

ISO 20022

Issuers Agents Communication - Maintenance 2023 -
2024

Message Definition Report - Part 2

Approved by the Securities SEG on 31 January 2024

This document provides details of the Message Definitions for Issuers Agents Communication - Maintenance 2023 - 2024.

March 2024

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1 Message Set Overview

Introduction

This document describes the Issuers Agents Communication message set. It includes the new version of the MessageDefinitions that have been added as part of the maintenance cycle 2023-2024 (See MCR #1345) and approved by the Securities Standards Evaluation Group on 31 January 2024.

1.1 List of MessageDefinitions

The following table lists all MessageDefinitions described in this book.

MessageDefinition	Definition
head.001.001.02 BusinessApplicationHeaderV02	<p>The Business Layer deals with Business Messages. The behaviour of the Business Messages is fully described by the Business Transaction and the structure of the Business Messages is fully described by the Message Definitions and related Message Rules, Rules and Market Practices. All of which are registered in the ISO 20022 Repository.</p> <p>A single new Business Message (with its accompanying business application header) is created - by the sending MessagingEndpoint - for each business event; that is each interaction in a Business Transaction. A Business Message adheres to the following principles:</p> <p>" A Business Message (and its business application header) must not contain information about the Message Transport System or the mechanics or mechanism of message sending, transportation, or receipt.</p> <p>" A Business Message must be comprehensible outside of the context of the Transport Message. That is the Business Message must not require knowledge of the Transport Message to be understood.</p> <p>" A Business Message may contain headers, footers, and envelopes that are meaningful for the business. When present, they are treated as any other message content, which means that they are considered part of the Message Definition of the Business Message and as such will be part of the ISO 20022 Repository.</p> <p>" A Business Message refers to Business Actors by their Name. Each instance of a Business Actor has one Name. The Business Actor must not be referred to in the Transport Layer.</p> <p>Specific usage of this BusinessMessageHeader may be defined by the relevant SEG.</p>
seev.009.001.01 AgentCANotificationAdviceV01	<p>This message is sent by an issuer (or its agent) to a CSD to:</p> <ul style="list-style-type: none"> - Provide a CSD with the details of a corporate action along with the possible options available to the clients of that CSD; and

MessageDefinition	Definition
	- to update a corporate action notification. A notification advice can be initially sent as a preliminary advice and subsequently replaced by another notification advice with updated information.
seev.010.001.01 AgentCANotificationCancellationRequestV01	This message is sent by an issuer (or its agent) to a CSD to request the cancellation of a notification advice message.
seev.011.001.02 AgentCANotificationStatusAdviceV02	This message is sent by a CSD to an issuer (or its agent) to report the status, or change in status, of a notification advice or notification cancellation request.
seev.012.001.01 AgentCAElectionAdviceV01	This message is sent by a CSD to the issuer (or its agent) to provide information about the clients' election instruction, the registration details, the delivery details, etc. In case of an election with underlying resource movements, it also confirms that these have been completed. This message may also be sent in case of an amendment of an election, once the CSD has completed the required resource movements.
seev.013.001.01 AgentCAElectionAmendmentRequestV01	This message is sent by a CSD to the issuer (or its agent) to request the authorisation of an amendment of a previously sent Agent Corporate Action Election Advice message.
seev.014.001.01 AgentCAElectionCancellationRequestV01	This message is sent by a CSD to the issuer (or its agent) to request the cancellation of a previously sent Agent Corporate Action Election Advice message.
seev.015.001.01 AgentCAElectionStatusAdviceV01	This message is sent by an issuer (or its agent) to the CSD to report the status, or a change in status, of: <ul style="list-style-type: none"> - a corporate action election advice; - an election cancellation request; or - an election amendment request.
seev.016.001.01 AgentCADistributionBreakdownAdviceV01	This message is sent by a CSD to an issuer (or its agent) to provide distribution breakdown information for the proceeds that are to be delivered outside the CSD (e.g. when the proceeds are not eligible in the CSD).
seev.017.001.01 AgentCAGlobalDistributionAuthorisationRequestV01	This message is sent by a CSD to an issuer (or its agent) to request the authorisation to process the entitlement movements (cash and/or securities) calculated by the CSD for a given corporate action entire event, a given corporate action option and optionally a given resource. This message can also be sent to request the issuer (or its agent) to make available / deliver the relevant resources to the CSD.
seev.018.001.01 AgentCAGlobalDistributionStatusAdviceV01	This message is sent by an issuer (or its agent) to the CSD to authorise/prohibit the CSD to process the entitlement movements.
seev.019.001.01 AgentCAMovementInstructionV01	This message is sent by an issuer (or its agent) to a CSD to order: <ul style="list-style-type: none"> - the global or individual debit of exercised resources (cash and/or securities), per event and optionally per option and per resource for all or individual CSD client's accounts;

MessageDefinition	Definition
	- and/or the individual credits of the outturn resources per event and optionally per option and per resource for a given CSD client's account.
seev.020.001.01 AgentCAMovementCancellationRequestV01	This message is sent by an issuer (or its agent) to a CSD to request the cancellation of (a) movement(s) previously sent via an Agent Corporate Action Movement Instruction.
seev.021.001.01 AgentCAMovementConfirmationV01	This message is sent by a CSD to an issuer (or its agent) to confirm the settlement of resource movements.
seev.022.001.01 AgentCAMovementStatusAdviceV01	This message is sent by a CSD to an issuer (or its agent) to report the status, or a change in status, of <ul style="list-style-type: none"> - a global distribution status advice released by an issuer (or its agent); - a movement instruction released by an issuer (or its agent); - a movement cancellation request sent by the issuer (or its agent); and - the non-settlement of the movements at the CSD.
seev.023.001.01 AgentCAInformationAdviceV01	This message is sent by a CSD to an issuer (or its agent) to provide information about the certification and/or the delivery details to the issuer (or its agent).
seev.024.001.01 AgentCAInformationStatusAdviceV01	This message is sent by an issuer (or its agent) to a CSD to report the status, or change in status, of an information advice.
seev.025.001.01 AgentCAStandingInstructionRequestV01	This message is sent by a CSD to the issuer (or its agent) to provide the issuer (or its agent) with the CSD's client details for the distribution of the proceeds of a corporate action event: <ul style="list-style-type: none"> - Gross or net payments. - Delivery details for securities that have to be delivered outside of the CSD. - Delivery details for cash amounts that have to be delivered outside of the CSD.
seev.026.001.01 AgentCAStandingInstructionCancellationRequestV01	This message is sent by a CSD to the issuer agent to request the cancellation of a previously sent Agent Corporate Action Standing Instruction.
seev.027.001.01 AgentCAStandingInstructionStatusAdviceV01	This message is sent by an issuer (or its agent) to the CSD to report the status, or a change in status, of a standing instruction request or the status of a standing instruction cancellation request.
seev.028.001.01 AgentCADeactivationInstructionV01	This message is sent by an issuer (or its agent) to the CSD to instruct the deactivation of a corporate action event or to deactivate one or more specific options of the corporate action. As of the deactivation date, the CSD is allowed to reject any related election instruction received from clients.

MessageDefinition	Definition
seev.029.001.01 AgentCADeactivationCancellationRequestV01	This message is sent by an issuer (or its agent) to the CSD to request the cancellation of a previously sent corporate action deactivation instruction.
seev.030.001.01 AgentCADeactivationStatusAdviceV01	This message is sent by a CSD to an issuer (or its agent) to report the status, or a change in status, of a corporate action deactivation instruction or the status of a deactivation cancellation request.

2 **head.001.001.02 BusinessApplicationHeaderV02**

2.1 **MessageDefinition Functionality**

The Business Layer deals with Business Messages. The behaviour of the Business Messages is fully described by the Business Transaction and the structure of the Business Messages is fully described by the Message Definitions and related Message Rules, Rules and Market Practices. All of which are registered in the ISO 20022 Repository.

A single new Business Message (with its accompanying business application header) is created - by the sending MessagingEndpoint - for each business event; that is each interaction in a Business Transaction. A Business Message adheres to the following principles:

" A Business Message (and its business application header) must not contain information about the Message Transport System or the mechanics or mechanism of message sending, transportation, or receipt.

" A Business Message must be comprehensible outside of the context of the Transport Message. That is the Business Message must not require knowledge of the Transport Message to be understood.

" A Business Message may contain headers, footers, and envelopes that are meaningful for the business. When present, they are treated as any other message content, which means that they are considered part of the Message Definition of the Business Message and as such will be part of the ISO 20022 Repository.

" A Business Message refers to Business Actors by their Name. Each instance of a Business Actor has one Name. The Business Actor must not be referred to in the Transport Layer.

Specific usage of this BusinessMessageHeader may be defined by the relevant SEG.

Outline

The BusinessApplicationHeaderV02 MessageDefinition is composed of 14 MessageBuildingBlocks:

A. CharSet

Contains the character set of the text-based elements used in the Business Message.

B. From

The sending MessagingEndpoint that has created this Business Message for the receiving MessagingEndpoint that will process this Business Message.

Note the sending MessagingEndpoint might be different from the sending address potentially contained in the transport header (as defined in the transport layer).

C. To

The MessagingEndpoint designated by the sending MessagingEndpoint to be the recipient who will ultimately process this Business Message.

Note the receiving MessagingEndpoint might be different from the receiving address potentially contained in the transport header (as defined in the transport layer).

D. BusinessMessageIdentifier

Unambiguously identifies the Business Message to the MessagingEndpoint that has created the Business Message.

E. MessageDefinitionIdentifier

Contains the MessageIdentifier that defines the BusinessMessage.

It must contain a MessageIdentifier published on the ISO 20022 website.

example camt.001.001.03.

F. BusinessService

Specifies the business service agreed between the two MessagingEndpoints under which rules this Business Message is exchanged.

To be used when there is a choice of processing services or processing service levels.

Example: E&I.

G. MarketPractice

Specifies the market practice to which the message conforms. The market practices are a set of rules agreed between parties that restricts the usage of the messages in order to achieve better STP (Straight Through Processing) rates.

A market practice specification may also extend the underlying message specification by using extensions or supplementary data of this underlying message.

H. CreationDate

Date and time when this Business Message (header) was created.

I. BusinessProcessingDate

Processing date and time indicated by the sender for the receiver of the business message. This date may be different from the date and time provided in the CreationDate.

Usage: Market practice or bilateral agreement should specify how this element should be used.

J. CopyDuplicate

Indicates whether the message is a Copy, a Duplicate or a copy of a duplicate of a previously sent ISO 20022 Message.

K. PossibleDuplicate

Flag indicating if the Business Message exchanged between the MessagingEndpoints is possibly a duplicate.

If the receiving MessagingEndpoint did not receive the original, then this Business Message should be processed as if it were the original.

If the receiving MessagingEndpoint did receive the original, then it should perform necessary actions to avoid processing this Business Message again.

This will guarantee business idempotent behaviour.

NOTE: this is named "PossResend" in FIX - this is an application level resend not a network level retransmission.

L. Priority

Relative indication of the processing precedence of the message over a (set of) Business Messages with assigned priorities.

M. Signature

Contains the digital signature of the Business Entity authorised to sign this Business Message.

N. Related

Specifies the Business Application Header(s) of the Business Message(s) to which this Business Message relates.

Can be used when replying to a query; can also be used when canceling or amending.

2.2 Structure

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	Message root <Document> <AppHdr>	[1..1]		C5	
	CharacterSet <CharSet>	[0..1]	CodeSet	C6	15
	From <Fr>	[1..1]			15
{Or	OrganisationIdentification <OrgId>	[1..1]	±		15
Or}	FinancialInstitutionIdentification <FIId>	[1..1]	±		16
	To <To>	[1..1]			16
{Or	OrganisationIdentification <OrgId>	[1..1]	±		16
Or}	FinancialInstitutionIdentification <FIId>	[1..1]	±		16
	BusinessMessageIdentifier <BizMsgIdr>	[1..1]	Text		17
	MessageDefinitionIdentifier <MsgDefIdr>	[1..1]	Text		17
	BusinessService <BizSvc>	[0..1]	Text		17
	MarketPractice <MktPrctc>	[0..1]			17
	Registry <Regy>	[1..1]	Text		18
	Identification <Id>	[1..1]	Text		18
	CreationDate <CreDt>	[1..1]	DateTime		18
	BusinessProcessingDate <BizPrctgDt>	[0..1]	DateTime		18
	CopyDuplicate <CpyDplct>	[0..1]	CodeSet		18
	PossibleDuplicate <PssblDplct>	[0..1]	Indicator		19
	Priority <Prty>	[0..1]	CodeSet	C7	19
	Signature <Sgntr>	[0..1]	(External Schema)		20
	Related <Rltd>	[0..*]			20
	CharacterSet <CharSet>	[0..1]	CodeSet	C6	21
	From <Fr>	[1..1]			21
{Or	OrganisationIdentification <OrgId>	[1..1]	±		21
Or}	FinancialInstitutionIdentification <FIId>	[1..1]	±		21
	To <To>	[1..1]			22
{Or	OrganisationIdentification <OrgId>	[1..1]	±		22
Or}	FinancialInstitutionIdentification <FIId>	[1..1]	±		22
	BusinessMessageIdentifier <BizMsgIdr>	[1..1]	Text		23
	MessageDefinitionIdentifier <MsgDefIdr>	[1..1]	Text		23

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	BusinessService <BizSvc>	[0..1]	Text		23
	CreationDate <CreDt>	[1..1]	DateTime		23
	CopyDuplicate <CpyDplct>	[0..1]	CodeSet		23
	PossibleDuplicate <PssblDplct>	[0..1]	Indicator		24
	Priority <Prty>	[0..1]	CodeSet	C7	24
	Signature <Sgntr>	[0..1]	(External Schema)		25

2.3 Constraints

C1 AnyBIC

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

C2 BICFI

Valid BICs for financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consist of eight (8) or eleven (11) contiguous characters.

C3 Country

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

C4 OnlySignatureElement

The XML Signature namespace ("http://www.w3.org/2000/09/xmldsig#") allows for different XML elements to be root elements. This means the user has to choose amongst these global elements which one to use as the root element. Only the XML element Signature is allowed.

C5 RelatedPresentWhenCopyDupl

Related MUST contain the relevant BusinessMessageHeader elements of the BusinessMessage to which this BusinessMessage relates.

If CopyDuplicate is present, then Related MUST be present.

```
On Condition
  /CopyDuplicate is present
Following Must be True
  /Related[1] Must be present
```

This constraint is defined at the MessageDefinition level.

C6 ValidationByTable

C7 ValidationByTable

2.4 Message Building Blocks

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

2.4.1 CharacterSet <CharSet>

Presence: [0..1]

Definition: Contains the character set of the text-based elements used in the Business Message.

Impacted by: C6 "ValidationByTable"

Datatype: "UnicodeChartsCode" on page 846

Constraints

- **ValidationByTable**

2.4.2 From <Fr>

Presence: [1..1]

Definition: The sending MessagingEndpoint that has created this Business Message for the receiving MessagingEndpoint that will process this Business Message.

Note the sending MessagingEndpoint might be different from the sending address potentially contained in the transport header (as defined in the transport layer).

From <Fr> contains one of the following **Party44Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	OrganisationIdentification <OrgId>	[1..1]	±		15
Or}	FinancialInstitutionIdentification <FIId>	[1..1]	±		16

2.4.2.1 OrganisationIdentification <OrgId>

Presence: [1..1]

Definition: Identification of a person or an organisation.

OrganisationIdentification <OrgId> contains the following elements (see "PartyIdentification135" on page 738 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[0..1]	Text		739
	PostalAddress <PstlAdr>	[0..1]	±		739
	Identification <Id>	[0..1]	±		740
	CountryOfResidence <CtryOfRes>	[0..1]	CodeSet	C4	740
	ContactDetails <CtctDtls>	[0..1]	±		741

2.4.2.2 FinancialInstitutionIdentification <FIld>

Presence: [1..1]

Definition: Identification of a financial institution.

FinancialInstitutionIdentification <FIld> contains the following elements (see "[BranchAndFinancialInstitutionIdentification6](#)" on page 685 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	FinancialInstitutionIdentification <FinInstnId>	[1..1]	±		685
	BranchIdentification <BrnchId>	[0..1]	±		686

2.4.3 To <To>

Presence: [1..1]

Definition: The MessagingEndpoint designated by the sending MessagingEndpoint to be the recipient who will ultimately process this Business Message.

Note the receiving MessagingEndpoint might be different from the receiving address potentially contained in the transport header (as defined in the transport layer).

To <To> contains one of the following **Party44Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	OrganisationIdentification <OrgId>	[1..1]	±		16
Or}	FinancialInstitutionIdentification <FIld>	[1..1]	±		16

2.4.3.1 OrganisationIdentification <OrgId>

Presence: [1..1]

Definition: Identification of a person or an organisation.

OrganisationIdentification <OrgId> contains the following elements (see "[PartyIdentification135](#)" on page 738 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[0..1]	Text		739
	PostalAddress <PstlAdr>	[0..1]	±		739
	Identification <Id>	[0..1]	±		740
	CountryOfResidence <CtryOfRes>	[0..1]	CodeSet	C4	740
	ContactDetails <CtctDtls>	[0..1]	±		741

2.4.3.2 FinancialInstitutionIdentification <FIld>

Presence: [1..1]

Definition: Identification of a financial institution.

FinancialInstitutionIdentification <FIId> contains the following elements (see "BranchAndFinancialInstitutionIdentification6" on page 685 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	FinancialInstitutionIdentification <FinInstnId>	[1..1]	±		685
	BranchIdentification <BrnchId>	[0..1]	±		686

2.4.4 BusinessMessageIdentifier <BizMsgIdr>

Presence: [1..1]

Definition: Unambiguously identifies the Business Message to the MessagingEndpoint that has created the Business Message.

Datatype: "Max35Text" on page 853

2.4.5 MessageDefinitionIdentifier <MsgDefIdr>

Presence: [1..1]

Definition: Contains the MessageIdentifier that defines the BusinessMessage.

It must contain a MessageIdentifier published on the ISO 20022 website.

example camt.001.001.03.

Datatype: "Max35Text" on page 853

2.4.6 BusinessService <BizSvc>

Presence: [0..1]

Definition: Specifies the business service agreed between the two MessagingEndpoints under which rules this Business Message is exchanged.

To be used when there is a choice of processing services or processing service levels.

Example: E&I.

Datatype: "Max35Text" on page 853

2.4.7 MarketPractice <MktPrctc>

Presence: [0..1]

Definition: Specifies the market practice to which the message conforms. The market practices are a set of rules agreed between parties that restricts the usage of the messages in order to achieve better STP (Straight Through Processing) rates.

A market practice specification may also extend the underlying message specification by using extensions or supplementary data of this underlying message.

MarketPractice <MktPrctc> contains the following **ImplementationSpecification1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Registry <Regy>	[1..1]	Text		18
	Identification <Id>	[1..1]	Text		18

2.4.7.1 Registry <Regy>

Presence: [1..1]

Definition: Name of the implementation specification registry in which the implementation specification of the ISO 20022 message is maintained.

For example, "MyStandards".

Datatype: "Max350Text" on page 853

2.4.7.2 Identification <Id>

Presence: [1..1]

Definition: Identifier which unambiguously identifies, within the implementation specification registry, the implementation specification to which the ISO 20022 message is compliant. This can be done via a URN. It can also contain a version number or date.

For instance, "2018-01-01 - Version 2" or "urn:uuid:6e8bc430-9c3a-11d9-9669-0800200c9a66".

Datatype: "Max2048Text" on page 853

2.4.8 CreationDate <CreDt>

Presence: [1..1]

Definition: Date and time when this Business Message (header) was created.

Datatype: "ISODateTime" on page 846

2.4.9 BusinessProcessingDate <BizPrcgDt>

Presence: [0..1]

Definition: Processing date and time indicated by the sender for the receiver of the business message. This date may be different from the date and time provided in the CreationDate.

Usage: Market practice or bilateral agreement should specify how this element should be used.

Datatype: "ISODateTime" on page 846

2.4.10 CopyDuplicate <CpyDplct>

Presence: [0..1]

Definition: Indicates whether the message is a Copy, a Duplicate or a copy of a duplicate of a previously sent ISO 20022 Message.

Datatype: "CopyDuplicate1Code" on page 805

CodeName	Name	Definition
CODU	CopyDuplicate	Message is being sent as a copy to a party other than the account owner, for information purposes and the message is a duplicate of a message previously sent.
COPY	Copy	Message is being sent as a copy to a party other than the account owner, for information purposes.
DUPL	Duplicate	Message is for information/confirmation purposes. It is a duplicate of a message previously sent.

2.4.11 PossibleDuplicate <PssbIDp1ct>

Presence: [0..1]

Definition: Flag indicating if the Business Message exchanged between the MessagingEndpoints is possibly a duplicate.

If the receiving MessagingEndpoint did not receive the original, then this Business Message should be processed as if it were the original.

If the receiving MessagingEndpoint did receive the original, then it should perform necessary actions to avoid processing this Business Message again.

This will guarantee business idempotent behaviour.

NOTE: this is named "PossResend" in FIX - this is an application level resend not a network level retransmission.

Datatype: One of the following values must be used (see ["YesNoIndicator" on page 850](#)):

- *Meaning When True:* Yes
- *Meaning When False:* No

2.4.12 Priority <Prty>

Presence: [0..1]

Definition: Relative indication of the processing precedence of the message over a (set of) Business Messages with assigned priorities.

Impacted by: [C7 "ValidationByTable"](#)

Datatype: ["BusinessMessagePriorityCode" on page 804](#)

Constraints

- **ValidationByTable**

2.4.13 Signature <Sgntr>

Presence: [0..1]

Definition: Contains the digital signature of the Business Entity authorised to sign this Business Message.

Type: (External Schema)

The W3C XML Schema that specifies following standard signature:

XML Signature Syntax and Processing (Second Edition) W3C Recommendation 10 June 2008

<http://www.w3.org/TR/2008/REC-xmlsig-core-20080610/>.

2.4.14 Related <Rltd>

Presence: [0..*]

Definition: Specifies the Business Application Header(s) of the Business Message(s) to which this Business Message relates.

Can be used when replying to a query; can also be used when canceling or amending.

Related <Rltd> contains the following **BusinessApplicationHeader5** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CharacterSet <CharSet>	[0..1]	CodeSet	C6	21
	From <Fr>	[1..1]			21
{Or	OrganisationIdentification <OrgId>	[1..1]	±		21
Or}	FinancialInstitutionIdentification <FIId>	[1..1]	±		21
	To <To>	[1..1]			22
{Or	OrganisationIdentification <OrgId>	[1..1]	±		22
Or}	FinancialInstitutionIdentification <FIId>	[1..1]	±		22
	BusinessMessageIdentifier <BizMsgIdr>	[1..1]	Text		23
	MessageDefinitionIdentifier <MsgDefIdr>	[1..1]	Text		23
	BusinessService <BizSvc>	[0..1]	Text		23
	CreationDate <CreDt>	[1..1]	DateTime		23
	CopyDuplicate <CpyDplct>	[0..1]	CodeSet		23
	PossibleDuplicate <PssblDplct>	[0..1]	Indicator		24
	Priority <Prty>	[0..1]	CodeSet	C7	24
	Signature <Sgntr>	[0..1]	(External Schema)		25

2.4.14.1 CharacterSet <CharSet>

Presence: [0..1]

Definition: Contains the character set of the text-based elements used in the Business Message.

Impacted by: C6 "ValidationByTable"

Datatype: "UnicodeChartsCode" on page 846

Constraints

- **ValidationByTable**

2.4.14.2 From <Fr>

Presence: [1..1]

Definition: The sending MessagingEndpoint that has created this Business Message for the receiving MessagingEndpoint that will process this Business Message.

Note the sending MessagingEndpoint might be different from the sending address potentially contained in the transport header (as defined in the transport layer).

From <Fr> contains one of the following **Party44Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	OrganisationIdentification <OrgId>	[1..1]	±		21
Or}	FinancialInstitutionIdentification <FIId>	[1..1]	±		21

2.4.14.2.1 OrganisationIdentification <OrgId>

Presence: [1..1]

Definition: Identification of a person or an organisation.

OrganisationIdentification <OrgId> contains the following elements (see "PartyIdentification135" on page 738 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[0..1]	Text		739
	PostalAddress <PstlAdr>	[0..1]	±		739
	Identification <Id>	[0..1]	±		740
	CountryOfResidence <CtryOfRes>	[0..1]	CodeSet	C4	740
	ContactDetails <CtctDtls>	[0..1]	±		741

2.4.14.2.2 FinancialInstitutionIdentification <FIId>

Presence: [1..1]

Definition: Identification of a financial institution.

FinancialInstitutionIdentification <FIld> contains the following elements (see "[BranchAndFinancialInstitutionIdentification6](#)" on page 685 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	FinancialInstitutionIdentification <FinInstnId>	[1..1]	±		685
	BranchIdentification <BrnchId>	[0..1]	±		686

2.4.14.3 To <To>

Presence: [1..1]

Definition: The MessagingEndpoint designated by the sending MessagingEndpoint to be the recipient who will ultimately process this Business Message.

Note the receiving MessagingEndpoint might be different from the receiving address potentially contained in the transport header (as defined in the transport layer).

To <To> contains one of the following **Party44Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	OrganisationIdentification <OrgId>	[1..1]	±		22
Or}	FinancialInstitutionIdentification <FIld>	[1..1]	±		22

2.4.14.3.1 OrganisationIdentification <OrgId>

Presence: [1..1]

Definition: Identification of a person or an organisation.

OrganisationIdentification <OrgId> contains the following elements (see "[PartyIdentification135](#)" on page 738 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[0..1]	Text		739
	PostalAddress <PstlAdr>	[0..1]	±		739
	Identification <Id>	[0..1]	±		740
	CountryOfResidence <CtryOfRes>	[0..1]	CodeSet	C4	740
	ContactDetails <CtctDtls>	[0..1]	±		741

2.4.14.3.2 FinancialInstitutionIdentification <FIld>

Presence: [1..1]

Definition: Identification of a financial institution.

FinancialInstitutionIdentification <FIId> contains the following elements (see "BranchAndFinancialInstitutionIdentification6" on page 685 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	FinancialInstitutionIdentification <FinInstnId>	[1..1]	±		685
	BranchIdentification <BrnchId>	[0..1]	±		686

2.4.14.4 BusinessMessageIdentifier <BizMsgIdr>

Presence: [1..1]

Definition: Unambiguously identifies the Business Message to the MessagingEndpoint that has created the Business Message.

Datatype: "Max35Text" on page 853

2.4.14.5 MessageDefinitionIdentifier <MsgDefIdr>

Presence: [1..1]

Definition: Contains the MessageIdentifier that defines the BusinessMessage.

It must contain a MessageIdentifier published on the ISO 20022 website.

example camt.001.001.03.

Datatype: "Max35Text" on page 853

2.4.14.6 BusinessService <BizSvc>

Presence: [0..1]

Definition: Specifies the business service agreed between the two MessagingEndpoints under which rules this Business Message is exchanged.

To be used when there is a choice of processing services or processing service levels.

Example: E&I.

Datatype: "Max35Text" on page 853

2.4.14.7 CreationDate <CreDt>

Presence: [1..1]

Definition: Date and time when this Business Message (header) was created.

Note Times must be normalized, using the "Z" annotation.

Datatype: "ISODatetime" on page 846

2.4.14.8 CopyDuplicate <CpyDplct>

Presence: [0..1]

Definition: Indicates whether the message is a Copy, a Duplicate or a copy of a duplicate of a previously sent ISO 20022 Message.

Datatype: "CopyDuplicate1Code" on page 805

CodeName	Name	Definition
CODU	CopyDuplicate	Message is being sent as a copy to a party other than the account owner, for information purposes and the message is a duplicate of a message previously sent.
COPY	Copy	Message is being sent as a copy to a party other than the account owner, for information purposes.
DUPL	Duplicate	Message is for information/confirmation purposes. It is a duplicate of a message previously sent.

2.4.14.9 PossibleDuplicate <PssbIDplct>

Presence: [0..1]

Definition: Flag indicating if the Business Message exchanged between the MessagingEndpoints is possibly a duplicate.

If the receiving MessagingEndpoint did not receive the original, then this Business Message should be processed as if it were the original.

If the receiving MessagingEndpoint did receive the original, then it should perform necessary actions to avoid processing this Business Message again.

This will guarantee business idempotent behaviour.

NOTE: this is named "PossResend" in FIX - this is an application level resend not a network level retransmission.

Datatype: One of the following values must be used (see "YesNoIndicator" on page 850):

- *Meaning When True:* Yes
- *Meaning When False:* No

2.4.14.10 Priority <Prty>

Presence: [0..1]

Definition: Relative indication of the processing precedence of the message over a (set of) Business Messages with assigned priorities.

Impacted by: C7 "ValidationByTable"

Datatype: "BusinessMessagePriorityCode" on page 804

Constraints

- **ValidationByTable**

2.4.14.11 Signature <Sgntr>

Presence: [0..1]

Definition: Contains the digital signature of the Business Entity authorised to sign this Business Message.

Type: (External Schema)

The W3C XML Schema that specifies following standard signature:

XML Signature Syntax and Processing (Second Edition) W3C Recommendation 10 June 2008

<http://www.w3.org/TR/2008/REC-xmlsig-core-20080610/>.

3 **seev.009.001.01** **AgentCANotificationAdviceV01**

3.1 **MessageDefinition Functionality**

Scope

This message is sent by an issuer (or its agent) to a CSD to:

- Provide a CSD with the details of a corporate action along with the possible options available to the clients of that CSD; and
- to update a corporate action notification. A notification advice can be initially sent as a preliminary advice and subsequently replaced by another notification advice with updated information.

Usage

This message is used:

- to provide a CSD with the details of a corporate action along with the possible options available to the clients of that CSD. The information can be complete or incomplete.
- to update a corporate action notification advice. A notification advice can be initially sent as a preliminary advice and subsequently replaced by another notification advice with updated information. As per SMPG recommendation, all the information should be provided in the update, not only updated information.

An Agent Corporate Action Notification Status Advice is sent in reply to the Agent Corporate Action Notification Advice.

Note: The amendment of a corporate action notification is done through a replacement mechanism in line with both the ISO 15022 messages used in the flow between the CSD and its clients, and the ISO 20022 proxy voting messages.

Outline

The AgentCANotificationAdviceV01 MessageDefinition is composed of 8 MessageBuildingBlocks:

A. Identification

Identification assigned by the Sender to unambiguously identify the advice.

B. NotificationTypeAndLinkage

Provides information about the type of notification advice and linked message.

C. NotificationGeneralInformation

Provides general information about the notification advice.

D. CorporateActionGeneralInformation

General information about the corporate action event.

E. CorporateActionDetails

Provides details information about the CA event.

F. CorporateActionOptionDetails

Provides detailed information about the option of the CA event.

G. ContactDetails

Provides information about the contact responsible for the transaction identified in the message.

H. AdditionalInformation

Provides additional information.

3.2 Structure

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	Message root <Document> <AgtCANtfctnAdv>	[1..1]		C6	
	Identification <Id>	[1..1]	±		41
	NotificationTypeAndLinkage <NtfctnTpAndLkg>	[1..1]			41
	NotificationType <NtfctnTp>	[1..1]	CodeSet		42
	LinkedAgentCANotificationAdviceIdentification <LkdAgtCANtfctnAdvId>	[0..1]	±		42
	LinkageType <LkgTp>	[0..1]			42
{Or	Code <Cd>	[1..1]	CodeSet		43
Or}	Proprietary <Prtry>	[1..1]	±		43
	LinkedIssuerCorporateActionIdentification <LkdIssrCorpActnId>	[0..1]	Text		43
	LinkedCorporateActionProcessingIdentification <LkdCorpActnPrctnId>	[0..1]	Text		43
	NotificationGeneralInformation <NtfctnGnlInf>	[1..1]			44
	AnnouncementDate <AnncmntDt>	[0..1]	±		44
	FurtherDetailedAnnouncementDate <FrthrDtldAnncmntDt>	[0..1]	±		44
	OfficialAnnouncementPublicationDate <OffclAnncmntPblctnDt>	[0..1]	±		45
	ProcessingStatus <PrctnSts>	[1..1]			45
{Or	Code <Cd>	[1..1]	CodeSet		45
Or}	Proprietary <Prtry>	[1..1]	±		46
	CorporateActionGeneralInformation <CorpActnGnlInf>	[1..1]	±		46
	CorporateActionDetails <CorpActnDtls>	[1..1]			47
	EventStage <EvtStag>	[0..*]			52
{Or	Code <Cd>	[1..1]	CodeSet		52
Or}	Proprietary <Prtry>	[1..1]	±		53
	DefaultOptionType <DfltOptnTp>	[0..1]			53
{Or	Code <Cd>	[1..1]	CodeSet		53
Or}	Proprietary <Prtry>	[1..1]	±		54
	DefaultOptionNumber <DfltOptnNb>	[0..1]	Text		55
	CalculationMethod <ClctnMtd>	[0..1]			55
{Or	Code <Cd>	[1..1]	CodeSet		55

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
Or}	Proprietary <Prtry>	[1..1]	±		55
	BackEndOddLotSecuritiesQuantity <BckEndOddLotSciesQty>	[0..1]	±		56
	FrontEndOddLotSecuritiesQuantity <FrntEndOddLotSciesQty>	[0..1]	±		56
	MinimumExercisableSecuritiesQuantity <MinExrcblSciesQty>	[0..1]			56
{Or	Unit <Unit>	[1..1]	Quantity		57
Or}	FaceAmount <FaceAmt>	[1..1]	Amount	C1, C5	57
	MinimumExercisableMultipleSecuritiesQuantity <MinExrcblMltplSciesQty>	[0..1]			57
{Or	Unit <Unit>	[1..1]	Quantity		58
Or}	FaceAmount <FaceAmt>	[1..1]	Amount	C1, C5	58
	IncrementalDenomination <IncrmtlDnmtn>	[0..1]			58
{Or	Unit <Unit>	[1..1]	Quantity		58
Or}	FaceAmount <FaceAmt>	[1..1]	Amount	C1, C5	58
	NewDenominationSecuritiesQuantity <NewDnmtnSciesQty>	[0..1]			59
{Or	Unit <Unit>	[1..1]	Quantity		59
Or}	FaceAmount <FaceAmt>	[1..1]	Amount	C1, C5	59
	NewBoardLotSecuritiesQuantity <NewBrdLotSciesQty>	[0..1]			60
{Or	Unit <Unit>	[1..1]	Quantity		60
Or}	FaceAmount <FaceAmt>	[1..1]	Amount	C1, C5	60
	SecuritiesQuantitySought <SciesQtySght>	[0..1]	±		60
	BaseDenomination <BaseDnmtn>	[0..1]			61
{Or	Unit <Unit>	[1..1]	Quantity		61
Or}	FaceAmount <FaceAmt>	[1..1]	Amount	C1, C5	61
	ChangeType <ChngTp>	[0..*]			62
{Or	Code <Cd>	[1..1]	CodeSet		62
Or}	Proprietary <Prtry>	[1..1]	±		62
	OfferType <OfferTp>	[0..*]			63
{Or	Code <Cd>	[1..1]	CodeSet		63
Or}	Proprietary <Prtry>	[1..1]	±		64
	RestrictionIndicator <RstrctnInd>	[0..1]	Indicator		64
	PartialElectionIndicator <PrtlElctnInd>	[0..1]	Indicator		64

