrictedFINXMax140Text" on page 1177

16.1.15.40.2 UpdateDate <UpdDt>

Presence: [0..1]

Definition: Specifies the date at which the narrative has been updated.

Datatype: "ISODate" on page 1168

16.1.15.40.3 AdditionalInformation <AddtlInf>

Presence: [1..*]

Definition: Provides additional textual information.

Datatype: "RestrictedFINZMax8000Text" on page 1180

16.1.15.41 SolicitationFeeRateFormat10Choice

Definition: Choice between a rate or an unspecified rate.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		869
Or	AmountToQuantity <AmtToQty>	[1..1]			870
	Amount <Amt>	[1..1]	Amount	C1	870
	Quantity <Qty>	[1..1]	Quantity		870
Or	Amount <Amt>	[1..1]	Amount	C1	870
Or}	NotSpecifiedRate <NotSpctdRate>	[1..1]	CodeSet		871

16.1.15.41.1 Rate <Rate>

Presence: [1..1]

Definition: Value is expressed as a rate.

Datatype: "PercentageRate" on page 1172

16.1.15.41.2 AmountToQuantity <AmtToQty>

Presence: [1..1]

Definition: Ratio expressed as an amount to quantity ratio.

AmountToQuantity <AmtToQty> contains the following **AmountAndQuantityRatio5** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Amount <Amt>	[1..1]	Amount	C1	870
	Quantity <Qty>	[1..1]	Quantity		870

16.1.15.41.2.1 Amount <Amt>

Presence: [1..1]

Definition: Cash amount.

Impacted by: C1 "ActiveCurrency"

Datatype: "RestrictedFINActiveCurrencyAnd13DecimalAmount" on page 1086

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

16.1.15.41.2.2 Quantity <Qty>

Presence: [1..1]

Definition: Quantity expressed as number.

Datatype: "RestrictedFINDecimalNumber" on page 1172

16.1.15.41.3 Amount <Amt>

Presence: [1..1]

Definition: Cash amount.

Impacted by: C1 "ActiveCurrency"

Datatype: "RestrictedFINActiveCurrencyAnd13DecimalAmount" on page 1086

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217

Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

16.1.15.41.4 NotSpecifiedRate <NotSpcfdRate>

Presence: [1..1]

Definition: Value of the rate not specified.

Datatype: "RateValueType7Code" on page 1161

CodeName	Name	Definition
UKWN	Unknown	Rate is unknown by the sender or has not been established.

16.1.15.42 RatioFormat24Choice

Definition: Choice of format to express a ratio.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	QuantityToQuantity <QtyToQty>	[1..1]	±		871
Or	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		871
Or	AmountToAmount <AmtToAmt>	[1..1]			872
	Amount1 <Amt1>	[1..1]	Amount	C1	872
	Amount2 <Amt2>	[1..1]	Amount	C1	872
Or	AmountToQuantity <AmtToQty>	[1..1]			873
	Amount <Amt>	[1..1]	Amount	C1	873
	Quantity <Qty>	[1..1]	Quantity		873
Or}	QuantityToAmount <QtyToAmt>	[1..1]			873
	Amount <Amt>	[1..1]	Amount	C1	873
	Quantity <Qty>	[1..1]	Quantity		874

16.1.15.42.1 QuantityToQuantity <QtyToQty>

Presence: [1..1]

Definition: Ratio expressed as a quotient of quantities.

QuantityToQuantity <QtyToQty> contains the following elements (see "[QuantityToQuantityRatio2](#)" on page 1052 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Quantity1 <Qty1>	[1..1]	Quantity		1052
	Quantity2 <Qty2>	[1..1]	Quantity		1052

16.1.15.42.2 NotSpecifiedRate <NotSpcfdRate>

Presence: [1..1]

Definition: Value of the ratio not specified.

Datatype: "RateValueType7Code" on page 1161

CodeName	Name	Definition
UKWN	Unknown	Rate is unknown by the sender or has not been established.

16.1.15.42.3 AmountToAmount <AmtToAmt>

Presence: [1..1]

Definition: Ratio expressed as a quotient of amounts.

AmountToAmount <AmtToAmt> contains the following **AmountToAmountRatio3** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Amount1 <Amt1>	[1..1]	Amount	C1	872
	Amount2 <Amt2>	[1..1]	Amount	C1	872

16.1.15.42.3.1 Amount1 <Amt1>

Presence: [1..1]

Definition: Numerator of the quotient of amounts.

Impacted by: C1 "ActiveCurrency"

Datatype: "RestrictedFINActiveCurrencyAnd13DecimalAmount" on page 1086

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

16.1.15.42.3.2 Amount2 <Amt2>

Presence: [1..1]

Definition: Denominator of the quotient of amounts.

Impacted by: C1 "ActiveCurrency"

Datatype: "RestrictedFINActiveCurrencyAnd13DecimalAmount" on page 1086

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217

Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

16.1.15.42.4 AmountToQuantity <AmtToQty>

Presence: [1..1]

Definition: Ratio expressed as an amount to quantity ratio.

AmountToQuantity <AmtToQty> contains the following **AmountAndQuantityRatio5** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Amount <Amt>	[1..1]	Amount	C1	873
	Quantity <Qty>	[1..1]	Quantity		873

16.1.15.42.4.1 Amount <Amt>

Presence: [1..1]

Definition: Cash amount.

Impacted by: C1 "ActiveCurrency"

Datatype: "RestrictedFINActiveCurrencyAnd13DecimalAmount" on page 1086

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

16.1.15.42.4.2 Quantity <Qty>

Presence: [1..1]

Definition: Quantity expressed as number.

Datatype: "RestrictedFINDecimalNumber" on page 1172

16.1.15.42.5 QuantityToAmount <QtyToAmt>

Presence: [1..1]

Definition: Ratio expressed as a quantity to amount ratio.

QuantityToAmount <QtyToAmt> contains the following **AmountAndQuantityRatio5** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Amount <Amt>	[1..1]	Amount	C1	873
	Quantity <Qty>	[1..1]	Quantity		874

16.1.15.42.5.1 Amount <Amt>

Presence: [1..1]

Definition: Cash amount.

Impacted by: C1 "ActiveCurrency"

Datatype: "RestrictedFINActiveCurrencyAnd13DecimalAmount" on page 1086

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

16.1.15.42.5.2 Quantity <Qty>

Presence: [1..1]

Definition: Quantity expressed as number.

Datatype: "RestrictedFINDecimalNumber" on page 1172

16.1.15.43 RatioFormat23Choice

Definition: Choice of format to express a ratio.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	QuantityToQuantity <QtyToQty>	[1..1]	±		874
Or	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		874
Or}	AmountToAmount <AmtToAmt>	[1..1]			875
	Amount1 <Amt1>	[1..1]	Amount	C1	875
	Amount2 <Amt2>	[1..1]	Amount	C1	875

16.1.15.43.1 QuantityToQuantity <QtyToQty>

Presence: [1..1]

Definition: Ratio expressed as a quotient of quantities.

QuantityToQuantity <QtyToQty> contains the following elements (see "QuantityToQuantityRatio2" on page 1052 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Quantity1 <Qty1>	[1..1]	Quantity		1052
	Quantity2 <Qty2>	[1..1]	Quantity		1052

16.1.15.43.2 NotSpecifiedRate <NotSpcfdRate>

Presence: [1..1]

Definition: Value of the ratio not specified.

Datatype: "RateValueType7Code" on page 1161

CodeName	Name	Definition
UKWN	Unknown	Rate is unknown by the sender or has not been established.

16.1.15.43.3 AmountToAmount <AmtToAmt>

Presence: [1..1]

Definition: Ratio expressed as a quotient of amounts.

AmountToAmount <AmtToAmt> contains the following **AmountToAmountRatio3** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Amount1 <Amt1>	[1..1]	Amount	C1	875
	Amount2 <Amt2>	[1..1]	Amount	C1	875

16.1.15.43.3.1 Amount1 <Amt1>

Presence: [1..1]

Definition: Numerator of the quotient of amounts.

Impacted by: C1 "ActiveCurrency"

Datatype: "RestrictedFINActiveCurrencyAnd13DecimalAmount" on page 1086

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

16.1.15.43.3.2 Amount2 <Amt2>

Presence: [1..1]

Definition: Denominator of the quotient of amounts.

Impacted by: C1 "ActiveCurrency"

Datatype: "RestrictedFINActiveCurrencyAnd13DecimalAmount" on page 1086

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

16.1.15.44 NonEligibleProceedsIndicator4Choice

Definition: Choice between a standard code or proprietary code to specify the eligibility of outturn resources.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		876
Or}	Proprietary <Prtry>	[1..1]	±		876

16.1.15.44.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify information regarding the non eligibility of the outturn resources.

Datatype: "NonEligibleProceedsIndicator1Code" on page 1152

CodeName	Name	Definition
NELC	NonEligibleCurrency	Currency not eligible for settlement in the Central Securities Depository (CSD).
ACLI	AccountLimitation	Participant's account limitation.
ONEL	OtherNonEligibility	Other source of non eligibility.

16.1.15.44.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification to specify information regarding the non eligibility of the outturn resources.

Proprietary <Prtry> contains the following elements (see "GenericIdentification47" on page 790 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		790
	Issuer <Issr>	[1..1]	Text		790
	SchemeName <SchmeNm>	[0..1]	Text		791

16.1.15.45 TemporaryFinancialInstrumentIndicator4Choice

Definition: Choice between an indicator or a proprietary code to specify whether the security is a temporary security.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	TemporaryIndicator <Templnd>	[1..1]	Indicator		876
Or}	Proprietary <Prtry>	[1..1]	±		877

16.1.15.45.1 TemporaryIndicator <Templnd>

Presence: [1..1]

Definition: Temporary financial instrument identification used for processing reasons.

Datatype: One of the following values must be used (see "YesNoIndicator" on page 1171):

- *Meaning When True:* Yes
- *Meaning When False:* No

16.1.15.45.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary code to specify whether the security is a temporary security.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 790 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		790
	Issuer <Issr>	[1..1]	Text		790
	SchemeName <SchmeNm>	[0..1]	Text		791

16.1.15.46 RateTypeAndAmountAndStatus33

Definition: Specifies the value expressed as a rate and an amount.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	RateType <RateTp>	[1..1]			877
{Or	Code <Cd>	[1..1]	CodeSet		877
Or}	Proprietary <Prtry>	[1..1]	±		878
	Amount <Amt>	[1..1]	Amount	C1	878
	RateStatus <RateSts>	[0..1]		C58	878
{Or	Code <Cd>	[1..1]	CodeSet		879
Or}	Proprietary <Prtry>	[1..1]	±		879

16.1.15.46.1 RateType <RateTp>

Presence: [1..1]

Definition: Value expressed as a rate type.

RateType <RateTp> contains one of the following **RateType47Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		877
Or}	Proprietary <Prtry>	[1..1]	±		878

16.1.15.46.1.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the type of tax rate.

Datatype: "DividendRateType1Code" on page 1137

CodeName	Name	Definition
TXBL	TaxablePortion	Rate relating to the underlying security for which tax is charged.

16.1.15.46.1.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification of the type of tax rate.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 790 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		790
	Issuer <Issr>	[1..1]	Text		790
	SchemeName <SchmeNm>	[0..1]	Text		791

16.1.15.46.2 Amount <Amt>

Presence: [1..1]

Definition: Value expressed as an amount.

Impacted by: C1 "ActiveCurrency"

Datatype: "RestrictedFINActiveCurrencyAnd13DecimalAmount" on page 1086

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

16.1.15.46.3 RateStatus <RateSts>

Presence: [0..1]

Definition: Value expressed as a rate status.

Impacted by: C58 "RateStatusGuideline"

RateStatus <RateSts> contains one of the following **RateStatus4Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		879
Or}	Proprietary <Prtry>	[1..1]	±		879

Constraints

- **RateStatusGuideline**

The code value ACTU is the default value for a rate status.

16.1.15.46.3.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the status of the rate.

Datatype: "RateStatus1Code" on page 1160

CodeName	Name	Definition
ACTU	ActualRate	Rate is actual.
INDI	IndicativeRate	Rate is indicative.

16.1.15.46.3.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification of the status of the rate.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 790 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		790
	Issuer <Issr>	[1..1]	Text		790
	SchemeName <SchmeNm>	[0..1]	Text		791

16.1.15.47 RateAndAmountFormat47Choice

Definition: Choice of format between a rate or a rate type and rate or an amount or a unspecified rate.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		879
Or	NotSpecifiedRate <NotSpctdRate>	[1..1]	CodeSet		880
Or	Amount <Amt>	[1..1]	Amount	C1	880
Or}	RateTypeAndRate <RateTpAndRate>	[1..1]			880
	RateType <RateTp>	[1..1]			880
{Or	Code <Cd>	[1..1]	CodeSet		881
Or}	Proprietary <Prtry>	[1..1]	±		881
	Rate <Rate>	[1..1]	Rate		881

16.1.15.47.1 Rate <Rate>

Presence: [1..1]

Definition: Value expressed as a rate.

Datatype: "PercentageRate" on page 1172

16.1.15.47.2 NotSpecifiedRate <NotSpcdfRate>

Presence: [1..1]

Definition: Value of the rate not specified.

Datatype: "RateValueType7Code" on page 1161

CodeName	Name	Definition
UKWN	Unknown	Rate is unknown by the sender or has not been established.

16.1.15.47.3 Amount <Amt>

Presence: [1..1]

Definition: Value is expressed as a currency and amount.

Impacted by: C1 "ActiveCurrency"

Datatype: "RestrictedFINActiveCurrencyAnd13DecimalAmount" on page 1086

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

16.1.15.47.4 RateTypeAndRate <RateTpAndRate>

Presence: [1..1]

Definition: Value is expressed as a rate type and a percentage rate.

RateTypeAndRate <RateTpAndRate> contains the following **RateTypeAndPercentageRate9** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	RateType <RateTp>	[1..1]			880
{Or	Code <Cd>	[1..1]	CodeSet		881
Or}	Proprietary <Prtry>	[1..1]	±		881
	Rate <Rate>	[1..1]	Rate		881

16.1.15.47.4.1 RateType <RateTp>

Presence: [1..1]

Definition: Value expressed as a rate type.

RateType <RateTp> contains one of the following **RateType46Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		881
Or}	Proprietary <Prtry>	[1..1]	±		881

16.1.15.47.4.1.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the type of withholding tax rate.

Datatype: "WithholdingTaxRateType1Code" on page 1168

CodeName	Name	Definition
BWIT	BackUpWithholding	Withholding tax related to payments subject to back up withholding.
FTCA	FATCATax	Withholding tax related to income subject to FATCA (Foreign Account Tax Compliance Act).
NRAT	NRATax	Withholding tax related to income subject to NRA (Non Resident Alien).

16.1.15.47.4.1.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification of the type of withholding tax rate.

Proprietary <Prtry> contains the following elements (see "GenericIdentification47" on page 790 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		790
	Issuer <Issr>	[1..1]	Text		790
	SchemeName <SchmeNm>	[0..1]	Text		791

16.1.15.47.4.2 Rate <Rate>

Presence: [1..1]

Definition: Value expressed as a rate.

Datatype: "PercentageRate" on page 1172

16.1.15.48 RateAndAmountFormat50Choice

Definition: Choice of format between a rate, an amount, index points or a unspecified rate.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		882
Or	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		882
Or	Amount <Amt>	[1..1]	Amount	C1	882
Or}	IndexPoints <IndxPts>	[1..1]	Quantity		882

16.1.15.48.1 Rate <Rate>

Presence: [1..1]

Definition: Value expressed as a rate.

Datatype: "PercentageRate" on page 1172

16.1.15.48.2 NotSpecifiedRate <NotSpcfdRate>

Presence: [1..1]

Definition: Value of the rate not specified.

Datatype: "RateValueType7Code" on page 1161

CodeName	Name	Definition
UKWN	Unknown	Rate is unknown by the sender or has not been established.

16.1.15.48.3 Amount <Amt>

Presence: [1..1]

Definition: Value is expressed as a currency and amount.

Impacted by: C1 "ActiveCurrency"

Datatype: "RestrictedFINActiveCurrencyAnd13DecimalAmount" on page 1086

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

16.1.15.48.4 IndexPoints <IndxPts>

Presence: [1..1]

Definition: Price expressed in index points.

Datatype: "RestrictedFINDecimalNumber" on page 1172

16.1.15.49 InterestRateUsedForPaymentFormat10Choice

Definition: Choice between an amount or a rate or an unspecified rate.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		883
Or	Amount <Amt>	[1..1]	Amount	C1	883
Or	RateTypeAndAmountAndRateStatus <RateTpAndAmtAndRateSts>	[1..1]			883
	RateType <RateTp>	[1..1]			884
{Or	Code <Cd>	[1..1]	CodeSet		884
Or}	Proprietary <Prtry>	[1..1]	±		884
	Amount <Amt>	[1..1]	Amount	C1	885
	RateStatus <RateSts>	[0..1]		C58	885
{Or	Code <Cd>	[1..1]	CodeSet		885
Or}	Proprietary <Prtry>	[1..1]	±		886
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		886

16.1.15.49.1 Rate <Rate>

Presence: [1..1]

Definition: Value is expressed as a rate.

Datatype: "PercentageRate" on page 1172

16.1.15.49.2 Amount <Amt>

Presence: [1..1]

Definition: Number of monetary units specified in a currency.

Impacted by: C1 "ActiveCurrency"

Datatype: "RestrictedFINActiveCurrencyAnd13DecimalAmount" on page 1086

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

16.1.15.49.3 RateTypeAndAmountAndRateStatus <RateTpAndAmtAndRateSts>

Presence: [1..1]

Definition: Specifies different formats for interest rate used for payment.

RateTypeAndAmountAndRateStatus <RateTpAndAmtAndRateSts> contains the following **RateTypeAndAmountAndStatus32** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	RateType <RateTp>	[1..1]			884
{Or	Code <Cd>	[1..1]	CodeSet		884
Or}	Proprietary <Prtry>	[1..1]	±		884
	Amount <Amt>	[1..1]	Amount	C1	885
	RateStatus <RateSts>	[0..1]		C58	885
{Or	Code <Cd>	[1..1]	CodeSet		885
Or}	Proprietary <Prtry>	[1..1]	±		886

16.1.15.49.3.1 RateType <RateTp>

Presence: [1..1]

Definition: Value expressed as a rate type.

RateType <RateTp> contains one of the following **RateType45Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		884
Or}	Proprietary <Prtry>	[1..1]	±		884

16.1.15.49.3.1.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the type of rate.

Datatype: "RateType7Code" on page 1161

CodeName	Name	Definition
SCHD	Scheduled	Rate of the scheduled payment.
USCD	Unscheduled	Rate of the unscheduled payment.

16.1.15.49.3.1.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification of the type of rate.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 790 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		790
	Issuer <Issr>	[1..1]	Text		790
	SchemeName <SchmeNm>	[0..1]	Text		791

16.1.15.49.3.2 Amount <Amt>

Presence: [1..1]

Definition: Value expressed as an amount.

Impacted by: [C1 "ActiveCurrency"](#)

Datatype: "[RestrictedFINActiveCurrencyAnd13DecimalAmount](#)" on page 1086

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

16.1.15.49.3.3 RateStatus <RateSts>

Presence: [0..1]

Definition: Value expressed as a rate status.

Impacted by: [C58 "RateStatusGuideline"](#)

RateStatus <RateSts> contains one of the following **RateStatus4Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		885
Or}	Proprietary <Prtry>	[1..1]	±		886

Constraints

- **RateStatusGuideline**

The code value ACTU is the default value for a rate status.

16.1.15.49.3.3.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the status of the rate.

Datatype: "[RateStatus1Code](#)" on page 1160

CodeName	Name	Definition
ACTU	ActualRate	Rate is actual.

CodeName	Name	Definition
INDI	IndicativeRate	Rate is indicative.

16.1.15.49.3.3.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification of the status of the rate.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 790 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		790
	Issuer <Issr>	[1..1]	Text		790
	SchemeName <SchmeNm>	[0..1]	Text		791

16.1.15.49.4 NotSpecifiedRate <NotSpcfdRate>

Presence: [1..1]

Definition: Value of the rate not specified.

Datatype: "[RateType13Code](#)" on page 1161

CodeName	Name	Definition
UKWN	Unknown	Rate is unknown by the sender or has not been established.
NILP	NilPayment	Rate will not be paid.

16.1.15.50 BeneficiaryCertificationType12Choice

Definition: Choice between a standard code or proprietary code to specify the type of beneficiary certification required.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		886
Or}	Proprietary <Prtry>	[1..1]	±		887

16.1.15.50.1 Code <Cd>

Presence: [1..1]

Definition: Beneficial owner certification type expressed in a coded form.

Datatype: "[BeneficiaryCertificationType4Code](#)" on page 1094

CodeName	Name	Definition
ACCI	AccreditedInvestor	The holder of the security has to irrevocably certify that it is an Accredited Investor as defined in US Securities and Exchange Commission Regulation D.

CodeName	Name	Definition
DOMI	DomicileCountry	The holder of the security has to certify his domicile.
NDOM	NonDomicileCountry	The holder of the security has to certify, in line with the terms of the corporate action, that it is not domiciled in the country indicated.
FULL	FullBeneficialOwnerBreakdown	The holder of the security has to provide his beneficial owner details for disclosure/instruction purpose.
NCOM	NonCompany	The holder of the security has to certify he is an Non-Company related person.
QIBB	QIBCertification	The holder of the security has to irrevocably certify that it is a Qualified Institutional Buyer as defined in US Securities and Exchange Commission Rule 144A.
TRBD	TaxRateBreakdown	The holder of the security has to provide basic details on nominals and associated tax rate to be used by the account servicer.
PAPW	BeneficialOwnerPaperwork	Indicates if the Beneficial Owner is responsible to fill in the paperwork.
PABD	ICSDParticipantBreakdown	The (I)CSD has to provide its participants' details for disclosure purpose to the issuer/issuer's agent.
FRAC	FractionBreakdown	Indicates that the rounding rule in the Fraction Disposition component at the option level can be offered at the beneficial owner level.

16.1.15.50.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Beneficial owner certification type expressed in a proprietary form.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 790 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		790
	Issuer <Issr>	[1..1]	Text		790
	SchemeName <SchmeNm>	[0..1]	Text		791

16.1.15.51 OptionAvailabilityStatus4Choice

Definition: Choice between a standard code or proprietary code to specify the option availability status.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		888
Or}	Proprietary <Prtry>	[1..1]	±		888

16.1.15.51.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the status of the option availability.

Datatype: "OptionAvailabilityStatus1Code" on page 1153

CodeName	Name	Definition
INTV	Inactive	Option is not active and can no longer be responded to. Any responses already processed against this option will remain valid, for example, expired option.
CANC	Cancelled	Option is not valid; it has been cancelled by the market or service provider, and cannot be responded to. Any responses already processed against this option are considered void and new responses will be required.

16.1.15.51.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification of the status of the option availability.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 790 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		790
	Issuer <Issr>	[1..1]	Text		790
	SchemeName <SchmeNm>	[0..1]	Text		791

16.1.15.52 FractionDispositionType31Choice

Definition: Choice between a standard code or a proprietary code to specify the type of fraction disposition.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		888
Or}	Proprietary <Prtry>	[1..1]	±		889

16.1.15.52.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify how fractions resulting from derived securities will be processed or how prorated decisions will be rounding, if provided with a pro ration rate.

Datatype: "FractionDispositionType8Code" on page 1141

CodeName	Name	Definition
BUYU	BuyUp	Buy securities up to next whole number.
CINL	CashInLieuOfFraction	Fractional part of cash. Take cash in lieu of fractions.
DIST	IssueFraction	Take distribution of fractions in the form of securities.
RDDN	RoundDown	Round the entitlement down to the last full unit/minimum nominal quantity, fractions are discarded.
STAN	RoundToNearest	If the fraction is greater than or equal to 0.5 of the resulting security then round up, else round down as specified in the respective RDUP and RDDN codes.
RDUP	RoundUp	Round up to the next full unit/minimum nominal quantity at no cost.
UKWN	Unknown	Characteristics of the disposition of fractions are unknown.

16.1.15.52.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification of the type of fraction disposition.

Proprietary <Prtry> contains the following elements (see "GenericIdentification47" on page 790 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		790
	Issuer <Issr>	[1..1]	Text		790
	SchemeName <SchmeNm>	[0..1]	Text		791

16.1.15.53 InformationTypeFormat5Choice

Definition: Choice between a standard code or proprietary code to specify the information type format required.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		889
Or}	Proprietary <Prtry>	[1..1]	±		890

16.1.15.53.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the information type required.

Datatype: "CorporateActionInformationType1Code" on page 1125

CodeName	Name	Definition
CONF	ConferenceCall	Information is about an informal call when an issuer, trustee, agent wishes to talk to note holders to discuss a certain issue or potential change to the notes; nothing said being binding.

16.1.15.53.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification of the information type.

Proprietary <Prtry> contains the following elements (see "GenericIdentification47" on page 790 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		790
	Issuer <Issr>	[1..1]	Text		790
	SchemeName <SchmeNm>	[0..1]	Text		791

16.1.15.54 ConsentTypeFormat5Choice

Definition: Choice between a standard code or proprietary code to specify the consent type format required.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		890
Or}	Proprietary <Prtry>	[1..1]	±		890

16.1.15.54.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the consent type required.

Datatype: "ConsentType1Code" on page 1097

CodeName	Name	Definition
CTRM	ChangeInTerms	Change in the terms and conditions of the bond.
DUPY	DueAndPayable	Change in the due and payable conditions.

16.1.15.54.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification of the consent type.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 790 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		790
	Issuer <Issr>	[1..1]	Text		790
	SchemeName <SchmeNm>	[0..1]	Text		791

16.1.15.55 CertificationTypeFormat4Choice

Definition: Choice between a standard code or proprietary code to specify the certification format required.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		891
Or}	Proprietary <Prtry>	[1..1]	±		891

16.1.15.55.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the certification format required, that is, physical or electronic format.

Datatype: "[CertificationFormatType1Code](#)" on page 1096

CodeName	Name	Definition
ELEC	Electronic	Certification must be provided in electronic format.
PHYS	Physical	Certification must be provided in physical format.

16.1.15.55.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification of the certification format.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 790 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		790
	Issuer <Issr>	[1..1]	Text		790
	SchemeName <SchmeNm>	[0..1]	Text		791

16.1.15.56 LotteryTypeFormat5Choice

Definition: Choice between a standard code or proprietary code to specify the type of lottery.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		892
Or}	Proprietary <Prtry>	[1..1]	±		892

16.1.15.56.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the type of lottery announced.

Datatype: "LotteryType1Code" on page 1148

CodeName	Name	Definition
ORIG	OriginalLotteryNotification	Original lottery that has been announced for a specific amount and date.
SUPP	SupplementalLotteryNotification	Supplemental or additional quantity called on a previously announced original lottery.

16.1.15.56.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification of the type of lottery announced.

Proprietary <Prtry> contains the following elements (see "GenericIdentification47" on page 790 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		790
	Issuer <Issr>	[1..1]	Text		790
	SchemeName <SchmeNm>	[0..1]	Text		791

16.1.15.57 ElectionTypeFormat4Choice

Definition: Choice between a standard code or proprietary code to specify the type of election movement.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		892
Or}	Proprietary <Prtry>	[1..1]	±		893

16.1.15.57.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the effect on the holdings of electing a corporate action option.

Datatype: "ElectionMovementType2Code" on page 1138

CodeName	Name	Definition
DRCT	Direct	Direct movement of resource, that is, cash or security that is directly credited to the paying agent account.
SEQD	Sequestered	Election results in a change of balance type that transfers control of the securities rather than the securities themselves.

16.1.15.57.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification of the type of election of a corporate action option.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 790 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		790
	Issuer <Issr>	[1..1]	Text		790
	SchemeName <SchmeNm>	[0..1]	Text		791

16.1.15.58 TaxableIncomePerShareCalculatedFormat4Choice

Definition: Choice between a standard code or proprietary code to specify whether the taxable income per share or per dividend is calculated.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		893
Or}	Proprietary <Prtry>	[1..1]	±		894

16.1.15.58.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify whether the fund calculates the taxable income per dividend/ taxable income per share (TID/TIS).

Datatype: "[CorporateActionTaxableIncomePerShareCalculated1Code](#)" on page 1135

CodeName	Name	Definition
TDIY	Calculated	Fund is calculating the taxable income per dividend/taxable income per share.
TDIN	NotCalculated	Fund is not calculating the taxable income per dividend/taxable income per share.
UKWN	Unknown	Unknown whether the fund is calculating the taxable income per dividend/taxable income per share.

16.1.15.58.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification to specify whether the fund calculates the taxable income per dividend/taxable income per share (TID/TIS).

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 790 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		790
	Issuer <Issr>	[1..1]	Text		790
	SchemeName <SchmeNm>	[0..1]	Text		791

16.1.15.59 CapitalGainFormat4Choice

Definition: Choice between a standard code or proprietary code to specify the type of capital gain.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		894
Or}	Proprietary <Prtry>	[1..1]	±		894

16.1.15.59.1 Code <Cd>

Presence: [1..1]

Definition: Specifies whether capital gain is in the scope of the European directive on taxation of savings income in the form of interest payments (Council Directive 2003/48/EC 3 June) for an income realised upon sale, a refund or redemption of shares and units etc.

Datatype: "[EUCapitalGain2Code](#)" on page 1138

CodeName	Name	Definition
EUSI	CapitalGainInScope	Capital gain is in the scope of the directive.
EUSO	CapitalGainOutScope	Capital gain is out of the scope of the directive.
UKWN	CapitalGainUnknown	Unknown whether capital gain is in or out of the scope of the directive.

16.1.15.59.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification of the type of capital gain.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 790 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		790
	Issuer <Issr>	[1..1]	Text		790
	SchemeName <SchmeNm>	[0..1]	Text		791

16.1.15.60 IntermediateSecuritiesDistributionTypeFormat18Choice

Definition: Choice between a standard code or proprietary code to specify the type of intermediate securities distribution.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		895
Or}	Proprietary <Prtry>	[1..1]	±		896

16.1.15.60.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the type of intermediate security distribution.

Datatype: "IntermediateSecurityDistributionType5Code" on page 1147

CodeName	Name	Definition
BIDS	ReverseRights	Distribution of reverser rights.
DRIP	DividendReinvestment	Distribution of dividend reinvestment securities.
DVCA	CashDividend	Distribution of cash to shareholders, in proportion to their equity holding. Ordinary dividends are recurring and regular. Shareholder must take cash and may be offered a choice of currency.
DVOP	DividendOption	Distribution of dividend option.
EXRI	SubscriptionRights	Distribution of subscription rights.
PRIO	OpenOfferRights	Distribution of open offer rights.
DVSC	ScripDividendOrPayment	Dividend or interest paid in the form of scrip.
DVSE	StockDividend	Dividend paid to shareholders in the form of shares of stock.
INTR	InterestPayment	Interest payment distributed to holders of an interest bearing asset.
LIQU	LiquidationDividendOrPayment	A distribution of cash, assets or both.
SOFF	SpinOff	A distribution of subsidiary stock to the shareholders.
SPLF	StockSplit	Also known as change in nominal value or subdivision.

CodeName	Name	Definition
		Increase in a corporation's number of outstanding equities without any change in the shareholder's equity or the aggregate market value at the time of the split. Equity price and nominal value are reduced accordingly.
BONU	BonusRights	Distribution of bonus rights.
EXOF	Exchange	Exchange of holdings for other securities and/or cash. The exchange can be either mandatory or voluntary involving the exchange of outstanding securities for different securities and/or cash. For example "exchange offer", "capital reorganisation" or "funds separation".
MRGR	Merger	Exchange of outstanding securities, initiated by the issuer which may include options, as the result of two or more companies combining assets, that is, an external, third party company. Cash payments may accompany share exchange.

16.1.15.60.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification of the type of intermediate security distribution.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 790 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		790
	Issuer <Issr>	[1..1]	Text		790
	SchemeName <SchmeNm>	[0..1]	Text		791

16.1.15.61 CorporateActionCodeTypeFormat8Choice

Definition: Choice between a standard code or proprietary code to specify the change type of a corporate action event.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		896
Or}	Proprietary <Prtry>	[1..1]	±		897

16.1.15.61.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the type of changes.

Datatype: "[CorporateActionCodeType1Code](#)" on page 1097

CodeName	Name	Definition
BERE	BearToRegistered	Change from bearer into registered form.
CERT	Certificates	Change of all instruments into certificates.
DEPH	DematerialisedToPhysical	Change from dematerialised into physical form.
GPPH	GlobalPermanentToPhysical	Change from global permanent to physical form.
GTGP	GlobalTemporaryToGlobalPermanent	Change from global temporary to global permanent form.
GTPH	GlobalTemporaryToPhysical	Change from global temporary to physical form.
NAME	Name	The issuing company changes its name. CA event shows the change from old name to new name and may involve surrendering physical shares with the old name to the registrar.
PHDE	PhysicalToDematerialised	Change from physical into dematerialised form.
REBE	RegisteredToBearer	Change from registered into bearer form.
TERM	Terms	Change in the terms of the security.
DECI	Decimalisation	Process of changing the accounting method of a security, for example, mutual fund, from units to a quantity with decimals.

16.1.15.61.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification of the type of changes.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 790 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		790
	Issuer <Issr>	[1..1]	Text		790
	SchemeName <SchmeNm>	[0..1]	Text		791

16.1.15.62 CorporateActionEventStageFormat20Choice

Definition: Choice between a standard code or proprietary code to specify the event stage type.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		898
Or}	Proprietary <Prtry>	[1..1]	±		898

16.1.15.62.1 Code <Cd>*Presence:* [1..1]*Definition:* Standard code to specify the stage of the corporate action event.*Datatype:* "CorporateActionEventStage3Code" on page 1099

CodeName	Name	Definition
APPD	Approved	Approved at the relevant meeting, for example, dividend.
CLDE	Deactivated	Offer is closed for acceptance.
FULL	CancellationAndReRun	Cancellation and re-run of an original lottery or an original and supplemental lottery. A subsequent lottery will be performed under a new event.
LAPS	Lapsed	Event/offer conditions have not been met and the event/offer is terminated or lapsed.
PART	SupplementalCancellationAndReRun	Cancellation and re-run of a supplemental lottery only. A subsequent new supplemental lottery will be performed under the original event.
PWAL	ActionPeriod	Open for acceptance until the next specified deadline in the announcement (if any).
RESC	SupplementalCancellation	A supplemental lottery is being cancelled by the issuer. No subsequent lottery will be performed.
SUAP	SubjectToApproval	Subject to approval at the relevant meeting, for example, dividend.
UNAC	UnconditionalAsToAcceptance	The required level of acceptances specified in the terms of the offer has been achieved but there are still outstanding conditions to fulfil.
WHOU	WhollyUnconditional	Wholly unconditional; all conditions specified in the offer document have been satisfied.

16.1.15.62.2 Proprietary <Prtry>*Presence:* [1..1]*Definition:* Proprietary identification of the stage of the corporate action event.**Proprietary <Prtry>** contains the following elements (see "GenericIdentification47" on page 790 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		790
	Issuer <Issr>	[1..1]	Text		790
	SchemeName <SchmeNm>	[0..1]	Text		791

16.1.15.63 DistributionTypeFormat8Choice

Definition: Choice between a standard code or proprietary code to specify the type of distribution.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		899
Or}	Proprietary <Prtry>	[1..1]	±		899

16.1.15.63.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify whether the proceeds of the event will be distributed on a rolling basis rather than on a specific date.

Datatype: "DistributionType3Code" on page 1137

CodeName	Name	Definition
FINL	Final	Final payment.
INTE	Interim	Interim payment.
ONGO	Ongoing	Event is ongoing for acceptance on an unsolicited basis.
ROLL	RollingBasis	Event will have a number of acceptance and payment dates until further announcement by the Issuer or its agent.

16.1.15.63.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification of the type of distribution.

Proprietary <Prtry> contains the following elements (see "GenericIdentification47" on page 790 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		790
	Issuer <Issr>	[1..1]	Text		790
	SchemeName <SchmeNm>	[0..1]	Text		791

16.1.15.64 DividendTypeFormat10Choice

Definition: Choice between a standard code or proprietary code to specify the type of dividend.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		900
Or}	Proprietary <Prtry>	[1..1]	±		900

16.1.15.64.1 Code <Cd>*Presence:* [1..1]*Definition:* Standard code to specify the frequency of the corporate action event.*Datatype:* "CorporateActionFrequencyType5Code" on page 1124

CodeName	Name	Definition
FINL	Final	Payment of a dividend, less amounts already paid through interim dividends.
INTE	Interim	Dividend declared and paid before annual earnings have been determined.
REIN	Reinvestment	Reinvestment of cash distributed.
REGR	Regular	Dividend paid at regular interval, for example, quarterly.
SPEC	Special	Dividend in addition to the regular dividend being paid by the company.
SPRE	SpecialDividendWithReinvestment	Special Dividend with reinvestment component.

16.1.15.64.2 Proprietary <Prtry>*Presence:* [1..1]*Definition:* Proprietary identification of the frequency of the corporate action event.**Proprietary <Prtry>** contains the following elements (see "GenericIdentification47" on page 790 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		790
	Issuer <Issr>	[1..1]	Text		790
	SchemeName <SchmeNm>	[0..1]	Text		791

16.1.15.65 IdentificationFormat4Choice*Definition:* Choice between either a short, long or a proprietary identification format.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	ShortIdentification <ShrtId>	[1..1]	Text		900
Or	LongIdentification <LngId>	[1..1]	Text		901
Or}	ProprietaryIdentification <PrtryId>	[1..1]	±		901

16.1.15.65.1 ShortIdentification <ShrtId>*Presence:* [1..1]*Definition:* Format expressed as a short identification.*Datatype:* "Exact3UpperCaseAlphaNumericText" on page 1173

16.1.15.65.2 LongIdentification <Lngld>*Presence:* [1..1]*Definition:* Format expressed as a long identification.*Datatype:* "RestrictedFINXMax30Text" on page 1178**16.1.15.65.3 ProprietaryIdentification <Prtryld>***Presence:* [1..1]*Definition:* Format expressed as a proprietary identification.**ProprietaryIdentification <Prtryld>** contains the following elements (see "[GenericIdentification86](#)" on page 788 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		788
	Issuer <Issr>	[1..1]	Text		788
	SchemeName <SchmeNm>	[0..1]	Text		788

16.1.15.66 FractionDispositionType32Choice*Definition:* Choice between a standard code or a proprietary code to specify the type of fraction disposition.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		901
Or}	Proprietary <Prtry>	[1..1]	±		902

16.1.15.66.1 Code <Cd>*Presence:* [1..1]*Definition:* Standard code to specify how fractions resulting from derived securities will be processed or how prorated decisions will be rounding, if provided with a pro ration rate.*Datatype:* "FractionDispositionType9Code" on page 1142

CodeName	Name	Definition
DIST	IssueFraction	Take distribution of fractions in the form of securities.
RDDN	RoundDown	Round the entitlement down to the last full unit/minimum nominal quantity, fractions are discarded.
STAN	RoundToNearest	If the fraction is greater than or equal to 0.5 of the resulting security then round up, else round down as specified in the respective RDUP and RDDN codes.
RDUP	RoundUp	Round up to the next full unit/minimum nominal quantity at no cost.

CodeName	Name	Definition
UKWN	Unknown	Characteristics of the disposition of fractions are unknown.

16.1.15.66.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification of the type of fraction disposition.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 790 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		790
	Issuer <Issr>	[1..1]	Text		790
	SchemeName <SchmeNm>	[0..1]	Text		791

16.1.15.67 RenounceableEntitlementStatusTypeFormat4Choice

Definition: Choice between a standard code or proprietary code to specify the type of the renounceable entitlement status.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		902
Or}	Proprietary <Prtry>	[1..1]	±		902

16.1.15.67.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the renounceable status.

Datatype: "[RenounceableStatus1Code](#)" on page 1165

CodeName	Name	Definition
NREN	NonRenounceable	Intermediate securities cannot be sold.
RENO	Renounceable	Intermediate securities can be sold.

16.1.15.67.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification of the renounceable status.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 790 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		790
	Issuer <Issr>	[1..1]	Text		790
	SchemeName <SchmeNm>	[0..1]	Text		791

16.1.15.68 OptionStyle9Choice

Definition: Choice of format for the option style.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		903
Or}	Proprietary <Prtry>	[1..1]	±		903

16.1.15.68.1 Code <Cd>

Presence: [1..1]

Definition: Option style expressed as an ISO 20022 code.

Datatype: "[OptionStyle2Code](#)" on page 1155

CodeName	Name	Definition
AMER	American	Option can be exercised before or on expiry date.
EURO	European	Option that can be exercised on expiry date only.

16.1.15.68.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Option style expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 790 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		790
	Issuer <Issr>	[1..1]	Text		790
	SchemeName <SchmeNm>	[0..1]	Text		791

16.1.15.69 ClassificationType33Choice

Definition: Choice of format for the classification.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	ClassificationFinancialInstrument <ClssfctnFinInstrm>	[1..1]	IdentifierSet		904
Or}	AlternateClassification <AltrnClssfctn>	[1..1]	±		904

16.1.15.69.1 ClassificationFinancialInstrument <ClssfctnFinInstrm>

Presence: [1..1]

Definition: ISO 10962 Classification of Financial Instrument (CFI).

Datatype: "CFIOct2015Identifier" on page 1169

16.1.15.69.2 AlternateClassification <AltrnClssfctn>

Presence: [1..1]

Definition: Proprietary classification of financial instrument.

AlternateClassification <AltrnClssfctn> contains the following elements (see "GenericIdentification86" on page 788 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		788
	Issuer <Issr>	[1..1]	Text		788
	SchemeName <SchmeNm>	[0..1]	Text		788

16.1.15.70 InterestComputationMethodFormat5Choice

Definition: Choice between a standard code or proprietary code to specify the type of interest computation method.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		904
Or}	Proprietary <Prtry>	[1..1]	±		907

16.1.15.70.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the method used to compute accruing interest of a financial instrument.

Datatype: "InterestComputationMethod2Code" on page 1144

CodeName	Name	Definition
A001	IC30360ISDAor30360AmericanBasicRule	Method whereby interest is calculated based on a 30-day month and a 360-day year. Accrued interest to a value date on the last day of a month shall be the same as to the 30th calendar day of the same month, except for February, and provided that the interest period started on a 30th or a 31st. This means that a

CodeName	Name	Definition
		31st is assumed to be a 30th if the period started on a 30th or a 31st and the 28 Feb (or 29 Feb for a leap year) is assumed to be the 28th (or 29th). This is the most commonly used 30/360 method for US straight and convertible bonds.
A002	IC30365	Method whereby interest is calculated based on a 30-day month in a way similar to the 30/360 (basic rule) and a 365-day year. Accrued interest to a value date on the last day of a month shall be the same as to the 30th calendar day of the same month, except for February. This means that a 31st is assumed to be the 30th and the 28 Feb (or 29 Feb for a leap year) is assumed to be the 28th (or 29th).
A003	IC30Actual	Method whereby interest is calculated based on a 30-day month in a way similar to the 30/360 (basic rule) and the assumed number of days in a year in a way similar to the Actual/Actual (ICMA). Accrued interest to a value date on the last day of a month shall be the same as to the 30th calendar day of the same month, except for February. This means that the 31st is assumed to be the 30th and 28 Feb (or 29 Feb for a leap year) is assumed to be the 28th (or 29th). The assumed number of days in a year is computed as the actual number of days in the coupon period multiplied by the number of interest payments in the year.
A004	Actual360	Method whereby interest is calculated based on the actual number of accrued days in the interest period and a 360-day year.
A005	Actual365Fixed	Method whereby interest is calculated based on the actual number of accrued days in the interest period and a 365-day year.
A006	ActualActualICMA	Method whereby interest is calculated based on the actual number of accrued days and the assumed number of days in a year, that is, the actual number of days in the coupon period multiplied by the number of interest payments in the year. If the coupon period is irregular (first or last coupon), it is extended or split into quasi-interest periods that have the length of a regular coupon period and the computation is operated separately on each quasi-interest period and the intermediate results are summed up.
A007	IC30E360orEuroBondBasismodel1	Method whereby interest is calculated based on a 30-day month and a 360-day year. Accrued interest to a value date on the last day of a month shall be the same as to the 30th calendar day of the

CodeName	Name	Definition
		same month. This means that the 31st is assumed to be the 30th and the 28 Feb (or 29 Feb for a leap year) is assumed to be equivalent to 30 Feb. However, if the last day of the maturity coupon period is the last day of February, it will not be assumed to be the 30th. It is a variation of the 30/360 (ICMA) method commonly used for eurobonds. The usage of this variation is only relevant when the coupon periods are scheduled to end on the last day of the month.
A008	ActualActualISDA	Method whereby interest is calculated based on the actual number of accrued days of the interest period that fall (falling on a normal year, year) divided by 365, added to the actual number of days of the interest period that fall (falling on a leap year, year) divided by 366.
A009	Actual365LorActuActubasisRule	Method whereby interest is calculated based on the actual number of accrued days and a 365-day year (if the coupon payment date is NOT in a leap year) or a 366-day year (if the coupon payment date is in a leap year).
A010	ActualActualAFB	Method whereby interest is calculated based on the actual number of accrued days and a 366-day year (if 29 Feb falls in the coupon period) or a 365-day year (if 29 Feb does not fall in the coupon period). If a coupon period is longer than one year, it is split by repetitively separating full year subperiods counting backwards from the end of the coupon period (a year backwards from 28 Feb being 29 Feb, if it exists). The first of the subperiods starts on the start date of the accrued interest period and thus is possibly shorter than a year. Then the interest computation is operated separately on each subperiod and the intermediate results are summed up.
A011	IC30360ICMAor30360basicrule	Method whereby interest is calculated based on a 30-day month and a 360-day year. Accrued interest to a value date on the last day of a month shall be the same as to the 30th calendar day of the same month, except for February. This means that the 31st is assumed to be the 30th and 28 Feb (or 29 Feb for a leap year) is assumed to be the 28th (or 29th). It is the most commonly used 30/360 method for non-US straight and convertible bonds issued before 1 January 1999.
A012	IC30E2360orEurobondbasismodel2	Method whereby interest is calculated based on a 30-day month and a 360-day year. Accrued interest to a value date on the last day of a month shall be the same as to the 30th calendar day of the

CodeName	Name	Definition
		same month, except for the last day of February whose day of the month value shall be adapted to the value of the first day of the interest period if the latter is higher and if the period is one of a regular schedule. This means that the 31st is assumed to be the 30th and 28 Feb of a non-leap year is assumed to be equivalent to 29 Feb when the first day of the interest period is the 29th, or to 30 Feb when the first day of the interest period is the 30th or the 31st. The 29th day of February in a leap year is assumed to be equivalent to 30 Feb when the first day of the interest period is the 30th or the 31st. Similarly, if the coupon period starts on the last day of February, it is assumed to produce only one day of interest in February as if it was starting on 30 Feb when the end of the period is the 30th or the 31st, or two days of interest in February when the end of the period is the 29th, or three days of interest in February when it is 28 Feb of a non-leap year and the end of the period is before the 29th.
A013	IC30E3360orEurobondbasismodel3	Method whereby interest is calculated based on a 30-day month and a 360-day year. Accrued interest to a value date on the last day of a month shall be the same as to the 30th calendar day of the same month. This means that the 31st is assumed to be the 30th and 28 Feb (or 29 Feb for a leap year) is assumed to be equivalent to 30 Feb. It is a variation of the 30E/360 (or Eurobond basis) method where the last day of February is always assumed to be the 30th, even if it is the last day of the maturity coupon period.
A014	Actual365NL	Method whereby interest is calculated based on the actual number of accrued days in the interest period, excluding any leap day from the count, and a 365-day year.
NARR	Narrative	Other method than A001-A020. See Narrative.

16.1.15.70.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification of the format of interest computation method.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 790 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		790
	Issuer <Issr>	[1..1]	Text		790
	SchemeName <SchmeNm>	[0..1]	Text		791

16.1.15.71 CorporateActionMandatoryVoluntary4Choice

Definition: Choice between a standard code or a proprietary code to indicate if a corporate action event is mandatory or not.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		908
Or}	Proprietary <Prtry>	[1..1]	±		908

16.1.15.71.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify whether the event is mandatory, mandatory with options or voluntary.

Datatype: "[CorporateActionMandatoryVoluntary1Code](#)" on page 1125

CodeName	Name	Definition
MAND	Mandatory	Participation in CA event is mandatory. Further instructions from the account owner are not required.
CHOS	MandatoryWithOptions	Participation in the corporate action is mandatory and further instructions from the account owner are required, unless a default option has been specified.
VOLU	Voluntary	Participation in the corporate action is voluntary. If the owner wishes to take part in the event, instructions from the account owner are required.

16.1.15.71.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification of an event.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 790 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		790
	Issuer <Issr>	[1..1]	Text		790
	SchemeName <SchmeNm>	[0..1]	Text		791

16.1.15.72 CorporateActionEventProcessingType3Choice

Definition: Choice between a standard code or a proprietary code for specifying the processing type of a corporate action event.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		909
Or}	Proprietary <Prtry>	[1..1]	±		909

16.1.15.72.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the processing type of a corporate action event.

Datatype: "[CorporateActionEventProcessingType1Code](#)" on page 1099

CodeName	Name	Definition
GENL	General	No debit or credit of resources.
DISN	Distribution	Holder of the relevant security on a certain date, for example, the record date, will receive a benefit without giving up the underlying security.
REOR	Reorganisation	Underlying security will be debited and may be replaced by another resource (or resources).

16.1.15.72.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification of the processing type of a corporate action event.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 790 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		790
	Issuer <Issr>	[1..1]	Text		790
	SchemeName <SchmeNm>	[0..1]	Text		791

16.1.15.73 CorporateActionEventReference4

Definition: Identification of a linked corporate action event.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	EventIdentification <EvtId>	[1..1]			910
{Or	LinkedOfficialCorporateActionEventIdentification <LkdOffclCorpActnEvtId>	[1..1]	Text		910
Or}	LinkedCorporateActionIdentification <LkdCorpActnId>	[1..1]	Text		910
	LinkageType <LkgTp>	[0..1]	±	C78	910

16.1.15.73.1 EventIdentification <EvtId>

Presence: [1..1]

Definition: Identification of the linked corporate action event.

EventIdentification <EvtId> contains one of the following **CorporateActionEventReference4Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	LinkedOfficialCorporateActionEventIdentification <LkdOffclCorpActnEvtId>	[1..1]	Text		910
Or}	LinkedCorporateActionIdentification <LkdCorpActnId>	[1..1]	Text		910

16.1.15.73.1.1 LinkedOfficialCorporateActionEventIdentification <LkdOffclCorpActnEvtId>

Presence: [1..1]

Definition: Official and unique reference assigned by the official central body/ entity within each market at the beginning of a corporate action event.

Datatype: "RestrictedFINXMax16Text" on page 1177

16.1.15.73.1.2 LinkedCorporateActionIdentification <LkdCorpActnId>

Presence: [1..1]

Definition: Reference assigned by the account servicer to unambiguously identify a related corporate action event.

Datatype: "RestrictedFINXMax16Text" on page 1177

16.1.15.73.2 LinkageType <LkgTp>

Presence: [0..1]

Definition: Specifies when this corporate action event is to be processed relative to a linked corporate action event.

Impacted by: C78 "WithLinkageRule"

LinkageType <LkgTp> contains one of the following elements (see "ProcessingPosition10Choice" on page 857 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		857
Or}	Proprietary <Prtry>	[1..1]	±		858

Constraints

- **WithLinkageRule**

If Code WITH is used, then the one or more instruction which are linked become bound and which must be executed together. Even if one single transactions/instructions/notifications can not be executed, then all the other transactions/instructions/notifications must also be kept pending. Therefore the use of code WITH must be limited to combine up to 2 or 3 transactions/instructions/notifications.

16.1.15.74 CorporateActionProcessingStatus6Choice

Definition: Specifies the status of the details of the corporate action event.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]			911
	EventCompletenessStatus <EvtCmpltnsSts>	[1..1]	CodeSet		911
	EventConfirmationStatus <EvtConfSts>	[1..1]	CodeSet		912
Or}	Proprietary <Prtry>	[1..1]	±		912

16.1.15.74.1 Code <Cd>

Presence: [1..1]

Definition: Specifies the status of the details of the corporate action event.

Code <Cd> contains the following **CorporateActionEventStatus1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	EventCompletenessStatus <EvtCmpltnsSts>	[1..1]	CodeSet		911
	EventConfirmationStatus <EvtConfSts>	[1..1]	CodeSet		912

16.1.15.74.1.1 EventCompletenessStatus <EvtCmpltnsSts>

Presence: [1..1]

Definition: Indicates whether the details provided about an event are complete or incomplete.

Datatype: "EventCompletenessStatus1Code" on page 1138

CodeName	Name	Definition
COMP	Complete	Event details are complete.
INCO	Incomplete	Event details are not complete.

16.1.15.74.1.2 EventConfirmationStatus <EvtConfSts>*Presence:* [1..1]*Definition:* Indicates the status of the occurrence of an event.*Datatype:* "EventConfirmationStatus1Code" on page 1138

CodeName	Name	Definition
CONF	Confirmed	Occurrence of the event has been confirmed.
UCON	Unconfirmed	Occurrence of the event has not been confirmed.

16.1.15.74.2 Proprietary <Prtry>*Presence:* [1..1]*Definition:* Information related to an identification, for example, party identification or account identification.**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification47](#)" on page 790 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		790
	Issuer <Issr>	[1..1]	Text		790
	SchemeName <SchmeNm>	[0..1]	Text		791

16.1.15.75 IssuerOfferorTaxabilityIndicator1Choice*Definition:* Choice between a standard code or proprietary code to specify the issuer / offeror taxability status.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		912
Or}	Proprietary <Prtry>	[1..1]	±		912

16.1.15.75.1 Code <Cd>*Presence:* [1..1]*Definition:* Standard code to specify information regarding the issuer / offeror taxability status.*Datatype:* "IssuerTaxability2Code" on page 1148

CodeName	Name	Definition
TXBL	Taxable	The relevant proceeds are taxable.

16.1.15.75.2 Proprietary <Prtry>*Presence:* [1..1]*Definition:* Proprietary identification to specify information regarding the issuer / offeror taxability status.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 790 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		790
	Issuer <Issr>	[1..1]	Text		790
	SchemeName <SchmeNm>	[0..1]	Text		791

16.1.15.76 CorporateActionPreliminaryAdviceType2

Definition: Type of movement preliminary advice document.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Type <Tp>	[1..1]	CodeSet		913
	EligibilityIndicator <ElgbltyInd>	[0..1]	Indicator		913

16.1.15.76.1 Type <Tp>

Presence: [1..1]

Definition: Type of movement preliminary advice, for example. new or replacement.

Datatype: "[CorporateActionPreliminaryAdviceType1Code](#)" on page 1133

CodeName	Name	Definition
NEWM	New	New movement preliminary advice.
REPL	Replacement	Movement preliminary advice replacing a previously sent preliminary advice.

16.1.15.76.2 EligibilityIndicator <ElgbltyInd>

Presence: [0..1]

Definition: Indicates whether the movement preliminary advice is sent after entitlement date.

Value is Yes (true) if sent after entitlement date and No (false) if sent before entitlement date.

Datatype: One of the following values must be used (see "[YesNoIndicator](#)" on page 1171):

- *Meaning When True:* Yes
- *Meaning When False:* No

16.1.15.77 RateFormat12Choice

Definition: Choice between a rate or an unspecified rate.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		914
Or}	NotSpecifiedRate <NotSpctdRate>	[1..1]	CodeSet		914

16.1.15.77.1 Rate <Rate>*Presence:* [1..1]*Definition:* Value is expressed as a rate.*Datatype:* "BaseOne14Rate" on page 1172**16.1.15.77.2 NotSpecifiedRate <NotSpcfdRate>***Presence:* [1..1]*Definition:* Value of the rate not specified.*Datatype:* "RateType5Code" on page 1161

CodeName	Name	Definition
UKWN	Unknown	Rate is unknown by the sender or has not been established.

16.1.15.78 UpdatedAdditionalInformation6*Definition:* Additional information with update description and date.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	UpdateDescription <UpdDesc>	[0..1]	Text		914
	UpdateDate <UpdDt>	[0..1]	Date		914
	AdditionalInformation <AddtlInf>	[1..1]	Text		914

16.1.15.78.1 UpdateDescription <UpdDesc>*Presence:* [0..1]*Definition:* Specifies the amendments made to the narrative since the last message.*Datatype:* "RestrictedFINXMax140Text" on page 1177**16.1.15.78.2 UpdateDate <UpdDt>***Presence:* [0..1]*Definition:* Specifies the date at which the narrative has been updated.*Datatype:* "ISODate" on page 1168**16.1.15.78.3 AdditionalInformation <AddtlInf>***Presence:* [1..1]*Definition:* Provides additional textual information.*Datatype:* "RestrictedFINXMax350Text" on page 1179**16.1.15.79 UpdatedAdditionalInformation5***Definition:* Additional information with update description and date.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	UpdateDescription <UpdDesc>	[0..1]	Text		915
	UpdateDate <UpdDt>	[0..1]	Date		915
	AdditionalInformation <AddtlInf>	[1..*]	Text		915

16.1.15.79.1 UpdateDescription <UpdDesc>

Presence: [0..1]

Definition: Specifies the amendments made to the narrative since the last message.

Datatype: "RestrictedFINXMax140Text" on page 1177

16.1.15.79.2 UpdateDate <UpdDt>

Presence: [0..1]

Definition: Specifies the date at which the narrative has been updated.

Datatype: "ISODate" on page 1168

16.1.15.79.3 AdditionalInformation <AddtlInf>

Presence: [1..*]

Definition: Provides additional textual information.

Datatype: "RestrictedFINXMax350Text" on page 1179

16.1.15.80 UpdatedAdditionalInformation1

Definition: Additional information with update description and date.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	UpdateDescription <UpdDesc>	[0..1]	Text		915
	UpdateDate <UpdDt>	[0..1]	Date		915
	AdditionalInformation <AddtlInf>	[1..*]	Text		916

16.1.15.80.1 UpdateDescription <UpdDesc>

Presence: [0..1]

Definition: Specifies the amendments made to the narrative since the last message.

Datatype: "Max140Text" on page 1174

16.1.15.80.2 UpdateDate <UpdDt>

Presence: [0..1]

Definition: Specifies the date at which the narrative has been updated.

Datatype: "ISODate" on page 1168

16.1.15.80.3 AdditionalInformation <AddtlInf>*Presence:* [1..*]*Definition:* Provides additional textual information.*Datatype:* "Max350Text" on page 1174**16.1.15.81 SupplementaryData1***Definition:* Additional information that can not be captured in the structured fields and/or any other specific block.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PlaceAndName <PlcAndNm>	[0..1]	Text		916
	Envelope <Envlp>	[1..1]	(External Schema)		916

Constraints

- **SupplementaryDataRule**

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

16.1.15.81.1 PlaceAndName <PlcAndNm>*Presence:* [0..1]*Definition:* Unambiguous reference to the location where the supplementary data must be inserted in the message instance.

In the case of XML, this is expressed by a valid XPath.

Datatype: "Max350Text" on page 1174**16.1.15.81.2 Envelope <Envlp>***Presence:* [1..1]*Definition:* Technical element wrapping the supplementary data.*Type:* (External Schema)

Technical component that contains the validated supplementary data information. This technical envelope allows to segregate the supplementary data information from any other information.

16.1.15.82 OptionNumber1Choice*Definition:* Choice between an number and an option number in a code format.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Number <Nb>	[1..1]	Text		916
Or}	Code <Cd>	[1..1]	CodeSet		917

16.1.15.82.1 Number <Nb>*Presence:* [1..1]

Definition: Number identifying the available corporate action options.

Datatype: "Exact3NumericText" on page 1173

16.1.15.82.2 Code <Cd>

Presence: [1..1]

Definition: Code identifying special corporate action option numbers.

Datatype: "OptionNumber1Code" on page 1155

CodeName	Name	Definition
UNSO	Unsolicited	The corporate action instruction is unsolicited, that is, the instruction has not been preceded by a corporate action notification.

16.1.16 Organisation Identification

16.1.16.1 OrganisationIdentification29

Definition: Unique and unambiguous way to identify an organisation.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AnyBIC <AnyBIC>	[0..1]	IdentifierSet	C1	917
	LEI <LEI>	[0..1]	IdentifierSet		918
	Other <Othr>	[0..*]			918
	Identification <Id>	[1..1]	Text		918
	SchemeName <SchmeNm>	[0..1]			918
{Or	Code <Cd>	[1..1]	CodeSet		918
Or}	Proprietary <Prtry>	[1..1]	Text		919
	Issuer </ssr>	[0..1]	Text		919

16.1.16.1.1 AnyBIC <AnyBIC>

Presence: [0..1]

Definition: Business identification code of the organisation.

Impacted by: C1 "AnyBIC"

Datatype: "AnyBICDec2014Identifier" on page 1169

Constraints

- **AnyBIC**

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

16.1.16.1.2 LEI <LEI>

Presence: [0..1]

Definition: Legal entity identification as an alternate identification for a party.

Datatype: "LEIIdentifier" on page 1170

16.1.16.1.3 Other <Othr>

Presence: [0..*]

Definition: Unique identification of an organisation, as assigned by an institution, using an identification scheme.

Other <Othr> contains the following **GenericOrganisationIdentification1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		918
	SchemeName <SchmeNm>	[0..1]			918
{Or	Code <Cd>	[1..1]	CodeSet		918
Or}	Proprietary <Prtry>	[1..1]	Text		919
	Issuer <Issr>	[0..1]	Text		919

16.1.16.1.3.1 Identification <Id>

Presence: [1..1]

Definition: Identification assigned by an institution.

Datatype: "Max35Text" on page 1174

16.1.16.1.3.2 SchemeName <SchmeNm>

Presence: [0..1]

Definition: Name of the identification scheme.

SchemeName <SchmeNm> contains one of the following **OrganisationIdentificationSchemeName1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		918
Or}	Proprietary <Prtry>	[1..1]	Text		919

16.1.16.1.3.2.1 Code <Cd>

Presence: [1..1]

Definition: Name of the identification scheme, in a coded form as published in an external list.

Datatype: "ExternalOrganisationIdentification1Code" on page 1140

16.1.16.1.3.2.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Name of the identification scheme, in a free text form.

Datatype: "Max35Text" on page 1174

16.1.16.1.3.3 Issuer <Issr>

Presence: [0..1]

Definition: Entity that assigns the identification.

Datatype: "Max35Text" on page 1174

16.1.17 Party Identification

16.1.17.1 AlternatePartyIdentification7

Definition: Alternate identification for a party using an identification type, a country code and a text field.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	IdentificationType <IdTp>	[1..1]	±		919
	Country <Ctry>	[1..1]	CodeSet	C3	919
	AlternateIdentification <AltrnId>	[1..1]	Text		920

16.1.17.1.1 IdentificationType <IdTp>

Presence: [1..1]

Definition: Specifies the type of alternate identification of the party identified.

IdentificationType <IdTp> contains one of the following elements (see "IdentificationType42Choice" on page 787 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		787
Or}	Proprietary <Prtry>	[1..1]	±		787

16.1.17.1.2 Country <Ctry>

Presence: [1..1]

Definition: Country in which a person resides (the place of a person's home). In the case of a company, it is the country from which the affairs of that company are directed.

Impacted by: C3 "Country"

Datatype: "CountryCode" on page 1135

Constraints

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

16.1.17.1.3 Alternateldidentification <Altrnld>

Presence: [1..1]

Definition: Alternate identification for a party.

Datatype: "Max35Text" on page 1174

16.1.17.2 PartyIdentification234Choice

Definition: Choice between different formats for the identification of a party.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	920
Or	ProprietaryIdentification <Prtryld>	[1..1]	±		920
Or	NameAndAddress <NmAndAdr>	[1..1]	±		921
Or}	IndividualOwnerIdentification <IndvOwnrld>	[1..1]	±		921

16.1.17.2.1 AnyBIC <AnyBIC>

Presence: [1..1]

Definition: Code allocated to a financial or non-financial institution by the ISO 9362 Registration Authority, as described in ISO 9362 "Banking - Banking telecommunication messages - Business identifier code (BIC)".

Impacted by: C1 "AnyBIC"

Datatype: "AnyBICDec2014Identifier" on page 1169

Constraints

- **AnyBIC**

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

16.1.17.2.2 ProprietaryIdentification <Prtryld>

Presence: [1..1]

Definition: Unique and unambiguous identifier, as assigned to a financial institution using a proprietary identification scheme.

ProprietaryIdentification <PrtryId> contains the following elements (see "[GenericIdentification84](#)" on page 789 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		789
	Issuer <Issr>	[1..1]	Text		789
	SchemeName <SchmeNm>	[0..1]	Text		789

16.1.17.2.3 NameAndAddress <NmAndAdr>

Presence: [1..1]

Definition: Name and address of a party.

NameAndAddress <NmAndAdr> contains the following elements (see "[NameAndAddress12](#)" on page 942 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[1..1]	Text		942

16.1.17.2.4 IndividualOwnerIdentification <IndvOwnrId>

Presence: [1..1]

Definition: Individual local country specific identification of the owner agreed between the account servicer and account owner.

IndividualOwnerIdentification <IndvOwnrId> contains the following elements (see "[AlternatePartyIdentification7](#)" on page 919 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	IdentificationType <IdTp>	[1..1]	±		919
	Country <Ctry>	[1..1]	CodeSet	C3	919
	AlternateIdentification <AltrnId>	[1..1]	Text		920

16.1.17.3 PartyIdentificationAndAccount205

Definition: Provides information about identification and account of the party.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		922
	SafekeepingAccount <SfkpgAcct>	[0..1]	Text		922
	BlockchainAddressOrWallet <BlckChainAdrOrWllt>	[0..1]	Text		922
	ProcessingIdentification <PrctlId>	[0..1]	Text		923
	AlternateIdentification <AltrnId>	[0..*]	±		923

Constraints

- **AdditionalInformationRule**

The AdditionalInformation field in all elements must not contain information that can be provided in a structured field unless bilaterally agreed or advised differently in the element definition as in NarrativeVersion.

- **SafekeepingAccountOrBlockChainAddress1Rule**

Either SafekeepingAccount or BlockChainAddressOrWallet must be present but not both.

Following Must be True

/SafekeepingAccount Must be present

Or /BlockChainAddressOrWallet Must be present

- **SafekeepingAccountOrBlockChainAddress2Rule**

If BlockChainAddressOrWallet is present then SafekeepingAccount must be absent.

On Condition

/BlockChainAddressOrWallet is present

Following Must be True

/SafekeepingAccount Must be absent

- **SafekeepingAccountOrBlockChainAddress3Rule**

If SafekeepingAccount is present, then BlockChainAddressOrWallet must be absent.

On Condition

/SafekeepingAccount is present

Following Must be True

/BlockChainAddressOrWallet Must be absent

16.1.17.3.1 Identification <Id>

Presence: [1..1]

Definition: Identification of a party.

Identification <Id> contains one of the following elements (see "[PartyIdentification137Choice](#)" on page 929 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	929
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		929
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		930

16.1.17.3.2 SafekeepingAccount <SfkpgAcct>

Presence: [0..1]

Definition: Account where financial instruments are maintained.

Datatype: "[RestrictedFINXMax35Text](#)" on page 1180

16.1.17.3.3 BlockChainAddressOrWallet <BlckChainAdrOrWllt>

Presence: [0..1]

Definition: Blockchain address or wallet where digital assets are maintained. This is the equivalent of safekeeping account for digital assets.

Datatype: ["RestrictedFINXMax140Text" on page 1177](#)

16.1.17.3.4 ProcessingIdentification <Prcgld>

Presence: [0..1]

Definition: Reference meaningful to the party identified.

Datatype: ["RestrictedFINXMax16Text" on page 1177](#)

16.1.17.3.5 AlternatIdentification <Altrnld>

Presence: [0..*]

Definition: Alternate identification for a party.

AlternatIdentification <Altrnld> contains the following elements (see ["AlternatePartyIdentification9" on page 934](#) for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	IdentificationType <IdTp>	[1..1]			935
{Or	Code <Cd>	[1..1]	CodeSet		935
Or}	Proprietary <Prtry>	[1..1]	±		935
	Country <Ctry>	[1..1]	CodeSet	C3	936
	AlternatIdentification <Altrnld>	[1..1]	Text		936

16.1.17.4 PartyIdentificationAndAccount175

Definition: Provides information about identification and account of the party.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]			924
{Or	BICFI <BICFI>	[1..1]	IdentifierSet	C2	924
Or	NameAndAddress <NmAndAdr>	[1..1]	±		924
Or}	ProprietaryIdentification <Prtryld>	[1..1]	±		924
	CashAccount <CshAcct>	[0..1]	±		925
	ProcessingIdentification <Prcgld>	[0..1]	Text		925
	AlternatIdentification <Altrnld>	[0..1]	±		925

Constraints

- **AdditionalInformationRule**

The AdditionalInformation field in all elements must not contain information that can be provided in a structured field unless bilaterally agreed or advised differently in the element definition as in NarrativeVersion.

16.1.17.4.1 Identification <Id>

Presence: [1..1]

Definition: Identification of a party.

Identification <Id> contains one of the following **PartyIdentification147Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	BICFI <BICFI>	[1..1]	IdentifierSet	C2	924
Or	NameAndAddress <NmAndAdr>	[1..1]	±		924
Or}	ProprietaryIdentification <PrtryId>	[1..1]	±		924

16.1.17.4.1.1 BICFI <BICFI>

Presence: [1..1]

Definition: Code allocated to a financial institution by the ISO 9362 Registration Authority, as described in ISO 9362 "Banking - Banking telecommunication messages - Business identifier code (BIC)".

Impacted by: C2 "BICFI"

Datatype: "BICFIDec2014Identifier" on page 1169

Constraints

- **BICFI**

Valid BICs for financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consist of eight (8) or eleven (11) contiguous characters.

16.1.17.4.1.2 NameAndAddress <NmAndAdr>

Presence: [1..1]

Definition: Name by which a party is known and which is usually used to identify that party.

NameAndAddress <NmAndAdr> contains the following elements (see "NameAndAddress12" on page 942 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[1..1]	Text		942

16.1.17.4.1.3 ProprietaryIdentification <PrtryId>

Presence: [1..1]

Definition: Unique and unambiguous identifier, as assigned to a financial institution using a proprietary identification scheme.

ProprietaryIdentification <PrtryId> contains the following elements (see "[GenericIdentification84](#)" on page 789 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		789
	Issuer <Issr>	[1..1]	Text		789
	SchemeName <SchmeNm>	[0..1]	Text		789

16.1.17.4.2 CashAccount <CshAcct>

Presence: [0..1]

Definition: Account in which cash is maintained.

CashAccount <CshAcct> contains one of the following elements (see "[CashAccountIdentification6Choice](#)" on page 712 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	IBAN <IBAN>	[1..1]	IdentifierSet	C33	712
Or}	Proprietary <Prtry>	[1..1]	Text		713

16.1.17.4.3 ProcessingIdentification <PrcgId>

Presence: [0..1]

Definition: Reference meaningful to the party identified.

Datatype: "[RestrictedFINXMax16Text](#)" on page 1177

16.1.17.4.4 AlternateIdentification <AltrnId>

Presence: [0..1]

Definition: Alternate identification for a party.

AlternateIdentification <AltrnId> contains the following elements (see "[AlternatePartyIdentification9](#)" on page 934 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	IdentificationType <IdTp>	[1..1]			935
{Or	Code <Cd>	[1..1]	CodeSet		935
Or}	Proprietary <Prtry>	[1..1]	±		935
	Country <Ctry>	[1..1]	CodeSet	C3	936
	AlternateIdentification <AltrnId>	[1..1]	Text		936

16.1.17.5 PartyIdentificationAndAccount174

Definition: Provides information about identification and account of the party.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		926
	CashAccount <CshAcct>	[0..1]	±		926
	ProcessingIdentification <PrcgId>	[0..1]	Text		926
	AlternateIdentification <AltrnId>	[0..1]	±		927

Constraints

- **AdditionalInformationRule**

The AdditionalInformation field in all elements must not contain information that can be provided in a structured field unless bilaterally agreed or advised differently in the element definition as in NarrativeVersion.

16.1.17.5.1 Identification <Id>

Presence: [1..1]

Definition: Identification of a party.

Identification <Id> contains one of the following elements (see "PartyIdentification137Choice" on page 929 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	929
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		929
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		930

16.1.17.5.2 CashAccount <CshAcct>

Presence: [0..1]

Definition: Account in which cash is maintained.

CashAccount <CshAcct> contains one of the following elements (see "CashAccountIdentification6Choice" on page 712 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	IBAN <IBAN>	[1..1]	IdentifierSet	C33	712
Or}	Proprietary <Prtry>	[1..1]	Text		713

16.1.17.5.3 ProcessingIdentification <PrcgId>

Presence: [0..1]

Definition: Reference meaningful to the party identified.

Datatype: "RestrictedFINXMax16Text" on page 1177

16.1.17.5.4 Alternateldidentification <Altrnld>*Presence:* [0..1]*Definition:* Alternate identification for a party.**Alternateldidentification <Altrnld>** contains the following elements (see "[AlternatePartyIdentification9](#)" on page 934 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	IdentificationType <IdTp>	[1..1]			935
{Or	Code <Cd>	[1..1]	CodeSet		935
Or}	Proprietary <Prtry>	[1..1]	±		935
	Country <Ctry>	[1..1]	CodeSet	C3	936
	Alternateldidentification <Altrnld>	[1..1]	Text		936

16.1.17.6 PartyIdentification151Choice*Definition:* Choice between different formats for the identification of a party.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	927
Or	ProprietaryIdentification <Prtryld>	[1..1]	±		927
Or	NameAndAddress <NmAndAdr>	[1..1]	±		928
Or}	LEI <LEI>	[1..1]	IdentifierSet		928

16.1.17.6.1 AnyBIC <AnyBIC>*Presence:* [1..1]*Definition:* Code allocated to a financial or non-financial institution by the ISO 9362 Registration Authority, as described in ISO 9362 "Banking - Banking telecommunication messages - Business identifier code (BIC)".*Impacted by:* C1 "AnyBIC"*Datatype:* "[AnyBICDec2014Identifier](#)" on page 1169**Constraints**

- **AnyBIC**

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

16.1.17.6.2 ProprietaryIdentification <Prtryld>*Presence:* [1..1]*Definition:* Unique and unambiguous identifier, as assigned to a financial institution using a proprietary identification scheme.

ProprietaryIdentification <PrtryId> contains the following elements (see "[GenericIdentification84](#)" on page 789 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		789
	Issuer <Issr>	[1..1]	Text		789
	SchemeName <SchmeNm>	[0..1]	Text		789

16.1.17.6.3 NameAndAddress <NmAndAdr>

Presence: [1..1]

Definition: Name and address of a party.

NameAndAddress <NmAndAdr> contains the following elements (see "[NameAndAddress12](#)" on page 942 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[1..1]	Text		942

16.1.17.6.4 LEI <LEI>

Presence: [1..1]

Definition: Legal entity identification of the party.

Datatype: "[LEIIdentifier](#)" on page 1170

16.1.17.7 PartyIdentification136Choice

Definition: Choice of identification of a party.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	928
Or}	ProprietaryIdentification <PrtryId>	[1..1]	±		929

16.1.17.7.1 AnyBIC <AnyBIC>

Presence: [1..1]

Definition: Code allocated to a financial or non-financial institution by the ISO 9362 Registration Authority, as described in ISO 9362 "Banking - Banking telecommunication messages - Business identifier code (BIC)".

Impacted by: C1 "AnyBIC"

Datatype: "[AnyBICDec2014Identifier](#)" on page 1169

Constraints

- **AnyBIC**

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

16.1.17.7.2 ProprietaryIdentification <PrtryId>

Presence: [1..1]

Definition: Unique and unambiguous identifier, as assigned to a financial institution using a proprietary identification scheme.

ProprietaryIdentification <PrtryId> contains the following elements (see "[GenericIdentification84](#)" on page 789 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		789
	Issuer <Issr>	[1..1]	Text		789
	SchemeName <SchmeNm>	[0..1]	Text		789

16.1.17.8 PartyIdentification137Choice

Definition: Choice between different formats for the identification of a party.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	929
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		929
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		930

16.1.17.8.1 AnyBIC <AnyBIC>

Presence: [1..1]

Definition: Code allocated to a financial or non-financial institution by the ISO 9362 Registration Authority, as described in ISO 9362 "Banking - Banking telecommunication messages - Business identifier code (BIC)".

Impacted by: C1 "AnyBIC"

Datatype: "AnyBICDec2014Identifier" on page 1169

Constraints

- **AnyBIC**

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

16.1.17.8.2 ProprietaryIdentification <PrtryId>

Presence: [1..1]

Definition: Unique and unambiguous identifier, as assigned to a financial institution using a proprietary identification scheme.

ProprietaryIdentification <PrtryId> contains the following elements (see "[GenericIdentification84](#)" on page 789 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		789
	Issuer <Issr>	[1..1]	Text		789
	SchemeName <SchmeNm>	[0..1]	Text		789

16.1.17.8.3 NameAndAddress <NmAndAdr>

Presence: [1..1]

Definition: Name and address of a party.

NameAndAddress <NmAndAdr> contains the following elements (see "[NameAndAddress12](#)" on page 942 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[1..1]	Text		942

16.1.17.9 Party38Choice

Definition: Nature or use of the account.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	OrganisationIdentification <OrgId>	[1..1]	±		930
Or}	PrivateIdentification <PrvtId>	[1..1]	±		931

16.1.17.9.1 OrganisationIdentification <OrgId>

Presence: [1..1]

Definition: Unique and unambiguous way to identify an organisation.

OrganisationIdentification <OrgId> contains the following elements (see "[OrganisationIdentification29](#)" on page 917 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AnyBIC <AnyBIC>	[0..1]	IdentifierSet	C1	917
	LEI <LEI>	[0..1]	IdentifierSet		918
	Other <Othr>	[0..*]			918
	Identification </d>	[1..1]	Text		918
	SchemeName <SchmeNm>	[0..1]			918
{Or	Code <Cd>	[1..1]	CodeSet		918
Or}	Proprietary <Prtry>	[1..1]	Text		919
	Issuer </ssr>	[0..1]	Text		919

16.1.17.9.2 PrivateIdentification <PrvtId>

Presence: [1..1]

Definition: Unique and unambiguous identification of a person, for example a passport.

PrivateIdentification <PrvtId> contains the following elements (see "[PersonIdentification13](#)" on page 936 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	DateAndPlaceOfBirth <DtAndPlcOfBirth>	[0..1]			937
	BirthDate <BirthDt>	[1..1]	Date		937
	ProvinceOfBirth <PrvcOfBirth>	[0..1]	Text		937
	CityOfBirth <CityOfBirth>	[1..1]	Text		937
	CountryOfBirth <CtryOfBirth>	[1..1]	CodeSet	C3	938
	Other <Othr>	[0..*]			938
	Identification </d>	[1..1]	Text		938
	SchemeName <SchmeNm>	[0..1]			938
{Or	Code <Cd>	[1..1]	CodeSet		939
Or}	Proprietary <Prtry>	[1..1]	Text		939
	Issuer </ssr>	[0..1]	Text		939

16.1.17.10 PartyIdentification135

Definition: Specifies the identification of a person or an organisation.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[0..1]	Text		932
	PostalAddress <PstlAdr>	[0..1]	±		932
	Identification <Id>	[0..1]	±		933
	CountryOfResidence <CtryOfRes>	[0..1]	CodeSet	C3	933
	ContactDetails <CtctDtls>	[0..1]	±		934

16.1.17.10.1 Name <Nm>

Presence: [0..1]

Definition: Name by which a party is known and which is usually used to identify that party.

Datatype: "Max140Text" on page 1174

16.1.17.10.2 PostalAddress <PstlAdr>

Presence: [0..1]

Definition: Information that locates and identifies a specific address, as defined by postal services.

PostalAddress <PstIAdr> contains the following elements (see "[PostalAddress24](#)" on page 942 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AddressType <AdrTp>	[0..1]			943
{Or	Code <Cd>	[1..1]	CodeSet		943
Or}	Proprietary <Prtry>	[1..1]	±		944
	Department <Dept>	[0..1]	Text		944
	SubDepartment <SubDept>	[0..1]	Text		944
	StreetName <StrtNm>	[0..1]	Text		944
	BuildingNumber <BldgNb>	[0..1]	Text		944
	BuildingName <BldgNm>	[0..1]	Text		945
	Floor <Flr>	[0..1]	Text		945
	PostBox <PstBx>	[0..1]	Text		945
	Room <Room>	[0..1]	Text		945
	PostCode <PstCd>	[0..1]	Text		945
	TownName <TwnNm>	[0..1]	Text		945
	TownLocationName <TwnLctnNm>	[0..1]	Text		945
	DistrictName <DstrctNm>	[0..1]	Text		945
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		946
	Country <Ctry>	[0..1]	CodeSet	C3	946
	AddressLine <AdrLine>	[0..7]	Text		946

16.1.17.10.3 Identification <Id>

Presence: [0..1]

Definition: Unique and unambiguous identification of a party.

Identification <Id> contains one of the following elements (see "[Party38Choice](#)" on page 930 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	OrganisationIdentification <OrgId>	[1..1]	±		930
Or}	PrivateIdentification <PrvtId>	[1..1]	±		931

16.1.17.10.4 CountryOfResidence <CtryOfRes>

Presence: [0..1]

Definition: Country in which a person resides (the place of a person's home). In the case of a company, it is the country from which the affairs of that company are directed.

Impacted by: C3 "Country"

Datatype: "CountryCode" on page 1135

Constraints

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

16.1.17.10.5 ContactDetails <CtctDtls>

Presence: [0..1]

Definition: Set of elements used to indicate how to contact the party.

ContactDetails <CtctDtls> contains the following elements (see "Contact4" on page 939 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NamePrefix <NmPrfx>	[0..1]	CodeSet		939
	Name <Nm>	[0..1]	Text		940
	PhoneNumber <PhneNb>	[0..1]	Text		940
	MobileNumber <MobNb>	[0..1]	Text		940
	FaxNumber <FaxNb>	[0..1]	Text		940
	EmailAddress <EmailAdr>	[0..1]	Text		940
	EmailPurpose <EmailPurp>	[0..1]	Text		940
	JobTitle <JobTitl>	[0..1]	Text		940
	Responsibility <Rspnsblty>	[0..1]	Text		941
	Department <Dept>	[0..1]	Text		941
	Other <Othr>	[0..*]			941
	ChannelType <ChanlTp>	[1..1]	Text		941
	Identification <Id>	[0..1]	Text		941
	PreferredMethod <PrefrdMtd>	[0..1]	CodeSet		941

16.1.17.11 AlternatePartyIdentification9

Definition: Alternate identification for a party using an identification type, a country code and a text field.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	IdentificationType <IdTp>	[1..1]			935
{Or	Code <Cd>	[1..1]	CodeSet		935
Or}	Proprietary <Prtry>	[1..1]	±		935
	Country <Ctry>	[1..1]	CodeSet	C3	936
	AlternateIdentification <AltrnId>	[1..1]	Text		936

16.1.17.11.1 IdentificationType <IdTp>

Presence: [1..1]

Definition: Specifies the type of alternate identification of the party identified.

IdentificationType <IdTp> contains one of the following **IdentificationType44Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		935
Or}	Proprietary <Prtry>	[1..1]	±		935

16.1.17.11.1.1 Code <Cd>

Presence: [1..1]

Definition: Type of identification is defined using a code.

Datatype: "TypeOfIdentification1Code" on page 1167

CodeName	Name	Definition
ARNU	AlienRegistrationNumber	Number assigned by a government agency to identify foreign nationals.
CCPT	PassportNumber	Number assigned by a passport authority.
CHTY	TaxExemptIdentificationNumber	Number assigned to a tax exempt entity.
CORP	CorporateIdentification	Number assigned to a corporate entity.
DRLC	DriverLicenseNumber	Number assigned to a driver's license.
FIIN	ForeignInvestmentIdentityNumber	Number assigned to a foreign investor (other than the alien number).
TXID	TaxIdentificationNumber	Number assigned by a tax authority to an entity.

16.1.17.11.1.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Type of identification is defined using a data source scheme.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 790 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		790
	Issuer <Issr>	[1..1]	Text		790
	SchemeName <SchmeNm>	[0..1]	Text		791

16.1.17.11.2 Country <Ctry>

Presence: [1..1]

Definition: Country in which a person resides (the place of a person's home). In the case of a company, it is the country from which the affairs of that company are directed.

Impacted by: [C3 "Country"](#)

Datatype: ["CountryCode"](#) on page 1135

Constraints

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

16.1.17.11.3 AlternateIdentification <AltrnId>

Presence: [1..1]

Definition: Alternate identification for a party.

Datatype: ["RestrictedFINXMax30Text"](#) on page 1178

16.1.18 Person Identification

16.1.18.1 PersonIdentification13

Definition: Unique and unambiguous way to identify a person.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	DateAndPlaceOfBirth <DtAndPlcOfBirth>	[0..1]			937
	BirthDate <BirthDt>	[1..1]	Date		937
	ProvinceOfBirth <PrvcOfBirth>	[0..1]	Text		937
	CityOfBirth <CityOfBirth>	[1..1]	Text		937
	CountryOfBirth <CtryOfBirth>	[1..1]	CodeSet	C3	938
	Other <Othr>	[0..*]			938
	Identification <Id>	[1..1]	Text		938
	SchemeName <SchmeNm>	[0..1]			938
{Or	Code <Cd>	[1..1]	CodeSet		939
Or}	Proprietary <Prtry>	[1..1]	Text		939
	Issuer <Issr>	[0..1]	Text		939

16.1.18.1.1 DateAndPlaceOfBirth <DtAndPlcOfBirth>

Presence: [0..1]

Definition: Date and place of birth of a person.

DateAndPlaceOfBirth <DtAndPlcOfBirth> contains the following **DateAndPlaceOfBirth1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	BirthDate <BirthDt>	[1..1]	Date		937
	ProvinceOfBirth <PrvcOfBirth>	[0..1]	Text		937
	CityOfBirth <CityOfBirth>	[1..1]	Text		937
	CountryOfBirth <CtryOfBirth>	[1..1]	CodeSet	C3	938

16.1.18.1.1.1 BirthDate <BirthDt>

Presence: [1..1]

Definition: Date on which a person is born.

Datatype: "ISODate" on page 1168

16.1.18.1.1.2 ProvinceOfBirth <PrvcOfBirth>

Presence: [0..1]

Definition: Province where a person was born.

Datatype: "Max35Text" on page 1174

16.1.18.1.1.3 CityOfBirth <CityOfBirth>

Presence: [1..1]

Definition: City where a person was born.

Datatype: "Max35Text" on page 1174

16.1.18.1.1.4 CountryOfBirth <CtryOfBirth>

Presence: [1..1]

Definition: Country where a person was born.

Impacted by: C3 "Country"

Datatype: "CountryCode" on page 1135

Constraints

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

16.1.18.1.2 Other <Othr>

Presence: [0..*]

Definition: Unique identification of a person, as assigned by an institution, using an identification scheme.

Other <Othr> contains the following **GenericPersonIdentification1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		938
	SchemeName <SchmeNm>	[0..1]			938
{Or	Code <Cd>	[1..1]	CodeSet		939
Or}	Proprietary <Prtry>	[1..1]	Text		939
	Issuer <Issr>	[0..1]	Text		939

16.1.18.1.2.1 Identification <Id>

Presence: [1..1]

Definition: Unique and unambiguous identification of a person.

Datatype: "Max35Text" on page 1174

16.1.18.1.2.2 SchemeName <SchmeNm>

Presence: [0..1]

Definition: Name of the identification scheme.

SchemeName <SchmeNm> contains one of the following **PersonIdentificationSchemeName1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		939
Or}	Proprietary <Prtry>	[1..1]	Text		939

16.1.18.1.2.2.1 Code <Cd>*Presence:* [1..1]*Definition:* Name of the identification scheme, in a coded form as published in an external list.*Datatype:* "ExternalPersonIdentification1Code" on page 1140**16.1.18.1.2.2.2 Proprietary <Prtry>***Presence:* [1..1]*Definition:* Name of the identification scheme, in a free text form.*Datatype:* "Max35Text" on page 1174**16.1.18.1.2.3 Issuer <Issr>***Presence:* [0..1]*Definition:* Entity that assigns the identification.*Datatype:* "Max35Text" on page 1174**16.1.18.2 Contact4***Definition:* Specifies the details of the contact person.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NamePrefix <NmPrfx>	[0..1]	CodeSet		939
	Name <Nm>	[0..1]	Text		940
	PhoneNumber <PhneNb>	[0..1]	Text		940
	MobileNumber <MobNb>	[0..1]	Text		940
	FaxNumber <FaxNb>	[0..1]	Text		940
	EmailAddress <EmailAdr>	[0..1]	Text		940
	EmailPurpose <EmailPurp>	[0..1]	Text		940
	JobTitle <JobTitl>	[0..1]	Text		940
	Responsibility <Rspnsblty>	[0..1]	Text		941
	Department <Dept>	[0..1]	Text		941
	Other <Othr>	[0..*]			941
	ChannelType <ChanlTp>	[1..1]	Text		941
	Identification <Id>	[0..1]	Text		941
	PreferredMethod <PrefrdMtd>	[0..1]	CodeSet		941

16.1.18.2.1 NamePrefix <NmPrfx>*Presence:* [0..1]*Definition:* Specifies the terms used to formally address a person.*Datatype:* "NamePrefix2Code" on page 1149

CodeName	Name	Definition
DOCT	Doctor	Title of the person is Doctor or Dr.
MADM	Madam	Title of the person is Madam.
MISS	Miss	Title of the person is Miss.
MIST	Mister	Title of the person is Mister or Mr.
MIKS	GenderNeutral	Title of the person is gender neutral (Mx).

16.1.18.2.2 Name <Nm>*Presence:* [0..1]*Definition:* Name by which a party is known and which is usually used to identify that party.*Datatype:* "Max140Text" on page 1174**16.1.18.2.3 PhoneNumber <PhneNb>***Presence:* [0..1]*Definition:* Collection of information that identifies a phone number, as defined by telecom services.*Datatype:* "PhoneNumber" on page 1176**16.1.18.2.4 MobileNumber <MobNb>***Presence:* [0..1]*Definition:* Collection of information that identifies a mobile phone number, as defined by telecom services.*Datatype:* "PhoneNumber" on page 1176**16.1.18.2.5 FaxNumber <FaxNb>***Presence:* [0..1]*Definition:* Collection of information that identifies a FAX number, as defined by telecom services.*Datatype:* "PhoneNumber" on page 1176**16.1.18.2.6 EmailAddress <EmailAdr>***Presence:* [0..1]*Definition:* Address for electronic mail (e-mail).*Datatype:* "Max2048Text" on page 1174**16.1.18.2.7 EmailPurpose <EmailPurp>***Presence:* [0..1]*Definition:* Purpose for which an email address may be used.*Datatype:* "Max35Text" on page 1174**16.1.18.2.8 JobTitle <JobTitl>***Presence:* [0..1]

Definition: Title of the function.

Datatype: "Max35Text" on page 1174

16.1.18.2.9 Responsibility <Rspnsblty>

Presence: [0..1]

Definition: Role of a person in an organisation.

Datatype: "Max35Text" on page 1174

16.1.18.2.10 Department <Dept>

Presence: [0..1]

Definition: Identification of a division of a large organisation or building.

Datatype: "Max70Text" on page 1175

16.1.18.2.11 Other <Othr>

Presence: [0..*]

Definition: Contact details in another form.

Other <Othr> contains the following **OtherContact1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ChannelType <ChanlTp>	[1..1]	Text		941
	Identification <Id>	[0..1]	Text		941

16.1.18.2.11.1 ChannelType <ChanlTp>

Presence: [1..1]

Definition: Method used to contact the financial institution's contact for the specific tax region.

Datatype: "Max4Text" on page 1175

16.1.18.2.11.2 Identification <Id>

Presence: [0..1]

Definition: Communication value such as phone number or email address.

Datatype: "Max128Text" on page 1173

16.1.18.2.12 PreferredMethod <PrefrdMtd>

Presence: [0..1]

Definition: Preferred method used to reach the contact.

Datatype: "PreferredContactMethod1Code" on page 1157

CodeName	Name	Definition
LETT	Letter	Preferred method used to reach the contact is per letter.
MAIL	Email	Preferred method used to reach the contact is per email.

CodeName	Name	Definition
PHON	Phone	Preferred method used to reach the contact is per phone.
FAXX	Fax	Preferred method used to reach the contact is per fax.
CELL	MobileOrCellPhone	Preferred method used to reach the contact is per mobile or cell phone.

16.1.19 Postal Address

16.1.19.1 NameAndAddress12

Definition: Information that locates and identifies a party.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[1..1]	Text		942

16.1.19.1.1 Name <Nm>

Presence: [1..1]

Definition: Name by which a party is known and which is usually used to identify that party.

Datatype: "RestrictedFINXMax140Text" on page 1177

16.1.19.2 PostalAddress24

Definition: Information that locates and identifies a specific address, as defined by postal services.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AddressType <AdrTp>	[0..1]			943
{Or	Code <Cd>	[1..1]	CodeSet		943
Or}	Proprietary <Prtry>	[1..1]	±		944
	Department <Dept>	[0..1]	Text		944
	SubDepartment <SubDept>	[0..1]	Text		944
	StreetName <StrtNm>	[0..1]	Text		944
	BuildingNumber <BldgNb>	[0..1]	Text		944
	BuildingName <BldgNm>	[0..1]	Text		945
	Floor <Flr>	[0..1]	Text		945
	PostBox <PstBx>	[0..1]	Text		945
	Room <Room>	[0..1]	Text		945
	PostCode <PstCd>	[0..1]	Text		945
	TownName <TwnNm>	[0..1]	Text		945
	TownLocationName <TwnLctnNm>	[0..1]	Text		945
	DistrictName <DstrctNm>	[0..1]	Text		945
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		946
	Country <Ctry>	[0..1]	CodeSet	C3	946
	AddressLine <AdrLine>	[0..7]	Text		946

16.1.19.2.1 AddressType <AdrTp>

Presence: [0..1]

Definition: Identifies the nature of the postal address.

AddressType <AdrTp> contains one of the following **AddressType3Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		943
Or}	Proprietary <Prtry>	[1..1]	±		944

16.1.19.2.1.1 Code <Cd>

Presence: [1..1]

Definition: Type of address expressed as a code.

Datatype: "AddressType2Code" on page 1093

CodeName	Name	Definition
ADDR	Postal	Address is the complete postal address.

CodeName	Name	Definition
PBOX	POBox	Address is a postal office (PO) box.
HOME	Residential	Address is the home address.
BIZZ	Business	Address is the business address.
MLTO	MailTo	Address is the address to which mail is sent.
DLVY	DeliveryTo	Address is the address to which delivery is to take place.

16.1.19.2.1.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Type of address expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification30](#)" on page 789 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		790
	Issuer <Issr>	[1..1]	Text		790
	SchemeName <SchmeNm>	[0..1]	Text		790

16.1.19.2.2 Department <Dept>

Presence: [0..1]

Definition: Identification of a division of a large organisation or building.

Datatype: "[Max70Text](#)" on page 1175

16.1.19.2.3 SubDepartment <SubDept>

Presence: [0..1]

Definition: Identification of a sub-division of a large organisation or building.

Datatype: "[Max70Text](#)" on page 1175

16.1.19.2.4 StreetName <StrtNm>

Presence: [0..1]

Definition: Name of a street or thoroughfare.

Datatype: "[Max70Text](#)" on page 1175

16.1.19.2.5 BuildingNumber <BldgNb>

Presence: [0..1]

Definition: Number that identifies the position of a building on a street.

Datatype: "[Max16Text](#)" on page 1174

16.1.19.2.6 BuildingName <BldgNm>

Presence: [0..1]

Definition: Name of the building or house.

Datatype: "Max35Text" on page 1174

16.1.19.2.7 Floor <Flr>

Presence: [0..1]

Definition: Floor or storey within a building.

Datatype: "Max70Text" on page 1175

16.1.19.2.8 PostBox <PstBx>

Presence: [0..1]

Definition: Numbered box in a post office, assigned to a person or organisation, where letters are kept until called for.

Datatype: "Max16Text" on page 1174

16.1.19.2.9 Room <Room>

Presence: [0..1]

Definition: Building room number.

Datatype: "Max70Text" on page 1175

16.1.19.2.10 PostCode <PstCd>

Presence: [0..1]

Definition: Identifier consisting of a group of letters and/or numbers that is added to a postal address to assist the sorting of mail.

Datatype: "Max16Text" on page 1174

16.1.19.2.11 TownName <TwnNm>

Presence: [0..1]

Definition: Name of a built-up area, with defined boundaries, and a local government.

Datatype: "Max35Text" on page 1174

16.1.19.2.12 TownLocationName <TwnLctnNm>

Presence: [0..1]

Definition: Specific location name within the town.

Datatype: "Max35Text" on page 1174

16.1.19.2.13 DistrictName <DstrctNm>

Presence: [0..1]

Definition: Identifies a subdivision within a country sub-division.

Datatype: "Max35Text" on page 1174

16.1.19.2.14 CountrySubDivision <CtrySubDvsn>*Presence:* [0..1]*Definition:* Identifies a subdivision of a country such as state, region, county.*Datatype:* "Max35Text" on page 1174**16.1.19.2.15 Country <Ctry>***Presence:* [0..1]*Definition:* Nation with its own government.*Impacted by:* C3 "Country"*Datatype:* "CountryCode" on page 1135**Constraints**

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

16.1.19.2.16 AddressLine <AdrLine>*Presence:* [0..7]*Definition:* Information that locates and identifies a specific address, as defined by postal services, presented in free format text.*Datatype:* "Max70Text" on page 1175**16.1.20 Price****16.1.20.1 AmountPrice4***Definition:* Price expressed as an actual amount.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AmountPriceType <AmtPricTp>	[1..1]	CodeSet		946
	PriceValue <PricVal>	[1..1]	Amount	C1	946

16.1.20.1.1 AmountPriceType <AmtPricTp>*Presence:* [1..1]*Definition:* Type of amount price.*Datatype:* "AmountPriceType2Code" on page 1094

CodeName	Name	Definition
ACTU	ActualAmount	Price expressed as a currency and amount per unit or per share.

16.1.20.1.2 PriceValue <PricVal>*Presence:* [1..1]

Definition: Value of the price.

Impacted by: C1 "ActiveCurrency"

Datatype: "RestrictedFINActiveCurrencyAnd13DecimalAmount" on page 1086

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

16.1.20.2 PriceFormat68Choice

Definition: Choice between a percentage price or an amount price or an amount price per amount or an amount price per financial instrument quantity.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	PercentagePrice <PctgPric>	[1..1]			947
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		948
	PriceValue <PricVal>	[1..1]	Rate		948
Or	AmountPrice <AmtPric>	[1..1]	±		948
Or	AmountPricePerFinancialInstrumentQuantity <AmtPricPerFinInstrmQty>	[1..1]			948
	AmountPriceType <AmtPricTp>	[1..1]	CodeSet		949
	PriceValue <PricVal>	[1..1]	Amount	C1	949
	FinancialInstrumentQuantity <FinInstrmQty>	[1..1]	±	C19	949
Or	AmountPricePerAmount <AmtPricPerAmt>	[1..1]			950
	AmountPriceType <AmtPricTp>	[1..1]	CodeSet		950
	PriceValue <PricVal>	[1..1]	Amount	C1	950
	Amount <Amt>	[1..1]	Amount	C1	951
Or}	IndexPoints <IndxPts>	[1..1]	Quantity		951

16.1.20.2.1 PercentagePrice <PctgPric>

Presence: [1..1]

Definition: Price expressed as a percentage.

PercentagePrice <PctgPric> contains the following **PercentagePrice1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		948
	PriceValue <PricVal>	[1..1]	Rate		948

16.1.20.2.1.1 PercentagePriceType <PctgPricTp>*Presence:* [1..1]*Definition:* Specifies the type of percentage price.*Datatype:* "PriceRateType3Code" on page 1157

CodeName	Name	Definition
DISC	Discount	Price expressed as a number of percentage points below par, for example, a discount price of 2.0% equals a price of 98 when par is 100.
PREM	Premium	Price expressed as a number of percentage points above par, for example, a premium price of 2.0% equals a price of 102 when par is 100.
PRCT	Percentage	Price expressed as a percentage of par.
YIEL	Yield	Price expressed as a yield.

16.1.20.2.1.2 PriceValue <PricVal>*Presence:* [1..1]*Definition:* Specifies the value of price.*Datatype:* "PercentageRate" on page 1172**16.1.20.2.2 AmountPrice <AmtPric>***Presence:* [1..1]*Definition:* Price expressed as a currency and amount.**AmountPrice <AmtPric>** contains the following elements (see "AmountPrice5" on page 971 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AmountPriceType <AmtPricTp>	[1..1]	CodeSet		971
	PriceValue <PricVal>	[1..1]	Amount	C1	971

16.1.20.2.3 AmountPricePerFinancialInstrumentQuantity <AmtPricPerFinInstrmQty>*Presence:* [1..1]*Definition:* Price expressed as a ratio: amount price per financial instrument quantity.**AmountPricePerFinancialInstrumentQuantity <AmtPricPerFinInstrmQty>** contains the following **AmountPricePerFinancialInstrumentQuantity11** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AmountPriceType <AmtPricTp>	[1..1]	CodeSet		949
	PriceValue <PricVal>	[1..1]	Amount	C1	949
	FinancialInstrumentQuantity <FinInstrmQty>	[1..1]	±	C19	949

16.1.20.2.3.1 AmountPriceType <AmtPricTp>*Presence:* [1..1]*Definition:* Type of amount price.*Datatype:* "AmountPriceType1Code" on page 1093

CodeName	Name	Definition
ACTU	ActualAmount	Price expressed as a currency and amount per unit or per share.
DISC	Discount	Price expressed as a discount amount.
PLOT	Lot	Price expressed as an amount of money per lot.
PREM	Premium	Price expressed as a premium.

16.1.20.2.3.2 PriceValue <PricVal>*Presence:* [1..1]*Definition:* Value of the price.*Impacted by:* C1 "ActiveCurrency"*Datatype:* "RestrictedFINActiveCurrencyAnd13DecimalAmount" on page 1086**Constraints**

- ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

16.1.20.2.3.3 FinancialInstrumentQuantity <FinInstrmQty>*Presence:* [1..1]*Definition:* Quantity of financial instrument.*Impacted by:* C19 "DigitalTokenUnitUsageRule"

FinancialInstrumentQuantity <FinInstrmQty> contains one of the following elements (see "FinancialInstrumentQuantity36Choice" on page 986 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		986
Or	FaceAmount <FaceAmt>	[1..1]	Amount		986
Or	AmortisedValue <AmtsdVal>	[1..1]	Amount		986
Or}	DigitalTokenUnit <DgtlTknUnit>	[1..1]	Quantity		986

Constraints

- **DigitalTokenUnitUsageRule**

DigitalTokenUnit format may only be used for instruments identified with a digital token identifier and when the related account identification is provided as a blockchain address or wallet identification (using BlockChainAddressOrWallet element as the account identification).

16.1.20.2.4 AmountPricePerAmount <AmtPricPerAmt>

Presence: [1..1]

Definition: Price expressed as a ratio: amount price per amount.

AmountPricePerAmount <AmtPricPerAmt> contains the following **AmountPricePerAmount3** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AmountPriceType <AmtPricTp>	[1..1]	CodeSet		950
	PriceValue <PricVal>	[1..1]	Amount	C1	950
	Amount <Amt>	[1..1]	Amount	C1	951

16.1.20.2.4.1 AmountPriceType <AmtPricTp>

Presence: [1..1]

Definition: Type of amount price.

Datatype: "AmountPriceType1Code" on page 1093

CodeName	Name	Definition
ACTU	ActualAmount	Price expressed as a currency and amount per unit or per share.
DISC	Discount	Price expressed as a discount amount.
PLOT	Lot	Price expressed as an amount of money per lot.
PREM	Premium	Price expressed as a premium.

16.1.20.2.4.2 PriceValue <PricVal>

Presence: [1..1]

Definition: Value of the price.

Impacted by: C1 "ActiveCurrency"

Datatype: "RestrictedFINActiveCurrencyAnd13DecimalAmount" on page 1086

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217

Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

16.1.20.2.4.3 Amount <Amt>

Presence: [1..1]

Definition: The amount on which the price is based.

Impacted by: C1 "ActiveCurrency"

Datatype: "RestrictedFINActiveCurrencyAnd13DecimalAmount" on page 1086

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

16.1.20.2.5 IndexPoints <IndxPts>

Presence: [1..1]

Definition: Price expressed in index points.

Datatype: "RestrictedFINDecimalNumber" on page 1172

16.1.20.3 PriceFormat67Choice

Definition: Choice between a percentage price or an amount price or an unspecified price or an amount price per amount or an amount price per financial instrument quantity.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	PercentagePrice <PctgPric>	[1..1]			952
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		952
	PriceValue <PricVal>	[1..1]	Rate		953
Or	AmountPrice <AmtPric>	[1..1]	±		953
Or	NotSpecifiedPrice <NotSpctdPric>	[1..1]	CodeSet		953
Or	AmountPricePerFinancialInstrumentQuantity <AmtPricPerFinInstrmQty>	[1..1]			953
	AmountPriceType <AmtPricTp>	[1..1]	CodeSet		954
	PriceValue <PricVal>	[1..1]	Amount	C1	954
	FinancialInstrumentQuantity <FinInstrmQty>	[1..1]	±	C19	954
Or	AmountPricePerAmount <AmtPricPerAmt>	[1..1]			955
	AmountPriceType <AmtPricTp>	[1..1]	CodeSet		955
	PriceValue <PricVal>	[1..1]	Amount	C1	955
	Amount <Amt>	[1..1]	Amount	C1	956
Or}	IndexPoints <IndxPts>	[1..1]	Quantity		956

16.1.20.3.1 PercentagePrice <PctgPric>

Presence: [1..1]

Definition: Price expressed as a percentage.

PercentagePrice <PctgPric> contains the following **PercentagePrice1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		952
	PriceValue <PricVal>	[1..1]	Rate		953

16.1.20.3.1.1 PercentagePriceType <PctgPricTp>

Presence: [1..1]

Definition: Specifies the type of percentage price.

Datatype: "PriceRateType3Code" on page 1157

CodeName	Name	Definition
DISC	Discount	Price expressed as a number of percentage points below par, for example, a discount price of 2.0% equals a price of 98 when par is 100.
PREM	Premium	Price expressed as a number of percentage points above par, for example, a premium price of 2.0% equals a price of 102 when par is 100.

CodeName	Name	Definition
PRCT	Percentage	Price expressed as a percentage of par.
YIEL	Yield	Price expressed as a yield.

16.1.20.3.1.2 PriceValue <PricVal>

Presence: [1..1]

Definition: Specifies the value of price.

Datatype: "PercentageRate" on page 1172

16.1.20.3.2 AmountPrice <AmtPric>

Presence: [1..1]

Definition: Price expressed as a currency and amount.

AmountPrice <AmtPric> contains the following elements (see "AmountPrice5" on page 971 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AmountPriceType <AmtPricTp>	[1..1]	CodeSet		971
	PriceValue <PricVal>	[1..1]	Amount	C1	971

16.1.20.3.3 NotSpecifiedPrice <NotSpcfdPric>

Presence: [1..1]

Definition: Value of the price not specified.

Datatype: "PriceValueType9Code" on page 1158

CodeName	Name	Definition
TBSP	ToBeSpecified	Price to be specified by account owner.
UNSP	Unspecified	Price is not required to be specified by account owner.
UKWN	Unknown	Price is unknown by the sender or has not been established.

16.1.20.3.4 AmountPricePerFinancialInstrumentQuantity <AmtPricPerFinInstrmQty>

Presence: [1..1]

Definition: Price expressed as a ratio: amount price per financial instrument quantity.

AmountPricePerFinancialInstrumentQuantity <AmtPricPerFinInstrmQty> contains the following **AmountPricePerFinancialInstrumentQuantity11** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AmountPriceType <AmtPricTp>	[1..1]	CodeSet		954
	PriceValue <PricVal>	[1..1]	Amount	C1	954
	FinancialInstrumentQuantity <FinInstrmQty>	[1..1]	±	C19	954

16.1.20.3.4.1 AmountPriceType <AmtPricTp>*Presence:* [1..1]*Definition:* Type of amount price.*Datatype:* "AmountPriceType1Code" on page 1093

CodeName	Name	Definition
ACTU	ActualAmount	Price expressed as a currency and amount per unit or per share.
DISC	Discount	Price expressed as a discount amount.
PLOT	Lot	Price expressed as an amount of money per lot.
PREM	Premium	Price expressed as a premium.