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	ElectionType <ElctnTp>	[0..1]			64
{Or	Code <Cd>	[1..1]	CodeSet		65
Or}	Proprietary <Prtry>	[1..1]	±		65
	LotteryType <LtryTp>	[0..1]			65
{Or	Code <Cd>	[1..1]	CodeSet		66
Or}	Proprietary <Prtry>	[1..1]	±		66
	IncomeType <IncmTp>	[0..1]	±		66
	DividendType <DvddTp>	[0..1]			66
{Or	Code <Cd>	[1..1]	CodeSet		67
Or}	Proprietary <Prtry>	[1..1]	±		67
	IntermediateSecuritiesDistributionType <IntrmdtSciesDstrbtp>	[0..1]			67
{Or	Code <Cd>	[1..1]	CodeSet		68
Or}	Proprietary <Prtry>	[1..1]	±		68
	CouponNumber <CpnNb>	[0..*]	Text		68
	InterestAccruedNumberOfDays <IntrstAcrdNbOfDays>	[0..1]	Quantity		69
	NewDenominationCurrency <NewDnmtnCcy>	[0..1]	CodeSet	C1	69
	DateDetails <DtDtls>	[0..1]			69
	RecordDate <RcrdDt>	[0..1]	±		70
	EffectiveDate <FctvDt>	[0..1]	±		71
	CoverExpirationDate <CoverXprtnDt>	[0..1]	±		71
	EqualisationDate <EqulstnDt>	[0..1]	±		71
	MarginFixingDate <MrgnFxdDt>	[0..1]	±		72
	LotteryDate <LtryDt>	[0..1]	±		72
	ProtectDate <PrctDt>	[0..1]	±		72
	UnconditionalDate <UcondlDt>	[0..1]	±		73
	WhollyUnconditionalDate <WhlyUcondlDt>	[0..1]	±		73
	ResultsPublicationDate <RsultsPblctnDt>	[0..1]	±		73
	CourtApprovalDate <CrtApprvlDt>	[0..1]	±		74
	EarlyClosingDate <EarlyClsgDt>	[0..1]	±		74
	ExDividendDate <ExDvddDt>	[0..1]	±		74
	IndexFixingDate <IndxFxdDt>	[0..1]	±		75

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	MaturityDate <MtrtyDt>	[0..1]	±		75
	TradingSuspendedDate <TradgSspdDt>	[0..1]	±		75
	CertificationDeadline <CertfctnDdln>	[0..1]	±		76
	RedemptionDate <RedDt>	[0..1]	±		76
	RegistrationDeadline <RegnDdln>	[0..1]	±		76
	ProrationDate <PrratnDt>	[0..1]	±		77
	DeadlineForTaxBreakdownInstruction <DdlnForTaxBrkdwNlnstr>	[0..1]	±		77
	LapsedDate <LpsdDt>	[0..1]	±		77
	GuaranteedParticipationDate <GrntedPrtpctnDt>	[0..1]	±		78
	ElectionToCounterpartyDeadline <ElctnToCtrPtyDdln>	[0..1]	±		78
	SpecialExDate <SpclExDt>	[0..1]	±		78
	PriceDetails <PricDtls>	[0..*]			79
	MaximumPrice <MaxPric>	[0..1]	±		79
	MinimumPrice <MinPric>	[0..1]	±		79
	PeriodDetails <PrdDtls>	[0..1]			80
	ActionPeriod <ActnPrd>	[0..1]	±		80
	CompulsoryPurchasePeriod <CmplsrPurchsPrd>	[0..1]	±		80
	InterestPeriod <IntrstPrd>	[0..1]	±		81
	BlockingPeriod <BlckgPrd>	[0..1]	±		81
	PriceCalculationPeriod <PricClctnPrd>	[0..1]	±		81
	RateAndAmountDetails <RateAndAmtDtls>	[0..1]			81
	Interest <Intrst>	[0..1]	±		82
	RelatedIndex <RltdIndx>	[0..1]	±		82
	PercentageSought <PctgSght>	[0..1]	±		83
	ReinvestmentDiscountToMarket <RinvstmtDscntToMkt>	[0..1]	±		83
	Spread <Sprd>	[0..1]	±		83
	BidInterval <BidIntrvl>	[0..1]			84
{Or	Amount <Amt>	[1..1]	Amount	C1, C5	84
Or}	NotSpecifiedRate <NotSpctdRate>	[1..1]			84
{Or	Code <Cd>	[1..1]	CodeSet		85
Or}	Proprietary <Prtry>	[1..1]	±		85

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	Charges <Chrgs>	[0..1]	±		85
	CorporateActionAdditionalInformation <CorpActnAddtlInf>	[0..1]			86
	InformationConditions <InfConds>	[0..1]	Text		86
	InformationToComplyWith <InfToCmplyWth>	[0..1]	Text		86
	TaxationConditions <TaxtnConds>	[0..1]	Text		86
	NewCompanyName <NewCpnyNm>	[0..1]	Text		86
	Offeror <Offerr>	[0..1]	±		86
	URLAddress <URLAdr>	[0..1]	Text		87
	AdditionalText <AddtlTxt>	[0..1]	Text		87
	CertificationRequiredIndicator <CertfctnReqrdInd>	[0..1]	Indicator		87
	CertificationType <CertfctnTp>	[0..1]			87
{Or	Code <Cd>	[1..1]	CodeSet		87
Or}	Proprietary <Prtry>	[1..1]	±		88
	CapitalGain <CptlGn>	[0..1]	CodeSet		88
	TaxableIncomePerShareCalculated <TaxblIncmPerShrClctd>	[0..1]	CodeSet		89
	NewPlaceOfIncorporation <NewPlcOfIncorprtn>	[0..1]	Text		89
	RenounceableEntitlementStatusType <RnnclEnttlmntStsTp>	[0..1]			89
{Or	Code <Cd>	[1..1]	CodeSet		89
Or}	Proprietary <Prtry>	[1..1]	±		90
	ConversionType <ConvsTp>	[0..1]			90
{Or	Code <Cd>	[1..1]	CodeSet		90
Or}	Proprietary <Prtry>	[1..1]	±		90
	RedemptionChargesAppliedIndicator <RedChrgsApldInd>	[0..1]	Indicator		91
	DistributionType <DstrbtnTp>	[0..1]			91
{Or	Code <Cd>	[1..1]	CodeSet		91
Or}	Proprietary <Prtry>	[1..1]	±		91
	CorporateActionOptionDetails <CorpActnOptnDtls>	[0..*]		C3, C7	91
	OptionNumber <OptnNb>	[1..1]	Text		100
	OptionType <OptnTp>	[1..1]			100
{Or	Code <Cd>	[1..1]	CodeSet		101
Or}	Proprietary <Prtry>	[1..1]	±		102

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	OptionAvailabilityStatus <OptnAvlbySts>	[1..1]			102
{Or	Code <Cd>	[1..1]	CodeSet		102
Or}	Proprietary <Prtry>	[1..1]	±		103
	CertificationIndicator <CertfctnInd>	[0..1]	Indicator		103
	CertificationType <CertfctnTp>	[0..1]			103
{Or	Code <Cd>	[1..1]	CodeSet		103
Or}	Proprietary <Prtry>	[1..1]	±		104
	AssentedLineSecurityIdentification <AssntdLineScytyld>	[0..1]	±		104
	AgentSecuritiesAccountIdentification <AgtScitiesAcctld>	[0..1]	Text		105
	AgentCashAccountIdentification <AgtCshAcctld>	[0..1]	±		105
	OfferType <OfferTp>	[0..*]			105
{Or	Code <Cd>	[1..1]	CodeSet		105
Or}	Proprietary <Prtry>	[1..1]	±		106
	IntermediateSecuritiesDistributionType <IntrmdtScitiesDstrbrtnTp>	[0..1]			106
{Or	Code <Cd>	[1..1]	CodeSet		107
Or}	Proprietary <Prtry>	[1..1]	±		107
	WithdrawalAllowedIndicator <WdrwlAllwdInd>	[1..1]	Indicator		108
	ChangeAllowedIndicator <ChngAllwdInd>	[1..1]	Indicator		108
	DateDetails <DtDtls>	[0..1]			108
	CouponClippingDate <CpnClpngDt>	[0..1]	±		109
	ConsentExpirationDate <CnsntXprtnDt>	[0..1]	±		109
	ConsentRecordDate <CnsntRcrdDt>	[0..1]	±		110
	PaymentDate <PmtDt>	[0..1]	±		110
	EarliestPaymentDate <EarlstPmtDt>	[0..1]	±		110
	MarketDeadline <MktDdln>	[0..1]	±		110
	ResponseDeadline <RspnDdln>	[0..1]	±		111
	DeadlineToSplit <DdlnToSpl>	[0..1]	±		111
	ExpiryDate <XpryDt>	[0..1]	±		111
	QuotationSettingDate <QtnSetngDt>	[0..1]	±		112
	SubscriptionCostDebitDate <SbcptCostDbtDt>	[0..1]	±		112
	RateAndAmountDetails <RateAndAmtDtls>	[0..1]			112

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	WithholdingTax <WhldgTax>	[0..1]	±		114
	WithholdingOfForeignTax <WhldgOfFrgnTax>	[0..1]	±		115
	WithholdingOfLocalTax <WhldgOfLclTax>	[0..1]	±		115
	GermanLocalTax1 <GrmnLclTax1>	[0..1]	±		115
	GermanLocalTax2 <GrmnLclTax2>	[0..1]	±		116
	GermanLocalTax3 <GrmnLclTax3>	[0..1]	±		116
	GermanLocalTax4 <GrmnLclTax4>	[0..1]	±		117
	TaxOnIncome <TaxOnIncm>	[0..1]	±		117
	TaxOnProfit <TaxOnPrft>	[0..1]	±		117
	TaxReclaim <TaxRclm>	[0..1]	±		118
	FiscalStamp <FscIStmp>	[0..1]	±		118
	Proration <Prratn>	[0..1]	±		118
	NewToOld <NewToOd>	[0..1]	±		118
	NewSecuritiesToUnderlyingSecurities <NewSciesToUndrlygScies>	[0..1]	±		119
	AdditionalQuantityForExistingSecurities <AddtlQtyForExstgScies>	[0..1]	±		119
	AdditionalQuantityForSubscribedResultantSecurities <AddtlQtyForSbcbdRsIltntScies>	[0..1]	±		120
	RelatedTax <RltdTax>	[0..1]			120
	TaxType <TaxTp>	[1..1]			120
{Or	Code <Cd>	[1..1]	CodeSet		121
Or}	Proprietary <Prtry>	[1..1]	±		121
	Amount <Amt>	[1..1]	Amount	C1, C5	121
	NonResidentRate <NonResdtRate>	[0..1]	±		122
	Charges <Chrgs>	[0..1]	±		122
	InterestForUsedPayment <IntrstForUsdPmt>	[0..1]	±		122
	IndexFactor <IndxFctr>	[0..1]	±		123
	FullyFranked <FullyFrnkcd>	[0..1]	±		123
	GrossDividend <GrssDvdd>	[0..1]	±		123
	NetDividend <NetDvdd>	[0..1]	±		124
	FinalDividend <FnIDvdd>	[0..1]			124
{Or	Amount <Amt>	[1..1]	Amount	C1, C5	124

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
Or}	NotSpecifiedRate <NotSpctdRate>	[1..1]			125
{Or	Code <Cd>	[1..1]	CodeSet		125
Or}	Proprietary <Prtry>	[1..1]	±		125
	ProvisionalDividend <PrvsnlDvdd>	[0..1]			126
{Or	Amount <Amt>	[1..1]	Amount	C1, C5	126
Or}	NotSpecifiedRate <NotSpctdRate>	[1..1]			126
{Or	Code <Cd>	[1..1]	CodeSet		127
Or}	Proprietary <Prtry>	[1..1]	±		127
	CashIncentive <CshIncntiv>	[0..1]	±		127
	SolicitationFee <SlctnFee>	[0..1]	±		128
	MaximumAllowedOverSubscription <MaxAllwdOvrsbcpt>	[0..1]	±		128
	AdditionalTax <AddtlTax>	[0..1]	±		128
	OriginalAmount <OrgnlAmt>	[0..1]	Amount	C1, C5	129
	ExchangeRate <XchgRate>	[0..1]			129
	UnitCurrency <UnitCcy>	[1..1]	CodeSet	C1	129
	QuotedCurrency <QtdCcy>	[1..1]	CodeSet	C1	130
	ExchangeRate <XchgRate>	[1..1]	Rate		130
	ResultingAmount <RsltgAmt>	[1..1]	Amount	C1, C5	130
	ApplicableRate <AplblRate>	[0..1]	±		130
	PriceDetails <PricDtls>	[0..1]			131
	ExercisePrice <ExrcPric>	[0..1]	±		131
	IssuePrice <IssePric>	[0..1]	±		132
	CashInLieuOfSharePrice <CshInLieuOfShrPric>	[0..1]	±		132
	TaxableIncomePerDividendShare <TaxblIncmPerDvddShr>	[0..1]			133
	AmountPriceType <AmtPricTp>	[1..1]			133
{Or	Code <Cd>	[1..1]	CodeSet		133
Or}	Proprietary <Prtry>	[1..1]	±		134
	PriceValue <PricVal>	[1..1]	Amount	C1	134
	GenericCashPriceReceivedPerProduct <GncCshPricRcvdPerPdct>	[0..1]	±		134
	GenericCashPricePaidPerProduct <GncCshPricPdPerPdct>	[0..1]	±		135
	OverSubscriptionDepositPrice <OverSbcptDpstPric>	[0..1]	±		136

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	PeriodDetails <PrdDtls>	[0..1]			136
	AssentedLinePeriod <AssntdLinePrd>	[0..1]	±		137
	ActionPeriod <ActnPrd>	[0..1]	±		137
	PrivilegeSuspensionPeriod <PrvlgSspnsnPrd>	[0..1]	±		137
	ParallelTradingPeriod <ParllTradgPrd>	[0..1]	±		138
	SellThruIssuerPeriod <SellThrulssrPrd>	[0..1]	±		138
	RevocabilityPeriod <RvcbltyPrd>	[0..1]	±		138
	PriceCalculationPeriod <PricClctnPrd>	[0..1]	±		138
	SecuritiesMovementDetails <SctiesMvmntDtls>	[0..*]			139
	SecurityIdentification <Sctyld>	[1..1]	±		141
	CreditDebitIndicator <CdtDbtInd>	[1..1]	CodeSet		141
	SecuritiesQuantity <SctiesQty>	[0..1]			141
{Or	Unit <Unit>	[1..1]	Quantity		142
Or}	FaceAmount <FaceAmt>	[1..1]	Amount	C1, C5	142
	MinimumExercisableSecuritiesQuantity <MinExrcblSctiesQty>	[0..1]			142
{Or	Unit <Unit>	[1..1]	Quantity		142
Or}	FaceAmount <FaceAmt>	[1..1]	Amount	C1, C5	143
	MinimumExercisableMultipleSecuritiesQuantity <MinExrcblMltplSctiesQty>	[0..1]			143
{Or	Unit <Unit>	[1..1]	Quantity		143
Or}	FaceAmount <FaceAmt>	[1..1]	Amount	C1, C5	143
	NewDenominationSecuritiesQuantity <NewDnmtnSctiesQty>	[0..1]			144
{Or	Unit <Unit>	[1..1]	Quantity		144
Or}	FaceAmount <FaceAmt>	[1..1]	Amount	C1, C5	144
	NewBoardLotSecuritiesQuantity <NewBrdLotSctiesQty>	[0..1]			145
{Or	Unit <Unit>	[1..1]	Quantity		145
Or}	FaceAmount <FaceAmt>	[1..1]	Amount	C1, C5	145
	ShareRanking <ShrRnkg>	[0..1]			145
{Or	Code <Cd>	[1..1]	CodeSet		146
Or}	Proprietary <Prtry>	[1..1]	±		146
	AdditionalQuantityForSubscribedResultantSecurities <AddtlQtyForSbcdbRslnstScties>	[0..1]	±		146

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	DateDetails <DtDtls>	[0..1]			146
	PaymentDate <PmtDt>	[0..1]	±		147
	AvailableDate <AvlblDt>	[0..1]	±		147
	DividendRankingDate <DvddRnkgDt>	[0..1]	±		147
	PariPassuDate <PrpssDt>	[0..1]	±		148
	FirstDealingDate <FrstDealgDt>	[0..1]	±		148
	EarliestPaymentDate <EarlstPmtDt>	[0..1]	±		148
	PriceDetails <PricDtls>	[0..1]			149
	IndicativePrice <IndctvPric>	[0..1]	±		149
	MarketPrice <MktPric>	[0..1]	±		149
	TradingPeriod <TradgPrd>	[0..1]	±		150
	AdditionalQuantityForExistingSecurities <AddtlQtyForExstgScities>	[0..1]	±		150
	TemporaryFinancialInstrumentIndicator <TempFinInstrmInd>	[0..1]	Indicator		150
	FractionDisposition <FrctnDspstn>	[0..1]			151
{Or	Code <Cd>	[1..1]	CodeSet		151
Or}	Proprietary <Prtry>	[1..1]	±		151
	CashMovementDetails <CshMvmntDtls>	[0..*]			152
	CreditDebitIndicator <CdtDbtInd>	[1..1]	CodeSet		155
	Currency <Ccy>	[0..1]	CodeSet	C1	155
	DateDetails <DtDtls>	[0..1]			155
	ForeignExchangeRateFixingDate <FXRateFvgDt>	[0..1]	±		155
	ValueDate <ValDt>	[0..1]	±		156
	PaymentDate <PmtDt>	[0..1]	±		156
	EarliestPaymentDate <EarlstPmtDt>	[0..1]	±		156
	AmountDetails <AmtDtls>	[0..1]			157
	GrossCashAmount <GrssCshAmt>	[0..1]	Amount	C1, C5	159
	NetCashAmount <NetCshAmt>	[0..1]	Amount	C1, C5	160
	IssueDiscountAmount <IsseDscntAmt>	[0..1]	Amount	C1, C5	160
	SolicitationFees <SlctnFees>	[0..1]	Amount	C1, C5	160
	CashInLieuOfShare <CshInLieuOfShr>	[0..1]	Amount	C1, C5	161
	OriginalAmount <OrgnlAmt>	[0..1]	Amount	C1, C5	161

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	CapitalGain <CptlGn>	[0..1]	Amount	C1, C5	162
	InterestAmount <IntrstAmt>	[0..1]	Amount	C1, C5	162
	IndemnityAmount <IndmntyAmt>	[0..1]	Amount	C1, C5	162
	RedemptionPremiumAmount <RedPrmAmt>	[0..1]	Amount	C1, C5	163
	ManufacturedDividendAmount <ManfctrdDvddAmt>	[0..1]	Amount	C1, C5	163
	PrincipalOrCorpus <PrncplOrCrps>	[0..1]	Amount	C1, C5	164
	ReinvestmentAmount <RinvstmtAmt>	[0..1]	Amount	C1, C5	164
	MarketClaimAmount <MktClmAmt>	[0..1]	Amount	C1, C5	165
	FullyFrankedAmount <FullyFrnkAmt>	[0..1]	Amount	C1, C5	165
	UnfrankedAmount <UfrnkAmt>	[0..1]	Amount	C1, C5	165
	SundryOrOtherAmount <SndryOrOthrAmt>	[0..1]	Amount	C1, C5	166
	SpecialConcessionAmount <SpclCncssnAmt>	[0..1]	Amount	C1, C5	166
	EntitledAmount <EntitldAmt>	[0..1]	Amount	C1, C5	167
	CashIncentive <CshIncntiv>	[0..1]	Amount	C1, C5	167
	AdditionalSubscriptionCost <AddtlSbcptCost>	[0..1]	Amount	C1, C5	167
	TaxFreeAmount <TaxFreeAmt>	[0..1]	Amount	C1, C5	168
	TaxDeferredAmount <TaxDfrrdAmt>	[0..1]	Amount	C1, C5	168
	GermanLocalTax1Amount <GrmnLclTax1Amt>	[0..1]	Amount	C1, C5	169
	GermanLocalTax2Amount <GrmnLclTax2Amt>	[0..1]	Amount	C1, C5	169
	GermanLocalTax3Amount <GrmnLclTax3Amt>	[0..1]	Amount	C1, C5	169
	GermanLocalTax4Amount <GrmnLclTax4Amt>	[0..1]	Amount	C1, C5	170
	StockExchangeTaxAmount <StockXchgTaxAmt>	[0..1]	Amount	C1, C5	170
	TransferTaxAmount <TrfTaxAmt>	[0..1]	Amount	C1, C5	171
	TransactionTaxAmount <TxTaxAmt>	[0..1]	Amount	C1, C5	171
	ValueAddedTaxAmount <ValAddedTaxAmt>	[0..1]	Amount	C1, C5	171
	EURRetentionTaxAmount <EURtntnTaxAmt>	[0..1]	Amount	C1, C5	172
	LocalTaxAmount <LclTaxAmt>	[0..1]	Amount	C1, C5	172
	PaymentLevyTaxAmount <PmtLevyTaxAmt>	[0..1]	Amount	C1, C5	173
	CountryNationalFederalTaxAmount <CtryNtlFdrlTaxAmt>	[0..1]	Amount	C1, C5	173
	StampDutyAmount <StmpDtyAmt>	[0..1]	Amount	C1, C5	173
	TaxReclaimAmount <TaxRclmAmt>	[0..1]	Amount	C1, C5	174

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	TaxCreditAmount <TaxCdtAmt>	[0..1]	Amount	C1, C5	174
	WithholdingOfForeignTaxAmount <WhldgOfFrgnTaxAmt>	[0..1]	Amount	C1, C5	175
	WithholdingOfLocalTaxAmount <WhldgOfLclTaxAmt>	[0..1]	Amount	C1, C5	175
	AdditionalTaxAmount <AddtlTaxAmt>	[0..1]	Amount	C1, C5	175
	WithholdingTaxAmount <WhldgTaxAmt>	[0..1]	Amount	C1, C5	176
	FiscalStampAmount <FscIStmpAmt>	[0..1]	Amount	C1, C5	176
	ExecutingBrokerAmount <ExctgBrkrAmt>	[0..1]	Amount	C1, C5	177
	PayingAgentCommissionAmount <PngAgtComssnAmt>	[0..1]	Amount	C1, C5	177
	LocalBrokerCommissionAmount <LclBrkrComssnAmt>	[0..1]	Amount	C1, C5	177
	PostageFeeAmount <PstgFeeAmt>	[0..1]	Amount	C1, C5	178
	RegulatoryFeesAmount <RgltryFeesAmt>	[0..1]	Amount	C1, C5	178
	ShippingFeesAmount <ShppgFeesAmt>	[0..1]	Amount	C1, C5	179
	ChargesAmount <ChrgsAmt>	[0..1]	Amount	C1, C5	179
	ExchangeRate <XchgRate>	[0..1]			179
	UnitCurrency <UnitCcy>	[1..1]	CodeSet	C1	180
	QuotedCurrency <QtdCcy>	[1..1]	CodeSet	C1	180
	ExchangeRate <XchgRate>	[1..1]	Rate		180
	ResultingAmount <RsltgAmt>	[1..1]	Amount	C1, C5	181
	CorporateActionOtherAgentDetails <CorpActnOthrAgtDtIs>	[0..*]			181
	AgentIdentification <AgtId>	[1..1]	±		181
	AgentRole <AgtRole>	[1..1]			182
{Or	Code <Cd>	[1..1]	CodeSet		182
Or}	Proprietary <Prtry>	[1..1]	±		182
	ContactPerson <CtctPrsn>	[0..1]	±		183
	FractionDisposition <FrctnDspstn>	[0..1]			183
{Or	Code <Cd>	[1..1]	CodeSet		183
Or}	Proprietary <Prtry>	[1..1]	±		183
	RedemptionChargesAppliedIndicator <RedChrgsApldInd>	[0..1]	Indicator		184
	OptionFeatures <OptnFeatrs>	[0..*]			184
{Or	Code <Cd>	[1..1]	CodeSet		184
Or}	Proprietary <Prtry>	[1..1]	±		185

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	CorporateActionAdditionalInformation <CorpActnAddtlInf>	[0..1]			185
	InformationConditions <InfConds>	[0..1]	Text		186
	InformationToComplyWith <InfToCmplyWth>	[0..1]	Text		186
	TaxationConditions <TaxtnConds>	[0..1]	Text		186
	NewCompanyName <NewCpnyNm>	[0..1]	Text		186
	Offeror <Offerr>	[0..1]	±		186
	URLAddress <URLAdr>	[0..1]	Text		186
	AdditionalText <AddtlTxt>	[0..1]	Text		186
	ContactDetails <CtctDtls>	[0..*]	±		187
	AdditionalInformation <AddtlInf>	[0..1]			187
	InformationConditions <InfConds>	[0..1]	Text		187
	InformationToComplyWith <InfToCmplyWth>	[0..1]	Text		187
	TaxationConditions <TaxtnConds>	[0..1]	Text		188
	DeclarationDetails <DclrtDtls>	[0..1]	Text		188
	RegistrationDetails <RegnDtls>	[0..1]	Text		188
	AdditionalText <AddtlTxt>	[0..1]	Text		188

3.3 Constraints

C1 ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

C2 AnyBIC

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered by the ISO 9362 Registration Authority in the BIC directory, and consists of eight (8) or eleven (11) contiguous characters.

C3 ChangeAllowedRule

If the value of ChangeAllowedIndicator is true, then PerdioDetails/RevocabilityPeriod is Mandatory.

C4 Country

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

C5 CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

C6 **DefaultOptionRule**

If the value of CorporateActionGeneralInformation/MandatoryVoluntaryEventType is Mandatory, then CorporateActionDetails/DefaultOptionNumber and CorporateActionDetails/DefaultOptionType must be present.

This constraint is defined at the MessageDefinition level.

C7 **WithdrawalAllowedRule**

If the value of WithdrawalAllowedIndicator is true, then PeriodDetails/RevocabilityPeriod is Mandatory.

3.4 **Message Building Blocks**

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

3.4.1 **Identification <Id>**

Presence: [1..1]

Definition: Identification assigned by the Sender to unambiguously identify the advice.

Identification <Id> contains the following elements (see ["DocumentIdentification8"](#) on page 690 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		690
	CreationDateTime <CreDtTm>	[0..1]	DateTime		690

3.4.2 **NotificationTypeAndLinkage <NtfctnTpAndLkg>**

Presence: [1..1]

Definition: Provides information about the type of notification advice and linked message.

NotificationTypeAndLinkage <NtfctnTpAndLkg> contains the following **LinkedCorporateAction1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NotificationType <NtfctnTp>	[1..1]	CodeSet		42
	LinkedAgentCANotificationAdviceIdentification <LkdAgtCANtfctnAdvclId>	[0..1]	±		42
	LinkageType <LkgTp>	[0..1]			42
{Or	Code <Cd>	[1..1]	CodeSet		43
Or}	Proprietary <Prtry>	[1..1]	±		43
	LinkedIssuerCorporateActionIdentification <LkdIssrCorpActnId>	[0..1]	Text		43
	LinkedCorporateActionProcessingIdentification <LkdCorpActnPrctlId>	[0..1]	Text		43

3.4.2.1 NotificationType <NtfctnTp>

Presence: [1..1]

Definition: The function of the notification e.g. new notification.

Datatype: "CorporateActionNotificationType1Code" on page 820

CodeName	Name	Definition
NEWM	New	New notification.
REPL	Replacement	Notification replacing a previously sent notification.
RMDR	Reminder	Notification sent as a reminder of an event taking place.

3.4.2.2 LinkedAgentCANotificationAdviceIdentification <LkdAgtCANtfctnAdvclId>

Presence: [0..1]

Definition: The identification of the linked notification advice.

LinkedAgentCANotificationAdviceIdentification <LkdAgtCANtfctnAdvclId> contains the following elements (see "DocumentIdentification8" on page 690 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		690
	CreationDateTime <CreDtTm>	[0..1]	DateTime		690

3.4.2.3 LinkageType <LkgTp>

Presence: [0..1]

Definition: Specifies when the instruction is to be executed relative to a linked instruction.

LinkageType <LkgTp> contains one of the following **ProcessingPosition2FormatChoice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		43
Or}	Proprietary <Prtry>	[1..1]	±		43

3.4.2.3.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the processing position.

Datatype: "ProcessingPosition2Code" on page 834

CodeName	Name	Definition
AFTE	After	Specifies that the transaction/instruction is to be executed after the linked transaction/instruction.
WITH	With	Specifies that the transaction/instruction is to be executed with the linked transaction/instruction.
BEFO	Before	Specifies that the transaction/instruction is to be executed before the linked transaction/instruction.
INFO	Information	Specifies that the transactions/instructions are linked for information purposes only.

3.4.2.3.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary code to express the processing position.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification13](#)" on page 693 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		693
	SchemeName <SchmeNm>	[0..1]	Text		693
	Issuer <Issr>	[1..1]	Text		693

3.4.2.4 LinkedIssuerCorporateActionIdentification <LkdIssrCorpActnId>

Presence: [0..1]

Definition: Reference given to the linked event by the CA event issuer (agent).

Datatype: "Max35Text" on page 853

3.4.2.5 LinkedCorporateActionProcessingIdentification <LkdCorpActnPrcgId>

Presence: [0..1]

Definition: Reference assigned by the CSD to the linked corporate event.

Datatype: "Max35Text" on page 853

3.4.3 NotificationGeneralInformation <NtfctnGnlInf>

Presence: [1..1]

Definition: Provides general information about the notification advice.

NotificationGeneralInformation <NtfctnGnlInf> contains the following **CorporateActionNotification1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AnnouncementDate <AnncmntDt>	[0..1]	±		44
	FurtherDetailedAnnouncementDate <FrthrDtldAnncmntDt>	[0..1]	±		44
	OfficialAnnouncementPublicationDate <OffclAnncmntPblctnDt>	[0..1]	±		45
	ProcessingStatus <PrcgSts>	[1..1]			45
{Or	Code <Cd>	[1..1]	CodeSet		45
Or}	Proprietary <Prtry>	[1..1]	±		46

3.4.3.1 AnnouncementDate <AnncmntDt>

Presence: [0..1]

Definition: Date/time at which the issuer announced that a corporate action event will occur.

AnnouncementDate <AnncmntDt> contains one of the following elements (see "DateFormat4Choice" on page 678 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		679
Or	NotSpecifiedDate <NotSpcfdDt>	[1..1]	CodeSet		679
Or}	Proprietary <Prtry>	[1..1]	±		679

3.4.3.2 FurtherDetailedAnnouncementDate <FrthrDtldAnncmntDt>

Presence: [0..1]

Definition: Date/time at which additional information on the event will be announced, eg, exchange ratio announcement date.

FurtherDetailedAnnouncementDate <FrthrDtIdAnnmcmtDt> contains one of the following elements (see "DateFormat4Choice" on page 678 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		679
Or	NotSpecifiedDate <NotSpcfdDt>	[1..1]	CodeSet		679
Or}	Proprietary <Prtry>	[1..1]	±		679

3.4.3.3 OfficialAnnouncementPublicationDate <OffclAnnmcmtPblctnDt>

Presence: [0..1]

Definition: Date/time at which the corporate action is legally announced by an official body, eg, publication by a governmental administration.

OfficialAnnouncementPublicationDate <OffclAnnmcmtPblctnDt> contains one of the following elements (see "DateFormat4Choice" on page 678 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		679
Or	NotSpecifiedDate <NotSpcfdDt>	[1..1]	CodeSet		679
Or}	Proprietary <Prtry>	[1..1]	±		679

3.4.3.4 ProcessingStatus <PrcgSts>

Presence: [1..1]

Definition: Specifies the status of the details of the event.

ProcessingStatus <PrcgSts> contains one of the following **ProcessingStatus1FormatChoice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		45
Or}	Proprietary <Prtry>	[1..1]	±		46

3.4.3.4.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the processing status.

Datatype: "ProcessingStatus1Code" on page 834

CodeName	Name	Definition
COMP	Complete	Message contains complete details of the corporate action event at the time the event is communicated and the occurrence of the event has been confirmed by the account servicer's chosen official source. Further updates to the event are still possible.

CodeName	Name	Definition
PREC	PreliminaryConfirmed	Message may not contain complete details of the corporate action event, however, the occurrence of the event is confirmed.
PREU	PreliminaryUnconfirmed	Message may not contain complete details of the corporate action event and the occurrence of the event is not yet confirmed.
INFO	ForInformationOnly	The message is for information only.

3.4.3.4.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary code to express the processing status.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification13](#)" on page 693 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		693
	SchemeName <SchmeNm>	[0..1]	Text		693
	Issuer <Issr>	[1..1]	Text		693

3.4.4 CorporateActionGeneralInformation <CorpActnGnlInf>

Presence: [1..1]

Definition: General information about the corporate action event.

CorporateActionGeneralInformation <CorpActnGnllnf> contains the following elements (see "CorporateActionInformation2" on page 723 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AgentIdentification <AgtId>	[1..1]	±		723
	IssuerCorporateActionIdentification <IssrCorpActnId>	[1..1]	Text		724
	CorporateActionProcessingIdentification <CorpActnPrvgId>	[0..1]	Text		724
	EventType <EvtTp>	[1..1]			724
{Or	Code <Cd>	[1..1]	CodeSet		724
Or}	Proprietary <Prtry>	[1..1]	±		730
	EventProcessingType <EvtPrvgTp>	[0..1]			730
{Or	Code <Cd>	[1..1]	CodeSet		731
Or}	Proprietary <Prtry>	[1..1]	±		731
	MandatoryVoluntaryEventType <MndtryVlntryEvtTp>	[1..1]			731
{Or	Code <Cd>	[1..1]	CodeSet		732
Or}	Proprietary <Prtry>	[1..1]	±		732
	UnderlyingSecurity <UndrlygScty>	[1..1]	±		732
	OtherUnderlyingSecurity <OthrUndrlygScty>	[0..*]	±		733

3.4.5 CorporateActionDetails <CorpActnDtls>

Presence: [1..1]

Definition: Provides details information about the CA event.