16.1.20.3.4.2 PriceValue <PricVal>*Presence:* [1..1]*Definition:* Value of the price.*Impacted by:* C1 "ActiveCurrency"*Datatype:* "RestrictedFINActiveCurrencyAnd13DecimalAmount" on page 1086**Constraints**

- ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

16.1.20.3.4.3 FinancialInstrumentQuantity <FinInstrmQty>*Presence:* [1..1]*Definition:* Quantity of financial instrument.*Impacted by:* C19 "DigitalTokenUnitUsageRule"

FinancialInstrumentQuantity <FinInstrmQty> contains one of the following elements (see "FinancialInstrumentQuantity36Choice" on page 986 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		986
Or	FaceAmount <FaceAmt>	[1..1]	Amount		986
Or	AmortisedValue <AmtsdVal>	[1..1]	Amount		986
Or}	DigitalTokenUnit <DgtlTknUnit>	[1..1]	Quantity		986

Constraints

- **DigitalTokenUnitUsageRule**

DigitalTokenUnit format may only be used for instruments identified with a digital token identifier and when the related account identification is provided as a blockchain address or wallet identification (using BlockChainAddressOrWallet element as the account identification).

16.1.20.3.5 AmountPricePerAmount <AmtPricPerAmt>

Presence: [1..1]

Definition: Price expressed as a ratio: amount price per amount.

AmountPricePerAmount <AmtPricPerAmt> contains the following **AmountPricePerAmount3** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AmountPriceType <AmtPricTp>	[1..1]	CodeSet		955
	PriceValue <PricVal>	[1..1]	Amount	C1	955
	Amount <Amt>	[1..1]	Amount	C1	956

16.1.20.3.5.1 AmountPriceType <AmtPricTp>

Presence: [1..1]

Definition: Type of amount price.

Datatype: "AmountPriceType1Code" on page 1093

CodeName	Name	Definition
ACTU	ActualAmount	Price expressed as a currency and amount per unit or per share.
DISC	Discount	Price expressed as a discount amount.
PLOT	Lot	Price expressed as an amount of money per lot.
PREM	Premium	Price expressed as a premium.

16.1.20.3.5.2 PriceValue <PricVal>

Presence: [1..1]

Definition: Value of the price.

Impacted by: C1 "ActiveCurrency"

Datatype: "RestrictedFINActiveCurrencyAnd13DecimalAmount" on page 1086

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217

Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

16.1.20.3.5.3 Amount <Amt>

Presence: [1..1]

Definition: The amount on which the price is based.

Impacted by: C1 "ActiveCurrency"

Datatype: "RestrictedFINActiveCurrencyAnd13DecimalAmount" on page 1086

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

16.1.20.3.6 IndexPoints <IndxPts>

Presence: [1..1]

Definition: Price expressed in index points.

Datatype: "RestrictedFINDecimalNumber" on page 1172

16.1.20.4 PriceFormat70Choice

Definition: Choice between a percentage price or an amount price or an unspecified price or an amount price per amount or an amount price per financial instrument quantity.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	PercentagePrice <PctgPric>	[1..1]			957
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		957
	PriceValue <PricVal>	[1..1]	Rate		958
Or	AmountPrice <AmtPric>	[1..1]	±		958
Or	NotSpecifiedPrice <NotSpctdPric>	[1..1]	CodeSet		958
Or	AmountPricePerFinancialInstrumentQuantity <AmtPricPerFinInstrmQty>	[1..1]			958
	AmountPriceType <AmtPricTp>	[1..1]	CodeSet		959
	PriceValue <PricVal>	[1..1]	Amount	C1	959
	FinancialInstrumentQuantity <FinInstrmQty>	[1..1]	±	C19	959
Or	AmountPricePerAmount <AmtPricPerAmt>	[1..1]			960
	AmountPriceType <AmtPricTp>	[1..1]	CodeSet		960
	PriceValue <PricVal>	[1..1]	Amount	C1	960
	Amount <Amt>	[1..1]	Amount	C1	961
Or}	IndexPoints <IndxPts>	[1..1]	Quantity		961

16.1.20.4.1 PercentagePrice <PctgPric>

Presence: [1..1]

Definition: Price expressed as a percentage.

PercentagePrice <PctgPric> contains the following **PercentagePrice1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		957
	PriceValue <PricVal>	[1..1]	Rate		958

16.1.20.4.1.1 PercentagePriceType <PctgPricTp>

Presence: [1..1]

Definition: Specifies the type of percentage price.

Datatype: "PriceRateType3Code" on page 1157

CodeName	Name	Definition
DISC	Discount	Price expressed as a number of percentage points below par, for example, a discount price of 2.0% equals a price of 98 when par is 100.
PREM	Premium	Price expressed as a number of percentage points above par, for example, a premium price of 2.0% equals a price of 102 when par is 100.

CodeName	Name	Definition
PRCT	Percentage	Price expressed as a percentage of par.
YIEL	Yield	Price expressed as a yield.

16.1.20.4.1.2 PriceValue <PricVal>

Presence: [1..1]

Definition: Specifies the value of price.

Datatype: "PercentageRate" on page 1172

16.1.20.4.2 AmountPrice <AmtPric>

Presence: [1..1]

Definition: Price expressed as a currency and amount.

AmountPrice <AmtPric> contains the following elements (see "AmountPrice5" on page 971 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AmountPriceType <AmtPricTp>	[1..1]	CodeSet		971
	PriceValue <PricVal>	[1..1]	Amount	C1	971

16.1.20.4.3 NotSpecifiedPrice <NotSpcfdPric>

Presence: [1..1]

Definition: Value of the price not specified.

Datatype: "PriceValueType8Code" on page 1158

CodeName	Name	Definition
TBSP	ToBeSpecified	Price to be specified by account owner.
UNSP	Unspecified	Price is not required to be specified by account owner.
UKWN	Unknown	Price is unknown by the sender or has not been established.
NILP	NilPayment	Price will not be paid.

16.1.20.4.4 AmountPricePerFinancialInstrumentQuantity <AmtPricPerFinInstrmQty>

Presence: [1..1]

Definition: Price expressed as a ratio: amount price per financial instrument quantity.

AmountPricePerFinancialInstrumentQuantity <AmtPricPerFinInstrmQty> contains the following **AmountPricePerFinancialInstrumentQuantity11** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AmountPriceType <AmtPricTp>	[1..1]	CodeSet		959
	PriceValue <PricVal>	[1..1]	Amount	C1	959
	FinancialInstrumentQuantity <FinInstrmQty>	[1..1]	±	C19	959

16.1.20.4.4.1 AmountPriceType <AmtPricTp>

Presence: [1..1]

Definition: Type of amount price.

Datatype: "AmountPriceType1Code" on page 1093

CodeName	Name	Definition
ACTU	ActualAmount	Price expressed as a currency and amount per unit or per share.
DISC	Discount	Price expressed as a discount amount.
PLOT	Lot	Price expressed as an amount of money per lot.
PREM	Premium	Price expressed as a premium.

16.1.20.4.4.2 PriceValue <PricVal>

Presence: [1..1]

Definition: Value of the price.

Impacted by: C1 "ActiveCurrency"

Datatype: "RestrictedFINActiveCurrencyAnd13DecimalAmount" on page 1086

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

16.1.20.4.4.3 FinancialInstrumentQuantity <FinInstrmQty>

Presence: [1..1]

Definition: Quantity of financial instrument.

Impacted by: C19 "DigitalTokenUnitUsageRule"

FinancialInstrumentQuantity <FinInstrmQty> contains one of the following elements (see "FinancialInstrumentQuantity36Choice" on page 986 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		986
Or	FaceAmount <FaceAmt>	[1..1]	Amount		986
Or	AmortisedValue <AmtsdVal>	[1..1]	Amount		986
Or}	DigitalTokenUnit <DgtlTknUnit>	[1..1]	Quantity		986

Constraints

- **DigitalTokenUnitUsageRule**

DigitalTokenUnit format may only be used for instruments identified with a digital token identifier and when the related account identification is provided as a blockchain address or wallet identification (using BlockChainAddressOrWallet element as the account identification).

16.1.20.4.5 AmountPricePerAmount <AmtPricPerAmt>

Presence: [1..1]

Definition: Price expressed as a ratio: amount price per amount.

AmountPricePerAmount <AmtPricPerAmt> contains the following **AmountPricePerAmount3** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AmountPriceType <AmtPricTp>	[1..1]	CodeSet		960
	PriceValue <PricVal>	[1..1]	Amount	C1	960
	Amount <Amt>	[1..1]	Amount	C1	961

16.1.20.4.5.1 AmountPriceType <AmtPricTp>

Presence: [1..1]

Definition: Type of amount price.

Datatype: "AmountPriceType1Code" on page 1093

CodeName	Name	Definition
ACTU	ActualAmount	Price expressed as a currency and amount per unit or per share.
DISC	Discount	Price expressed as a discount amount.
PLOT	Lot	Price expressed as an amount of money per lot.
PREM	Premium	Price expressed as a premium.

16.1.20.4.5.2 PriceValue <PricVal>

Presence: [1..1]

Definition: Value of the price.

Impacted by: C1 "ActiveCurrency"

Datatype: "RestrictedFINActiveCurrencyAnd13DecimalAmount" on page 1086

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

16.1.20.4.5.3 Amount <Amt>

Presence: [1..1]

Definition: The amount on which the price is based.

Impacted by: C1 "ActiveCurrency"

Datatype: "RestrictedFINActiveCurrencyAnd13DecimalAmount" on page 1086

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

16.1.20.4.6 IndexPoints <IndxPts>

Presence: [1..1]

Definition: Price expressed in index points.

Datatype: "RestrictedFINDecimalNumber" on page 1172

16.1.20.5 PriceFormat62Choice

Definition: Choice between a percentage price or an amount price or an unspecified price.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AmountPrice <AmtPric>	[1..1]			961
	AmountPriceType <AmtPricTp>	[1..1]	CodeSet		962
	PriceValue <PricVal>	[1..1]	Amount	C1	962
Or}	NotSpecifiedPrice <NotSpctdPric>	[1..1]	CodeSet		962

16.1.20.5.1 AmountPrice <AmtPric>

Presence: [1..1]

Definition: Price expressed as a currency and amount.

AmountPrice <AmtPric> contains the following **AmountPrice7** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AmountPriceType <AmtPricTp>	[1..1]	CodeSet		962
	PriceValue <PricVal>	[1..1]	Amount	C1	962

16.1.20.5.1.1 AmountPriceType <AmtPricTp>

Presence: [1..1]

Definition: Type of amount price.

Datatype: "AmountPriceType3Code" on page 1094

CodeName	Name	Definition
ACTU	ActualAmount	Price expressed as a currency and amount per unit or per share.
PLOT	Lot	Price expressed as an amount of money per lot.

16.1.20.5.1.2 PriceValue <PricVal>

Presence: [1..1]

Definition: Value of the price.

Impacted by: C1 "ActiveCurrency"

Datatype: "RestrictedFINActiveCurrencyAnd13DecimalAmount" on page 1086

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

16.1.20.5.2 NotSpecifiedPrice <NotSpdfdPric>

Presence: [1..1]

Definition: Value of the price not specified.

Datatype: "PriceValueType10Code" on page 1158

CodeName	Name	Definition
UKWN	Unknown	Price is unknown by the sender or has not been established.

16.1.20.6 PriceFormat55Choice

Definition: Choice between a percentage price or an amount price or index points.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	PercentagePrice <PctgPric>	[1..1]			963
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		963
	PriceValue <PricVal>	[1..1]	Rate		963
Or	AmountPrice <AmtPric>	[1..1]	±		963
Or}	IndexPoints <IndxPts>	[1..1]	Quantity		964

16.1.20.6.1 PercentagePrice <PctgPric>

Presence: [1..1]

Definition: Price expressed as a percentage.

PercentagePrice <PctgPric> contains the following **PercentagePrice1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		963
	PriceValue <PricVal>	[1..1]	Rate		963

16.1.20.6.1.1 PercentagePriceType <PctgPricTp>

Presence: [1..1]

Definition: Specifies the type of percentage price.

Datatype: "PriceRateType3Code" on page 1157

CodeName	Name	Definition
DISC	Discount	Price expressed as a number of percentage points below par, for example, a discount price of 2.0% equals a price of 98 when par is 100.
PREM	Premium	Price expressed as a number of percentage points above par, for example, a premium price of 2.0% equals a price of 102 when par is 100.
PRCT	Percentage	Price expressed as a percentage of par.
YIEL	Yield	Price expressed as a yield.

16.1.20.6.1.2 PriceValue <PricVal>

Presence: [1..1]

Definition: Specifies the value of price.

Datatype: "PercentageRate" on page 1172

16.1.20.6.2 AmountPrice <AmtPric>

Presence: [1..1]

Definition: Price expressed as a currency and amount.

AmountPrice <AmtPric> contains the following elements (see "[AmountPrice5](#)" on page 971 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AmountPriceType <AmtPricTp>	[1..1]	CodeSet		971
	PriceValue <PricVal>	[1..1]	Amount	C1	971

16.1.20.6.3 IndexPoints <IndxPts>

Presence: [1..1]

Definition: Price expressed as an index points.

Datatype: "[RestrictedFINDecimalNumber](#)" on page 1172

16.1.20.7 PriceFormat52Choice

Definition: Choice between a percentage price or an amount price.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	PercentagePrice <PctgPric>	[1..1]			964
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		964
	PriceValue <PricVal>	[1..1]	Rate		965
Or}	AmountPrice <AmtPric>	[1..1]	±		965

16.1.20.7.1 PercentagePrice <PctgPric>

Presence: [1..1]

Definition: Price expressed as a percentage.

PercentagePrice <PctgPric> contains the following **PercentagePrice1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		964
	PriceValue <PricVal>	[1..1]	Rate		965

16.1.20.7.1.1 PercentagePriceType <PctgPricTp>

Presence: [1..1]

Definition: Specifies the type of percentage price.

Datatype: "[PriceRateType3Code](#)" on page 1157

CodeName	Name	Definition
DISC	Discount	Price expressed as a number of percentage points below par, for example, a discount price of 2.0% equals a price of 98 when par is 100.
PREM	Premium	Price expressed as a number of percentage points above par, for

CodeName	Name	Definition
		example, a premium price of 2.0% equals a price of 102 when par is 100.
PRCT	Percentage	Price expressed as a percentage of par.
YIEL	Yield	Price expressed as a yield.

16.1.20.7.1.2 PriceValue <PricVal>

Presence: [1..1]

Definition: Specifies the value of price.

Datatype: "PercentageRate" on page 1172

16.1.20.7.2 AmountPrice <AmtPric>

Presence: [1..1]

Definition: Price expressed as a currency and amount.

AmountPrice <AmtPric> contains the following elements (see "AmountPrice5" on page 971 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AmountPriceType <AmtPricTp>	[1..1]	CodeSet		971
	PriceValue <PricVal>	[1..1]	Amount	C1	971

16.1.20.8 IndicativeOrMarketPrice11Choice

Definition: Choice between an indicative price or a market price.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	IndicativePrice <IndctvPric>	[1..1]	±		965
Or}	MarketPrice <MktPric>	[1..1]	±		966

16.1.20.8.1 IndicativePrice <IndctvPric>

Presence: [1..1]

Definition: Estimated price, for example, for valuation purposes.

IndicativePrice <IndctvPric> contains one of the following elements (see "PriceFormat57Choice" on page 967 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	PercentagePrice <PctgPric>	[1..1]			968
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		968
	PriceValue <PricVal>	[1..1]	Rate		968
Or	AmountPrice <AmtPric>	[1..1]	±		968
Or}	NotSpecifiedPrice <NotSpctdPric>	[1..1]	CodeSet		969

16.1.20.8.2 MarketPrice <MktPric>*Presence:* [1..1]*Definition:* Last reported/known price of a financial instrument in a market.**MarketPrice <MktPric>** contains one of the following elements (see ["PriceFormat57Choice"](#) on page 967 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	PercentagePrice <PctgPric>	[1..1]			968
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		968
	PriceValue <PricVal>	[1..1]	Rate		968
Or	AmountPrice <AmtPric>	[1..1]	±		968
Or}	NotSpecifiedPrice <NotSpctdPric>	[1..1]	CodeSet		969

16.1.20.9 IndicativeOrMarketPrice9Choice*Definition:* Choice between an indicative price or a market price.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	IndicativePrice <IndctvPric>	[1..1]	±		966
Or}	MarketPrice <MktPric>	[1..1]	±		966

16.1.20.9.1 IndicativePrice <IndctvPric>*Presence:* [1..1]*Definition:* Estimated price, for example, for valuation purposes.**IndicativePrice <IndctvPric>** contains one of the following elements (see ["PriceFormat52Choice"](#) on page 964 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	PercentagePrice <PctgPric>	[1..1]			964
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		964
	PriceValue <PricVal>	[1..1]	Rate		965
Or}	AmountPrice <AmtPric>	[1..1]	±		965

16.1.20.9.2 MarketPrice <MktPric>*Presence:* [1..1]*Definition:* Last reported/known price of a financial instrument in a market.

MarketPrice <MktPric> contains one of the following elements (see "PriceFormat52Choice" on page 964 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	PercentagePrice <PctgPric>	[1..1]			964
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		964
	PriceValue <PricVal>	[1..1]	Rate		965
Or}	AmountPrice <AmtPric>	[1..1]	±		965

16.1.20.10 PriceFormat58Choice

Definition: Choice between a percentage price or an amount price or an unspecified price.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AmountPrice <AmtPric>	[1..1]	±		967
Or}	NotSpecifiedPrice <NotSpctdPric>	[1..1]	CodeSet		967

16.1.20.10.1 AmountPrice <AmtPric>

Presence: [1..1]

Definition: Price expressed as a currency and amount.

AmountPrice <AmtPric> contains the following elements (see "AmountPrice4" on page 946 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AmountPriceType <AmtPricTp>	[1..1]	CodeSet		946
	PriceValue <PricVal>	[1..1]	Amount	C1	946

16.1.20.10.2 NotSpecifiedPrice <NotSpctdPric>

Presence: [1..1]

Definition: Value of the price not specified.

Datatype: "PriceValueType10Code" on page 1158

CodeName	Name	Definition
UKWN	Unknown	Price is unknown by the sender or has not been established.

16.1.20.11 PriceFormat57Choice

Definition: Choice between a percentage price or an amount price or an unspecified price.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	PercentagePrice <PctgPric>	[1..1]			968
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		968
	PriceValue <PricVal>	[1..1]	Rate		968
Or	AmountPrice <AmtPric>	[1..1]	±		968
Or}	NotSpecifiedPrice <NotSpctdPric>	[1..1]	CodeSet		969

16.1.20.11.1 PercentagePrice <PctgPric>

Presence: [1..1]

Definition: Price expressed as a percentage.

PercentagePrice <PctgPric> contains the following **PercentagePrice1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		968
	PriceValue <PricVal>	[1..1]	Rate		968

16.1.20.11.1.1 PercentagePriceType <PctgPricTp>

Presence: [1..1]

Definition: Specifies the type of percentage price.

Datatype: "PriceRateType3Code" on page 1157

CodeName	Name	Definition
DISC	Discount	Price expressed as a number of percentage points below par, for example, a discount price of 2.0% equals a price of 98 when par is 100.
PREM	Premium	Price expressed as a number of percentage points above par, for example, a premium price of 2.0% equals a price of 102 when par is 100.
PRCT	Percentage	Price expressed as a percentage of par.
YIEL	Yield	Price expressed as a yield.

16.1.20.11.1.2 PriceValue <PricVal>

Presence: [1..1]

Definition: Specifies the value of price.

Datatype: "PercentageRate" on page 1172

16.1.20.11.2 AmountPrice <AmtPric>

Presence: [1..1]

Definition: Price expressed as a currency and amount.

AmountPrice <AmtPric> contains the following elements (see "AmountPrice5" on page 971 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AmountPriceType <AmtPricTp>	[1..1]	CodeSet		971
	PriceValue <PricVal>	[1..1]	Amount	C1	971

16.1.20.11.3 NotSpecifiedPrice <NotSpcfdPric>

Presence: [1..1]

Definition: Value of the price not specified.

Datatype: "PriceValueType10Code" on page 1158

CodeName	Name	Definition
UKWN	Unknown	Price is unknown by the sender or has not been established.

16.1.20.12 PriceFormat59Choice

Definition: Choice between a percentage price or an amount price or an unspecified price or index points.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	PercentagePrice <PctgPric>	[1..1]			969
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		969
	PriceValue <PricVal>	[1..1]	Rate		970
Or	AmountPrice <AmtPric>	[1..1]	±		970
Or	NotSpecifiedPrice <NotSpcfdPric>	[1..1]	CodeSet		970
Or}	IndexPoints <IndxPts>	[1..1]	Quantity		970

16.1.20.12.1 PercentagePrice <PctgPric>

Presence: [1..1]

Definition: Price expressed as a percentage.

PercentagePrice <PctgPric> contains the following **PercentagePrice1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		969
	PriceValue <PricVal>	[1..1]	Rate		970

16.1.20.12.1.1 PercentagePriceType <PctgPricTp>

Presence: [1..1]

Definition: Specifies the type of percentage price.

Datatype: "PriceRateType3Code" on page 1157

CodeName	Name	Definition
DISC	Discount	Price expressed as a number of percentage points below par, for example, a discount price of 2.0% equals a price of 98 when par is 100.
PREM	Premium	Price expressed as a number of percentage points above par, for example, a premium price of 2.0% equals a price of 102 when par is 100.
PRCT	Percentage	Price expressed as a percentage of par.
YIEL	Yield	Price expressed as a yield.

16.1.20.12.1.2 PriceValue <PricVal>

Presence: [1..1]

Definition: Specifies the value of price.

Datatype: "PercentageRate" on page 1172

16.1.20.12.2 AmountPrice <AmtPric>

Presence: [1..1]

Definition: Price expressed as a currency and amount.

AmountPrice <AmtPric> contains the following elements (see "AmountPrice5" on page 971 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AmountPriceType <AmtPricTp>	[1..1]	CodeSet		971
	PriceValue <PricVal>	[1..1]	Amount	C1	971

16.1.20.12.3 NotSpecifiedPrice <NotSpcfdPric>

Presence: [1..1]

Definition: Value of the price not specified.

Datatype: "PriceValueType10Code" on page 1158

CodeName	Name	Definition
UKWN	Unknown	Price is unknown by the sender or has not been established.

16.1.20.12.4 IndexPoints <IndxPts>

Presence: [1..1]

Definition: Price expressed as an index points.

Datatype: "RestrictedFINDecimalNumber" on page 1172

16.1.20.13 AmountPrice5

Definition: Price expressed as an amount.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AmountPriceType <AmtPricTp>	[1..1]	CodeSet		971
	PriceValue <PricVal>	[1..1]	Amount	C1	971

16.1.20.13.1 AmountPriceType <AmtPricTp>

Presence: [1..1]

Definition: Type of amount price.

Datatype: "AmountPriceType1Code" on page 1093

CodeName	Name	Definition
ACTU	ActualAmount	Price expressed as a currency and amount per unit or per share.
DISC	Discount	Price expressed as a discount amount.
PLOT	Lot	Price expressed as an amount of money per lot.
PREM	Premium	Price expressed as a premium.

16.1.20.13.2 PriceValue <PricVal>

Presence: [1..1]

Definition: Value of the price.

Impacted by: C1 "ActiveCurrency"

Datatype: "RestrictedFINActiveCurrencyAnd13DecimalAmount" on page 1086

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

16.1.21 Quantity**16.1.21.1 FinancialInstrumentQuantity33Choice**

Definition: Choice between formats for the quantity of security.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		972
Or	FaceAmount <FaceAmt>	[1..1]	Amount		972
Or	AmortisedValue <AmtsdVal>	[1..1]	Amount		972
Or}	DigitalTokenUnit <DgtlTknUnit>	[1..1]	Quantity		972

Constraints

- **DigitalTokenUnitUsageRule**

DigitalTokenUnit format may only be used for instruments identified with a digital token identifier and when the related account identification is provided as a blockchain address or wallet identification (using BlockChainAddressOrWallet element as the account identification).

16.1.21.1.1 Unit <Unit>

Presence: [1..1]

Definition: Quantity expressed as a number, for example, a number of shares.

Datatype: "DecimalNumber" on page 1171

16.1.21.1.2 FaceAmount <FaceAmt>

Presence: [1..1]

Definition: Quantity expressed as an amount representing the face amount, that is, the principal, of a debt instrument.

Datatype: "ImpliedCurrencyAndAmount" on page 1086

16.1.21.1.3 AmortisedValue <AmtsdVal>

Presence: [1..1]

Definition: Quantity expressed as an amount representing the current amortised face amount of a bond, for example, a periodic reduction/increase of a bond's principal amount.

Datatype: "ImpliedCurrencyAndAmount" on page 1086

16.1.21.1.4 DigitalTokenUnit <DgtlTknUnit>

Presence: [1..1]

Definition: Quantity of digital tokens expressed as a number, for example, a number of blockchain tokens.

Datatype: "Max30DecimalNumber" on page 1171

16.1.21.2 SignedQuantityFormat12

Definition: Signed quantity of security formats.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ShortLongPosition <ShrtLngPos>	[1..1]	CodeSet		973
	QuantityChoice <QtyChc>	[1..1]	±		973

16.1.21.2.1 ShortLongPosition <ShrtLngPos>

Presence: [1..1]

Definition: Sign of the quantity of security.

Datatype: "ShortLong1Code" on page 1167

CodeName	Name	Definition
SHOR	Short	Position is short, that is, the balance is negative.
LONG	Long	Position is long, that is, the balance is positive.

16.1.21.2.2 QuantityChoice <QtyChc>

Presence: [1..1]

Definition: Choice between different quantity of security formats.

QuantityChoice <QtyChc> contains one of the following elements (see "[Quantity53Choice](#)" on page 982 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Quantity <Qty>	[1..1]	±	C19	982
Or}	ProprietaryQuantity <PrtryQty>	[1..1]			982
	Quantity <Qty>	[1..1]	Quantity		983
	QuantityType <QtyTp>	[1..1]	Text		983
	Issuer </lssr>	[1..1]	Text		983
	SchemeName <SchmeNm>	[0..1]	Text		983

16.1.21.3 SignedQuantityFormat13

Definition: Signed quantity of security formats.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ShortLongPosition <ShrtLngPos>	[1..1]	CodeSet		973
	Quantity <Qty>	[1..1]	±	C19	974

16.1.21.3.1 ShortLongPosition <ShrtLngPos>

Presence: [1..1]

Definition: Sign of the quantity of security.

Datatype: "ShortLong1Code" on page 1167

CodeName	Name	Definition
SHOR	Short	Position is short, that is, the balance is negative.
LONG	Long	Position is long, that is, the balance is positive.

16.1.21.3.2 Quantity <Qty>

Presence: [1..1]

Definition: Quantity of security.

Impacted by: C19 "DigitalTokenUnitUsageRule"

Quantity <Qty> contains one of the following elements (see "FinancialInstrumentQuantity36Choice" on page 986 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		986
Or	FaceAmount <FaceAmt>	[1..1]	Amount		986
Or	AmortisedValue <AmtsdVal>	[1..1]	Amount		986
Or}	DigitalTokenUnit <DgtlTknUnit>	[1..1]	Quantity		986

Constraints

- DigitalTokenUnitUsageRule**

DigitalTokenUnit format may only be used for instruments identified with a digital token identifier and when the related account identification is provided as a blockchain address or wallet identification (using BlockchainAddressOrWallet element as the account identification).

16.1.21.4 SignedQuantityFormat11

Definition: Signed quantity of security formats.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ShortLongPosition <ShrtLngPos>	[1..1]	CodeSet		975
	QuantityChoice <QtyChc>	[1..1]			975
{Or	Quantity <Qty>	[1..1]	±	C15	975
Or}	ProprietaryQuantity <PrtryQty>	[1..1]			976
	Quantity <Qty>	[1..1]	Quantity		976
	QuantityType <QtyTp>	[1..1]	Text		976
	Issuer <Issr>	[1..1]	Text		976
	SchemeName <SchmeNm>	[0..1]	Text		976

16.1.21.4.1 ShortLongPosition <ShrtLngPos>*Presence:* [1..1]*Definition:* Sign of the quantity of security.*Datatype:* "ShortLong1Code" on page 1167

CodeName	Name	Definition
SHOR	Short	Position is short, that is, the balance is negative.
LONG	Long	Position is long, that is, the balance is positive.

16.1.21.4.2 QuantityChoice <QtyChc>*Presence:* [1..1]*Definition:* Choice between different quantity of security formats.**QuantityChoice <QtyChc>** contains one of the following **Quantity48Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Quantity <Qty>	[1..1]	±	C15	975
Or}	ProprietaryQuantity <PrtryQty>	[1..1]			976
	Quantity <Qty>	[1..1]	Quantity		976
	QuantityType <QtyTp>	[1..1]	Text		976
	Issuer <Issr>	[1..1]	Text		976
	SchemeName <SchmeNm>	[0..1]	Text		976

16.1.21.4.2.1 Quantity <Qty>*Presence:* [1..1]*Definition:* Quantity of security.*Impacted by:* C15 "DigitalTokenUnitUsageRule"**Quantity <Qty>** contains one of the following elements (see "FinancialInstrumentQuantity33Choice" on page 971 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		972
Or	FaceAmount <FaceAmt>	[1..1]	Amount		972
Or	AmortisedValue <AmtdVal>	[1..1]	Amount		972
Or}	DigitalTokenUnit <DgtlTknUnit>	[1..1]	Quantity		972

Constraints

- **DigitalTokenUnitUsageRule**

DigitalTokenUnit format may only be used for instruments identified with a digital token identifier and when the related account identification is provided as a blockchain address or wallet identification (using BlockChainAddressOrWallet element as the account identification).

16.1.21.4.2.2 ProprietaryQuantity <PrtryQty>

Presence: [1..1]

Definition: Proprietary quantity of security format.

ProprietaryQuantity <PrtryQty> contains the following **ProprietaryQuantity8** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Quantity <Qty>	[1..1]	Quantity		976
	QuantityType <QtyTp>	[1..1]	Text		976
	Issuer <Issr>	[1..1]	Text		976
	SchemeName <SchmeNm>	[0..1]	Text		976

16.1.21.4.2.2.1 Quantity <Qty>

Presence: [1..1]

Definition: Provides the proprietary quantity with a decimal number.

Datatype: "DecimalNumber" on page 1171

16.1.21.4.2.2.2 QuantityType <QtyTp>

Presence: [1..1]

Definition: Identifies the type of proprietary quantity reported.

Datatype: "Exact4AlphaNumericText" on page 1173

16.1.21.4.2.2.3 Issuer <Issr>

Presence: [1..1]

Definition: Provides information related to issuer in free format.

Datatype: "Max35Text" on page 1174

16.1.21.4.2.2.4 SchemeName <SchmeNm>

Presence: [0..1]

Definition: Name of the identification scheme.

Datatype: "Max35Text" on page 1174

16.1.21.5 Quantity80Choice

Definition: Choice between different quantity of security formats.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	QuantityChoice <QtyChc>	[1..1]	±		977
Or}	ProprietaryQuantity <PrtryQty>	[1..1]			977
	ShortLongPosition <ShrtLngPos>	[0..1]	CodeSet		977
	Quantity <Qty>	[1..1]	Quantity		978
	QuantityType <QtyTp>	[1..1]	Text		978
	Issuer </ssr>	[1..1]	Text		978
	SchemeName <SchmeNm>	[0..1]	Text		978

16.1.21.5.1 QuantityChoice <QtyChc>

Presence: [1..1]

Definition: Choice between different quantity of security formats.

QuantityChoice <QtyChc> contains one of the following elements (see "[Quantity57Choice](#)" on page 978 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	OriginalAndCurrentFaceAmount <OrgnlAndCurFaceAmt>	[1..1]			978
	ShortLongPosition <ShrtLngPos>	[1..1]	CodeSet		979
	FaceAmount <FaceAmt>	[1..1]	Amount		979
	AmortisedValue <AmtsdVal>	[1..1]	Amount		979
Or}	SignedQuantity <SgndQty>	[1..1]	±		979

16.1.21.5.2 ProprietaryQuantity <PrtryQty>

Presence: [1..1]

Definition: Proprietary quantity of security format.

ProprietaryQuantity <PrtryQty> contains the following **ProprietaryQuantity10** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ShortLongPosition <ShrtLngPos>	[0..1]	CodeSet		977
	Quantity <Qty>	[1..1]	Quantity		978
	QuantityType <QtyTp>	[1..1]	Text		978
	Issuer </ssr>	[1..1]	Text		978
	SchemeName <SchmeNm>	[0..1]	Text		978

16.1.21.5.2.1 ShortLongPosition <ShrtLngPos>

Presence: [0..1]

Definition: Sign of the quantity of security.

Datatype: "ShortLong1Code" on page 1167

CodeName	Name	Definition
SHOR	Short	Position is short, that is, the balance is negative.
LONG	Long	Position is long, that is, the balance is positive.

16.1.21.5.2.2 Quantity <Qty>

Presence: [1..1]

Definition: Provides the proprietary quantity with a decimal number.

Datatype: "RestrictedFINDecimalNumber" on page 1172

16.1.21.5.2.3 QuantityType <QtyTp>

Presence: [1..1]

Definition: Identifies the type of proprietary quantity reported.

Datatype: "Exact4AlphaNumericText" on page 1173

16.1.21.5.2.4 Issuer <Issr>

Presence: [1..1]

Definition: Provides information related to issuer in free format.

Datatype: "Max4AlphaNumericText" on page 1175

16.1.21.5.2.5 SchemeName <SchmeNm>

Presence: [0..1]

Definition: Name of the identification scheme.

Datatype: "Max4AlphaNumericText" on page 1175

16.1.21.6 Quantity57Choice

Definition: Choice between different quantity of security formats.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	OriginalAndCurrentFaceAmount <OrgnlAndCurFaceAmt>	[1..1]			978
	ShortLongPosition <ShrtLngPos>	[1..1]	CodeSet		979
	FaceAmount <FaceAmt>	[1..1]	Amount		979
	AmortisedValue <AmtsdVal>	[1..1]	Amount		979
Or}	SignedQuantity <SgndQty>	[1..1]	±		979

16.1.21.6.1 OriginalAndCurrentFaceAmount <OrgnlAndCurFaceAmt>

Presence: [1..1]

Definition: Signed face amount and amortised value of security.

OriginalAndCurrentFaceAmount <OrgnlAndCurFaceAmt> contains the following **OriginalAndCurrentQuantities7** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ShortLongPosition <ShrtLngPos>	[1..1]	CodeSet		979
	FaceAmount <FaceAmt>	[1..1]	Amount		979
	AmortisedValue <AmtsdVal>	[1..1]	Amount		979

16.1.21.6.1.1 ShortLongPosition <ShrtLngPos>

Presence: [1..1]

Definition: Sign of the quantity of security.

Datatype: "ShortLong1Code" on page 1167

CodeName	Name	Definition
SHOR	Short	Position is short, that is, the balance is negative.
LONG	Long	Position is long, that is, the balance is positive.

16.1.21.6.1.2 FaceAmount <FaceAmt>

Presence: [1..1]

Definition: Quantity expressed as an amount representing the face amount, that is, the principal, of a debt instrument.

Datatype: "RestrictedFINImpliedCurrencyAndAmount" on page 1087

16.1.21.6.1.3 AmortisedValue <AmtsdVal>

Presence: [1..1]

Definition: Quantity expressed as an amount representing the current amortised face amount of a bond, for example, a periodic reduction/increase of a bond's principal amount.

Datatype: "RestrictedFINImpliedCurrencyAndAmount" on page 1087

16.1.21.6.2 SignedQuantity <SgndQty>

Presence: [1..1]

Definition: Signed quantity of security.

SignedQuantity <SgndQty> contains the following elements (see "SignedQuantityFormat13" on page 973 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ShortLongPosition <ShrtLngPos>	[1..1]	CodeSet		973
	Quantity <Qty>	[1..1]	±	C19	974

16.1.21.7 Quantity55Choice

Definition: Choice between different formats of securities quantity.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		980
Or	OriginalAndCurrentFaceAmount <OrgnlAndCurFaceAmt>	[1..1]	±		980
Or}	Quantity <Qty>	[1..1]	±	C19	980

16.1.21.7.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify quantity of a financial instrument.

Datatype: "Quantity1Code" on page 1160

CodeName	Name	Definition
QALL	AllSecurities	Instruction applies to the entire eligible balance of underlying securities.

16.1.21.7.2 OriginalAndCurrentFaceAmount <OrgnlAndCurFaceAmt>

Presence: [1..1]

Definition: Face amount and amortised value of security.

OriginalAndCurrentFaceAmount <OrgnlAndCurFaceAmt> contains the following elements (see "OriginalAndCurrentQuantities4" on page 1051 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	FaceAmount <FaceAmt>	[1..1]	Amount		1051
	AmortisedValue <AmtsdVal>	[1..1]	Amount		1051

16.1.21.7.3 Quantity <Qty>

Presence: [1..1]

Definition: Quantity of financial instrument in units, original face amount or current face amount.

Impacted by: C19 "DigitalTokenUnitUsageRule"

Quantity <Qty> contains one of the following elements (see "FinancialInstrumentQuantity36Choice" on page 986 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		986
Or	FaceAmount <FaceAmt>	[1..1]	Amount		986
Or	AmortisedValue <AmtsdVal>	[1..1]	Amount		986
Or}	DigitalTokenUnit <DgtlTknUnit>	[1..1]	Quantity		986

Constraints

- **DigitalTokenUnitUsageRule**

DigitalTokenUnit format may only be used for instruments identified with a digital token identifier and when the related account identification is provided as a blockchain address or wallet identification (using BlockChainAddressOrWallet element as the account identification).

16.1.21.8 Quantity54Choice

Definition: Choice of format for the quantity.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Quantity <Qty>	[1..1]	±	C19	981
Or}	OriginalAndCurrentFace <OrgnlAndCurFace>	[1..1]	±		981

16.1.21.8.1 Quantity <Qty>

Presence: [1..1]

Definition: Quantity of financial instrument in units, original face amount or current face amount.

Impacted by: C19 "DigitalTokenUnitUsageRule"

Quantity <Qty> contains one of the following elements (see "FinancialInstrumentQuantity36Choice" on page 986 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		986
Or	FaceAmount <FaceAmt>	[1..1]	Amount		986
Or	AmortisedValue <AmtsdVal>	[1..1]	Amount		986
Or}	DigitalTokenUnit <DgtlTknUnit>	[1..1]	Quantity		986

Constraints

- **DigitalTokenUnitUsageRule**

DigitalTokenUnit format may only be used for instruments identified with a digital token identifier and when the related account identification is provided as a blockchain address or wallet identification (using BlockChainAddressOrWallet element as the account identification).

16.1.21.8.2 OriginalAndCurrentFace <OrgnlAndCurFace>

Presence: [1..1]

Definition: Original and current value of an asset-back instrument.

OriginalAndCurrentFace <OrgnlAndCurFace> contains the following elements (see "OriginalAndCurrentQuantities4" on page 1051 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	FaceAmount <FaceAmt>	[1..1]	Amount		1051
	AmortisedValue <AmtsdVal>	[1..1]	Amount		1051

16.1.21.9 Quantity53Choice

Definition: Choice between different quantity of security formats.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Quantity <Qty>	[1..1]	±	C19	982
Or}	ProprietaryQuantity <PrtryQty>	[1..1]			982
	Quantity <Qty>	[1..1]	Quantity		983
	QuantityType <QtyTp>	[1..1]	Text		983
	Issuer <Issr>	[1..1]	Text		983
	SchemeName <SchmeNm>	[0..1]	Text		983

16.1.21.9.1 Quantity <Qty>

Presence: [1..1]

Definition: Quantity of security.

Impacted by: C19 "DigitalTokenUnitUsageRule"

Quantity <Qty> contains one of the following elements (see "FinancialInstrumentQuantity36Choice" on page 986 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		986
Or	FaceAmount <FaceAmt>	[1..1]	Amount		986
Or	AmortisedValue <AmtsdVal>	[1..1]	Amount		986
Or}	DigitalTokenUnit <DgtlTknUnit>	[1..1]	Quantity		986

Constraints

- **DigitalTokenUnitUsageRule**

DigitalTokenUnit format may only be used for instruments identified with a digital token identifier and when the related account identification is provided as a blockchain address or wallet identification (using BlockchainAddressOrWallet element as the account identification).

16.1.21.9.2 ProprietaryQuantity <PrtryQty>

Presence: [1..1]

Definition: Proprietary quantity of security format.

ProprietaryQuantity <PrtryQty> contains the following **ProprietaryQuantity9** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Quantity <Qty>	[1..1]	Quantity		983
	QuantityType <QtyTp>	[1..1]	Text		983
	Issuer <Issr>	[1..1]	Text		983
	SchemeName <SchmeNm>	[0..1]	Text		983

16.1.21.9.2.1 Quantity <Qty>

Presence: [1..1]

Definition: Provides the proprietary quantity with a decimal number.

Datatype: "RestrictedFINDecimalNumber" on page 1172

16.1.21.9.2.2 QuantityType <QtyTp>

Presence: [1..1]

Definition: Identifies the type of proprietary quantity reported.

Datatype: "Exact4AlphaNumericText" on page 1173

16.1.21.9.2.3 Issuer <Issr>

Presence: [1..1]

Definition: Provides information related to issuer in free format.

Datatype: "Max4AlphaNumericText" on page 1175

16.1.21.9.2.4 SchemeName <SchmeNm>

Presence: [0..1]

Definition: Name of the identification scheme.

Datatype: "Max4AlphaNumericText" on page 1175

16.1.21.10 FinancialInstrumentQuantity44Choice

Definition: Choice between formats for the quantity of security.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		984
Or	FaceAmount <FaceAmt>	[1..1]	Amount		984
Or	AmortisedValue <AmtdVal>	[1..1]	Amount		984
Or	Code <Cd>	[1..1]	CodeSet		984
Or}	DigitalTokenUnit <DgtlTknUnit>	[1..1]	Quantity		984

Constraints

- **DigitalTokenUnitUsageRule**

DigitalTokenUnit format may only be used for instruments identified with a digital token identifier and when the related account identification is provided as a blockchain address or wallet identification (using BlockChainAddressOrWallet element as the account identification).

16.1.21.10.1 Unit <Unit>

Presence: [1..1]

Definition: Quantity expressed as a number, for example, a number of shares.

Datatype: "RestrictedFINDecimalNumber" on page 1172

16.1.21.10.2 FaceAmount <FaceAmt>

Presence: [1..1]

Definition: Quantity expressed as an amount representing the face amount, that is, the principal, of a debt instrument.

Datatype: "RestrictedFINImpliedCurrencyAndAmount" on page 1087

16.1.21.10.3 AmortisedValue <AmtsdVal>

Presence: [1..1]

Definition: Quantity expressed as an amount representing the current amortised face amount of a bond, for example, a periodic reduction/increase of a bond's principal amount.

Datatype: "RestrictedFINImpliedCurrencyAndAmount" on page 1087

16.1.21.10.4 Code <Cd>

Presence: [1..1]

Definition: Quantity expressed as a code.

Datatype: "Quantity4Code" on page 1160

CodeName	Name	Definition
UKWN	UnknownQuantity	Quantity is unknown by the sender or has not been established.
ANYA	AnyAndAll	Instruction applies to the entire eligible balance of underlying securities.

16.1.21.10.5 DigitalTokenUnit <DgtlTknUnit>

Presence: [1..1]

Definition: Quantity of digital tokens expressed as a number, for example, a number of blockchain tokens.

Datatype: "Max30DecimalNumber" on page 1171

16.1.21.11 FinancialInstrumentQuantity43Choice

Definition: Choice between formats for the quantity of security.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		985
Or	FaceAmount <FaceAmt>	[1..1]	Amount		985
Or	AmortisedValue <AmtsdVal>	[1..1]	Amount		985
Or	Code <Cd>	[1..1]	CodeSet		985
Or}	DigitalTokenUnit <DgtlTknUnit>	[1..1]	Quantity		985

Constraints

- **DigitalTokenUnitUsageRule**

DigitalTokenUnit format may only be used for instruments identified with a digital token identifier and when the related account identification is provided as a blockchain address or wallet identification (using BlockchainAddressOrWallet element as the account identification).

16.1.21.11.1 Unit <Unit>

Presence: [1..1]

Definition: Quantity expressed as a number, for example, a number of shares.

Datatype: "RestrictedFINDecimalNumber" on page 1172

16.1.21.11.2 FaceAmount <FaceAmt>

Presence: [1..1]

Definition: Quantity expressed as an amount representing the face amount, that is, the principal, of a debt instrument.

Datatype: "RestrictedFINImpliedCurrencyAndAmount" on page 1087

16.1.21.11.3 AmortisedValue <AmtsdVal>

Presence: [1..1]

Definition: Quantity expressed as an amount representing the current amortised face amount of a bond, for example, a periodic reduction/increase of a bond's principal amount.

Datatype: "RestrictedFINImpliedCurrencyAndAmount" on page 1087

16.1.21.11.4 Code <Cd>

Presence: [1..1]

Definition: Quantity expressed as a code.

Datatype: "Quantity5Code" on page 1160

CodeName	Name	Definition
UKWN	UnknownQuantity	Quantity is unknown by the sender or has not been established.

16.1.21.11.5 DigitalTokenUnit <DgtlTknUnit>

Presence: [1..1]

Definition: Quantity of digital tokens expressed as a number, for example, a number of blockchain tokens.

Datatype: "Max30DecimalNumber" on page 1171

16.1.21.12 FinancialInstrumentQuantity36Choice

Definition: Choice between formats for the quantity of security.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		986
Or	FaceAmount <FaceAmt>	[1..1]	Amount		986
Or	AmortisedValue <AmtsdVal>	[1..1]	Amount		986
Or}	DigitalTokenUnit <DgtlTknUnit>	[1..1]	Quantity		986

Constraints

- **DigitalTokenUnitUsageRule**

DigitalTokenUnit format may only be used for instruments identified with a digital token identifier and when the related account identification is provided as a blockchain address or wallet identification (using BlockChainAddressOrWallet element as the account identification).

16.1.21.12.1 Unit <Unit>

Presence: [1..1]

Definition: Quantity expressed as a number, for example, a number of shares.

Datatype: "RestrictedFINDecimalNumber" on page 1172

16.1.21.12.2 FaceAmount <FaceAmt>

Presence: [1..1]

Definition: Quantity expressed as an amount representing the face amount, that is, the principal of a debt instrument.

Datatype: "RestrictedFINImpliedCurrencyAndAmount" on page 1087

16.1.21.12.3 AmortisedValue <AmtsdVal>

Presence: [1..1]

Definition: Quantity expressed as an amount representing the current amortised face amount of a bond, for example, a periodic reduction/increase of a bond's principal amount.

Datatype: "RestrictedFINImpliedCurrencyAndAmount" on page 1087

16.1.21.12.4 DigitalTokenUnit <DgtlTknUnit>

Presence: [1..1]

Definition: Quantity of digital tokens expressed as a number, for example, a number of blockchain tokens.

Datatype: "Max30DecimalNumber" on page 1171

16.1.22 Rate

16.1.22.1 RateFormat3Choice

Definition: Choice between a rate or an unspecified rate.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		987
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		987

16.1.22.1.1 Rate <Rate>

Presence: [1..1]

Definition: Value is expressed as a rate.

Datatype: ["PercentageRate" on page 1172](#)

16.1.22.1.2 NotSpecifiedRate <NotSpcfdRate>

Presence: [1..1]

Definition: Value of the rate not specified.

Datatype: ["RateType5Code" on page 1161](#)

CodeName	Name	Definition
UKWN	Unknown	Rate is unknown by the sender or has not been established.

16.1.22.2 NetDividendRateFormat42Choice

Definition: Choice between different formats to express a net dividend.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Amount <Amt>	[1..1]	Amount	C1	988
Or	AmountAndRateStatus <AmtAndRateSts>	[1..1]			988
	Amount <Amt>	[1..1]	Amount	C1	989
	RateStatus <RateSts>	[1..1]	CodeSet		989
Or}	RateTypeAndAmountAndRateStatus <RateTpAndAmtAndRateSts>	[1..1]			989
	RateType <RateTp>	[1..1]			989
{Or	Code <Cd>	[1..1]	CodeSet		990
Or}	Proprietary <Prtry>	[1..1]	±		990
	Amount <Amt>	[1..1]	Amount	C1	991
	RateStatus <RateSts>	[0..1]		C58	991
{Or	Code <Cd>	[1..1]	CodeSet		991
Or}	Proprietary <Prtry>	[1..1]	±		992

16.1.22.2.1 Amount <Amt>

Presence: [1..1]

Definition: Value expressed as an amount.

Impacted by: C1 "ActiveCurrency"

Datatype: "RestrictedFINActiveCurrencyAnd13DecimalAmount" on page 1086

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

16.1.22.2.2 AmountAndRateStatus <AmtAndRateSts>

Presence: [1..1]

Definition: Specifies an amount and a rate status.

AmountAndRateStatus <AmtAndRateSts> contains the following **AmountAndRateStatus2** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Amount <Amt>	[1..1]	Amount	C1	989
	RateStatus <RateSts>	[1..1]	CodeSet		989

16.1.22.2.2.1 Amount <Amt>*Presence:* [1..1]*Definition:* Value expressed as an amount.*Impacted by:* C1 "ActiveCurrency"*Datatype:* "RestrictedFINActiveCurrencyAnd13DecimalAmount" on page 1086**Constraints**

- ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

16.1.22.2.2.2 RateStatus <RateSts>*Presence:* [1..1]*Definition:* Value expressed as a rate status.*Datatype:* "RateStatus1Code" on page 1160

CodeName	Name	Definition
ACTU	ActualRate	Rate is actual.
INDI	IndicativeRate	Rate is indicative.

16.1.22.2.3 RateTypeAndAmountAndRateStatus <RateTpAndAmtAndRateSts>*Presence:* [1..1]*Definition:* Specifies different formats for the net dividend rate.

RateTypeAndAmountAndRateStatus <RateTpAndAmtAndRateSts> contains the following **RateTypeAndAmountAndStatus62** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	RateType <RateTp>	[1..1]			989
{Or	Code <Cd>	[1..1]	CodeSet		990
Or}	Proprietary <Prtry>	[1..1]	±		990
	Amount <Amt>	[1..1]	Amount	C1	991
	RateStatus <RateSts>	[0..1]		C58	991
{Or	Code <Cd>	[1..1]	CodeSet		991
Or}	Proprietary <Prtry>	[1..1]	±		992

16.1.22.2.3.1 RateType <RateTp>*Presence:* [1..1]*Definition:* Value expressed as a rate type.

RateType <RateTp> contains one of the following **RateType83Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		990
Or}	Proprietary <Prtry>	[1..1]	±		990

16.1.22.2.3.1.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the type of net dividend rate.

Datatype: "NetDividendRateType7Code" on page 1150

CodeName	Name	Definition
CAPO	CapitalPortion	Rate relating to the underlying security for which capital is distributed.
CDFI	ForeignIncome	Rate relating to a foreign income type such as a conduit foreign income.
FUPU	FullPeriodUnitsIncomePortion	Distribution rate relating to the full period units, for example Group I units in UK.
FLFR	FullyFranked	Rate resulting from a fully franked dividend paid by a company; the rate includes tax credit for companies that have made sufficient tax payments during the fiscal period.
INCO	IncomePortion	Rate relating to the underlying security for which income is distributed.
INTR	Interest	Rate relating to the underlying security for which interest is paid.
SOIC	SundryOrOtherIncome	Rate relating to the underlying security for which other income is paid.
TXBL	TaxablePortion	Rate relating to the underlying security for which tax is charged.
TXDF	TaxDeferred	Rate relating to the underlying security for which tax is deferred.
TXFR	TaxFree	Rate relating to the underlying security which is not taxable.
UNFR	Unfranked	Rate resulting from an unfranked dividend paid by a company; the rate does not include tax credit and is subject to withholding tax.
PAPU	PartWayPeriodUnitsIncomePortion	Distribution rate relating to the part way period units, for example Group II units in UK.
REES	RealEstatePropertyIncomePortion	Rate of income distribution originated by real estate investment.

16.1.22.2.3.1.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification of the type of net dividend rate.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 790 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		790
	Issuer <Issr>	[1..1]	Text		790
	SchemeName <SchmeNm>	[0..1]	Text		791

16.1.22.2.3.2 Amount <Amt>

Presence: [1..1]

Definition: Value expressed as an amount.

Impacted by: [C1 "ActiveCurrency"](#)

Datatype: "[RestrictedFINActiveCurrencyAnd13DecimalAmount](#)" on page 1086

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

16.1.22.2.3.3 RateStatus <RateSts>

Presence: [0..1]

Definition: Value expressed as a rate status.

Impacted by: [C58 "RateStatusGuideline"](#)

RateStatus <RateSts> contains one of the following **RateStatus4Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		991
Or}	Proprietary <Prtry>	[1..1]	±		992

Constraints

- **RateStatusGuideline**

The code value ACTU is the default value for a rate status.

16.1.22.2.3.3.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the status of the rate.

Datatype: "[RateStatus1Code](#)" on page 1160

CodeName	Name	Definition
ACTU	ActualRate	Rate is actual.
INDI	IndicativeRate	Rate is indicative.

16.1.22.2.3.3.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification of the status of the rate.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 790 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		790
	Issuer <Issr>	[1..1]	Text		790
	SchemeName <SchmeNm>	[0..1]	Text		791

16.1.22.3 RateAndAmountFormat54Choice

Definition: Choice of format between a rate or a deemed rate type and rate or an amount or a unspecified rate.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		993
Or	Amount <Amt>	[1..1]	Amount	C1	993
Or	RateTypeAndAmountAndRateStatus <RateTpAndAmtAndRateSts>	[1..1]			993
	RateType <RateTp>	[1..1]			993
{Or	Code <Cd>	[1..1]	CodeSet		994
Or}	Proprietary <Prtry>	[1..1]	±		994
	Amount <Amt>	[1..1]	Amount	C1	994
	RateStatus <RateSts>	[0..1]		C58	995
{Or	Code <Cd>	[1..1]	CodeSet		995
Or}	Proprietary <Prtry>	[1..1]	±		995
Or}	RateTypeAndRate <RateTpAndRate>	[1..1]			995
	RateType <RateTp>	[1..1]			996
{Or	Code <Cd>	[1..1]	CodeSet		996
Or}	Proprietary <Prtry>	[1..1]	±		996
	Rate <Rate>	[1..1]	Rate		997

16.1.22.3.1 Rate <Rate>*Presence:* [1..1]*Definition:* Value expressed as a rate.*Datatype:* "PercentageRate" on page 1172**16.1.22.3.2 Amount <Amt>***Presence:* [1..1]*Definition:* Value is expressed as a currency and amount.*Impacted by:* C1 "ActiveCurrency"*Datatype:* "RestrictedFINActiveCurrencyAnd13DecimalAmount" on page 1086**Constraints**

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

16.1.22.3.3 RateTypeAndAmountAndRateStatus <RateTpAndAmtAndRateSts>*Presence:* [1..1]*Definition:* Value is expressed as a rate type and an amount.

RateTypeAndAmountAndRateStatus <RateTpAndAmtAndRateSts> contains the following **RateTypeAndAmountAndStatus54** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	RateType <RateTp>	[1..1]			993
{Or	Code <Cd>	[1..1]	CodeSet		994
Or}	Proprietary <Prtry>	[1..1]	±		994
	Amount <Amt>	[1..1]	Amount	C1	994
	RateStatus <RateSts>	[0..1]		C58	995
{Or	Code <Cd>	[1..1]	CodeSet		995
Or}	Proprietary <Prtry>	[1..1]	±		995

16.1.22.3.3.1 RateType <RateTp>*Presence:* [1..1]*Definition:* Value expressed as a rate type.

RateType <RateTp> contains one of the following **DeemedRateType2Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		994
Or}	Proprietary <Prtry>	[1..1]	±		994

16.1.22.3.3.1.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the type of deemed rate.

Datatype: "DeemedRateType1Code" on page 1136

CodeName	Name	Definition
DEDI	DeemedDividendProceeds	Deemed rate of dividend proceeds attributed to the security holder.
DEFP	DeemedFundProceeds	Deemed rate of fund proceeds attributed to the security holder.
DEIT	DeemedInterestProceeds	Deemed rate of interest proceeds attributed to the security holder.
DERY	DeemedRoyaltiesProceeds	Deemed rate of royalties proceeds attributed to the security holder.

16.1.22.3.3.1.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification of the type of deemed rate.

Proprietary <Prtry> contains the following elements (see "GenericIdentification47" on page 790 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		790
	Issuer <Issr>	[1..1]	Text		790
	SchemeName <SchmeNm>	[0..1]	Text		791

16.1.22.3.3.2 Amount <Amt>

Presence: [1..1]

Definition: Value expressed as an amount.

Impacted by: C1 "ActiveCurrency"

Datatype: "RestrictedFINActiveCurrencyAnd13DecimalAmount" on page 1086

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217

Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

16.1.22.3.3.3 RateStatus <RateSts>

Presence: [0..1]

Definition: Value expressed as a rate status.

Impacted by: [C58 "RateStatusGuideline"](#)

RateStatus <RateSts> contains one of the following **RateStatus4Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		995
Or}	Proprietary <Prtry>	[1..1]	±		995

Constraints

- **RateStatusGuideline**

The code value ACTU is the default value for a rate status.

16.1.22.3.3.3.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the status of the rate.

Datatype: ["RateStatus1Code" on page 1160](#)

CodeName	Name	Definition
ACTU	ActualRate	Rate is actual.
INDI	IndicativeRate	Rate is indicative.

16.1.22.3.3.3.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification of the status of the rate.

Proprietary <Prtry> contains the following elements (see ["GenericIdentification47" on page 790](#) for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		790
	Issuer <Issr>	[1..1]	Text		790
	SchemeName <SchmeNm>	[0..1]	Text		791

16.1.22.3.4 RateTypeAndRate <RateTpAndRate>

Presence: [1..1]

Definition: Value is expressed as a rate type and a percentage rate.

RateTypeAndRate <RateTpAndRate> contains the following **RateTypeAndPercentageRate11** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	RateType <RateTp>	[1..1]			996
{Or	Code <Cd>	[1..1]	CodeSet		996
Or}	Proprietary <Prtry>	[1..1]	±		996
	Rate <Rate>	[1..1]	Rate		997

16.1.22.3.4.1 RateType <RateTp>

Presence: [1..1]

Definition: Value expressed as a rate type.

RateType <RateTp> contains one of the following **DeemedRateType2Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		996
Or}	Proprietary <Prtry>	[1..1]	±		996

16.1.22.3.4.1.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the type of deemed rate.

Datatype: "DeemedRateType1Code" on page 1136

CodeName	Name	Definition
DEDI	DeemedDividendProceeds	Deemed rate of dividend proceeds attributed to the security holder.
DEFP	DeemedFundProceeds	Deemed rate of fund proceeds attributed to the security holder.
DEIT	DeemedInterestProceeds	Deemed rate of interest proceeds attributed to the security holder.
DERY	DeemedRoyaltiesProceeds	Deemed rate of royalties proceeds attributed to the security holder.

16.1.22.3.4.1.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification of the type of deemed rate.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 790 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		790
	Issuer <Issr>	[1..1]	Text		790
	SchemeName <SchmeNm>	[0..1]	Text		791

16.1.22.3.4.2 Rate <Rate>

Presence: [1..1]

Definition: Value expressed as a rate.

Datatype: "[PercentageRate](#)" on page 1172

16.1.22.4 GrossDividendRateFormat40Choice

Definition: Choice of format to express a gross dividend.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Amount <Amt>	[1..1]	Amount	C1	997
Or	AmountAndRateStatus <AmtAndRateSts>	[1..1]			998
	Amount <Amt>	[1..1]	Amount	C1	998
	RateStatus <RateSts>	[1..1]	CodeSet		998
Or}	RateTypeAndAmountAndRateStatus <RateTpAndAmtAndRateSts>	[1..1]			998
	RateType <RateTp>	[1..1]			999
{Or	Code <Cd>	[1..1]	CodeSet		999
Or}	Proprietary <Prtry>	[1..1]	±		1000
	Amount <Amt>	[1..1]	Amount	C1	1000
	RateStatus <RateSts>	[0..1]		C58	1001
{Or	Code <Cd>	[1..1]	CodeSet		1001
Or}	Proprietary <Prtry>	[1..1]	±		1001

16.1.22.4.1 Amount <Amt>

Presence: [1..1]

Definition: Value expressed as an amount.

Impacted by: C1 "ActiveCurrency"

Datatype: "[RestrictedFINActiveCurrencyAnd13DecimalAmount](#)" on page 1086

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

16.1.22.4.2 AmountAndRateStatus <AmtAndRateSts>

Presence: [1..1]

Definition: Specifies an amount and a rate status.

AmountAndRateStatus <AmtAndRateSts> contains the following **AmountAndRateStatus2** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Amount <Amt>	[1..1]	Amount	C1	998
	RateStatus <RateSts>	[1..1]	CodeSet		998

16.1.22.4.2.1 Amount <Amt>

Presence: [1..1]

Definition: Value expressed as an amount.

Impacted by: C1 "ActiveCurrency"

Datatype: "RestrictedFINActiveCurrencyAnd13DecimalAmount" on page 1086

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

16.1.22.4.2.2 RateStatus <RateSts>

Presence: [1..1]

Definition: Value expressed as a rate status.

Datatype: "RateStatus1Code" on page 1160

CodeName	Name	Definition
ACTU	ActualRate	Rate is actual.
INDI	IndicativeRate	Rate is indicative.

16.1.22.4.3 RateTypeAndAmountAndRateStatus <RateTpAndAmtAndRateSts>

Presence: [1..1]

Definition: Specifies different formats for the gross dividend rate.

RateTypeAndAmountAndRateStatus <RateTpAndAmtAndRateSts> contains the following **RateTypeAndAmountAndStatus61** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	RateType <RateTp>	[1..1]			999
{Or	Code <Cd>	[1..1]	CodeSet		999
Or}	Proprietary <Prtry>	[1..1]	±		1000
	Amount <Amt>	[1..1]	Amount	C1	1000
	RateStatus <RateSts>	[0..1]		C58	1001
{Or	Code <Cd>	[1..1]	CodeSet		1001
Or}	Proprietary <Prtry>	[1..1]	±		1001

16.1.22.4.3.1 RateType <RateTp>

Presence: [1..1]

Definition: Value expressed as a rate type.

RateType <RateTp> contains one of the following **RateType82Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		999
Or}	Proprietary <Prtry>	[1..1]	±		1000

16.1.22.4.3.1.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the type of gross dividend rate.

Datatype: "GrossDividendRateType7Code" on page 1143

CodeName	Name	Definition
CAPO	CapitalPortion	Rate relating to the underlying security for which capital is distributed.
CDFI	ForeignIncome	Rate relating to a foreign income type such as a conduit foreign income.
FUPU	FullPeriodUnitsIncomePortion	Distribution rate relating to the full period units, for example Group I units in UK.
FLFR	FullyFranked	Rate resulting from a fully franked dividend paid by a company; the rate includes tax credit for companies that have made sufficient tax payments during the fiscal period.
INCO	IncomePortion	Rate relating to the underlying security for which income is distributed.
INTR	Interest	Rate relating to the underlying security for which interest is paid.
LTCG	LongTermCapitalGain	Long term capital gain.

CodeName	Name	Definition
PAPU	PartWayPeriodUnitsIncomePortion	Distribution rate relating to the part way period units, for example Group II units in UK.
REES	RealEstatePropertyIncomePortion	Rate of income distribution originated by real estate investment.
STCG	ShortTermCapitalGain	Short term capital gain.
SOIC	SundryOrOtherIncome	Rate relating to the underlying security for which other income is paid.
TXBL	TaxablePortion	Rate relating to the underlying security for which tax is charged.
TXDF	TaxDeferred	Rate relating to the underlying security for which tax is deferred.
TXFR	TaxFree	Rate relating to the underlying security which is not taxable.
UNFR	Unfranked	Rate resulting from an unfranked dividend paid by a company; the rate does not include tax credit and is subject to withholding tax.

16.1.22.4.3.1.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification of the type of gross dividend rate.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 790 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		790
	Issuer <Issr>	[1..1]	Text		790
	SchemeName <SchmeNm>	[0..1]	Text		791

16.1.22.4.3.2 Amount <Amt>

Presence: [1..1]

Definition: Value expressed as an amount.

Impacted by: C1 "ActiveCurrency"

Datatype: "[RestrictedFINActiveCurrencyAnd13DecimalAmount](#)" on page 1086

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217

Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

16.1.22.4.3.3 RateStatus <RateSts>

Presence: [0..1]

Definition: Value expressed as a rate status.

Impacted by: [C58 "RateStatusGuideline"](#)

RateStatus <RateSts> contains one of the following **RateStatus4Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1001
Or}	Proprietary <Prtry>	[1..1]	±		1001

Constraints

- **RateStatusGuideline**

The code value ACTU is the default value for a rate status.

16.1.22.4.3.3.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the status of the rate.

Datatype: ["RateStatus1Code" on page 1160](#)

CodeName	Name	Definition
ACTU	ActualRate	Rate is actual.
INDI	IndicativeRate	Rate is indicative.

16.1.22.4.3.3.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification of the status of the rate.

Proprietary <Prtry> contains the following elements (see ["GenericIdentification47" on page 790](#) for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		790
	Issuer <Issr>	[1..1]	Text		790
	SchemeName <SchmeNm>	[0..1]	Text		791

16.1.22.5 RateFormat23Choice

Definition: Choice between a rate or an unspecified rate.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1002
Or}	Amount <Amt>	[1..1]	Amount	C1	1002

16.1.22.5.1 Rate <Rate>

Presence: [1..1]

Definition: Value is expressed as a rate.

Datatype: "PercentageRate" on page 1172

16.1.22.5.2 Amount <Amt>

Presence: [1..1]

Definition: Cash amount.

Impacted by: C1 "ActiveCurrency"

Datatype: "RestrictedFINActiveCurrencyAnd13DecimalAmount" on page 1086

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

16.1.22.6 NetDividendRateFormat41Choice

Definition: Choice between an amount or an unspecified rate.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Amount <Amt>	[1..1]	Amount	C1	1003
Or	AmountAndRateStatus <AmtAndRateSts>	[1..1]			1003
	Amount <Amt>	[1..1]	Amount	C1	1004
	RateStatus <RateSts>	[1..1]	CodeSet		1004
Or}	RateTypeAndAmountAndRateStatus <RateTpAndAmtAndRateSts>	[1..1]			1004
	RateType <RateTp>	[1..1]			1004
{Or	Code <Cd>	[1..1]	CodeSet		1005
Or}	Proprietary <Prtry>	[1..1]	±		1005
	Amount <Amt>	[1..1]	Amount	C1	1006
	RateStatus <RateSts>	[0..1]		C58	1006
{Or	Code <Cd>	[1..1]	CodeSet		1006
Or}	Proprietary <Prtry>	[1..1]	±		1007

16.1.22.6.1 Amount <Amt>

Presence: [1..1]

Definition: Number of monetary units specified in a currency.

Impacted by: C1 "ActiveCurrency"

Datatype: "RestrictedFINActiveCurrencyAnd13DecimalAmount" on page 1086

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

16.1.22.6.2 AmountAndRateStatus <AmtAndRateSts>

Presence: [1..1]

Definition: Specifies an amount and a rate status.

AmountAndRateStatus <AmtAndRateSts> contains the following **AmountAndRateStatus2** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Amount <Amt>	[1..1]	Amount	C1	1004
	RateStatus <RateSts>	[1..1]	CodeSet		1004

16.1.22.6.2.1 Amount <Amt>*Presence:* [1..1]*Definition:* Value expressed as an amount.*Impacted by:* C1 "ActiveCurrency"*Datatype:* "RestrictedFINActiveCurrencyAnd13DecimalAmount" on page 1086**Constraints**

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

16.1.22.6.2.2 RateStatus <RateSts>*Presence:* [1..1]*Definition:* Value expressed as a rate status.*Datatype:* "RateStatus1Code" on page 1160

CodeName	Name	Definition
ACTU	ActualRate	Rate is actual.
INDI	IndicativeRate	Rate is indicative.

16.1.22.6.3 RateTypeAndAmountAndRateStatus <RateTpAndAmtAndRateSts>*Presence:* [1..1]*Definition:* Specifies different formats for the net dividend rate.

RateTypeAndAmountAndRateStatus <RateTpAndAmtAndRateSts> contains the following **RateTypeAndAmountAndStatus60** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	RateType <RateTp>	[1..1]			1004
{Or	Code <Cd>	[1..1]	CodeSet		1005
Or}	Proprietary <Prtry>	[1..1]	±		1005
	Amount <Amt>	[1..1]	Amount	C1	1006
	RateStatus <RateSts>	[0..1]		C58	1006
{Or	Code <Cd>	[1..1]	CodeSet		1006
Or}	Proprietary <Prtry>	[1..1]	±		1007

16.1.22.6.3.1 RateType <RateTp>*Presence:* [1..1]*Definition:* Value expressed as a rate type.

RateType <RateTp> contains one of the following **RateType81Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1005
Or}	Proprietary <Prtry>	[1..1]	±		1005

16.1.22.6.3.1.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the type of net dividend rate.

Datatype: "NetDividendRateType6Code" on page 1149

CodeName	Name	Definition
CAPO	CapitalPortion	Rate relating to the underlying security for which capital is distributed.
CDFI	ForeignIncome	Rate relating to a foreign income type such as a conduit foreign income.
FLFR	FullyFranked	Rate resulting from a fully franked dividend paid by a company; the rate includes tax credit for companies that have made sufficient tax payments during the fiscal period.
INCO	IncomePortion	Rate relating to the underlying security for which income is distributed.
INTR	Interest	Rate relating to the underlying security for which interest is paid.
REES	RealEstatePropertyIncomePortion	Rate of income distribution originated by real estate investment.
SOIC	SundryOrOtherIncome	Rate relating to the underlying security for which other income is paid.
TXBL	TaxablePortion	Rate relating to the underlying security for which tax is charged.
TXDF	TaxDeferred	Rate relating to the underlying security for which tax is deferred.
TXFR	TaxFree	Rate relating to the underlying security which is not taxable.
UNFR	Unfranked	Rate resulting from an unfranked dividend paid by a company; the rate does not include tax credit and is subject to withholding tax.

16.1.22.6.3.1.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification of the type of net dividend rate.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 790 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		790
	Issuer <Issr>	[1..1]	Text		790
	SchemeName <SchmeNm>	[0..1]	Text		791

16.1.22.6.3.2 Amount <Amt>

Presence: [1..1]

Definition: Value expressed as an amount.

Impacted by: [C1 "ActiveCurrency"](#)

Datatype: "[RestrictedFINActiveCurrencyAnd13DecimalAmount](#)" on page 1086

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

16.1.22.6.3.3 RateStatus <RateSts>

Presence: [0..1]

Definition: Value expressed as a rate status.

Impacted by: [C58 "RateStatusGuideline"](#)

RateStatus <RateSts> contains one of the following **RateStatus4Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1006
Or}	Proprietary <Prtry>	[1..1]	±		1007

Constraints

- **RateStatusGuideline**

The code value ACTU is the default value for a rate status.

16.1.22.6.3.3.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the status of the rate.

Datatype: "[RateStatus1Code](#)" on page 1160

CodeName	Name	Definition
ACTU	ActualRate	Rate is actual.

CodeName	Name	Definition
INDI	IndicativeRate	Rate is indicative.

16.1.22.6.3.3.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification of the status of the rate.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 790 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		790
	Issuer <Issr>	[1..1]	Text		790
	SchemeName <SchmeNm>	[0..1]	Text		791

16.1.22.7 GrossDividendRateFormat39Choice

Definition: Choice of format to express a gross dividend.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Amount <Amt>	[1..1]	Amount	C1	1007
Or	AmountAndRateStatus <AmtAndRateSts>	[1..1]			1008
	Amount <Amt>	[1..1]	Amount	C1	1008
	RateStatus <RateSts>	[1..1]	CodeSet		1008
Or}	RateTypeAndAmountAndRateStatus <RateTpAndAmtAndRateSts>	[1..1]			1008
	RateType <RateTp>	[1..1]			1009
{Or	Code <Cd>	[1..1]	CodeSet		1009
Or}	Proprietary <Prtry>	[1..1]	±		1010
	Amount <Amt>	[1..1]	Amount	C1	1010
	RateStatus <RateSts>	[0..1]		C58	1010
{Or	Code <Cd>	[1..1]	CodeSet		1011
Or}	Proprietary <Prtry>	[1..1]	±		1011

16.1.22.7.1 Amount <Amt>

Presence: [1..1]

Definition: Value expressed as an amount.

Impacted by: C1 "ActiveCurrency"

Datatype: "RestrictedFINActiveCurrencyAnd13DecimalAmount" on page 1086

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

16.1.22.7.2 AmountAndRateStatus <AmtAndRateSts>

Presence: [1..1]

Definition: Specifies an amount and a rate status.

AmountAndRateStatus <AmtAndRateSts> contains the following **AmountAndRateStatus2** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Amount <Amt>	[1..1]	Amount	C1	1008
	RateStatus <RateSts>	[1..1]	CodeSet		1008

16.1.22.7.2.1 Amount <Amt>

Presence: [1..1]

Definition: Value expressed as an amount.

Impacted by: C1 "ActiveCurrency"

Datatype: "RestrictedFINActiveCurrencyAnd13DecimalAmount" on page 1086

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

16.1.22.7.2.2 RateStatus <RateSts>

Presence: [1..1]

Definition: Value expressed as a rate status.

Datatype: "RateStatus1Code" on page 1160

CodeName	Name	Definition
ACTU	ActualRate	Rate is actual.
INDI	IndicativeRate	Rate is indicative.

16.1.22.7.3 RateTypeAndAmountAndRateStatus <RateTpAndAmtAndRateSts>

Presence: [1..1]

Definition: Specifies different formats for the gross dividend rate.

RateTypeAndAmountAndRateStatus <RateTpAndAmtAndRateSts> contains the following **RateTypeAndAmountAndStatus59** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	RateType <RateTp>	[1..1]			1009
{Or	Code <Cd>	[1..1]	CodeSet		1009
Or}	Proprietary <Prtry>	[1..1]	±		1010
	Amount <Amt>	[1..1]	Amount	C1	1010
	RateStatus <RateSts>	[0..1]		C58	1010
{Or	Code <Cd>	[1..1]	CodeSet		1011
Or}	Proprietary <Prtry>	[1..1]	±		1011

16.1.22.7.3.1 RateType <RateTp>

Presence: [1..1]

Definition: Value expressed as a rate type.

RateType <RateTp> contains one of the following **RateType80Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1009
Or}	Proprietary <Prtry>	[1..1]	±		1010

16.1.22.7.3.1.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the type of gross dividend rate.

Datatype: "GrossDividendRateType6Code" on page 1142

CodeName	Name	Definition
CAPO	CapitalPortion	Rate relating to the underlying security for which capital is distributed.
FLFR	FullyFranked	Rate resulting from a fully franked dividend paid by a company; the rate includes tax credit for companies that have made sufficient tax payments during the fiscal period.
INCO	IncomePortion	Rate relating to the underlying security for which income is distributed.
INTR	Interest	Rate relating to the underlying security for which interest is paid.
LTCG	LongTermCapitalGain	Long term capital gain.
REES	RealEstatePropertyIncomePortion	Rate of income distribution originated by real estate investment.
STCG	ShortTermCapitalGain	Short term capital gain.

CodeName	Name	Definition
SOIC	SundryOrOtherIncome	Rate relating to the underlying security for which other income is paid.
TXBL	TaxablePortion	Rate relating to the underlying security for which tax is charged.
TXDF	TaxDeferred	Rate relating to the underlying security for which tax is deferred.
TXFR	TaxFree	Rate relating to the underlying security which is not taxable.
UNFR	Unfranked	Rate resulting from an unfranked dividend paid by a company; the rate does not include tax credit and is subject to withholding tax.
CDFI	ForeignIncome	Rate relating to a foreign income type such as a conduit foreign income.

16.1.22.7.3.1.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification of the type of gross dividend rate.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 790 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		790
	Issuer <Issr>	[1..1]	Text		790
	SchemeName <SchmeNm>	[0..1]	Text		791

16.1.22.7.3.2 Amount <Amt>

Presence: [1..1]

Definition: Value expressed as an amount.

Impacted by: C1 "ActiveCurrency"

Datatype: "RestrictedFINActiveCurrencyAnd13DecimalAmount" on page 1086

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

16.1.22.7.3.3 RateStatus <RateSts>

Presence: [0..1]

Definition: Value expressed as a rate status.

Impacted by: C58 "RateStatusGuideline"

RateStatus <RateSts> contains one of the following **RateStatus4Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1011
Or}	Proprietary <Prtry>	[1..1]	±		1011

Constraints

- **RateStatusGuideline**

The code value ACTU is the default value for a rate status.

16.1.22.7.3.3.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the status of the rate.

Datatype: "RateStatus1Code" on page 1160

CodeName	Name	Definition
ACTU	ActualRate	Rate is actual.
INDI	IndicativeRate	Rate is indicative.

16.1.22.7.3.3.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification of the status of the rate.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 790 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		790
	Issuer <Issr>	[1..1]	Text		790
	SchemeName <SchmeNm>	[0..1]	Text		791

16.1.22.8 NetDividendRateFormat44Choice

Definition: Choice between an amount or an unspecified rate.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Amount <Amt>	[1..1]	Amount	C1	1012
Or	AmountAndRateStatus <AmtAndRateSts>	[1..1]			1012
	Amount <Amt>	[1..1]	Amount	C1	1013
	RateStatus <RateSts>	[1..1]	CodeSet		1013
Or	RateTypeAndAmountAndRateStatus <RateTpAndAmtAndRateSts>	[1..1]			1013
	RateType <RateTp>	[1..1]			1013
{Or	Code <Cd>	[1..1]	CodeSet		1014
Or}	Proprietary <Prtry>	[1..1]	±		1014
	Amount <Amt>	[1..1]	Amount	C1	1015
	RateStatus <RateSts>	[0..1]		C58	1015
{Or	Code <Cd>	[1..1]	CodeSet		1015
Or}	Proprietary <Prtry>	[1..1]	±		1016
Or}	NotSpecifiedRate <NotSpctdRate>	[1..1]	CodeSet		1016

16.1.22.8.1 Amount <Amt>

Presence: [1..1]

Definition: Number of monetary units specified in a currency.

Impacted by: C1 "ActiveCurrency"

Datatype: "RestrictedFINActiveCurrencyAnd13DecimalAmount" on page 1086

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

16.1.22.8.2 AmountAndRateStatus <AmtAndRateSts>

Presence: [1..1]

Definition: Specifies an amount and a rate status.

AmountAndRateStatus <AmtAndRateSts> contains the following **AmountAndRateStatus2** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Amount <Amt>	[1..1]	Amount	C1	1013
	RateStatus <RateSts>	[1..1]	CodeSet		1013

16.1.22.8.2.1 Amount <Amt>*Presence:* [1..1]*Definition:* Value expressed as an amount.*Impacted by:* C1 "ActiveCurrency"*Datatype:* "RestrictedFINActiveCurrencyAnd13DecimalAmount" on page 1086**Constraints**

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

16.1.22.8.2.2 RateStatus <RateSts>*Presence:* [1..1]*Definition:* Value expressed as a rate status.*Datatype:* "RateStatus1Code" on page 1160

CodeName	Name	Definition
ACTU	ActualRate	Rate is actual.
INDI	IndicativeRate	Rate is indicative.

16.1.22.8.3 RateTypeAndAmountAndRateStatus <RateTpAndAmtAndRateSts>*Presence:* [1..1]*Definition:* Specifies different formats for the net dividend rate.

RateTypeAndAmountAndRateStatus <RateTpAndAmtAndRateSts> contains the following **RateTypeAndAmountAndStatus62** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	RateType <RateTp>	[1..1]			1013
{Or	Code <Cd>	[1..1]	CodeSet		1014
Or}	Proprietary <Prtry>	[1..1]	±		1014
	Amount <Amt>	[1..1]	Amount	C1	1015
	RateStatus <RateSts>	[0..1]		C58	1015
{Or	Code <Cd>	[1..1]	CodeSet		1015
Or}	Proprietary <Prtry>	[1..1]	±		1016

16.1.22.8.3.1 RateType <RateTp>*Presence:* [1..1]*Definition:* Value expressed as a rate type.

RateType <RateTp> contains one of the following **RateType83Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1014
Or}	Proprietary <Prtry>	[1..1]	±		1014

16.1.22.8.3.1.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the type of net dividend rate.

Datatype: "NetDividendRateType7Code" on page 1150

CodeName	Name	Definition
CAPO	CapitalPortion	Rate relating to the underlying security for which capital is distributed.
CDFI	ForeignIncome	Rate relating to a foreign income type such as a conduit foreign income.
FUPU	FullPeriodUnitsIncomePortion	Distribution rate relating to the full period units, for example Group I units in UK.
FLFR	FullyFranked	Rate resulting from a fully franked dividend paid by a company; the rate includes tax credit for companies that have made sufficient tax payments during the fiscal period.
INCO	IncomePortion	Rate relating to the underlying security for which income is distributed.
INTR	Interest	Rate relating to the underlying security for which interest is paid.
SOIC	SundryOrOtherIncome	Rate relating to the underlying security for which other income is paid.
TXBL	TaxablePortion	Rate relating to the underlying security for which tax is charged.
TXDF	TaxDeferred	Rate relating to the underlying security for which tax is deferred.
TXFR	TaxFree	Rate relating to the underlying security which is not taxable.
UNFR	Unfranked	Rate resulting from an unfranked dividend paid by a company; the rate does not include tax credit and is subject to withholding tax.
PAPU	PartWayPeriodUnitsIncomePortion	Distribution rate relating to the part way period units, for example Group II units in UK.
REES	RealEstatePropertyIncomePortion	Rate of income distribution originated by real estate investment.

16.1.22.8.3.1.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification of the type of net dividend rate.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 790 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		790
	Issuer <Issr>	[1..1]	Text		790
	SchemeName <SchmeNm>	[0..1]	Text		791

16.1.22.8.3.2 Amount <Amt>

Presence: [1..1]

Definition: Value expressed as an amount.

Impacted by: [C1 "ActiveCurrency"](#)

Datatype: "[RestrictedFINActiveCurrencyAnd13DecimalAmount](#)" on page 1086

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

16.1.22.8.3.3 RateStatus <RateSts>

Presence: [0..1]

Definition: Value expressed as a rate status.

Impacted by: [C58 "RateStatusGuideline"](#)

RateStatus <RateSts> contains one of the following **RateStatus4Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1015
Or}	Proprietary <Prtry>	[1..1]	±		1016

Constraints

- **RateStatusGuideline**

The code value ACTU is the default value for a rate status.

16.1.22.8.3.3.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the status of the rate.

Datatype: "[RateStatus1Code](#)" on page 1160

CodeName	Name	Definition
ACTU	ActualRate	Rate is actual.
INDI	IndicativeRate	Rate is indicative.

16.1.22.8.3.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification of the status of the rate.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 790 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		790
	Issuer <Issr>	[1..1]	Text		790
	SchemeName <SchmeNm>	[0..1]	Text		791

16.1.22.8.4 NotSpecifiedRate <NotSpcfdRate>

Presence: [1..1]

Definition: Value of the rate not specified.

Datatype: "[RateValueType7Code](#)" on page 1161

CodeName	Name	Definition
UKWN	Unknown	Rate is unknown by the sender or has not been established.

16.1.22.9 GrossDividendRateFormat42Choice

Definition: Choice between an amount or an unspecified rate.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Amount <Amt>	[1..1]	Amount	C1	1017
Or	AmountAndRateStatus <AmtAndRateSts>	[1..1]			1017
	Amount <Amt>	[1..1]	Amount	C1	1018
	RateStatus <RateSts>	[1..1]	CodeSet		1018
Or	RateTypeAndAmountAndRateStatus <RateTpAndAmtAndRateSts>	[1..1]			1018
	RateType <RateTp>	[1..1]			1018
{Or	Code <Cd>	[1..1]	CodeSet		1019
Or}	Proprietary <Prtry>	[1..1]	±		1020
	Amount <Amt>	[1..1]	Amount	C1	1020
	RateStatus <RateSts>	[0..1]		C58	1020
{Or	Code <Cd>	[1..1]	CodeSet		1020
Or}	Proprietary <Prtry>	[1..1]	±		1021
Or}	NotSpecifiedRate <NotSpctdRate>	[1..1]	CodeSet		1021

16.1.22.9.1 Amount <Amt>

Presence: [1..1]

Definition: Number of monetary units specified in a currency.

Impacted by: C1 "ActiveCurrency"

Datatype: "RestrictedFINActiveCurrencyAnd13DecimalAmount" on page 1086

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

16.1.22.9.2 AmountAndRateStatus <AmtAndRateSts>

Presence: [1..1]

Definition: Specifies an amount and a rate status.

AmountAndRateStatus <AmtAndRateSts> contains the following **AmountAndRateStatus2** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Amount <Amt>	[1..1]	Amount	C1	1018
	RateStatus <RateSts>	[1..1]	CodeSet		1018

16.1.22.9.2.1 Amount <Amt>*Presence:* [1..1]*Definition:* Value expressed as an amount.*Impacted by:* C1 "ActiveCurrency"*Datatype:* "RestrictedFINActiveCurrencyAnd13DecimalAmount" on page 1086**Constraints**

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

16.1.22.9.2.2 RateStatus <RateSts>*Presence:* [1..1]*Definition:* Value expressed as a rate status.*Datatype:* "RateStatus1Code" on page 1160

CodeName	Name	Definition
ACTU	ActualRate	Rate is actual.
INDI	IndicativeRate	Rate is indicative.

16.1.22.9.3 RateTypeAndAmountAndRateStatus <RateTpAndAmtAndRateSts>*Presence:* [1..1]*Definition:* Specifies different formats for the gross dividend rate.

RateTypeAndAmountAndRateStatus <RateTpAndAmtAndRateSts> contains the following **RateTypeAndAmountAndStatus61** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	RateType <RateTp>	[1..1]			1018
{Or	Code <Cd>	[1..1]	CodeSet		1019
Or}	Proprietary <Prtry>	[1..1]	±		1020
	Amount <Amt>	[1..1]	Amount	C1	1020
	RateStatus <RateSts>	[0..1]		C58	1020
{Or	Code <Cd>	[1..1]	CodeSet		1020
Or}	Proprietary <Prtry>	[1..1]	±		1021

16.1.22.9.3.1 RateType <RateTp>*Presence:* [1..1]*Definition:* Value expressed as a rate type.

RateType <RateTp> contains one of the following **RateType82Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1019
Or}	Proprietary <Prtry>	[1..1]	±		1020

16.1.22.9.3.1.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the type of gross dividend rate.

Datatype: "GrossDividendRateType7Code" on page 1143

CodeName	Name	Definition
CAPO	CapitalPortion	Rate relating to the underlying security for which capital is distributed.
CDFI	ForeignIncome	Rate relating to a foreign income type such as a conduit foreign income.
FUPU	FullPeriodUnitsIncomePortion	Distribution rate relating to the full period units, for example Group I units in UK.
FLFR	FullyFranked	Rate resulting from a fully franked dividend paid by a company; the rate includes tax credit for companies that have made sufficient tax payments during the fiscal period.
INCO	IncomePortion	Rate relating to the underlying security for which income is distributed.
INTR	Interest	Rate relating to the underlying security for which interest is paid.
LT CG	LongTermCapitalGain	Long term capital gain.
PAPU	PartWayPeriodUnitsIncomePortion	Distribution rate relating to the part way period units, for example Group II units in UK.
REES	RealEstatePropertyIncomePortion	Rate of income distribution originated by real estate investment.
STCG	ShortTermCapitalGain	Short term capital gain.
SOIC	SundryOrOtherIncome	Rate relating to the underlying security for which other income is paid.
TXBL	TaxablePortion	Rate relating to the underlying security for which tax is charged.
TXDF	TaxDeferred	Rate relating to the underlying security for which tax is deferred.
TXFR	TaxFree	Rate relating to the underlying security which is not taxable.
UNFR	Unfranked	Rate resulting from an unfranked dividend paid by a company; the rate does not include tax credit and is subject to withholding tax.

16.1.22.9.3.1.2 Proprietary <Prtry>*Presence:* [1..1]*Definition:* Proprietary identification of the type of gross dividend rate.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 790 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		790
	Issuer <Issr>	[1..1]	Text		790
	SchemeName <SchmeNm>	[0..1]	Text		791

16.1.22.9.3.2 Amount <Amt>*Presence:* [1..1]*Definition:* Value expressed as an amount.*Impacted by:* [C1 "ActiveCurrency"](#)*Datatype:* "[RestrictedFINActiveCurrencyAnd13DecimalAmount](#)" on page 1086**Constraints**

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

16.1.22.9.3.3 RateStatus <RateSts>*Presence:* [0..1]*Definition:* Value expressed as a rate status.*Impacted by:* [C58 "RateStatusGuideline"](#)

RateStatus <RateSts> contains one of the following **RateStatus4Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1020
Or}	Proprietary <Prtry>	[1..1]	±		1021

Constraints

- **RateStatusGuideline**

The code value ACTU is the default value for a rate status.

16.1.22.9.3.3.1 Code <Cd>*Presence:* [1..1]*Definition:* Standard code to specify the status of the rate.

Datatype: "RateStatus1Code" on page 1160

CodeName	Name	Definition
ACTU	ActualRate	Rate is actual.
INDI	IndicativeRate	Rate is indicative.

16.1.22.9.3.3.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification of the status of the rate.

Proprietary <Prtry> contains the following elements (see "GenericIdentification47" on page 790 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		790
	Issuer <Issr>	[1..1]	Text		790
	SchemeName <SchmeNm>	[0..1]	Text		791

16.1.22.9.4 NotSpecifiedRate <NotSpcfdRate>

Presence: [1..1]

Definition: Value of the rate not specified.

Datatype: "RateType13Code" on page 1161

CodeName	Name	Definition
UKWN	Unknown	Rate is unknown by the sender or has not been established.
NILP	NilPayment	Rate will not be paid.

16.1.22.10 RateAndAmountFormat53Choice

Definition: Choice of format between a rate or a deemed rate type and rate or an amount or a unspecified rate.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1022
Or	Amount <Amt>	[1..1]	Amount	C1	1022
Or	NotSpecifiedRate <NotSpcedRate>	[1..1]	CodeSet		1023
Or	RateTypeAndAmountAndRateStatus <RateTpAndAmtAndRateSts>	[1..1]			1023
	RateType <RateTp>	[1..1]			1023
{Or	Code <Cd>	[1..1]	CodeSet		1023
Or}	Proprietary <Prtry>	[1..1]	±		1024
	Amount <Amt>	[1..1]	Amount	C1	1024
	RateStatus <RateSts>	[0..1]		C58	1024
{Or	Code <Cd>	[1..1]	CodeSet		1025
Or}	Proprietary <Prtry>	[1..1]	±		1025
Or}	RateTypeAndRate <RateTpAndRate>	[1..1]			1025
	RateType <RateTp>	[1..1]			1026
{Or	Code <Cd>	[1..1]	CodeSet		1026
Or}	Proprietary <Prtry>	[1..1]	±		1026
	Rate <Rate>	[1..1]	Rate		1027

16.1.22.10.1 Rate <Rate>

Presence: [1..1]

Definition: Value expressed as a rate.

Datatype: "PercentageRate" on page 1172

16.1.22.10.2 Amount <Amt>

Presence: [1..1]

Definition: Value is expressed as a currency and amount.

Impacted by: C1 "ActiveCurrency"

Datatype: "RestrictedFINActiveCurrencyAnd13DecimalAmount" on page 1086

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217

Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

16.1.22.10.3 NotSpecifiedRate <NotSpcfdRate>

Presence: [1..1]

Definition: Value of the rate not specified.

Datatype: "RateValueType7Code" on page 1161

CodeName	Name	Definition
UKWN	Unknown	Rate is unknown by the sender or has not been established.

16.1.22.10.4 RateTypeAndAmountAndRateStatus <RateTpAndAmtAndRateSts>

Presence: [1..1]

Definition: Value is expressed as a rate type and an amount.

RateTypeAndAmountAndRateStatus <RateTpAndAmtAndRateSts> contains the following **RateTypeAndAmountAndStatus54** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	RateType <RateTp>	[1..1]			1023
{Or	Code <Cd>	[1..1]	CodeSet		1023
Or}	Proprietary <Prtry>	[1..1]	±		1024
	Amount <Amt>	[1..1]	Amount	C1	1024
	RateStatus <RateSts>	[0..1]		C58	1024
{Or	Code <Cd>	[1..1]	CodeSet		1025
Or}	Proprietary <Prtry>	[1..1]	±		1025

16.1.22.10.4.1 RateType <RateTp>

Presence: [1..1]

Definition: Value expressed as a rate type.

RateType <RateTp> contains one of the following **DeemedRateType2Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1023
Or}	Proprietary <Prtry>	[1..1]	±		1024

16.1.22.10.4.1.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the type of deemed rate.

Datatype: "DeemedRateType1Code" on page 1136

CodeName	Name	Definition
DEDI	DeemedDividendProceeds	Deemed rate of dividend proceeds attributed to the security holder.
DEFP	DeemedFundProceeds	Deemed rate of fund proceeds attributed to the security holder.
DEIT	DeemedInterestProceeds	Deemed rate of interest proceeds attributed to the security holder.
DERY	DeemedRoyaltiesProceeds	Deemed rate of royalties proceeds attributed to the security holder.

16.1.22.10.4.1.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification of the type of deemed rate.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 790 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		790
	Issuer <Issr>	[1..1]	Text		790
	SchemeName <SchmeNm>	[0..1]	Text		791

16.1.22.10.4.2 Amount <Amt>

Presence: [1..1]

Definition: Value expressed as an amount.

Impacted by: [C1 "ActiveCurrency"](#)

Datatype: "[RestrictedFINActiveCurrencyAnd13DecimalAmount](#)" on page 1086

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

16.1.22.10.4.3 RateStatus <RateSts>

Presence: [0..1]

Definition: Value expressed as a rate status.

Impacted by: [C58 "RateStatusGuideline"](#)

RateStatus <RateSts> contains one of the following **RateStatus4Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1025
Or}	Proprietary <Prtry>	[1..1]	±		1025

Constraints

- **RateStatusGuideline**

The code value ACTU is the default value for a rate status.

16.1.22.10.4.3.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the status of the rate.

Datatype: "RateStatus1Code" on page 1160

CodeName	Name	Definition
ACTU	ActualRate	Rate is actual.
INDI	IndicativeRate	Rate is indicative.

16.1.22.10.4.3.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification of the status of the rate.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 790 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		790
	Issuer <Issr>	[1..1]	Text		790
	SchemeName <SchmeNm>	[0..1]	Text		791

16.1.22.10.5 RateTypeAndRate <RateTpAndRate>

Presence: [1..1]

Definition: Value is expressed as a rate type and a percentage rate.

RateTypeAndRate <RateTpAndRate> contains the following **RateTypeAndPercentageRate11** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	RateType <RateTp>	[1..1]			1026
{Or	Code <Cd>	[1..1]	CodeSet		1026
Or}	Proprietary <Prtry>	[1..1]	±		1026
	Rate <Rate>	[1..1]	Rate		1027

16.1.22.10.5.1 RateType <RateTp>

Presence: [1..1]

Definition: Value expressed as a rate type.

RateType <RateTp> contains one of the following **DeemedRateType2Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1026
Or}	Proprietary <Prtry>	[1..1]	±		1026

16.1.22.10.5.1.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the type of deemed rate.

Datatype: "DeemedRateType1Code" on page 1136

CodeName	Name	Definition
DEDI	DeemedDividendProceeds	Deemed rate of dividend proceeds attributed to the security holder.
DEFP	DeemedFundProceeds	Deemed rate of fund proceeds attributed to the security holder.
DEIT	DeemedInterestProceeds	Deemed rate of interest proceeds attributed to the security holder.
DERY	DeemedRoyaltiesProceeds	Deemed rate of royalties proceeds attributed to the security holder.

16.1.22.10.5.1.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification of the type of deemed rate.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 790 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		790
	Issuer <Issr>	[1..1]	Text		790
	SchemeName <SchmeNm>	[0..1]	Text		791

16.1.22.10.5.2 Rate <Rate>

Presence: [1..1]

Definition: Value expressed as a rate.

Datatype: "[PercentageRate](#)" on page 1172

16.1.22.11 SolicitationFeeRateFormat9Choice

Definition: Choice between a rate or an unspecified rate.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1027
Or	AmountToQuantity <AmtToQty>	[1..1]			1027
	Amount <Amt>	[1..1]	Amount	C1	1027
	Quantity <Qty>	[1..1]	Quantity		1028
Or}	Amount <Amt>	[1..1]	Amount	C1	1028

16.1.22.11.1 Rate <Rate>

Presence: [1..1]

Definition: Value is expressed as a rate.

Datatype: "[PercentageRate](#)" on page 1172

16.1.22.11.2 AmountToQuantity <AmtToQty>

Presence: [1..1]

Definition: Ratio expressed as an amount to quantity ratio.

AmountToQuantity <AmtToQty> contains the following **AmountAndQuantityRatio5** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Amount <Amt>	[1..1]	Amount	C1	1027
	Quantity <Qty>	[1..1]	Quantity		1028

16.1.22.11.2.1 Amount <Amt>

Presence: [1..1]

Definition: Cash amount.

Impacted by: C1 "ActiveCurrency"

Datatype: "RestrictedFINActiveCurrencyAnd13DecimalAmount" on page 1086

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

16.1.22.11.2.2 Quantity <Qty>

Presence: [1..1]

Definition: Quantity expressed as number.

Datatype: "RestrictedFINDecimalNumber" on page 1172

16.1.22.11.3 Amount <Amt>

Presence: [1..1]

Definition: Cash amount.

Impacted by: C1 "ActiveCurrency"

Datatype: "RestrictedFINActiveCurrencyAnd13DecimalAmount" on page 1086

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

16.1.22.12 RatioFormat22Choice

Definition: Choice of format to expressed a ratio.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	QuantityToQuantity <QtyToQty>	[1..1]	±		1029
Or	AmountToAmount <AmtToAmt>	[1..1]			1029
	Amount1 <Amt1>	[1..1]	Amount	C1	1029
	Amount2 <Amt2>	[1..1]	Amount	C1	1030
Or	AmountToQuantity <AmtToQty>	[1..1]			1030
	Amount <Amt>	[1..1]	Amount	C1	1030
	Quantity <Qty>	[1..1]	Quantity		1031
Or}	QuantityToAmount <QtyToAmt>	[1..1]			1031
	Amount <Amt>	[1..1]	Amount	C1	1031
	Quantity <Qty>	[1..1]	Quantity		1031

16.1.22.12.1 QuantityToQuantity <QtyToQty>

Presence: [1..1]

Definition: Ratio expressed as a quotient of quantities.

QuantityToQuantity <QtyToQty> contains the following elements (see "[QuantityToQuantityRatio2](#)" on page 1052 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Quantity1 <Qty1>	[1..1]	Quantity		1052
	Quantity2 <Qty2>	[1..1]	Quantity		1052

16.1.22.12.2 AmountToAmount <AmtToAmt>

Presence: [1..1]

Definition: Ratio expressed as a quotient of amounts.

AmountToAmount <AmtToAmt> contains the following **AmountToAmountRatio3** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Amount1 <Amt1>	[1..1]	Amount	C1	1029
	Amount2 <Amt2>	[1..1]	Amount	C1	1030

16.1.22.12.2.1 Amount1 <Amt1>

Presence: [1..1]

Definition: Numerator of the quotient of amounts.

Impacted by: C1 "ActiveCurrency"

Datatype: "RestrictedFINActiveCurrencyAnd13DecimalAmount" on page 1086

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

16.1.22.12.2 Amount2 <Amt2>

Presence: [1..1]

Definition: Denominator of the quotient of amounts.

Impacted by: C1 "ActiveCurrency"

Datatype: "RestrictedFINActiveCurrencyAnd13DecimalAmount" on page 1086

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

16.1.22.12.3 AmountToQuantity <AmtToQty>

Presence: [1..1]

Definition: Ratio expressed as an amount to quantity ratio.

AmountToQuantity <AmtToQty> contains the following **AmountAndQuantityRatio5** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Amount <Amt>	[1..1]	Amount	C1	1030
	Quantity <Qty>	[1..1]	Quantity		1031

16.1.22.12.3.1 Amount <Amt>

Presence: [1..1]

Definition: Cash amount.

Impacted by: C1 "ActiveCurrency"

Datatype: "RestrictedFINActiveCurrencyAnd13DecimalAmount" on page 1086

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217

Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

16.1.22.12.3.2 Quantity <Qty>

Presence: [1..1]

Definition: Quantity expressed as number.

Datatype: "RestrictedFINDecimalNumber" on page 1172

16.1.22.12.4 QuantityToAmount <QtyToAmt>

Presence: [1..1]

Definition: Ratio expressed as a quantity to amount ratio.

QuantityToAmount <QtyToAmt> contains the following **AmountAndQuantityRatio5** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Amount <Amt>	[1..1]	Amount	C1	1031
	Quantity <Qty>	[1..1]	Quantity		1031

16.1.22.12.4.1 Amount <Amt>

Presence: [1..1]

Definition: Cash amount.

Impacted by: C1 "ActiveCurrency"

Datatype: "RestrictedFINActiveCurrencyAnd13DecimalAmount" on page 1086

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

16.1.22.12.4.2 Quantity <Qty>

Presence: [1..1]

Definition: Quantity expressed as number.

Datatype: "RestrictedFINDecimalNumber" on page 1172

16.1.22.13 RatioFormat21Choice

Definition: Choice of format to expressed a ratio.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	QuantityToQuantity <QtyToQty>	[1..1]	±		1032
Or}	AmountToAmount <AmtToAmt>	[1..1]			1032
	Amount1 <Amt1>	[1..1]	Amount	C1	1032
	Amount2 <Amt2>	[1..1]	Amount	C1	1032

16.1.22.13.1 QuantityToQuantity <QtyToQty>

Presence: [1..1]

Definition: Ratio expressed as a quotient of quantities.

QuantityToQuantity <QtyToQty> contains the following elements (see "[QuantityToQuantityRatio2](#)" on page 1052 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Quantity1 <Qty1>	[1..1]	Quantity		1052
	Quantity2 <Qty2>	[1..1]	Quantity		1052

16.1.22.13.2 AmountToAmount <AmtToAmt>

Presence: [1..1]

Definition: Ratio expressed as a quotient of amounts.

AmountToAmount <AmtToAmt> contains the following **AmountToAmountRatio3** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Amount1 <Amt1>	[1..1]	Amount	C1	1032
	Amount2 <Amt2>	[1..1]	Amount	C1	1032

16.1.22.13.2.1 Amount1 <Amt1>

Presence: [1..1]

Definition: Numerator of the quotient of amounts.

Impacted by: C1 "ActiveCurrency"

Datatype: "[RestrictedFINActiveCurrencyAnd13DecimalAmount](#)" on page 1086

Constraints

- ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

16.1.22.13.2.2 Amount2 <Amt2>

Presence: [1..1]

Definition: Denominator of the quotient of amounts.

Impacted by: C1 "ActiveCurrency"

Datatype: "RestrictedFINActiveCurrencyAnd13DecimalAmount" on page 1086

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

16.1.22.14 InterestRateUsedForPaymentFormat9Choice

Definition: Choice between an amount or a rate.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1033
Or	Amount <Amt>	[1..1]	Amount	C1	1033
Or}	RateTypeAndAmountAndRateStatus <RateTpAndAmtAndRateSts>	[1..1]			1034
	RateType <RateTp>	[1..1]			1034
{Or	Code <Cd>	[1..1]	CodeSet		1034
Or}	Proprietary <Prtry>	[1..1]	±		1035
	Amount <Amt>	[1..1]	Amount	C1	1035
	RateStatus <RateSts>	[0..1]		C58	1035
{Or	Code <Cd>	[1..1]	CodeSet		1035
Or}	Proprietary <Prtry>	[1..1]	±		1036

16.1.22.14.1 Rate <Rate>

Presence: [1..1]

Definition: Value is expressed as a rate.

Datatype: "PercentageRate" on page 1172

16.1.22.14.2 Amount <Amt>

Presence: [1..1]

Definition: Number of monetary units specified in a currency.

Impacted by: C1 "ActiveCurrency"

Datatype: "RestrictedFINActiveCurrencyAnd13DecimalAmount" on page 1086

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

16.1.22.14.3 RateTypeAndAmountAndRateStatus <RateTpAndAmtAndRateSts>

Presence: [1..1]

Definition: Specifies different formats for interest rate used for payment.

RateTypeAndAmountAndRateStatus <RateTpAndAmtAndRateSts> contains the following **RateTypeAndAmountAndStatus32** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	RateType <RateTp>	[1..1]			1034
{Or	Code <Cd>	[1..1]	CodeSet		1034
Or}	Proprietary <Prtry>	[1..1]	±		1035
	Amount <Amt>	[1..1]	Amount	C1	1035
	RateStatus <RateSts>	[0..1]		C58	1035
{Or	Code <Cd>	[1..1]	CodeSet		1035
Or}	Proprietary <Prtry>	[1..1]	±		1036

16.1.22.14.3.1 RateType <RateTp>

Presence: [1..1]

Definition: Value expressed as a rate type.

RateType <RateTp> contains one of the following **RateType45Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1034
Or}	Proprietary <Prtry>	[1..1]	±		1035

16.1.22.14.3.1.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the type of rate.

Datatype: "RateType7Code" on page 1161

CodeName	Name	Definition
SCHD	Scheduled	Rate of the scheduled payment.
USCD	Unscheduled	Rate of the unscheduled payment.

16.1.22.14.3.1.2 Proprietary <Prtry>*Presence:* [1..1]*Definition:* Proprietary identification of the type of rate.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 790 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		790
	Issuer <Issr>	[1..1]	Text		790
	SchemeName <SchmeNm>	[0..1]	Text		791

16.1.22.14.3.2 Amount <Amt>*Presence:* [1..1]*Definition:* Value expressed as an amount.*Impacted by:* [C1 "ActiveCurrency"](#)*Datatype:* "[RestrictedFINActiveCurrencyAnd13DecimalAmount](#)" on page 1086**Constraints**

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

16.1.22.14.3.3 RateStatus <RateSts>*Presence:* [0..1]*Definition:* Value expressed as a rate status.*Impacted by:* [C58 "RateStatusGuideline"](#)

RateStatus <RateSts> contains one of the following **RateStatus4Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1035
Or}	Proprietary <Prtry>	[1..1]	±		1036

Constraints

- **RateStatusGuideline**

The code value ACTU is the default value for a rate status.

16.1.22.14.3.3.1 Code <Cd>*Presence:* [1..1]*Definition:* Standard code to specify the status of the rate.

Datatype: "RateStatus1Code" on page 1160

CodeName	Name	Definition
ACTU	ActualRate	Rate is actual.
INDI	IndicativeRate	Rate is indicative.

16.1.22.14.3.3.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification of the status of the rate.

Proprietary <Prtry> contains the following elements (see "GenericIdentification47" on page 790 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		790
	Issuer <Issr>	[1..1]	Text		790
	SchemeName <SchmeNm>	[0..1]	Text		791

16.1.22.15 RateAndAmountFormat45Choice

Definition: Choice of format between a rate or a rate type and rate or an amount or a unspecified rate.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1036
Or	Amount <Amt>	[1..1]	Amount	C1	1036
Or}	RateTypeAndRate <RateTpAndRate>	[1..1]			1037
	RateType <RateTp>	[1..1]			1037
{Or	Code <Cd>	[1..1]	CodeSet		1037
Or}	Proprietary <Prtry>	[1..1]	±		1038
	Rate <Rate>	[1..1]	Rate		1038

16.1.22.15.1 Rate <Rate>

Presence: [1..1]

Definition: Value expressed as a rate.

Datatype: "PercentageRate" on page 1172

16.1.22.15.2 Amount <Amt>

Presence: [1..1]

Definition: Value is expressed as a currency and amount.

Impacted by: C1 "ActiveCurrency"

Datatype: "RestrictedFINActiveCurrencyAnd13DecimalAmount" on page 1086

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

16.1.22.15.3 RateTypeAndRate <RateTpAndRate>

Presence: [1..1]

Definition: Value is expressed as a rate type and a percentage rate.