CorporateActionDetails <CorpActnDtls> contains the following **CorporateAction2** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	EventStage <EvtStag>	[0..*]			52
{Or	Code <Cd>	[1..1]	CodeSet		52
Or}	Proprietary <Prtry>	[1..1]	±		53
	DefaultOptionType <DfltOptnTp>	[0..1]			53
{Or	Code <Cd>	[1..1]	CodeSet		53
Or}	Proprietary <Prtry>	[1..1]	±		54
	DefaultOptionNumber <DfltOptnNb>	[0..1]	Text		55
	CalculationMethod <ClctnMtd>	[0..1]			55
{Or	Code <Cd>	[1..1]	CodeSet		55
Or}	Proprietary <Prtry>	[1..1]	±		55
	BackEndOddLotSecuritiesQuantity <BckEndOddLotSciesQty>	[0..1]	±		56
	FrontEndOddLotSecuritiesQuantity <FrntEndOddLotSciesQty>	[0..1]	±		56
	MinimumExercisableSecuritiesQuantity <MinExrcblSciesQty>	[0..1]			56
{Or	Unit <Unit>	[1..1]	Quantity		57
Or}	FaceAmount <FaceAmt>	[1..1]	Amount	C1, C5	57
	MinimumExercisableMultipleSecuritiesQuantity <MinExrcblMltplSciesQty>	[0..1]			57
{Or	Unit <Unit>	[1..1]	Quantity		58
Or}	FaceAmount <FaceAmt>	[1..1]	Amount	C1, C5	58
	IncrementalDenomination <IncrmtlDnmtn>	[0..1]			58
{Or	Unit <Unit>	[1..1]	Quantity		58
Or}	FaceAmount <FaceAmt>	[1..1]	Amount	C1, C5	58
	NewDenominationSecuritiesQuantity <NewDnmtnSciesQty>	[0..1]			59
{Or	Unit <Unit>	[1..1]	Quantity		59
Or}	FaceAmount <FaceAmt>	[1..1]	Amount	C1, C5	59
	NewBoardLotSecuritiesQuantity <NewBrdLotSciesQty>	[0..1]			60
{Or	Unit <Unit>	[1..1]	Quantity		60
Or}	FaceAmount <FaceAmt>	[1..1]	Amount	C1, C5	60
	SecuritiesQuantitySought <SciesQtySght>	[0..1]	±		60

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	BaseDenomination <BaseDnmtn>	[0..1]			61
{Or	Unit <Unit>	[1..1]	Quantity		61
Or}	FaceAmount <FaceAmt>	[1..1]	Amount	C1, C5	61
	ChangeType <ChngTp>	[0..*]			62
{Or	Code <Cd>	[1..1]	CodeSet		62
Or}	Proprietary <Prtry>	[1..1]	±		62
	OfferType <OfferTp>	[0..*]			63
{Or	Code <Cd>	[1..1]	CodeSet		63
Or}	Proprietary <Prtry>	[1..1]	±		64
	RestrictionIndicator <RstrctnInd>	[0..1]	Indicator		64
	PartialElectionIndicator <PrtlElctnInd>	[0..1]	Indicator		64
	ElectionType <ElctnTp>	[0..1]			64
{Or	Code <Cd>	[1..1]	CodeSet		65
Or}	Proprietary <Prtry>	[1..1]	±		65
	LotteryType <LtryTp>	[0..1]			65
{Or	Code <Cd>	[1..1]	CodeSet		66
Or}	Proprietary <Prtry>	[1..1]	±		66
	IncomeType <IncmTp>	[0..1]	±		66
	DividendType <DvddTp>	[0..1]			66
{Or	Code <Cd>	[1..1]	CodeSet		67
Or}	Proprietary <Prtry>	[1..1]	±		67
	IntermediateSecuritiesDistributionType <IntrmdtSciesDstrbtnTp>	[0..1]			67
{Or	Code <Cd>	[1..1]	CodeSet		68
Or}	Proprietary <Prtry>	[1..1]	±		68
	CouponNumber <CpnNb>	[0..*]	Text		68
	InterestAccruedNumberOfDays <IntrstAcrdNbOfDays>	[0..1]	Quantity		69
	NewDenominationCurrency <NewDnmtnCcy>	[0..1]	CodeSet	C1	69
	DateDetails <DtDtls>	[0..1]			69
	RecordDate <RcrdDt>	[0..1]	±		70
	EffectiveDate <FctvDt>	[0..1]	±		71
	CoverExpirationDate <CoverXprtnDt>	[0..1]	±		71

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	EqualisationDate <EqulstnDt>	[0..1]	±		71
	MarginFixingDate <MrgnFxdDt>	[0..1]	±		72
	LotteryDate <LtryDt>	[0..1]	±		72
	ProtectDate <PrctDt>	[0..1]	±		72
	UnconditionalDate <UcondlDt>	[0..1]	±		73
	WhollyUnconditionalDate <WhlyUcondlDt>	[0..1]	±		73
	ResultsPublicationDate <RsltsPblctnDt>	[0..1]	±		73
	CourtApprovalDate <CrtApprvlDt>	[0..1]	±		74
	EarlyClosingDate <EarlyClsgDt>	[0..1]	±		74
	ExDividendDate <ExDvddDt>	[0..1]	±		74
	IndexFixingDate <IndxFxdDt>	[0..1]	±		75
	MaturityDate <MtrtyDt>	[0..1]	±		75
	TradingSuspendedDate <TradgSspdDt>	[0..1]	±		75
	CertificationDeadline <CertfctnDdln>	[0..1]	±		76
	RedemptionDate <RedDt>	[0..1]	±		76
	RegistrationDeadline <RegnDdln>	[0..1]	±		76
	ProrationDate <PrratnDt>	[0..1]	±		77
	DeadlineForTaxBreakdownInstruction <DdlnForTaxBrkdwnInstr>	[0..1]	±		77
	LapsedDate <LpsdDt>	[0..1]	±		77
	GuaranteedParticipationDate <GrntedPrtpctnDt>	[0..1]	±		78
	ElectionToCounterpartyDeadline <ElctnToCtrPtyDdln>	[0..1]	±		78
	SpecialExDate <SpclExDt>	[0..1]	±		78
	PriceDetails <PricDtls>	[0..*]			79
	MaximumPrice <MaxPric>	[0..1]	±		79
	MinimumPrice <MinPric>	[0..1]	±		79
	PeriodDetails <PrdDtls>	[0..1]			80
	ActionPeriod <ActnPrd>	[0..1]	±		80
	CompulsoryPurchasePeriod <CmplsryPurchsPrd>	[0..1]	±		80
	InterestPeriod <IntrstPrd>	[0..1]	±		81
	BlockingPeriod <BlckgPrd>	[0..1]	±		81
	PriceCalculationPeriod <PricClctnPrd>	[0..1]	±		81

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	RateAndAmountDetails <RateAndAmtDtls>	[0..1]			81
	Interest <Intrst>	[0..1]	±		82
	RelatedIndex <RltdIndx>	[0..1]	±		82
	PercentageSought <PctgSght>	[0..1]	±		83
	ReinvestmentDiscountToMarket <RinvstmtDscntToMkt>	[0..1]	±		83
	Spread <Sprd>	[0..1]	±		83
	BidInterval <BidIntrvl>	[0..1]			84
{Or	Amount <Amt>	[1..1]	Amount	C1, C5	84
Or}	NotSpecifiedRate <NotSpctdRate>	[1..1]			84
{Or	Code <Cd>	[1..1]	CodeSet		85
Or}	Proprietary <Prtry>	[1..1]	±		85
	Charges <Chrgs>	[0..1]	±		85
	CorporateActionAdditionalInformation <CorpActnAddtlInf>	[0..1]			86
	InformationConditions <InfConds>	[0..1]	Text		86
	InformationToComplyWith <InfToCmplyWth>	[0..1]	Text		86
	TaxationConditions <TaxtnConds>	[0..1]	Text		86
	NewCompanyName <NewCpnyNm>	[0..1]	Text		86
	Offeror <Offer>	[0..1]	±		86
	URLAddress <URLAdr>	[0..1]	Text		87
	AdditionalText <AddtlTxt>	[0..1]	Text		87
	CertificationRequiredIndicator <CertfctnReqrdInd>	[0..1]	Indicator		87
	CertificationType <CertfctnTp>	[0..1]			87
{Or	Code <Cd>	[1..1]	CodeSet		87
Or}	Proprietary <Prtry>	[1..1]	±		88
	CapitalGain <CptlGn>	[0..1]	CodeSet		88
	TaxableIncomePerShareCalculated <TaxblIncmPerShrClctd>	[0..1]	CodeSet		89
	NewPlaceOfIncorporation <NewPlcOfIncorprtn>	[0..1]	Text		89
	RenounceableEntitlementStatusType <RnnclEntitlmntStsTp>	[0..1]			89
{Or	Code <Cd>	[1..1]	CodeSet		89
Or}	Proprietary <Prtry>	[1..1]	±		90

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ConversionType <ConvTp>	[0..1]			90
{Or	Code <Cd>	[1..1]	CodeSet		90
Or}	Proprietary <Prtry>	[1..1]	±		90
	RedemptionChargesAppliedIndicator <RedChrgsAppldInd>	[0..1]	Indicator		91
	DistributionType <DstrbtnTp>	[0..1]			91
{Or	Code <Cd>	[1..1]	CodeSet		91
Or}	Proprietary <Prtry>	[1..1]	±		91

3.4.5.1 EventStage <EvtStag>

Presence: [0..*]

Definition: Stage in the corporate action event life cycle.

EventStage <EvtStag> contains one of the following **CorporateActionEventStage1FormatChoice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		52
Or}	Proprietary <Prtry>	[1..1]	±		53

3.4.5.1.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the stage of the CA event.

Datatype: "CorporateActionEventStage1Code" on page 807

CodeName	Name	Definition
APPD	Approved	Approved at the relevant meeting, eg, dividend.
CLDE	Deactivated	The offer is closed for acceptance.
PWAL	ActionPeriod	Open for acceptance until the next specified deadline in the announcement (if any).
SUAP	SubjectToApproval	Subject to approval at the relevant meeting, eg, dividend.
UNAC	UnconditionalAsToAcceptance	The required level of acceptances specified in the terms of the offer has been achieved but there are still outstanding conditions to fulfil.
WHOU	WhollyUnconditional	Wholly unconditional; all conditions specified in the offer document have been satisfied.

CodeName	Name	Definition
FULL	FullReversalLotteryNotification	An original or supplemental lottery is being reversed.
LAPS	Lapsed	The event/offer conditions have not been met and the event/offer is terminated or lapsed.
PART	PartialReversalLotteryNotification	Original call amount is reduced by the agent. The original announcement is cancelled and a new original lottery is issued.
RESC	RescissionReversalLotteryNotification	Original and or supplemental lottery details have changed and the lottery is being cancelled.

3.4.5.1.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary code to express the stage of the CA event.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification13](#)" on page 693 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		693
	SchemeName <SchmeNm>	[0..1]	Text		693
	Issuer <Issr>	[1..1]	Text		693

3.4.5.2 DefaultOptionType <DfltOptnTp>

Presence: [0..1]

Definition: Identifies the option that will be selected by default if no instruction is provided by account owner.

DefaultOptionType <DfltOptnTp> contains one of the following **CorporateActionOption1FormatChoice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		53
Or}	Proprietary <Prtry>	[1..1]	±		54

3.4.5.2.1 Code <Cd>

Presence: [1..1]

Definition: Option type expressed as a code.

Datatype: "[CorporateActionOptionType1Code](#)" on page 821

CodeName	Name	Definition
BSPL	BonusSharePlan	Receive shares issued from the Share Premium Reserve of the company and

CodeName	Name	Definition
		considered as a capital distribution rather than a disbursement of income, with different tax implications (typically found in Australia).
BUYA	BuyUp	Buy additional securities to round up position.
CASE	CashAndSecurities	Election choice includes a combination of both cash and securities.
CASH	Cash	Distribution of cash.
CEXC	ConsentAndExchange	Vote to consent to change the terms of the securities agreement and to exchange securities.
CTEN	ConsentAndTender	Vote to consent to change the terms of the securities agreement and to tender securities for cash.
CONN	ConsentDenied	Vote not to approve the event or proposal.
CONY	ConsentGranted	Vote to approve the event or proposal.
EXER	Exercise	Exercise intermediate securities or warrants.
LAPS	Lapse	Allow event or entitled security to expire.
MPUT	Retain	An option that allows a holder to elect to retain their holding, eg, a putable bond.
NOAC	NoAction	Option for the account owner not to take part in the event.
OFFR	ProposedRate	In a remarketing of variable notes, the margin that shareholders can propose in respect of the next interest period.
OVER	Oversubscribe	Subscribe to more equities than underlying securities position allows.
SECU	SecuritiesOption	Distribution of securities to holders.
SLLE	SellEntitlement	Sell the intermediate securities.
SPLI	SplitInstruction	Option to give a split instruction, eg, a split voting instruction on a meeting.
NOQU	NonQualifiedInvestor	The account owner is a Non-Qualified Investor.
OTHR	Other	Generic corporate action option to be used in case that no other specific code is appropriate.
QINV	QualifiedInvestor	The account owner is a Qualified Investor.

3.4.5.2.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Option type expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification13](#)" on page 693 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		693
	SchemeName <SchmeNm>	[0..1]	Text		693
	Issuer <Issr>	[1..1]	Text		693

3.4.5.3 DefaultOptionNumber <DfltOptnNb>

Presence: [0..1]

Definition: Identifies the option number that will be selected by default if no instruction is provided by account owner.

Datatype: "[Exact3NumericText](#)" on page 852

3.4.5.4 CalculationMethod <ClctnMtd>

Presence: [0..1]

Definition: The method of calculation of drawings and partial redemptions.

CalculationMethod <ClctnMtd> contains one of the following **CorporateActionCalculationMethod1FormatChoice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		55
Or}	Proprietary <Prtry>	[1..1]	±		55

3.4.5.4.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the calculation method for drawings.

Datatype: "[CorporateActionCalculationMethod1Code](#)" on page 805

CodeName	Name	Definition
PROR	ProRata	Pro-rata for drawings.
LOTT	Lottery	Lottery for drawings.
NOMI	NominalReduction	Reduction of nominal for partial redemption.
NNOM	NoNominalReduction	Without reduction of nominal (via pool factor) for partial redemption.

3.4.5.4.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary code to express the calculation method for drawings.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification13](#)" on page 693 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		693
	SchemeName <SchmeNm>	[0..1]	Text		693
	Issuer <Issr>	[1..1]	Text		693

3.4.5.5 BackEndOddLotSecuritiesQuantity <BckEndOddLotSciesQty>

Presence: [0..1]

Definition: Represents the presence of a back end odd lot provision and the quantity of equity required after proration to be eligible for this privilege.

BackEndOddLotSecuritiesQuantity <BckEndOddLotSciesQty> contains one of the following elements (see "[UnitOrFaceAmountOrCode1Choice](#)" on page 793 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		793
Or	FaceAmount <FaceAmt>	[1..1]	Amount	C1, C5	793
Or}	Code <Cd>	[1..1]	CodeSet		793

3.4.5.6 FrontEndOddLotSecuritiesQuantity <FrntEndOddLotSciesQty>

Presence: [0..1]

Definition: Specifies that if an order is prorated holders of odd lots who tender their full position will not have tendered position prorated but rather accepted in full.

FrontEndOddLotSecuritiesQuantity <FrntEndOddLotSciesQty> contains one of the following elements (see "[UnitOrFaceAmountOrCode1Choice](#)" on page 793 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		793
Or	FaceAmount <FaceAmt>	[1..1]	Amount	C1, C5	793
Or}	Code <Cd>	[1..1]	CodeSet		793

3.4.5.7 MinimumExercisableSecuritiesQuantity <MinExrcblSciesQty>

Presence: [0..1]

Definition: Minimum quantity of financial instrument or lot of rights/warrants that must be exercised.

MinimumExercisableSecuritiesQuantity <MinExrcblSctiesQty> contains one of the following **UnitOrFaceAmount1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		57
Or}	FaceAmount <FaceAmt>	[1..1]	Amount	C1, C5	57

3.4.5.7.1 Unit <Unit>

Presence: [1..1]

Definition: Quantity expressed as a number, eg, a number of shares.

Datatype: "DecimalNumber" on page 851

3.4.5.7.2 FaceAmount <FaceAmt>

Presence: [1..1]

Definition: Quantity expressed as an amount representing the face amount, ie, the principal, of a debt instrument.

Impacted by: C1 "ActiveCurrency", C5 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 801

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

3.4.5.8 MinimumExercisableMultipleSecuritiesQuantity <MinExrcblMltplSctiesQty>

Presence: [0..1]

Definition: Minimum multiple quantity of financial instrument or lot of rights/warrants that must be exercised.

MinimumExercisableMultipleSecuritiesQuantity <MinExrcblMltplSctiesQty> contains one of the following **UnitOrFaceAmount1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		58
Or}	FaceAmount <FaceAmt>	[1..1]	Amount	C1, C5	58

3.4.5.8.1 Unit <Unit>*Presence:* [1..1]*Definition:* Quantity expressed as a number, eg, a number of shares.*Datatype:* "DecimalNumber" on page 851**3.4.5.8.2 FaceAmount <FaceAmt>***Presence:* [1..1]*Definition:* Quantity expressed as an amount representing the face amount, ie, the principal, of a debt instrument.*Impacted by:* C1 "ActiveCurrency", C5 "CurrencyAmount"*Datatype:* "ActiveCurrencyAndAmount" on page 801**Constraints**

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

3.4.5.9 IncrementalDenomination <IncrmtIDnmtn>*Presence:* [0..1]*Definition:* Amount used when the called amount is not met by running the lottery with the base denomination.

IncrementalDenomination <IncrmtIDnmtn> contains one of the following **UnitOrFaceAmount1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		58
Or}	FaceAmount <FaceAmt>	[1..1]	Amount	C1, C5	58

3.4.5.9.1 Unit <Unit>*Presence:* [1..1]*Definition:* Quantity expressed as a number, eg, a number of shares.*Datatype:* "DecimalNumber" on page 851**3.4.5.9.2 FaceAmount <FaceAmt>***Presence:* [1..1]

Definition: Quantity expressed as an amount representing the face amount, ie, the principal, of a debt instrument.

Impacted by: C1 "ActiveCurrency", C5 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 801

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

3.4.5.10 NewDenominationSecuritiesQuantity <NewDnmtnSciesQty>

Presence: [0..1]

Definition: New Denomination of the equity following, eg, an increase or decrease in nominal value.

NewDenominationSecuritiesQuantity <NewDnmtnSciesQty> contains one of the following **UnitOrFaceAmount1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		59
Or}	FaceAmount <FaceAmt>	[1..1]	Amount	C1, C5	59

3.4.5.10.1 Unit <Unit>

Presence: [1..1]

Definition: Quantity expressed as a number, eg, a number of shares.

Datatype: "DecimalNumber" on page 851

3.4.5.10.2 FaceAmount <FaceAmt>

Presence: [1..1]

Definition: Quantity expressed as an amount representing the face amount, ie, the principal, of a debt instrument.

Impacted by: C1 "ActiveCurrency", C5 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 801

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217

Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

3.4.5.11 NewBoardLotSecuritiesQuantity <NewBrdLotSctiesQty>

Presence: [0..1]

Definition: Quantity of equity that makes up the new board lot.

NewBoardLotSecuritiesQuantity <NewBrdLotSctiesQty> contains one of the following **UnitOrFaceAmount1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		60
Or}	FaceAmount <FaceAmt>	[1..1]	Amount	C1, C5	60

3.4.5.11.1 Unit <Unit>

Presence: [1..1]

Definition: Quantity expressed as a number, eg, a number of shares.

Datatype: "DecimalNumber" on page 851

3.4.5.11.2 FaceAmount <FaceAmt>

Presence: [1..1]

Definition: Quantity expressed as an amount representing the face amount, ie, the principal, of a debt instrument.

Impacted by: C1 "ActiveCurrency", C5 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 801

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

3.4.5.12 SecuritiesQuantitySought <SctiesQtySght>

Presence: [0..1]

Definition: Quantity of securities the offeror/issuer will purchase or redeem under the terms of the event. This can be a number or the term "any and all".

SecuritiesQuantitySought <SctiesQtySght> contains one of the following elements (see "UnitOrFaceAmountOrCode1Choice" on page 793 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		793
Or	FaceAmount <FaceAmt>	[1..1]	Amount	C1, C5	793
Or}	Code <Cd>	[1..1]	CodeSet		793

3.4.5.13 BaseDenomination <BaseDnmtn>

Presence: [0..1]

Definition: The minimum integral amount of securities that each account owner must have remaining after the called amounts are applied.

BaseDenomination <BaseDnmtn> contains one of the following **UnitOrFaceAmount1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		61
Or}	FaceAmount <FaceAmt>	[1..1]	Amount	C1, C5	61

3.4.5.13.1 Unit <Unit>

Presence: [1..1]

Definition: Quantity expressed as a number, eg, a number of shares.

Datatype: "DecimalNumber" on page 851

3.4.5.13.2 FaceAmount <FaceAmt>

Presence: [1..1]

Definition: Quantity expressed as an amount representing the face amount, ie, the principal, of a debt instrument.

Impacted by: C1 "ActiveCurrency", C5 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 801

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

3.4.5.14 ChangeType <ChngTp>

Presence: [0..*]

Definition: Specifies the type of change announced.

ChangeType <ChngTp> contains one of the following **CorporateActionChangeType1FormatChoice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		62
Or}	Proprietary <Prtry>	[1..1]	±		62

3.4.5.14.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the type of changes.

Datatype: "CorporateActionChangeType1Code" on page 806

CodeName	Name	Definition
BERE	BearToRegistered	Change from bearer into registered form.
CERT	Certificates	Change of all instruments into certificates.
DEPH	DematerialisedToPhysical	Change from dematerialised into physical form.
GPPH	GlobalPermanentToPhysical	Change from global permanent to physical form.
GTGP	GlobalTemporaryToGlobalPermanent	Change from global temporary to global permanent form.
GTPH	GlobalTemporaryToPhysical	Change from global temporary to physical form.
NAME	Name	The issuing company changes its name. CA event shows the change from old name to new name and may involve surrendering physical shares with the old name to the registrar.
PHDE	PhysicalToDematerialised	Change from physical into dematerialised form.
REBE	RegisteredToBearer	Change from registered into bearer form.
TERM	Terms	Change in the terms of the security.
DECI	Decimalisation	Process of changing the accounting method of a security, for example, mutual fund, from units to a quantity with decimals.

3.4.5.14.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary code to express the type of changes.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification13](#)" on page 693 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		693
	SchemeName <SchmeNm>	[0..1]	Text		693
	Issuer <Issr>	[1..1]	Text		693

3.4.5.15 OfferType <OfferTp>

Presence: [0..*]

Definition: Specifies the conditions that apply to the offer.

OfferType <OfferTp> contains one of the following **OfferType1FormatChoice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		63
Or}	Proprietary <Prtry>	[1..1]	±		64

3.4.5.15.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the conditions that apply to the offer.

Datatype: "[OfferType1Code](#)" on page 829

CodeName	Name	Definition
DISS	DissenterRights	Securities holder has the right to disagree with a mandatory event. This right is not inherent in all offers and therefore must be noted in announcements only when applicable.
ERUN	RestrictionExchange	Exchange of Restricted to Unrestricted (or vice versa).
FCFS	FirstComeFirstServed	Securities will be accepted in the order they have been submitted until the target number of quantity sought is reached.
FINL	FinalOffer	Final or last offer to holders subject to offer conditions being reached, for example, 50 percent accepted on a tender.
MINI	MiniTender	Tender offer for a security that is priced below the current trading price of that instrument.
PART	PartialOffer	Offer made by the offeror for a portion of the outstanding shares not already owned by the offeror.

CodeName	Name	Definition
SQUE	SqueezeOutBid	Offer launched by a company, in possession of a large number of the shares (90% - 95%) of a company (generally as a result of a tender offer), in order to acquire the remaining shares of that company.

3.4.5.15.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary code to express the conditions that apply to the offer.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification13](#)" on page 693 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		693
	SchemeName <SchmeNm>	[0..1]	Text		693
	Issuer <Issr>	[1..1]	Text		693

3.4.5.16 RestrictionIndicator <RstrctnInd>

Presence: [0..1]

Definition: Indicates whether there is restrictions apply to the CA event or not.

Yes = There is restrictions.

No = There is no restrictions.

Datatype: One of the following values must be used (see "[YesNoIndicator](#)" on page 850):

- *Meaning When True:* Yes
- *Meaning When False:* No

3.4.5.17 PartialElectionIndicator <PrtlElctnInd>

Presence: [0..1]

Definition: Specifies if the issuer will allow the agent to accept partial elections. It is to allow split voting over options. It allows the client to elect more than one option to be selected per designated holding.

Datatype: One of the following values must be used (see "[YesNoIndicator](#)" on page 850):

- *Meaning When True:* Yes
- *Meaning When False:* No

3.4.5.18 ElectionType <ElctnTp>

Presence: [0..1]

Definition: Specifies the effect on the holdings of electing a Corporate Action option.

ElectionType <ElctnTp> contains one of the following **ElectionMovementType1FormatChoice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		65
Or}	Proprietary <Prtry>	[1..1]	±		65

3.4.5.18.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the effect on the holdings of electing a Corporate Action option.

Datatype: "ElectionMovementType1Code" on page 823

CodeName	Name	Definition
REST	Restricted	Election results in a change of balance type that transfers control of the securities rather than the securities themselves.
DRCT	Direct	Direct movement of resource, that is, cash or security that is directly credited to the paying agent account.

3.4.5.18.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary code to express the effect on the holdings of electing a Corporate Action option.

Proprietary <Prtry> contains the following elements (see "GenericIdentification13" on page 693 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		693
	SchemeName <SchmeNm>	[0..1]	Text		693
	Issuer <Issr>	[1..1]	Text		693

3.4.5.19 LotteryType <LtryTp>

Presence: [0..1]

Definition: Specifies the type of lottery announced.

LotteryType <LtryTp> contains one of the following **LotteryType1FormatChoice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		66
Or}	Proprietary <Prtry>	[1..1]	±		66

3.4.5.19.1 Code <Cd>*Presence:* [1..1]*Definition:* Standard code to specify the lottery type.*Datatype:* "LotteryType1Code" on page 828

CodeName	Name	Definition
ORIG	OriginalLotteryNotification	Original lottery that has been announced for a specific amount and date.
SUPP	SupplementalLotteryNotification	Supplemental or additional quantity called on a previously announced original lottery.

3.4.5.19.2 Proprietary <Prtry>*Presence:* [1..1]*Definition:* Proprietary code to express the lottery type.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification13](#)" on page 693 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		693
	SchemeName <SchmeNm>	[0..1]	Text		693
	Issuer <Issr>	[1..1]	Text		693

3.4.5.20 IncomeType <IncmTp>*Presence:* [0..1]*Definition:* Specifies the type of income.

The lists of income type codes to be used, are available on the SMPG website at www.smpg.info.

IncomeType <IncmTp> contains the following elements (see "[GenericIdentification13](#)" on page 693 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		693
	SchemeName <SchmeNm>	[0..1]	Text		693
	Issuer <Issr>	[1..1]	Text		693

3.4.5.21 DividendType <DvddTp>*Presence:* [0..1]*Definition:* Specifies the conditions in which a dividend is paid.

DividendType <DvddTp> contains one of the following **CorporateActionFrequencyType1FormatChoice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		67
Or}	Proprietary <Prtry>	[1..1]	±		67

3.4.5.21.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the frequency of a CA event.

Datatype: "CorporateActionFrequencyType1Code" on page 820

CodeName	Name	Definition
FINL	Final	Payment of a dividend, less amounts already paid through interim dividends.
INTE	Interim	Dividend declared and paid before annual earnings have been determined.
REGR	Regular	Dividend paid at regular interval, for example, quarterly.
SPEC	Special	Dividend in addition to the regular dividend being paid by the company.

3.4.5.21.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary code to express the frequency of a CA event.

Proprietary <Prtry> contains the following elements (see "GenericIdentification13" on page 693 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		693
	SchemeName <SchmeNm>	[0..1]	Text		693
	Issuer <Issr>	[1..1]	Text		693

3.4.5.22 IntermediateSecuritiesDistributionType <IntrmdtSciesDstrbtnTp>

Presence: [0..1]

Definition: Type of intermediates securities distribution, eg, stock dividend, reverse right.

IntermediateSecuritiesDistributionType <IntrmdtSciesDstrbtnTp> contains one of the following **IntermediateSecurityDistributionType1FormatChoice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		68
Or}	Proprietary <Prtry>	[1..1]	±		68

3.4.5.22.1 Code <Cd>*Presence:* [1..1]*Definition:* Standard code to specify the type of intermediate security distribution.*Datatype:* "IntermediateSecurityDistributionType1Code" on page 827

CodeName	Name	Definition
BIDS	ReverseRights	Distribution of reverser rights.
BONU	BonusRights	Distribution of bonus rights.
DRIP	DividendReinvestment	Distribution of dividend reinvestment securities.
DVCA	CashDividend	Distribution of cash to shareholders, in proportion to their equity holding. Ordinary dividends are recurring and regular. Shareholder must take cash and may be offered a choice of currency.
DVOP	DividendOption	Distribution of dividend option.
EXRI	SubscriptionRights	Distribution of subscription rights.
PRIO	OpenOfferRights	Distribution of open offer rights.
DVSC	ScripDividendOrPayment	Dividend or interest paid in the form of scrip.
DVSE	StockDividend	Dividend paid to shareholders in the form of shares of stock.
INTR	InterestPayment	Interest payment distributed to holders of an interest bearing asset.
LIQU	LiquidationDividendOrPayment	A distribution of cash, assets or both.
SOFF	SpinOff	A distribution of subsidiary stock to the shareholders.

3.4.5.22.2 Proprietary <Prtry>*Presence:* [1..1]*Definition:* Proprietary code to express the type of intermediate security distribution.**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification13](#)" on page 693 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		693
	SchemeName <SchmeNm>	[0..1]	Text		693
	Issuer <Issr>	[1..1]	Text		693

3.4.5.23 CouponNumber <CpnNb>*Presence:* [0..*]*Definition:* Number of the coupon attached/associated with a security.*Datatype:* "Max3NumericText" on page 853

3.4.5.24 InterestAccruedNumberOfDays <IntrstAcrdNbOfDays>

Presence: [0..1]

Definition: Number of days used for calculating the accrued interest amount.

Datatype: "Number" on page 851

3.4.5.25 NewDenominationCurrency <NewDnmtnCcy>

Presence: [0..1]

Definition: New denomination currency of the financial instrument.

Impacted by: C1 "ActiveCurrency"

Datatype: "ActiveCurrencyCode" on page 802

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

3.4.5.26 DateDetails <DtDtIs>

Presence: [0..1]

Definition: Provides information about the dates related to a CA event.

DateDetails <DtDtls> contains the following **CorporateActionDate2** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	RecordDate <RcrdDt>	[0..1]	±		70
	EffectiveDate <FctvDt>	[0..1]	±		71
	CoverExpirationDate <CoverXprtnDt>	[0..1]	±		71
	EqualisationDate <EqulstnDt>	[0..1]	±		71
	MarginFixingDate <MrgnFvgDt>	[0..1]	±		72
	LotteryDate <LtryDt>	[0..1]	±		72
	ProtectDate <PrctDt>	[0..1]	±		72
	UnconditionalDate <UcondlDt>	[0..1]	±		73
	WhollyUnconditionalDate <WhlyUcondlDt>	[0..1]	±		73
	ResultsPublicationDate <RsltsPblctnDt>	[0..1]	±		73
	CourtApprovalDate <CrtApprvlDt>	[0..1]	±		74
	EarlyClosingDate <EarlyClsgDt>	[0..1]	±		74
	ExDividendDate <ExDvddDt>	[0..1]	±		74
	IndexFixingDate <IndxFvgDt>	[0..1]	±		75
	MaturityDate <MtrtyDt>	[0..1]	±		75
	TradingSuspendedDate <TradgSspdDt>	[0..1]	±		75
	CertificationDeadline <CertfctnDdlIn>	[0..1]	±		76
	RedemptionDate <RedDt>	[0..1]	±		76
	RegistrationDeadline <RegnDdlIn>	[0..1]	±		76
	ProrationDate <PrratnDt>	[0..1]	±		77
	DeadlineForTaxBreakdownInstruction <DdlInForTaxBrkdwInstr>	[0..1]	±		77
	LapsedDate <LpsdDt>	[0..1]	±		77
	GuaranteedParticipationDate <GrntedPrtpctnDt>	[0..1]	±		78
	ElectionToCounterpartyDeadline <ElctnToCtrPtyDdlIn>	[0..1]	±		78
	SpecialExDate <SpclExDt>	[0..1]	±		78

3.4.5.26.1 RecordDate <RcrdDt>

Presence: [0..1]

Definition: Date on which positions are struck at the end of the day to note which parties will receive the relevant amount of entitlement, due to be distributed on payment date.

RecordDate <RcrdDt> contains one of the following elements (see "DateFormat4Choice" on page 678 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		679
Or	NotSpecifiedDate <NotSpcfdDt>	[1..1]	CodeSet		679
Or}	Proprietary <Prtry>	[1..1]	±		679

3.4.5.26.2 EffectiveDate <FctvDt>

Presence: [0..1]

Definition: Date on which a process is to be completed or becomes effective.

EffectiveDate <FctvDt> contains one of the following elements (see "DateFormat4Choice" on page 678 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		679
Or	NotSpecifiedDate <NotSpcfdDt>	[1..1]	CodeSet		679
Or}	Proprietary <Prtry>	[1..1]	±		679

3.4.5.26.3 CoverExpirationDate <CoverXprtnDt>

Presence: [0..1]

Definition: Last day a holder can deliver the securities that it had previously protected.

CoverExpirationDate <CoverXprtnDt> contains one of the following elements (see "DateFormat4Choice" on page 678 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		679
Or	NotSpecifiedDate <NotSpcfdDt>	[1..1]	CodeSet		679
Or}	Proprietary <Prtry>	[1..1]	±		679

3.4.5.26.4 EqualisationDate <EqulstnDt>

Presence: [0..1]

Definition: Date on which all or part of any holding bought in a unit trust is subject to being treated as capital rather than income. This is normally one day after the previous distribution's ex date.

EqualisationDate <EqulstnDt> contains one of the following elements (see "DateFormat4Choice" on page 678 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		679
Or	NotSpecifiedDate <NotSpcfdDt>	[1..1]	CodeSet		679
Or}	Proprietary <Prtry>	[1..1]	±		679

3.4.5.26.5 MarginFixingDate <MrgnFxdDt>

Presence: [0..1]

Definition: Date/time at which the margin rate will be determined.

MarginFixingDate <MrgnFxdDt> contains one of the following elements (see "DateFormat4Choice" on page 678 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		679
Or	NotSpecifiedDate <NotSpcfdDt>	[1..1]	CodeSet		679
Or}	Proprietary <Prtry>	[1..1]	±		679

3.4.5.26.6 LotteryDate <LtryDt>

Presence: [0..1]

Definition: Date on which the lottery is run and applied to the holder's positions. This is also applicable to partial calls.

LotteryDate <LtryDt> contains one of the following elements (see "DateFormat4Choice" on page 678 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		679
Or	NotSpecifiedDate <NotSpcfdDt>	[1..1]	CodeSet		679
Or}	Proprietary <Prtry>	[1..1]	±		679

3.4.5.26.7 ProtectDate <PrctDt>

Presence: [0..1]

Definition: Last date a holder can request to defer delivery of securities pursuant to a notice of guaranteed delivery or other required documentation.

ProtectDate <PrctDt> contains one of the following elements (see "DateFormat4Choice" on page 678 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		679
Or	NotSpecifiedDate <NotSpcfdDt>	[1..1]	CodeSet		679
Or}	Proprietary <Prtry>	[1..1]	±		679

3.4.5.26.8 UnconditionalDate <UcondIDt>

Presence: [0..1]

Definition: Date upon which the terms of the take-over become unconditional as to acceptances.

UnconditionalDate <UcondIDt> contains one of the following elements (see "DateFormat4Choice" on page 678 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		679
Or	NotSpecifiedDate <NotSpcfdDt>	[1..1]	CodeSet		679
Or}	Proprietary <Prtry>	[1..1]	±		679

3.4.5.26.9 WhollyUnconditionalDate <WhlyUcondIDt>

Presence: [0..1]

Definition: Date on which all conditions, including regulatory, legal etc. pertaining to the take-over, have been met.

WhollyUnconditionalDate <WhlyUcondIDt> contains one of the following elements (see "DateFormat4Choice" on page 678 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		679
Or	NotSpecifiedDate <NotSpcfdDt>	[1..1]	CodeSet		679
Or}	Proprietary <Prtry>	[1..1]	±		679

3.4.5.26.10 ResultsPublicationDate <RsItsPblctnDt>

Presence: [0..1]

Definition: Date on which results are published, eg, results of an offer.

ResultsPublicationDate <RsItsPblctnDt> contains one of the following elements (see "DateFormat4Choice" on page 678 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		679
Or	NotSpecifiedDate <NotSpcfdDt>	[1..1]	CodeSet		679
Or}	Proprietary <Prtry>	[1..1]	±		679

3.4.5.26.11 CourtApprovalDate <CrtApprvIDt>

Presence: [0..1]

Definition: Date/time upon which the High Court provided approval.

CourtApprovalDate <CrtApprvIDt> contains one of the following elements (see "DateFormat4Choice" on page 678 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		679
Or	NotSpecifiedDate <NotSpcfdDt>	[1..1]	CodeSet		679
Or}	Proprietary <Prtry>	[1..1]	±		679

3.4.5.26.12 EarlyClosingDate <EarlyClsgDt>

Presence: [0..1]

Definition: First possible early closing date of an offer if different from the expiry date.

EarlyClosingDate <EarlyClsgDt> contains one of the following elements (see "DateFormat4Choice" on page 678 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		679
Or	NotSpecifiedDate <NotSpcfdDt>	[1..1]	CodeSet		679
Or}	Proprietary <Prtry>	[1..1]	±		679

3.4.5.26.13 ExDividendDate <ExDvddDt>

Presence: [0..1]

Definition: Date/time as from which trading (including exchange and OTC trading) occurs on the underlying security without the benefit.

ExDividendDate <ExDvddDt> contains one of the following elements (see "DateFormat4Choice" on page 678 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		679
Or	NotSpecifiedDate <NotSpcfdDt>	[1..1]	CodeSet		679
Or}	Proprietary <Prtry>	[1..1]	±		679

3.4.5.26.14 IndexFixingDate <IndxFxgDt>

Presence: [0..1]

Definition: Date/time at which an index rate will be determined.

IndexFixingDate <IndxFxgDt> contains one of the following elements (see "DateFormat4Choice" on page 678 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		679
Or	NotSpecifiedDate <NotSpcfdDt>	[1..1]	CodeSet		679
Or}	Proprietary <Prtry>	[1..1]	±		679

3.4.5.26.15 MaturityDate <MtrtyDt>

Presence: [0..1]

Definition: Date on which an interest bearing financial instrument becomes due and principal is repaid by the issuer to the investor.

MaturityDate <MtrtyDt> contains one of the following elements (see "DateFormat4Choice" on page 678 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		679
Or	NotSpecifiedDate <NotSpcfdDt>	[1..1]	CodeSet		679
Or}	Proprietary <Prtry>	[1..1]	±		679

3.4.5.26.16 TradingSuspendedDate <TradgSspdDt>

Presence: [0..1]

Definition: Date on which trading of a security is suspended as the result of an event.

TradingSuspendedDate <TradgSspdDt> contains one of the following elements (see "DateFormat4Choice" on page 678 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		679
Or	NotSpecifiedDate <NotSpcfdDt>	[1..1]	CodeSet		679
Or}	Proprietary <Prtry>	[1..1]	±		679

3.4.5.26.17 CertificationDeadline <CertfctnDdln>

Presence: [0..1]

Definition: Deadline by which the beneficial ownership of securities must be declared.

CertificationDeadline <CertfctnDdln> contains one of the following elements (see "DateFormat4Choice" on page 678 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		679
Or	NotSpecifiedDate <NotSpcfdDt>	[1..1]	CodeSet		679
Or}	Proprietary <Prtry>	[1..1]	±		679

3.4.5.26.18 RedemptionDate <RedDt>

Presence: [0..1]

Definition: Date/time at which the securities will be redeemed (early) for payment of principal.

RedemptionDate <RedDt> contains one of the following elements (see "DateFormat4Choice" on page 678 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		679
Or	NotSpecifiedDate <NotSpcfdDt>	[1..1]	CodeSet		679
Or}	Proprietary <Prtry>	[1..1]	±		679

3.4.5.26.19 RegistrationDeadline <RegnDdln>

Presence: [0..1]

Definition: Date on which instructions to register or registration details will be accepted.

RegistrationDeadline <RegnDdln> contains one of the following elements (see "[DateFormat4Choice](#)" on page 678 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		679
Or	NotSpecifiedDate <NotSpcfdDt>	[1..1]	CodeSet		679
Or}	Proprietary <Prtry>	[1..1]	±		679

3.4.5.26.20 ProrationDate <PrratnDt>

Presence: [0..1]

Definition: Date (and time) at which an issuer will determine the proration amount/quantity of an offer.

ProrationDate <PrratnDt> contains one of the following elements (see "[DateFormat4Choice](#)" on page 678 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		679
Or	NotSpecifiedDate <NotSpcfdDt>	[1..1]	CodeSet		679
Or}	Proprietary <Prtry>	[1..1]	±		679

3.4.5.26.21 DeadlineForTaxBreakdownInstruction <DdlnForTaxBrkdwnInstr>

Presence: [0..1]

Definition: Date on until which tax breakdown instructions will be accepted.

DeadlineForTaxBreakdownInstruction <DdlnForTaxBrkdwnInstr> contains one of the following elements (see "[DateFormat4Choice](#)" on page 678 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		679
Or	NotSpecifiedDate <NotSpcfdDt>	[1..1]	CodeSet		679
Or}	Proprietary <Prtry>	[1..1]	±		679

3.4.5.26.22 LapsedDate <LpsdDt>

Presence: [0..1]

Definition: Date/time at which an event/offer is terminated or lapsed.

LapsedDate <LpsdDt> contains one of the following elements (see "DateFormat4Choice" on page 678 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		679
Or	NotSpecifiedDate <NotSpcfdDt>	[1..1]	CodeSet		679
Or}	Proprietary <Prtry>	[1..1]	±		679

3.4.5.26.23 GuaranteedParticipationDate <GrntedPrtcptnDt>

Presence: [0..1]

Definition: Last date/time by which a buying counterparty to a trade can be sure that it will have the right to participate in an event.

GuaranteedParticipationDate <GrntedPrtcptnDt> contains one of the following elements (see "DateFormat4Choice" on page 678 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		679
Or	NotSpecifiedDate <NotSpcfdDt>	[1..1]	CodeSet		679
Or}	Proprietary <Prtry>	[1..1]	±		679

3.4.5.26.24 ElectionToCounterpartyDeadline <ElctnToCtrPtyDdlIn>

Presence: [0..1]

Definition: Deadline by which an entitled holder needs to advise their counterparty to a transaction of their election for a corporate action event.

ElectionToCounterpartyDeadline <ElctnToCtrPtyDdlIn> contains one of the following elements (see "DateFormat4Choice" on page 678 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		679
Or	NotSpecifiedDate <NotSpcfdDt>	[1..1]	CodeSet		679
Or}	Proprietary <Prtry>	[1..1]	±		679

3.4.5.26.25 SpecialExDate <SpclExDt>

Presence: [0..1]

Definition: Date/time as from which 'special processing' can start to be used by participants for that event. Special processing is a means of marking a transaction, that would normally be traded ex or cum, as being traded cum or ex respectively, eg, a transaction dealt 'special' after the ex date would result in the buyer being eligible for the entitlement. This is typically used in the UK and Irish markets.

SpecialExDate <SpclExDt> contains one of the following elements (see "DateFormat4Choice" on page 678 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		679
Or	NotSpecifiedDate <NotSpcfdDt>	[1..1]	CodeSet		679
Or}	Proprietary <Prtry>	[1..1]	±		679

3.4.5.27 PriceDetails <PricDtls>

Presence: [0..*]

Definition: Provides information about the prices related to a CA event.

PriceDetails <PricDtls> contains the following **CorporateActionPrice2** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	MaximumPrice <MaxPric>	[0..1]	±		79
	MinimumPrice <MinPric>	[0..1]	±		79

3.4.5.27.1 MaximumPrice <MaxPric>

Presence: [0..1]

Definition: Maximum or cap price at which a holder can bid, e.g. on a Dutch auction offer.

MaximumPrice <MaxPric> contains one of the following elements (see "PriceFormat3Choice" on page 768 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Amount <Amt>	[1..1]			768
	AmountPriceType <AmtPricTp>	[1..1]			768
{Or	Code <Cd>	[1..1]	CodeSet		769
Or}	Proprietary <Prtry>	[1..1]	±		769
	PriceValue <PricVal>	[1..1]	Amount	C1	769
Or}	Rate <Rate>	[1..1]	±		770

3.4.5.27.2 MinimumPrice <MinPric>

Presence: [0..1]

Definition: Minimum or floor price at which a holder can bid, e.g. on a Dutch auction offer.

MinimumPrice <MinPric> contains one of the following elements (see "PriceFormat3Choice" on page 768 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Amount <Amt>	[1..1]			768
	AmountPriceType <AmtPricTp>	[1..1]			768
{Or	Code <Cd>	[1..1]	CodeSet		769
Or}	Proprietary <Prtry>	[1..1]	±		769
	PriceValue <PricVal>	[1..1]	Amount	C1	769
Or}	Rate <Rate>	[1..1]	±		770

3.4.5.28 PeriodDetails <PrdDtIs>

Presence: [0..1]

Definition: Provides information about the periods related to a CA event.

PeriodDetails <PrdDtIs> contains the following **CorporateActionPeriod1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ActionPeriod <ActnPrd>	[0..1]	±		80
	CompulsoryPurchasePeriod <CmplsryPurchsPrd>	[0..1]	±		80
	InterestPeriod <IntrstPrd>	[0..1]	±		81
	BlockingPeriod <BlckgPrd>	[0..1]	±		81
	PriceCalculationPeriod <PricClctnPrd>	[0..1]	±		81

3.4.5.28.1 ActionPeriod <ActnPrd>

Presence: [0..1]

Definition: Period during which the specified option, or all options of the event, remains valid, eg, offer period.

ActionPeriod <ActnPrd> contains the following elements (see "Period1" on page 679 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	StartDate <StartDt>	[1..1]	±		680
	EndDate <EndDt>	[1..1]	±		680

3.4.5.28.2 CompulsoryPurchasePeriod <CmplsryPurchsPrd>

Presence: [0..1]

Definition: Period during a take-over where any outstanding equity must be purchased by the take-over company.

CompulsoryPurchasePeriod <CmplsryPurchsPrd> contains the following elements (see "Period1" on page 679 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	StartDate <StartDt>	[1..1]	±		680
	EndDate <EndDt>	[1..1]	±		680

3.4.5.28.3 InterestPeriod <IntrstPrd>

Presence: [0..1]

Definition: Period during which the interest rate has been applied.

InterestPeriod <IntrstPrd> contains the following elements (see "Period1" on page 679 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	StartDate <StartDt>	[1..1]	±		680
	EndDate <EndDt>	[1..1]	±		680

3.4.5.28.4 BlockingPeriod <BlckgPrd>

Presence: [0..1]

Definition: Period during which the security is blocked.

BlockingPeriod <BlckgPrd> contains the following elements (see "Period1" on page 679 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	StartDate <StartDt>	[1..1]	±		680
	EndDate <EndDt>	[1..1]	±		680

3.4.5.28.5 PriceCalculationPeriod <PricClctnPrd>

Presence: [0..1]

Definition: Period during which the price of a security is determined.

PriceCalculationPeriod <PricClctnPrd> contains the following elements (see "Period1" on page 679 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	StartDate <StartDt>	[1..1]	±		680
	EndDate <EndDt>	[1..1]	±		680

3.4.5.29 RateAndAmountDetails <RateAndAmtDtls>

Presence: [0..1]

Definition: Provides information about rates and amounts related to a CA event.

RateAndAmountDetails <RateAndAmtDtls> contains the following **CorporateActionRate1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Interest <Intrst>	[0..1]	±		82
	RelatedIndex <RltdIndx>	[0..1]	±		82
	PercentageSought <PctgSght>	[0..1]	±		83
	ReinvestmentDiscountToMarket <RinvstmtDscntToMkt>	[0..1]	±		83
	Spread <Sprd>	[0..1]	±		83
	BidInterval <BidIntrvl>	[0..1]			84
{Or	Amount <Amt>	[1..1]	Amount	C1, C5	84
Or}	NotSpecifiedRate <NotSpctdRate>	[1..1]			84
{Or	Code <Cd>	[1..1]	CodeSet		85
Or}	Proprietary <Prtry>	[1..1]	±		85
	Charges <Chrgs>	[0..1]	±		85

3.4.5.29.1 Interest <Intrst>

Presence: [0..1]

Definition: Annual rate of a financial instrument.

Interest <Intrst> contains one of the following elements (see ["RateAndAmountFormat1Choice"](#) on page 713 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		713
Or	Amount <Amt>	[1..1]	Amount	C1, C5	713
Or}	NotSpecifiedRate <NotSpctdRate>	[1..1]			714
{Or	Code <Cd>	[1..1]	CodeSet		714
Or}	Proprietary <Prtry>	[1..1]	±		714

3.4.5.29.2 RelatedIndex <RltdIndx>

Presence: [0..1]

Definition: Index rate related to the interest rate of the forthcoming interest payment.

RelatedIndex <RltdIndx> contains one of the following elements (see "RateFormat1Choice" on page 777 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		778
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]			778
{Or	Code <Cd>	[1..1]	CodeSet		778
Or}	Proprietary <Prtry>	[1..1]	±		778

3.4.5.29.3 PercentageSought <PctgSght>

Presence: [0..1]

Definition: Percentage of securities the offeror/issuer will purchase or redeem under the terms of the event. This can be a number or the term "any and all".

PercentageSought <PctgSght> contains one of the following elements (see "RateFormat1Choice" on page 777 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		778
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]			778
{Or	Code <Cd>	[1..1]	CodeSet		778
Or}	Proprietary <Prtry>	[1..1]	±		778

3.4.5.29.4 ReinvestmentDiscountToMarket <RinvstmtDscntToMkt>

Presence: [0..1]

Definition: Rate of discount for securities purchased through a reinvestment scheme as compared to the current market price of security.

ReinvestmentDiscountToMarket <RinvstmtDscntToMkt> contains one of the following elements (see "RateFormat1Choice" on page 777 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		778
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]			778
{Or	Code <Cd>	[1..1]	CodeSet		778
Or}	Proprietary <Prtry>	[1..1]	±		778

3.4.5.29.5 Spread <Sprd>

Presence: [0..1]

Definition: Margin allowed over or under a given rate.

Spread <Sprd> contains one of the following elements (see ["RateFormat1Choice"](#) on page 777 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		778
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]			778
{Or	Code <Cd>	[1..1]	CodeSet		778
Or}	Proprietary <Prtry>	[1..1]	±		778

3.4.5.29.6 BidInterval <BidIntrvl>

Presence: [0..1]

Definition: Acceptable price increment used for submitting a bid.

BidInterval <BidIntrvl> contains one of the following **AmountAndRateFormat3Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Amount <Amt>	[1..1]	Amount	C1, C5	84
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]			84
{Or	Code <Cd>	[1..1]	CodeSet		85
Or}	Proprietary <Prtry>	[1..1]	±		85

3.4.5.29.6.1 Amount <Amt>

Presence: [1..1]

Definition: Number of monetary units specified in a currency.

Impacted by: [C1 "ActiveCurrency"](#), [C5 "CurrencyAmount"](#)

Datatype: ["ActiveCurrencyAndAmount"](#) on page 801

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

3.4.5.29.6.2 NotSpecifiedRate <NotSpcfdRate>

Presence: [1..1]

Definition: The rate is not specified.

NotSpecifiedRate <NotSpcfdRate> contains one of the following **RateValueType6FormatChoice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		85
Or}	Proprietary <Prtry>	[1..1]	±		85

3.4.5.29.6.2.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the value of a rate.

Datatype: "RateValueType6Code" on page 835

CodeName	Name	Definition
UKWN	Unknown	Rate is unknown by the sender or has not been established.
OPEN	Open	Rate has not been established.

3.4.5.29.6.2.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary code to express the value of a rate.

Proprietary <Prtry> contains the following elements (see "GenericIdentification13" on page 693 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		693
	SchemeName <SchmeNm>	[0..1]	Text		693
	Issuer <Issr>	[1..1]	Text		693

3.4.5.29.7 Charges <Chrgs>

Presence: [0..1]

Definition: Rate used to calculate the amount of the charges/fees that cannot be categorised.

Charges <Chrgs> contains one of the following elements (see "RateAndAmountFormat1Choice" on page 713 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		713
Or	Amount <Amt>	[1..1]	Amount	C1, C5	713
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]			714
{Or	Code <Cd>	[1..1]	CodeSet		714
Or}	Proprietary <Prtry>	[1..1]	±		714

3.4.5.30 CorporateActionAdditionalInformation <CorpActnAddtlInf>

Presence: [0..1]

Definition: Provides additional information.

CorporateActionAdditionalInformation <CorpActnAddtlInf> contains the following **CorporateActionNarrative1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	InformationConditions <InfConds>	[0..1]	Text		86
	InformationToComplyWith <InfToCmplyWth>	[0..1]	Text		86
	TaxationConditions <TaxtnConds>	[0..1]	Text		86
	NewCompanyName <NewCpnyNm>	[0..1]	Text		86
	Offeror <Offerr>	[0..1]	±		86
	URLAddress <URLAdr>	[0..1]	Text		87
	AdditionalText <AddtlTxt>	[0..1]	Text		87

3.4.5.30.1 InformationConditions <InfConds>

Presence: [0..1]

Definition: Provides conditional information related to the event, eg, an offer is subject to 50% acceptance, the offeror allows the securities holder to set some conditions.

Datatype: "Max350Text" on page 853

3.4.5.30.2 InformationToComplyWith <InfToCmplyWth>

Presence: [0..1]

Definition: Provides information conditions to the account owner that are to be complied with, eg, not open to US/Canadian residents, QIB or SIL to be provided.

Datatype: "Max350Text" on page 853

3.4.5.30.3 TaxationConditions <TaxtnConds>

Presence: [0..1]

Definition: Provides taxation conditions that cannot be included within the structured fields of this message.

Datatype: "Max350Text" on page 853

3.4.5.30.4 NewCompanyName <NewCpnyNm>

Presence: [0..1]

Definition: Provide the new name of a company following a name change.

Datatype: "Max350Text" on page 853

3.4.5.30.5 Offeror <Offerr>

Presence: [0..1]

Definition: Provides the entity making the offer and is different from the issuing company.

Offeror <Offerr> contains one of the following elements (see "PartyIdentification2Choice" on page 733 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	BICOrBEI <BICOrBEI/>	[1..1]	IdentifierSet	C2	733
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		733
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		734

3.4.5.30.6 URLAddress <URLAdr>

Presence: [0..1]

Definition: Provides the web address published for the event, ie the address for the Universal Resource Locator (URL), eg, used over the www (HTTP) service.

Datatype: "Max256Text" on page 853

3.4.5.30.7 AdditionalText <AddtlTxt>

Presence: [0..1]

Definition: Provides additional information or specifies in more detail the content of a message.

Datatype: "Max350Text" on page 853

3.4.5.31 CertificationRequiredIndicator <CertfctnReqrdInd>

Presence: [0..1]

Definition: Indicates whether certification is required from the account owner.

Datatype: One of the following values must be used (see "YesNoIndicator" on page 850):

- *Meaning When True:* Yes
- *Meaning When False:* No

3.4.5.32 CertificationType <CertfctnTp>

Presence: [0..1]

Definition: Type of certification which is required.

CertificationType <CertfctnTp> contains one of the following **BeneficiaryCertificationType1FormatChoice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		87
Or}	Proprietary <Prtry>	[1..1]	±		88

3.4.5.32.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the type of beneficiary's certification.

Datatype: "BeneficiaryCertificationType1Code" on page 803

CodeName	Name	Definition
ACCI	AccreditedInvestor	The holder of the security has to irrevocably certify that it is an Accredited Investor as defined in US Securities and Exchange Commission Regulation D.
DOMI	DomicileCountry	The holder of the security has to certify his domicile.
FULL	FullBeneficialOwnerBreakdown	The holder of the security has to provide his beneficial owner details for disclosure/instruction purpose.
QIBB	QIBCertification	The holder of the security has to irrevocably certify that it is a Qualified Institutional Buyer as defined in US Securities and Exchange Commission Rule 144A.
TRBD	TaxRateBreakdown	The holder of the security has to provide basic details on nominals and associated tax rate to be used by the account servicer.
NCOM	NonCompany	The holder of the security has to certify he is an Non-Company related person.

3.4.5.32.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary code to express the type of beneficiary's certification.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification13](#)" on page 693 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		693
	SchemeName <SchmeNm>	[0..1]	Text		693
	Issuer <Issr>	[1..1]	Text		693

3.4.5.33 CapitalGain <CptlGn>

Presence: [0..1]

Definition: Specifies whether the capital gain is in the scope of the EU Savings directive for the income realised upon the sale, refund or redemption of shares and units (.) (Article 6(1d)).

Datatype: "EUCapitalGain2Code" on page 824

CodeName	Name	Definition
EUSI	CapitalGainInScope	Capital gain is in the scope of the directive.
EUSO	CapitalGainOutScope	Capital gain is out of the scope of the directive.

CodeName	Name	Definition
UKWN	CapitalGainUnknown	Unknown whether capital gain is in or out of the scope of the directive.

3.4.5.34 TaxableIncomePerShareCalculated <TaxblIncmPerShrClctd>

Presence: [0..1]

Definition: Specifies whether the financial instrument calculates the taxable income per dividend/taxable income per share.

Datatype: "TaxableIncomePerShareCalculated2Code" on page 845

CodeName	Name	Definition
TSIY	FundCalculates	Fund is calculating the taxable interest per share with the NAV.
TSIN	FundDoesNotCalculate	Fund is not calculating the taxable interest per share with the NAV.
UKWN	FundCalculatesUnknown	Unknown whether the fund is calculating the taxable interest per share with the NAV.

3.4.5.35 NewPlaceOfIncorporation <NewPlcOfIncorprtn>

Presence: [0..1]

Definition: New companys place of incorporation.

Datatype: "Max70Text" on page 854

3.4.5.36 RenounceableEntitlementStatusType <RnnclEntitlmntStsTp>

Presence: [0..1]

Definition: Specifies whether terms of the event allow resale of the rights.

RenounceableEntitlementStatusType <RnnclEntitlmntStsTp> contains one of the following **RenounceableStatus1FormatChoice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		89
Or}	Proprietary <Prtry>	[1..1]	±		90

3.4.5.36.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the renounceable status.

Datatype: "RenounceableStatus1Code" on page 842

CodeName	Name	Definition
NREN	NonRenounceable	Intermediate securities cannot be sold.
RENO	Renounceable	Intermediate securities can be sold.

3.4.5.36.2 Proprietary <Prtry>*Presence:* [1..1]*Definition:* Proprietary code to express the renounceable status.**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification13](#)" on page 693 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		693
	SchemeName <SchmeNm>	[0..1]	Text		693
	Issuer <Issr>	[1..1]	Text		693

3.4.5.37 ConversionType <ConvstP>*Presence:* [0..1]*Definition:* Specifies the conversion type of an instrument.**ConversionType <ConvstP>** contains one of the following **ConversionType1FormatChoice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		90
Or}	Proprietary <Prtry>	[1..1]	±		90

3.4.5.37.1 Code <Cd>*Presence:* [1..1]*Definition:* Standard code to specify the type of conversion.*Datatype:* "[ConversionType1Code](#)" on page 805

CodeName	Name	Definition
FINL	Final	This is a final conversion.
INTE	Interim	This is an interim conversion.

3.4.5.37.2 Proprietary <Prtry>*Presence:* [1..1]*Definition:* Proprietary code to express the type of conversion.**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification13](#)" on page 693 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		693
	SchemeName <SchmeNm>	[0..1]	Text		693
	Issuer <Issr>	[1..1]	Text		693

3.4.5.38 RedemptionChargesAppliedIndicator <RedChrgsAppldInd>

Presence: [0..1]

Definition: Indicates whether redemption charges apply.

Datatype: One of the following values must be used (see "YesNoIndicator" on page 850):

- *Meaning When True:* Yes
- *Meaning When False:* No

3.4.5.39 DistributionType <DstrbtnTp>

Presence: [0..1]

Definition: Specifies whether the proceeds of the event will be distributed on a rolling basis rather than on a specific date.

DistributionType <DstrbtnTp> contains one of the following **DistributionType1FormatChoice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		91
Or}	Proprietary <Prtry>	[1..1]	±		91

3.4.5.39.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the distribution type.

Datatype: "DistributionType1Code" on page 823

CodeName	Name	Definition
ROLL	RollingBasis	Event will have a number of acceptance and payment dates until further announcement by the Issuer or its agent.

3.4.5.39.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary code to express the distribution type.

Proprietary <Prtry> contains the following elements (see "GenericIdentification13" on page 693 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		693
	SchemeName <SchmeNm>	[0..1]	Text		693
	Issuer <Issr>	[1..1]	Text		693

3.4.6 CorporateActionOptionDetails <CorpActnOptnDtls>

Presence: [0..*]

Definition: Provides detailed information about the option of the CA event.

Impacted by: C3 "ChangeAllowedRule", C7 "WithdrawalAllowedRule"

CorporateActionOptionDetails <CorpActnOptnDtls> contains the following **CorporateActionOption1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	OptionNumber <OptnNb>	[1..1]	Text		100
	OptionType <OptnTp>	[1..1]			100
{Or	Code <Cd>	[1..1]	CodeSet		101
Or}	Proprietary <Prtry>	[1..1]	±		102
	OptionAvailabilityStatus <OptnAvlbtys>	[1..1]			102
{Or	Code <Cd>	[1..1]	CodeSet		102
Or}	Proprietary <Prtry>	[1..1]	±		103
	CertificationIndicator <CertfctnInd>	[0..1]	Indicator		103
	CertificationType <CertfctnTp>	[0..1]			103
{Or	Code <Cd>	[1..1]	CodeSet		103
Or}	Proprietary <Prtry>	[1..1]	±		104
	AssentedLineSecurityIdentification <AssntdLineSctyId>	[0..1]	±		104
	AgentSecuritiesAccountIdentification <AgtSciesAcctId>	[0..1]	Text		105
	AgentCashAccountIdentification <AgtCshAcctId>	[0..1]	±		105
	OfferType <OfferTp>	[0..*]			105
{Or	Code <Cd>	[1..1]	CodeSet		105
Or}	Proprietary <Prtry>	[1..1]	±		106
	IntermediateSecuritiesDistributionType <IntrmdtSciesDstrbtnTp>	[0..1]			106
{Or	Code <Cd>	[1..1]	CodeSet		107
Or}	Proprietary <Prtry>	[1..1]	±		107
	WithdrawalAllowedIndicator <WdrwlAllwdInd>	[1..1]	Indicator		108
	ChangeAllowedIndicator <ChngAllwdInd>	[1..1]	Indicator		108
	DateDetails <DtDtls>	[0..1]			108
	CouponClippingDate <CpnClpngDt>	[0..1]	±		109
	ConsentExpirationDate <CnsntXprtnDt>	[0..1]	±		109
	ConsentRecordDate <CnsntRcrdDt>	[0..1]	±		110
	PaymentDate <PmtDt>	[0..1]	±		110
	EarliestPaymentDate <EarlstPmtDt>	[0..1]	±		110
	MarketDeadline <MktDdln>	[0..1]	±		110

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ResponseDeadline <RspnDdlIn>	[0..1]	±		111
	DeadlineToSplit <DdlInToSpl>	[0..1]	±		111
	ExpiryDate <XpryDt>	[0..1]	±		111
	QuotationSettingDate <QtnSetngDt>	[0..1]	±		112
	SubscriptionCostDebitDate <SbcptCostDbtDt>	[0..1]	±		112
	RateAndAmountDetails <RateAndAmtDtls>	[0..1]			112
	WithholdingTax <WhldgTax>	[0..1]	±		114
	WithholdingOfForeignTax <WhldgOfFrgnTax>	[0..1]	±		115
	WithholdingOfLocalTax <WhldgOfLclTax>	[0..1]	±		115
	GermanLocalTax1 <GrmnLclTax1>	[0..1]	±		115
	GermanLocalTax2 <GrmnLclTax2>	[0..1]	±		116
	GermanLocalTax3 <GrmnLclTax3>	[0..1]	±		116
	GermanLocalTax4 <GrmnLclTax4>	[0..1]	±		117
	TaxOnIncome <TaxOnIncm>	[0..1]	±		117
	TaxOnProfit <TaxOnPrft>	[0..1]	±		117
	TaxReclaim <TaxRclm>	[0..1]	±		118
	FiscalStamp <FscIStmp>	[0..1]	±		118
	Proration <Prratn>	[0..1]	±		118
	NewToOld <NewToOd>	[0..1]	±		118
	NewSecuritiesToUnderlyingSecurities <NewSctiesToUndrlygScties>	[0..1]	±		119
	AdditionalQuantityForExistingSecurities <AddtlQtyForExstgScties>	[0..1]	±		119
	AdditionalQuantityForSubscribedResultantSecurities <AddtlQtyForSbcdbdRsltntScties>	[0..1]	±		120
	RelatedTax <RltdTax>	[0..1]			120
	TaxType <TaxTp>	[1..1]			120
{Or	Code <Cd>	[1..1]	CodeSet		121
Or}	Proprietary <Prtry>	[1..1]	±		121
	Amount <Amt>	[1..1]	Amount	C1, C5	121
	NonResidentRate <NonResdtRate>	[0..1]	±		122
	Charges <Chrgs>	[0..1]	±		122
	InterestForUsedPayment <IntrstForUsdPmt>	[0..1]	±		122

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	IndexFactor <IndxFctr>	[0..1]	±		123
	FullyFranked <FullyFrnkcd>	[0..1]	±		123
	GrossDividend <GrssDvdd>	[0..1]	±		123
	NetDividend <NetDvdd>	[0..1]	±		124
	FinalDividend <FnlDvdd>	[0..1]			124
{Or	Amount <Amt>	[1..1]	Amount	C1, C5	124
Or}	NotSpecifiedRate <NotSpctdRate>	[1..1]			125
{Or	Code <Cd>	[1..1]	CodeSet		125
Or}	Proprietary <Prtry>	[1..1]	±		125
	ProvisionalDividend <PrvsnlDvdd>	[0..1]			126
{Or	Amount <Amt>	[1..1]	Amount	C1, C5	126
Or}	NotSpecifiedRate <NotSpctdRate>	[1..1]			126
{Or	Code <Cd>	[1..1]	CodeSet		127
Or}	Proprietary <Prtry>	[1..1]	±		127
	CashIncentive <CshIncntiv>	[0..1]	±		127
	SolicitationFee <SlctnFee>	[0..1]	±		128
	MaximumAllowedOversubscription <MaxAllwdOvrscpt>	[0..1]	±		128
	AdditionalTax <AddtlTax>	[0..1]	±		128
	OriginalAmount <OrgnlAmt>	[0..1]	Amount	C1, C5	129
	ExchangeRate <XchgRate>	[0..1]			129
	UnitCurrency <UnitCcy>	[1..1]	CodeSet	C1	129
	QuotedCurrency <QtdCcy>	[1..1]	CodeSet	C1	130
	ExchangeRate <XchgRate>	[1..1]	Rate		130
	ResultingAmount <RsltgAmt>	[1..1]	Amount	C1, C5	130
	ApplicableRate <AplblRate>	[0..1]	±		130
	PriceDetails <PricDtls>	[0..1]			131
	ExercisePrice <ExrcPric>	[0..1]	±		131
	IssuePrice <IssePric>	[0..1]	±		132
	CashInLieuOfSharePrice <CshInLieuOfShrPric>	[0..1]	±		132
	TaxableIncomePerDividendShare <TaxblIncmPerDvddShr>	[0..1]			133
	AmountPriceType <AmtPricTp>	[1..1]			133

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		133
Or}	Proprietary <Prtry>	[1..1]	±		134
	PriceValue <PricVal>	[1..1]	Amount	C1	134
	GenericCashPriceReceivedPerProduct <GncCshPricRcvdPerPdct>	[0..1]	±		134
	GenericCashPricePaidPerProduct <GncCshPricPdPerPdct>	[0..1]	±		135
	OverSubscriptionDepositPrice <OverSbcptDpstPric>	[0..1]	±		136
	PeriodDetails <PrdDtls>	[0..1]			136
	AssentedLinePeriod <AssntdLinePrd>	[0..1]	±		137
	ActionPeriod <ActnPrd>	[0..1]	±		137
	PrivilegeSuspensionPeriod <PrvlgSspnsnPrd>	[0..1]	±		137
	ParallelTradingPeriod <ParllTradgPrd>	[0..1]	±		138
	SellThruIssuerPeriod <SellThrulssrPrd>	[0..1]	±		138
	RevocabilityPeriod <RvcbltyPrd>	[0..1]	±		138
	PriceCalculationPeriod <PricClctnPrd>	[0..1]	±		138
	SecuritiesMovementDetails <SctiesMvmntDtls>	[0..*]			139
	SecurityIdentification <SctyId>	[1..1]	±		141
	CreditDebitIndicator <CdtDbtInd>	[1..1]	CodeSet		141
	SecuritiesQuantity <SctiesQty>	[0..1]			141
{Or	Unit <Unit>	[1..1]	Quantity		142
Or}	FaceAmount <FaceAmt>	[1..1]	Amount	C1, C5	142
	MinimumExercisableSecuritiesQuantity <MinExrcblSctiesQty>	[0..1]			142
{Or	Unit <Unit>	[1..1]	Quantity		142
Or}	FaceAmount <FaceAmt>	[1..1]	Amount	C1, C5	143
	MinimumExercisableMultipleSecuritiesQuantity <MinExrcblMltplSctiesQty>	[0..1]			143
{Or	Unit <Unit>	[1..1]	Quantity		143
Or}	FaceAmount <FaceAmt>	[1..1]	Amount	C1, C5	143
	NewDenominationSecuritiesQuantity <NewDnmtnSctiesQty>	[0..1]			144
{Or	Unit <Unit>	[1..1]	Quantity		144
Or}	FaceAmount <FaceAmt>	[1..1]	Amount	C1, C5	144

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NewBoardLotSecuritiesQuantity <NewBrdLotSciesQty>	[0..1]			145
{Or	Unit <Unit>	[1..1]	Quantity		145
Or}	FaceAmount <FaceAmt>	[1..1]	Amount	C1, C5	145
	ShareRanking <ShrRnkg>	[0..1]			145
{Or	Code <Cd>	[1..1]	CodeSet		146
Or}	Proprietary <Prtry>	[1..1]	±		146
	AdditionalQuantityForSubscribedResultantSecurities <AddtlQtyForSbcbdRslntScies>	[0..1]	±		146
	DateDetails <DtDtls>	[0..1]			146
	PaymentDate <PmtDt>	[0..1]	±		147
	AvailableDate <AvlblDt>	[0..1]	±		147
	DividendRankingDate <DvddRnkgDt>	[0..1]	±		147
	PariPassuDate <PrpssDt>	[0..1]	±		148
	FirstDealingDate <FrstDealgDt>	[0..1]	±		148
	EarliestPaymentDate <EarlstPmtDt>	[0..1]	±		148
	PriceDetails <PricDtls>	[0..1]			149
	IndicativePrice <IndctvPric>	[0..1]	±		149
	MarketPrice <MktPric>	[0..1]	±		149
	TradingPeriod <TradgPrd>	[0..1]	±		150
	AdditionalQuantityForExistingSecurities <AddtlQtyForExstgScies>	[0..1]	±		150
	TemporaryFinancialInstrumentIndicator <TempFinInstrmlInd>	[0..1]	Indicator		150
	FractionDisposition <FrctnDspstn>	[0..1]			151
{Or	Code <Cd>	[1..1]	CodeSet		151
Or}	Proprietary <Prtry>	[1..1]	±		151
	CashMovementDetails <CshMvmntDtls>	[0..*]			152
	CreditDebitIndicator <CdtDbtInd>	[1..1]	CodeSet		155
	Currency <Ccy>	[0..1]	CodeSet	C1	155
	DateDetails <DtDtls>	[0..1]			155
	ForeignExchangeRateFixingDate <FXRateFxdDt>	[0..1]	±		155
	ValueDate <ValDt>	[0..1]	±		156
	PaymentDate <PmtDt>	[0..1]	±		156

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	EarliestPaymentDate <EarlstPmtDt>	[0..1]	±		156
	AmountDetails <AmtDtls>	[0..1]			157
	GrossCashAmount <GrssCshAmt>	[0..1]	Amount	C1, C5	159
	NetCashAmount <NetCshAmt>	[0..1]	Amount	C1, C5	160
	IssueDiscountAmount <IsseDscntAmt>	[0..1]	Amount	C1, C5	160
	SolicitationFees <SlctnFees>	[0..1]	Amount	C1, C5	160
	CashInLieuOfShare <CshInLieuOfShr>	[0..1]	Amount	C1, C5	161
	OriginalAmount <OrgnlAmt>	[0..1]	Amount	C1, C5	161
	CapitalGain <CptlGn>	[0..1]	Amount	C1, C5	162
	InterestAmount <IntrstAmt>	[0..1]	Amount	C1, C5	162
	IndemnityAmount <IndmntyAmt>	[0..1]	Amount	C1, C5	162
	RedemptionPremiumAmount <RedPrmAmt>	[0..1]	Amount	C1, C5	163
	ManufacturedDividendAmount <ManfctrdDvddAmt>	[0..1]	Amount	C1, C5	163
	PrincipalOrCorpus <PrncplOrCrps>	[0..1]	Amount	C1, C5	164
	ReinvestmentAmount <RinvstmtAmt>	[0..1]	Amount	C1, C5	164
	MarketClaimAmount <MktClmAmt>	[0..1]	Amount	C1, C5	165
	FullyFrankedAmount <FullyFrnkAmt>	[0..1]	Amount	C1, C5	165
	UnfrankedAmount <UfrnkAmt>	[0..1]	Amount	C1, C5	165
	SundryOrOtherAmount <SndryOrOthrAmt>	[0..1]	Amount	C1, C5	166
	SpecialConcessionAmount <SpclCncssnAmt>	[0..1]	Amount	C1, C5	166
	EntitledAmount <EntitldAmt>	[0..1]	Amount	C1, C5	167
	CashIncentive <CshIncntiv>	[0..1]	Amount	C1, C5	167
	AdditionalSubscriptionCost <AddtlSbcptCost>	[0..1]	Amount	C1, C5	167
	TaxFreeAmount <TaxFreeAmt>	[0..1]	Amount	C1, C5	168
	TaxDeferredAmount <TaxDfrdAmt>	[0..1]	Amount	C1, C5	168
	GermanLocalTax1Amount <GrmnLclTax1Amt>	[0..1]	Amount	C1, C5	169
	GermanLocalTax2Amount <GrmnLclTax2Amt>	[0..1]	Amount	C1, C5	169
	GermanLocalTax3Amount <GrmnLclTax3Amt>	[0..1]	Amount	C1, C5	169
	GermanLocalTax4Amount <GrmnLclTax4Amt>	[0..1]	Amount	C1, C5	170
	StockExchangeTaxAmount <StockXchgTaxAmt>	[0..1]	Amount	C1, C5	170
	TransferTaxAmount <TrfTaxAmt>	[0..1]	Amount	C1, C5	171

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	TransactionTaxAmount <TxTaxAmt>	[0..1]	Amount	C1, C5	171
	ValueAddedTaxAmount <ValAddedTaxAmt>	[0..1]	Amount	C1, C5	171
	EURetentionTaxAmount <EURtnTaxAmt>	[0..1]	Amount	C1, C5	172
	LocalTaxAmount <LclTaxAmt>	[0..1]	Amount	C1, C5	172
	PaymentLevyTaxAmount <PmtLevyTaxAmt>	[0..1]	Amount	C1, C5	173
	CountryNationalFederalTaxAmount <CtryNtlFdrTaxAmt>	[0..1]	Amount	C1, C5	173
	StampDutyAmount <StmpDtyAmt>	[0..1]	Amount	C1, C5	173
	TaxReclaimAmount <TaxRclmAmt>	[0..1]	Amount	C1, C5	174
	TaxCreditAmount <TaxCdtAmt>	[0..1]	Amount	C1, C5	174
	WithholdingOfForeignTaxAmount <WhldgOfFrngTaxAmt>	[0..1]	Amount	C1, C5	175
	WithholdingOfLocalTaxAmount <WhldgOfLclTaxAmt>	[0..1]	Amount	C1, C5	175
	AdditionalTaxAmount <AddtlTaxAmt>	[0..1]	Amount	C1, C5	175
	WithholdingTaxAmount <WhldgTaxAmt>	[0..1]	Amount	C1, C5	176
	FiscalStampAmount <FscStmpAmt>	[0..1]	Amount	C1, C5	176
	ExecutingBrokerAmount <ExctgBrkrAmt>	[0..1]	Amount	C1, C5	177
	PayingAgentCommissionAmount <PngAgtComssnAmt>	[0..1]	Amount	C1, C5	177
	LocalBrokerCommissionAmount <LclBrkrComssnAmt>	[0..1]	Amount	C1, C5	177
	PostageFeeAmount <PstgFeeAmt>	[0..1]	Amount	C1, C5	178
	RegulatoryFeesAmount <RgltryFeesAmt>	[0..1]	Amount	C1, C5	178
	ShippingFeesAmount <ShppgFeesAmt>	[0..1]	Amount	C1, C5	179
	ChargesAmount <ChrgsAmt>	[0..1]	Amount	C1, C5	179
	ExchangeRate <XchgRate>	[0..1]			179
	UnitCurrency <UnitCcy>	[1..1]	CodeSet	C1	180
	QuotedCurrency <QtdCcy>	[1..1]	CodeSet	C1	180
	ExchangeRate <XchgRate>	[1..1]	Rate		180
	ResultingAmount <RsltAmt>	[1..1]	Amount	C1, C5	181
	CorporateActionOtherAgentDetails <CorpActnOthrAgtDtls>	[0..*]			181
	AgentIdentification <AgtId>	[1..1]	±		181
	AgentRole <AgtRole>	[1..1]			182

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		182
Or}	Proprietary <Prtry>	[1..1]	±		182
	ContactPerson <CtctPrsn>	[0..1]	±		183
	FractionDisposition <FrctnDspstn>	[0..1]			183
{Or	Code <Cd>	[1..1]	CodeSet		183
Or}	Proprietary <Prtry>	[1..1]	±		183
	RedemptionChargesAppliedIndicator <RedChrgsAppldInd>	[0..1]	Indicator		184
	OptionFeatures <OptnFeatrs>	[0..*]			184
{Or	Code <Cd>	[1..1]	CodeSet		184
Or}	Proprietary <Prtry>	[1..1]	±		185
	CorporateActionAdditionalInformation <CorpActnAddtlInf>	[0..1]			185
	InformationConditions <InfCnds>	[0..1]	Text		186
	InformationToComplyWith <InfToCmplyWth>	[0..1]	Text		186
	TaxationConditions <TaxtnCnds>	[0..1]	Text		186
	NewCompanyName <NewCpnyNm>	[0..1]	Text		186
	Offeror <Offerr>	[0..1]	±		186
	URLAddress <URLAdr>	[0..1]	Text		186
	AdditionalText <AddtlTxt>	[0..1]	Text		186

Constraints

- **ChangeAllowedRule**

If the value of ChangeAllowedIndicator is true, then PerdioDetails/RevocabilityPeriod is Mandatory.