RateTypeAndRate <RateTpAndRate> contains the following **RateTypeAndPercentageRate9** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	RateType <RateTp>	[1..1]			1037
{Or	Code <Cd>	[1..1]	CodeSet		1037
Or}	Proprietary <Prtry>	[1..1]	±		1038
	Rate <Rate>	[1..1]	Rate		1038

16.1.22.15.3.1 RateType <RateTp>

Presence: [1..1]

Definition: Value expressed as a rate type.

RateType <RateTp> contains one of the following **RateType46Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1037
Or}	Proprietary <Prtry>	[1..1]	±		1038

16.1.22.15.3.1.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the type of withholding tax rate.

Datatype: "WithholdingTaxRateType1Code" on page 1168

CodeName	Name	Definition
BWIT	BackUpWithholding	Withholding tax related to payments subject to back up withholding.
FTCA	FATCATax	Withholding tax related to income subject to FATCA (Foreign Account Tax Compliance Act).
NRAT	NRATax	Withholding tax related to income subject to NRA (Non Resident Alien).

16.1.22.15.3.1.2 Proprietary <Prtry>*Presence:* [1..1]*Definition:* Proprietary identification of the type of withholding tax rate.**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification47](#)" on page 790 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		790
	Issuer <Issr>	[1..1]	Text		790
	SchemeName <SchmeNm>	[0..1]	Text		791

16.1.22.15.3.2 Rate <Rate>*Presence:* [1..1]*Definition:* Value expressed as a rate.*Datatype:* "[PercentageRate](#)" on page 1172**16.1.22.16 RateAndAmountFormat48Choice***Definition:* Choice between an amount or an unspecified rate.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Amount <Amt>	[1..1]	Amount	C1	1038
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		1038

16.1.22.16.1 Amount <Amt>*Presence:* [1..1]*Definition:* Number of monetary units specified in a currency.*Impacted by:* C1 "[ActiveCurrency](#)"*Datatype:* "[RestrictedFINActiveCurrencyAnd13DecimalAmount](#)" on page 1086**Constraints**

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

16.1.22.16.2 NotSpecifiedRate <NotSpcfdRate>*Presence:* [1..1]*Definition:* Value of the rate not specified.*Datatype:* "[RateValueType7Code](#)" on page 1161

CodeName	Name	Definition
UKWN	Unknown	Rate is unknown by the sender or has not been established.

16.1.22.17 RateFormat21Choice

Definition: Choice between a rate or an unspecified rate.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1039
Or	Amount <Amt>	[1..1]	Amount	C1	1039
Or}	NotSpecifiedRate <NotSpcdfRate>	[1..1]	CodeSet		1039

16.1.22.17.1 Rate <Rate>

Presence: [1..1]

Definition: Value is expressed as a rate.

Datatype: "PercentageRate" on page 1172

16.1.22.17.2 Amount <Amt>

Presence: [1..1]

Definition: Cash amount.

Impacted by: C1 "ActiveCurrency"

Datatype: "RestrictedFINActiveCurrencyAnd13DecimalAmount" on page 1086

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

16.1.22.17.3 NotSpecifiedRate <NotSpcdfRate>

Presence: [1..1]

Definition: Value of the rate not specified.

Datatype: "RateValueType7Code" on page 1161

CodeName	Name	Definition
UKWN	Unknown	Rate is unknown by the sender or has not been established.

16.1.22.18 RateAndAmountFormat43Choice

Definition: Choice of format between rate and amount.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1040
Or}	Amount <Amt>	[1..1]	Amount	C1	1040

16.1.22.18.1 Rate <Rate>

Presence: [1..1]

Definition: Value is expressed as a rate.

Datatype: "PercentageRate" on page 1172

16.1.22.18.2 Amount <Amt>

Presence: [1..1]

Definition: Value is expressed as a currency and amount.

Impacted by: C1 "ActiveCurrency"

Datatype: "RestrictedFINActiveCurrencyAnd13DecimalAmount" on page 1086

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

16.1.22.19 RateAndAmountFormat46Choice

Definition: Choice of format between a rate, an amount or a unspecified rate.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1040
Or	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		1040
Or}	Amount <Amt>	[1..1]	Amount	C1	1041

16.1.22.19.1 Rate <Rate>

Presence: [1..1]

Definition: Value expressed as a rate.

Datatype: "PercentageRate" on page 1172

16.1.22.19.2 NotSpecifiedRate <NotSpcfdRate>

Presence: [1..1]

Definition: Value of the rate not specified.

Datatype: "RateValueType7Code" on page 1161

CodeName	Name	Definition
UKWN	Unknown	Rate is unknown by the sender or has not been established.

16.1.22.19.3 Amount <Amt>

Presence: [1..1]

Definition: Value is expressed as a currency and amount.

Impacted by: C1 "ActiveCurrency"

Datatype: "RestrictedFINActiveCurrencyAnd13DecimalAmount" on page 1086

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

16.1.22.20 RateAndAmountFormat38Choice

Definition: Choice of format between a rate, an amount, index points or an unspecified rate.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1041
Or	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		1041
Or	Amount <Amt>	[1..1]	Amount	C1	1041
Or}	IndexPoints <IndxPts>	[1..1]	Quantity		1042

16.1.22.20.1 Rate <Rate>

Presence: [1..1]

Definition: Value expressed as a rate.

Datatype: "PercentageRate" on page 1172

16.1.22.20.2 NotSpecifiedRate <NotSpcfdRate>

Presence: [1..1]

Definition: Value of the rate not specified.

Datatype: "RateValueType7Code" on page 1161

CodeName	Name	Definition
UKWN	Unknown	Rate is unknown by the sender or has not been established.

16.1.22.20.3 Amount <Amt>

Presence: [1..1]

Definition: Value is expressed as a currency and amount.

Impacted by: C1 "ActiveCurrency"

Datatype: "ActiveCurrencyAnd13DecimalAmount" on page 1084

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

16.1.22.20.4 IndexPoints <IndxPts>

Presence: [1..1]

Definition: Price expressed in index points.

Datatype: "DecimalNumber" on page 1171

16.1.22.21 RateAndAmountFormat37Choice

Definition: Choice of format between a rate, an amount or an unspecified rate.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1042
Or	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		1042
Or}	Amount <Amt>	[1..1]	Amount	C1	1042

16.1.22.21.1 Rate <Rate>

Presence: [1..1]

Definition: Value expressed as a rate.

Datatype: "PercentageRate" on page 1172

16.1.22.21.2 NotSpecifiedRate <NotSpcfdRate>

Presence: [1..1]

Definition: Value of the rate not specified.

Datatype: "RateValueType7Code" on page 1161

CodeName	Name	Definition
UKWN	Unknown	Rate is unknown by the sender or has not been established.

16.1.22.21.3 Amount <Amt>

Presence: [1..1]

Definition: Value is expressed as a currency and amount.

Impacted by: C1 "ActiveCurrency"

Datatype: "ActiveCurrencyAnd13DecimalAmount" on page 1084

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

16.1.22.22 RateFormat7Choice

Definition: Choice between a rate or an unspecified rate.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1043
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		1043

16.1.22.22.1 Rate <Rate>

Presence: [1..1]

Definition: Value is expressed as a rate.

Datatype: "PercentageRate" on page 1172

16.1.22.22.2 NotSpecifiedRate <NotSpcfdRate>

Presence: [1..1]

Definition: Value of the rate not specified.

Datatype: "RateType10Code" on page 1160

CodeName	Name	Definition
ANYA	AnyAndAll	Any and all rate is sought.
UKWN	Unknown	Rate is unknown by the sender or has not been established.

16.1.23 Safekeeping Place

16.1.23.1 SafekeepingPlaceTypeAndText9

Definition: Identification of the place of safekeeping expressed as a code and a narrative description.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	SafekeepingPlaceType <SfkpgPlcTp>	[1..1]	CodeSet		1043
	Identification <Id>	[0..1]	Text		1044

16.1.23.1.1 SafekeepingPlaceType <SfkpgPlcTp>

Presence: [1..1]

Definition: Place of safekeeping as a code.

Datatype: "SafekeepingPlace2Code" on page 1166

CodeName	Name	Definition
SHHE	SharesHeldElsewhere	Used for certain financial instruments, for example, US mutual funds, where settlement is internal only, that is, there is no external movement of securities.
ALLP	AllPlaces	All places of safekeeping where the financial instrument is held.

16.1.23.1.2 Identification <Id>

Presence: [0..1]

Definition: Additional information about the place of safekeeping.

Datatype: "RestrictedFINXMax30Text" on page 1178

16.1.23.2 SafekeepingPlaceFormat34Choice

Definition: Choice of formats for the place of safekeeping.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Identification <Id>	[1..1]	±		1044
Or	Country <Ctry>	[1..1]	CodeSet	C3	1044
Or	TypeAndIdentification <TpAndId>	[1..1]			1045
	SafekeepingPlaceType <SfkpgPlcTp>	[1..1]	CodeSet		1045
	Identification <Id>	[1..1]	IdentifierSet	C1	1045
Or}	Proprietary <Prtry>	[1..1]			1046
	Type <Tp>	[1..1]	±		1046
	Identification <Id>	[0..1]	Text		1046

16.1.23.2.1 Identification <Id>

Presence: [1..1]

Definition: Place of safekeeping expressed as a code and a narrative description.

Identification <Id> contains the following elements (see "SafekeepingPlaceTypeAndText9" on page 1043 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	SafekeepingPlaceType <SfkpgPlcTp>	[1..1]	CodeSet		1043
	Identification <Id>	[0..1]	Text		1044

16.1.23.2.2 Country <Ctry>

Presence: [1..1]

Definition: Place of safekeeping expressed with a country code.

Impacted by: C3 "Country"

Datatype: "CountryCode" on page 1135

Constraints

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

16.1.23.2.3 TypeAndIdentification <TpAndId>

Presence: [1..1]

Definition: Place of safekeeping expressed with a type and identification.

TypeAndIdentification <TpAndId> contains the following **SafekeepingPlaceTypeAndIdentification1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	SafekeepingPlaceType <SfkpgPlcTp>	[1..1]	CodeSet		1045
	Identification <Id>	[1..1]	IdentifierSet	C1	1045

16.1.23.2.3.1 SafekeepingPlaceType <SfkpgPlcTp>

Presence: [1..1]

Definition: Place of safekeeping as a code.

Datatype: "SafekeepingPlace1Code" on page 1166

CodeName	Name	Definition
CUST	SharesHeldAtLocalCustodian	Financial instruments held at a local custodian.
ICSD	SharesHeldAtICSD	Financial instruments held at an International Central Securities Depository.
NCSD	SharesHeldAtNCSD	Financial instruments held at a National Central Securities Depository.
SHHE	SharesHeldElsewhere	Used for certain financial instruments, for example, US mutual funds, where settlement is internal only, that is, there is no external movement of securities.

16.1.23.2.3.2 Identification <Id>

Presence: [1..1]

Definition: Place of safekeeping.

Impacted by: C1 "AnyBIC"

Datatype: "AnyBICDec2014Identifier" on page 1169

Constraints

- **AnyBIC**

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

16.1.23.2.4 Proprietary <Prtry>

Presence: [1..1]

Definition: Place of safekeeping expressed with a propriety identification scheme.

Proprietary <Prtry> contains the following **GenericIdentification89** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Type <Tp>	[1..1]	±		1046
	Identification <Id>	[0..1]	Text		1046

16.1.23.2.4.1 Type <Tp>

Presence: [1..1]

Definition: Proprietary information, often a code, issued by the data source scheme issuer.

Type <Tp> contains the following elements (see "GenericIdentification47" on page 790 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		790
	Issuer <Issr>	[1..1]	Text		790
	SchemeName <SchmeNm>	[0..1]	Text		791

16.1.23.2.4.2 Identification <Id>

Presence: [0..1]

Definition: Name or number assigned by an entity to enable recognition of that entity, for example, account identifier.

Datatype: "RestrictedFINXMax30Text" on page 1178

16.1.23.3 SafekeepingPlaceFormat32Choice

Definition: Choice of formats for the place of safekeeping.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Identification <Id>	[1..1]	±		1047
Or	Country <Ctry>	[1..1]	CodeSet	C3	1047
Or	TypeAndIdentification <TpAndId>	[1..1]			1047
	SafekeepingPlaceType <SfkpgPlcTp>	[1..1]	CodeSet		1048
	Identification <Id>	[1..1]	IdentifierSet	C1	1048
Or}	Proprietary <Prtry>	[1..1]	±		1048

16.1.23.3.1 Identification <Id>

Presence: [1..1]

Definition: Place of safekeeping expressed as a code and a narrative description.

Identification <Id> contains the following elements (see ["SafekeepingPlaceTypeAndText9"](#) on page 1043 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	SafekeepingPlaceType <SfkpgPlcTp>	[1..1]	CodeSet		1043
	Identification <Id>	[0..1]	Text		1044

16.1.23.3.2 Country <Ctry>

Presence: [1..1]

Definition: Place of safekeeping expressed with a country code.

Impacted by: C3 "Country"

Datatype: "CountryCode" on page 1135

Constraints

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

16.1.23.3.3 TypeAndIdentification <TpAndId>

Presence: [1..1]

Definition: Place of safekeeping expressed with a type and identification.

TypeAndIdentification <TpAndId> contains the following **SafekeepingPlaceTypeAndIdentification1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	SafekeepingPlaceType <SfkpgPlcTp>	[1..1]	CodeSet		1048
	Identification <Id>	[1..1]	IdentifierSet	C1	1048

16.1.23.3.1 SafekeepingPlaceType <SfkpgPlcTp>*Presence:* [1..1]*Definition:* Place of safekeeping as a code.*Datatype:* "SafekeepingPlace1Code" on page 1166

CodeName	Name	Definition
CUST	SharesHeldAtLocalCustodian	Financial instruments held at a local custodian.
ICSD	SharesHeldAtICSD	Financial instruments held at an International Central Securities Depository.
NCSD	SharesHeldAtNCSD	Financial instruments held at a National Central Securities Depository.
SHHE	SharesHeldElsewhere	Used for certain financial instruments, for example, US mutual funds, where settlement is internal only, that is, there is no external movement of securities.

16.1.23.3.2 Identification <Id>*Presence:* [1..1]*Definition:* Place of safekeeping.*Impacted by:* C1 "AnyBIC"*Datatype:* "AnyBICDec2014Identifier" on page 1169**Constraints**

- **AnyBIC**

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

16.1.23.3.4 Proprietary <Prtry>*Presence:* [1..1]*Definition:* Place of safekeeping expressed with a propriety identification scheme.

Proprietary <Prtry> contains the following elements (see "GenericIdentification85" on page 788 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Type <Tp>	[1..1]	±		788
	Identification <Id>	[0..1]	Text		789

16.1.23.4 SafekeepingPlaceFormat39Choice*Definition:* Choice between formats for the place of safekeeping.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Identification <Id>	[1..1]	±		1049
Or	Country <Ctry>	[1..1]	CodeSet	C3	1049
Or	TypeAndIdentification <TpAndId>	[1..1]			1049
	SafekeepingPlaceType <SfkpgPlcTp>	[1..1]	CodeSet		1050
	Identification <Id>	[1..1]	IdentifierSet	C1	1050
Or}	Proprietary <Prtry>	[1..1]	±		1050

16.1.23.4.1 Identification <Id>

Presence: [1..1]

Definition: Place of safekeeping expressed as a code and a narrative description.

Identification <Id> contains the following elements (see "[SafekeepingPlaceTypeAndText15](#)" on page 1050 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	SafekeepingPlaceType <SfkpgPlcTp>	[1..1]	CodeSet		1051
	Identification <Id>	[0..1]	Text		1051

16.1.23.4.2 Country <Ctry>

Presence: [1..1]

Definition: Place of safekeeping expressed with a country code.

Impacted by: C3 "Country"

Datatype: "CountryCode" on page 1135

Constraints

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

16.1.23.4.3 TypeAndIdentification <TpAndId>

Presence: [1..1]

Definition: Place of safekeeping expressed with a type and identification.

TypeAndIdentification <TpAndId> contains the following **SafekeepingPlaceTypeAndIdentification1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	SafekeepingPlaceType <SfkpgPlcTp>	[1..1]	CodeSet		1050
	Identification <Id>	[1..1]	IdentifierSet	C1	1050

16.1.23.4.3.1 SafekeepingPlaceType <SfkpgPlcTp>*Presence:* [1..1]*Definition:* Place of safekeeping as a code.*Datatype:* "SafekeepingPlace1Code" on page 1166

CodeName	Name	Definition
CUST	SharesHeldAtLocalCustodian	Financial instruments held at a local custodian.
ICSD	SharesHeldAtICSD	Financial instruments held at an International Central Securities Depository.
NCSD	SharesHeldAtNCSD	Financial instruments held at a National Central Securities Depository.
SHHE	SharesHeldElsewhere	Used for certain financial instruments, for example, US mutual funds, where settlement is internal only, that is, there is no external movement of securities.

16.1.23.4.3.2 Identification <Id>*Presence:* [1..1]*Definition:* Place of safekeeping.*Impacted by:* C1 "AnyBIC"*Datatype:* "AnyBICDec2014Identifier" on page 1169**Constraints**

- **AnyBIC**

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

16.1.23.4.4 Proprietary <Prtry>*Presence:* [1..1]*Definition:* Place of safekeeping expressed with a propriety identification scheme.

Proprietary <Prtry> contains the following elements (see "GenericIdentification85" on page 788 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Type <Tp>	[1..1]	±		788
	Identification <Id>	[0..1]	Text		789

16.1.23.5 SafekeepingPlaceTypeAndText15*Definition:* Identification of the place of safekeeping expressed as a code and a narrative description.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	SafekeepingPlaceType <SfkpgPlcTp>	[1..1]	CodeSet		1051
	Identification <Id>	[0..1]	Text		1051

16.1.23.5.1 SafekeepingPlaceType <SfkpgPlcTp>

Presence: [1..1]

Definition: Place of safekeeping as a code.

Datatype: "SafekeepingPlace3Code" on page 1166

CodeName	Name	Definition
SHHE	SharesHeldElsewhere	Used for certain financial instruments, for example, US mutual funds, where settlement is internal only, that is, there is no external movement of securities.

16.1.23.5.2 Identification <Id>

Presence: [0..1]

Definition: Additional information about the place of safekeeping.

Datatype: "RestrictedFINXMax30Text" on page 1178

16.1.24 Securities Quantity

16.1.24.1 OriginalAndCurrentQuantities4

Definition: Original and current value of an asset-back instrument.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	FaceAmount <FaceAmt>	[1..1]	Amount		1051
	AmortisedValue <AmtsdVal>	[1..1]	Amount		1051

16.1.24.1.1 FaceAmount <FaceAmt>

Presence: [1..1]

Definition: Quantity expressed as an amount representing the face amount, that is, the principal of a debt instrument.

Datatype: "RestrictedFINImpliedCurrencyAndAmount" on page 1087

16.1.24.1.2 AmortisedValue <AmtsdVal>

Presence: [1..1]

Definition: Quantity expressed as an amount representing the current amortised face amount of a bond, for example, a periodic reduction/increase of a bond's principal amount.

Datatype: "RestrictedFINImpliedCurrencyAndAmount" on page 1087

16.1.24.2 QuantityToQuantityRatio2

Definition: Ratio expressed as a quotient of quantities.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Quantity1 <Qty1>	[1..1]	Quantity		1052
	Quantity2 <Qty2>	[1..1]	Quantity		1052

16.1.24.2.1 Quantity1 <Qty1>

Presence: [1..1]

Definition: Numerator of the quotient of quantities.

Datatype: "RestrictedFINDecimalNumber" on page 1172

16.1.24.2.2 Quantity2 <Qty2>

Presence: [1..1]

Definition: Denominator of the quotient of quantities.

Datatype: "RestrictedFINDecimalNumber" on page 1172

16.1.25 Settlement Chain

16.1.25.1 SettlementParties103

Definition: Specifies settlement parties (delivering/receiving).

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Depository <Dpstry>	[0..1]		C3	1053
	Identification <Id>	[1..1]			1053
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	1054
Or	NameAndAddress <NmAndAdr>	[1..1]	±		1054
Or}	Country <Ctry>	[1..1]	CodeSet	C3	1054
	ProcessingIdentification <PrctlId>	[0..1]	Text		1054
	AlternateIdentification <AltrnId>	[0..*]	±		1055
	Party1 <Pty1>	[0..1]	±	C4, C52, C54, C56	1055
	Party2 <Pty2>	[0..1]	±	C4, C52, C54, C56	1056
	Party3 <Pty3>	[0..1]	±	C4, C52, C54, C56	1057

Constraints

- **Party2PresenceRule**

If Party 2 is present, then Party 1 must be present.

On Condition

/Party2 is present

Following Must be True

/Party1 Must be present

- **Party3PresenceRule**

If Party 3 is present, then Party 2 must be present.

On Condition

/Party3 is present

Following Must be True

/Party2 Must be present

16.1.25.1.1 Depository <Dpstry>

Presence: [0..1]

Definition: First receiving party in the settlement chain. In a plain vanilla settlement, it is the central securities depository where the receiving side of the transaction requests to receive the financial instrument.

Impacted by: C3 "AdditionalInformationRule"

Depository <Dpstry> contains the following **PartyIdentification155** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]			1053
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	1054
Or	NameAndAddress <NmAndAdr>	[1..1]	±		1054
Or}	Country <Ctry>	[1..1]	CodeSet	C3	1054
	ProcessingIdentification <Prctl>	[0..1]	Text		1054
	AlternateIdentification <AltrId>	[0..*]	±		1055

Constraints

- **AdditionalInformationRule**

The AdditionalInformation field in all elements must not contain information that can be provided in a structured field unless bilaterally agreed or advised differently in the element definition as in NarrativeVersion.

16.1.25.1.1.1 Identification <Id>

Presence: [1..1]

Definition: Identification of a party.

Identification <Id> contains one of the following **PartyIdentification145Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	1054
Or	NameAndAddress <NmAndAdr>	[1..1]	±		1054
Or}	Country <Ctry>	[1..1]	CodeSet	C3	1054

16.1.25.1.1.1.1 AnyBIC <AnyBIC>

Presence: [1..1]

Definition: Unique and unambiguous way to identify an organisation.

Impacted by: C1 "AnyBIC"

Datatype: "AnyBICDec2014Identifier" on page 1169

Constraints

- **AnyBIC**

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

16.1.25.1.1.1.2 NameAndAddress <NmAndAdr>

Presence: [1..1]

Definition: Unique and unambiguous way to identify an organisation.

NameAndAddress <NmAndAdr> contains the following elements (see "NameAndAddress12" on page 942 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[1..1]	Text		942

16.1.25.1.1.1.3 Country <Ctry>

Presence: [1..1]

Definition: Unique and unambiguous way to identify an organisation.

Impacted by: C3 "Country"

Datatype: "CountryCode" on page 1135

Constraints

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

16.1.25.1.1.2 ProcessingIdentification <Prcgld>

Presence: [0..1]

Definition: Reference meaningful to the party identified.

Datatype: "RestrictedFINXMax16Text" on page 1177

16.1.25.1.1.3 Alternateldidentification <Altrnld>

Presence: [0..*]

Definition: Provides alternate identification for a party using an id type, a country code and a text field.

Alternateldidentification <Altrnld> contains the following elements (see "AlternatePartyIdentification9" on page 934 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	IdentificationType <IdTp>	[1..1]			935
{Or	Code <Cd>	[1..1]	CodeSet		935
Or}	Proprietary <Prtry>	[1..1]	±		935
	Country <Ctry>	[1..1]	CodeSet	C3	936
	Alternateldidentification <Altrnld>	[1..1]	Text		936

16.1.25.1.2 Party1 <Pty1>

Presence: [0..1]

Definition: Party that interacts with the depository.

Impacted by: C4 "AdditionalInformationRule", C52 "SafekeepingAccountOrBlockChainAddress1Rule", C54 "SafekeepingAccountOrBlockChainAddress2Rule", C56 "SafekeepingAccountOrBlockChainAddress3Rule"

Party1 <Pty1> contains the following elements (see "PartyIdentificationAndAccount205" on page 921 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		922
	SafekeepingAccount <SfkpgAcct>	[0..1]	Text		922
	BlockChainAddressOrWallet <BlckChainAdrOrWllt>	[0..1]	Text		922
	ProcessingIdentification <PrctlId>	[0..1]	Text		923
	Alternateldidentification <Altrnld>	[0..*]	±		923

Constraints

- **AdditionalInformationRule**

The AdditionalInformation field in all elements must not contain information that can be provided in a structured field unless bilaterally agreed or advised differently in the element definition as in NarrativeVersion.

- **SafekeepingAccountOrBlockChainAddress1Rule**

Either SafekeepingAccount or BlockChainAddressOrWallet must be present but not both.

Following Must be True

/SafekeepingAccount Must be present

Or /BlockChainAddressOrWallet Must be present

- **SafekeepingAccountOrBlockChainAddress2Rule**

If BlockChainAddressOrWallet is present then SafekeepingAccount must be absent.

On Condition
 /BlockChainAddressOrWallet is present
 Following Must be True
 /SafekeepingAccount Must be absent

- **SafekeepingAccountOrBlockChainAddress3Rule**

If SafekeepingAccount is present, then BlockChainAddressOrWallet must be absent.

On Condition
 /SafekeepingAccount is present
 Following Must be True
 /BlockChainAddressOrWallet Must be absent

16.1.25.1.3 Party2 <Pty2>

Presence: [0..1]

Definition: Party that interacts with the party1.

Impacted by: C4 "AdditionalInformationRule", C52 "SafekeepingAccountOrBlockChainAddress1Rule", C54 "SafekeepingAccountOrBlockChainAddress2Rule", C56 "SafekeepingAccountOrBlockChainAddress3Rule"

Party2 <Pty2> contains the following elements (see "PartyIdentificationAndAccount205" on page 921 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification </d>	[1..1]	±		922
	SafekeepingAccount <SfkpgAcct>	[0..1]	Text		922
	BlockChainAddressOrWallet <BlckChainAdrOrWllt>	[0..1]	Text		922
	ProcessingIdentification <Prccgld>	[0..1]	Text		923
	AlternateIdentification <Altrnld>	[0..*]	±		923

Constraints

- **AdditionalInformationRule**

The AdditionalInformation field in all elements must not contain information that can be provided in a structured field unless bilaterally agreed or advised differently in the element definition as in NarrativeVersion.

- **SafekeepingAccountOrBlockChainAddress1Rule**

Either SafekeepingAccount or BlockChainAddressOrWallet must be present but not both.

Following Must be True
 /SafekeepingAccount Must be present
 Or /BlockChainAddressOrWallet Must be present

- **SafekeepingAccountOrBlockChainAddress2Rule**

If BlockChainAddressOrWallet is present then SafekeepingAccount must be absent.

On Condition
 /BlockChainAddressOrWallet is present

Following Must be True
 /SafekeepingAccount Must be absent

- **SafekeepingAccountOrBlockChainAddress3Rule**

If SafekeepingAccount is present, then BlockChainAddressOrWallet must be absent.

On Condition
 /SafekeepingAccount is present
 Following Must be True
 /BlockChainAddressOrWallet Must be absent

16.1.25.1.4 Party3 <Pty3>

Presence: [0..1]

Definition: Party that interacts with the party2.

Impacted by: [C4 "AdditionalInformationRule"](#), [C52 "SafekeepingAccountOrBlockChainAddress1Rule"](#), [C54 "SafekeepingAccountOrBlockChainAddress2Rule"](#), [C56 "SafekeepingAccountOrBlockChainAddress3Rule"](#)

Party3 <Pty3> contains the following elements (see ["PartyIdentificationAndAccount205"](#) on page 921 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		922
	SafekeepingAccount <SfkpgAcct>	[0..1]	Text		922
	BlockChainAddressOrWallet <BlckChainAdrOrWlt>	[0..1]	Text		922
	ProcessingIdentification <PrctlId>	[0..1]	Text		923
	AlternateIdentification <AltrId>	[0..*]	±		923

Constraints

- **AdditionalInformationRule**

The AdditionalInformation field in all elements must not contain information that can be provided in a structured field unless bilaterally agreed or advised differently in the element definition as in NarrativeVersion.

- **SafekeepingAccountOrBlockChainAddress1Rule**

Either SafekeepingAccount or BlockChainAddressOrWallet must be present but not both.

Following Must be True
 /SafekeepingAccount Must be present
 Or /BlockChainAddressOrWallet Must be present

- **SafekeepingAccountOrBlockChainAddress2Rule**

If BlockChainAddressOrWallet is present then SafekeepingAccount must be absent.

On Condition
 /BlockChainAddressOrWallet is present
 Following Must be True
 /SafekeepingAccount Must be absent

- **SafekeepingAccountOrBlockChainAddress3Rule**

If SafekeepingAccount is present, then BlockChainAddressOrWallet must be absent.

On Condition
 /SafekeepingAccount is present
 Following Must be True
 /BlockchainAddressOrWallet Must be absent

16.1.26 Status

16.1.26.1 NoSpecifiedReason1

Definition: No specified reason available for the status.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NoSpecifiedReason <NoSpdfdRsn>	[1..1]	CodeSet		1058

16.1.26.1.1 NoSpecifiedReason <NoSpdfdRsn>

Presence: [1..1]

Definition: Indicates that there is no reason available or to report.

Datatype: "NoReasonCode" on page 1152

CodeName	Name	Definition
NORE	NoReason	No reason to report or no reason available to report.

16.1.26.2 RejectedStatus45Choice

Definition: Choice between a reason and no reason for the corporate action instruction processing rejected status.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	NoSpecifiedReason <NoSpdfdRsn>	[1..1]	CodeSet		1058
Or}	Reason <Rsn>	[1..*]		C4	1059
	ReasonCode <RsnCd>	[1..1]			1059
{Or	Code <Cd>	[1..1]	CodeSet		1059
Or}	Proprietary <Prtry>	[1..1]	±		1061
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		1061

16.1.26.2.1 NoSpecifiedReason <NoSpdfdRsn>

Presence: [1..1]

Definition: Reason not specified.

Datatype: "NoReasonCode" on page 1152

CodeName	Name	Definition
NORE	NoReason	No reason to report or no reason available to report.

16.1.26.2.2 Reason <Rsn>*Presence:* [1..*]*Definition:* Reason for the rejected status.*Impacted by:* C4 "AdditionalReasonInformationRule"**Reason <Rsn>** contains the following **RejectedStatusReason43** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ReasonCode <RsnCd>	[1..1]			1059
{Or	Code <Cd>	[1..1]	CodeSet		1059
Or}	Proprietary <Prtry>	[1..1]	±		1061
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		1061

Constraints

- AdditionalReasonInformationRule**

The AdditionalReasonInformation element must not contain information that can be provided in a structured field unless bilaterally agreed.

16.1.26.2.2.1 ReasonCode <RsnCd>*Presence:* [1..1]*Definition:* Specifies the reason why the instruction or instruction cancellation has been rejected.**ReasonCode <RsnCd>** contains one of the following **RejectedReason47Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1059
Or}	Proprietary <Prtry>	[1..1]	±		1061

16.1.26.2.2.1.1 Code <Cd>*Presence:* [1..1]*Definition:* Standard code to specify the reason why the instruction/cancellation request has a rejected status.*Datatype:* "RejectionReason64Code" on page 1161

CodeName	Name	Definition
ADEA	AccountServicerDeadlineMissed	Transaction/instruction received after the account servicer's specified deadline.
CERT	IncorrectCertification	Instruction is rejected since the provided certification is incorrect or incomplete.
INVA	InvalidForTaxAuthorities	For tax reclaim, the reclaim is invalid for the tax authorities.
OPTY	InvalidOptionType	Invalid option type.
ULNK	InvalidReference	Linked reference is unknown.

CodeName	Name	Definition
DSEC	InvalidSecurity	Unrecognised or invalid financial instrument identification.
LACK	LackofSecurities	Instructed position exceeds the eligible balance.
LATE	MarketDeadlineMissed	Received after market deadline.
NMTY	Mismatch	Mismatch between option number and option type.
FULL	MissingBeneficiaryOwnerDetails	Rejected due to missing beneficiary owner details.
CANC	OptionCancelled	Option is not valid; it has been cancelled by the market or service provider, and cannot be responded to. Any responses already processed against this option are considered void and new responses will be required.
INTV	OptionInactive	Option is not active and can no longer be responded to. Any responses already processed against this option will remain valid, for example, expired option.
OPNM	OptionNumberRejection	Unrecognised option number.
OTHR	Other	Other. See Narrative.
DQUA	QuantityRejection	Unrecognised or invalid instructed quantity.
REFT	RefusedByTaxAuthorities	Reclaim is refused by the tax authorities.
SAFE	SafekeepingAccountRejection	Unrecognised or invalid message sender's safekeeping account.
EVNM	UnrecognisedIdentification	Unrecognised event number.
DQCS	AmountRejection	Unrecognised or invalid instructed cash amount.
DQCC	CurrencyRejection	Invalid instructed currency.
DQAM	InstructionTypeRejection	Invalid use of instructed amount (QCAS) or of instructed quantity (QINS) qualifiers.
IRDQ	InvalidUseRoundUpQuantity	Instruction is rejected due to invalid use of the additional round up quantity.
DQBV	InvalidBidValue	Bid price / basis points / percentage falls outside of bid range for the event (generally used in Dutch Auctions or Bid Tenders).
DQBI	InvalidBidIncrement	Bid price / basis points / percentage increments rejected due to invalid bid increment for the event (generally used in Dutch Auctions or Bid Tenders).
DCAN	RejectedSinceAlreadyCancelled	Cancellation request was rejected since the instruction has already been cancelled.

CodeName	Name	Definition
DPRG	RejectedSinceInProgress	Cancellation request has been rejected because the instruction process is in progress or has been processed.
INIR	InstructionIrrevocable	Instruction is irrevocable.
SHAR	ShareholderNumberRejection	Unrecognised, missing or invalid shareholder number.
BSTR	BeforeInstructionPeriod	Instruction is submitted before instruction start date.
CTCT	MissingContact	Contact information is missing.
DUPL	DuplicateInstruction	Instruction is rejected due to duplicate business message identifier.
PROI	MissingProtectIdentification	Protect identification is required for cover protect instructions.
PROT	MissingProtectDate	Protect date is required for cover protect instructions.
PRON	NoProtectPrivilege	No protect privilege for this event.
TRTI	MissingTransactionType	Transaction type is missing or invalid for protect or cover protect instructions.
REJA	RejectedByIssuerAgent	Instruction rejected by the issuer agent.
IPAW	InvalidPaperwork	Required paperwork is invalid, incomplete or missing.

16.1.26.2.2.1.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification of the reason why the instruction/cancellation request has a rejected status.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 790 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		790
	Issuer <Issr>	[1..1]	Text		790
	SchemeName <SchmeNm>	[0..1]	Text		791

16.1.26.2.2.2 AdditionalReasonInformation <AddtlRsnInf>

Presence: [0..1]

Definition: Provides additional information about the processed instruction.

Datatype: "[RestrictedFINMax210Text](#)" on page 1176

16.1.26.3 RejectedStatus47Choice

Definition: Choice between a reason and no reason for the corporate action instruction processing rejected status.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	NoSpecifiedReason <NoSpcfdRsn>	[1..1]	CodeSet		1062
Or}	Reason <Rsn>	[1..*]		C5	1062
	ReasonCode <RsnCd>	[1..1]			1062
{Or	Code <Cd>	[1..1]	CodeSet		1063
Or}	Proprietary <Prtry>	[1..1]	±		1063
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		1063

16.1.26.3.1 NoSpecifiedReason <NoSpcfdRsn>

Presence: [1..1]

Definition: Reason not specified.

Datatype: "NoReasonCode" on page 1152

CodeName	Name	Definition
NORE	NoReason	No reason to report or no reason available to report.

16.1.26.3.2 Reason <Rsn>

Presence: [1..*]

Definition: Reason for the rejected status.

Impacted by: C5 "AdditionalReasonInformationRule"

Reason <Rsn> contains the following **RejectedStatusReason45** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ReasonCode <RsnCd>	[1..1]			1062
{Or	Code <Cd>	[1..1]	CodeSet		1063
Or}	Proprietary <Prtry>	[1..1]	±		1063
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		1063

Constraints

- **AdditionalReasonInformationRule**

The AdditionalReasonInformation element must not contain information that can be provided in a structured field unless bilaterally agreed.

16.1.26.3.2.1 ReasonCode <RsnCd>

Presence: [1..1]

Definition: Specifies the reason why the instruction or instruction cancellation has been rejected.

ReasonCode <RsnCd> contains one of the following **RejectedReason48Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1063
Or}	Proprietary <Prtry>	[1..1]	±		1063

16.1.26.3.2.1.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the reason why the instruction/cancellation request has a rejected status.

Datatype: "RejectionReason67Code" on page 1165

CodeName	Name	Definition
ADEA	AccountServicerDeadlineMissed	Transaction/instruction received after the account servicer's specified deadline.
INIR	InstructionIrrevocable	Instruction is irrevocable.
ULNK	InvalidReference	Linked reference is unknown.
LATE	MarketDeadlineMissed	Received after market deadline.
OTHR	Other	Other. See Narrative.
DCAN	RejectedSinceAlreadyCancelled	Cancellation request was rejected since the instruction has already been cancelled.
DPRG	RejectedSinceInProgress	Cancellation request has been rejected because the instruction process is in progress or has been processed.
REJA	RejectedByIssuerAgent	Instruction rejected by the issuer agent.

16.1.26.3.2.1.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification of the reason why the instruction/cancellation request has a rejected status.

Proprietary <Prtry> contains the following elements (see "GenericIdentification47" on page 790 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		790
	Issuer <Issr>	[1..1]	Text		790
	SchemeName <SchmeNm>	[0..1]	Text		791

16.1.26.3.2.2 AdditionalReasonInformation <AddtlRsnInf>

Presence: [0..1]

Definition: Provides additional information about the processed instruction.

Datatype: "RestrictedFINXMax210Text" on page 1178

16.1.26.4 RejectedStatus46Choice

Definition: Choice between a reason and no reason for the corporate action instruction processing rejected status.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	NoSpecifiedReason <NoSpcfdRsn>	[1..1]	CodeSet		1064
Or}	Reason <Rsn>	[1..*]		C5	1064
	ReasonCode <RsnCd>	[1..1]			1064
{Or	Code <Cd>	[1..1]	CodeSet		1065
Or}	Proprietary <Prtry>	[1..1]	±		1067
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		1067

16.1.26.4.1 NoSpecifiedReason <NoSpcfdRsn>

Presence: [1..1]

Definition: Reason not specified.

Datatype: "NoReasonCode" on page 1152

CodeName	Name	Definition
NORE	NoReason	No reason to report or no reason available to report.

16.1.26.4.2 Reason <Rsn>

Presence: [1..*]

Definition: Reason for the rejected status.

Impacted by: C5 "AdditionalReasonInformationRule"

Reason <Rsn> contains the following **RejectedStatusReason44** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ReasonCode <RsnCd>	[1..1]			1064
{Or	Code <Cd>	[1..1]	CodeSet		1065
Or}	Proprietary <Prtry>	[1..1]	±		1067
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		1067

Constraints

- AdditionalReasonInformationRule**

The AdditionalReasonInformation element must not contain information that can be provided in a structured field unless bilaterally agreed.

16.1.26.4.2.1 ReasonCode <RsnCd>

Presence: [1..1]

Definition: Specifies the reason why the instruction or instruction cancellation has been rejected.

ReasonCode <RsnCd> contains one of the following **RejectedReason46Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1065
Or}	Proprietary <Prtry>	[1..1]	±		1067

16.1.26.4.2.1.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the reason why the instruction/cancellation request has a rejected status.

Datatype: "RejectionReason66Code" on page 1163

CodeName	Name	Definition
ADEA	AccountServicerDeadlineMissed	Transaction/instruction received after the account servicer's specified deadline.
CERT	IncorrectCertification	Instruction is rejected since the provided certification is incorrect or incomplete.
INVA	InvalidForTaxAuthorities	For tax reclaim, the reclaim is invalid for the tax authorities.
OPTY	InvalidOptionType	Invalid option type.
ULNK	InvalidReference	Linked reference is unknown.
DSEC	InvalidSecurity	Unrecognised or invalid financial instrument identification.
LACK	LackofSecurities	Instructed position exceeds the eligible balance.
LATE	MarketDeadlineMissed	Received after market deadline.
NMTY	Mismatch	Mismatch between option number and option type.
FULL	MissingBeneficiaryOwnerDetails	Rejected due to missing beneficiary owner details.
CANC	OptionCancelled	Option is not valid; it has been cancelled by the market or service provider, and cannot be responded to. Any responses already processed against this option are considered void and new responses will be required.
INTV	OptionInactive	Option is not active and can no longer be responded to. Any responses already processed against this option will remain valid, for example, expired option.
OPNM	OptionNumberRejection	Unrecognised option number.
OTHR	Other	Other. See Narrative.
DQUA	QuantityRejection	Unrecognised or invalid instructed quantity.
REFT	RefusedByTaxAuthorities	Reclaim is refused by the tax authorities.

CodeName	Name	Definition
SAFE	SafekeepingAccountRejection	Unrecognised or invalid message sender's safekeeping account.
EVNM	UnrecognisedIdentification	Unrecognised event number.
DQCS	AmountRejection	Unrecognised or invalid instructed cash amount.
DQCC	CurrencyRejection	Invalid instructed currency.
DQAM	InstructionTypeRejection	Invalid use of instructed amount (QCAS) or of instructed quantity (QINS) qualifiers.
IRDQ	InvalidUseRoundUpQuantity	Instruction is rejected due to invalid use of the additional round up quantity.
DQBV	InvalidBidValue	Bid price / basis points / percentage falls outside of bid range for the event (generally used in Dutch Auctions or Bid Tenders).
DQBI	InvalidBidIncrement	Bid price / basis points / percentage increments rejected due to invalid bid increment for the event (generally used in Dutch Auctions or Bid Tenders).
SHAR	ShareholderNumberRejection	Unrecognised, missing or invalid shareholder number.
ITAX	IncorrectTaxRate	Tax rate provided is incorrect. It falls outside the acceptable values for that investment country.
NTAX	InconsistentTaxRate	Tax rate is not consistent with the documentation in place.
MTAX	MissingTaxRate	Tax rate is missing.
ISOL	InvalidSolicitationDealerFeeUse	Invalid use of solicitation dealer fee indicator.
BSTR	BeforeInstructionPeriod	Instruction is submitted before instruction start date.
CTCT	MissingContact	Contact information is missing.
DUPL	DuplicateInstruction	Instruction is rejected due to duplicate business message identifier.
PROI	MissingProtectIdentification	Protect identification is required for cover protect instructions.
PRON	NoProtectPrivilege	No protect privilege for this event.
PROT	MissingProtectDate	Protect date is required for cover protect instructions.
TRTI	MissingTransactionType	Transaction type is missing or invalid for protect or cover protect instructions.
IPAW	InvalidPaperwork	Required paperwork is invalid, incomplete or missing.
REJA	RejectedByIssuerAgent	Instruction rejected by the issuer agent.

16.1.26.4.2.1.2 Proprietary <Prtry>*Presence:* [1..1]*Definition:* Proprietary identification of the reason why the instruction/cancellation request has a rejected status.**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification47](#)" on page 790 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		790
	Issuer <Issr>	[1..1]	Text		790
	SchemeName <SchmeNm>	[0..1]	Text		791

16.1.26.4.2.2 AdditionalReasonInformation <AddtlRsnInf>*Presence:* [0..1]*Definition:* Provides additional information about the processed instruction.*Datatype:* "[RestrictedFINXMax210Text](#)" on page 1178**16.1.26.5 PendingReason64Choice***Definition:* Choice between a standard code and a proprietary code to specify the reason why the instruction/event has a pending status.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1067
Or}	Proprietary <Prtry>	[1..1]	±		1068

16.1.26.5.1 Code <Cd>*Presence:* [1..1]*Definition:* Standard code to specify the reason why the instruction/event has a pending status.*Datatype:* "[PendingReason23Code](#)" on page 1156

CodeName	Name	Definition
ADEA	AccountServicerDeadlineMissed	Instruction was received after the account servicer's deadline. Processed on best effort basis.
OTHR	Other	Other. See Narrative.
FULL	PendingBeneficiaryOwnerDetails	Pending receipt of beneficiary owner details.
MCER	MissingCertification	Awaiting receipt of adequate certification.
MONY	InsufficientMoney	Insufficient money in your account.
LACK	LackOfSecurities	Insufficient financial instruments in your account.

CodeName	Name	Definition
LATE	MarketDeadlineMissed	Instruction was received after market deadline.
DQUA	QuantityDisagreement	Quantity of financial instruments does not match.
PENR	PendingReceipt	The instruction is pending receipt of securities, for example, from a purchase or loan.
CERT	WrongCertificatesNumbers	Certificate number error.
DQCS	DisagreementOnCashAmount	Unrecognised or invalid instructed cash amount.
ITAX	IncorrectTaxRate	Tax rate provided is incorrect. It falls outside the acceptable values for that investment country.
NTAX	InconsistentTaxRate	Tax rate is not consistent with the documentation in place.
MTAX	MissingTaxRate	Tax rate is missing.
SNAV	SystemNotAvailable	System is not available. Instruction is sent outside of business hours.
BSTR	BeforeInstructionPeriod	Instruction is submitted before instruction start date.
IPAW	InvalidPaperwork	Required paperwork is invalid, incomplete or missing.

16.1.26.5.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification of the reason why the instruction/event has a pending status.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 790 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		790
	Issuer <Issr>	[1..1]	Text		790
	SchemeName <SchmeNm>	[0..1]	Text		791

16.1.26.6 PendingReason55Choice

Definition: Choice between a standard code and a proprietary code to specify the reason why the instruction/event has a pending status.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1069
Or}	Proprietary <Prtry>	[1..1]	±		1069

16.1.26.6.1 Code <Cd>*Presence:* [1..1]*Definition:* Standard code to specify the reason why the instruction/event has a pending status.*Datatype:* "PendingReason19Code" on page 1156

CodeName	Name	Definition
NSEC	NotDelivered	Financial instruments have not been delivered by the issuer.
NPAY	NoPayment	Payment has not been made by issuer.
OTHR	Other	Other. See Narrative.
AUTH	SentToTaxAuthorities	Tax reclaim has been sent to the tax authorities.
VLDA	ValidForTaxAuthorities	For tax reclaim, the event is pending, the tax reclaim is valid for the tax authorities.
MCER	MissingCertification	Awaiting receipt of adequate certification.

16.1.26.6.2 Proprietary <Prtry>*Presence:* [1..1]*Definition:* Proprietary identification of the reason why the instruction/event has a pending status.**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification47](#)" on page 790 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		790
	Issuer <Issr>	[1..1]	Text		790
	SchemeName <SchmeNm>	[0..1]	Text		791

16.1.26.7 PendingStatus68Choice*Definition:* Choice between a reason or no reason for the corporate action instruction processing pending status.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	NoSpecifiedReason <NoSpdfdRsn>	[1..1]	CodeSet		1069
Or}	Reason <Rsn>	[1..*]		C6	1070
	ReasonCode <RsnCd>	[1..1]	±		1070
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		1070

16.1.26.7.1 NoSpecifiedReason <NoSpdfdRsn>*Presence:* [1..1]*Definition:* Reason not specified.

Datatype: "NoReasonCode" on page 1152

CodeName	Name	Definition
NORE	NoReason	No reason to report or no reason available to report.

16.1.26.7.2 Reason <Rsn>

Presence: [1..*]

Definition: Reason for the pending status.

Impacted by: C6 "AdditionalReasonInformationRule"

Reason <Rsn> contains the following **PendingStatusReason25** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ReasonCode <RsnCd>	[1..1]	±		1070
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		1070

Constraints

- **AdditionalReasonInformationRule**

The AdditionalReasonInformation element must not contain information that can be provided in a structured field unless bilaterally agreed.

16.1.26.7.2.1 ReasonCode <RsnCd>

Presence: [1..1]

Definition: Specifies the reason why the instruction's processing is pending.

ReasonCode <RsnCd> contains one of the following elements (see "PendingReason64Choice" on page 1067 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1067
Or}	Proprietary <Prtry>	[1..1]	±		1068

16.1.26.7.2.2 AdditionalReasonInformation <AddtlRsnInf>

Presence: [0..1]

Definition: Provides additional information about the processed instruction.

Datatype: "RestrictedFINXMax210Text" on page 1178

16.1.26.8 PendingStatus59Choice

Definition: Choice between a reason or no reason for the corporate action event processing pending status.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	NoSpecifiedReason <NoSpcfdRsn>	[1..1]	CodeSet		1071
Or}	Reason <Rsn>	[1..*]		C1	1071
	ReasonCode <RsnCd>	[1..1]	±		1071
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		1072

16.1.26.8.1 NoSpecifiedReason <NoSpcfdRsn>

Presence: [1..1]

Definition: Reason not specified.

Datatype: "NoReasonCode" on page 1152

CodeName	Name	Definition
NORE	NoReason	No reason to report or no reason available to report.

16.1.26.8.2 Reason <Rsn>

Presence: [1..*]

Definition: Reason for the pending status.

Impacted by: C1 "AdditionalReasonInformationRule"

Reason <Rsn> contains the following **PendingStatusReason19** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ReasonCode <RsnCd>	[1..1]	±		1071
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		1072

Constraints

- AdditionalReasonInformationRule**

The AdditionalReasonInformation element must not contain information that can be provided in a structured field unless bilaterally agreed.

16.1.26.8.2.1 ReasonCode <RsnCd>

Presence: [1..1]

Definition: Specifies the reason why the instruction's processing is pending.

ReasonCode <RsnCd> contains one of the following elements (see "[PendingReason55Choice](#)" on page 1068 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1069
Or}	Proprietary <Prtry>	[1..1]	±		1069

16.1.26.8.2.2 AdditionalReasonInformation <AddtlRsnInf>*Presence:* [0..1]*Definition:* Provides additional information about the processed instruction.*Datatype:* "RestrictedFINXMax210Text" on page 1178**16.1.26.9 PendingCancellationStatus9Choice***Definition:* Choice between a reason or no reason for the corporate action instruction cancellation pending cancellation status.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	NotSpecifiedReason <NotSpcfdRsn>	[1..1]	CodeSet		1072
Or}	Reason <Rsn>	[1..*]		C1, C5	1072
	ReasonCode <RsnCd>	[1..1]			1073
{Or	Code <Cd>	[1..1]	CodeSet		1073
Or}	Proprietary <Prtry>	[1..1]	±		1073
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		1074

16.1.26.9.1 NotSpecifiedReason <NotSpcfdRsn>*Presence:* [1..1]*Definition:* Reason not specified.*Datatype:* "NoReasonCode" on page 1152

CodeName	Name	Definition
NORE	NoReason	No reason to report or no reason available to report.

16.1.26.9.2 Reason <Rsn>*Presence:* [1..*]*Definition:* Reason for the pending cancellation status.*Impacted by:* C1 "AccountServicerDeadlineMissedGuideline", C5 "AdditionalReasonInformationRule"**Reason <Rsn>** contains the following **PendingCancellationStatusReason9** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ReasonCode <RsnCd>	[1..1]			1073
{Or	Code <Cd>	[1..1]	CodeSet		1073
Or}	Proprietary <Prtry>	[1..1]	±		1073
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		1074

Constraints

- **AccountServicerDeadlineMissedGuideline**

When ReasonCode/Code is ADEA (Account Servicer Deadline Missed), the instruction is assumed to be processed "on a best effort basis" by the account servicer.

- **AdditionalReasonInformationRule**

The AdditionalReasonInformation element must not contain information that can be provided in a structured field unless bilaterally agreed.

16.1.26.9.2.1 ReasonCode <RsnCd>

Presence: [1..1]

Definition: Specifies the reason why the cancellation request is pending.

ReasonCode <RsnCd> contains one of the following **PendingCancellationReason6Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1073
Or}	Proprietary <Prtry>	[1..1]	±		1073

16.1.26.9.2.1.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the reason why a cancellation request sent for the related instruction is pending.

Datatype: "PendingCancellationReason5Code" on page 1156

CodeName	Name	Definition
ADEA	AccountServicerDeadlineMissed	Transaction/instruction received after the account servicer's specified deadline.
DQUA	QuantityRejection	Unrecognised or invalid instructed quantity.
DQCS	AmountRejection	Unrecognised or invalid instructed cash amount.
LATE	MarketDeadlineMissed	Received after market deadline.
OTHR	Other	Other. See Narrative.

16.1.26.9.2.1.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification of the reason why a cancellation request sent for the related instruction is pending.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 790 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		790
	Issuer <Issr>	[1..1]	Text		790
	SchemeName <SchmeNm>	[0..1]	Text		791

16.1.26.9.2.2 AdditionalReasonInformation <AddtlRsnInf>

Presence: [0..1]

Definition: Provides additional information about the processed instruction.

Datatype: "[RestrictedFINMax210Text](#)" on page 1176

16.1.26.10 ProprietaryReason5

Definition: Proprietary identification of the reason related to a status.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reason <Rsn>	[0..1]	±		1074
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		1074

Constraints

- **AdditionalReasonInformationRule**

The AdditionalReasonInformation element must not contain information that can be provided in a structured field unless bilaterally agreed.

16.1.26.10.1 Reason <Rsn>

Presence: [0..1]

Definition: Proprietary identification of the reason related to a status.

Reason <Rsn> contains the following elements (see "[GenericIdentification47](#)" on page 790 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		790
	Issuer <Issr>	[1..1]	Text		790
	SchemeName <SchmeNm>	[0..1]	Text		791

16.1.26.10.2 AdditionalReasonInformation <AddtlRsnInf>

Presence: [0..1]

Definition: Provides additional information about the processed instruction.

Datatype: "[RestrictedFINXMax210Text](#)" on page 1178

16.1.26.11 PendingCancellationStatus8Choice

Definition: Choice between a reason or no reason for the corporate action instruction cancellation pending cancellation status.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	NotSpecifiedReason <NotSpcfdRsn>	[1..1]	CodeSet		1075
Or}	Reason <Rsn>	[1..*]		C1, C6	1075
	ReasonCode <RsnCd>	[1..1]			1076
{Or	Code <Cd>	[1..1]	CodeSet		1076
Or}	Proprietary <Prtry>	[1..1]	±		1076
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		1077

16.1.26.11.1 NotSpecifiedReason <NotSpcfdRsn>

Presence: [1..1]

Definition: Reason not specified.

Datatype: "NoReasonCode" on page 1152

CodeName	Name	Definition
NORE	NoReason	No reason to report or no reason available to report.

16.1.26.11.2 Reason <Rsn>

Presence: [1..*]

Definition: Reason for the pending cancellation status.

Impacted by: C1 "AccountServicerDeadlineMissedGuideline", C6 "AdditionalReasonInformationRule"

Reason <Rsn> contains the following **PendingCancellationStatusReason8** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ReasonCode <RsnCd>	[1..1]			1076
{Or	Code <Cd>	[1..1]	CodeSet		1076
Or}	Proprietary <Prtry>	[1..1]	±		1076
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		1077

Constraints

- **AccountServicerDeadlineMissedGuideline**

When ReasonCode/Code is ADEA (Account Servicer Deadline Missed), the instruction is assumed to be processed "on a best effort basis" by the account servicer.

- **AdditionalReasonInformationRule**

The AdditionalReasonInformation element must not contain information that can be provided in a structured field unless bilaterally agreed.

16.1.26.11.2.1 ReasonCode <RsnCd>

Presence: [1..1]

Definition: Specifies the reason why the cancellation request is pending.

ReasonCode <RsnCd> contains one of the following **PendingCancellationReason6Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1076
Or}	Proprietary <Prtry>	[1..1]	±		1076

16.1.26.11.2.1.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the reason why a cancellation request sent for the related instruction is pending.

Datatype: "PendingCancellationReason5Code" on page 1156

CodeName	Name	Definition
ADEA	AccountServicerDeadlineMissed	Transaction/instruction received after the account servicer's specified deadline.
DQUA	QuantityRejection	Unrecognised or invalid instructed quantity.
DQCS	AmountRejection	Unrecognised or invalid instructed cash amount.
LATE	MarketDeadlineMissed	Received after market deadline.
OTHR	Other	Other. See Narrative.

16.1.26.11.2.1.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification of the reason why a cancellation request sent for the related instruction is pending.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 790 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		790
	Issuer <Issr>	[1..1]	Text		790
	SchemeName <SchmeNm>	[0..1]	Text		791

16.1.26.11.2.2 AdditionalReasonInformation <AddtlRsnInf>

Presence: [0..1]

Definition: Provides additional information about the processed instruction.

Datatype: "[RestrictedFINXMax210Text](#)" on page 1178

16.1.26.12 CancelledStatus14Choice

Definition: Choice between a reason or no reason for the corporate action instruction or instruction cancellation cancelled/cancellation completed status.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	NoSpecifiedReason <NoSpctdRsn>	[1..1]	CodeSet		1077
Or}	Reason <Rsn>	[1..*]		C4	1077
	ReasonCode <RsnCd>	[1..1]			1078
{Or	Code <Cd>	[1..1]	CodeSet		1078
Or}	Proprietary <Prtry>	[1..1]	±		1078
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		1079

16.1.26.12.1 NoSpecifiedReason <NoSpctdRsn>

Presence: [1..1]

Definition: Reason not specified.

Datatype: "[NoReasonCode](#)" on page 1152

CodeName	Name	Definition
NORE	NoReason	No reason to report or no reason available to report.

16.1.26.12.2 Reason <Rsn>

Presence: [1..*]

Definition: Reason for the cancelled status.

Impacted by: [C4 "AdditionalReasonInformationRule"](#)

Reason <Rsn> contains the following **CancelledStatusReason13** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ReasonCode <RsnCd>	[1..1]			1078
{Or	Code <Cd>	[1..1]	CodeSet		1078
Or}	Proprietary <Prtry>	[1..1]	±		1078
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		1079

Constraints

- **AdditionalReasonInformationRule**

The AdditionalReasonInformation element must not contain information that can be provided in a structured field unless bilaterally agreed.

16.1.26.12.2.1 ReasonCode <RsnCd>

Presence: [1..1]

Definition: Specifies the reason why the instruction or instruction cancellation has been cancelled.

ReasonCode <RsnCd> contains one of the following **CancelledReason10Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1078
Or}	Proprietary <Prtry>	[1..1]	±		1078

16.1.26.12.2.1.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the reason why the instruction is cancelled.

Datatype: "CancelledStatusReason5Code" on page 1096

CodeName	Name	Definition
CANI	CancelledByYourself	Transaction is cancelled by yourself.
OTHR	Other	Other. See Narrative.

16.1.26.12.2.1.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification specifying the reason why the instruction is cancelled.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 790 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		790
	Issuer <Issr>	[1..1]	Text		790
	SchemeName <SchmeNm>	[0..1]	Text		791

16.1.26.12.2.2 AdditionalReasonInformation <AddtlRsnInf>

Presence: [0..1]

Definition: Provides additional information about the processed instruction.

Datatype: "[RestrictedFINXMax210Text](#)" on page 1178

16.1.26.13 ProprietaryStatusAndReason7

Definition: Provides the proprietary status and reason of an instruction or an instruction cancellation.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ProprietaryStatus <PrtrySts>	[1..1]	±		1079
	ProprietaryReason <PrtryRsn>	[0..*]	±	C2	1079

16.1.26.13.1 ProprietaryStatus <PrtrySts>

Presence: [1..1]

Definition: Proprietary identification of the status related to an instruction.

ProprietaryStatus <PrtrySts> contains the following elements (see "[GenericIdentification47](#)" on page 790 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		790
	Issuer <Issr>	[1..1]	Text		790
	SchemeName <SchmeNm>	[0..1]	Text		791

16.1.26.13.2 ProprietaryReason <PrtryRsn>

Presence: [0..*]

Definition: Proprietary identification of the reason related to a proprietary status.

Impacted by: [C2 "AdditionalReasonInformationRule"](#)

ProprietaryReason <PrtryRsn> contains the following elements (see "ProprietaryReason5" on page 1074 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reason <Rsn>	[0..1]	±		1074
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		1074

Constraints

- **AdditionalReasonInformationRule**

The AdditionalReasonInformation element must not contain information that can be provided in a structured field unless bilaterally agreed.

16.1.26.14 AcceptedStatus9Choice

Definition: Choice between a reason or no reason for the corporate action instruction processing accepted status.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	NoSpecifiedReason <NoSpcfdRsn>	[1..1]	CodeSet		1080
Or}	Reason <Rsn>	[1..*]		C4	1080
	ReasonCode <RsnCd>	[1..1]			1081
{Or	Code <Cd>	[1..1]	CodeSet		1081
Or}	Proprietary <Prtry>	[1..1]	±		1081
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		1082

16.1.26.14.1 NoSpecifiedReason <NoSpcfdRsn>

Presence: [1..1]

Definition: Reason not specified.

Datatype: "NoReasonCode" on page 1152

CodeName	Name	Definition
NORE	NoReason	No reason to report or no reason available to report.

16.1.26.14.2 Reason <Rsn>

Presence: [1..*]

Definition: Reason for the accepted status.

Impacted by: C4 "AdditionalReasonInformationRule"

Reason <Rsn> contains the following **AcceptedStatusReason10** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ReasonCode <RsnCd>	[1..1]			1081
{Or	Code <Cd>	[1..1]	CodeSet		1081
Or}	Proprietary <Prtry>	[1..1]	±		1081
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		1082

Constraints

- **AdditionalReasonInformationRule**

The AdditionalReasonInformation element must not contain information that can be provided in a structured field unless bilaterally agreed.

16.1.26.14.2.1 ReasonCode <RsnCd>

Presence: [1..1]

Definition: Specifies the reason why the instruction or instruction cancellation has been accepted.

ReasonCode <RsnCd> contains one of the following **AcceptedReason11Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1081
Or}	Proprietary <Prtry>	[1..1]	±		1081

16.1.26.14.2.1.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify additional information about the processed instruction.

Datatype: "AcknowledgementReason7Code" on page 1087

CodeName	Name	Definition
NSTP	NotStraightThroughProcessing	Instruction was not straight through processing and had to be processed manually.
OTHR	Other	Other. See Narrative.

16.1.26.14.2.1.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification of additional information about the processed instruction.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 790 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		790
	Issuer <Issr>	[1..1]	Text		790
	SchemeName <SchmeNm>	[0..1]	Text		791

16.1.26.14.2.2 AdditionalReasonInformation <AddtlRsnInf>

Presence: [0..1]

Definition: Provides additional information about the processed instruction.

Datatype: "[RestrictedFINXMax210Text](#)" on page 1178

16.1.26.15 CancelledStatus15Choice

Definition: Choice between a reason or no reason for the corporate action instruction or instruction cancellation cancelled/cancellation completed status.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	NoSpecifiedReason <NoSpctdRsn>	[1..1]	CodeSet		1082
Or}	Reason <Rsn>	[1..*]		C3	1082
	ReasonCode <RsnCd>	[1..1]			1083
{Or	Code <Cd>	[1..1]	CodeSet		1083
Or}	Proprietary <Prtry>	[1..1]	±		1083
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		1084

16.1.26.15.1 NoSpecifiedReason <NoSpctdRsn>

Presence: [1..1]

Definition: Reason not specified.

Datatype: "[NoReasonCode](#)" on page 1152

CodeName	Name	Definition
NORE	NoReason	No reason to report or no reason available to report.

16.1.26.15.2 Reason <Rsn>

Presence: [1..*]

Definition: Reason for the cancelled status.

Impacted by: [C3 "AdditionalReasonInformationRule"](#)

Reason <Rsn> contains the following **CancelledStatusReason14** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ReasonCode <RsnCd>	[1..1]			1083
{Or	Code <Cd>	[1..1]	CodeSet		1083
Or}	Proprietary <Prtry>	[1..1]	±		1083
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		1084

Constraints

- **AdditionalReasonInformationRule**

The AdditionalReasonInformation element must not contain information that can be provided in a structured field unless bilaterally agreed.

16.1.26.15.2.1 ReasonCode <RsnCd>

Presence: [1..1]

Definition: Specifies the reason why the instruction or instruction cancellation has been cancelled.

ReasonCode <RsnCd> contains one of the following **CancelledReason11Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1083
Or}	Proprietary <Prtry>	[1..1]	±		1083

16.1.26.15.2.1.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the reason why the instruction is cancelled.

Datatype: "CancelledStatusReason6Code" on page 1096

CodeName	Name	Definition
CANI	CancelledByYourself	Transaction is cancelled by yourself.
CANO	CancelledByOther	Transaction is cancelled by a party other than the instructing party, for example, a market infrastructure such as a stock exchange.
CANS	CancelledBySystem	Transaction is cancelled by the system.
CSUB	CancelledByAgent	Instruction has been cancelled by the agent due to an event deadline extension.
OTHR	Other	Other. See Narrative.

16.1.26.15.2.1.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification specifying the reason why the instruction is cancelled.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 790 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		790
	Issuer <Issr>	[1..1]	Text		790
	SchemeName <SchmeNm>	[0..1]	Text		791

16.1.26.15.2.2 AdditionalReasonInformation <AddtlRsnInf>

Presence: [0..1]

Definition: Provides additional information about the processed instruction.

Datatype: "[RestrictedFINXMax210Text](#)" on page 1178

16.1.27 System Identification

16.1.27.1 ClearingSystemIdentification2Choice

Definition: Choice of a clearing system identifier.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1084
Or}	Proprietary <Prtry>	[1..1]	Text		1084

16.1.27.1.1 Code <Cd>

Presence: [1..1]

Definition: Identification of a clearing system, in a coded form as published in an external list.

Datatype: "[ExternalClearingSystemIdentification1Code](#)" on page 1139

16.1.27.1.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Identification code for a clearing system, that has not yet been identified in the list of clearing systems.

Datatype: "[Max35Text](#)" on page 1174

16.2 Message Datatypes

16.2.1 Amount

16.2.1.1 ActiveCurrencyAnd13DecimalAmount

Definition: A number of monetary units specified in an active currency where the unit of currency is explicit and compliant with ISO 4217. The number of fractional digits (or minor unit of currency) is not checked as per ISO 4217: It must be lesser than or equal to 13.

Note: The decimal separator is a dot.

Type: Amount

This data type contains the following XML attribute:

Name	Attribute XML Name	Datatype
Currency	Ccy	"ActiveCurrencyCode" on page 1088

Format

minInclusive	0
totalDigits	18
fractionDigits	13

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

16.2.1.2 ActiveCurrencyAndAmount

Definition: A number of monetary units specified in an active currency where the unit of currency is explicit and compliant with ISO 4217.

Type: Amount

This data type contains the following XML attribute:

Name	Attribute XML Name	Datatype
Currency	Ccy	"ActiveCurrencyCode" on page 1088

Format

minInclusive	0
totalDigits	18
fractionDigits	5

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

16.2.1.3 ImpliedCurrencyAndAmount

Definition: Number of monetary units specified in a currency where the unit of currency is implied by the context and compliant with ISO 4217. The decimal separator is a dot.

Note: a zero amount is considered a positive amount.

Type: Amount

Format

minInclusive	0
totalDigits	18
fractionDigits	5

16.2.1.4 RestrictedFINActiveCurrencyAnd13DecimalAmount

Definition: A number of monetary units specified in an active currency where the unit of currency is explicit and compliant with ISO 4217. The number of fractional digits (or minor unit of currency) is not checked as per ISO 4217: It must be lesser than or equal to 13.

Note: The decimal separator is a dot.

Type: Amount

This data type contains the following XML attribute:

Name	Attribute XML Name	Datatype
Currency	Ccy	"ActiveCurrencyCode" on page 1088

Format

minInclusive	0
totalDigits	14
fractionDigits	13

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

16.2.1.5 RestrictedFINActiveCurrencyAndAmount

Definition: A number of monetary units specified in an active currency where the unit of currency is explicit and compliant with ISO 4217.

Type: Amount

This data type contains the following XML attribute:

Name	Attribute XML Name	Datatype
Currency	Ccy	"ActiveCurrencyCode" on page 1088

Format

minInclusive	0
totalDigits	14
fractionDigits	5

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

16.2.1.6 RestrictedFINImpliedCurrencyAndAmount

Definition: Number of monetary units specified in a currency where the unit of currency is implied by the context and compliant with ISO 4217. The decimal separator is a dot.

Note: a zero amount is considered a positive amount.

Type: Amount

Format

minInclusive	0
totalDigits	14
fractionDigits	5

16.2.2 CodeSet**16.2.2.1 AcknowledgementReason7Code**

Definition: Specifies additional information about the processed instruction.

Type: CodeSet

CodeName	Name	Definition
NSTP	NotStraightThroughProcessing	Instruction was not straight through processing and had to be processed manually.
OTHR	Other	Other. See Narrative.

16.2.2.2 ActiveCurrencyCode

Definition: A code allocated to a currency by a Maintenance Agency under an international identification scheme as described in the latest edition of the international standard ISO 4217 "Codes for the representation of currencies and funds".

Type: CodeSet

Format

pattern [A-Z]{3,3}

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

16.2.2.3 ActiveOrHistoricCurrencyCode

Definition: A code allocated to a currency by a Maintenance Agency under an international identification scheme, as described in the latest edition of the international standard ISO 4217 "Codes for the representation of currencies and funds".

Type: CodeSet

Format

pattern [A-Z]{3,3}

Constraints

- **ActiveOrHistoricCurrency**

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

16.2.2.4 AdditionalBusinessProcess10Code

Definition: Specifies the additional business process linked to a corporate action event.

Type: CodeSet

CodeName	Name	Definition
CLAI	ClaimOrCompensation	Relates to a claim on the associated corporate action event.
REVR	NotificationOfReversal	Indicates a preadvice of movement reversal.
TAXR	TaxRefund	Relates to a tax refund from the authorities on the associated corporate action event.
PPUT	PartialMandatoryPutRedemption	Partial mandatory exchange of a portion of bonds where the exchanged securities

CodeName	Name	Definition
		are usually remarketed. The issuer may offer holders the right to retain instead of exchanging their securities. A lottery will be used to determine eligibility for the event.
PPRE	PartialPreFunding	Partial pre-funding of a debt instrument prior to maturity drawn through a lottery process. One new security is issued with an earlier maturity date for the refunded (called portion) and the other new security is issued with the original maturity date for the non refunded (remaining) portion. Applicable only in the frame of a partial defeasance corporate action event.
FPRE	FullPrefunding	Full pre-funding of a debt instrument by the issuer prior to original maturity when the issuer deposits assets in trust. Applicable only in the frame of a partial defeasance corporate action event.
REAC	RequiredAction	Proceeds / entitlements of the mandatory event can only be paid if specified action is taken by the account owner.
INCP	IncentivePremiumPayment	The event is a payment of an incentive premium related to the voting conditions prescribed at a general meeting.

16.2.2.5 AdditionalBusinessProcess11Code

Definition: Specifies the additional business process linked to a corporate action event.

Type: CodeSet

CodeName	Name	Definition
CLAI	ClaimOrCompensation	Relates to a claim on the associated corporate action event.
TAXR	TaxRefund	Relates to a tax refund from the authorities on the associated corporate action event.
ACLA	AutomaticMarketClaim	Will automatically give rise to market claims by the account servicer, for example, a Central Securities Depository (CSD).
ATXF	SubjectToTransformation	As a result of the corporate action, all transactions in the underlying security will be cancelled by the system and replaced with transactions in the outturn resource(s).
CNTR	CancelUnderlyingTrades	As a result of the corporate action, all transactions in the underlying security will be cancelled by the system.
CONS	Consent	Relates to a consent within a corporate action event other than a Consent event (: 22F:: CAEV//CONS).

CodeName	Name	Definition
NAMC	NoAutomaticMarketClaimsAndTransformations	Event will not automatically give rise to market claims and transformations by the account servicer, for example, a Central Securities Depository (CSD).
NPLE	NoSplitElection	Issuer only allows a single option to be selected per designated holding.
SCHM	SchemeOrPlanOfArrangement	Component event of a scheme/plan of arrangement: a reorganisation of a company or a group of companies, and their capital.
PPUT	PartialMandatoryPutRedemption	Partial mandatory exchange of a portion of bonds where the exchanged securities are usually remarketed. The issuer may offer holders the right to retain instead of exchanging their securities. A lottery will be used to determine eligibility for the event.
PPRE	PartialPreFunding	Partial pre-funding of a debt instrument prior to maturity drawn through a lottery process. One new security is issued with an earlier maturity date for the refunded (called portion) and the other new security is issued with the original maturity date for the non refunded (remaining) portion. Applicable only in the frame of a partial defeasance corporate action event.
FPRE	FullPrefunding	Full pre-funding of a debt instrument by the issuer prior to original maturity when the issuer deposits assets in trust. Applicable only in the frame of a partial defeasance corporate action event.
INCP	IncentivePremiumPayment	The event is a payment of an incentive premium related to the voting conditions prescribed at a general meeting.

16.2.2.6 AdditionalBusinessProcess7Code

Definition: Specifies the additional business process linked to a corporate action event.

Type: CodeSet

CodeName	Name	Definition
CLAI	ClaimOrCompensation	Relates to a claim on the associated corporate action event.
TAXR	TaxRefund	Relates to a tax refund from the authorities on the associated corporate action event.
ACLA	AutomaticMarketClaim	Will automatically give rise to market claims by the account servicer, for example, a Central Securities Depository (CSD).
ATXF	SubjectToTransformation	As a result of the corporate action, all transactions in the underlying security will be cancelled by the system and

CodeName	Name	Definition
		replaced with transactions in the outturn resource(s).
CNTR	CancelUnderlyingTrades	As a result of the corporate action, all transactions in the underlying security will be cancelled by the system.
CONS	Consent	Relates to a consent within a corporate action event other than a Consent event (: 22F:: CAEV//CONS).
NAMC	NoAutomaticMarketClaimsAndTransformations	Event will not automatically give rise to market claims and transformations by the account servicer, for example, a Central Securities Depository (CSD).
NPLE	NoSplitElection	Issuer only allows a single option to be selected per designated holding.
SCHM	SchemeOrPlanOfArrangement	Component event of a scheme/plan of arrangement: a reorganisation of a company or a group of companies, and their capital.
PPUT	PartialMandatoryPutRedemption	Partial mandatory exchange of a portion of bonds where the exchanged securities are usually remarketed. The issuer may offer holders the right to retain instead of exchanging their securities. A lottery will be used to determine eligibility for the event.
PPRE	PartialPreFunding	Partial pre-funding of a debt instrument prior to maturity drawn through a lottery process. One new security is issued with an earlier maturity date for the refunded (called portion) and the other new security is issued with the original maturity date for the non refunded (remaining) portion. Applicable only in the frame of a partial defeasance corporate action event.
FPRE	FullPrefunding	Full pre-funding of a debt instrument by the issuer prior to original maturity when the issuer deposits assets in trust. Applicable only in the frame of a partial defeasance corporate action event.

16.2.2.7 AdditionalBusinessProcess8Code

Definition: Specifies the additional business process linked to a corporate action event such as an automatic market claim.

Type: CodeSet

CodeName	Name	Definition
CONS	Consent	Relates to a consent within a corporate action event other than a Consent event (: 22F:: CAEV//CONS).
FPRE	FullPrefunding	Full pre-funding of a debt instrument by the issuer prior to original maturity when the issuer deposits assets in trust.

CodeName	Name	Definition
		Applicable only in the frame of a partial defeasance corporate action event.
PPUT	PartialMandatoryPutRedemption	Partial mandatory exchange of a portion of bonds where the exchanged securities are usually remarketed. The issuer may offer holders the right to retain instead of exchanging their securities. A lottery will be used to determine eligibility for the event.
PPRE	PartialPreFunding	Partial pre-funding of a debt instrument prior to maturity drawn through a lottery process. One new security is issued with an earlier maturity date for the refunded (called portion) and the other new security is issued with the original maturity date for the non refunded (remaining) portion. Applicable only in the frame of a partial defeasance corporate action event.

16.2.2.8 AdditionalBusinessProcess9Code

Definition: Specifies the additional business process linked to a corporate action event.

Type: CodeSet

CodeName	Name	Definition
ACLA	AutomaticMarketClaim	Will automatically give rise to market claims by the account servicer, for example, a Central Securities Depository (CSD).
ATXF	SubjectToTransformation	As a result of the corporate action, all transactions in the underlying security will be cancelled by the system and replaced with transactions in the outturn resource(s).
CNTR	CancelUnderlyingTrades	As a result of the corporate action, all transactions in the underlying security will be cancelled by the system.
NAMC	NoAutomaticMarketClaimsAndTransformations	Event will not automatically give rise to market claims and transformations by the account servicer, for example, a Central Securities Depository (CSD).
NPLE	NoSplitElection	Issuer only allows a single option to be selected per designated holding.
SCHM	SchemeOrPlanOfArrangement	Component event of a scheme/plan of arrangement: a reorganisation of a company or a group of companies, and their capital.
CONS	Consent	Relates to a consent within a corporate action event other than a Consent event (: 22F:: CAEV//CONS).
PPUT	PartialMandatoryPutRedemption	Partial mandatory exchange of a portion of bonds where the exchanged securities are usually remarketed. The issuer may offer holders the right to retain instead of

CodeName	Name	Definition
		exchanging their securities. A lottery will be used to determine eligibility for the event.
FPRE	FullPrefunding	Full pre-funding of a debt instrument by the issuer prior to original maturity when the issuer deposits assets in trust. Applicable only in the frame of a partial defeasance corporate action event.
PPRE	PartialPreFunding	Partial pre-funding of a debt instrument prior to maturity drawn through a lottery process. One new security is issued with an earlier maturity date for the refunded (called portion) and the other new security is issued with the original maturity date for the non refunded (remaining) portion. Applicable only in the frame of a partial defeasance corporate action event.
REAC	RequiredAction	Proceeds / entitlements of the mandatory event can only be paid if specified action is taken by the account owner.
INCP	IncentivePremiumPayment	The event is a payment of an incentive premium related to the voting conditions prescribed at a general meeting.

16.2.2.9 AddressType2Code

Definition: Specifies the type of address.

Type: CodeSet

CodeName	Name	Definition
ADDR	Postal	Address is the complete postal address.
PBOX	POBox	Address is a postal office (PO) box.
HOME	Residential	Address is the home address.
BIZZ	Business	Address is the business address.
MLTO	MailTo	Address is the address to which mail is sent.
DLVY	DeliveryTo	Address is the address to which delivery is to take place.

16.2.2.10 AmountPriceType1Code

Definition: Specifies the amount price type.

Type: CodeSet

CodeName	Name	Definition
ACTU	ActualAmount	Price expressed as a currency and amount per unit or per share.
DISC	Discount	Price expressed as a discount amount.

CodeName	Name	Definition
PLOT	Lot	Price expressed as an amount of money per lot.
PREM	Premium	Price expressed as a premium.

16.2.2.11 AmountPriceType2Code

Definition: Specifies the amount price type.

Type: CodeSet

CodeName	Name	Definition
ACTU	ActualAmount	Price expressed as a currency and amount per unit or per share.

16.2.2.12 AmountPriceType3Code

Definition: Specifies the amount price type.

Type: CodeSet

CodeName	Name	Definition
ACTU	ActualAmount	Price expressed as a currency and amount per unit or per share.
PLOT	Lot	Price expressed as an amount of money per lot.

16.2.2.13 BeneficiaryCertificationType4Code

Definition: Specifies the type of certification required.

Type: CodeSet

CodeName	Name	Definition
ACCI	AccreditedInvestor	The holder of the security has to irrevocably certify that it is an Accredited Investor as defined in US Securities and Exchange Commission Regulation D.
DOMI	DomicileCountry	The holder of the security has to certify his domicile.
NDOM	NonDomicileCountry	The holder of the security has to certify, in line with the terms of the corporate action, that it is not domiciled in the country indicated.
FULL	FullBeneficialOwnerBreakdown	The holder of the security has to provide his beneficial owner details for disclosure/instruction purpose.
NCOM	NonCompany	The holder of the security has to certify he is an Non-Company related person.
QIBB	QIBCertification	The holder of the security has to irrevocably certify that it is a Qualified Institutional Buyer as defined in US Securities and Exchange Commission Rule 144A.

CodeName	Name	Definition
TRBD	TaxRateBreakdown	The holder of the security has to provide basic details on nominals and associated tax rate to be used by the account servicer.
PAPW	BeneficialOwnerPaperwork	Indicates if the Beneficial Owner is responsible to fill in the paperwork.
PABD	ICSDParticipantBreakdown	The (I)CSD has to provide its participants' details for disclosure purpose to the issuer/issuer's agent.
FRAC	FractionBreakdown	Indicates that the rounding rule in the Fraction Disposition component at the option level can be offered at the beneficial owner level.

16.2.2.14 BeneficiaryCertificationType5Code

Definition: Specifies the type of certification required.

Type: CodeSet

CodeName	Name	Definition
ACCI	AccreditedInvestor	The holder of the security has to irrevocably certify that it is an Accredited Investor as defined in US Securities and Exchange Commission Regulation D.
NCOM	NonCompany	The holder of the security has to certify he is an Non-Company related person.
QIBB	QIBCertification	The holder of the security has to irrevocably certify that it is a Qualified Institutional Buyer as defined in US Securities and Exchange Commission Rule 144A.

16.2.2.15 BidRangeType1Code

Definition: Types of acceptable bid range values.

Type: CodeSet

CodeName	Name	Definition
DIVI	Divisible	All values (including minimum and maximum prices) are divisible by the bid interval.
INCR	Incremental	No values within the bid range are divisible by the bid interval including the minimum and maximum prices. Each value between the minimum and maximum prices is incremented by the bid interval.
MULT	InMultiple	Minimum and maximum prices are not divisible by the bid interval. All successive values between the minimum and maximum prices are in multiples of the bid interval.

16.2.2.16 BusinessMessagePriorityCode

Definition: Specifies the priority levels for the BusinessMessage.

The different priorities are typically user / service / implementation dependent. The semantics of the different values for a Message (Set) need to be defined by the relevant user community (SEG.).

Type: CodeSet

Constraints

- ValidationByTable

16.2.2.17 CancelledStatusReason5Code

Definition: Specifies the underlying reason for the cancellation of the associated transaction.

Type: CodeSet

CodeName	Name	Definition
CANI	CancelledByYourself	Transaction is cancelled by yourself.
OTHR	Other	Other. See Narrative.

16.2.2.18 CancelledStatusReason6Code

Definition: Specifies the underlying reason for the cancellation of the associated transaction.

Type: CodeSet

CodeName	Name	Definition
CANI	CancelledByYourself	Transaction is cancelled by yourself.
CANO	CancelledByOther	Transaction is cancelled by a party other than the instructing party, for example, a market infrastructure such as a stock exchange.
CANS	CancelledBySystem	Transaction is cancelled by the system.
CSUB	CancelledByAgent	Instruction has been cancelled by the agent due to an event deadline extension.
OTHR	Other	Other. See Narrative.

16.2.2.19 CertificationFormatType1Code

Definition: Specifies the certification format required, that is, physical or electronic format.

Type: CodeSet

CodeName	Name	Definition
ELEC	Electronic	Certification must be provided in electronic format.
PHYS	Physical	Certification must be provided in physical format.

16.2.2.20 ConsentType1Code

Definition: Specifies the type of consent announced.

Type: CodeSet

CodeName	Name	Definition
CTRM	ChangeInTerms	Change in the terms and conditions of the bond.
DUPY	DueAndPayable	Change in the due and payable conditions.

16.2.2.21 CopyDuplicate1Code

Definition: Specifies if this document is a copy, a duplicate, or a duplicate of a copy.

Type: CodeSet

CodeName	Name	Definition
CODU	CopyDuplicate	Message is being sent as a copy to a party other than the account owner, for information purposes and the message is a duplicate of a message previously sent.
COPY	Copy	Message is being sent as a copy to a party other than the account owner, for information purposes.
DUPL	Duplicate	Message is for information/confirmation purposes. It is a duplicate of a message previously sent.

16.2.2.22 CorporateActionCancellationReason1Code

Definition: Specifies reasons for cancellation of a corporate action event.

Type: CodeSet

CodeName	Name	Definition
WITH	Withdrawal	Cancellation due to the corporate action event being cancelled by the issuer.
PROC	Processing	Cancellation due to a processing error.

16.2.2.23 CorporateActionCodeType1Code

Definition: Specifies the type of changes.

Type: CodeSet

CodeName	Name	Definition
BERE	BearToRegistered	Change from bearer into registered form.
CERT	Certificates	Change of all instruments into certificates.
DEPH	DematerialisedToPhysical	Change from dematerialised into physical form.

CodeName	Name	Definition
GPPH	GlobalPermanentToPhysical	Change from global permanent to physical form.
GTGP	GlobalTemporaryToGlobalPermanent	Change from global temporary to global permanent form.
GTPH	GlobalTemporaryToPhysical	Change from global temporary to physical form.
NAME	Name	The issuing company changes its name. CA event shows the change from old name to new name and may involve surrendering physical shares with the old name to the registrar.
PHDE	PhysicalToDematerialised	Change from physical into dematerialised form.
REBE	RegisteredToBearer	Change from registered into bearer form.
TERM	Terms	Change in the terms of the security.
DECI	Decimalisation	Process of changing the accounting method of a security, for example, mutual fund, from units to a quantity with decimals.

16.2.2.24 CorporateActionChangeType2Code

Definition: Specifies the type of changes.

Type: CodeSet

CodeName	Name	Definition
BERE	BearToRegistered	Change from bearer into registered form.
CERT	Certificates	Change of all instruments into certificates.
DEPH	DematerialisedToPhysical	Change from dematerialised into physical form.
GPPH	GlobalPermanentToPhysical	Change from global permanent to physical form.
GTGP	GlobalTemporaryToGlobalPermanent	Change from global temporary to global permanent form.
GTPH	GlobalTemporaryToPhysical	Change from global temporary to physical form.
NAME	Name	The issuing company changes its name. CA event shows the change from old name to new name and may involve surrendering physical shares with the old name to the registrar.
PHDE	PhysicalToDematerialised	Change from physical into dematerialised form.
REBE	RegisteredToBearer	Change from registered into bearer form.
TERM	Terms	Change in the terms of the security.

16.2.2.25 CorporateActionEventProcessingType1Code

Definition: Specifies the type of event processing.

Type: CodeSet

CodeName	Name	Definition
GENL	General	No debit or credit of resources.
DISN	Distribution	Holder of the relevant security on a certain date, for example, the record date, will receive a benefit without giving up the underlying security.
REOR	Reorganisation	Underlying security will be debited and may be replaced by another resource (or resources).

16.2.2.26 CorporateActionEventStage3Code

Definition: Specifies the stage of the CA event.

Type: CodeSet

CodeName	Name	Definition
APPD	Approved	Approved at the relevant meeting, for example, dividend.
CLDE	Deactivated	Offer is closed for acceptance.
FULL	CancellationAndReRun	Cancellation and re-run of an original lottery or an original and supplemental lottery. A subsequent lottery will be performed under a new event.
LAPS	Lapsed	Event/offer conditions have not been met and the event/offer is terminated or lapsed.
PART	SupplementalCancellationAndReRun	Cancellation and re-run of a supplemental lottery only. A subsequent new supplemental lottery will be performed under the original event.
PWAL	ActionPeriod	Open for acceptance until the next specified deadline in the announcement (if any).
RESC	SupplementalCancellation	A supplemental lottery is being cancelled by the issuer. No subsequent lottery will be performed.
SUAP	SubjectToApproval	Subject to approval at the relevant meeting, for example, dividend.
UNAC	UnconditionalAsToAcceptance	The required level of acceptances specified in the terms of the offer has been achieved but there are still outstanding conditions to fulfil.
WHOU	WhollyUnconditional	Wholly unconditional; all conditions specified in the offer document have been satisfied.

16.2.2.27 CorporateActionEventStage4Code

Definition: Specifies the stage of the CA lottery event.

Type: CodeSet

CodeName	Name	Definition
FULL	CancellationAndReRun	Cancellation and re-run of an original lottery or an original and supplemental lottery. A subsequent lottery will be performed under a new event.
PART	SupplementalCancellationAndReRun	Cancellation and re-run of a supplemental lottery only. A subsequent new supplemental lottery will be performed under the original event.
RESC	SupplementalCancellation	A supplemental lottery is being cancelled by the issuer. No subsequent lottery will be performed.

16.2.2.28 CorporateActionEventType30Code

Definition: Specifies the corporate action event type.

Type: CodeSet

CodeName	Name	Definition
ACTV	ActiveTradingStatus	Trading in the security has commenced or security has been re-activated after a suspension in trading.
ATTI	Attachment	Combination of different security types to create a unit. Units are usually comprised of warrants and bonds or warrants and equities. Securities may be combined at the request of the security holder or based on market convention.
BRUP	Bankruptcy	Legal status of a company unable to pay creditors. Bankruptcy usually involves a formal court ruling. Securities may become valueless.
DFLT	BondDefault	Failure by the company to perform obligations defined as default events under the bond agreement and that have not been remedied.
BONU	BonusIssue	Bonus or capitalisation issue. Security holders receive additional assets free of payment from the issuer, in proportion to their holding.
EXRI	CallOnIntermediateSecurities	Call or exercise on nil paid securities or intermediate securities resulting from an intermediate securities distribution (RHDI). This code is used for the second event, when an intermediate securities' issue (rights/coupons) is composed of two events, the first event being the distribution of intermediate securities.
CAPD	CapitalDistribution	Corporate event pays shareholders an amount in cash issued from the capital account. There is no reduction to the

CodeName	Name	Definition
		face value of a single share (or the share has no par value). The number of circulating shares remains unchanged.
CAPG	CapitalGainsDistribution	Event is the distribution of profits resulting from the sale of securities. Shareholders of mutual funds, unit trusts, or SICAVs are recipients of capital gains distributions and are often reinvested in additional shares of the fund.
CAPI	Capitalisation	Increase of the current principal of a debt instrument without increasing the nominal value. It normally arises from the incorporation of due but unpaid interest into the principal. This is commonly done by increasing the pool factor value, for example, capitalisation, and negative amortisation.
DRCA	CashDistributionFromNonEligibleSecuritiesSales	Distribution to shareholders of cash resulting from the selling of non-eligible securities, for example, in the frame of a depositary receipt program.
DVCA	CashDividend	Distribution of cash to shareholders, in proportion to their equity holding. Ordinary dividends are recurring and regular. Shareholder must take cash and may be offered a choice of currency.
CHAN	Change	Information regarding a change further described in the corporate action details.
COOP	CompanyOption	Company option may be granted by the company, allowing the holder to take up shares at some future date(s) at a pre arranged price in the company. A company may not grant options which enable the holder to take up unissued shares at a time which is five or more years from the date of the grant. Option holders are not members of a company. They are contingent creditors of a company and hence may, in some instances, be entitled to vote on and be bound by a scheme of arrangement between the creditors and the company. As many options have multiple exercise periods a company option will either lapse or carry on to the next expiry date.
CLSA	ClassActionProposedSettlement	Situation where interested parties seek restitution for financial loss. The security holder may be offered the opportunity to join a class action proceeding and would need to respond with an instruction.
CONS	Consent	Procedure that aims to obtain consent of holder to a proposal by the issuer or a third party without convening a meeting. For example, consent to change the terms of a bond.
CONV	Conversion	Conversion of securities (generally convertible bonds or preferred shares)

CodeName	Name	Definition
		into another form of securities (usually common shares) at a pre-stated price/ratio.
CREV	CreditEvent	Occurrence of credit derivative for which the issuer of one or several underlying securities is unable to fulfill its financial obligations (as defined in terms and conditions).
DECR	DecreaseInValue	Reduction of face value of a single share or the value of fund assets. The number of circulating shares/units remains unchanged. This event may include a cash payout to holders.
DETI	Detachment	Separation of components that comprise a security, for example, usually units comprised of warrants and bond or warrants and equity. Units may be broken up at the request of the security holder or based on market convention.
DSCL	Disclosure	Requirement for holders or beneficial owners to disclose their name, location and holdings of any issue to the issuer.
DVOP	DividendOption	Distribution of a dividend to shareholders with a choice of benefit to receive. Shareholders may choose to receive shares or cash. To be distinguished from DRIP as the company creates new share capital in exchange for the dividend rather than investing the dividend in the market.
DRIP	DividendReinvestment	Dividend payment where holders can keep cash or have the cash reinvested in the market by the issuer into additional shares in the issuing company. To be distinguished from DVOP as the company invests the dividend in the market rather than creating new share capital in exchange for the dividend.
DRAW	Drawing	Securities are redeemed in part before the scheduled final maturity date. It is done without any pool factor reduction. The redemption is reflected in a debit of the face amount (FAMT). Drawing is distinct from partial call since drawn bonds are chosen by lottery. Therefore, not every holder is affected in the same way.
DTCH	DutchAuction	Action by a party wishing to acquire a security. Holders of the security are invited to make an offer to sell, within a specific price range. The acquiring party will buy from the holder with lowest offer.
EXOF	Exchange	Exchange of holdings for other securities and/or cash. The exchange can be either mandatory or voluntary involving the exchange of outstanding securities for different securities and/or cash. For

CodeName	Name	Definition
		example "exchange offer", "capital reorganisation" or "funds separation".
REDM	FinalMaturity	Redemption of an entire issue outstanding of securities, for example, bonds, preferred equity, funds, by the issuer or its agent, for example, asset manager, at final maturity.
MCAL	FullCall	Redemption of an entire issue outstanding of securities, for example, bonds, preferred equity, funds, by the issuer or its agent, for example, asset manager, before final maturity.
INCR	IncreaseInValue	Increase in the face value of a single security. The number of circulating securities remains unchanged.
PPMT	InstalmentCall	Instalment towards the purchase of equity capital, subject to an agreement between an issuer and a purchaser.
INTR	InterestPayment	Interest payment distributed to holders of an interest bearing asset.
RHDI	IntermediateSecuritiesDistribution	Distribution of intermediate securities that gives the holder the right to take part in a future event.
LIQU	LiquidationDividend	Distribution of cash, assets or both. Debt may be paid in order of priority based on preferred claims to assets specified by the security.
EXTM	MaturityExtension	As stipulated in the security's Terms and Conditions, the issuer or the holder may prolong the maturity date of a security. After extension, the security details may differ from the original issue. An issuer initiated extension may be subject to holder's approval.
MRGR	Merger	Exchange of outstanding securities, initiated by the issuer which may include options, as the result of two or more companies combining assets, that is, an external, third party company. Cash payments may accompany share exchange.
NOOF	NonOfficialOffer	Offers that are not supervised or regulated by an official entity and being offered by a party, for example a broker, usually at a discount price, for example broker offer, mini-tender, mini odd lot offer or third party offer.
CERT	NonUSTEFRADCertification	Non-US beneficial owner certification requirement for exchange of temporary to permanent notes.
ODLT	OddLotSalePurchase	Sale or purchase of odd-lots to/from the issuing company, initiated either by the holder of the security or through an offer made by the issuer.

CodeName	Name	Definition
OTHR	OtherEvent	Other event, use only when no other event type applies, for example, a new event type.
PARI	PariPassu	Occurs when securities with different characteristics, for example, shares with different entitlements to dividend or voting rights, become identical in all respects, for example, pari-passu or assimilation. May be scheduled in advance, for example, shares resulting from a bonus may become fungible after a pre-set period of time, or may result from outside events, for example, merger, reorganisation, issue of supplementary tranches.
PCAL	PartialRedemptionWithoutPoolFactorReduction	Securities are redeemed in part before their scheduled final maturity date. It is done without any pool factor reduction. The redemption is reflected in a debit of the face amount (FAMT).
PRED	PartialRedemptionWithPoolFactorReduction	Securities are redeemed in part before their scheduled final maturity date. The redemption is reflected in a pool factor reduction. No movement of securities occurs.
PINK	PayInKind	Interest payment, in any kind except cash, distributed to holders of an interest bearing asset.
PLAC	PlaceOfIncorporation	Changes in the state of incorporation for US companies and changes in the place of incorporation for foreign companies. Where shares need to be registered following the incorporation change, the holder(s) may have to elect the registrar.
PDEF	Prefunding	Also called partial defeasance. Issuer has money set aside to redeem a portion of an issue and the indenture states that the securities could be called earlier than the stated maturity.
PRIO	PriorityIssue	Form of open or public offer where, due to a limited amount of securities available, priority is given to existing shareholders.
BPUT	PutRedemption	Early redemption of a security at the election of the holder subject to the terms and condition of the issue with no reduction in nominal value.
REDO	Redenomination	Event by which the unit (currency and/or nominal) of a security is restated, for example, nominal/par value of security in a national currency is restated in another currency.
REMK	RemarketingAgreement	Purchase and sale of remarketed preferred equities/bonds through the negotiation of interest rate between the issuers and the holders.

CodeName	Name	Definition
BIDS	RepurchaseOffer	Repurchase offer / issuer bid / reverse rights. Offer to existing holders by the issuing company to repurchase its own securities. The objective of the offer is to reduce the number of outstanding securities.
SPLR	ReverseStockSplit	Decrease in a company's number of outstanding equities without any change in the shareholder's equity or the aggregate market value at the time of the split. Equity price and nominal value are increased accordingly.
RHTS	RightsIssue	Offer to holders of a security to subscribe for additional securities via the distribution of an intermediate security. Both processes are included in the same event.
DVSC	ScripDividend	Dividend or interest paid in the form of scrip.
SHPR	SharesPremiumDividend	Corporate event pays shareholders an amount in cash issued from the shares premium reserve. It is similar to a dividend but with different tax implications.
SMAL	SmallestNegotiableUnit	Modification of the smallest negotiable unit of shares in order to obtain a new negotiable unit.
SOFF	SpinOff	Distribution of securities issued by another company. The distributed securities may either be of a newly created or of an existing company. For example, spin-off, demerger, unbundling, divestment.
DVSE	StockDividend	Dividend paid to shareholders in the form of equities of the issuing corporation.
SPLF	StockSplit	Increase in a corporation's number of outstanding equities without any change in the shareholder's equity or the aggregate market value at the time of the split. Equity price and nominal value are reduced accordingly.
TREC	TaxReclaim	Event related to tax reclaim activities.
TEND	Tender	Offer made to holders by a third party, requesting them to sell (tender) or exchange their securities.
DLST	TradingStatusDelisted	Security is no longer able to comply with the listing requirements of a stock exchange and is removed from official board quotation.
SUSP	TradingStatusSuspended	Trading in the security has been suspended.
EXWA	WarrantExercise	Option offered to holders to buy (call warrant) or to sell (put warrant) a specific

CodeName	Name	Definition
		amount of stock, cash, or commodity, at a predetermined price, during a predetermined period of time (which usually corresponds to the life of the issue).
WTRC	WithholdingTaxReliefCertification	Certification process for withholding tax reduction or exemption based on the tax status of the holder.
WRTH	Worthless	Booking out of valueless securities.
ACCU	Accumulation	Funds related event in which the income (for example accumulation units) that accrues during an accounting period is retained within the fund instead of being paid away to investors. The retained income is nonetheless deemed to have been distributed to investors for tax purposes.
MTNG	SecuritiesHoldersMeeting	Ordinary or annual or extraordinary or special general meeting.
TNDP	TaxOnNonDistributedProceeds	Taxable component on non-distributed proceeds, for example, Australian deemed income or US 871m income regulation.

16.2.2.29 CorporateActionEventType31Code

Definition: Specifies the corporate action event type.

Type: CodeSet

CodeName	Name	Definition
ACTV	ActiveTradingStatus	Trading in the security has commenced or security has been re-activated after a suspension in trading.
ATTI	Attachment	Combination of different security types to create a unit. Units are usually comprised of warrants and bonds or warrants and equities. Securities may be combined at the request of the security holder or based on market convention.
BRUP	Bankruptcy	Legal status of a company unable to pay creditors. Bankruptcy usually involves a formal court ruling. Securities may become valueless.
DFLT	BondDefault	Failure by the company to perform obligations defined as default events under the bond agreement and that have not been remedied.
BONU	BonusIssue	Bonus or capitalisation issue. Security holders receive additional assets free of payment from the issuer, in proportion to their holding.
EXRI	CallOnIntermediateSecurities	Call or exercise on nil paid securities or intermediate securities resulting from an intermediate securities distribution

CodeName	Name	Definition
		(RHD). This code is used for the second event, when an intermediate securities' issue (rights/coupons) is composed of two events, the first event being the distribution of intermediate securities.
CAPD	CapitalDistribution	Corporate event pays shareholders an amount in cash issued from the capital account. There is no reduction to the face value of a single share (or the share has no par value). The number of circulating shares remains unchanged.
CAPG	CapitalGainsDistribution	Event is the distribution of profits resulting from the sale of securities. Shareholders of mutual funds, unit trusts, or SICAVs are recipients of capital gains distributions and are often reinvested in additional shares of the fund.
CAPI	Capitalisation	Increase of the current principal of a debt instrument without increasing the nominal value. It normally arises from the incorporation of due but unpaid interest into the principal. This is commonly done by increasing the pool factor value, for example, capitalisation, and negative amortisation.
DRCA	CashDistributionFromNonEligibleSecuritiesSales	Distribution to shareholders of cash resulting from the selling of non-eligible securities, for example, in the frame of a depositary receipt program.
DVCA	CashDividend	Distribution of cash to shareholders, in proportion to their equity holding. Ordinary dividends are recurring and regular. Shareholder must take cash and may be offered a choice of currency.
CHAN	Change	Information regarding a change further described in the corporate action details.
COOP	CompanyOption	Company option may be granted by the company, allowing the holder to take up shares at some future date(s) at a pre arranged price in the company. A company may not grant options which enable the holder to take up unissued shares at a time which is five or more years from the date of the grant. Option holders are not members of a company. They are contingent creditors of a company and hence may, in some instances, be entitled to vote on and be bound by a scheme of arrangement between the creditors and the company. As many options have multiple exercise periods a company option will either lapse or carry on to the next expiry date.
CLSA	ClassActionProposedSettlement	Situation where interested parties seek restitution for financial loss. The security holder may be offered the opportunity to

CodeName	Name	Definition
		join a class action proceeding and would need to respond with an instruction.
CONS	Consent	Procedure that aims to obtain consent of holder to a proposal by the issuer or a third party without convening a meeting. For example, consent to change the terms of a bond.
CONV	Conversion	Conversion of securities (generally convertible bonds or preferred shares) into another form of securities (usually common shares) at a pre-stated price/ratio.
CREV	CreditEvent	Occurrence of credit derivative for which the issuer of one or several underlying securities is unable to fulfill its financial obligations (as defined in terms and conditions).
DECR	DecreaseInValue	Reduction of face value of a single share or the value of fund assets. The number of circulating shares/units remains unchanged. This event may include a cash payout to holders.
DETI	Detachment	Separation of components that comprise a security, for example, usually units comprised of warrants and bond or warrants and equity. Units may be broken up at the request of the security holder or based on market convention.
DSCL	Disclosure	Requirement for holders or beneficial owners to disclose their name, location and holdings of any issue to the issuer.
DVOP	DividendOption	Distribution of a dividend to shareholders with a choice of benefit to receive. Shareholders may choose to receive shares or cash. To be distinguished from DRIP as the company creates new share capital in exchange for the dividend rather than investing the dividend in the market.
DRIP	DividendReinvestment	Dividend payment where holders can keep cash or have the cash reinvested in the market by the issuer into additional shares in the issuing company. To be distinguished from DVOP as the company invests the dividend in the market rather than creating new share capital in exchange for the dividend.
DRAW	Drawing	Securities are redeemed in part before the scheduled final maturity date. It is done without any pool factor reduction. The redemption is reflected in a debit of the face amount (FAMT). Drawing is distinct from partial call since drawn bonds are chosen by lottery. Therefore, not every holder is affected in the same way.

CodeName	Name	Definition
DTCH	DutchAuction	Action by a party wishing to acquire a security. Holders of the security are invited to make an offer to sell, within a specific price range. The acquiring party will buy from the holder with lowest offer.
EXOF	Exchange	Exchange of holdings for other securities and/or cash. The exchange can be either mandatory or voluntary involving the exchange of outstanding securities for different securities and/or cash. For example "exchange offer", "capital reorganisation" or "funds separation".
REDM	FinalMaturity	Redemption of an entire issue outstanding of securities, for example, bonds, preferred equity, funds, by the issuer or its agent, for example, asset manager, at final maturity.
MCAL	FullCall	Redemption of an entire issue outstanding of securities, for example, bonds, preferred equity, funds, by the issuer or its agent, for example, asset manager, before final maturity.
INCR	IncreaseInValue	Increase in the face value of a single security. The number of circulating securities remains unchanged.
PPMT	InstalmentCall	Instalment towards the purchase of equity capital, subject to an agreement between an issuer and a purchaser.
INTR	InterestPayment	Interest payment distributed to holders of an interest bearing asset.
RHDI	IntermediateSecuritiesDistribution	Distribution of intermediate securities that gives the holder the right to take part in a future event.
LIQU	LiquidationDividend	Distribution of cash, assets or both. Debt may be paid in order of priority based on preferred claims to assets specified by the security.
EXTM	MaturityExtension	As stipulated in the security's Terms and Conditions, the issuer or the holder may prolong the maturity date of a security. After extension, the security details may differ from the original issue. An issuer initiated extension may be subject to holder's approval.
MRGR	Merger	Exchange of outstanding securities, initiated by the issuer which may include options, as the result of two or more companies combining assets, that is, an external, third party company. Cash payments may accompany share exchange.
NOOF	NonOfficialOffer	Offers that are not supervised or regulated by an official entity and being offered by a party, for example a broker, usually at a discount price, for example

CodeName	Name	Definition
		broker offer, mini-tender, mini odd lot offer or third party offer.
CERT	NonUSTEFRADCertification	Non-US beneficial owner certification requirement for exchange of temporary to permanent notes.
ODLT	OddLotSalePurchase	Sale or purchase of odd-lots to/from the issuing company, initiated either by the holder of the security or through an offer made by the issuer.
OTHR	OtherEvent	Other event, use only when no other event type applies, for example, a new event type.
PARI	PariPassu	Occurs when securities with different characteristics, for example, shares with different entitlements to dividend or voting rights, become identical in all respects, for example, pari-passu or assimilation. May be scheduled in advance, for example, shares resulting from a bonus may become fungible after a pre-set period of time, or may result from outside events, for example, merger, reorganisation, issue of supplementary tranches.
PCAL	PartialRedemptionWithoutPoolFactorReduction	Securities are redeemed in part before their scheduled final maturity date. It is done without any pool factor reduction. The redemption is reflected in a debit of the face amount (FAMT).
PRED	PartialRedemptionWithPoolFactorReduction	Securities are redeemed in part before their scheduled final maturity date. The redemption is reflected in a pool factor reduction. No movement of securities occurs.
PINK	PayInKind	Interest payment, in any kind except cash, distributed to holders of an interest bearing asset.
PLAC	PlaceOfIncorporation	Changes in the state of incorporation for US companies and changes in the place of incorporation for foreign companies. Where shares need to be registered following the incorporation change, the holder(s) may have to elect the registrar.
PDEF	Prefunding	Also called partial defeasance. Issuer has money set aside to redeem a portion of an issue and the indenture states that the securities could be called earlier than the stated maturity.
PRI0	PriorityIssue	Form of open or public offer where, due to a limited amount of securities available, priority is given to existing shareholders.
BPUT	PutRedemption	Early redemption of a security at the election of the holder subject to the terms and condition of the issue with no reduction in nominal value.

CodeName	Name	Definition
REDO	Redenomination	Event by which the unit (currency and/or nominal) of a security is restated, for example, nominal/par value of security in a national currency is restated in another currency.
REMK	RemarketingAgreement	Purchase and sale of remarketed preferred equities/bonds through the negotiation of interest rate between the issuers and the holders.
BIDS	RepurchaseOffer	Repurchase offer / issuer bid / reverse rights. Offer to existing holders by the issuing company to repurchase its own securities. The objective of the offer is to reduce the number of outstanding securities.
SPLR	ReverseStockSplit	Decrease in a company's number of outstanding equities without any change in the shareholder's equity or the aggregate market value at the time of the split. Equity price and nominal value are increased accordingly.
RHTS	RightsIssue	Offer to holders of a security to subscribe for additional securities via the distribution of an intermediate security. Both processes are included in the same event.
DVSC	ScripDividend	Dividend or interest paid in the form of scrip.
SHPR	SharesPremiumDividend	Corporate event pays shareholders an amount in cash issued from the shares premium reserve. It is similar to a dividend but with different tax implications.
SMAL	SmallestNegotiableUnit	Modification of the smallest negotiable unit of shares in order to obtain a new negotiable unit.
SOFF	SpinOff	Distribution of securities issued by another company. The distributed securities may either be of a newly created or of an existing company. For example, spin-off, demerger, unbundling, divestment.
DVSE	StockDividend	Dividend paid to shareholders in the form of equities of the issuing corporation.
SPLF	StockSplit	Increase in a corporation's number of outstanding equities without any change in the shareholder's equity or the aggregate market value at the time of the split. Equity price and nominal value are reduced accordingly.
TREC	TaxReclaim	Event related to tax reclaim activities.