- **WithdrawalAllowedRule**

If the value of WithdrawalAllowedIndicator is true, then PeriodDetails/RevocabilityPeriod is Mandatory.

3.4.6.1 OptionNumber <OptnNb>

Presence: [1..1]

Definition: Number identifying the available corporate action options.

Datatype: "Exact3NumericText" on page 852

3.4.6.2 OptionType <OptnTp>

Presence: [1..1]

Definition: Specifies the corporate action options available to the account owner.

OptionType <OptnTp> contains one of the following **CorporateActionOption1FormatChoice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		101
Or}	Proprietary <Prtry>	[1..1]	±		102

3.4.6.2.1 Code <Cd>

Presence: [1..1]

Definition: Option type expressed as a code.

Datatype: "CorporateActionOptionType1Code" on page 821

CodeName	Name	Definition
BSPL	BonusSharePlan	Receive shares issued from the Share Premium Reserve of the company and considered as a capital distribution rather than a disbursement of income, with different tax implications (typically found in Australia).
BUYA	BuyUp	Buy additional securities to round up position.
CASE	CashAndSecurities	Election choice includes a combination of both cash and securities.
CASH	Cash	Distribution of cash.
CEXC	ConsentAndExchange	Vote to consent to change the terms of the securities agreement and to exchange securities.
CTEN	ConsentAndTender	Vote to consent to change the terms of the securities agreement and to tender securities for cash.
CONN	ConsentDenied	Vote not to approve the event or proposal.
CONY	ConsentGranted	Vote to approve the event or proposal.
EXER	Exercise	Exercise intermediate securities or warrants.
LAPS	Lapse	Allow event or entitled security to expire.
MPUT	Retain	An option that allows a holder to elect to retain their holding, eg, a puttable bond.
NOAC	NoAction	Option for the account owner not to take part in the event.
OFFR	ProposedRate	In a remarketing of variable notes, the margin that shareholders can propose in respect of the next interest period.
OVER	Oversubscribe	Subscribe to more equities than underlying securities position allows.
SECU	SecuritiesOption	Distribution of securities to holders.

CodeName	Name	Definition
SLLE	SellEntitlement	Sell the intermediate securities.
SPLI	SplitInstruction	Option to give a split instruction, eg, a split voting instruction on a meeting.
NOQU	NonQualifiedInvestor	The account owner is a Non-Qualified Investor.
OTHR	Other	Generic corporate action option to be used in case that no other specific code is appropriate.
QINV	QualifiedInvestor	The account owner is a Qualified Investor.

3.4.6.2.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Option type expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification13](#)" on page 693 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		693
	SchemeName <SchmeNm>	[0..1]	Text		693
	Issuer <Issr>	[1..1]	Text		693

3.4.6.3 OptionAvailabilityStatus <OptnAvlbtYsts>

Presence: [1..1]

Definition: Specifies the status of the option.

OptionAvailabilityStatus <OptnAvlbtYsts> contains one of the following **CorporateActionEventStatus2FormatChoice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		102
Or}	Proprietary <Prtry>	[1..1]	±		103

3.4.6.3.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the status of the CA option.

Datatype: "[CorporateActionEventStatus2Code](#)" on page 807

CodeName	Name	Definition
ACTI	Active	The CA event is active.
CANC	Cancelled	The CA event is cancelled.

CodeName	Name	Definition
INAC	Inactive	Option is not active and can no longer be responded to. Any responses already processed against this option will remain valid, eg, expired option.

3.4.6.3.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary code to express the status of the CA option.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification13](#)" on page 693 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		693
	SchemeName <SchmeNm>	[0..1]	Text		693
	Issuer <Issr>	[1..1]	Text		693

3.4.6.4 CertificationIndicator <CertfctnInd>

Presence: [0..1]

Definition: Whether or not certification is required from the account owner.

Yes: certification required

No: no certification required.

Datatype: One of the following values must be used (see "[YesNoIndicator](#)" on page 850):

- *Meaning When True:* Yes
- *Meaning When False:* No

3.4.6.5 CertificationType <CertfctnTp>

Presence: [0..1]

Definition: Type of certification which is required.

CertificationType <CertfctnTp> contains one of the following **BeneficiaryCertificationType1FormatChoice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		103
Or}	Proprietary <Prtry>	[1..1]	±		104

3.4.6.5.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the type of beneficiary's certification.

Datatype: "BeneficiaryCertificationType1Code" on page 803

CodeName	Name	Definition
ACCI	AccreditedInvestor	The holder of the security has to irrevocably certify that it is an Accredited Investor as defined in US Securities and Exchange Commission Regulation D.
DOMI	DomicileCountry	The holder of the security has to certify his domicile.
FULL	FullBeneficialOwnerBreakdown	The holder of the security has to provide his beneficial owner details for disclosure/instruction purpose.
QIBB	QIBCertification	The holder of the security has to irrevocably certify that it is a Qualified Institutional Buyer as defined in US Securities and Exchange Commission Rule 144A.
TRBD	TaxRateBreakdown	The holder of the security has to provide basic details on nominals and associated tax rate to be used by the account servicer.
NCOM	NonCompany	The holder of the security has to certify he is an Non-Company related person.

3.4.6.5.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary code to express the type of beneficiary's certification.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification13](#)" on page 693 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		693
	SchemeName <SchmeNm>	[0..1]	Text		693
	Issuer <Issr>	[1..1]	Text		693

3.4.6.6 AssentedLineSecurityIdentification <AssntdLineSctyld>

Presence: [0..1]

Definition: Identification of a temporary security used for processing reasons, eg, contra security used in the US.

AssentedLineSecurityIdentification <AssntdLineSctyld> contains the following elements (see "SecurityIdentification7" on page 791 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	ISIN <ISIN>	[1..1]	IdentifierSet		791
Or}	OtherIdentification <Othrlid>	[1..1]			791
	Identification <Id>	[1..1]	Text		792
{Or	DomesticIdentificationSource <DmstldSrc>	[1..1]	CodeSet	C4	792
Or}	ProprietaryIdentificationSource <PrtryldSrc>	[1..1]	Text		792
	Description <Desc>	[0..1]	Text		792

3.4.6.7 AgentSecuritiesAccountIdentification <AgtSctiesAcctld>

Presence: [0..1]

Definition: Identification of the safekeeping account held by an agent at the CSD.

Datatype: "Max35Text" on page 853

3.4.6.8 AgentCashAccountIdentification <AgtCshAcctld>

Presence: [0..1]

Definition: Identification of the cash account held by an agent at the CSD.

AgentCashAccountIdentification <AgtCshAcctld> contains one of the following elements (see "AccountIdentification2Choice" on page 670 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	CashAccountIdentification <CshAcctld>	[1..1]	Text		670
Or}	SecuritiesAccountIdentification <SctiesAcctld>	[1..1]	Text		670

3.4.6.9 OfferType <OfferTp>

Presence: [0..*]

Definition: Specifies the conditions that apply to the offer.

OfferType <OfferTp> contains one of the following **OfferType1FormatChoice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		105
Or}	Proprietary <Prtry>	[1..1]	±		106

3.4.6.9.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the conditions that apply to the offer.

Datatype: "OfferType1Code" on page 829

CodeName	Name	Definition
DISS	DissenterRights	Securities holder has the right to disagree with a mandatory event. This right is not inherent in all offers and therefore must be noted in announcements only when applicable.
ERUN	RestrictionExchange	Exchange of Restricted to Unrestricted (or vice versa).
FCFS	FirstComeFirstServed	Securities will be accepted in the order they have been submitted until the target number of quantity sought is reached.
FINL	FinalOffer	Final or last offer to holders subject to offer conditions being reached, for example, 50 percent accepted on a tender.
MINI	MiniTender	Tender offer for a security that is priced below the current trading price of that instrument.
PART	PartialOffer	Offer made by the offeror for a portion of the outstanding shares not already owned by the offeror.
SQUE	SqueezeOutBid	Offer launched by a company, in possession of a large number of the shares (90% - 95%) of a company (generally as a result of a tender offer), in order to acquire the remaining shares of that company.

3.4.6.9.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary code to express the conditions that apply to the offer.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification13](#)" on page 693 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		693
	SchemeName <SchmeNm>	[0..1]	Text		693
	Issuer <Issr>	[1..1]	Text		693

3.4.6.10 IntermediateSecuritiesDistributionType <IntrmdtScitiesDstrbtnTp>

Presence: [0..1]

Definition: Type of intermediates securities distribution, eg, stock dividend, reverse right.

IntermediateSecuritiesDistributionType <IntrmdtSctiesDstrbtnTp> contains one of the following **IntermediateSecurityDistributionType1FormatChoice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		107
Or}	Proprietary <Prtry>	[1..1]	±		107

3.4.6.10.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the type of intermediate security distribution.

Datatype: "IntermediateSecurityDistributionType1Code" on page 827

CodeName	Name	Definition
BIDS	ReverseRights	Distribution of reverser rights.
BONU	BonusRights	Distribution of bonus rights.
DRIP	DividendReinvestment	Distribution of dividend reinvestment securities.
DVCA	CashDividend	Distribution of cash to shareholders, in proportion to their equity holding. Ordinary dividends are recurring and regular. Shareholder must take cash and may be offered a choice of currency.
DVOP	DividendOption	Distribution of dividend option.
EXRI	SubscriptionRights	Distribution of subscription rights.
PRIO	OpenOfferRights	Distribution of open offer rights.
DVSC	ScripDividendOrPayment	Dividend or interest paid in the form of scrip.
DVSE	StockDividend	Dividend paid to shareholders in the form of shares of stock.
INTR	InterestPayment	Interest payment distributed to holders of an interest bearing asset.
LIQU	LiquidationDividendOrPayment	A distribution of cash, assets or both.
SOFF	SpinOff	A distribution of subsidiary stock to the shareholders.

3.4.6.10.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary code to express the type of intermediate security distribution.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification13](#)" on page 693 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		693
	SchemeName <SchmeNm>	[0..1]	Text		693
	Issuer <Issr>	[1..1]	Text		693

3.4.6.11 WithdrawalAllowedIndicator <WdrwlAllwdInd>

Presence: [1..1]

Definition: Indicates whether withdrawal of instruction is allowed.

Datatype: One of the following values must be used (see "[YesNoIndicator](#)" on page 850):

- *Meaning When True:* Yes
- *Meaning When False:* No

3.4.6.12 ChangeAllowedIndicator <ChngAllwdInd>

Presence: [1..1]

Definition: Indicates whether change of instruction is allowed.

Datatype: One of the following values must be used (see "[YesNoIndicator](#)" on page 850):

- *Meaning When True:* Yes
- *Meaning When False:* No

3.4.6.13 DateDetails <DtDtls>

Presence: [0..1]

Definition: Provides information about the dates related to a CA option.

DateDetails <DtDtls> contains the following **CorporateActionDate4** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CouponClippingDate <CpnClpngDt>	[0..1]	±		109
	ConsentExpirationDate <CnsntXprtnDt>	[0..1]	±		109
	ConsentRecordDate <CnsntRcrdDt>	[0..1]	±		110
	PaymentDate <PmtDt>	[0..1]	±		110
	EarliestPaymentDate <EarlstPmtDt>	[0..1]	±		110
	MarketDeadline <MktDdln>	[0..1]	±		110
	ResponseDeadline <RspnDdln>	[0..1]	±		111
	DeadlineToSplit <DdlnToSpl>	[0..1]	±		111
	ExpiryDate <XpryDt>	[0..1]	±		111
	QuotationSettingDate <QtnSetngDt>	[0..1]	±		112
	SubscriptionCostDebitDate <SbcptCostDbtDt>	[0..1]	±		112

3.4.6.13.1 CouponClippingDate <CpnClpngDt>

Presence: [0..1]

Definition: Date/time at which the coupons are to be/were submitted for payment of interest.

CouponClippingDate <CpnClpngDt> contains one of the following elements (see "DateFormat4Choice" on page 678 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		679
Or	NotSpecifiedDate <NotSpcfdDt>	[1..1]	CodeSet		679
Or}	Proprietary <Prtry>	[1..1]	±		679

3.4.6.13.2 ConsentExpirationDate <CnsntXprtnDt>

Presence: [0..1]

Definition: Last date/time at which a holder can consent to the changes sought by the corporation.

ConsentExpirationDate <CnsntXprtnDt> contains one of the following elements (see "DateFormat4Choice" on page 678 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		679
Or	NotSpecifiedDate <NotSpcfdDt>	[1..1]	CodeSet		679
Or}	Proprietary <Prtry>	[1..1]	±		679

3.4.6.13.3 ConsentRecordDate <CnsntRcrdDt>*Presence:* [0..1]

Definition: Date/time used by the offeror to determine the beneficiary eligible to participate in a consent based on the registered owner of the securities, eg, beneficial owner of consent record. The consent record date qualifier is used to indicate that a record date only applies to a certain part of the offer, not the entire offer.

ConsentRecordDate <CnsntRcrdDt> contains one of the following elements (see ["DateFormat4Choice"](#) on page 678 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		679
Or	NotSpecifiedDate <NotSpdfdDt>	[1..1]	CodeSet		679
Or}	Proprietary <Prtry>	[1..1]	±		679

3.4.6.13.4 PaymentDate <PmtDt>*Presence:* [0..1]

Definition: Date/time at which the distribution is due to take place (cash and/or securities).

PaymentDate <PmtDt> contains one of the following elements (see ["DateFormat4Choice"](#) on page 678 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		679
Or	NotSpecifiedDate <NotSpdfdDt>	[1..1]	CodeSet		679
Or}	Proprietary <Prtry>	[1..1]	±		679

3.4.6.13.5 EarliestPaymentDate <EarlstPmtDt>*Presence:* [0..1]

Definition: Date/time at which a payment can be made, eg, if payment date is a non-business day or to indicate the first payment date of an offer.

EarliestPaymentDate <EarlstPmtDt> contains one of the following elements (see ["DateFormat4Choice"](#) on page 678 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		679
Or	NotSpecifiedDate <NotSpdfdDt>	[1..1]	CodeSet		679
Or}	Proprietary <Prtry>	[1..1]	±		679

3.4.6.13.6 MarketDeadline <MktDdln>*Presence:* [0..1]

Definition: Issuer or issuer's agent deadline to respond, with an instruction, to an outstanding offer or privilege.

MarketDeadline <MktDdln> contains one of the following elements (see "DateFormat4Choice" on page 678 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		679
Or	NotSpecifiedDate <NotSpcfdDt>	[1..1]	CodeSet		679
Or}	Proprietary <Prtry>	[1..1]	±		679

3.4.6.13.7 ResponseDeadline <RspnDdln>

Presence: [0..1]

Definition: Date/time at which the account servicer has set as the deadline to respond, with instructions, to an outstanding event. This time is dependent on the reference time zone of the account servicer as specified in an SLA.

ResponseDeadline <RspnDdln> contains one of the following elements (see "DateFormat4Choice" on page 678 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		679
Or	NotSpecifiedDate <NotSpcfdDt>	[1..1]	CodeSet		679
Or}	Proprietary <Prtry>	[1..1]	±		679

3.4.6.13.8 DeadlineToSplit <DdlnToSpl>

Presence: [0..1]

Definition: Deadline by which instructions must be received to split securities, eg, of physical certificates.

DeadlineToSplit <DdlnToSpl> contains one of the following elements (see "DateFormat4Choice" on page 678 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		679
Or	NotSpecifiedDate <NotSpcfdDt>	[1..1]	CodeSet		679
Or}	Proprietary <Prtry>	[1..1]	±		679

3.4.6.13.9 ExpiryDate <XpryDt>

Presence: [0..1]

Definition: Date/time at which an order expires or on which a privilege or offer terminates.

ExpiryDate <XpryDt> contains one of the following elements (see "DateFormat4Choice" on page 678 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		679
Or	NotSpecifiedDate <NotSpcfdDt>	[1..1]	CodeSet		679
Or}	Proprietary <Prtry>	[1..1]	±		679

3.4.6.13.10 QuotationSettingDate <QtnSetngDt>

Presence: [0..1]

Definition: Date/time at which the price of a security is determined.

QuotationSettingDate <QtnSetngDt> contains one of the following elements (see "DateFormat4Choice" on page 678 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		679
Or	NotSpecifiedDate <NotSpcfdDt>	[1..1]	CodeSet		679
Or}	Proprietary <Prtry>	[1..1]	±		679

3.4.6.13.11 SubscriptionCostDebitDate <SbcptCostDbtDt>

Presence: [0..1]

Definition: Date/time by which cash must be in place in order to take part in the event.

SubscriptionCostDebitDate <SbcptCostDbtDt> contains one of the following elements (see "DateFormat4Choice" on page 678 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		679
Or	NotSpecifiedDate <NotSpcfdDt>	[1..1]	CodeSet		679
Or}	Proprietary <Prtry>	[1..1]	±		679

3.4.6.14 RateAndAmountDetails <RateAndAmtDtls>

Presence: [0..1]

Definition: Provides information about rates and amounts related to a CA option.

RateAndAmountDetails <RateAndAmtDtls> contains the following **CorporateActionRate2** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	WithholdingTax <WhldgTax>	[0..1]	±		114
	WithholdingOfForeignTax <WhldgOfFrngTax>	[0..1]	±		115
	WithholdingOfLocalTax <WhldgOfLclTax>	[0..1]	±		115
	GermanLocalTax1 <GrmnLclTax1>	[0..1]	±		115
	GermanLocalTax2 <GrmnLclTax2>	[0..1]	±		116
	GermanLocalTax3 <GrmnLclTax3>	[0..1]	±		116
	GermanLocalTax4 <GrmnLclTax4>	[0..1]	±		117
	TaxOnIncome <TaxOnIncm>	[0..1]	±		117
	TaxOnProfit <TaxOnPrft>	[0..1]	±		117
	TaxReclaim <TaxRclm>	[0..1]	±		118
	FiscalStamp <FscIStmp>	[0..1]	±		118
	Proration <Prratn>	[0..1]	±		118
	NewToOld <NewToOd>	[0..1]	±		118
	NewSecuritiesToUnderlyingSecurities <NewSctiesToUndrlygScties>	[0..1]	±		119
	AdditionalQuantityForExistingSecurities <AddtlQtyForExstgScties>	[0..1]	±		119
	AdditionalQuantityForSubscribedResultantSecurities <AddtlQtyForSbcbdRslntScties>	[0..1]	±		120
	RelatedTax <RltdTax>	[0..1]			120
	TaxType <TaxTp>	[1..1]			120
{Or	Code <Cd>	[1..1]	CodeSet		121
Or}	Proprietary <Prtry>	[1..1]	±		121
	Amount <Amt>	[1..1]	Amount	C1, C5	121
	NonResidentRate <NonResdtRate>	[0..1]	±		122
	Charges <Chrgs>	[0..1]	±		122
	InterestForUsedPayment <IntrstForUsdPmt>	[0..1]	±		122
	IndexFactor <IndxFctr>	[0..1]	±		123
	FullyFranked <FullyFrnkcd>	[0..1]	±		123
	GrossDividend <GrssDvdd>	[0..1]	±		123
	NetDividend <NetDvdd>	[0..1]	±		124
	FinalDividend <FnIDvdd>	[0..1]			124

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Amount <Amt>	[1..1]	Amount	C1, C5	124
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]			125
{Or	Code <Cd>	[1..1]	CodeSet		125
Or}	Proprietary <Prtry>	[1..1]	±		125
	ProvisionalDividend <PrvsnlDvdd>	[0..1]			126
{Or	Amount <Amt>	[1..1]	Amount	C1, C5	126
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]			126
{Or	Code <Cd>	[1..1]	CodeSet		127
Or}	Proprietary <Prtry>	[1..1]	±		127
	CashIncentive <CshIncntiv>	[0..1]	±		127
	SolicitationFee <SlctnFee>	[0..1]	±		128
	MaximumAllowedOversubscription <MaxAllwdOvrsbcpt>	[0..1]	±		128
	AdditionalTax <AddtlTax>	[0..1]	±		128
	OriginalAmount <OrgnlAmt>	[0..1]	Amount	C1, C5	129
	ExchangeRate <XchgRate>	[0..1]			129
	UnitCurrency <UnitCcy>	[1..1]	CodeSet	C1	129
	QuotedCurrency <QtdCcy>	[1..1]	CodeSet	C1	130
	ExchangeRate <XchgRate>	[1..1]	Rate		130
	ResultingAmount <RsltgtAmt>	[1..1]	Amount	C1, C5	130
	ApplicableRate <AplblRate>	[0..1]	±		130

3.4.6.14.1 WithholdingTax <WhldgTax>

Presence: [0..1]

Definition: Percentage of a cash distribution that will be withheld by a tax authority.

WithholdingTax <WhldgTax> contains one of the following elements (see ["RateFormat1Choice"](#) on page 777 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		778
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]			778
{Or	Code <Cd>	[1..1]	CodeSet		778
Or}	Proprietary <Prtry>	[1..1]	±		778

3.4.6.14.2 WithholdingOfForeignTax <WhldgOfFrgnTax>*Presence:* [0..1]*Definition:* Rate at which the income will be withheld by the jurisdiction in which the income was originally paid, for which relief at source and/or reclaim may be possible.**WithholdingOfForeignTax <WhldgOfFrgnTax>** contains one of the following elements (see "RateAndAmountFormat1Choice" on page 713 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		713
Or	Amount <Amt>	[1..1]	Amount	C1, C5	713
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]			714
{Or	Code <Cd>	[1..1]	CodeSet		714
Or}	Proprietary <Prtry>	[1..1]	±		714

3.4.6.14.3 WithholdingOfLocalTax <WhldgOfLclTax>*Presence:* [0..1]*Definition:* Rate at which the income will be withheld by the jurisdiction in which the account owner is located, for which relief at source and/or reclaim may be possible.**WithholdingOfLocalTax <WhldgOfLclTax>** contains one of the following elements (see "RateAndAmountFormat1Choice" on page 713 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		713
Or	Amount <Amt>	[1..1]	Amount	C1, C5	713
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]			714
{Or	Code <Cd>	[1..1]	CodeSet		714
Or}	Proprietary <Prtry>	[1..1]	±		714

3.4.6.14.4 GermanLocalTax1 <GrmnLclTax1>*Presence:* [0..1]*Definition:* Local tax (ZAS Anrechnungsbetrag) subject to interest down payment tax (proportion of interest liable for interest down payment tax/interim profit that is not covered by the tax exempt amount).

GermanLocalTax1 <GrmnLclTax1> contains one of the following elements (see "RateAndAmountFormat1Choice" on page 713 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		713
Or	Amount <Amt>	[1..1]	Amount	C1, C5	713
Or}	NotSpecifiedRate <NotSpcedRate>	[1..1]			714
{Or	Code <Cd>	[1..1]	CodeSet		714
Or}	Proprietary <Prtry>	[1..1]	±		714

3.4.6.14.5 GermanLocalTax2 <GrmnLclTax2>

Presence: [0..1]

Definition: Local tax (ZAS Pflichtige Zinsen) interest liable for interest down payment tax (proportion of gross interest per unit/interim profits that is not covered by the credit in the interest pool).

GermanLocalTax2 <GrmnLclTax2> contains one of the following elements (see "RateAndAmountFormat1Choice" on page 713 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		713
Or	Amount <Amt>	[1..1]	Amount	C1, C5	713
Or}	NotSpecifiedRate <NotSpcedRate>	[1..1]			714
{Or	Code <Cd>	[1..1]	CodeSet		714
Or}	Proprietary <Prtry>	[1..1]	±		714

3.4.6.14.6 GermanLocalTax3 <GrmnLclTax3>

Presence: [0..1]

Definition: Local tax (Zinstopf) offset interest per unit against tax exempt amount (variation to offset interest per unit in relation to tax exempt amount).

GermanLocalTax3 <GrmnLclTax3> contains one of the following elements (see "RateAndAmountFormat1Choice" on page 713 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		713
Or	Amount <Amt>	[1..1]	Amount	C1, C5	713
Or}	NotSpecifiedRate <NotSpcedRate>	[1..1]			714
{Or	Code <Cd>	[1..1]	CodeSet		714
Or}	Proprietary <Prtry>	[1..1]	±		714

3.4.6.14.7 GermanLocalTax4 <GrmnLclTax4>*Presence:* [0..1]*Definition:* Local tax (Ertrag Besitzanteilig) yield liable for interest down payment tax.**GermanLocalTax4 <GrmnLclTax4>** contains one of the following elements (see "[RateAndAmountFormat1Choice](#)" on page 713 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		713
Or	Amount <Amt>	[1..1]	Amount	C1, C5	713
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]			714
{Or	Code <Cd>	[1..1]	CodeSet		714
Or}	Proprietary <Prtry>	[1..1]	±		714

3.4.6.14.8 TaxOnIncome <TaxOnIncm>*Presence:* [0..1]*Definition:* Taxation applied on an amount clearly identified as an income.**TaxOnIncome <TaxOnIncm>** contains one of the following elements (see "[RateFormat1Choice](#)" on page 777 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		778
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]			778
{Or	Code <Cd>	[1..1]	CodeSet		778
Or}	Proprietary <Prtry>	[1..1]	±		778

3.4.6.14.9 TaxOnProfit <TaxOnPrft>*Presence:* [0..1]*Definition:* Taxation applied on an amount clearly identified as capital profits, capital gains.**TaxOnProfit <TaxOnPrft>** contains one of the following elements (see "[RateFormat1Choice](#)" on page 777 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		778
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]			778
{Or	Code <Cd>	[1..1]	CodeSet		778
Or}	Proprietary <Prtry>	[1..1]	±		778

3.4.6.14.10 TaxReclaim <TaxRclm>*Presence:* [0..1]*Definition:* Percentage of cash that was paid in excess of actual tax obligation and was reclaimed.**TaxReclaim <TaxRclm>** contains one of the following elements (see "[RateFormat1Choice](#)" on page 777 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		778
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]			778
{Or	Code <Cd>	[1..1]	CodeSet		778
Or}	Proprietary <Prtry>	[1..1]	±		778

3.4.6.14.11 FiscalStamp <FscIStmp>*Presence:* [0..1]*Definition:* Percentage of fiscal tax to apply.**FiscalStamp <FscIStmp>** contains one of the following elements (see "[RateFormat1Choice](#)" on page 777 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		778
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]			778
{Or	Code <Cd>	[1..1]	CodeSet		778
Or}	Proprietary <Prtry>	[1..1]	±		778

3.4.6.14.12 Proration <Prratn>*Presence:* [0..1]*Definition:* Proportionate allocation used for the offer.**Proration <Prratn>** contains one of the following elements (see "[RateFormat1Choice](#)" on page 777 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		778
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]			778
{Or	Code <Cd>	[1..1]	CodeSet		778
Or}	Proprietary <Prtry>	[1..1]	±		778

3.4.6.14.13 NewToOld <NewToOd>*Presence:* [0..1]

Definition: Quantity of new securities for a given quantity of underlying securities, where the underlying securities will be exchanged or debited, eg, 2 for 1: 2 new equities credited for every 1 underlying equity debited = 2 resulting equities.

NewToOld <NewToOd> contains one of the following elements (see "RatioFormat2Choice" on page 774 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	QuantityToQuantity <QtyToQty>	[1..1]	±		774
Or	AmountToAmount <AmtToAmt>	[1..1]	±		774
Or	AmountToQuantity <AmtToQty>	[1..1]	±		774
Or	QuantityToAmount <QtyToAmt>	[1..1]	±		775
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]			775
{Or	Code <Cd>	[1..1]	CodeSet		775
Or}	Proprietary <Prtry>	[1..1]	±		775

3.4.6.14.14 NewSecuritiesToUnderlyingSecurities <NewSctiesToUndrlygScties>

Presence: [0..1]

Definition: Quantity of new equities that will be derived by the exercise of a given quantity of intermediate securities.

NewSecuritiesToUnderlyingSecurities <NewSctiesToUndrlygScties> contains one of the following elements (see "RatioFormat2Choice" on page 774 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	QuantityToQuantity <QtyToQty>	[1..1]	±		774
Or	AmountToAmount <AmtToAmt>	[1..1]	±		774
Or	AmountToQuantity <AmtToQty>	[1..1]	±		774
Or	QuantityToAmount <QtyToAmt>	[1..1]	±		775
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]			775
{Or	Code <Cd>	[1..1]	CodeSet		775
Or}	Proprietary <Prtry>	[1..1]	±		775

3.4.6.14.15 AdditionalQuantityForExistingSecurities <AddtlQtyForExstgScties>

Presence: [0..1]

Definition: Quantity of additional securities for a given quantity of underlying securities where underlying securities are not exchanged or debited, eg, 1 for 1: 1 new

equity credited for every 1 underlying equity = 2 resulting equities.

AdditionalQuantityForExistingSecurities <AddtlQtyForExstgScties> contains one of the following elements (see "RatioFormat1Choice" on page 776 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	QuantityToQuantity <QtyToQty>	[1..1]	±		776
Or	AmountToAmount <AmtToAmt>	[1..1]	±		776
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]			777
{Or	Code <Cd>	[1..1]	CodeSet		777
Or}	Proprietary <Prtry>	[1..1]	±		777

3.4.6.14.16 AdditionalQuantityForSubscribedResultantSecurities <AddtlQtyForSbcbdRsltntScties>

Presence: [0..1]

Definition: Quantity of additional intermediate securities/new equities awarded for a given quantity of securities derived from subscription.

AdditionalQuantityForSubscribedResultantSecurities <AddtlQtyForSbcbdRsltntScties> contains one of the following elements (see "RatioFormat1Choice" on page 776 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	QuantityToQuantity <QtyToQty>	[1..1]	±		776
Or	AmountToAmount <AmtToAmt>	[1..1]	±		776
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]			777
{Or	Code <Cd>	[1..1]	CodeSet		777
Or}	Proprietary <Prtry>	[1..1]	±		777

3.4.6.14.17 RelatedTax <RltdTax>

Presence: [0..1]

Definition: Percentage of the gross dividend rate on which tax must be paid.

RelatedTax <RltdTax> contains the following **RelatedTaxType1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	TaxType <TaxTp>	[1..1]			120
{Or	Code <Cd>	[1..1]	CodeSet		121
Or}	Proprietary <Prtry>	[1..1]	±		121
	Amount <Amt>	[1..1]	Amount	C1, C5	121

3.4.6.14.17.1 TaxType <TaxTp>

Presence: [1..1]

Definition: Specifies the type of tax.

TaxType <TaxTp> contains one of the following **TaxType3FormatChoice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		121
Or}	Proprietary <Prtry>	[1..1]	±		121

3.4.6.14.17.1.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the type of taxes.

Datatype: "TaxType3Code" on page 846

CodeName	Name	Definition
LIDT	GermanLocalTax2	Local tax (ZAS pflichtige Zinsen) - interest liable for interest down payment tax (proportion of gross interest per unit/ interim profits that is not covered by the credit in the interest pool).
WITF	WithholdingOfForeignTax	Rate at which the income will be withheld by the jurisdiction in which the income was originally paid, for which relief at source and/or reclaim may be possible.
WITL	WithholdingOfLocalTax	Rate at which the income will be withheld by the jurisdiction in which the account owner is located, for which relief at source and/or reclaim may be possible.

3.4.6.14.17.1.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary code to express the type of taxes.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification13](#)" on page 693 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		693
	SchemeName <SchmeNm>	[0..1]	Text		693
	Issuer <Issr>	[1..1]	Text		693

3.4.6.14.17.2 Amount <Amt>

Presence: [1..1]

Definition: The value of the related tax expressed as an amount.

Impacted by: C1 "ActiveCurrency", C5 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 801

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

3.4.6.14.18 NonResidentRate <NonResdtRate>

Presence: [0..1]

Definition: Rate per share to which a non-resident is entitled.

NonResidentRate <NonResdtRate> contains one of the following elements (see "[RateAndAmountFormat1Choice](#)" on page 713 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		713
Or	Amount <Amt>	[1..1]	Amount	C1, C5	713
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]			714
{Or	Code <Cd>	[1..1]	CodeSet		714
Or}	Proprietary <Prtry>	[1..1]	±		714

3.4.6.14.19 Charges <Chrgs>

Presence: [0..1]

Definition: Rate used to calculate the amount of the charges/fees that cannot be categorised.

Charges <Chrgs> contains one of the following elements (see "[RateAndAmountFormat1Choice](#)" on page 713 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		713
Or	Amount <Amt>	[1..1]	Amount	C1, C5	713
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]			714
{Or	Code <Cd>	[1..1]	CodeSet		714
Or}	Proprietary <Prtry>	[1..1]	±		714

3.4.6.14.20 InterestForUsedPayment <IntrstForUsdPmt>

Presence: [0..1]

Definition: The actual interest rate used for the payment of the interest for the specified interest period.