CodeName	Name	Definition
TEND	Tender	Offer made to holders by a third party, requesting them to sell (tender) or exchange their securities.
DLST	TradingStatusDelisted	Security is no longer able to comply with the listing requirements of a stock exchange and is removed from official board quotation.
SUSP	TradingStatusSuspended	Trading in the security has been suspended.
EXWA	WarrantExercise	Option offered to holders to buy (call warrant) or to sell (put warrant) a specific amount of stock, cash, or commodity, at a predetermined price, during a predetermined period of time (which usually corresponds to the life of the issue).
WTRC	WithholdingTaxReliefCertification	Certification process for withholding tax reduction or exemption based on the tax status of the holder.
WRTH	Worthless	Booking out of valueless securities.
ACCU	Accumulation	Funds related event in which the income (for example accumulation units) that accrues during an accounting period is retained within the fund instead of being paid away to investors. The retained income is nonetheless deemed to have been distributed to investors for tax purposes.
INFO	Information	Information provided by the issuer having no accounting/financial impact on the holder.
TNDP	TaxOnNonDistributedProceeds	Taxable component on non-distributed proceeds, for example, Australian deemed income or US 871m income regulation.

16.2.2.30 CorporateActionEventType32Code

Definition: Specifies the corporate action event type.

Type: CodeSet

CodeName	Name	Definition
ACTV	ActiveTradingStatus	Trading in the security has commenced or security has been re-activated after a suspension in trading.
ATTI	Attachment	Combination of different security types to create a unit. Units are usually comprised of warrants and bonds or warrants and equities. Securities may be combined at the request of the security holder or based on market convention.
BRUP	Bankruptcy	Legal status of a company unable to pay creditors. Bankruptcy usually involves a

CodeName	Name	Definition
		formal court ruling. Securities may become valueless.
DFLT	BondDefault	Failure by the company to perform obligations defined as default events under the bond agreement and that have not been remedied.
BONU	BonusIssue	Bonus or capitalisation issue. Security holders receive additional assets free of payment from the issuer, in proportion to their holding.
EXRI	CallOnIntermediateSecurities	Call or exercise on nil paid securities or intermediate securities resulting from an intermediate securities distribution (RHDI). This code is used for the second event, when an intermediate securities' issue (rights/coupons) is composed of two events, the first event being the distribution of intermediate securities.
CAPD	CapitalDistribution	Corporate event pays shareholders an amount in cash issued from the capital account. There is no reduction to the face value of a single share (or the share has no par value). The number of circulating shares remains unchanged.
CAPG	CapitalGainsDistribution	Event is the distribution of profits resulting from the sale of securities. Shareholders of mutual funds, unit trusts, or SICAVs are recipients of capital gains distributions and are often reinvested in additional shares of the fund.
CAPI	Capitalisation	Increase of the current principal of a debt instrument without increasing the nominal value. It normally arises from the incorporation of due but unpaid interest into the principal. This is commonly done by increasing the pool factor value, for example, capitalisation, and negative amortisation.
DRCA	CashDistributionFromNonEligibleSecuritiesSales	Distribution to shareholders of cash resulting from the selling of non-eligible securities, for example, in the frame of a depositary receipt program.
DVCA	CashDividend	Distribution of cash to shareholders, in proportion to their equity holding. Ordinary dividends are recurring and regular. Shareholder must take cash and may be offered a choice of currency.
CHAN	Change	Information regarding a change further described in the corporate action details.
COOP	CompanyOption	Company option may be granted by the company, allowing the holder to take up shares at some future date(s) at a pre arranged price in the company. A company may not grant options which enable the holder to take up unissued shares at a time which is five or more

CodeName	Name	Definition
		years from the date of the grant. Option holders are not members of a company. They are contingent creditors of a company and hence may, in some instances, be entitled to vote on and be bound by a scheme of arrangement between the creditors and the company. As many options have multiple exercise periods a company option will either lapse or carry on to the next expiry date.
CLSA	ClassActionProposedSettlement	Situation where interested parties seek restitution for financial loss. The security holder may be offered the opportunity to join a class action proceeding and would need to respond with an instruction.
CONS	Consent	Procedure that aims to obtain consent of holder to a proposal by the issuer or a third party without convening a meeting. For example, consent to change the terms of a bond.
CONV	Conversion	Conversion of securities (generally convertible bonds or preferred shares) into another form of securities (usually common shares) at a pre-stated price/ ratio.
CREV	CreditEvent	Occurrence of credit derivative for which the issuer of one or several underlying securities is unable to fulfill its financial obligations (as defined in terms and conditions).
DECR	DecreaseInValue	Reduction of face value of a single share or the value of fund assets. The number of circulating shares/units remains unchanged. This event may include a cash payout to holders.
DETI	Detachment	Separation of components that comprise a security, for example, usually units comprised of warrants and bond or warrants and equity. Units may be broken up at the request of the security holder or based on market convention.
DSCL	Disclosure	Requirement for holders or beneficial owners to disclose their name, location and holdings of any issue to the issuer.
DVOP	DividendOption	Distribution of a dividend to shareholders with a choice of benefit to receive. Shareholders may choose to receive shares or cash. To be distinguished from DRIP as the company creates new share capital in exchange for the dividend rather than investing the dividend in the market.
DRIP	DividendReinvestment	Dividend payment where holders can keep cash or have the cash reinvested in the market by the issuer into additional shares in the issuing company. To be distinguished from DVOP as the company invests the dividend in the

CodeName	Name	Definition
		market rather than creating new share capital in exchange for the dividend.
DRAW	Drawing	Securities are redeemed in part before the scheduled final maturity date. It is done without any pool factor reduction. The redemption is reflected in a debit of the face amount (FAMT). Drawing is distinct from partial call since drawn bonds are chosen by lottery. Therefore, not every holder is affected in the same way.
DTCH	DutchAuction	Action by a party wishing to acquire a security. Holders of the security are invited to make an offer to sell, within a specific price range. The acquiring party will buy from the holder with lowest offer.
EXOF	Exchange	Exchange of holdings for other securities and/or cash. The exchange can be either mandatory or voluntary involving the exchange of outstanding securities for different securities and/or cash. For example "exchange offer", "capital reorganisation" or "funds separation".
REDM	FinalMaturity	Redemption of an entire issue outstanding of securities, for example, bonds, preferred equity, funds, by the issuer or its agent, for example, asset manager, at final maturity.
MCAL	FullCall	Redemption of an entire issue outstanding of securities, for example, bonds, preferred equity, funds, by the issuer or its agent, for example, asset manager, before final maturity.
INCR	IncreaseInValue	Increase in the face value of a single security. The number of circulating securities remains unchanged.
PPMT	InstalmentCall	Instalment towards the purchase of equity capital, subject to an agreement between an issuer and a purchaser.
INTR	InterestPayment	Interest payment distributed to holders of an interest bearing asset.
RHDI	IntermediateSecuritiesDistribution	Distribution of intermediate securities that gives the holder the right to take part in a future event.
LIQU	LiquidationDividend	Distribution of cash, assets or both. Debt may be paid in order of priority based on preferred claims to assets specified by the security.
EXTM	MaturityExtension	As stipulated in the security's Terms and Conditions, the issuer or the holder may prolong the maturity date of a security. After extension, the security details may differ from the original issue. An issuer initiated extension may be subject to holder's approval.

CodeName	Name	Definition
MRGR	Merger	Exchange of outstanding securities, initiated by the issuer which may include options, as the result of two or more companies combining assets, that is, an external, third party company. Cash payments may accompany share exchange.
NOOF	NonOfficialOffer	Offers that are not supervised or regulated by an official entity and being offered by a party, for example a broker, usually at a discount price, for example broker offer, mini-tender, mini odd lot offer or third party offer.
CERT	NonUSTEFRADCertification	Non-US beneficial owner certification requirement for exchange of temporary to permanent notes.
ODLT	OddLotSalePurchase	Sale or purchase of odd-lots to/from the issuing company, initiated either by the holder of the security or through an offer made by the issuer.
OTHR	OtherEvent	Other event, use only when no other event type applies, for example, a new event type.
PARI	PariPassu	Occurs when securities with different characteristics, for example, shares with different entitlements to dividend or voting rights, become identical in all respects, for example, pari-passu or assimilation. May be scheduled in advance, for example, shares resulting from a bonus may become fungible after a pre-set period of time, or may result from outside events, for example, merger, reorganisation, issue of supplementary tranches.
PCAL	PartialRedemptionWithoutPoolFactorReduction	Securities are redeemed in part before their scheduled final maturity date. It is done without any pool factor reduction. The redemption is reflected in a debit of the face amount (FAMT).
PRED	PartialRedemptionWithPoolFactorReduction	Securities are redeemed in part before their scheduled final maturity date. The redemption is reflected in a pool factor reduction. No movement of securities occurs.
PINK	PayInKind	Interest payment, in any kind except cash, distributed to holders of an interest bearing asset.
PLAC	PlaceOfIncorporation	Changes in the state of incorporation for US companies and changes in the place of incorporation for foreign companies. Where shares need to be registered following the incorporation change, the holder(s) may have to elect the registrar.
PDEF	Prefunding	Also called partial defeasance. Issuer has money set aside to redeem a portion

CodeName	Name	Definition
		of an issue and the indenture states that the securities could be called earlier than the stated maturity.
PRI0	PriorityIssue	Form of open or public offer where, due to a limited amount of securities available, priority is given to existing shareholders.
BPUT	PutRedemption	Early redemption of a security at the election of the holder subject to the terms and condition of the issue with no reduction in nominal value.
REDO	Redenomination	Event by which the unit (currency and/or nominal) of a security is restated, for example, nominal/par value of security in a national currency is restated in another currency.
REMK	RemarketingAgreement	Purchase and sale of remarketed preferred equities/bonds through the negotiation of interest rate between the issuers and the holders.
BIDS	RepurchaseOffer	Repurchase offer / issuer bid / reverse rights. Offer to existing holders by the issuing company to repurchase its own securities. The objective of the offer is to reduce the number of outstanding securities.
SPLR	ReverseStockSplit	Decrease in a company's number of outstanding equities without any change in the shareholder's equity or the aggregate market value at the time of the split. Equity price and nominal value are increased accordingly.
RHTS	RightsIssue	Offer to holders of a security to subscribe for additional securities via the distribution of an intermediate security. Both processes are included in the same event.
DVSC	ScripDividend	Dividend or interest paid in the form of scrip.
SHPR	SharesPremiumDividend	Corporate event pays shareholders an amount in cash issued from the shares premium reserve. It is similar to a dividend but with different tax implications.
SMAL	SmallestNegotiableUnit	Modification of the smallest negotiable unit of shares in order to obtain a new negotiable unit.
SOFF	SpinOff	Distribution of securities issued by another company. The distributed securities may either be of a newly created or of an existing company. For example, spin-off, demerger, unbundling, divestment.

CodeName	Name	Definition
DVSE	StockDividend	Dividend paid to shareholders in the form of equities of the issuing corporation.
SPLF	StockSplit	Increase in a corporation's number of outstanding equities without any change in the shareholder's equity or the aggregate market value at the time of the split. Equity price and nominal value are reduced accordingly.
TREC	TaxReclaim	Event related to tax reclaim activities.
TEND	Tender	Offer made to holders by a third party, requesting them to sell (tender) or exchange their securities.
DLST	TradingStatusDelisted	Security is no longer able to comply with the listing requirements of a stock exchange and is removed from official board quotation.
SUSP	TradingStatusSuspended	Trading in the security has been suspended.
EXWA	WarrantExercise	Option offered to holders to buy (call warrant) or to sell (put warrant) a specific amount of stock, cash, or commodity, at a predetermined price, during a predetermined period of time (which usually corresponds to the life of the issue).
WTRC	WithholdingTaxReliefCertification	Certification process for withholding tax reduction or exemption based on the tax status of the holder.
WRTH	Worthless	Booking out of valueless securities.
ACCU	Accumulation	Funds related event in which the income (for example accumulation units) that accrues during an accounting period is retained within the fund instead of being paid away to investors. The retained income is nonetheless deemed to have been distributed to investors for tax purposes.
TNDP	TaxOnNonDistributedProceeds	Taxable component on non-distributed proceeds, for example, Australian deemed income or US 871m income regulation.

16.2.2.31 CorporateActionEventType34Code

Definition: Specifies the corporate action event type.

Type: CodeSet

CodeName	Name	Definition
ACTV	ActiveTradingStatus	Trading in the security has commenced or security has been re-activated after a suspension in trading.

CodeName	Name	Definition
ATTI	Attachment	Combination of different security types to create a unit. Units are usually comprised of warrants and bonds or warrants and equities. Securities may be combined at the request of the security holder or based on market convention.
BRUP	Bankruptcy	Legal status of a company unable to pay creditors. Bankruptcy usually involves a formal court ruling. Securities may become valueless.
DFLT	BondDefault	Failure by the company to perform obligations defined as default events under the bond agreement and that have not been remedied.
BONU	BonusIssue	Bonus or capitalisation issue. Security holders receive additional assets free of payment from the issuer, in proportion to their holding.
EXRI	CallOnIntermediateSecurities	Call or exercise on nil paid securities or intermediate securities resulting from an intermediate securities distribution (RHDI). This code is used for the second event, when an intermediate securities' issue (rights/coupons) is composed of two events, the first event being the distribution of intermediate securities.
CAPD	CapitalDistribution	Corporate event pays shareholders an amount in cash issued from the capital account. There is no reduction to the face value of a single share (or the share has no par value). The number of circulating shares remains unchanged.
CAPG	CapitalGainsDistribution	Event is the distribution of profits resulting from the sale of securities. Shareholders of mutual funds, unit trusts, or SICAVs are recipients of capital gains distributions and are often reinvested in additional shares of the fund.
CAPI	Capitalisation	Increase of the current principal of a debt instrument without increasing the nominal value. It normally arises from the incorporation of due but unpaid interest into the principal. This is commonly done by increasing the pool factor value, for example, capitalisation, and negative amortisation.
DRCA	CashDistributionFromNonEligibleSecuritiesSales	Distribution to shareholders of cash resulting from the selling of non-eligible securities, for example, in the frame of a depositary receipt program.
DVCA	CashDividend	Distribution of cash to shareholders, in proportion to their equity holding. Ordinary dividends are recurring and regular. Shareholder must take cash and may be offered a choice of currency.

CodeName	Name	Definition
CHAN	Change	Information regarding a change further described in the corporate action details.
COOP	CompanyOption	Company option may be granted by the company, allowing the holder to take up shares at some future date(s) at a pre arranged price in the company. A company may not grant options which enable the holder to take up unissued shares at a time which is five or more years from the date of the grant. Option holders are not members of a company. They are contingent creditors of a company and hence may, in some instances, be entitled to vote on and be bound by a scheme of arrangement between the creditors and the company. As many options have multiple exercise periods a company option will either lapse or carry on to the next expiry date.
CLSA	ClassActionProposedSettlement	Situation where interested parties seek restitution for financial loss. The security holder may be offered the opportunity to join a class action proceeding and would need to respond with an instruction.
CONS	Consent	Procedure that aims to obtain consent of holder to a proposal by the issuer or a third party without convening a meeting. For example, consent to change the terms of a bond.
CONV	Conversion	Conversion of securities (generally convertible bonds or preferred shares) into another form of securities (usually common shares) at a pre-stated price/ ratio.
CREV	CreditEvent	Occurrence of credit derivative for which the issuer of one or several underlying securities is unable to fulfill its financial obligations (as defined in terms and conditions).
DECR	DecreaseInValue	Reduction of face value of a single share or the value of fund assets. The number of circulating shares/units remains unchanged. This event may include a cash payout to holders.
DETI	Detachment	Separation of components that comprise a security, for example, usually units comprised of warrants and bond or warrants and equity. Units may be broken up at the request of the security holder or based on market convention.
DSCL	Disclosure	Requirement for holders or beneficial owners to disclose their name, location and holdings of any issue to the issuer.
DVOP	DividendOption	Distribution of a dividend to shareholders with a choice of benefit to receive. Shareholders may choose to receive shares or cash. To be distinguished from

CodeName	Name	Definition
		DRIP as the company creates new share capital in exchange for the dividend rather than investing the dividend in the market.
DRIP	DividendReinvestment	Dividend payment where holders can keep cash or have the cash reinvested in the market by the issuer into additional shares in the issuing company. To be distinguished from DVOP as the company invests the dividend in the market rather than creating new share capital in exchange for the dividend.
DRAW	Drawing	Securities are redeemed in part before the scheduled final maturity date. It is done without any pool factor reduction. The redemption is reflected in a debit of the face amount (FAMT). Drawing is distinct from partial call since drawn bonds are chosen by lottery. Therefore, not every holder is affected in the same way.
DTCH	DutchAuction	Action by a party wishing to acquire a security. Holders of the security are invited to make an offer to sell, within a specific price range. The acquiring party will buy from the holder with lowest offer.
EXOF	Exchange	Exchange of holdings for other securities and/or cash. The exchange can be either mandatory or voluntary involving the exchange of outstanding securities for different securities and/or cash. For example "exchange offer", "capital reorganisation" or "funds separation".
REDM	FinalMaturity	Redemption of an entire issue outstanding of securities, for example, bonds, preferred equity, funds, by the issuer or its agent, for example, asset manager, at final maturity.
MCAL	FullCall	Redemption of an entire issue outstanding of securities, for example, bonds, preferred equity, funds, by the issuer or its agent, for example, asset manager, before final maturity.
INCR	IncreaseInValue	Increase in the face value of a single security. The number of circulating securities remains unchanged.
PPMT	InstalmentCall	Instalment towards the purchase of equity capital, subject to an agreement between an issuer and a purchaser.
INTR	InterestPayment	Interest payment distributed to holders of an interest bearing asset.
RHDI	IntermediateSecuritiesDistribution	Distribution of intermediate securities that gives the holder the right to take part in a future event.
LIQU	LiquidationDividend	Distribution of cash, assets or both. Debt may be paid in order of priority based on

CodeName	Name	Definition
		preferred claims to assets specified by the security.
EXTM	MaturityExtension	As stipulated in the security's Terms and Conditions, the issuer or the holder may prolong the maturity date of a security. After extension, the security details may differ from the original issue. An issuer initiated extension may be subject to holder's approval.
MRGR	Merger	Exchange of outstanding securities, initiated by the issuer which may include options, as the result of two or more companies combining assets, that is, an external, third party company. Cash payments may accompany share exchange.
NOOF	NonOfficialOffer	Offers that are not supervised or regulated by an official entity and being offered by a party, for example a broker, usually at a discount price, for example broker offer, mini-tender, mini odd lot offer or third party offer.
CERT	NonUSTEFRADCertification	Non-US beneficial owner certification requirement for exchange of temporary to permanent notes.
ODLT	OddLotSalePurchase	Sale or purchase of odd-lots to/from the issuing company, initiated either by the holder of the security or through an offer made by the issuer.
OTHR	OtherEvent	Other event, use only when no other event type applies, for example, a new event type.
PARI	PariPassu	Occurs when securities with different characteristics, for example, shares with different entitlements to dividend or voting rights, become identical in all respects, for example, pari-passu or assimilation. May be scheduled in advance, for example, shares resulting from a bonus may become fungible after a pre-set period of time, or may result from outside events, for example, merger, reorganisation, issue of supplementary tranches.
PCAL	PartialRedemptionWithoutPoolFactorReduction	Securities are redeemed in part before their scheduled final maturity date. It is done without any pool factor reduction. The redemption is reflected in a debit of the face amount (FAMT).
PRED	PartialRedemptionWithPoolFactorReduction	Securities are redeemed in part before their scheduled final maturity date. The redemption is reflected in a pool factor reduction. No movement of securities occurs.

CodeName	Name	Definition
PINK	PayInKind	Interest payment, in any kind except cash, distributed to holders of an interest bearing asset.
PLAC	PlaceOfIncorporation	Changes in the state of incorporation for US companies and changes in the place of incorporation for foreign companies. Where shares need to be registered following the incorporation change, the holder(s) may have to elect the registrar.
PDEF	Prefunding	Also called partial defeasance. Issuer has money set aside to redeem a portion of an issue and the indenture states that the securities could be called earlier than the stated maturity.
PRIO	PriorityIssue	Form of open or public offer where, due to a limited amount of securities available, priority is given to existing shareholders.
BPUT	PutRedemption	Early redemption of a security at the election of the holder subject to the terms and condition of the issue with no reduction in nominal value.
REDO	Redenomination	Event by which the unit (currency and/or nominal) of a security is restated, for example, nominal/par value of security in a national currency is restated in another currency.
REMK	RemarketingAgreement	Purchase and sale of remarketed preferred equities/bonds through the negotiation of interest rate between the issuers and the holders.
BIDS	RepurchaseOffer	Repurchase offer / issuer bid / reverse rights. Offer to existing holders by the issuing company to repurchase its own securities. The objective of the offer is to reduce the number of outstanding securities.
SPLR	ReverseStockSplit	Decrease in a company's number of outstanding equities without any change in the shareholder's equity or the aggregate market value at the time of the split. Equity price and nominal value are increased accordingly.
RHTS	RightsIssue	Offer to holders of a security to subscribe for additional securities via the distribution of an intermediate security. Both processes are included in the same event.
DVSC	ScripDividend	Dividend or interest paid in the form of scrip.
SHPR	SharesPremiumDividend	Corporate event pays shareholders an amount in cash issued from the shares premium reserve. It is similar to a dividend but with different tax implications.

CodeName	Name	Definition
SMAL	SmallestNegotiableUnit	Modification of the smallest negotiable unit of shares in order to obtain a new negotiable unit.
SOFF	SpinOff	Distribution of securities issued by another company. The distributed securities may either be of a newly created or of an existing company. For example, spin-off, demerger, unbundling, divestment.
DVSE	StockDividend	Dividend paid to shareholders in the form of equities of the issuing corporation.
SPLF	StockSplit	Increase in a corporation's number of outstanding equities without any change in the shareholder's equity or the aggregate market value at the time of the split. Equity price and nominal value are reduced accordingly.
TREC	TaxReclaim	Event related to tax reclaim activities.
TEND	Tender	Offer made to holders by a third party, requesting them to sell (tender) or exchange their securities.
DLST	TradingStatusDelisted	Security is no longer able to comply with the listing requirements of a stock exchange and is removed from official board quotation.
SUSP	TradingStatusSuspended	Trading in the security has been suspended.
EXWA	WarrantExercise	Option offered to holders to buy (call warrant) or to sell (put warrant) a specific amount of stock, cash, or commodity, at a predetermined price, during a predetermined period of time (which usually corresponds to the life of the issue).
WTRC	WithholdingTaxReliefCertification	Certification process for withholding tax reduction or exemption based on the tax status of the holder.
WRTH	Worthless	Booking out of valueless securities.
ACCU	Accumulation	Funds related event in which the income (for example accumulation units) that accrues during an accounting period is retained within the fund instead of being paid away to investors. The retained income is nonetheless deemed to have been distributed to investors for tax purposes.

16.2.2.32 CorporateActionFrequencyType5Code

Definition: Automatic Reinvestment of Cash distributed by accumulating funds.

Type: CodeSet

CodeName	Name	Definition
FINL	Final	Payment of a dividend, less amounts already paid through interim dividends.
INTE	Interim	Dividend declared and paid before annual earnings have been determined.
REIN	Reinvestment	Reinvestment of cash distributed.
REGR	Regular	Dividend paid at regular interval, for example, quarterly.
SPEC	Special	Dividend in addition to the regular dividend being paid by the company.
SPRE	SpecialDividendWithReinvestment	Special Dividend with reinvestment component.

16.2.2.33 CorporateActionInformationType1Code

Definition: Specifies the type of information event.

Type: CodeSet

CodeName	Name	Definition
CONF	ConferenceCall	Information is about an informal call when an issuer, trustee, agent wishes to talk to note holders to discuss a certain issue or potential change to the notes; nothing said being binding.

16.2.2.34 CorporateActionMandatoryVoluntary1Code

Definition: Specifies whether the event is mandatory, mandatory with options or voluntary.

Type: CodeSet

CodeName	Name	Definition
MAND	Mandatory	Participation in CA event is mandatory. Further instructions from the account owner are not required.
CHOS	MandatoryWithOptions	Participation in the corporate action is mandatory and further instructions from the account owner are required, unless a default option has been specified.
VOLU	Voluntary	Participation in the corporate action is voluntary. If the owner wishes to take part in the event, instructions from the account owner are required.

16.2.2.35 CorporateActionNarrative1Code

Definition: Specifies the narrative type of a corporate action.

Type: CodeSet

CodeName	Name	Definition
TAXE	TaxationConditions	Provides taxation conditions that cannot be included within the structured fields of this message.
REGI	RegistrationDetails	Provides information required for the registration.
WTRC	WithholdingTaxReliefCertification	Certification process for withholding tax reduction or exemption based on the tax status of the holder.
RFMC	ICSDRefusalOfMarkUpDownConfirmation	In the context of a corporate action, an International Central Securities Depository (ICSD) refuses a mark-up/ mark-down confirmation sent by the account servicer.
PAUT	ChasingOfPriorAuthorization	International Central Securities Depository (ICSD) chases the authorisation from the account servicer in order to process the exchange from global temporary to global permanent.
CTIN	ConfirmationOfHoldingTransfer	Confirmation of transfer of interests between International Central Securities Depositories (ICSDs) following a corporate actions.

16.2.2.36 CorporateActionNotificationType1Code

Definition: Type of notification advice.

Type: CodeSet

CodeName	Name	Definition
NEWM	New	New notification.
REPL	Replacement	Notification replacing a previously sent notification.
RMDR	Reminder	Notification sent as a reminder of an event taking place.

16.2.2.37 CorporateActionOption11Code

Definition: Specifies the type of corporate action options.

Type: CodeSet

CodeName	Name	Definition
ABST	Abstain	Vote expressed as abstain. In this case, the issuing company will add the number of shares to the quorum of the meeting. If the voting right is not executed, it will not be added to the quorum. In this case, code NOAC should be used.
BSPL	BonusSharePlan	Receive equities from the share premium reserve of the company and considered as a capital distribution rather than a disbursement of income

CodeName	Name	Definition
		with different tax implications (typically found in Australia).
BUYA	BuyUp	Buy additional securities to round up position.
CASE	CashAndSecurity	Option to choose between different security and cash options.
CASH	Cash	Option to choose cash.
CEXC	ConsentAndExchange	Vote to consent to change the terms of the securities agreement and to exchange securities.
CONN	ConsentDenied	Vote not to approve the event or proposal.
CONY	ConsentGranted	Vote to approve the event or proposal.
CTEN	ConsentAndTender	Vote to consent to change the terms of the securities agreement and to tender securities for cash.
EXER	Exercise	Exercise intermediate securities or warrants.
LAPS	Lapse	Allow event or entitled security to expire.
MPUT	Retain	Option that allows a holder to elect to retain their holding, for example, a puttable bond.
NOAC	NoAction	Option for the account owner not to take part in the event. This would include opt-out for class actions and lodging of dissenters' rights.
NOQU	NonQualifiedInvestor	Account owner is a non-qualified investor.
OFFR	ProposedRate	In a remarketing of variable notes, the margin that shareholders can propose in respect of the next interest period.
OTHR	Other	Generic corporate action option to be used in case that no other specific code is appropriate.
OVER	Oversubscribe	Subscribe to more equities than underlying securities position allows.
QINV	QualifiedInvestor	Account owner is a qualified investor.
SECU	Security	Distribution of securities to holders.
SLLE	SellEntitlement	Sell the intermediate securities.
PRUN	UnknownProceeds	Proceeds not known during election period. The option can result in cash and/or securities.

16.2.2.38 CorporateActionOption12Code

Definition: Specifies the type of corporate action options.

Type: CodeSet

CodeName	Name	Definition
ABST	Abstain	Vote expressed as abstain. In this case, the issuing company will add the number of shares to the quorum of the meeting. If the voting right is not executed, it will not be added to the quorum. In this case, code NOAC should be used.
BSPL	BonusSharePlan	Receive equities from the share premium reserve of the company and considered as a capital distribution rather than a disbursement of income with different tax implications (typically found in Australia).
BUYA	BuyUp	Buy additional securities to round up position.
CASE	CashAndSecurity	Option to choose between different security and cash options.
CASH	Cash	Option to choose cash.
CEXC	ConsentAndExchange	Vote to consent to change the terms of the securities agreement and to exchange securities.
CONN	ConsentDenied	Vote not to approve the event or proposal.
CONY	ConsentGranted	Vote to approve the event or proposal.
CTEN	ConsentAndTender	Vote to consent to change the terms of the securities agreement and to tender securities for cash.
EXER	Exercise	Exercise intermediate securities or warrants.
LAPS	Lapse	Allow event or entitled security to expire.
MKDW	MarkDown	Mark down the global certificate as a result of a corporate action (instruction from International Central Securities Depositories (ICSDs) to common depositories who are holding the global certificate on behalf of the International Central Securities Depositories (ICSDs)).
MKUP	MarkUp	Mark up the global certificate as a result of a corporate action (instruction from International Central Securities Depositories (ICSDs) to common depositories who are holding the global certificate on behalf of the International Central Securities Depositories (ICSDs)).
MPUT	Retain	Option that allows a holder to elect to retain their holding, for example, a puttable bond.
NOAC	NoAction	Option for the account owner not to take part in the event. This would include opt-out for class actions and lodging of dissenters' rights.

CodeName	Name	Definition
NOQU	NonQualifiedInvestor	Account owner is a non-qualified investor.
OFFR	ProposedRate	In a remarketing of variable notes, the margin that shareholders can propose in respect of the next interest period.
OTHR	Other	Generic corporate action option to be used in case that no other specific code is appropriate.
OVER	Oversubscribe	Subscribe to more equities than underlying securities position allows.
QINV	QualifiedInvestor	Account owner is a qualified investor.
SECU	Security	Distribution of securities to holders.
SLLE	SellEntitlement	Sell the intermediate securities.
PRUN	UnknownProceeds	Proceeds not known during election period. The option can result in cash and/or securities.

16.2.2.39 CorporateActionOption15Code

Definition: Specifies the type of corporate action options.

Type: CodeSet

CodeName	Name	Definition
ABST	Abstain	Vote expressed as abstain. In this case, the issuing company will add the number of shares to the quorum of the meeting. If the voting right is not executed, it will not be added to the quorum. In this case, code NOAC should be used.
BSPL	BonusSharePlan	Receive equities from the share premium reserve of the company and considered as a capital distribution rather than a disbursement of income with different tax implications (typically found in Australia).
BUYA	BuyUp	Buy additional securities to round up position.
CASE	CashAndSecurity	Option to choose between different security and cash options.
CASH	Cash	Option to choose cash.
CEXC	ConsentAndExchange	Vote to consent to change the terms of the securities agreement and to exchange securities.
CONN	ConsentDenied	Vote not to approve the event or proposal.
CONY	ConsentGranted	Vote to approve the event or proposal.

CodeName	Name	Definition
CTEN	ConsentAndTender	Vote to consent to change the terms of the securities agreement and to tender securities for cash.
EXER	Exercise	Exercise intermediate securities or warrants.
LAPS	Lapse	Allow event or entitled security to expire.
MPUT	Retain	Option that allows a holder to elect to retain their holding, for example, a puttable bond.
NOAC	NoAction	Option for the account owner not to take part in the event. This would include opt-out for class actions and lodging of dissenters' rights.
NOQU	NonQualifiedInvestor	Account owner is a non-qualified investor.
OFFR	ProposedRate	In a remarketing of variable notes, the margin that shareholders can propose in respect of the next interest period.
OTHR	Other	Generic corporate action option to be used in case that no other specific code is appropriate.
OVER	Oversubscribe	Subscribe to more equities than underlying securities position allows.
QINV	QualifiedInvestor	Account owner is a qualified investor.
SECU	Security	Distribution of securities to holders.
SLLE	SellEntitlement	Sell the intermediate securities.
PRUN	UnknownProceeds	Proceeds not known during election period. The option can result in cash and/or securities.
BOBD	BeneficialOwnerBreakdownRequest	Breakdown of beneficial ownership required for withholding tax reclaim event.

16.2.2.40 CorporateActionOption16Code

Definition: Specifies the type of corporate action options.

Type: CodeSet

CodeName	Name	Definition
ABST	Abstain	Vote expressed as abstain. In this case, the issuing company will add the number of shares to the quorum of the meeting. If the voting right is not executed, it will not be added to the quorum. In this case, code NOAC should be used.
BSPL	BonusSharePlan	Receive equities from the share premium reserve of the company and considered as a capital distribution rather than a disbursement of income

CodeName	Name	Definition
		with different tax implications (typically found in Australia).
BUYA	BuyUp	Buy additional securities to round up position.
CASE	CashAndSecurity	Option to choose between different security and cash options.
CASH	Cash	Option to choose cash.
CERT	Certification	Certification is provided.
CEXC	ConsentAndExchange	Vote to consent to change the terms of the securities agreement and to exchange securities.
CONN	ConsentDenied	Vote not to approve the event or proposal.
CONY	ConsentGranted	Vote to approve the event or proposal.
CTEN	ConsentAndTender	Vote to consent to change the terms of the securities agreement and to tender securities for cash.
EXER	Exercise	Exercise intermediate securities or warrants.
LAPS	Lapse	Allow event or entitled security to expire.
MKDW	MarkDown	Mark down the global certificate as a result of a corporate action (instruction from International Central Securities Depositories (ICSDs) to common depositories who are holding the global certificate on behalf of the International Central Securities Depositories (ICSDs)).
MKUP	MarkUp	Mark up the global certificate as a result of a corporate action (instruction from International Central Securities Depositories (ICSDs) to common depositories who are holding the global certificate on behalf of the International Central Securities Depositories (ICSDs)).
MPUT	Retain	Option that allows a holder to elect to retain their holding, for example, a puttable bond.
NOAC	NoAction	Option for the account owner not to take part in the event. This would include opt-out for class actions and lodging of dissenters' rights.
NOQU	NonQualifiedInvestor	Account owner is a non-qualified investor.
OFFR	ProposedRate	In a remarketing of variable notes, the margin that shareholders can propose in respect of the next interest period.
OTHR	Other	Generic corporate action option to be used in case that no other specific code is appropriate.

CodeName	Name	Definition
OVER	Oversubscribe	Subscribe to more equities than underlying securities position allows.
QINV	QualifiedInvestor	Account owner is a qualified investor.
SECU	Security	Distribution of securities to holders.
SLLE	SellEntitlement	Sell the intermediate securities.
TAXI	TaxInstruction	Tax instruction.
PRUN	UnknownProceeds	Proceeds not known during election period. The option can result in cash and/or securities.
BOBD	BeneficialOwnerBreakdownRequest	Breakdown of beneficial ownership required for withholding tax reclaim event.

16.2.2.41 CorporateActionOption17Code

Definition: Specifies the type of corporate action options.

Type: CodeSet

CodeName	Name	Definition
ABST	Abstain	Vote expressed as abstain. In this case, the issuing company will add the number of shares to the quorum of the meeting. If the voting right is not executed, it will not be added to the quorum. In this case, code NOAC should be used.
BSPL	BonusSharePlan	Receive equities from the share premium reserve of the company and considered as a capital distribution rather than a disbursement of income with different tax implications (typically found in Australia).
BUYA	BuyUp	Buy additional securities to round up position.
CASE	CashAndSecurity	Option to choose between different security and cash options.
CASH	Cash	Option to choose cash.
CEXC	ConsentAndExchange	Vote to consent to change the terms of the securities agreement and to exchange securities.
CONN	ConsentDenied	Vote not to approve the event or proposal.
CONY	ConsentGranted	Vote to approve the event or proposal.
CTEN	ConsentAndTender	Vote to consent to change the terms of the securities agreement and to tender securities for cash.
EXER	Exercise	Exercise intermediate securities or warrants.

CodeName	Name	Definition
LAPS	Lapse	Allow event or entitled security to expire.
MKDW	MarkDown	Mark down the global certificate as a result of a corporate action (instruction from International Central Securities Depositories (ICSDs) to common depositories who are holding the global certificate on behalf of the International Central Securities Depositories (ICSDs)).
MKUP	MarkUp	Mark up the global certificate as a result of a corporate action (instruction from International Central Securities Depositories (ICSDs) to common depositories who are holding the global certificate on behalf of the International Central Securities Depositories (ICSDs)).
MPUT	Retain	Option that allows a holder to elect to retain their holding, for example, a puttable bond.
NOAC	NoAction	Option for the account owner not to take part in the event. This would include opt-out for class actions and lodging of dissenters' rights.
NOQU	NonQualifiedInvestor	Account owner is a non-qualified investor.
OFFR	ProposedRate	In a remarketing of variable notes, the margin that shareholders can propose in respect of the next interest period.
OTHR	Other	Generic corporate action option to be used in case that no other specific code is appropriate.
OVER	Oversubscribe	Subscribe to more equities than underlying securities position allows.
QINV	QualifiedInvestor	Account owner is a qualified investor.
SECU	Security	Distribution of securities to holders.
SLLE	SellEntitlement	Sell the intermediate securities.
TAXI	TaxInstruction	Tax instruction.
PRUN	UnknownProceeds	Proceeds not known during election period. The option can result in cash and/or securities.
BOBD	BeneficialOwnerBreakdownRequest	Breakdown of beneficial ownership required for withholding tax reclaim event.

16.2.2.42 CorporateActionPreliminaryAdviceType1Code

Definition: Type of movement preliminary advice.

Type: CodeSet

CodeName	Name	Definition
NEWM	New	New movement preliminary advice.

CodeName	Name	Definition
REPL	Replacement	Movement preliminary advice replacing a previously sent preliminary advice.

16.2.2.43 CorporateActionReversalReason1Code

Definition: The reason why a reversal of payment is taking place in corporate action processing.

Type: CodeSet

CodeName	Name	Definition
DCBD	DayCountBasisDifference	Difference in day count basis.
IVAD	IncorrectValueDate	Value date is incorrect.
IREC	IncorrectRecordDate	Record date is incorrect.
IPRI	IncorrectPrice	Price is incorrect.
UPAY	UnduePayment	Payment is not due.
IETR	IncorrectEventLevelTaxRate	Event level tax rate is incorrect.
FNRC	FundsNotReceived	Funds have not been received.
POCS	PaymentOutsideClearingSystem	Payment will occur outside of clearing system.
IPCU	IncorrectPaymentCurrency	Payment currency is incorrect.

16.2.2.44 CorporateActionStatementReportingType1Code

Definition: Type of statement reporting on account holdings for corporate action events for example. reporting on multiple accounts or multiple events.

Type: CodeSet

CodeName	Name	Definition
MASE	MultipleAccounts	The reporting is for a single event and for multiple accounts.
SAME	MultipleEvents	The reporting is for multiple events for a single account.

16.2.2.45 CorporateActionStatementType2Code

Definition: Indicates whether the statement contains missing instructions only or all instructions.

Type: CodeSet

CodeName	Name	Definition
MISS	Missing	Statement contains missing instructions.
ALLL	All	Statement contains all instructions.
BALO	BalanceOnlyNotification	Balance information with no instruction details provided.
BALI	BalanceInformation	Balance information with instruction details.

16.2.2.46 CorporateActionTaxableIncomePerShareCalculated1Code

Definition: Specifies whether the fund calculates the taxable income per dividend/taxable income per share (TID/TIS).

Type: CodeSet

CodeName	Name	Definition
TDIY	Calculated	Fund is calculating the taxable income per dividend/taxable income per share.
TDIN	NotCalculated	Fund is not calculating the taxable income per dividend/taxable income per share.
UKWN	Unknown	Unknown whether the fund is calculating the taxable income per dividend/taxable income per share.

16.2.2.47 CountryCode

Definition: Code to identify a country, a dependency, or another area of particular geopolitical interest, on the basis of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

Type: CodeSet

Format

pattern [A-Z]{2,2}

Constraints

- Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

16.2.2.48 CreditDebitCode

Definition: Specifies if an operation is an increase or a decrease.

Type: CodeSet

CodeName	Name	Definition
CRDT	Credit	Operation is an increase.
DBIT	Debit	Operation is a decrease.

16.2.2.49 DateType1Code

Definition: Specifies when date is unknown.

Type: CodeSet

CodeName	Name	Definition
UKWN	Unknown	Date is unknown by the sender or has not been established.

16.2.2.50 DateType7Code*Definition:* Type of date.*Type:* CodeSet

CodeName	Name	Definition
ONGO	Ongoing	Ongoing basis, which indicates that the date is determined by "ongoing basis" process, for example "au fil de l'eau".

16.2.2.51 DateType8Code*Definition:* Specifies the type of dates.*Type:* CodeSet

CodeName	Name	Definition
UKWN	Unknown	Date is unknown by the sender or has not been established.
ONGO	Ongoing	Ongoing basis, which indicates that the date is determined by "ongoing basis" process, for example "au fil de l'eau".

16.2.2.52 DateType9Code*Definition:* Date is specified as a fixed code value.*Type:* CodeSet

CodeName	Name	Definition
PWAL	BlockingTillEndOfElectionPeriod	Blocking occurs until and inclusive of the end of the election period of the option.
MKDT	BlockingTillMarketDeadline	Blocking occurs until and inclusive of the market deadline of the option.
MEET	BlockingTillMeetingDate	Blocking occurs until and inclusive of the meeting date.
PAYD	BlockingTillPaymentDate	Unblocking will occur on payment date of the option.
RDTE	BlockingTillRecordDate	Blocking occurs until and inclusive of the record date of the event.
RDDT	BlockingTillResponseDeadline	Blocking occurs until and inclusive of the account servicer deadline for the option.
NARR	NarrativeUnblockingDate	Refer to additional information element for the date until when the securities are blocked.
UKWN	Unknown	Date is unknown by the sender or has not been established.

16.2.2.53 DeemedRateType1Code*Definition:* Specifies the type of a deemed rate.*Type:* CodeSet

CodeName	Name	Definition
DEDI	DeemedDividendProceeds	Deemed rate of dividend proceeds attributed to the security holder.
DEFP	DeemedFundProceeds	Deemed rate of fund proceeds attributed to the security holder.
DEIT	DeemedInterestProceeds	Deemed rate of interest proceeds attributed to the security holder.
DERY	DeemedRoyaltiesProceeds	Deemed rate of royalties proceeds attributed to the security holder.

16.2.2.54 DeliveryReceiptType2Code

Definition: Specifies how the transaction is to be settled.

Type: CodeSet

CodeName	Name	Definition
FREE	SeparateSettlement	Settlement of the financial instrument and cash is separate.
APMT	AgainstPaymentSettlement	Settlement of the financial instrument and cash takes place in a delivery versus payment (DVP) environment, that is, through an International Central Securities Depository (ICSD) or Central Securities Depository (CSD).

16.2.2.55 DistributionType3Code

Definition: Specifies whether the proceeds of the event will be distributed on a rolling basis rather than on a specific date.

Type: CodeSet

CodeName	Name	Definition
FINL	Final	Final payment.
INTE	Interim	Interim payment.
ONGO	Ongoing	Event is ongoing for acceptance on an unsolicited basis.
ROLL	RollingBasis	Event will have a number of acceptance and payment dates until further announcement by the Issuer or its agent.

16.2.2.56 DividendRateType1Code

Definition: Specifies the type of dividend rate.

Type: CodeSet

CodeName	Name	Definition
TXBL	TaxablePortion	Rate relating to the underlying security for which tax is charged.

16.2.2.57 ElectionMovementType2Code

Definition: Specifies the effect on the holdings of electing a Corporate Action option.

Type: CodeSet

CodeName	Name	Definition
DRCT	Direct	Direct movement of resource, that is, cash or security that is directly credited to the paying agent account.
SEQD	Sequestered	Election results in a change of balance type that transfers control of the securities rather than the securities themselves.

16.2.2.58 EUCapitalGain2Code

Definition: Specifies whether capital gain is in the scope of the European directive on taxation of savings income in the form of interest payments (Council Directive 2003/48/EC 3 June) for an income realised upon sale, a refund or redemption of shares and units etc.

Type: CodeSet

CodeName	Name	Definition
EUSI	CapitalGainInScope	Capital gain is in the scope of the directive.
EUSO	CapitalGainOutScope	Capital gain is out of the scope of the directive.
UKWN	CapitalGainUnknown	Unknown whether capital gain is in or out of the scope of the directive.

16.2.2.59 EventCompletenessStatus1Code

Definition: Indicates whether the details provided about an event are complete or incomplete.

Type: CodeSet

CodeName	Name	Definition
COMP	Complete	Event details are complete.
INCO	Incomplete	Event details are not complete.

16.2.2.60 EventConfirmationStatus1Code

Definition: Indicates the status of the occurrence of an event.

Type: CodeSet

CodeName	Name	Definition
CONF	Confirmed	Occurrence of the event has been confirmed.
UCON	Unconfirmed	Occurrence of the event has not been confirmed.

16.2.2.61 EventFrequency4Code

Definition: Specifies the regularity of an event.

Type: CodeSet

CodeName	Name	Definition
YEAR	Annual	Event takes place every year or once a year.
ADHO	Adhoc	Event takes place as necessary.
MNTH	Monthly	Event takes place every month or once a month.
DAIL	Daily	Event takes place every day.
INDA	IntraDay	Event takes place several times a day.
WEEK	Weekly	Event takes place once a week.

16.2.2.62 EventSequenceType1Code

Definition: Specifies whether the event is an interim or a final event in a series of successive events.

Type: CodeSet

CodeName	Name	Definition
FINL	Final	Final event in a series of successive events.
INTE	Interim	Interim event in a series of successive events.

16.2.2.63 ExternalClearingSystemIdentification1Code

Definition: Specifies the clearing system identification code, as published in an external clearing system identification code list.

External code sets can be downloaded from www.iso20022.org.

Type: CodeSet

Format

minLength	1
maxLength	5

16.2.2.64 ExternalFinancialInstitutionIdentification1Code

Definition: Specifies the external financial institution identification scheme name code in the format of character string with a maximum length of 4 characters.

The list of valid codes is an external code list published separately.

External code sets can be downloaded from www.iso20022.org.

Type: CodeSet

Format

minLength	1
maxLength	4

16.2.2.65 ExternalFinancialInstrumentIdentificationType1Code

Definition: Specifies the external financial instrument identification type scheme name code in the format of character string with a maximum length of 4 characters.

The list of valid codes is an external code list published separately.

External code sets can be downloaded from www.iso20022.org.

Type: CodeSet

Format

minLength	1
maxLength	4

16.2.2.66 ExternalOrganisationIdentification1Code

Definition: Specifies the external organisation identification scheme name code in the format of character string with a maximum length of 4 characters.

The list of valid codes is an external code list published separately.

External code sets can be downloaded from www.iso20022.org.

Type: CodeSet

Format

minLength	1
maxLength	4

16.2.2.67 ExternalPersonIdentification1Code

Definition: Specifies the external person identification scheme name code in the format of character string with a maximum length of 4 characters.

The list of valid codes is an external code list published separately.

External code sets can be downloaded from www.iso20022.org.

Type: CodeSet

Format

minLength	1
maxLength	4

16.2.2.68 FractionDispositionType10Code

Definition: Indicates that fractional value should be retained; no rounding.

Type: CodeSet

CodeName	Name	Definition
BUYU	BuyUp	Buy securities up to next whole number.
CINL	CashInLieuOfFraction	Fractional part of cash. Take cash in lieu of fractions.
EXPI	Expire	Let fractions expire worthless.
DIST	IssueFraction	Take distribution of fractions in the form of securities.

16.2.2.69 FractionDispositionType11Code

Definition: Indicates that fractional value should be retained; no rounding.

Type: CodeSet

CodeName	Name	Definition
BUYU	BuyUp	Buy securities up to next whole number.
CINL	CashInLieuOfFraction	Fractional part of cash. Take cash in lieu of fractions.
DIST	IssueFraction	Take distribution of fractions in the form of securities.
RDDN	RoundDown	Round the entitlement down to the last full unit/minimum nominal quantity, fractions are discarded.
STAN	RoundToNearest	If the fraction is greater than or equal to 0.5 of the resulting security then round up, else round down as specified in the respective RDUP and RDDN codes.
RDUP	RoundUp	Round up to the next full unit/minimum nominal quantity at no cost.

16.2.2.70 FractionDispositionType8Code

Definition: Indicates that fractional value should be retained; no rounding.

Type: CodeSet

CodeName	Name	Definition
BUYU	BuyUp	Buy securities up to next whole number.
CINL	CashInLieuOfFraction	Fractional part of cash. Take cash in lieu of fractions.
DIST	IssueFraction	Take distribution of fractions in the form of securities.
RDDN	RoundDown	Round the entitlement down to the last full unit/minimum nominal quantity, fractions are discarded.
STAN	RoundToNearest	If the fraction is greater than or equal to 0.5 of the resulting security then round

CodeName	Name	Definition
		up, else round down as specified in the respective RDUP and RDDN codes.
RDUP	RoundUp	Round up to the next full unit/minimum nominal quantity at no cost.
UKWN	Unknown	Characteristics of the disposition of fractions are unknown.

16.2.2.71 FractionDispositionType9Code

Definition: Indicates that fractional value should be retained; no rounding.

Type: CodeSet

CodeName	Name	Definition
DIST	IssueFraction	Take distribution of fractions in the form of securities.
RDDN	RoundDown	Round the entitlement down to the last full unit/minimum nominal quantity, fractions are discarded.
STAN	RoundToNearest	If the fraction is greater than or equal to 0.5 of the resulting security then round up, else round down as specified in the respective RDUP and RDDN codes.
RDUP	RoundUp	Round up to the next full unit/minimum nominal quantity at no cost.
UKWN	Unknown	Characteristics of the disposition of fractions are unknown.

16.2.2.72 GrossDividendRateType6Code

Definition: Specifies the type of dividend rate.

Type: CodeSet

CodeName	Name	Definition
CAPO	CapitalPortion	Rate relating to the underlying security for which capital is distributed.
FLFR	FullyFranked	Rate resulting from a fully franked dividend paid by a company; the rate includes tax credit for companies that have made sufficient tax payments during the fiscal period.
INCO	IncomePortion	Rate relating to the underlying security for which income is distributed.
INTR	Interest	Rate relating to the underlying security for which interest is paid.
LTCG	LongTermCapitalGain	Long term capital gain.
REES	RealEstatePropertyIncomePortion	Rate of income distribution originated by real estate investment.
STCG	ShortTermCapitalGain	Short term capital gain.

CodeName	Name	Definition
SOIC	SundryOrOtherIncome	Rate relating to the underlying security for which other income is paid.
TXBL	TaxablePortion	Rate relating to the underlying security for which tax is charged.
TXDF	TaxDeferred	Rate relating to the underlying security for which tax is deferred.
TXFR	TaxFree	Rate relating to the underlying security which is not taxable.
UNFR	Unfranked	Rate resulting from an unfranked dividend paid by a company; the rate does not include tax credit and is subject to withholding tax.
CDFI	ForeignIncome	Rate relating to a foreign income type such as a conduit foreign income.

16.2.2.73 GrossDividendRateType7Code

Definition: Specifies the type of dividend rate.

Type: CodeSet

CodeName	Name	Definition
CAPO	CapitalPortion	Rate relating to the underlying security for which capital is distributed.
CDFI	ForeignIncome	Rate relating to a foreign income type such as a conduit foreign income.
FUPU	FullPeriodUnitsIncomePortion	Distribution rate relating to the full period units, for example Group I units in UK.
FLFR	FullyFranked	Rate resulting from a fully franked dividend paid by a company; the rate includes tax credit for companies that have made sufficient tax payments during the fiscal period.
INCO	IncomePortion	Rate relating to the underlying security for which income is distributed.
INTR	Interest	Rate relating to the underlying security for which interest is paid.
LTCCG	LongTermCapitalGain	Long term capital gain.
PAPU	PartWayPeriodUnitsIncomePortion	Distribution rate relating to the part way period units, for example Group II units in UK.
REES	RealEstatePropertyIncomePortion	Rate of income distribution originated by real estate investment.
STCG	ShortTermCapitalGain	Short term capital gain.
SOIC	SundryOrOtherIncome	Rate relating to the underlying security for which other income is paid.
TXBL	TaxablePortion	Rate relating to the underlying security for which tax is charged.

CodeName	Name	Definition
TXDF	TaxDeferred	Rate relating to the underlying security for which tax is deferred.
TXFR	TaxFree	Rate relating to the underlying security which is not taxable.
UNFR	Unfranked	Rate resulting from an unfranked dividend paid by a company; the rate does not include tax credit and is subject to withholding tax.

16.2.2.74 InterestComputationMethod2Code

Definition: Specifies the method used to compute accruing interest of a financial instrument.

Type: CodeSet

CodeName	Name	Definition
A001	IC30360ISDAor30360AmericanBasicRule	Method whereby interest is calculated based on a 30-day month and a 360-day year. Accrued interest to a value date on the last day of a month shall be the same as to the 30th calendar day of the same month, except for February, and provided that the interest period started on a 30th or a 31st. This means that a 31st is assumed to be a 30th if the period started on a 30th or a 31st and the 28 Feb (or 29 Feb for a leap year) is assumed to be the 28th (or 29th). This is the most commonly used 30/360 method for US straight and convertible bonds.
A002	IC30365	Method whereby interest is calculated based on a 30-day month in a way similar to the 30/360 (basic rule) and a 365-day year. Accrued interest to a value date on the last day of a month shall be the same as to the 30th calendar day of the same month, except for February. This means that a 31st is assumed to be the 30th and the 28 Feb (or 29 Feb for a leap year) is assumed to be the 28th (or 29th).
A003	IC30Actual	Method whereby interest is calculated based on a 30-day month in a way similar to the 30/360 (basic rule) and the assumed number of days in a year in a way similar to the Actual/Actual (ICMA). Accrued interest to a value date on the last day of a month shall be the same as to the 30th calendar day of the same month, except for February. This means that the 31st is assumed to be the 30th and 28 Feb (or 29 Feb for a leap year) is assumed to be the 28th (or 29th). The assumed number of days in a year is computed as the actual number of days in the coupon period multiplied by the number of interest payments in the year.
A004	Actual360	Method whereby interest is calculated based on the actual number of accrued

CodeName	Name	Definition
		days in the interest period and a 360-day year.
A005	Actual365Fixed	Method whereby interest is calculated based on the actual number of accrued days in the interest period and a 365-day year.
A006	ActualActualICMA	Method whereby interest is calculated based on the actual number of accrued days and the assumed number of days in a year, that is, the actual number of days in the coupon period multiplied by the number of interest payments in the year. If the coupon period is irregular (first or last coupon), it is extended or split into quasi-interest periods that have the length of a regular coupon period and the computation is operated separately on each quasi-interest period and the intermediate results are summed up.
A007	IC30E360orEuroBondBasismodel1	Method whereby interest is calculated based on a 30-day month and a 360-day year. Accrued interest to a value date on the last day of a month shall be the same as to the 30th calendar day of the same month. This means that the 31st is assumed to be the 30th and the 28 Feb (or 29 Feb for a leap year) is assumed to be equivalent to 30 Feb. However, if the last day of the maturity coupon period is the last day of February, it will not be assumed to be the 30th. It is a variation of the 30/360 (ICMA) method commonly used for eurobonds. The usage of this variation is only relevant when the coupon periods are scheduled to end on the last day of the month.
A008	ActualActualISDA	Method whereby interest is calculated based on the actual number of accrued days of the interest period that fall (falling on a normal year, year) divided by 365, added to the actual number of days of the interest period that fall (falling on a leap year, year) divided by 366.
A009	Actual365LorActuActubasisRule	Method whereby interest is calculated based on the actual number of accrued days and a 365-day year (if the coupon payment date is NOT in a leap year) or a 366-day year (if the coupon payment date is in a leap year).
A010	ActualActualAFB	Method whereby interest is calculated based on the actual number of accrued days and a 366-day year (if 29 Feb falls in the coupon period) or a 365-day year (if 29 Feb does not fall in the coupon period). If a coupon period is longer than one year, it is split by repetitively separating full year subperiods counting backwards from the end of the coupon

CodeName	Name	Definition
		period (a year backwards from 28 Feb being 29 Feb, if it exists). The first of the subperiods starts on the start date of the accrued interest period and thus is possibly shorter than a year. Then the interest computation is operated separately on each subperiod and the intermediate results are summed up.
A011	IC30360ICMAor30360basicrule	Method whereby interest is calculated based on a 30-day month and a 360-day year. Accrued interest to a value date on the last day of a month shall be the same as to the 30th calendar day of the same month, except for February. This means that the 31st is assumed to be the 30th and 28 Feb (or 29 Feb for a leap year) is assumed to be the 28th (or 29th). It is the most commonly used 30/360 method for non-US straight and convertible bonds issued before 1 January 1999.
A012	IC30E2360orEurobondbasismodel2	Method whereby interest is calculated based on a 30-day month and a 360-day year. Accrued interest to a value date on the last day of a month shall be the same as to the 30th calendar day of the same month, except for the last day of February whose day of the month value shall be adapted to the value of the first day of the interest period if the latter is higher and if the period is one of a regular schedule. This means that the 31st is assumed to be the 30th and 28 Feb of a non-leap year is assumed to be equivalent to 29 Feb when the first day of the interest period is the 29th, or to 30 Feb when the first day of the interest period is the 30th or the 31st. The 29th day of February in a leap year is assumed to be equivalent to 30 Feb when the first day of the interest period is the 30th or the 31st. Similarly, if the coupon period starts on the last day of February, it is assumed to produce only one day of interest in February as if it was starting on 30 Feb when the end of the period is the 30th or the 31st, or two days of interest in February when the end of the period is the 29th, or three days of interest in February when it is 28 Feb of a non-leap year and the end of the period is before the 29th.
A013	IC30E3360orEurobondbasismodel3	Method whereby interest is calculated based on a 30-day month and a 360-day year. Accrued interest to a value date on the last day of a month shall be the same as to the 30th calendar day of the same month. This means that the 31st is assumed to be the 30th and 28 Feb (or 29 Feb for a leap year) is assumed to be equivalent to 30 Feb. It is a variation of the 30E/360 (or Eurobond basis) method where the last day of February is always

CodeName	Name	Definition
		assumed to be the 30th, even if it is the last day of the maturity coupon period.
A014	Actual365NL	Method whereby interest is calculated based on the actual number of accrued days in the interest period, excluding any leap day from the count, and a 365-day year.
NARR	Narrative	Other method than A001-A020. See Narrative.

16.2.2.75 IntermediateSecurityDistributionType5Code

Definition: Specifies the type of intermediate security distribution.

Type: CodeSet

CodeName	Name	Definition
BIDS	ReverseRights	Distribution of reverser rights.
DRIP	DividendReinvestment	Distribution of dividend reinvestment securities.
DVCA	CashDividend	Distribution of cash to shareholders, in proportion to their equity holding. Ordinary dividends are recurring and regular. Shareholder must take cash and may be offered a choice of currency.
DVOP	DividendOption	Distribution of dividend option.
EXRI	SubscriptionRights	Distribution of subscription rights.
PRIO	OpenOfferRights	Distribution of open offer rights.
DVSC	ScripDividendOrPayment	Dividend or interest paid in the form of scrip.
DVSE	StockDividend	Dividend paid to shareholders in the form of shares of stock.
INTR	InterestPayment	Interest payment distributed to holders of an interest bearing asset.
LIQU	LiquidationDividendOrPayment	A distribution of cash, assets or both.
SOFF	SpinOff	A distribution of subsidiary stock to the shareholders.
SPLF	StockSplit	Also known as change in nominal value or subdivision. Increase in a corporation's number of outstanding equities without any change in the shareholder's equity or the aggregate market value at the time of the split. Equity price and nominal value are reduced accordingly.
BONU	BonusRights	Distribution of bonus rights.
EXOF	Exchange	Exchange of holdings for other securities and/or cash. The exchange can be either mandatory or voluntary involving the exchange of outstanding securities for

CodeName	Name	Definition
		different securities and/or cash. For example "exchange offer", "capital reorganisation" or "funds separation".
MRGR	Merger	Exchange of outstanding securities, initiated by the issuer which may include options, as the result of two or more companies combining assets, that is, an external, third party company. Cash payments may accompany share exchange.

16.2.2.76 ISO2ALanguageCode

Definition: Identification of the language name according to the ISO 639-1 codes. The type is validated by the list of values coded with two alphabetic characters, defined in the standard.

Type: CodeSet

Format

pattern [a-z]{2,2}

16.2.2.77 IssuerTaxability2Code

Definition: Specifies whether the corporate action proceeds are taxable at issuer level.

Type: CodeSet

CodeName	Name	Definition
TXBL	Taxable	The relevant proceeds are taxable.

16.2.2.78 LotteryType1Code

Definition: Specifies the type of lottery.

Type: CodeSet

CodeName	Name	Definition
ORIG	OriginalLotteryNotification	Original lottery that has been announced for a specific amount and date.
SUPP	SupplementalLotteryNotification	Supplemental or additional quantity called on a previously announced original lottery.

16.2.2.79 MarketType2Code

Definition: Specifies the type of market in which transactions take place, for example, primary.

Type: CodeSet

CodeName	Name	Definition
PRIM	PrimaryMarket	The place is a primary market.
SECM	SecondaryMarket	The place is a secondary market.
OTCO	OverTheCounter	The place is over the counter.

CodeName	Name	Definition
VARI	Various	Various places.
EXCH	StockExchange	The place is a stock exchange.

16.2.2.80 NamePrefix2Code

Definition: Specifies the terms used to formally address a person.

Type: CodeSet

CodeName	Name	Definition
DOCT	Doctor	Title of the person is Doctor or Dr.
MADM	Madam	Title of the person is Madam.
MISS	Miss	Title of the person is Miss.
MIST	Mister	Title of the person is Mister or Mr.
MIKS	GenderNeutral	Title of the person is gender neutral (Mx).

16.2.2.81 NetDividendRateType6Code

Definition: Specifies the type of dividend rate.

Type: CodeSet

CodeName	Name	Definition
CAPO	CapitalPortion	Rate relating to the underlying security for which capital is distributed.
CDFI	ForeignIncome	Rate relating to a foreign income type such as a conduit foreign income.
FLFR	FullyFranked	Rate resulting from a fully franked dividend paid by a company; the rate includes tax credit for companies that have made sufficient tax payments during the fiscal period.
INCO	IncomePortion	Rate relating to the underlying security for which income is distributed.
INTR	Interest	Rate relating to the underlying security for which interest is paid.
REES	RealEstatePropertyIncomePortion	Rate of income distribution originated by real estate investment.
SOIC	SundryOrOtherIncome	Rate relating to the underlying security for which other income is paid.
TXBL	TaxablePortion	Rate relating to the underlying security for which tax is charged.
TXDF	TaxDeferred	Rate relating to the underlying security for which tax is deferred.
TXFR	TaxFree	Rate relating to the underlying security which is not taxable.

CodeName	Name	Definition
UNFR	Unfranked	Rate resulting from an unfranked dividend paid by a company; the rate does not include tax credit and is subject to withholding tax.

16.2.2.82 NetDividendRateType7Code

Definition: Specifies the type of dividend rate.

Type: CodeSet

CodeName	Name	Definition
CAPO	CapitalPortion	Rate relating to the underlying security for which capital is distributed.
CDFI	ForeignIncome	Rate relating to a foreign income type such as a conduit foreign income.
FUPU	FullPeriodUnitsIncomePortion	Distribution rate relating to the full period units, for example Group I units in UK.
FLFR	FullyFranked	Rate resulting from a fully franked dividend paid by a company; the rate includes tax credit for companies that have made sufficient tax payments during the fiscal period.
INCO	IncomePortion	Rate relating to the underlying security for which income is distributed.
INTR	Interest	Rate relating to the underlying security for which interest is paid.
SOIC	SundryOrOtherIncome	Rate relating to the underlying security for which other income is paid.
TXBL	TaxablePortion	Rate relating to the underlying security for which tax is charged.
TXDF	TaxDeferred	Rate relating to the underlying security for which tax is deferred.
TXFR	TaxFree	Rate relating to the underlying security which is not taxable.
UNFR	Unfranked	Rate resulting from an unfranked dividend paid by a company; the rate does not include tax credit and is subject to withholding tax.
PAPU	PartWayPeriodUnitsIncomePortion	Distribution rate relating to the part way period units, for example Group II units in UK.
REES	RealEstatePropertyIncomePortion	Rate of income distribution originated by real estate investment.

16.2.2.83 NewSecuritiesIssuanceType5Code

Definition: Specifies the type of securities proceeds; whether they are newly issued or not, defeased or non-defeased.

Type: CodeSet

CodeName	Name	Definition
DEFE	DefeasedSecurityIndicator	Funds have been placed in escrow by a trustee or agent to ensure payment on a portion of the outstanding balance of the original security on maturity date. Applicable only in the frame of a Partial Defeasance PDEF corporate action event.
EXIS	ExistingIssue	Securities proceeds are not new issues.
NEIS	NewIssue	Securities proceeds are newly issued.
NDEF	NonDefeasedSecurityIndicator	Funds will not be guaranteed at maturity by the issuer or paying agent for this newly issued non-defeased security. Applicable only in the frame of a Partial Defeasance PDEF corporate action event.
UKWN	Unknown	Not known whether the securities proceeds are newly issued or not.
NREF	NonRefundedSecurityIndicator	New Security issued that has not been refunded and the maturity date of the original underlying security is unchanged. Applicable only in the frame of a Partial Defeasance PDEF corporate action event.
REFU	RefundedSecurityIndicator	New security issued that has been refunded to an earlier maturity date. Applicable only in the frame of a partial defeasance PDEF corporate action event.

16.2.2.84 NewSecuritiesIssuanceType6Code

Definition: Specifies the type of securities proceeds; whether they are defeased or non-defeased.

Type: CodeSet

CodeName	Name	Definition
DEFE	DefeasedSecurityIndicator	Funds have been placed in escrow by a trustee or agent to ensure payment on a portion of the outstanding balance of the original security on maturity date. Applicable only in the frame of a Partial Defeasance PDEF corporate action event.
NDEF	NonDefeasedSecurityIndicator	Funds will not be guaranteed at maturity by the issuer or paying agent for this newly issued non-defeased security. Applicable only in the frame of a Partial Defeasance PDEF corporate action event.
REFU	RefundedSecurityIndicator	New security issued that has been refunded to an earlier maturity date. Applicable only in the frame of a partial defeasance PDEF corporate action event.

CodeName	Name	Definition
NREF	NonRefundedSecurityIndicator	New Security issued that has not been refunded and the maturity date of the original underlying security is unchanged. Applicable only in the frame of a Partial Defeasance PDEF corporate action event.

16.2.2.85 NonEligibleProceedsIndicator1Code

Definition: Specifies information regarding the non eligibility of the outturn resources.

Type: CodeSet

CodeName	Name	Definition
NELC	NonEligibleCurrency	Currency not eligible for settlement in the Central Securities Depository (CSD).
ACLI	AccountLimitation	Participant's account limitation.
ONEL	OtherNonEligibility	Other source of non eligibility.

16.2.2.86 NoReasonCode

Definition: Specifies that there is no reason available.

Type: CodeSet

CodeName	Name	Definition
NORE	NoReason	No reason to report or no reason available to report.

16.2.2.87 OfferType4Code

Definition: Specifies the conditions that apply to the offer.

Type: CodeSet

CodeName	Name	Definition
SQUE	SqueezeOutBid	Offer launched by a company, in possession of a large number of the shares (90% - 95%) of a company (generally as a result of a tender offer), in order to acquire the remaining shares of that company.
ERUN	RestrictionExchange	Exchange of Restricted to Unrestricted (or vice versa).
PART	PartialOffer	Offer made by the offeror for a portion of the outstanding shares not already owned by the offeror.
FCFS	FirstComeFirstServed	Securities will be accepted in the order they have been submitted until the target number of quantity sought is reached.
FINL	FinalOffer	Final or last offer to holders subject to offer conditions being reached, for example, 50 percent accepted on a tender.

CodeName	Name	Definition
NDIS	DissenterRightsNotApplicable	Dissenter's rights are not applicable for this option of the offer.
DISS	DissenterRights	Securities holder has the right to disagree with a mandatory event. This right is not inherent in all offers and therefore must be noted in announcements only when applicable.

16.2.2.88 OptionAvailabilityStatus1Code

Definition: Specifies the status of the option availability.

Type: CodeSet

CodeName	Name	Definition
INTV	Inactive	Option is not active and can no longer be responded to. Any responses already processed against this option will remain valid, for example, expired option.
CANC	Cancelled	Option is not valid; it has been cancelled by the market or service provider, and cannot be responded to. Any responses already processed against this option are considered void and new responses will be required.

16.2.2.89 OptionFeatures11Code

Definition: Specifies the features that may apply to a corporate action option.

Type: CodeSet

CodeName	Name	Definition
ASVO	AccountServicerOption	Option is offered by the account servicer only.
BOIS	BeneficiaryOwnerInstruction	Indicates that the holder needs to instruct at beneficiary owner level only.
COND	Conditional	Feature whereby the holder can elect to place a condition on the acceptance of the option.
MAXC	MaximumCash	Maximum cash option, may be subject to scaling, as such you may receive a combination of cash and securities outturn.
MAXS	MaximumSecurities	Maximum stock option, may be subject to scaling, as such you may receive a combination of securities and cash outturn.
NOSE	NoServiceOffered	Feature whereby the holder must elect directly to the issuer's agent (issuer only supported option).
OPLF	OddLotPreference	Tender or exchange with the odd lot preference.

CodeName	Name	Definition
CAOS	OptionApplicability	Option applicability is not subject to the account owner decision but depends on the terms defined by the issuer, for example in the case of equity linked notes or warrants.
PINS	PreviousInstructionInvalidity	Indicates the previously sent instructions becomes invalid. This is only applicable after a market deadline extension.
PROR	Proration	Feature whereby the option can be subject to pro ration in case, for example, of over-subscription.
VVPR	ReducedWithholdingTax	Reduced withholding tax rate applies to the option.
QCAS	InstructCashAmount	Feature whereby the holder should only instruct a cash amount.
SHAR	ShareholderNumber	Indicates usage of a reference number to identify an investor or a shareholder with the issuer or the registration provider (for instance allocation code).

16.2.2.90 OptionFeatures12Code

Definition: Specifies the features that may apply to a corporate action option.

Type: CodeSet

CodeName	Name	Definition
OPLF	OddLotPreference	Tender or exchange with the odd lot preference.

16.2.2.91 OptionFeatures6Code

Definition: Specifies the feature of an option.

Type: CodeSet

CodeName	Name	Definition
COND	Conditional	Feature whereby the holder can elect to place a condition on the acceptance of the option.
MAXC	MaximumCash	Maximum cash option, may be subject to scaling, as such you may receive a combination of cash and securities outturn.
MAXS	MaximumSecurities	Maximum stock option, may be subject to scaling, as such you may receive a combination of securities and cash outturn.
OPLF	OddLotPreference	Tender or exchange with the odd lot preference.
PROR	Proration	Feature whereby the option can be subject to pro ration in case, for example, of over-subscription.

CodeName	Name	Definition
VVPR	ReducedWithholdingTax	Reduced withholding tax rate applies to the option.

16.2.2.92 OptionNumber1Code

Definition: Code identifying special corporate action option numbers.

Type: CodeSet

CodeName	Name	Definition
UNSO	Unsolicited	The corporate action instruction is unsolicited, that is, the instruction has not been preceded by a corporate action notification.

16.2.2.93 OptionStyle2Code

Definition: Defines how an option can be exercised.

Type: CodeSet

CodeName	Name	Definition
AMER	American	Option can be exercised before or on expiry date.
EURO	European	Option that can be exercised on expiry date only.

16.2.2.94 Payment1Code

Definition: Specifies the type of payment.

Type: CodeSet

CodeName	Name	Definition
ACTU	ActualPayment	The cash payment occurs or will occur upon receipt of proceeds from the issuer.
CONT	ContractualPayment	The cash payment occurs or will occur in advance of receipt of proceeds from the issuer and based on a contractual agreement established with the account servicer.

16.2.2.95 Payment2Code

Definition: Indicates whether the cash payment occurs or will occur in advance of receipt of proceeds from the issuer and based on a contractual agreement established with the account servicer or upon receipt of proceeds from the issuer.

Type: CodeSet

CodeName	Name	Definition
ACTU	ActualPayment	The cash payment occurs or will occur upon receipt of proceeds from the issuer.

16.2.2.96 PendingCancellationReason5Code

Definition: Specifies the reason why the cancellation request has a pending status.

Type: CodeSet

CodeName	Name	Definition
ADEA	AccountServicerDeadlineMissed	Transaction/instruction received after the account servicer's specified deadline.
DQUA	QuantityRejection	Unrecognised or invalid instructed quantity.
DQCS	AmountRejection	Unrecognised or invalid instructed cash amount.
LATE	MarketDeadlineMissed	Received after market deadline.
OTHR	Other	Other. See Narrative.

16.2.2.97 PendingReason19Code

Definition: Standard code to specify the reason why the instruction/event has a pending status.

Type: CodeSet

CodeName	Name	Definition
NSEC	NotDelivered	Financial instruments have not been delivered by the issuer.
NPAY	NoPayment	Payment has not been made by issuer.
OTHR	Other	Other. See Narrative.
AUTH	SentToTaxAuthorities	Tax reclaim has been sent to the tax authorities.
VLDA	ValidForTaxAuthorities	For tax reclaim, the event is pending, the tax reclaim is valid for the tax authorities.
MCER	MissingCertification	Awaiting receipt of adequate certification.

16.2.2.98 PendingReason23Code

Definition: Specifies the reason why the instruction has a pending status.

Type: CodeSet

CodeName	Name	Definition
ADEA	AccountServicerDeadlineMissed	Instruction was received after the account servicer's deadline. Processed on best effort basis.
OTHR	Other	Other. See Narrative.
FULL	PendingBeneficiaryOwnerDetails	Pending receipt of beneficiary owner details.
MCER	MissingCertification	Awaiting receipt of adequate certification.
MONY	InsufficientMoney	Insufficient money in your account.

CodeName	Name	Definition
LACK	LackOfSecurities	Insufficient financial instruments in your account.
LATE	MarketDeadlineMissed	Instruction was received after market deadline.
DQUA	QuantityDisagreement	Quantity of financial instruments does not match.
PENR	PendingReceipt	The instruction is pending receipt of securities, for example, from a purchase or loan.
CERT	WrongCertificatesNumbers	Certificate number error.
DQCS	DisagreementOnCashAmount	Unrecognised or invalid instructed cash amount.
ITAX	IncorrectTaxRate	Tax rate provided is incorrect. It falls outside the acceptable values for that investment country.
NTAX	InconsistentTaxRate	Tax rate is not consistent with the documentation in place.
MTAX	MissingTaxRate	Tax rate is missing.
SNAV	SystemNotAvailable	System is not available. Instruction is sent outside of business hours.
BSTR	BeforeInstructionPeriod	Instruction is submitted before instruction start date.
IPAW	InvalidPaperwork	Required paperwork is invalid, incomplete or missing.

16.2.2.99 PreferredContactMethod1Code

Definition: Preferred method used to reach the individual contact within an organisation.

Type: CodeSet

CodeName	Name	Definition
LETT	Letter	Preferred method used to reach the contact is per letter.
MAIL	Email	Preferred method used to reach the contact is per email.
PHON	Phone	Preferred method used to reach the contact is per phone.
FAXX	Fax	Preferred method used to reach the contact is per fax.
CELL	MobileOrCellPhone	Preferred method used to reach the contact is per mobile or cell phone.

16.2.2.100 PriceRateType3Code

Definition: Specifies the type of price rate.

Type: CodeSet

CodeName	Name	Definition
DISC	Discount	Price expressed as a number of percentage points below par, for example, a discount price of 2.0% equals a price of 98 when par is 100.
PREM	Premium	Price expressed as a number of percentage points above par, for example, a premium price of 2.0% equals a price of 102 when par is 100.
PRCT	Percentage	Price expressed as a percentage of par.
YIEL	Yield	Price expressed as a yield.

16.2.2.101 PriceValueType10Code

Definition: Specifies the value of a price.

Type: CodeSet

CodeName	Name	Definition
UKWN	Unknown	Price is unknown by the sender or has not been established.

16.2.2.102 PriceValueType8Code

Definition: Specifies a type of price value.

Type: CodeSet

CodeName	Name	Definition
TBSP	ToBeSpecified	Price to be specified by account owner.
UNSP	Unspecified	Price is not required to be specified by account owner.
UKWN	Unknown	Price is unknown by the sender or has not been established.
NILP	NilPayment	Price will not be paid.

16.2.2.103 PriceValueType9Code

Definition: Specifies a type of price value.

Type: CodeSet

CodeName	Name	Definition
TBSP	ToBeSpecified	Price to be specified by account owner.
UNSP	Unspecified	Price is not required to be specified by account owner.
UKWN	Unknown	Price is unknown by the sender or has not been established.

16.2.2.104 ProcessingPosition3Code

Definition: Specifies the processing position.

Type: CodeSet

CodeName	Name	Definition
AFTE	After	Specifies that the transaction/instruction is to be executed after the linked transaction/instruction.
WITH	With	Specifies that the transaction/instruction is to be executed with the linked transaction/instruction.
BEFO	Before	Specifies that the transaction/instruction is to be executed before the linked transaction/instruction.
INFO	Information	Specifies that the transactions/instructions are linked for information purposes only.

16.2.2.105 ProtectInstructionStatus3Code

Definition: Specifies the status of the protect transaction.

Type: CodeSet

CodeName	Name	Definition
OPEN	Open	Protect instruction which has not been fully covered. Will include partial covers.
COVR	FullyCovered	Protect instruction has been fully covered.
EXPI	Expired	Protect instruction has expired.

16.2.2.106 ProtectInstructionStatus4Code

Definition: Specifies the status of the protect transaction.

Type: CodeSet

CodeName	Name	Definition
OPEN	Open	Protect instruction which has not been fully covered. Will include partial covers.

16.2.2.107 ProtectTransactionType2Code

Definition: Specifies the type of protect instruction.

Type: CodeSet

CodeName	Name	Definition
PROT	ProtectTransaction	Reorganisation is a protect transaction type.
COVP	CoverOnBehalfOfAnotherParticipantTransaction	Reorganisation is a cover on behalf of another participant transaction type.
COVR	CoverProtectTransaction	Reorganisation is a cover protect transaction type.

16.2.2.108 ProtectTransactionType3Code*Definition:* Specifies the type of protect instruction.*Type:* CodeSet

CodeName	Name	Definition
PROT	ProtectTransaction	Reorganisation is a protect transaction type.

16.2.2.109 Quantity1Code*Definition:* Specifies quantity of a financial instrument.*Type:* CodeSet

CodeName	Name	Definition
QALL	AllSecurities	Instruction applies to the entire eligible balance of underlying securities.

16.2.2.110 Quantity4Code*Definition:* Specifies quantity of a financial instrument.*Type:* CodeSet

CodeName	Name	Definition
UKWN	UnknownQuantity	Quantity is unknown by the sender or has not been established.
ANYA	AnyAndAll	Instruction applies to the entire eligible balance of underlying securities.

16.2.2.111 Quantity5Code*Definition:* Specifies quantity of a financial instrument.*Type:* CodeSet

CodeName	Name	Definition
UKWN	UnknownQuantity	Quantity is unknown by the sender or has not been established.

16.2.2.112 RateStatus1Code*Definition:* Specifies the type of rate.*Type:* CodeSet

CodeName	Name	Definition
ACTU	ActualRate	Rate is actual.
INDI	IndicativeRate	Rate is indicative.

16.2.2.113 RateType10Code*Definition:* Specifies the type of rate.*Type:* CodeSet

CodeName	Name	Definition
ANYA	AnyAndAll	Any and all rate is sought.
UKWN	Unknown	Rate is unknown by the sender or has not been established.

16.2.2.114 RateType13Code

Definition: Specifies the type of rate.

Type: CodeSet

CodeName	Name	Definition
UKWN	Unknown	Rate is unknown by the sender or has not been established.
NILP	NilPayment	Rate will not be paid.

16.2.2.115 RateType5Code

Definition: Specifies the type of rate.

Type: CodeSet

CodeName	Name	Definition
UKWN	Unknown	Rate is unknown by the sender or has not been established.

16.2.2.116 RateType7Code

Definition: Specifies the type of rate.

Type: CodeSet

CodeName	Name	Definition
SCHD	Scheduled	Rate of the scheduled payment.
USCD	Unscheduled	Rate of the unscheduled payment.

16.2.2.117 RateValueType7Code

Definition: Specifies the value of a rate.

Type: CodeSet

CodeName	Name	Definition
UKWN	Unknown	Rate is unknown by the sender or has not been established.

16.2.2.118 RejectionReason64Code

Definition: Specifies the reason why the instruction request has a rejected status.

Type: CodeSet

CodeName	Name	Definition
ADEA	AccountServicerDeadlineMissed	Transaction/instruction received after the account servicer's specified deadline.
CERT	IncorrectCertification	Instruction is rejected since the provided certification is incorrect or incomplete.
INVA	InvalidForTaxAuthorities	For tax reclaim, the reclaim is invalid for the tax authorities.
OPTY	InvalidOptionType	Invalid option type.
ULNK	InvalidReference	Linked reference is unknown.
DSEC	InvalidSecurity	Unrecognised or invalid financial instrument identification.
LACK	LackofSecurities	Instructed position exceeds the eligible balance.
LATE	MarketDeadlineMissed	Received after market deadline.
NMTY	Mismatch	Mismatch between option number and option type.
FULL	MissingBeneficiaryOwnerDetails	Rejected due to missing beneficiary owner details.
CANC	OptionCancelled	Option is not valid; it has been cancelled by the market or service provider, and cannot be responded to. Any responses already processed against this option are considered void and new responses will be required.
INTV	OptionInactive	Option is not active and can no longer be responded to. Any responses already processed against this option will remain valid, for example, expired option.
OPNM	OptionNumberRejection	Unrecognised option number.
OTHR	Other	Other. See Narrative.
DQUA	QuantityRejection	Unrecognised or invalid instructed quantity.
REFT	RefusedByTaxAuthorities	Reclaim is refused by the tax authorities.
SAFE	SafekeepingAccountRejection	Unrecognised or invalid message sender's safekeeping account.
EVNM	UnrecognisedIdentification	Unrecognised event number.
DQCS	AmountRejection	Unrecognised or invalid instructed cash amount.
DQCC	CurrencyRejection	Invalid instructed currency.
DQAM	InstructionTypeRejection	Invalid use of instructed amount (QCAS) or of instructed quantity (QINS) qualifiers.
IRDQ	InvalidUseRoundUpQuantity	Instruction is rejected due to invalid use of the additional round up quantity.
DQBV	InvalidBidValue	Bid price / basis points / percentage falls outside of bid range for the event

CodeName	Name	Definition
		(generally used in Dutch Auctions or Bid Tenders).
DQBI	InvalidBidIncrement	Bid price / basis points / percentage increments rejected due to invalid bid increment for the event (generally used in Dutch Auctions or Bid Tenders).
DCAN	RejectedSinceAlreadyCancelled	Cancellation request was rejected since the instruction has already been cancelled.
DPRG	RejectedSinceInProgress	Cancellation request has been rejected because the instruction process is in progress or has been processed.
INIR	InstructionIrrevocable	Instruction is irrevocable.
SHAR	ShareholderNumberRejection	Unrecognised, missing or invalid shareholder number.
BSTR	BeforeInstructionPeriod	Instruction is submitted before instruction start date.
CTCT	MissingContact	Contact information is missing.
DUPL	DuplicateInstruction	Instruction is rejected due to duplicate business message identifier.
PROI	MissingProtectIdentification	Protect identification is required for cover protect instructions.
PROT	MissingProtectDate	Protect date is required for cover protect instructions.
PRON	NoProtectPrivilege	No protect privilege for this event.
TRTI	MissingTransactionType	Transaction type is missing or invalid for protect or cover protect instructions.
REJA	RejectedByIssuerAgent	Instruction rejected by the issuer agent.
IPAW	InvalidPaperwork	Required paperwork is invalid, incomplete or missing.

16.2.2.119 RejectionReason66Code

Definition: Specifies the reason why the instruction request has a rejected status.

Type: CodeSet

CodeName	Name	Definition
ADEA	AccountServicerDeadlineMissed	Transaction/instruction received after the account servicer's specified deadline.
CERT	IncorrectCertification	Instruction is rejected since the provided certification is incorrect or incomplete.
INVA	InvalidForTaxAuthorities	For tax reclaim, the reclaim is invalid for the tax authorities.
OPTY	InvalidOptionType	Invalid option type.
ULNK	InvalidReference	Linked reference is unknown.

CodeName	Name	Definition
DSEC	InvalidSecurity	Unrecognised or invalid financial instrument identification.
LACK	LackofSecurities	Instructed position exceeds the eligible balance.
LATE	MarketDeadlineMissed	Received after market deadline.
NMTY	Mismatch	Mismatch between option number and option type.
FULL	MissingBeneficiaryOwnerDetails	Rejected due to missing beneficiary owner details.
CANC	OptionCancelled	Option is not valid; it has been cancelled by the market or service provider, and cannot be responded to. Any responses already processed against this option are considered void and new responses will be required.
INTV	OptionInactive	Option is not active and can no longer be responded to. Any responses already processed against this option will remain valid, for example, expired option.
OPNM	OptionNumberRejection	Unrecognised option number.
OTHR	Other	Other. See Narrative.
DQUA	QuantityRejection	Unrecognised or invalid instructed quantity.
REFT	RefusedByTaxAuthorities	Reclaim is refused by the tax authorities.
SAFE	SafekeepingAccountRejection	Unrecognised or invalid message sender's safekeeping account.
EVNM	UnrecognisedIdentification	Unrecognised event number.
DQCS	AmountRejection	Unrecognised or invalid instructed cash amount.
DQCC	CurrencyRejection	Invalid instructed currency.
DQAM	InstructionTypeRejection	Invalid use of instructed amount (QCAS) or of instructed quantity (QINS) qualifiers.
IRDQ	InvalidUseRoundUpQuantity	Instruction is rejected due to invalid use of the additional round up quantity.
DQBV	InvalidBidValue	Bid price / basis points / percentage falls outside of bid range for the event (generally used in Dutch Auctions or Bid Tenders).
DQBI	InvalidBidIncrement	Bid price / basis points / percentage increments rejected due to invalid bid increment for the event (generally used in Dutch Auctions or Bid Tenders).
SHAR	ShareholderNumberRejection	Unrecognised, missing or invalid shareholder number.
ITAX	IncorrectTaxRate	Tax rate provided is incorrect. It falls outside the acceptable values for that investment country.

CodeName	Name	Definition
NTAX	InconsistentTaxRate	Tax rate is not consistent with the documentation in place.
MTAX	MissingTaxRate	Tax rate is missing.
ISOL	InvalidSolicitationDealerFeeUse	Invalid use of solicitation dealer fee indicator.
BSTR	BeforeInstructionPeriod	Instruction is submitted before instruction start date.
CTCT	MissingContact	Contact information is missing.
DUPL	DuplicateInstruction	Instruction is rejected due to duplicate business message identifier.
PROI	MissingProtectIdentification	Protect identification is required for cover protect instructions.
PRON	NoProtectPrivilege	No protect privilege for this event.
PROT	MissingProtectDate	Protect date is required for cover protect instructions.
TRTI	MissingTransactionType	Transaction type is missing or invalid for protect or cover protect instructions.
IPAW	InvalidPaperwork	Required paperwork is invalid, incomplete or missing.
REJA	RejectedByIssuerAgent	Instruction rejected by the issuer agent.

16.2.2.120 RejectionReason67Code

Definition: Specifies the reason why the instruction/cancellation request has a rejected status.

Type: CodeSet

CodeName	Name	Definition
ADEA	AccountServicerDeadlineMissed	Transaction/instruction received after the account servicer's specified deadline.
INIR	InstructionIrrevocable	Instruction is irrevocable.
ULNK	InvalidReference	Linked reference is unknown.
LATE	MarketDeadlineMissed	Received after market deadline.
OTHR	Other	Other. See Narrative.
DCAN	RejectedSinceAlreadyCancelled	Cancellation request was rejected since the instruction has already been cancelled.
DPRG	RejectedSinceInProgress	Cancellation request has been rejected because the instruction process is in progress or has been processed.
REJA	RejectedByIssuerAgent	Instruction rejected by the issuer agent.

16.2.2.121 RenounceableStatus1Code

Definition: Specifies the renounceable status.

Type: CodeSet

CodeName	Name	Definition
NREN	NonRenounceable	Intermediate securities cannot be sold.
RENO	Renounceable	Intermediate securities can be sold.

16.2.2.122 SafekeepingAccountIdentification1Code

Definition: Specifies the account identification via a code.

Type: CodeSet

CodeName	Name	Definition
GENR	General	Announcement applies to all safekeeping accounts that own underlying financial instrument. (Used for general or preliminary announcements.).

16.2.2.123 SafekeepingPlace1Code

Definition: Specifies the type of place of safekeeping.

Type: CodeSet

CodeName	Name	Definition
CUST	SharesHeldAtLocalCustodian	Financial instruments held at a local custodian.
ICSD	SharesHeldAtICSD	Financial instruments held at an International Central Securities Depository.
NCSD	SharesHeldAtNCSD	Financial instruments held at a National Central Securities Depository.
SHHE	SharesHeldElsewhere	Used for certain financial instruments, for example, US mutual funds, where settlement is internal only, that is, there is no external movement of securities.

16.2.2.124 SafekeepingPlace2Code

Definition: Specifies the type of place of safekeeping.

Type: CodeSet

CodeName	Name	Definition
SHHE	SharesHeldElsewhere	Used for certain financial instruments, for example, US mutual funds, where settlement is internal only, that is, there is no external movement of securities.
ALLP	AllPlaces	All places of safekeeping where the financial instrument is held.

16.2.2.125 SafekeepingPlace3Code

Definition: Specifies the type of place of safekeeping.

Type: CodeSet

CodeName	Name	Definition
SHHE	SharesHeldElsewhere	Used for certain financial instruments, for example, US mutual funds, where settlement is internal only, that is, there is no external movement of securities.

16.2.2.126 ShortLong1Code

Definition: Specifies whether the securities position is short or long, that is, whether the balance is a negative or positive balance.

Type: CodeSet

CodeName	Name	Definition
SHOR	Short	Position is short, that is, the balance is negative.
LONG	Long	Position is long, that is, the balance is positive.

16.2.2.127 StatementUpdateType1Code

Definition: Specifies the nature of a statement update, for example, it is a complete statement.

Type: CodeSet

CodeName	Name	Definition
COMP	Complete	Statement is complete.
DELT	Delta	Statement contains changes only.

16.2.2.128 TypeOfIdentification1Code

Definition: Specifies the type of alternate identification which can be used to give an alternate identification of the party identified.

Type: CodeSet

CodeName	Name	Definition
ARNU	AlienRegistrationNumber	Number assigned by a government agency to identify foreign nationals.
CCPT	PassportNumber	Number assigned by a passport authority.
CHTY	TaxExemptIdentificationNumber	Number assigned to a tax exempt entity.
CORP	CorporateIdentification	Number assigned to a corporate entity.
DRLC	DriverLicenseNumber	Number assigned to a driver's license.
FIIN	ForeignInvestmentIdentityNumber	Number assigned to a foreign investor (other than the alien number).
TXID	TaxIdentificationNumber	Number assigned by a tax authority to an entity.

16.2.2.129 UnicodeChartsCode

Definition: codelist containing the names of the UNICODE code block name as specified on <http://unicode.org/Public/UNIDATA/Blocks.txt>.

Type: CodeSet

Constraints

- ValidationByTable

16.2.2.130 WithholdingTaxRateType1Code

Definition: Specifies the type of withholding tax rate.

Type: CodeSet

CodeName	Name	Definition
BWIT	BackUpWithholding	Withholding tax related to payments subject to back up withholding.
FTCA	FATCATax	Withholding tax related to income subject to FATCA (Foreign Account Tax Compliance Act).
NRAT	NRATax	Withholding tax related to income subject to NRA (Non Resident Alien).

16.2.3 Date

16.2.3.1 ISODate

Definition: A particular point in the progression of time in a calendar year expressed in the YYYY-MM-DD format. This representation is defined in "XML Schema Part 2: Datatypes Second Edition - W3C Recommendation 28 October 2004" which is aligned with ISO 8601.

Type: Date

16.2.4 DateTime

16.2.4.1 ISODateTime

Definition: A particular point in the progression of time defined by a mandatory date and a mandatory time component, expressed in either UTC time format (YYYY-MM-DDThh:mm:ss.sssZ), local time with UTC offset format (YYYY-MM-DDThh:mm:ss.sss+/-hh:mm), or local time format (YYYY-MM-DDThh:mm:ss.sss). These representations are defined in "XML Schema Part 2: Datatypes Second Edition - W3C Recommendation 28 October 2004" which is aligned with ISO 8601.

Note on the time format:

1) beginning / end of calendar day

00:00:00 = the beginning of a calendar day

24:00:00 = the end of a calendar day

2) fractions of second in time format

Decimal fractions of seconds may be included. In this case, the involved parties shall agree on the maximum number of digits that are allowed.

Type: DateTime

16.2.5 IdentifierSet

16.2.5.1 AnyBICDec2014Identifier

Definition: Code allocated to a financial or non-financial institution by the ISO 9362 Registration Authority, as described in ISO 9362: 2014 - "Banking - Banking telecommunication messages - Business identifier code (BIC)".

Type: IdentifierSet

Identification scheme: SWIFT; AnyBICIdentifier

Format

pattern [A-Z0-9]{4,4}[A-Z]{2,2}[A-Z0-9]{2,2}([A-Z0-9]{3,3}){0,1}

Constraints

- **AnyBIC**

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

16.2.5.2 BICFIDec2014Identifier

Definition: Code allocated to a financial institution by the ISO 9362 Registration Authority as described in ISO 9362: 2014 - "Banking - Banking telecommunication messages - Business identifier code (BIC)".

Type: IdentifierSet

Identification scheme: SWIFT; BICIdentifier

Format

pattern [A-Z0-9]{4,4}[A-Z]{2,2}[A-Z0-9]{2,2}([A-Z0-9]{3,3}){0,1}

Constraints

- **BICFI**

Valid BICs for financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consist of eight (8) or eleven (11) contiguous characters.

16.2.5.3 CFIOct2015Identifier

Definition: Classification type of the financial instrument, as per the ISO 10962 Classification of Financial Instrument (CFI) codification, for example, common share with voting rights, fully paid, or registered.

Type: IdentifierSet

Identification scheme: ANNA; CFIdentifier

Format

pattern [A-Z]{6,6}

16.2.5.4 IBAN2007Identifier

Definition: The International Bank Account Number is a code used internationally by financial institutions to uniquely identify the account of a customer at a financial institution as described in the 2007 edition of the ISO 13616 standard "Banking and related financial services - International Bank Account Number (IBAN)" and replaced by the more recent edition of the standard.

Type: IdentifierSet

Identification scheme: National Banking Association; International Bank Account Number (ISO 13616)

Format

pattern [A-Z]{2,2}[0-9]{2,2}[a-zA-Z0-9]{1,30}

Constraints

- **IBAN**

A valid IBAN consists of all three of the following components: Country Code, check digits and BBAN.

16.2.5.5 ISINOct2015Identifier

Definition: The International Securities Identification Number is a code allocated to financial instruments as well as referential instruments, as described in the ISO 6166 standard, associated with the minimum descriptive data. The ISIN consists of a prefix using the alpha-2 country codes or reserved codes specified in ISO 3166 or other prefixes as may be determined by the Registration Authority for the ISO 6166 standard, a nine characters (alphanumeric) basic code and a check digit.

Type: IdentifierSet

Identification scheme: ANNA; ISINIdentifier

Format

pattern [A-Z]{2,2}[A-Z0-9]{9,9}[0-9]{1,1}

16.2.5.6 LEIIdentifier

Definition: Legal Entity Identifier is a code allocated to a party as described in ISO 17442 "Financial Services - Legal Entity Identifier (LEI)".

Type: IdentifierSet

Identification scheme: Global LEI System; LEIIdentifier

Format

pattern [A-Z0-9]{18,18}[0-9]{2,2}

16.2.5.7 MICIdentifier

Definition: Market Identifier Code. The identification of a financial market, as stipulated in the norm ISO 10383 'Codes for exchanges and market identifications'.

Type: IdentifierSet

Identification scheme: SWIFT; MICIdentifier

Format

pattern [A-Z0-9]{4,4}

16.2.6 Indicator

16.2.6.1 YesNoIndicator

Definition: Indicates a "Yes" or "No" type of answer for an element.

Type: Indicator

Meaning When True: Yes

Meaning When False: No

16.2.7 Quantity

16.2.7.1 DecimalNumber

Definition: Number of objects represented as a decimal number, for example 0.75 or 45.6.

Type: Quantity

Format

totalDigits	18
fractionDigits	17

16.2.7.2 Max30DecimalNumber

Definition: Number of objects represented as a decimal number, for example 0.75 or 45.6 with a maximum of 30 digits and 29 fraction digits.

Type: Quantity

Format

totalDigits	30
fractionDigits	29

16.2.7.3 Max3Number

Definition: Number (max 999) of objects represented as an integer.

Type: Quantity

Format

totalDigits	3
fractionDigits	0

Constraints

- **NumberRule**

If Number is negative, then Sign must be present.

16.2.7.4 RestrictedFINDecimalNumber

Definition: Number of objects represented as a decimal number, for example, 0.75 or 45.6.

Type: Quantity

Format

totalDigits	14
fractionDigits	14

16.2.8 Rate**16.2.8.1 BaseOne14Rate**

Definition: Rate expressed as a decimal, for example, 0.7 is 7/10 and 70% with 13 fractional digits maximum and 14 total digits.

Type: Rate

Format

totalDigits	14
fractionDigits	13
baseValue	1.0

16.2.8.2 BaseOneRate

Definition: Rate expressed as a decimal, for example, 0.7 is 7/10 and 70%.

Type: Rate

Format

totalDigits	11
fractionDigits	10
baseValue	1.0

16.2.8.3 PercentageRate

Definition: Rate expressed as a percentage, that is, in hundredths, for example, 0.7 is 7/10 of a percent, and 7.0 is 7%.

Type: Rate

Format

totalDigits	11
fractionDigits	10

baseValue	100.0
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16.2.9 Text

16.2.9.1 Exact3NumericText

Definition: Specifies a numeric string with an exact length of 3 digits.

Type: Text

Format

pattern	[0-9]{3}
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16.2.9.2 Exact3UpperCaseAlphaNumericText

Definition: Specifies an upper case alphanumeric string with a length of three characters.

Type: Text

Format

pattern	[A-Z0-9]{3}
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16.2.9.3 Exact4AlphaNumericText

Definition: Specifies an alphanumeric string with a length of 4 characters.

Type: Text

Format

pattern	[a-zA-Z0-9]{4}
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16.2.9.4 ISO20022MessageIdentificationText

Definition: ISO 20022 Message identifier of an MX message.

Type: Text

Format

pattern	[a-z]{4}\.[0-9]{3}\.[0-9]{3}\.[0-9]{2}
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16.2.9.5 Max128Text

Definition: Specifies a character string with a maximum length of 128 characters.

Type: Text

Format

minLength	1
maxLength	128

16.2.9.6 Max140Text

Definition: Specifies a character string with a maximum length of 140 characters.

Type: Text

Format

minLength	1
maxLength	140

16.2.9.7 Max16Text

Definition: Specifies a character string with a maximum length of 16 characters.

Type: Text

Format

minLength	1
maxLength	16

16.2.9.8 Max2048Text

Definition: Specifies a character string with a maximum length of 2048 characters.

Type: Text

Format

minLength	1
maxLength	2048

16.2.9.9 Max350Text

Definition: Specifies a character string with a maximum length of 350 characters.

Type: Text

Format

minLength	1
maxLength	350

16.2.9.10 Max35Text

Definition: Specifies a character string with a maximum length of 35 characters.

Type: Text

Format

minLength	1
maxLength	35

16.2.9.11 Max3NumericText

Definition: Specifies a numeric string with a maximum length of 3 digits.

Type: Text

Format

pattern	[0-9]{1,3}
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16.2.9.12 Max4AlphaNumericText

Definition: Specifies an alphanumeric string with a maximum length of 4 characters.

Type: Text

Format

minLength	1
maxLength	4
pattern	[a-zA-Z0-9]{1,4}

16.2.9.13 Max4Text

Definition: Specifies a character string with a maximum length of 4 characters.

Type: Text

Format

minLength	1
maxLength	4

16.2.9.14 Max5NumericText

Definition: Specifies a numeric string with a maximum length of 5 digits.

Type: Text

Format

pattern	[0-9]{1,5}
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16.2.9.15 Max70Text

Definition: Specifies a character string with a maximum length of 70characters.

Type: Text

Format

minLength	1
maxLength	70

16.2.9.16 PhoneNumber

Definition: The collection of information which identifies a specific phone or FAX number as defined by telecom services.

It consists of a "+" followed by the country code (from 1 to 3 characters) then a "-" and finally, any combination of numbers, "(", ")", "+" and "-" (up to 30 characters).

Type: Text

Format

pattern	\+[0-9]{1,3}-[0-9()+\-]{1,30}
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16.2.9.17 RestrictedFINExact2Text

Definition: Specifies a character string with an exact length of 2 characters that must has a pattern XX|TS.

Type: Text

Format

length	2
pattern	XX TS

16.2.9.18 RestrictedFINMax15Text

Definition: Specifies a character string with a maximum length of 15 characters.

Type: Text

Format

minLength	1
maxLength	15

16.2.9.19 RestrictedFINMax210Text

Definition: Specifies a character string with a maximum length of 210 characters.

Type: Text

Format

minLength	1
maxLength	210

16.2.9.20 RestrictedFINMax35Text

Definition: Specifies a character string with a maximum length of 35 characters. It has a pattern `([^\r\n/]+)([^\r\n/]*)` that disables the use of slash "/" at the beginning and end of line and double slash "//" within the line.

Type: Text

Format

minLength	1
maxLength	35
pattern	([^\r\n/]+)([^\r\n/]+)([^\r\n/]+)

16.2.9.21 RestrictedFINMax50Text

Definition: Specifies a character string with a maximum length of 50 characters. It has a pattern `([^\r\n/]+)([^\r\n/]+)([^\r\n/]+)` that disables the use of slash "/" at the beginning and end of line and double slash "/" within the line.

Type: Text

Format

minLength	1
maxLength	50
pattern	([^\r\n/]+)([^\r\n/]+)([^\r\n/]+)

16.2.9.22 RestrictedFINX2Max34Text

Definition: Specifies a character string with a maximum length of 34 characters limited to character set X, that is, a-z A-Z / - ? : () . , ' + .

Type: Text

Format

minLength	1
maxLength	34
pattern	[0-9a-zA-Z/\-?:\(\)\.,'\+]{1,34}

16.2.9.23 RestrictedFINXMax140Text

Definition: Specifies a character string with a maximum length of 140 characters limited to character set X, that is, a-z A-Z / - ? : () . , ' + .

Type: Text

Format

minLength	1
maxLength	140
pattern	[0-9a-zA-Z/\-?:\(\)\.,'\n\r+]{1,140}

16.2.9.24 RestrictedFINXMax16Text

Definition: Specifies a character string with a maximum length of 16 characters. It has a pattern that disables the use of characters that is not part of the character set X, that is, that is not a-z A-Z / - ? : () . , ' + , and disable the use of slash "/" at the beginning and end of line and double slash "/" within the line.

Type: Text

Format

minLength	1
maxLength	16
pattern	<code>(([0-9a-zA-Z\-\?\:\(\)\.,'\+]([0-9a-zA-Z\-\?\:\(\)\.,'\+]*([0-9a-zA-Z\-\?\:\(\)\.,'\+])?)*))</code>

16.2.9.25 RestrictedFINXMax210Text

Definition: Specifies a character string with a maximum length of 210 characters limited to character set X, that is, a-z A-Z / - ? : () . , ' + .

Type: Text

Format

minLength	1
maxLength	210
pattern	<code>[0-9a-zA-Z\-\?\:\(\)\.\n\r,'\+]{1,210}</code>

16.2.9.26 RestrictedFINXMax256Text

Definition: Specifies a character string with a maximum length of 256 characters limited to character set X, that is, a-z A-Z / - ? : () . , ' + .

Type: Text

Format

minLength	1
maxLength	256
pattern	<code>[0-9a-zA-Z\-\?\:\(\)\.\n\r,'\+]{1,256}</code>

16.2.9.27 RestrictedFINXMax25Text

Definition: Specifies a character string with a maximum length of 24 characters. It has a pattern that disables the use of characters that is not part of the character set X, that is, that is not a-z A-Z / - ? : () . , ' + , and disable the use of slash "/" at the beginning and end of line and double slash "/" within the line.

Type: Text

Format

minLength	1
maxLength	25
pattern	<code>(([0-9a-zA-Z\-\?\:\(\)\.,'\+]([0-9a-zA-Z\-\?\:\(\)\.,'\+]*([0-9a-zA-Z\-\?\:\(\)\.,'\+])?)*))</code>

16.2.9.28 RestrictedFINXMax30Text

Definition: Specifies a character string with a maximum length of 30 characters. It has a pattern that disables the use of characters that is not part of the character set X, that is, that is not a-z A-Z / - ? :

() , ' + , and disable the use of slash "/" at the beginning and end of line and double slash "/" within the line.

Type: Text

Format

minLength	1
maxLength	30
pattern	<code>([0-9a-zA-Z\-\?\:\(\)\.,'\+]([0-9a-zA-Z\-\?\:\(\)\.,'\+]*([0-9a-zA-Z\-\?\:\(\)\.,'\+])?\n\.,'\+])?*)</code>

16.2.9.29 RestrictedFINXMax31Text

Definition: Specifies a character string with a maximum length of 31 characters limited to character set X, that is, a-z A-Z / - ? : () , ' + .

Type: Text

Format

minLength	1
maxLength	31
pattern	<code>[0-9a-zA-Z\-\?\:\(\)\.,'\+]{1,31}</code>

16.2.9.30 RestrictedFINXMax34Text

Definition: Specifies a character string with a maximum length of 34 characters. It has a pattern that disables the use of characters that is not part of the character set X, that is, that is not a-z A-Z / - ? : () , ' + , and disable the use of slash "/" at the beginning and end of line and double slash "/" within the line.

Type: Text

Format

minLength	1
maxLength	34
pattern	<code>([0-9a-zA-Z\-\?\:\(\)\.,'\+]([0-9a-zA-Z\-\?\:\(\)\.,'\+]*([0-9a-zA-Z\-\?\:\(\)\.,'\+])?\n\.,'\+])?*)</code>

16.2.9.31 RestrictedFINXMax350Text

Definition: Specifies a character string with a maximum length of 350 characters limited to character set X, that is, a-z A-Z / - ? : () , ' + .

Type: Text

Format

minLength	1
maxLength	350
pattern	<code>[0-9a-zA-Z\-\?\:\(\)\.,'\n\r]{1,350}</code>

16.2.9.32 RestrictedFINXMax35Text

Definition: Specifies a character string with a maximum length of 35 characters limited to character set X, that is, a-z A-Z / - ? : () . , ' + .

Type: Text

Format

minLength	1
maxLength	35
pattern	[0-9a-zA-Z/\-?\:\(\)\.,'\+]{1,35}

16.2.9.33 RestrictedFINZMax2048Text

Definition: Specifies a character string with a maximum length of 2048 characters limited to character set Z that is, a-z A-Z / - ? : () . , ' += ! " % & * < > ; @ # .

Type: Text

Format

minLength	1
maxLength	2048
pattern	[0-9a-zA-Z!"%&*;<> \, \(\) \n \r / = \+ : \? @ # \{ \- _]{1,2048}

16.2.9.34 RestrictedFINZMax8000Text

Definition: Specifies a character string with a maximum length of 8000 characters limited to character set Z, that is, a-z A-Z / - ? : () . , ' += ! " % & * < > ; @ # .

Type: Text

Format

minLength	1
maxLength	8000
pattern	[0-9a-zA-Z!"%&*;<> \, \(\) \n \r / = \+ : \? @ # \{ \- _]{1,8000}

16.2.10 Time

16.2.10.1 ISOTime

Definition: A particular point in the progression of time in a calendar day expressed in either UTC time format (hh:mm:ss.sssZ), local time with UTC offset format (hh:mm:ss.sss+/-hh:mm), or local time format (hh:mm:ss.sss). These representations are defined in "XML Schema Part 2: Datatypes Second Edition - W3C Recommendation 28 October 2004" which is aligned with ISO 8601.

Note on the time format:

1) beginning / end of calendar day

00:00:00 = the beginning of a calendar day

24:00:00 = the end of a calendar day

2) fractions of second in time format

Decimal fractions of seconds may be included. In this case, the involved parties shall agree on the maximum number of digits that are allowed.

Type: Time