InterestForUsedPayment <IntrstForUsdPmt> contains one of the following elements (see "RateAndAmountFormat1Choice" on page 713 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		713
Or	Amount <Amt>	[1..1]	Amount	C1, C5	713
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]			714
{Or	Code <Cd>	[1..1]	CodeSet		714
Or}	Proprietary <Prtry>	[1..1]	±		714

3.4.6.14.21 IndexFactor <IndxFctr>

Presence: [0..1]

Definition: Public index rate applied to the amount paid to adjust it to inflation.

IndexFactor <IndxFctr> contains one of the following elements (see "RateAndAmountFormat1Choice" on page 713 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		713
Or	Amount <Amt>	[1..1]	Amount	C1, C5	713
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]			714
{Or	Code <Cd>	[1..1]	CodeSet		714
Or}	Proprietary <Prtry>	[1..1]	±		714

3.4.6.14.22 FullyFranked <FullyFrnkcd>

Presence: [0..1]

Definition: Rate resulting from a fully franked dividend paid by a company; rate includes tax credit for companies that have made sufficient tax payments during fiscal period.

FullyFranked <FullyFrnkcd> contains one of the following elements (see "RateAndAmountFormat1Choice" on page 713 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		713
Or	Amount <Amt>	[1..1]	Amount	C1, C5	713
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]			714
{Or	Code <Cd>	[1..1]	CodeSet		714
Or}	Proprietary <Prtry>	[1..1]	±		714

3.4.6.14.23 GrossDividend <GrssDvdd>

Presence: [0..1]

Definition: Cash dividend amount per equity before deductions or allowances have been made.

GrossDividend <GrssDvdd> contains one of the following elements (see "GrossDividendRate1Choice" on page 784 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	NotSpecifiedRate <NotSpcfdRate>	[1..1]			784
{Or	Code <Cd>	[1..1]	CodeSet		785
Or}	Proprietary <Prtry>	[1..1]	±		785
Or	Amount <Amt>	[1..1]	Amount	C1, C5	785
Or}	RateTypeAmount <RateTpAmt>	[1..1]	±		786

3.4.6.14.24 NetDividend <NetDvdd>

Presence: [0..1]

Definition: Cash dividend amount per equity after deductions or allowances have been made.

NetDividend <NetDvdd> contains one of the following elements (see "NetDividendRate1Choice" on page 780 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	NotSpecifiedRate <NotSpcfdRate>	[1..1]			781
{Or	Code <Cd>	[1..1]	CodeSet		781
Or}	Proprietary <Prtry>	[1..1]	±		781
Or	Amount <Amt>	[1..1]	Amount	C1, C5	782
Or}	RateTypeAmount <RateTpAmt>	[1..1]	±		782

3.4.6.14.25 FinalDividend <FnlDvdd>

Presence: [0..1]

Definition: Dividend is final.

FinalDividend <FnlDvdd> contains one of the following **AmountAndRateFormat2Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Amount <Amt>	[1..1]	Amount	C1, C5	124
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]			125
{Or	Code <Cd>	[1..1]	CodeSet		125
Or}	Proprietary <Prtry>	[1..1]	±		125

3.4.6.14.25.1 Amount <Amt>

Presence: [1..1]

Definition: Number of monetary units specified in a currency.

Impacted by: C1 "ActiveCurrency", C5 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 801

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

3.4.6.14.25.2 NotSpecifiedRate <NotSpcfdRate>

Presence: [1..1]

Definition: The rate is not specified.

NotSpecifiedRate <NotSpcfdRate> contains one of the following **RateType12FormatChoice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		125
Or}	Proprietary <Prtry>	[1..1]	±		125

3.4.6.14.25.2.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the type of rate.

Datatype: "RateType12Code" on page 835

CodeName	Name	Definition
OPEN	Open	Rate has not been established.
UKWN	Unknown	Rate is unknown by the sender or has not been established.
NILP	NilPayment	Rate will not be paid.

3.4.6.14.25.2.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary code to express the type of rate.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification13](#)" on page 693 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		693
	SchemeName <SchmeNm>	[0..1]	Text		693
	Issuer <Issr>	[1..1]	Text		693

3.4.6.14.26 ProvisionalDividend <PrvsnlDvdd>

Presence: [0..1]

Definition: Dividend is provisional.

ProvisionalDividend <PrvsnlDvdd> contains one of the following **AmountAndRateFormat2Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Amount <Amt>	[1..1]	Amount	C1, C5	126
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]			126
{Or	Code <Cd>	[1..1]	CodeSet		127
Or}	Proprietary <Prtry>	[1..1]	±		127

3.4.6.14.26.1 Amount <Amt>

Presence: [1..1]

Definition: Number of monetary units specified in a currency.

Impacted by: C1 "ActiveCurrency", C5 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 801

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

3.4.6.14.26.2 NotSpecifiedRate <NotSpcfdRate>

Presence: [1..1]

Definition: The rate is not specified.

NotSpecifiedRate <NotSpcfdRate> contains one of the following **RateType12FormatChoice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		127
Or}	Proprietary <Prtry>	[1..1]	±		127

3.4.6.14.26.2.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the type of rate.

Datatype: "RateType12Code" on page 835

CodeName	Name	Definition
OPEN	Open	Rate has not been established.
UKWN	Unknown	Rate is unknown by the sender or has not been established.
NILP	NilPayment	Rate will not be paid.

3.4.6.14.26.2.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary code to express the type of rate.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification13](#)" on page 693 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		693
	SchemeName <SchmeNm>	[0..1]	Text		693
	Issuer <Issr>	[1..1]	Text		693

3.4.6.14.27 CashIncentive <CshIncntiv>

Presence: [0..1]

Definition: Rate of the cash premium made available if the securities holder consents or participates to an event, e.g. consent fees.

CashIncentive <CshIncntiv> contains one of the following elements (see "[RateFormat1Choice](#)" on page 777 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		778
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]			778
{Or	Code <Cd>	[1..1]	CodeSet		778
Or}	Proprietary <Prtry>	[1..1]	±		778

3.4.6.14.28 SolicitationFee <SlctnFee>*Presence:* [0..1]*Definition:* Cash rate made available in an offer in order to encourage participation in the offer.**SolicitationFee <SlctnFee>** contains one of the following elements (see "RateFormat1Choice" on page 777 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		778
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]			778
{Or	Code <Cd>	[1..1]	CodeSet		778
Or}	Proprietary <Prtry>	[1..1]	±		778

3.4.6.14.29 MaximumAllowedOversubscription <MaxAllwdOvrsbcpt>*Presence:* [0..1]*Definition:* A maximum percentage of shares available through the over subscription privilege, usually a percentage of the basic subscription shares, eg, an account owner subscribing to 100 shares may over subscribe to a maximum of 50 additional shares when the over subscription maximum is 50%.**MaximumAllowedOversubscription <MaxAllwdOvrsbcpt>** contains one of the following elements (see "RateFormat1Choice" on page 777 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		778
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]			778
{Or	Code <Cd>	[1..1]	CodeSet		778
Or}	Proprietary <Prtry>	[1..1]	±		778

3.4.6.14.30 AdditionalTax <AddtlTax>*Presence:* [0..1]*Definition:* Rate used for additional tax that cannot be categorised.**AdditionalTax <AddtlTax>** contains one of the following elements (see "RateAndAmountFormat1Choice" on page 713 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		713
Or	Amount <Amt>	[1..1]	Amount	C1, C5	713
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]			714
{Or	Code <Cd>	[1..1]	CodeSet		714
Or}	Proprietary <Prtry>	[1..1]	±		714

3.4.6.14.31 OriginalAmount <OrgnIAmt>*Presence:* [0..1]*Definition:* Amount in its original currency when conversion from/into another currency has occurred.*Impacted by:* C1 "ActiveCurrency", C5 "CurrencyAmount"*Datatype:* "ActiveCurrencyAndAmount" on page 801**Constraints**

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

3.4.6.14.32 ExchangeRate <XchgRate>*Presence:* [0..1]*Definition:* Provides information about a foreign exchange.**ExchangeRate <XchgRate>** contains the following **ForeignExchangeTerms8** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	UnitCurrency <UnitCcy>	[1..1]	CodeSet	C1	129
	QuotedCurrency <QtdCcy>	[1..1]	CodeSet	C1	130
	ExchangeRate <XchgRate>	[1..1]	Rate		130
	ResultingAmount <RsltgAmt>	[1..1]	Amount	C1, C5	130

3.4.6.14.32.1 UnitCurrency <UnitCcy>*Presence:* [1..1]

Definition: Currency in which the rate of exchange is expressed in a currency exchange. In the example 1GBP = xxxCUR, the unit currency is GBP.

Impacted by: C1 "ActiveCurrency"*Datatype:* "ActiveCurrencyCode" on page 802**Constraints**

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217

Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

3.4.6.14.32.2 QuotedCurrency <QtdCcy>

Presence: [1..1]

Definition: Currency into which the base currency is converted, in a currency exchange.

Impacted by: C1 "ActiveCurrency"

Datatype: "ActiveCurrencyCode" on page 802

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

3.4.6.14.32.3 ExchangeRate <XchgRate>

Presence: [1..1]

Definition: Factor used for the conversion of an amount from one currency into another. This reflects the price at which one currency was bought with another currency.

Usage: ExchangeRate expresses the ratio between UnitCurrency and QuotedCurrency (ExchangeRate = UnitCurrency/QuotedCurrency).

Datatype: "BaseOneRate" on page 851

3.4.6.14.32.4 ResultingAmount <RsltAmt>

Presence: [1..1]

Definition: Amount of money resulting from a foreign exchange transaction.

Impacted by: C1 "ActiveCurrency", C5 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 801

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

3.4.6.14.33 ApplicableRate <AplblRate>

Presence: [0..1]

Definition: Rate applicable to the event announced, eg, redemption rate for a redemption event.

ApplicableRate <ApblRate> contains one of the following elements (see "RateFormat1Choice" on page 777 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		778
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]			778
{Or	Code <Cd>	[1..1]	CodeSet		778
Or}	Proprietary <Prtry>	[1..1]	±		778

3.4.6.15 PriceDetails <PricDtls>

Presence: [0..1]

Definition: Provides information about the prices related to a CA option.

PriceDetails <PricDtls> contains the following **CorporateActionPrice1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ExercisePrice <ExrcPric>	[0..1]	±		131
	IssuePrice <IssePric>	[0..1]	±		132
	CashInLieuOfSharePrice <CshInLieuOfShrPric>	[0..1]	±		132
	TaxableIncomePerDividendShare <TaxblIncmPerDvddShr>	[0..1]			133
	AmountPriceType <AmtPricTp>	[1..1]			133
{Or	Code <Cd>	[1..1]	CodeSet		133
Or}	Proprietary <Prtry>	[1..1]	±		134
	PriceValue <PricVal>	[1..1]	Amount	C1	134
	GenericCashPriceReceivedPerProduct <GncCshPricRcvdPerPdct>	[0..1]	±		134
	GenericCashPricePaidPerProduct <GncCshPricPdPerPdct>	[0..1]	±		135
	OverSubscriptionDepositPrice <OverSbcptDpstPric>	[0..1]	±		136

3.4.6.15.1 ExercisePrice <ExrcPric>

Presence: [0..1]

Definition: 1. Price at which security will be purchased/sold if warrant is exercised, either as an actual amount or a percentage.

2. Price at which a bond is converted to underlying security either as an actual amount or a percentage.

3. Strike price of an option, represented either as an actual amount, a percentage or or a number of points above an index.

ExercisePrice <ExrcPric> contains one of the following elements (see "PriceFormat4Choice" on page 755 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Amount <Amt>	[1..1]			756
	AmountPriceType <AmtPricTp>	[1..1]			756
{Or	Code <Cd>	[1..1]	CodeSet		756
Or}	Proprietary <Prtry>	[1..1]	±		757
	PriceValue <PricVal>	[1..1]	Amount	C1	757
Or	Rate <Rate>	[1..1]	±		757
Or	NotSpecified <NotSpcfd>	[1..1]			758
{Or	Code <Cd>	[1..1]	CodeSet		758
Or}	Proprietary <Prtry>	[1..1]	±		758
Or}	IndexPoints <IndxPts>	[1..1]	Quantity		758

3.4.6.15.2 IssuePrice <IssePric>

Presence: [0..1]

Definition: Initial issue price of a financial instrument.

IssuePrice <IssePric> contains one of the following elements (see "PriceFormat2Choice" on page 770 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Amount <Amt>	[1..1]			770
	AmountPriceType <AmtPricTp>	[1..1]			771
{Or	Code <Cd>	[1..1]	CodeSet		771
Or}	Proprietary <Prtry>	[1..1]	±		771
	PriceValue <PricVal>	[1..1]	Amount	C1	772
Or	Rate <Rate>	[1..1]	±		772
Or}	NotSpecified <NotSpcfd>	[1..1]			772
{Or	Code <Cd>	[1..1]	CodeSet		772
Or}	Proprietary <Prtry>	[1..1]	±		773

3.4.6.15.3 CashInLieuOfSharePrice <CshInLieuOfShrPric>

Presence: [0..1]

Definition: Cash disbursement in lieu of equities; usually in lieu of fractional quantity.

CashInLieuOfSharePrice <CshInLieuOfShrPric> contains one of the following elements (see "PriceFormat2Choice" on page 770 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Amount <Amt>	[1..1]			770
	AmountPriceType <AmtPricTp>	[1..1]			771
{Or	Code <Cd>	[1..1]	CodeSet		771
Or}	Proprietary <Prtry>	[1..1]	±		771
	PriceValue <PricVal>	[1..1]	Amount	C1	772
Or	Rate <Rate>	[1..1]	±		772
Or}	NotSpecified <NotSpcfd>	[1..1]			772
{Or	Code <Cd>	[1..1]	CodeSet		772
Or}	Proprietary <Prtry>	[1..1]	±		773

3.4.6.15.4 TaxableIncomePerDividendShare <TaxblIncmPerDvddShr>

Presence: [0..1]

Definition: Amount included in the dividend/NAV that is identified as gains directly or indirectly derived from interest payments within the scope of the EU Savings directive.

TaxableIncomePerDividendShare <TaxblIncmPerDvddShr> contains the following **AmountPrice1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AmountPriceType <AmtPricTp>	[1..1]			133
{Or	Code <Cd>	[1..1]	CodeSet		133
Or}	Proprietary <Prtry>	[1..1]	±		134
	PriceValue <PricVal>	[1..1]	Amount	C1	134

3.4.6.15.4.1 AmountPriceType <AmtPricTp>

Presence: [1..1]

Definition: Type of amount price.

AmountPriceType <AmtPricTp> contains one of the following **AmountPriceType1FormatChoice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		133
Or}	Proprietary <Prtry>	[1..1]	±		134

3.4.6.15.4.1.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the amount price type.

Datatype: "AmountPriceType1Code" on page 803

CodeName	Name	Definition
ACTU	ActualAmount	Price expressed as a currency and amount per unit or per share.
DISC	Discount	Price expressed as a discount amount.
PLOT	Lot	Price expressed as an amount of money per lot.
PREM	Premium	Price expressed as a premium.

3.4.6.15.4.1.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary code to express the amount price type.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification13](#)" on page 693 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		693
	SchemeName <SchmeNm>	[0..1]	Text		693
	Issuer <Issr>	[1..1]	Text		693

3.4.6.15.4.2 PriceValue <PricVal>

Presence: [1..1]

Definition: Value of the price.

Impacted by: C1 "ActiveCurrency"

Datatype: "ActiveCurrencyAnd13DecimalAmount" on page 801

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

3.4.6.15.5 GenericCashPriceReceivedPerProduct <GncCshPricRcvdPerPdct>

Presence: [0..1]

Definition: Generic cash price received per product by the underlying security holder either as a percentage or an amount, eg, redemption price.

GenericCashPriceReceivedPerProduct <GncCshPricRcvdPerPdct> contains one of the following elements (see "PriceFormat1Choice" on page 759 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Amount <Amt>	[1..1]			759
	AmountPriceType <AmtPricTp>	[1..1]			760
{Or	Code <Cd>	[1..1]	CodeSet		760
Or}	Proprietary <Prtry>	[1..1]	±		760
	PriceValue <PricVal>	[1..1]	Amount	C1	761
Or	Rate <Rate>	[1..1]	Rate		761
Or	AmountPricePerFinancialInstrumentQuantity <AmtPricPerFinInstrmQty>	[1..1]			761
	AmountPriceType <AmtPricTp>	[1..1]			762
{Or	Code <Cd>	[1..1]	CodeSet		762
Or}	Proprietary <Prtry>	[1..1]	±		762
	PriceValue <PricVal>	[1..1]	Amount	C1	762
	FinancialInstrumentQuantity <FinInstrmQty>	[1..1]			763
{Or	Unit <Unit>	[1..1]	Quantity		763
Or}	FaceAmount <FaceAmt>	[1..1]	Amount	C1, C5	763
Or	AmountPricePerAmount <AmtPricPerAmt>	[1..1]			763
	AmountPriceType <AmtPricTp>	[1..1]			764
{Or	Code <Cd>	[1..1]	CodeSet		764
Or}	Proprietary <Prtry>	[1..1]	±		764
	PriceValue <PricVal>	[1..1]	Amount	C1	765
	Amount <Amt>	[1..1]	Amount	C1	765
Or}	NotSpecified <NotSpcfd>	[1..1]			765
{Or	Code <Cd>	[1..1]	CodeSet		766
Or}	Proprietary <Prtry>	[1..1]	±		766

3.4.6.15.6 GenericCashPricePaidPerProduct <GncCshPricPdPerPdct>

Presence: [0..1]

Definition: Generic cash price paid per product by the underlying security holder either as a percentage or an amount, eg, reinvestment price.

GenericCashPricePaidPerProduct <GncCshPricPdPerPdct> contains one of the following elements (see "PriceFormat2Choice" on page 770 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Amount <Amt>	[1..1]			770
	AmountPriceType <AmtPricTp>	[1..1]			771
{Or	Code <Cd>	[1..1]	CodeSet		771
Or}	Proprietary <Prtry>	[1..1]	±		771
	PriceValue <PricVal>	[1..1]	Amount	C1	772
Or	Rate <Rate>	[1..1]	±		772
Or}	NotSpecified <NotSpcfd>	[1..1]			772
{Or	Code <Cd>	[1..1]	CodeSet		772
Or}	Proprietary <Prtry>	[1..1]	±		773

3.4.6.15.7 OverSubscriptionDepositPrice <OverSbcptDpstPric>

Presence: [0..1]

Definition: Amount of money required per over-subscribed equity as defined by the issuer.

OverSubscriptionDepositPrice <OverSbcptDpstPric> contains one of the following elements (see "PriceFormat2Choice" on page 770 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Amount <Amt>	[1..1]			770
	AmountPriceType <AmtPricTp>	[1..1]			771
{Or	Code <Cd>	[1..1]	CodeSet		771
Or}	Proprietary <Prtry>	[1..1]	±		771
	PriceValue <PricVal>	[1..1]	Amount	C1	772
Or	Rate <Rate>	[1..1]	±		772
Or}	NotSpecified <NotSpcfd>	[1..1]			772
{Or	Code <Cd>	[1..1]	CodeSet		772
Or}	Proprietary <Prtry>	[1..1]	±		773

3.4.6.16 PeriodDetails <PrdDtIs>

Presence: [0..1]

Definition: Provides information about the periods related to a CA option.

PeriodDetails <PrdDtls> contains the following **CorporateActionPeriod2** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AssentedLinePeriod <AssntdLinePrd>	[0..1]	±		137
	ActionPeriod <ActnPrd>	[0..1]	±		137
	PrivilegeSuspensionPeriod <PrvlgSspnsnPrd>	[0..1]	±		137
	ParallelTradingPeriod <ParllTradgPrd>	[0..1]	±		138
	SellThruIssuerPeriod <SellThruIssrPrd>	[0..1]	±		138
	RevocabilityPeriod <RvcbltyPrd>	[0..1]	±		138
	PriceCalculationPeriod <PricClctnPrd>	[0..1]	±		138

3.4.6.16.1 AssentedLinePeriod <AssntdLinePrd>

Presence: [0..1]

Definition: Period during which the assented line is available.

AssentedLinePeriod <AssntdLinePrd> contains the following elements (see "Period1" on page 679 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	StartDate <StartDt>	[1..1]	±		680
	EndDate <EndDt>	[1..1]	±		680

3.4.6.16.2 ActionPeriod <ActnPrd>

Presence: [0..1]

Definition: Period during which the specified option, or all options of the event, remains valid, eg, offer period.

ActionPeriod <ActnPrd> contains the following elements (see "Period1" on page 679 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	StartDate <StartDt>	[1..1]	±		680
	EndDate <EndDt>	[1..1]	±		680

3.4.6.16.3 PrivilegeSuspensionPeriod <PrvlgSspnsnPrd>

Presence: [0..1]

Definition: Period during which the privilege is not available, eg, this can happen whenever a meeting takes place or whenever a coupon payment is due.

PrivilegeSuspensionPeriod <PrvlgSspnsnPrd> contains the following elements (see "Period1" on page 679 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	StartDate <StartDt>	[1..1]	±		680
	EndDate <EndDt>	[1..1]	±		680

3.4.6.16.4 ParallelTradingPeriod <ParllTradgPrd>

Presence: [0..1]

Definition: Period during which both old and new equity may be traded simultaneously, eg, consolidation of equity or splitting of equity.

ParallelTradingPeriod <ParllTradgPrd> contains the following elements (see "Period1" on page 679 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	StartDate <StartDt>	[1..1]	±		680
	EndDate <EndDt>	[1..1]	±		680

3.4.6.16.5 SellThruIssuerPeriod <SellThruIssrPrd>

Presence: [0..1]

Definition: Period (last day included) during which an account owner can surrender or sell securities to the issuer and receive the sale proceeds.

SellThruIssuerPeriod <SellThruIssrPrd> contains the following elements (see "Period1" on page 679 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	StartDate <StartDt>	[1..1]	±		680
	EndDate <EndDt>	[1..1]	±		680

3.4.6.16.6 RevocabilityPeriod <RvcbltyPrd>

Presence: [0..1]

Definition: Period during which the shareholder can revoke, change or withdraw its instruction.

RevocabilityPeriod <RvcbltyPrd> contains the following elements (see "Period1" on page 679 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	StartDate <StartDt>	[1..1]	±		680
	EndDate <EndDt>	[1..1]	±		680

3.4.6.16.7 PriceCalculationPeriod <PricClctnPrd>

Presence: [0..1]

Definition: Period during which the price of a security is determined (for outturn securities).

PriceCalculationPeriod <PricClctnPrd> contains the following elements (see "Period1" on page 679 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	StartDate <StartDt>	[1..1]	±		680
	EndDate <EndDt>	[1..1]	±		680

3.4.6.17 SecuritiesMovementDetails <SctiesMvmntDtls>

Presence: [0..*]

Definition: Provides information about the securities movement linked to the CA option.

SecuritiesMovementDetails <SctiesMvmntDtls> contains the following **SecurityOption1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	SecurityIdentification <SctyId>	[1..1]	±		141
	CreditDebitIndicator <CdtDbtInd>	[1..1]	CodeSet		141
	SecuritiesQuantity <SctiesQty>	[0..1]			141
{Or	Unit <Unit>	[1..1]	Quantity		142
Or}	FaceAmount <FaceAmt>	[1..1]	Amount	C1, C5	142
	MinimumExercisableSecuritiesQuantity <MinExrcblSctiesQty>	[0..1]			142
{Or	Unit <Unit>	[1..1]	Quantity		142
Or}	FaceAmount <FaceAmt>	[1..1]	Amount	C1, C5	143
	MinimumExercisableMultipleSecuritiesQuantity <MinExrcblMltplSctiesQty>	[0..1]			143
{Or	Unit <Unit>	[1..1]	Quantity		143
Or}	FaceAmount <FaceAmt>	[1..1]	Amount	C1, C5	143
	NewDenominationSecuritiesQuantity <NewDnmtnSctiesQty>	[0..1]			144
{Or	Unit <Unit>	[1..1]	Quantity		144
Or}	FaceAmount <FaceAmt>	[1..1]	Amount	C1, C5	144
	NewBoardLotSecuritiesQuantity <NewBrdLotSctiesQty>	[0..1]			145
{Or	Unit <Unit>	[1..1]	Quantity		145
Or}	FaceAmount <FaceAmt>	[1..1]	Amount	C1, C5	145
	ShareRanking <ShrRnkg>	[0..1]			145
{Or	Code <Cd>	[1..1]	CodeSet		146
Or}	Proprietary <Prtry>	[1..1]	±		146
	AdditionalQuantityForSubscribedResultantSecurities <AddtlQtyForSbcbdrslntScties>	[0..1]	±		146
	DateDetails <DtDtls>	[0..1]			146
	PaymentDate <PmtDt>	[0..1]	±		147
	AvailableDate <AvlbIDt>	[0..1]	±		147
	DividendRankingDate <DvddRnkgDt>	[0..1]	±		147
	PariPassuDate <PrpssDt>	[0..1]	±		148
	FirstDealingDate <FrstDealgDt>	[0..1]	±		148
	EarliestPaymentDate <EarlstPmtDt>	[0..1]	±		148
	PriceDetails <PricDtls>	[0..1]			149

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	IndicativePrice <IndctvPric>	[0..1]	±		149
	MarketPrice <MktPric>	[0..1]	±		149
	TradingPeriod <TradgPrd>	[0..1]	±		150
	AdditionalQuantityForExistingSecurities <AddtlQtyForExstgScies>	[0..1]	±		150
	TemporaryFinancialInstrumentIndicator <TempFinInstrmlInd>	[0..1]	Indicator		150
	FractionDisposition <FrctnDspstn>	[0..1]			151
{Or	Code <Cd>	[1..1]	CodeSet		151
Or}	Proprietary <Prtry>	[1..1]	±		151

3.4.6.17.1 SecurityIdentification <Sctyld>

Presence: [1..1]

Definition: Identification of the financial instrument.

SecurityIdentification <Sctyld> contains the following elements (see "FinancialInstrumentDescription3" on page 790 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	SecurityIdentification <Sctyld>	[1..1]	±		790
	PlaceOfListing <PlcOfListg>	[0..1]	IdentifierSet		790
	SafekeepingPlace <SfkpgPlc>	[0..1]	±		790

3.4.6.17.2 CreditDebitIndicator <CdtDbtInd>

Presence: [1..1]

Definition: Specifies whether the value is a debit or credit.

Datatype: "CreditDebitCode" on page 822

CodeName	Name	Definition
CRDT	Credit	Operation is an increase.
DBIT	Debit	Operation is a decrease.

3.4.6.17.3 SecuritiesQuantity <SctiesQty>

Presence: [0..1]

Definition: Quantity of financial instrument.

SecuritiesQuantity <SctiesQty> contains one of the following **UnitOrFaceAmount1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		142
Or}	FaceAmount <FaceAmt>	[1..1]	Amount	C1, C5	142

3.4.6.17.3.1 Unit <Unit>

Presence: [1..1]

Definition: Quantity expressed as a number, eg, a number of shares.

Datatype: "DecimalNumber" on page 851

3.4.6.17.3.2 FaceAmount <FaceAmt>

Presence: [1..1]

Definition: Quantity expressed as an amount representing the face amount, ie, the principal, of a debt instrument.

Impacted by: C1 "ActiveCurrency", C5 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 801

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

3.4.6.17.4 MinimumExercisableSecuritiesQuantity <MinExrcblSctiesQty>

Presence: [0..1]

Definition: Minimum quantity of financial instrument or lot of rights/warrants that must be exercised.

MinimumExercisableSecuritiesQuantity <MinExrcblSctiesQty> contains one of the following **UnitOrFaceAmount1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		142
Or}	FaceAmount <FaceAmt>	[1..1]	Amount	C1, C5	143

3.4.6.17.4.1 Unit <Unit>

Presence: [1..1]

Definition: Quantity expressed as a number, eg, a number of shares.

Datatype: "DecimalNumber" on page 851

3.4.6.17.4.2 FaceAmount <FaceAmt>

Presence: [1..1]

Definition: Quantity expressed as an amount representing the face amount, ie, the principal, of a debt instrument.

Impacted by: C1 "ActiveCurrency", C5 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 801

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

3.4.6.17.5 MinimumExercisableMultipleSecuritiesQuantity <MinExrcblMltplSctiesQty>

Presence: [0..1]

Definition: Minimum multiple quantity of financial instrument or lot of rights/warrants that must be exercised.

MinimumExercisableMultipleSecuritiesQuantity <MinExrcblMltplSctiesQty> contains one of the following **UnitOrFaceAmount1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		143
Or}	FaceAmount <FaceAmt>	[1..1]	Amount	C1, C5	143

3.4.6.17.5.1 Unit <Unit>

Presence: [1..1]

Definition: Quantity expressed as a number, eg, a number of shares.

Datatype: "DecimalNumber" on page 851

3.4.6.17.5.2 FaceAmount <FaceAmt>

Presence: [1..1]

Definition: Quantity expressed as an amount representing the face amount, ie, the principal, of a debt instrument.

Impacted by: C1 "ActiveCurrency", C5 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 801

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

3.4.6.17.6 NewDenominationSecuritiesQuantity <NewDnmtnSciesQty>

Presence: [0..1]

Definition: New denomination of the financial instrument following, eg, an increase or decrease in nominal value.

NewDenominationSecuritiesQuantity <NewDnmtnSciesQty> contains one of the following **UnitOrFaceAmount1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		144
Or}	FaceAmount <FaceAmt>	[1..1]	Amount	C1, C5	144

3.4.6.17.6.1 Unit <Unit>

Presence: [1..1]

Definition: Quantity expressed as a number, eg, a number of shares.

Datatype: "DecimalNumber" on page 851

3.4.6.17.6.2 FaceAmount <FaceAmt>

Presence: [1..1]

Definition: Quantity expressed as an amount representing the face amount, ie, the principal, of a debt instrument.

Impacted by: C1 "ActiveCurrency", C5 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 801

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

3.4.6.17.7 NewBoardLotSecuritiesQuantity <NewBrdLotSctiesQty>

Presence: [0..1]

Definition: Quantity of equity that makes up the new board lot.

NewBoardLotSecuritiesQuantity <NewBrdLotSctiesQty> contains one of the following **UnitOrFaceAmount1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		145
Or}	FaceAmount <FaceAmt>	[1..1]	Amount	C1, C5	145

3.4.6.17.7.1 Unit <Unit>

Presence: [1..1]

Definition: Quantity expressed as a number, eg, a number of shares.

Datatype: "DecimalNumber" on page 851

3.4.6.17.7.2 FaceAmount <FaceAmt>

Presence: [1..1]

Definition: Quantity expressed as an amount representing the face amount, ie, the principal, of a debt instrument.

Impacted by: C1 "ActiveCurrency", C5 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 801

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

3.4.6.17.8 ShareRanking <ShrRnkg>

Presence: [0..1]

Definition: Specifies whether the shares are ranking for dividend or pari passu.

ShareRanking <ShrRnkg> contains one of the following **ShareRanking1FormatChoice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		146
Or}	Proprietary <Prtry>	[1..1]	±		146

3.4.6.17.8.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the share ranking.

Datatype: "ShareRanking1Code" on page 844

CodeName	Name	Definition
DIVI	Dividend	Payable on the date specified.
PARI	PariPassu	Pari Passu. With equal rights as other shares of the company.

3.4.6.17.8.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary code to express the share ranking.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification13](#)" on page 693 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		693
	SchemeName <SchmeNm>	[0..1]	Text		693
	Issuer <Issr>	[1..1]	Text		693

3.4.6.17.9 AdditionalQuantityForSubscribedResultantSecurities <AddtlQtyForSbcbdrslntScies>

Presence: [0..1]

Definition: Quantity of additional intermediate securities/new equities awarded for a given quantity of securities derived from subscription.

AdditionalQuantityForSubscribedResultantSecurities <AddtlQtyForSbcbdrslntScies> contains the following elements (see "[QuantityToQuantityRatio1](#)" on page 689 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Quantity1 <Qty1>	[1..1]	Quantity		690
	Quantity2 <Qty2>	[1..1]	Quantity		690

3.4.6.17.10 DateDetails <DtDtls>

Presence: [0..1]

Definition: Provides information about the dates related to a securities movement.

DateDetails <DtDtls> contains the following **CorporateActionDate3** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PaymentDate <PmtDt>	[0..1]	±		147
	AvailableDate <AvlblDt>	[0..1]	±		147
	DividendRankingDate <DvddRnkgDt>	[0..1]	±		147
	PariPassuDate <PrpssDt>	[0..1]	±		148
	FirstDealingDate <FrstDealgDt>	[0..1]	±		148
	EarliestPaymentDate <EarlstPmtDt>	[0..1]	±		148

3.4.6.17.10.1 PaymentDate <PmtDt>

Presence: [0..1]

Definition: Date/time at which the distribution is due to take place (cash and/or securities).

PaymentDate <PmtDt> contains one of the following elements (see ["DateFormat4Choice"](#) on page 678 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		679
Or	NotSpecifiedDate <NotSpcfdDt>	[1..1]	CodeSet		679
Or}	Proprietary <Prtry>	[1..1]	±		679

3.4.6.17.10.2 AvailableDate <AvlblDt>

Presence: [0..1]

Definition: Date/time at which securities become available for sale.

AvailableDate <AvlblDt> contains one of the following elements (see ["DateFormat4Choice"](#) on page 678 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		679
Or	NotSpecifiedDate <NotSpcfdDt>	[1..1]	CodeSet		679
Or}	Proprietary <Prtry>	[1..1]	±		679

3.4.6.17.10.3 DividendRankingDate <DvddRnkgDt>

Presence: [0..1]

Definition: Date/time at which a security will be entitled to a dividend.

DividendRankingDate <DvddRnkgDt> contains one of the following elements (see "DateFormat4Choice" on page 678 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		679
Or	NotSpecifiedDate <NotSpcfdDt>	[1..1]	CodeSet		679
Or}	Proprietary <Prtry>	[1..1]	±		679

3.4.6.17.10.4 PariPassuDate <PrpssDt>

Presence: [0..1]

Definition: Date on which security will assimilate, become fungible, or have the same rights to dividends as the parent issue.

PariPassuDate <PrpssDt> contains one of the following elements (see "DateFormat4Choice" on page 678 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		679
Or	NotSpecifiedDate <NotSpcfdDt>	[1..1]	CodeSet		679
Or}	Proprietary <Prtry>	[1..1]	±		679

3.4.6.17.10.5 FirstDealingDate <FrstDealgDt>

Presence: [0..1]

Definition: Date/time at which new securities begin trading.

FirstDealingDate <FrstDealgDt> contains one of the following elements (see "DateFormat4Choice" on page 678 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		679
Or	NotSpecifiedDate <NotSpcfdDt>	[1..1]	CodeSet		679
Or}	Proprietary <Prtry>	[1..1]	±		679

3.4.6.17.10.6 EarliestPaymentDate <EarlstPmtDt>

Presence: [0..1]

Definition: Date/time at which a payment can be made, eg, if payment date is a non-business day or to indicate the first payment date of an offer.

EarliestPaymentDate <EarlStPmtDt> contains one of the following elements (see "DateFormat4Choice" on page 678 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		679
Or	NotSpecifiedDate <NotSpcfdDt>	[1..1]	CodeSet		679
Or}	Proprietary <Prtry>	[1..1]	±		679

3.4.6.17.11 PriceDetails <PricDtls>

Presence: [0..1]

Definition: Provides information about the prices related to a securities movement.

PriceDetails <PricDtls> contains the following **CorporateActionPrice4** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	IndicativePrice <IndctvPric>	[0..1]	±		149
	MarketPrice <MktPric>	[0..1]	±		149

3.4.6.17.11.1 IndicativePrice <IndctvPric>

Presence: [0..1]

Definition: Estimated price, eg, for valuation purposes.

IndicativePrice <IndctvPric> contains one of the following elements (see "PriceFormat2Choice" on page 770 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Amount <Amt>	[1..1]			770
	AmountPriceType <AmtPricTp>	[1..1]			771
{Or	Code <Cd>	[1..1]	CodeSet		771
Or}	Proprietary <Prtry>	[1..1]	±		771
	PriceValue <PricVal>	[1..1]	Amount	C1	772
Or	Rate <Rate>	[1..1]	±		772
Or}	NotSpecified <NotSpcfd>	[1..1]			772
{Or	Code <Cd>	[1..1]	CodeSet		772
Or}	Proprietary <Prtry>	[1..1]	±		773

3.4.6.17.11.2 MarketPrice <MktPric>

Presence: [0..1]

Definition: Last reported/known price of a financial instrument in a market.

MarketPrice <MktPric> contains one of the following elements (see "PriceFormat2Choice" on page 770 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Amount <Amt>	[1..1]			770
	AmountPriceType <AmtPricTp>	[1..1]			771
{Or	Code <Cd>	[1..1]	CodeSet		771
Or}	Proprietary <Prtry>	[1..1]	±		771
	PriceValue <PricVal>	[1..1]	Amount	C1	772
Or	Rate <Rate>	[1..1]	±		772
Or}	NotSpecified <NotSpfcd>	[1..1]			772
{Or	Code <Cd>	[1..1]	CodeSet		772
Or}	Proprietary <Prtry>	[1..1]	±		773

3.4.6.17.12 TradingPeriod <TradgPrd>

Presence: [0..1]

Definition: Period during which intermediate securities are tradable in a secondary market.

TradingPeriod <TradgPrd> contains the following elements (see "Period1" on page 679 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	StartDate <StartDt>	[1..1]	±		680
	EndDate <EndDt>	[1..1]	±		680

3.4.6.17.13 AdditionalQuantityForExistingSecurities <AddtlQtyForExstgScties>

Presence: [0..1]

Definition: Quantity of additional securities for a given quantity of underlying securities where underlying securities are not exchanged or debited, eg, 1 for 1: 1 new equity credited for every 1 underlying equity = 2 resulting equities.

AdditionalQuantityForExistingSecurities <AddtlQtyForExstgScties> contains the following elements (see "QuantityToQuantityRatio1" on page 689 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Quantity1 <Qty1>	[1..1]	Quantity		690
	Quantity2 <Qty2>	[1..1]	Quantity		690

3.4.6.17.14 TemporaryFinancialInstrumentIndicator <TempFinInstrmInd>

Presence: [0..1]

Definition: Specifies that the security is a temporary security.

Datatype: One of the following values must be used (see "YesNoIndicator" on page 850):

- *Meaning When True:* Yes
- *Meaning When False:* No

3.4.6.17.15 FractionDisposition <FrctnDspstn>

Presence: [0..1]

Definition: Specifies how fractions resulting from derived securities will be processed or how prorated decisions will be rounding, if provided with a pro ration rate.

FractionDisposition <FrctnDspstn> contains one of the following **FractionDispositionType1FormatChoice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		151
Or}	Proprietary <Prtry>	[1..1]	±		151

3.4.6.17.15.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify how fractions resulting from derived securities will be processed or how prorated decisions will be rounding, if provided with a pro ration rate.

Datatype: "FractionDispositionType1Code" on page 826

CodeName	Name	Definition
BUYU	BuyUp	Buy securities up to next whole number.
CINL	CashInLieuOfFraction	Fractional part of cash. Take cash in lieu of fractions.
DIST	IssueFraction	Take distribution of fractions in the form of securities.
RDDN	RoundDown	Round down to the nearest whole number.
RDUP	RoundUp	Round up to the nearest whole number.
STAN	RoundToNearest	If the fraction is greater than or equal to 0.5 of the resulting security then round up, else round down.

3.4.6.17.15.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary code to express how fractions resulting from derived securities will be processed or how prorated decisions will be rounding, if provided with a pro ration rate.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification13](#)" on page 693 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		693
	SchemeName <SchmeNm>	[0..1]	Text		693
	Issuer <Issr>	[1..1]	Text		693

3.4.6.18 CashMovementDetails <CshMvmntDtls>

Presence: [0..*]

Definition: Provides information about the cash movement linked to the CA option.

CashMovementDetails <CshMvmntDtls> contains the following **CashOption1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CreditDebitIndicator <CdtDbtInd>	[1..1]	CodeSet		155
	Currency <Ccy>	[0..1]	CodeSet	C1	155
	DateDetails <DtDtls>	[0..1]			155
	ForeignExchangeRateFixingDate <FXRateFxdDt>	[0..1]	±		155
	ValueDate <ValDt>	[0..1]	±		156
	PaymentDate <PmtDt>	[0..1]	±		156
	EarliestPaymentDate <EarlstPmtDt>	[0..1]	±		156
	AmountDetails <AmtDtls>	[0..1]			157
	GrossCashAmount <GrssCshAmt>	[0..1]	Amount	C1, C5	159
	NetCashAmount <NetCshAmt>	[0..1]	Amount	C1, C5	160
	IssueDiscountAmount <IsseDscntAmt>	[0..1]	Amount	C1, C5	160
	SolicitationFees <SlctnFees>	[0..1]	Amount	C1, C5	160
	CashInLieuOfShare <CshInLieuOfShr>	[0..1]	Amount	C1, C5	161
	OriginalAmount <OrgnlAmt>	[0..1]	Amount	C1, C5	161
	CapitalGain <CptlGn>	[0..1]	Amount	C1, C5	162
	InterestAmount <IntrstAmt>	[0..1]	Amount	C1, C5	162
	IndemnityAmount <IndmntyAmt>	[0..1]	Amount	C1, C5	162
	RedemptionPremiumAmount <RedPrmAmt>	[0..1]	Amount	C1, C5	163
	ManufacturedDividendAmount <ManfctrdDvddAmt>	[0..1]	Amount	C1, C5	163
	PrincipalOrCorpus <PrncplOrCrps>	[0..1]	Amount	C1, C5	164
	ReinvestmentAmount <RinvstmtAmt>	[0..1]	Amount	C1, C5	164
	MarketClaimAmount <MktClmAmt>	[0..1]	Amount	C1, C5	165
	FullyFrankedAmount <FullyFrnkAmt>	[0..1]	Amount	C1, C5	165
	UnfrankedAmount <UfrnkAmt>	[0..1]	Amount	C1, C5	165
	SundryOrOtherAmount <SndryOrOthrAmt>	[0..1]	Amount	C1, C5	166
	SpecialConcessionAmount <SpclCncssnAmt>	[0..1]	Amount	C1, C5	166
	EntitledAmount <EntitldAmt>	[0..1]	Amount	C1, C5	167
	CashIncentive <CshIncntiv>	[0..1]	Amount	C1, C5	167
	AdditionalSubscriptionCost <AddtlSbcptCost>	[0..1]	Amount	C1, C5	167
	TaxFreeAmount <TaxFreeAmt>	[0..1]	Amount	C1, C5	168
	TaxDeferredAmount <TaxDfrdAmt>	[0..1]	Amount	C1, C5	168

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	GermanLocalTax1Amount <GrmnLclTax1Amt>	[0..1]	Amount	C1, C5	169
	GermanLocalTax2Amount <GrmnLclTax2Amt>	[0..1]	Amount	C1, C5	169
	GermanLocalTax3Amount <GrmnLclTax3Amt>	[0..1]	Amount	C1, C5	169
	GermanLocalTax4Amount <GrmnLclTax4Amt>	[0..1]	Amount	C1, C5	170
	StockExchangeTaxAmount <StockXchgTaxAmt>	[0..1]	Amount	C1, C5	170
	TransferTaxAmount <TrfTaxAmt>	[0..1]	Amount	C1, C5	171
	TransactionTaxAmount <TxTaxAmt>	[0..1]	Amount	C1, C5	171
	ValueAddedTaxAmount <ValAddedTaxAmt>	[0..1]	Amount	C1, C5	171
	EURRetentionTaxAmount <EURRtnTaxAmt>	[0..1]	Amount	C1, C5	172
	LocalTaxAmount <LclTaxAmt>	[0..1]	Amount	C1, C5	172
	PaymentLevyTaxAmount <PmtLevyTaxAmt>	[0..1]	Amount	C1, C5	173
	CountryNationalFederalTaxAmount <CtryNtlFdrlTaxAmt>	[0..1]	Amount	C1, C5	173
	StampDutyAmount <StmpDtyAmt>	[0..1]	Amount	C1, C5	173
	TaxReclaimAmount <TaxRclmAmt>	[0..1]	Amount	C1, C5	174
	TaxCreditAmount <TaxCdtAmt>	[0..1]	Amount	C1, C5	174
	WithholdingOfForeignTaxAmount <WhldgOfFrngTaxAmt>	[0..1]	Amount	C1, C5	175
	WithholdingOfLocalTaxAmount <WhldgOfLclTaxAmt>	[0..1]	Amount	C1, C5	175
	AdditionalTaxAmount <AddtlTaxAmt>	[0..1]	Amount	C1, C5	175
	WithholdingTaxAmount <WhldgTaxAmt>	[0..1]	Amount	C1, C5	176
	FiscalStampAmount <FscIStmpAmt>	[0..1]	Amount	C1, C5	176
	ExecutingBrokerAmount <ExctgBrkrAmt>	[0..1]	Amount	C1, C5	177
	PayingAgentCommissionAmount <PngAgtComssnAmt>	[0..1]	Amount	C1, C5	177
	LocalBrokerCommissionAmount <LclBrkrComssnAmt>	[0..1]	Amount	C1, C5	177
	PostageFeeAmount <PstgFeeAmt>	[0..1]	Amount	C1, C5	178
	RegulatoryFeesAmount <RgltryFeesAmt>	[0..1]	Amount	C1, C5	178
	ShippingFeesAmount <ShppgFeesAmt>	[0..1]	Amount	C1, C5	179
	ChargesAmount <ChrgsAmt>	[0..1]	Amount	C1, C5	179
	ExchangeRate <XchgRate>	[0..1]			179
	UnitCurrency <UnitCcy>	[1..1]	CodeSet	C1	180

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	QuotedCurrency <QtdCcy>	[1..1]	CodeSet	C1	180
	ExchangeRate <XchgRate>	[1..1]	Rate		180
	ResultingAmount <RsltgAmt>	[1..1]	Amount	C1, C5	181

3.4.6.18.1 CreditDebitIndicator <CdtDbtInd>

Presence: [1..1]

Definition: Indicates whether it is a debit or a credit.

Datatype: "CreditDebitCode" on page 822

CodeName	Name	Definition
CRDT	Credit	Operation is an increase.
DBIT	Debit	Operation is a decrease.

3.4.6.18.2 Currency <Ccy>

Presence: [0..1]

Definition: Currency of the option.

Impacted by: C1 "ActiveCurrency"

Datatype: "ActiveCurrencyCode" on page 802

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

3.4.6.18.3 DateDetails <DtDtls>

Presence: [0..1]

Definition: Provides information about the dates related to a cash movement.

DateDetails <DtDtls> contains the following **CorporateActionDate5** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ForeignExchangeRateFixingDate <FXRateFxdDt>	[0..1]	±		155
	ValueDate <ValDt>	[0..1]	±		156
	PaymentDate <PmtDt>	[0..1]	±		156
	EarliestPaymentDate <EarlStPmtDt>	[0..1]	±		156

3.4.6.18.3.1 ForeignExchangeRateFixingDate <FXRateFxdDt>

Presence: [0..1]

Definition: Date/time at which a foreign exchange rate will be determined.

ForeignExchangeRateFixingDate <FXRateFxdt> contains one of the following elements (see "DateFormat4Choice" on page 678 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		679
Or	NotSpecifiedDate <NotSpcfdDt>	[1..1]	CodeSet		679
Or}	Proprietary <Prtry>	[1..1]	±		679

3.4.6.18.3.2 ValueDate <ValDt>

Presence: [0..1]

Definition: Date/time at which assets become available to the account owner (in a credit entry), or cease to be available to the account owner (in a debit entry).

ValueDate <ValDt> contains one of the following elements (see "DateFormat4Choice" on page 678 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		679
Or	NotSpecifiedDate <NotSpcfdDt>	[1..1]	CodeSet		679
Or}	Proprietary <Prtry>	[1..1]	±		679

3.4.6.18.3.3 PaymentDate <PmtDt>

Presence: [0..1]

Definition: Date/time at which the distribution is due to take place (cash and/or securities).

PaymentDate <PmtDt> contains one of the following elements (see "DateFormat4Choice" on page 678 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		679
Or	NotSpecifiedDate <NotSpcfdDt>	[1..1]	CodeSet		679
Or}	Proprietary <Prtry>	[1..1]	±		679

3.4.6.18.3.4 EarliestPaymentDate <EarlStPmtDt>

Presence: [0..1]

Definition: Date/time at which a payment can be made, eg, if payment date is a non-business day or to indicate the first payment date of an offer.

EarliestPaymentDate <EarlstPmtDt> contains one of the following elements (see "DateFormat4Choice" on page 678 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		679
Or	NotSpecifiedDate <NotSpdfdDt>	[1..1]	CodeSet		679
Or}	Proprietary <Prtry>	[1..1]	±		679

3.4.6.18.4 AmountDetails <AmtDtls>

Presence: [0..1]

Definition: Provides information about the amounts related to a cash movement.

AmountDetails <AmtDtls> contains the following **CorporateActionAmounts1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	GrossCashAmount <GrssCshAmt>	[0..1]	Amount	C1, C5	159
	NetCashAmount <NetCshAmt>	[0..1]	Amount	C1, C5	160
	IssueDiscountAmount <IsseDscntAmt>	[0..1]	Amount	C1, C5	160
	SolicitationFees <SlctnFees>	[0..1]	Amount	C1, C5	160
	CashInLieuOfShare <CshInLieuOfShr>	[0..1]	Amount	C1, C5	161
	OriginalAmount <OrgnlAmt>	[0..1]	Amount	C1, C5	161
	CapitalGain <CptlGn>	[0..1]	Amount	C1, C5	162
	InterestAmount <IntrstAmt>	[0..1]	Amount	C1, C5	162
	IndemnityAmount <IndmntyAmt>	[0..1]	Amount	C1, C5	162
	RedemptionPremiumAmount <RedPrmAmt>	[0..1]	Amount	C1, C5	163
	ManufacturedDividendAmount <ManfctrdDvddAmt>	[0..1]	Amount	C1, C5	163
	PrincipalOrCorpus <PrncplOrCrps>	[0..1]	Amount	C1, C5	164
	ReinvestmentAmount <RinvstmtAmt>	[0..1]	Amount	C1, C5	164
	MarketClaimAmount <MktClmAmt>	[0..1]	Amount	C1, C5	165
	FullyFrankedAmount <FullyFrnkdtAmt>	[0..1]	Amount	C1, C5	165
	UnfrankedAmount <UfrnkdtAmt>	[0..1]	Amount	C1, C5	165
	SundryOrOtherAmount <SndryOrOthrAmt>	[0..1]	Amount	C1, C5	166
	SpecialConcessionAmount <SpclCncssnAmt>	[0..1]	Amount	C1, C5	166
	EntitledAmount <EntitldAmt>	[0..1]	Amount	C1, C5	167
	CashIncentive <CshIncntiv>	[0..1]	Amount	C1, C5	167
	AdditionalSubscriptionCost <AddtlSbcptCost>	[0..1]	Amount	C1, C5	167
	TaxFreeAmount <TaxFreeAmt>	[0..1]	Amount	C1, C5	168
	TaxDeferredAmount <TaxDfrrdAmt>	[0..1]	Amount	C1, C5	168
	GermanLocalTax1Amount <GrmnLclTax1Amt>	[0..1]	Amount	C1, C5	169
	GermanLocalTax2Amount <GrmnLclTax2Amt>	[0..1]	Amount	C1, C5	169
	GermanLocalTax3Amount <GrmnLclTax3Amt>	[0..1]	Amount	C1, C5	169
	GermanLocalTax4Amount <GrmnLclTax4Amt>	[0..1]	Amount	C1, C5	170
	StockExchangeTaxAmount <StockXchgTaxAmt>	[0..1]	Amount	C1, C5	170
	TransferTaxAmount <TrfTaxAmt>	[0..1]	Amount	C1, C5	171
	TransactionTaxAmount <TxTaxAmt>	[0..1]	Amount	C1, C5	171
	ValueAddedTaxAmount <ValAddedTaxAmt>	[0..1]	Amount	C1, C5	171

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	EURetentionTaxAmount <EURtnTaxAmt>	[0..1]	Amount	C1, C5	172
	LocalTaxAmount <LclTaxAmt>	[0..1]	Amount	C1, C5	172
	PaymentLevyTaxAmount <PmtLevyTaxAmt>	[0..1]	Amount	C1, C5	173
	CountryNationalFederalTaxAmount <CtryNtlFdrTaxAmt>	[0..1]	Amount	C1, C5	173
	StampDutyAmount <StmpDtyAmt>	[0..1]	Amount	C1, C5	173
	TaxReclaimAmount <TaxRclmAmt>	[0..1]	Amount	C1, C5	174
	TaxCreditAmount <TaxCdtAmt>	[0..1]	Amount	C1, C5	174
	WithholdingOfForeignTaxAmount <WhldgOfFrgrTaxAmt>	[0..1]	Amount	C1, C5	175
	WithholdingOfLocalTaxAmount <WhldgOfLclTaxAmt>	[0..1]	Amount	C1, C5	175
	AdditionalTaxAmount <AddtlTaxAmt>	[0..1]	Amount	C1, C5	175
	WithholdingTaxAmount <WhldgTaxAmt>	[0..1]	Amount	C1, C5	176
	FiscalStampAmount <FscIStmpAmt>	[0..1]	Amount	C1, C5	176
	ExecutingBrokerAmount <ExctgBrkrAmt>	[0..1]	Amount	C1, C5	177
	PayingAgentCommissionAmount <PngAgtComssnAmt>	[0..1]	Amount	C1, C5	177
	LocalBrokerCommissionAmount <LclBrkrComssnAmt>	[0..1]	Amount	C1, C5	177
	PostageFeeAmount <PstgFeeAmt>	[0..1]	Amount	C1, C5	178
	RegulatoryFeesAmount <RgltryFeesAmt>	[0..1]	Amount	C1, C5	178
	ShippingFeesAmount <ShppgFeesAmt>	[0..1]	Amount	C1, C5	179
	ChargesAmount <ChrgsAmt>	[0..1]	Amount	C1, C5	179

3.4.6.18.4.1 GrossCashAmount <GrssCshAmt>

Presence: [0..1]

Definition: Amount of money before any deductions and allowances have been made.

Impacted by: C1 "ActiveCurrency", C5 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 801

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217

Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

3.4.6.18.4.2 NetCashAmount <NetCshAmt>

Presence: [0..1]

Definition: Amount of money after deductions and allowances have been made, if any, ie, the total amount +/- charges/fees.

Impacted by: C1 "ActiveCurrency", C5 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 801

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

3.4.6.18.4.3 IssueDiscountAmount <IsseDscntAmt>

Presence: [0..1]

Definition: Amount of money defined as a discount on a new issue or on a tranche of an existing issue.

Impacted by: C1 "ActiveCurrency", C5 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 801

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

3.4.6.18.4.4 SolicitationFees <SlctnFees>

Presence: [0..1]

Definition: Amount of cash premium made available in order to encourage participation in the offer. Payment is made to a third party who has solicited an entity to take part in the offer.

Impacted by: C1 "ActiveCurrency", C5 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 801

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

3.4.6.18.4.5 CashInLieuOfShare <CshInLieuOfShr>

Presence: [0..1]

Definition: Cash disbursement in lieu of a fractional quantity of, eg, equity.

Impacted by: C1 "ActiveCurrency", C5 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 801

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

3.4.6.18.4.6 OriginalAmount <OrgnlAmt>

Presence: [0..1]

Definition: Amount in its original currency when conversion from/into another currency has occurred.

Impacted by: C1 "ActiveCurrency", C5 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 801

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217

Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

3.4.6.18.4.7 CapitalGain <CptlGn>

Presence: [0..1]

Definition: Amount of money distributed as the result of a capital gain.

Impacted by: C1 "ActiveCurrency", C5 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 801

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

3.4.6.18.4.8 InterestAmount <IntrstAmt>

Presence: [0..1]

Definition: Amount of money representing a coupon payment.

Impacted by: C1 "ActiveCurrency", C5 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 801

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

3.4.6.18.4.9 IndemnityAmount <IndmntyAmt>

Presence: [0..1]

Definition: (Unique to France) Amount due to a buyer of securities dealt prior to ex date which may be subject to different rate of taxation.

Impacted by: C1 "ActiveCurrency", C5 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 801

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

3.4.6.18.4.10 RedemptionPremiumAmount <RedPrmAmt>

Presence: [0..1]

Definition: Amount of money (not interest) in addition to the principal at the redemption of a bond.

Impacted by: C1 "ActiveCurrency", C5 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 801

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

3.4.6.18.4.11 ManufacturedDividendAmount <ManfctrdDvddAmt>

Presence: [0..1]

Definition: Amount of money that the borrower pays to the lender as a compensation. It does not entitle the lender to reclaim any tax credit and is sometimes treated differently by the local tax authorities of the lender.

Impacted by: C1 "ActiveCurrency", C5 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 801

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

3.4.6.18.4.12 PrincipalOrCorpus <PrncplOrCrps>

Presence: [0..1]

Definition: Amount of money representing a distribution of a bond's principal, eg, repayment of outstanding debt.

Impacted by: C1 "ActiveCurrency", C5 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 801

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

3.4.6.18.4.13 ReinvestmentAmount <RinvstmtAmt>

Presence: [0..1]

Definition: Amount of money reinvested in additional securities.

Impacted by: C1 "ActiveCurrency", C5 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 801

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

3.4.6.18.4.14 MarketClaimAmount <MktCImAmt>

Presence: [0..1]

Definition: Amount of money resulting from a market claim.

Impacted by: C1 "ActiveCurrency", C5 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 801

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

3.4.6.18.4.15 FullyFrankedAmount <FullyFrnkAmt>

Presence: [0..1]

Definition: Amount resulting from a fully franked dividend paid by a company; amount includes tax credit for companies that have made sufficient tax payments during the fiscal period.

Impacted by: C1 "ActiveCurrency", C5 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 801

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

3.4.6.18.4.16 UnfrankedAmount <UfrnkAmt>

Presence: [0..1]

Definition: Amount resulting from an unfranked dividend paid by a company; the amount does not include tax credit and is subject to withholding tax.

Impacted by: C1 "ActiveCurrency", C5 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 801

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

3.4.6.18.4.17 SundryOrOtherAmount <SndryOrOthrAmt>

Presence: [0..1]

Definition: Amount of money related to taxable income that cannot be categorised.

Impacted by: C1 "ActiveCurrency", C5 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 801

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

3.4.6.18.4.18 SpecialConcessionAmount <SpclCncssnAmt>

Presence: [0..1]

Definition: Amount of drawdown or other reduction from or in addition to the deal price.

Impacted by: C1 "ActiveCurrency", C5 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 801

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

3.4.6.18.4.19 EntitledAmount <EntitldAmt>

Presence: [0..1]

Definition: Cash amount based on terms of corporate action event and balance of underlying securities, entitled to/from account owner (which may be positive or negative).

Impacted by: C1 "ActiveCurrency", C5 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 801

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

3.4.6.18.4.20 CashIncentive <CshIncitiv>

Presence: [0..1]

Definition: Rate of the cash premium made available if the securities holder consents or participates to an event, e.g. consent fees.

Impacted by: C1 "ActiveCurrency", C5 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 801

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

3.4.6.18.4.21 AdditionalSubscriptionCost <AddtlSbcptCost>

Presence: [0..1]

Definition: Additional costs - coming on top of the subscription costs - which the subscriber should pay as per the subscription process. Not to be used for the subscription cost itself.

Impacted by: C1 "ActiveCurrency", C5 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 801

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

3.4.6.18.4.22 TaxFreeAmount <TaxFreeAmt>

Presence: [0..1]

Definition: Amount of money that has not been subject to taxation.

Impacted by: C1 "ActiveCurrency", C5 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 801

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

3.4.6.18.4.23 TaxDeferredAmount <TaxDfrdAmt>

Presence: [0..1]

Definition: Amount of income eligible for deferred taxation.

Impacted by: C1 "ActiveCurrency", C5 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 801

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

3.4.6.18.4.24 GermanLocalTax1Amount <GrmnLclTax1Amt>

Presence: [0..1]

Definition: Local tax (ZAS Anrechnungsbetrag) subject to interest down payment tax (proportion of interest liable for interest down payment tax/interim profit that is not covered by the tax exempt amount).

Impacted by: C1 "ActiveCurrency", C5 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 801

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

3.4.6.18.4.25 GermanLocalTax2Amount <GrmnLclTax2Amt>

Presence: [0..1]

Definition: Local tax (ZAS Pflichtige Zinsen) interest liable for interest down payment tax (proportion of gross interest per unit/interim profits that is not covered by the credit in the interest pool).

Impacted by: C1 "ActiveCurrency", C5 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 801

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

3.4.6.18.4.26 GermanLocalTax3Amount <GrmnLclTax3Amt>

Presence: [0..1]

Definition: Local tax (Zinstopf) offset interest per unit against tax exempt amount (variation to offset interest per unit in relation to tax exempt amount).

Impacted by: C1 "ActiveCurrency", C5 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 801

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

3.4.6.18.4.27 GermanLocalTax4Amount <GrmnLclTax4Amt>

Presence: [0..1]

Definition: Local tax (Ertrag Besitzanteilig) yield liable for interest down payment tax.

Impacted by: C1 "ActiveCurrency", C5 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 801

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

3.4.6.18.4.28 StockExchangeTaxAmount <StockXchgTaxAmt>

Presence: [0..1]

Definition: Amount of stock exchange tax.

Impacted by: C1 "ActiveCurrency", C5 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 801

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

3.4.6.18.4.29 TransferTaxAmount <TrfTaxAmt>

Presence: [0..1]

Definition: Tax levied on a transfer of ownership of financial instrument.

Impacted by: C1 "ActiveCurrency", C5 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 801

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

3.4.6.18.4.30 TransactionTaxAmount <TxTaxAmt>

Presence: [0..1]

Definition: Amount of transaction tax.

Impacted by: C1 "ActiveCurrency", C5 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 801

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

3.4.6.18.4.31 ValueAddedTaxAmount <ValAddedTaxAmt>

Presence: [0..1]

Definition: Tax on value added.

Impacted by: C1 "ActiveCurrency", C5 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 801

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

3.4.6.18.4.32 EURetentionTaxAmount <EURtnTaxAmt>

Presence: [0..1]

Definition: Total amount of tax withheld at source in conformance with the EU Savings Directive.

Impacted by: C1 "ActiveCurrency", C5 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 801

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

3.4.6.18.4.33 LocalTaxAmount <LclTaxAmt>

Presence: [0..1]

Definition: Amount of tax charged by the jurisdiction in which the financial instrument settles.

Impacted by: C1 "ActiveCurrency", C5 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 801

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

3.4.6.18.4.34 PaymentLevyTaxAmount <PmtLevyTaxAmt>

Presence: [0..1]

Definition: Payment levy tax.

Impacted by: C1 "ActiveCurrency", C5 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 801

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

3.4.6.18.4.35 CountryNationalFederalTaxAmount <CtryNtlFdrITaxAmt>

Presence: [0..1]

Definition: Amount of country, national or federal tax charged by the jurisdiction in which the account servicer is located.

Impacted by: C1 "ActiveCurrency", C5 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 801

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

3.4.6.18.4.36 StampDutyAmount <StmpDtyAmt>

Presence: [0..1]

Definition: Amount of stamp duty.

Impacted by: C1 "ActiveCurrency", C5 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 801

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

3.4.6.18.4.37 TaxReclaimAmount <TaxRclmAmt>

Presence: [0..1]

Definition: Amount that was paid in excess of actual tax obligation and was reclaimed.

Impacted by: C1 "ActiveCurrency", C5 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 801

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

3.4.6.18.4.38 TaxCreditAmount <TaxCdtAmt>

Presence: [0..1]

Definition: Amount of taxes that have been previously paid in relation to the taxable event.

Impacted by: C1 "ActiveCurrency", C5 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 801

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

3.4.6.18.4.39 WithholdingOfForeignTaxAmount <WhldgOfFrgnTaxAmt>

Presence: [0..1]

Definition: Amount of money withheld by the jurisdiction in which the income was originally paid, for which relief at source and/or reclaim may be possible.

Impacted by: C1 "ActiveCurrency", C5 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 801

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

3.4.6.18.4.40 WithholdingOfLocalTaxAmount <WhldgOfLclTaxAmt>

Presence: [0..1]

Definition: Amount of money withheld by the jurisdiction in which the account owner is located, for which relief at source and/or reclaim may be possible.

Impacted by: C1 "ActiveCurrency", C5 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 801

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

3.4.6.18.4.41 AdditionalTaxAmount <AddtlTaxAmt>

Presence: [0..1]

Definition: Amount of additional taxes that cannot be categorised.

Impacted by: C1 "ActiveCurrency", C5 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 801

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

3.4.6.18.4.42 WithholdingTaxAmount <WhldgTaxAmt>

Presence: [0..1]

Definition: Amount of a cash distribution that will be withheld by a tax authority.

Impacted by: C1 "ActiveCurrency", C5 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 801

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

3.4.6.18.4.43 FiscalStampAmount <FscIStmpAmt>

Presence: [0..1]

Definition: Amount of fiscal tax to apply.

Impacted by: C1 "ActiveCurrency", C5 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 801

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

3.4.6.18.4.44 ExecutingBrokerAmount <ExctgBrkrAmt>

Presence: [0..1]

Definition: Amount of money paid to an executing broker as a commission.

Impacted by: C1 "ActiveCurrency", C5 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 801

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

3.4.6.18.4.45 PayingAgentCommissionAmount <PngAgtComssnAmt>

Presence: [0..1]

Definition: Amount of paying/sub-paying agent commission.

Impacted by: C1 "ActiveCurrency", C5 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 801

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

3.4.6.18.4.46 LocalBrokerCommissionAmount <LclBrkrComssnAmt>

Presence: [0..1]

Definition: Local broker's commission.

Impacted by: C1 "ActiveCurrency", C5 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 801

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

3.4.6.18.4.47 PostageFeeAmount <PstgFeeAmt>

Presence: [0..1]

Definition: Amount of money paid for delivery by regular post mail.

Impacted by: C1 "ActiveCurrency", C5 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 801

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

3.4.6.18.4.48 RegulatoryFeesAmount <RgltryFeesAmt>

Presence: [0..1]

Definition: Amount of money charged by a regulatory authority, eg, Securities and Exchange fees.

Impacted by: C1 "ActiveCurrency", C5 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 801

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

3.4.6.18.4.49 ShippingFeesAmount <ShppgFeesAmt>

Presence: [0..1]

Definition: Amount of money (including insurance) paid for delivery by carrier.

Impacted by: C1 "ActiveCurrency", C5 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 801

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

3.4.6.18.4.50 ChargesAmount <ChrgsAmt>

Presence: [0..1]

Definition: Amount of money paid for the provision of financial services that cannot be categorised by another qualifier.

Impacted by: C1 "ActiveCurrency", C5 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 801

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

3.4.6.18.5 ExchangeRate <XchgRate>

Presence: [0..1]

Definition: Provides information about a foreign exchange.

ExchangeRate <XchgRate> contains the following **ForeignExchangeTerms8** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	UnitCurrency <UnitCcy>	[1..1]	CodeSet	C1	180
	QuotedCurrency <QtdCcy>	[1..1]	CodeSet	C1	180
	ExchangeRate <XchgRate>	[1..1]	Rate		180
	ResultingAmount <RsltAmt>	[1..1]	Amount	C1, C5	181

3.4.6.18.5.1 UnitCurrency <UnitCcy>

Presence: [1..1]

Definition: Currency in which the rate of exchange is expressed in a currency exchange. In the example 1GBP = xxxCUR, the unit currency is GBP.

Impacted by: C1 "ActiveCurrency"

Datatype: "ActiveCurrencyCode" on page 802

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

3.4.6.18.5.2 QuotedCurrency <QtdCcy>

Presence: [1..1]

Definition: Currency into which the base currency is converted, in a currency exchange.

Impacted by: C1 "ActiveCurrency"

Datatype: "ActiveCurrencyCode" on page 802

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

3.4.6.18.5.3 ExchangeRate <XchgRate>

Presence: [1..1]

Definition: Factor used for the conversion of an amount from one currency into another. This reflects the price at which one currency was bought with another currency.

Usage: ExchangeRate expresses the ratio between UnitCurrency and QuotedCurrency (ExchangeRate = UnitCurrency/QuotedCurrency).

Datatype: "BaseOneRate" on page 851

3.4.6.18.5.4 ResultingAmount <RsltgAmt>*Presence:* [1..1]*Definition:* Amount of money resulting from a foreign exchange transaction.*Impacted by:* C1 "ActiveCurrency", C5 "CurrencyAmount"*Datatype:* "ActiveCurrencyAndAmount" on page 801**Constraints**

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

3.4.6.19 CorporateActionOtherAgentDetails <CorpActnOthrAgtDtls>*Presence:* [0..*]*Definition:* Provides information about the agents linked to the CA option.

CorporateActionOtherAgentDetails <CorpActnOthrAgtDtls> contains the following **CorporateActionAgent1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AgentIdentification <AgtId>	[1..1]	±		181
	AgentRole <AgtRole>	[1..1]			182
{Or	Code <Cd>	[1..1]	CodeSet		182
Or}	Proprietary <Prtry>	[1..1]	±		182
	ContactPerson <CtctPrsn>	[0..1]	±		183

3.4.6.19.1 AgentIdentification <AgtId>*Presence:* [1..1]*Definition:* Identification of the agent.

AgentIdentification <AgtId> contains one of the following elements (see "[PartyIdentification2Choice](#)" on page 733 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	BICorBEI <BICorBEI>	[1..1]	IdentifierSet	C2	733
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		733
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		734

3.4.6.19.2 AgentRole <AgtRole>*Presence:* [1..1]*Definition:* Role played by the agent.**AgentRole <AgtRole>** contains one of the following **AgentRole1FormatChoice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		182
Or}	Proprietary <Prtry>	[1..1]	±		182

3.4.6.19.2.1 Code <Cd>*Presence:* [1..1]*Definition:* Standard code to specify the role of the agent.*Datatype:* "AgentRole2Code" on page 803

CodeName	Name	Definition
SPAY	SystemPayingAgent	The System Paying Agent (SPA) is the party within the CSD that is responsible for the distribution of cash and security proceeds related to a specific distribution to other CSD Parties.
CODO	CoDomicile	Sub-agent appointed to execute the payment for the corporate action event on behalf of the issuer company/offoror.
ISAG	IssuerAgent	Party appointed to administer the event on behalf of the issuer company/offoror. The party may be contacted for more information about the event.
REGR	Registrar	Party/agent responsible for maintaining the register of a security.
PAYA	PayingAgent	Agent (principal or fiscal paying agent) appointed to execute the payment for the corporate action event on behalf of the issuer company/offoror.

3.4.6.19.2.2 Proprietary <Prtry>*Presence:* [1..1]*Definition:* Proprietary code to express the role of the agent.**Proprietary <Prtry>** contains the following elements (see "GenericIdentification13" on page 693 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		693
	SchemeName <SchmeNm>	[0..1]	Text		693
	Issuer <Issr>	[1..1]	Text		693

3.4.6.19.3 ContactPerson <CtctPrsn>*Presence:* [0..1]*Definition:* Contact person at the agent.**ContactPerson <CtctPrsn>** contains the following elements (see ["NameAndAddress5"](#) on page 749 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[1..1]	Text		749
	Address <Adr>	[0..1]	±		749

3.4.6.20 FractionDisposition <FrctnDspstn>*Presence:* [0..1]*Definition:* Specifies how fractions resulting from derived securities will be processed or how prorated decisions will be rounding, if provided with a pro ration rate.**FractionDisposition <FrctnDspstn>** contains one of the following **FractionDispositionType1FormatChoice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		183
Or}	Proprietary <Prtry>	[1..1]	±		183

3.4.6.20.1 Code <Cd>*Presence:* [1..1]*Definition:* Standard code to specify how fractions resulting from derived securities will be processed or how prorated decisions will be rounding, if provided with a pro ration rate.*Datatype:* "FractionDispositionType1Code" on page 826

CodeName	Name	Definition
BUYU	BuyUp	Buy securities up to next whole number.
CINL	CashInLieuOfFraction	Fractional part of cash. Take cash in lieu of fractions.
DIST	IssueFraction	Take distribution of fractions in the form of securities.
RDDN	RoundDown	Round down to the nearest whole number.
RDUP	RoundUp	Round up to the nearest whole number.
STAN	RoundToNearest	If the fraction is greater than or equal to 0.5 of the resulting security then round up, else round down.

3.4.6.20.2 Proprietary <Prtry>*Presence:* [1..1]

Definition: Proprietary code to express how fractions resulting from derived securities will be processed or how prorated decisions will be rounding, if provided with a pro ration rate.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification13](#)" on page 693 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		693
	SchemeName <SchmeNm>	[0..1]	Text		693
	Issuer <Issr>	[1..1]	Text		693

3.4.6.21 RedemptionChargesAppliedIndicator <RedChrgsAppldInd>

Presence: [0..1]

Definition: Indicates whether redemption charges apply.

Datatype: One of the following values must be used (see "[YesNoIndicator](#)" on page 850):

- *Meaning When True:* Yes
- *Meaning When False:* No

3.4.6.22 OptionFeatures <OptnFeatrs>

Presence: [0..*]

Definition: Specifies the features that may apply to a corporate action option.

OptionFeatures <OptnFeatrs> contains one of the following **OptionFeatures1FormatChoice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		184
Or}	Proprietary <Prtry>	[1..1]	±		185

3.4.6.22.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the feature of an option.

Datatype: "[OptionFeatures1Code](#)" on page 830

CodeName	Name	Definition
COND	Conditional	Feature whereby the holder can elect to place a condition on the acceptance of the option.
MAXC	MaximumCash	Maximum cash option, may be subject to scaling, as such you may receive a combination of cash and securities outturn.
MAXS	MaximumSecurities	Maximum stock option, may be subject to scaling, as such you may receive a

CodeName	Name	Definition
		combination of securities and cash outturn.
OPLF	OddLotPreference	Tender or exchange with the odd lot preference.
PROR	Proration	Feature whereby the option can be subject to pro ration in case, for example, of over-subscription.
QOVE	OverAndAbove	Feature whereby the holder can elect a quantity to receive over and above normal ensured entitlement.
QREC	QuantityToReceive	Feature whereby the holder can elect a quantity to receive.
VVPR	ReducedWithholdingTax	Reduced withholding tax rate applies to the option.

3.4.6.22.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary code to express the feature of an option.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification13](#)" on page 693 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		693
	SchemeName <SchmeNm>	[0..1]	Text		693
	Issuer <Issr>	[1..1]	Text		693

3.4.6.23 CorporateActionAdditionalInformation <CorpActnAddtlInf>

Presence: [0..1]

Definition: Provides additional information.

CorporateActionAdditionalInformation <CorpActnAddtlInf> contains the following **CorporateActionNarrative1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	InformationConditions <InfConds>	[0..1]	Text		186
	InformationToComplyWith <InfToCmplyWth>	[0..1]	Text		186
	TaxationConditions <TaxtnConds>	[0..1]	Text		186
	NewCompanyName <NewCpnyNm>	[0..1]	Text		186
	Offeror <Offerr>	[0..1]	±		186
	URLAddress <URLAdr>	[0..1]	Text		186
	AdditionalText <AddtlTxt>	[0..1]	Text		186

3.4.6.23.1 InformationConditions <InfConds>

Presence: [0..1]

Definition: Provides conditional information related to the event, eg, an offer is subject to 50% acceptance, the offeror allows the securities holder to set some conditions.

Datatype: "Max350Text" on page 853

3.4.6.23.2 InformationToComplyWith <InfToCmplyWth>

Presence: [0..1]

Definition: Provides information conditions to the account owner that are to be complied with, eg, not open to US/Canadian residents, QIB or SIL to be provided.

Datatype: "Max350Text" on page 853

3.4.6.23.3 TaxationConditions <TaxtnConds>

Presence: [0..1]

Definition: Provides taxation conditions that cannot be included within the structured fields of this message.

Datatype: "Max350Text" on page 853

3.4.6.23.4 NewCompanyName <NewCpnyNm>

Presence: [0..1]

Definition: Provide the new name of a company following a name change.

Datatype: "Max350Text" on page 853

3.4.6.23.5 Offeror <Offerr>

Presence: [0..1]

Definition: Provides the entity making the offer and is different from the issuing company.

Offeror <Offerr> contains one of the following elements (see "PartyIdentification2Choice" on page 733 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	BICOrBEI <BICOrBEI>	[1..1]	IdentifierSet	C2	733
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		733
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		734

3.4.6.23.6 URLAddress <URLAdr>

Presence: [0..1]

Definition: Provides the web address published for the event, ie the address for the Universal Resource Locator (URL), eg, used over the www (HTTP) service.

Datatype: "Max256Text" on page 853

3.4.6.23.7 AdditionalText <AddtlTxt>

Presence: [0..1]

Definition: Provides additional information or specifies in more detail the content of a message.

Datatype: "Max350Text" on page 853

3.4.7 ContactDetails <CtctDtls>

Presence: [0..*]

Definition: Provides information about the contact responsible for the transaction identified in the message.

ContactDetails <CtctDtls> contains the following elements (see "[ContactPerson1](#)" on page 748 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ContactPerson <CtctPrsn>	[1..1]	±		748
	InstitutionIdentification <InstnId>	[0..1]	±		749

3.4.8 AdditionalInformation <AddtlInf>

Presence: [0..1]

Definition: Provides additional information.

AdditionalInformation <AddtlInf> contains the following **CorporateActionNarrative2** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	InformationConditions <InfConds>	[0..1]	Text		187
	InformationToComplyWith <InfToCmplyWth>	[0..1]	Text		187
	TaxationConditions <TaxtnConds>	[0..1]	Text		188
	DeclarationDetails <DclrtnDtls>	[0..1]	Text		188
	RegistrationDetails <RegnDtls>	[0..1]	Text		188
	AdditionalText <AddtlTxt>	[0..1]	Text		188

3.4.8.1 InformationConditions <InfConds>

Presence: [0..1]

Definition: Provides conditional information related to the event, eg, an offer is subject to 50% acceptance, the offeror allows the securities holder to set some conditions.

Datatype: "Max350Text" on page 853

3.4.8.2 InformationToComplyWith <InfToCmplyWth>

Presence: [0..1]

Definition: Provides information conditions to the account owner that are to be complied with, eg, not open to US/Canadian residents, QIB or SIL to be provided.

Datatype: "Max350Text" on page 853

3.4.8.3 TaxationConditions <TaxtnConds>

Presence: [0..1]

Definition: Provides taxation conditions that cannot be included within the structured fields of this message and has not been mentioned in the SLA.

Datatype: "Max350Text" on page 853

3.4.8.4 DeclarationDetails <DclrtnDtls>

Presence: [0..1]

Definition: Provides declaration details narrative relative to the financial instrument, eg, beneficial ownership.

Datatype: "Max350Text" on page 853

3.4.8.5 RegistrationDetails <RegnDtls>

Presence: [0..1]

Definition: Provides information required for the registration.

Datatype: "Max350Text" on page 853

3.4.8.6 AdditionalText <AddtlTxt>

Presence: [0..1]

Definition: Provides additional information or specifies in more detail the content of a message.

Datatype: "Max350Text" on page 853

4 **seev.010.001.01** **AgentCANotificationCancellationRequestV01**

4.1 **MessageDefinition Functionality**

Scope

This message is sent by an issuer (or its agent) to a CSD to request the cancellation of a notification advice message.

Usage

When this message is used to request the cancellation of a notification advice message, the function of the message must be cancellation.

When this message is used to request the withdrawal of a Corporate Action event or option, then the function of the message must be withdrawal.

In both cases, the building block notification advice identification must be present to link this cancellation request to the notification advice that was previously sent.

Outline

The AgentCANotificationCancellationRequestV01 MessageDefinition is composed of 4 MessageBuildingBlocks:

A. Identification

Identification assigned by the Sender to unambiguously identify the cancellation request.

B. NotificationCancellationTypeAndLinkage

Provides information about the type of notification cancellation request and linked message.

C. CorporateActionGeneralInformation

General information about the corporate action event.

D. CorporateActionNotificationDetails

Detailed information of the notification advice to be cancelled.

4.2 Structure

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	Message root <Document> <AgtCANtfctnCxlReq>	[1..1]			
	Identification <Id>	[1..1]	±		202
	NotificationCancellationTypeAndLinkage <NtfctnCxlTpAndLkg>	[1..1]			203
	NotificationCancellationType <NtfctnCxlTp>	[1..1]	CodeSet		203
	LinkedAgentCANotificationAdviceIdentification <LkdAgtCANtfctnAdvclId>	[1..1]	±		203
	CorporateActionGeneralInformation <CorpActnGnlInf>	[1..1]	±		203
	CorporateActionNotificationDetails <CorpActnNtfctnDtls>	[0..1]			204
	CorporateActionDetails <CorpActnDtls>	[1..1]			216
	EventStage <EvtStag>	[0..*]			221
{Or	Code <Cd>	[1..1]	CodeSet		221
Or}	Proprietary <Prtry>	[1..1]	±		222
	DefaultOptionType <DfltOptnTp>	[0..1]			222
{Or	Code <Cd>	[1..1]	CodeSet		222
Or}	Proprietary <Prtry>	[1..1]	±		223
	DefaultOptionNumber <DfltOptnNb>	[0..1]	Text		224
	CalculationMethod <ClctnMtd>	[0..1]			224
{Or	Code <Cd>	[1..1]	CodeSet		224
Or}	Proprietary <Prtry>	[1..1]	±		224
	BackEndOddLotSecuritiesQuantity <BckEndOddLotSciesQty>	[0..1]	±		225
	FrontEndOddLotSecuritiesQuantity <FrntEndOddLotSciesQty>	[0..1]	±		225
	MinimumExercisableSecuritiesQuantity <MinExrcblSciesQty>	[0..1]			225
{Or	Unit <Unit>	[1..1]	Quantity		226
Or}	FaceAmount <FaceAmt>	[1..1]	Amount	C1, C5	226
	MinimumExercisableMultipleSecuritiesQuantity <MinExrcblMltplSciesQty>	[0..1]			226
{Or	Unit <Unit>	[1..1]	Quantity		226
Or}	FaceAmount <FaceAmt>	[1..1]	Amount	C1, C5	227
	IncrementalDenomination <IncrmtlDnmtn>	[0..1]			227

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		227
Or}	FaceAmount <FaceAmt>	[1..1]	Amount	C1, C5	227
	NewDenominationSecuritiesQuantity <NewDnmtnSciesQty>	[0..1]			228
{Or	Unit <Unit>	[1..1]	Quantity		228
Or}	FaceAmount <FaceAmt>	[1..1]	Amount	C1, C5	228
	NewBoardLotSecuritiesQuantity <NewBrdLotSciesQty>	[0..1]			229
{Or	Unit <Unit>	[1..1]	Quantity		229
Or}	FaceAmount <FaceAmt>	[1..1]	Amount	C1, C5	229
	SecuritiesQuantitySought <SciesQtySght>	[0..1]	±		229
	BaseDenomination <BaseDnmtn>	[0..1]			230
{Or	Unit <Unit>	[1..1]	Quantity		230
Or}	FaceAmount <FaceAmt>	[1..1]	Amount	C1, C5	230
	ChangeType <ChngTp>	[0..*]			231
{Or	Code <Cd>	[1..1]	CodeSet		231
Or}	Proprietary <Prtry>	[1..1]	±		231
	OfferType <OfferTp>	[0..*]			232
{Or	Code <Cd>	[1..1]	CodeSet		232
Or}	Proprietary <Prtry>	[1..1]	±		233
	RestrictionIndicator <RstrctnInd>	[0..1]	Indicator		233
	PartialElectionIndicator <PrtlElctnInd>	[0..1]	Indicator		233
	ElectionType <ElctnTp>	[0..1]			233
{Or	Code <Cd>	[1..1]	CodeSet		234
Or}	Proprietary <Prtry>	[1..1]	±		234
	LotteryType <LtryTp>	[0..1]			234
{Or	Code <Cd>	[1..1]	CodeSet		234
Or}	Proprietary <Prtry>	[1..1]	±		235
	IncomeType <IncmTp>	[0..1]	±		235
	DividendType <DvddTp>	[0..1]			235
{Or	Code <Cd>	[1..1]	CodeSet		236
Or}	Proprietary <Prtry>	[1..1]	±		236
	IntermediateSecuritiesDistributionType <IntrmdtSciesDstrbntTp>	[0..1]			236

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		237
Or}	Proprietary <Prtry>	[1..1]	±		237
	CouponNumber <CpnNb>	[0..*]	Text		237
	InterestAccruedNumberOfDays <IntrstAcrdNbOfDays>	[0..1]	Quantity		238
	NewDenominationCurrency <NewDnmtnCcy>	[0..1]	CodeSet	C1	238
	DateDetails <DtDtls>	[0..1]			238
	RecordDate <RcrdDt>	[0..1]	±		239
	EffectiveDate <FctvDt>	[0..1]	±		240
	CoverExpirationDate <CoverXprtnDt>	[0..1]	±		240
	EqualisationDate <EqulstnDt>	[0..1]	±		240
	MarginFixingDate <MrgnFxdDt>	[0..1]	±		241
	LotteryDate <LtryDt>	[0..1]	±		241
	ProtectDate <PrtctDt>	[0..1]	±		241
	UnconditionalDate <UcondlDt>	[0..1]	±		242
	WhollyUnconditionalDate <WhlyUcondlDt>	[0..1]	±		242
	ResultsPublicationDate <RsltsPblctnDt>	[0..1]	±		242
	CourtApprovalDate <CrtApprvlDt>	[0..1]	±		243
	EarlyClosingDate <EarlyClsgDt>	[0..1]	±		243
	ExDividendDate <ExDvddDt>	[0..1]	±		243
	IndexFixingDate <IndxFxdDt>	[0..1]	±		244
	MaturityDate <MtrtyDt>	[0..1]	±		244
	TradingSuspendedDate <TradgSspdt>	[0..1]	±		244
	CertificationDeadline <CertfctnDdln>	[0..1]	±		245
	RedemptionDate <RedDt>	[0..1]	±		245
	RegistrationDeadline <RegnDdln>	[0..1]	±		245
	ProrationDate <PrratnDt>	[0..1]	±		246
	DeadlineForTaxBreakdownInstruction <DdlnForTaxBrkdwnInstr>	[0..1]	±		246
	LapsedDate <LpsdDt>	[0..1]	±		246
	GuaranteedParticipationDate <GrntedPrtpctnDt>	[0..1]	±		247
	ElectionToCounterpartyDeadline <ElctnToCtrPtyDdln>	[0..1]	±		247
	SpecialExDate <SpclExDt>	[0..1]	±		247

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	PriceDetails <PricDtls>	[0..*]			248
	MaximumPrice <MaxPric>	[0..1]	±		248
	MinimumPrice <MinPric>	[0..1]	±		248
	PeriodDetails <PrdDtls>	[0..1]			249
	ActionPeriod <ActnPrd>	[0..1]	±		249
	CompulsoryPurchasePeriod <CmplsryPurchsPrd>	[0..1]	±		249
	InterestPeriod <IntrstPrd>	[0..1]	±		250
	BlockingPeriod <BlckgPrd>	[0..1]	±		250
	PriceCalculationPeriod <PricClctnPrd>	[0..1]	±		250
	RateAndAmountDetails <RateAndAmtDtls>	[0..1]			250
	Interest <Intrst>	[0..1]	±		251
	RelatedIndex <RltdlIdx>	[0..1]	±		251
	PercentageSought <PctgSght>	[0..1]	±		252
	ReinvestmentDiscountToMarket <RinvstmtDscntToMkt>	[0..1]	±		252
	Spread <Sprd>	[0..1]	±		252
	BidInterval <BidIntrvl>	[0..1]			253
{Or	Amount <Amt>	[1..1]	Amount	C1, C5	253
Or}	NotSpecifiedRate <NotSpctdRate>	[1..1]			253
{Or	Code <Cd>	[1..1]	CodeSet		254
Or}	Proprietary <Prtry>	[1..1]	±		254
	Charges <Chrgs>	[0..1]	±		254
	CorporateActionAdditionalInformation <CorpActnAddtlInf>	[0..1]			255
	InformationConditions <InfConds>	[0..1]	Text		255
	InformationToComplyWith <InfToCmplyWth>	[0..1]	Text		255
	TaxationConditions <TaxtnConds>	[0..1]	Text		255
	NewCompanyName <NewCpnyNm>	[0..1]	Text		255
	Offeror <Offerr>	[0..1]	±		255
	URLAddress <URLAdr>	[0..1]	Text		256
	AdditionalText <AddtlTxt>	[0..1]	Text		256
	CertificationRequiredIndicator <CertfctnReqrdInd>	[0..1]	Indicator		256
	CertificationType <CertfctnTp>	[0..1]			256

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		256
Or}	Proprietary <Prtry>	[1..1]	±		257
	CapitalGain <CptlGn>	[0..1]	CodeSet		257
	TaxableIncomePerShareCalculated <TaxblIncmPerShrClctd>	[0..1]	CodeSet		258
	NewPlaceOfIncorporation <NewPlcOfIncorprtn>	[0..1]	Text		258
	RenounceableEntitlementStatusType <RnnclEntitlmtStsTp>	[0..1]			258
{Or	Code <Cd>	[1..1]	CodeSet		258
Or}	Proprietary <Prtry>	[1..1]	±		258
	ConversionType <ConvsTp>	[0..1]			259
{Or	Code <Cd>	[1..1]	CodeSet		259
Or}	Proprietary <Prtry>	[1..1]	±		259
	RedemptionChargesAppliedIndicator <RedChrgsApldInd>	[0..1]	Indicator		259
	DistributionType <DstrbtnTp>	[0..1]			260
{Or	Code <Cd>	[1..1]	CodeSet		260
Or}	Proprietary <Prtry>	[1..1]	±		260
	CorporateActionOptionDetails <CorpActnOptnDtls>	[0..*]		C3, C6	260
	OptionNumber <OptnNb>	[1..1]	Text		268
	OptionType <OptnTp>	[1..1]			268
{Or	Code <Cd>	[1..1]	CodeSet		269
Or}	Proprietary <Prtry>	[1..1]	±		270
	OptionAvailabilityStatus <OptnAvlbtySts>	[1..1]			270
{Or	Code <Cd>	[1..1]	CodeSet		270
Or}	Proprietary <Prtry>	[1..1]	±		271
	CertificationIndicator <CertfctnInd>	[0..1]	Indicator		271
	CertificationType <CertfctnTp>	[0..1]			271
{Or	Code <Cd>	[1..1]	CodeSet		271
Or}	Proprietary <Prtry>	[1..1]	±		272
	AssentedLineSecurityIdentification <AssntdLineSctyld>	[0..1]	±		272
	AgentSecuritiesAccountIdentification <AgtSctiesAcctld>	[0..1]	Text		273
	AgentCashAccountIdentification <AgtCshAcctld>	[0..1]	±		273
	OfferType <OfferTp>	[0..*]			273

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		273
Or}	Proprietary <Prtry>	[1..1]	±		274
	IntermediateSecuritiesDistributionType <IntrmdtScitiesDstrbtp>	[0..1]			274
{Or	Code <Cd>	[1..1]	CodeSet		275
Or}	Proprietary <Prtry>	[1..1]	±		275
	WithdrawalAllowedIndicator <WdrwlAllwdInd>	[1..1]	Indicator		276
	ChangeAllowedIndicator <ChngAllwdInd>	[1..1]	Indicator		276
	DateDetails <DtDtls>	[0..1]			276
	CouponClippingDate <CpnClpngDt>	[0..1]	±		277
	ConsentExpirationDate <CnsntXprtnDt>	[0..1]	±		277
	ConsentRecordDate <CnsntRcrdDt>	[0..1]	±		278
	PaymentDate <PmtDt>	[0..1]	±		278
	EarliestPaymentDate <EarlstPmtDt>	[0..1]	±		278
	MarketDeadline <MktDdlIn>	[0..1]	±		278
	ResponseDeadline <RspnDdlIn>	[0..1]	±		279
	DeadlineToSplit <DdlInToSplT>	[0..1]	±		279
	ExpiryDate <XpryDt>	[0..1]	±		279
	QuotationSettingDate <QtnSetngDt>	[0..1]	±		280
	SubscriptionCostDebitDate <SbcptCostDbtDt>	[0..1]	±		280
	RateAndAmountDetails <RateAndAmtDtls>	[0..1]			280
	WithholdingTax <WhldgTax>	[0..1]	±		282
	WithholdingOfForeignTax <WhldgOfFrgnTax>	[0..1]	±		283
	WithholdingOfLocalTax <WhldgOfLclTax>	[0..1]	±		283
	GermanLocalTax1 <GrmnLclTax1>	[0..1]	±		283
	GermanLocalTax2 <GrmnLclTax2>	[0..1]	±		284
	GermanLocalTax3 <GrmnLclTax3>	[0..1]	±		284
	GermanLocalTax4 <GrmnLclTax4>	[0..1]	±		285
	TaxOnIncome <TaxOnIncm>	[0..1]	±		285
	TaxOnProfit <TaxOnPrft>	[0..1]	±		285
	TaxReclaim <TaxRclm>	[0..1]	±		285
	FiscalStamp <FscIStmp>	[0..1]	±		286

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	Proration <Prratn>	[0..1]	±		286
	NewToOld <NewToOd>	[0..1]	±		286
	NewSecuritiesToUnderlyingSecurities <NewSciesToUndrlygScies>	[0..1]	±		287
	AdditionalQuantityForExistingSecurities <AddtlQtyForExstgScies>	[0..1]	±		287
	AdditionalQuantityForSubscribedResultantSecurities <AddtlQtyForSbcbdrsltntScies>	[0..1]	±		288
	RelatedTax <RltdTax>	[0..1]			288
	TaxType <TaxTp>	[1..1]			288
{Or	Code <Cd>	[1..1]	CodeSet		289
Or}	Proprietary <Prtry>	[1..1]	±		289
	Amount <Amt>	[1..1]	Amount	C1, C5	289
	NonResidentRate <NonResdtRate>	[0..1]	±		290
	Charges <Chrgs>	[0..1]	±		290
	InterestForUsedPayment <IntrstForUsdPmt>	[0..1]	±		290
	IndexFactor <IndxFctr>	[0..1]	±		291
	FullyFranked <FullyFrnkcd>	[0..1]	±		291
	GrossDividend <GrssDvdd>	[0..1]	±		291
	NetDividend <NetDvdd>	[0..1]	±		292
	FinalDividend <Fnldvdd>	[0..1]			292
{Or	Amount <Amt>	[1..1]	Amount	C1, C5	292
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]			293
{Or	Code <Cd>	[1..1]	CodeSet		293
Or}	Proprietary <Prtry>	[1..1]	±		293
	ProvisionalDividend <PrvsnlDvdd>	[0..1]			294
{Or	Amount <Amt>	[1..1]	Amount	C1, C5	294
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]			294
{Or	Code <Cd>	[1..1]	CodeSet		295
Or}	Proprietary <Prtry>	[1..1]	±		295
	CashIncentive <CshIncntiv>	[0..1]	±		295
	SolicitationFee <SlctnFee>	[0..1]	±		296
	MaximumAllowedOversubscription <MaxAllwdOvrsbcpt>	[0..1]	±		296

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	AdditionalTax <AddtlTax>	[0..1]	±		296
	OriginalAmount <OrgnlAmt>	[0..1]	Amount	C1, C5	297
	ExchangeRate <XchgRate>	[0..1]			297
	UnitCurrency <UnitCcy>	[1..1]	CodeSet	C1	297
	QuotedCurrency <QtdCcy>	[1..1]	CodeSet	C1	298
	ExchangeRate <XchgRate>	[1..1]	Rate		298
	ResultingAmount <RsltAmt>	[1..1]	Amount	C1, C5	298
	ApplicableRate <ApplRate>	[0..1]	±		298
	PriceDetails <PricDtls>	[0..1]			299
	ExercisePrice <ExrcPric>	[0..1]	±		299
	IssuePrice <IssePric>	[0..1]	±		300
	CashInLieuOfSharePrice <CshInLieuOfShrPric>	[0..1]	±		300
	TaxableIncomePerDividendShare <TaxblIncmPerDvddShr>	[0..1]			301
	AmountPriceType <AmtPricTp>	[1..1]			301
{Or	Code <Cd>	[1..1]	CodeSet		301
Or}	Proprietary <Prtry>	[1..1]	±		302
	PriceValue <PricVal>	[1..1]	Amount	C1	302
	GenericCashPriceReceivedPerProduct <GncCshPricRcvdPerPdct>	[0..1]	±		302
	GenericCashPricePaidPerProduct <GncCshPricPdPerPdct>	[0..1]	±		303
	OverSubscriptionDepositPrice <OverSbcptDpstPric>	[0..1]	±		304
	PeriodDetails <PrdDtls>	[0..1]			304
	AssentedLinePeriod <AssntdLinePrd>	[0..1]	±		305
	ActionPeriod <ActnPrd>	[0..1]	±		305
	PrivilegeSuspensionPeriod <PrvlgSspnsnPrd>	[0..1]	±		305
	ParallelTradingPeriod <ParllTradgPrd>	[0..1]	±		306
	SellThruIssuerPeriod <SellThruIssrPrd>	[0..1]	±		306
	RevocabilityPeriod <RvcbltyPrd>	[0..1]	±		306
	PriceCalculationPeriod <PricClctnPrd>	[0..1]	±		306
	SecuritiesMovementDetails <SctiesMvmntDtls>	[0..*]			307
	SecurityIdentification <SctyId>	[1..1]	±		309
	CreditDebitIndicator <CdtDbtInd>	[1..1]	CodeSet		309

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	SecuritiesQuantity <SciesQty>	[0..1]			309
{Or	Unit <Unit>	[1..1]	Quantity		310
Or}	FaceAmount <FaceAmt>	[1..1]	Amount	C1, C5	310
	MinimumExercisableSecuritiesQuantity <MinExrcblSciesQty>	[0..1]			310
{Or	Unit <Unit>	[1..1]	Quantity		310
Or}	FaceAmount <FaceAmt>	[1..1]	Amount	C1, C5	311
	MinimumExercisableMultipleSecuritiesQuantity <MinExrcblMltplSciesQty>	[0..1]			311
{Or	Unit <Unit>	[1..1]	Quantity		311
Or}	FaceAmount <FaceAmt>	[1..1]	Amount	C1, C5	311
	NewDenominationSecuritiesQuantity <NewDnmtnSciesQty>	[0..1]			312
{Or	Unit <Unit>	[1..1]	Quantity		312
Or}	FaceAmount <FaceAmt>	[1..1]	Amount	C1, C5	312
	NewBoardLotSecuritiesQuantity <NewBrdLotSciesQty>	[0..1]			313
{Or	Unit <Unit>	[1..1]	Quantity		313
Or}	FaceAmount <FaceAmt>	[1..1]	Amount	C1, C5	313
	ShareRanking <ShrRnkg>	[0..1]			313
{Or	Code <Cd>	[1..1]	CodeSet		314
Or}	Proprietary <Prtry>	[1..1]	±		314
	AdditionalQuantityForSubscribedResultantSecurities <AddtlQtyForSbcbrsRsltntScies>	[0..1]	±		314
	DateDetails <DtDtls>	[0..1]			314
	PaymentDate <PmtDt>	[0..1]	±		315
	AvailableDate <AvlblDt>	[0..1]	±		315
	DividendRankingDate <DvddRnkgDt>	[0..1]	±		315
	PariPassuDate <PrpssDt>	[0..1]	±		316
	FirstDealingDate <FrstDealgDt>	[0..1]	±		316
	EarliestPaymentDate <EarlstPmtDt>	[0..1]	±		316
	PriceDetails <PricDtls>	[0..1]			317
	IndicativePrice <IndctvPric>	[0..1]	±		317
	MarketPrice <MktPric>	[0..1]	±		317
	TradingPeriod <TradgPrd>	[0..1]	±		318

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	AdditionalQuantityForExistingSecurities <AddtlQtyForExstgScies>	[0..1]	±		318
	TemporaryFinancialInstrumentIndicator <TempFinInstrmInd>	[0..1]	Indicator		318
	FractionDisposition <FrctnDspstn>	[0..1]			319
{Or	Code <Cd>	[1..1]	CodeSet		319
Or}	Proprietary <Prtry>	[1..1]	±		319
	CashMovementDetails <CshMvmntDtls>	[0..*]			320
	CreditDebitIndicator <CdtDbtInd>	[1..1]	CodeSet		323
	Currency <Ccy>	[0..1]	CodeSet	C1	323
	DateDetails <DtDtls>	[0..1]			323
	ForeignExchangeRateFixingDate <FXRateFxdt>	[0..1]	±		323
	ValueDate <ValDt>	[0..1]	±		324
	PaymentDate <PmtDt>	[0..1]	±		324
	EarliestPaymentDate <EarlstPmtDt>	[0..1]	±		324
	AmountDetails <AmtDtls>	[0..1]			325
	GrossCashAmount <GrssCshAmt>	[0..1]	Amount	C1, C5	327
	NetCashAmount <NetCshAmt>	[0..1]	Amount	C1, C5	328
	IssueDiscountAmount <IsseDscntAmt>	[0..1]	Amount	C1, C5	328
	SolicitationFees <SlctnFees>	[0..1]	Amount	C1, C5	328
	CashInLieuOfShare <CshInLieuOfShr>	[0..1]	Amount	C1, C5	329
	OriginalAmount <OrgnlAmt>	[0..1]	Amount	C1, C5	329
	CapitalGain <CptlGn>	[0..1]	Amount	C1, C5	330
	InterestAmount <IntrstAmt>	[0..1]	Amount	C1, C5	330
	IndemnityAmount <IndmntyAmt>	[0..1]	Amount	C1, C5	330
	RedemptionPremiumAmount <RedPrmAmt>	[0..1]	Amount	C1, C5	331
	ManufacturedDividendAmount <ManfctrdDvddAmt>	[0..1]	Amount	C1, C5	331
	PrincipalOrCorpus <PrncplOrCrps>	[0..1]	Amount	C1, C5	332
	ReinvestmentAmount <RinvstmtAmt>	[0..1]	Amount	C1, C5	332
	MarketClaimAmount <MktClmAmt>	[0..1]	Amount	C1, C5	333
	FullyFrankedAmount <FullyFrnkdtAmt>	[0..1]	Amount	C1, C5	333
	UnfrankedAmount <UfrnkdtAmt>	[0..1]	Amount	C1, C5	333
	SundryOrOtherAmount <SndryOrOthrAmt>	[0..1]	Amount	C1, C5	334

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	SpecialConcessionAmount <SpclCncssnAmt>	[0..1]	Amount	C1, C5	334
	EntitledAmount <EntitldAmt>	[0..1]	Amount	C1, C5	335
	CashIncentive <CshIncntiv>	[0..1]	Amount	C1, C5	335
	AdditionalSubscriptionCost <AddtlSbcptCost>	[0..1]	Amount	C1, C5	335
	TaxFreeAmount <TaxFreeAmt>	[0..1]	Amount	C1, C5	336
	TaxDeferredAmount <TaxDfrdAmt>	[0..1]	Amount	C1, C5	336
	GermanLocalTax1Amount <GrmnLclTax1Amt>	[0..1]	Amount	C1, C5	337
	GermanLocalTax2Amount <GrmnLclTax2Amt>	[0..1]	Amount	C1, C5	337
	GermanLocalTax3Amount <GrmnLclTax3Amt>	[0..1]	Amount	C1, C5	337
	GermanLocalTax4Amount <GrmnLclTax4Amt>	[0..1]	Amount	C1, C5	338
	StockExchangeTaxAmount <StockXchgTaxAmt>	[0..1]	Amount	C1, C5	338
	TransferTaxAmount <TrfTaxAmt>	[0..1]	Amount	C1, C5	339
	TransactionTaxAmount <TxTaxAmt>	[0..1]	Amount	C1, C5	339
	ValueAddedTaxAmount <ValAddedTaxAmt>	[0..1]	Amount	C1, C5	339
	EURRetentionTaxAmount <EURtntnTaxAmt>	[0..1]	Amount	C1, C5	340
	LocalTaxAmount <LclTaxAmt>	[0..1]	Amount	C1, C5	340
	PaymentLevyTaxAmount <PmtLevyTaxAmt>	[0..1]	Amount	C1, C5	341
	CountryNationalFederalTaxAmount <CtryNtlFdrlTaxAmt>	[0..1]	Amount	C1, C5	341
	StampDutyAmount <StmpDtyAmt>	[0..1]	Amount	C1, C5	341
	TaxReclaimAmount <TaxRclmAmt>	[0..1]	Amount	C1, C5	342
	TaxCreditAmount <TaxCdtAmt>	[0..1]	Amount	C1, C5	342
	WithholdingOfForeignTaxAmount <WhldgOfFrgnTaxAmt>	[0..1]	Amount	C1, C5	343
	WithholdingOfLocalTaxAmount <WhldgOfLclTaxAmt>	[0..1]	Amount	C1, C5	343
	AdditionalTaxAmount <AddtlTaxAmt>	[0..1]	Amount	C1, C5	343
	WithholdingTaxAmount <WhldgTaxAmt>	[0..1]	Amount	C1, C5	344
	FiscalStampAmount <FscIStmpAmt>	[0..1]	Amount	C1, C5	344
	ExecutingBrokerAmount <ExctgBrkrAmt>	[0..1]	Amount	C1, C5	345
	PayingAgentCommissionAmount <PngAgtComssnAmt>	[0..1]	Amount	C1, C5	345
	LocalBrokerCommissionAmount <LclBrkrComssnAmt>	[0..1]	Amount	C1, C5	345
	PostageFeeAmount <PstgFeeAmt>	[0..1]	Amount	C1, C5	346
	RegulatoryFeesAmount <RgltryFeesAmt>	[0..1]	Amount	C1, C5	346

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	ShippingFeesAmount <ShppgFeesAmt>	[0..1]	Amount	C1, C5	347
	ChargesAmount <ChrgsAmt>	[0..1]	Amount	C1, C5	347
	ExchangeRate <XchgRate>	[0..1]			347
	UnitCurrency <UnitCcy>	[1..1]	CodeSet	C1	348
	QuotedCurrency <QtdCcy>	[1..1]	CodeSet	C1	348
	ExchangeRate <XchgRate>	[1..1]	Rate		348
	ResultingAmount <RsltgtAmt>	[1..1]	Amount	C1, C5	349
	CorporateActionOtherAgentDetails <CorpActnOthrAgtDtls>	[0..*]			349
	AgentIdentification <AgtId>	[1..1]	±		349
	AgentRole <AgtRole>	[1..1]			350
{Or	Code <Cd>	[1..1]	CodeSet		350
Or}	Proprietary <Prtry>	[1..1]	±		350
	ContactPerson <CtctPrsn>	[0..1]	±		351
	FractionDisposition <FrctnDspstn>	[0..1]			351
{Or	Code <Cd>	[1..1]	CodeSet		351
Or}	Proprietary <Prtry>	[1..1]	±		351
	RedemptionChargesAppliedIndicator <RedChrgsApldInd>	[0..1]	Indicator		352
	OptionFeatures <OptnFeatrs>	[0..*]			352
{Or	Code <Cd>	[1..1]	CodeSet		352
Or}	Proprietary <Prtry>	[1..1]	±		353
	CorporateActionAdditionalInformation <CorpActnAddtlInf>	[0..1]			353
	InformationConditions <InfConds>	[0..1]	Text		354
	InformationToComplyWith <InfToCmplyWth>	[0..1]	Text		354
	TaxationConditions <TaxtnConds>	[0..1]	Text		354
	NewCompanyName <NewCpnyNm>	[0..1]	Text		354
	Offeror <Offerr>	[0..1]	±		354
	URLAddress <URLAdr>	[0..1]	Text		354
	AdditionalText <AddtlTxt>	[0..1]	Text		354

4.3 Constraints

C1 ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

C2 AnyBIC

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered by the ISO 9362 Registration Authority in the BIC directory, and consists of eight (8) or eleven (11) contiguous characters.

C3 ChangeAllowedRule

If the value of ChangeAllowedIndicator is true, then PerdioDetails/RevocabilityPeriod is Mandatory.

C4 Country

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

C5 CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

C6 WithdrawalAllowedRule

If the value of WithdrawalAllowedIndicator is true, then PeriodDetails/RevocabilityPeriod is Mandatory.

4.4 Message Building Blocks

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

4.4.1 Identification <Id>

Presence: [1..1]

Definition: Identification assigned by the Sender to unambiguously identify the cancellation request.

Identification <Id> contains the following elements (see "[DocumentIdentification8](#)" on page 690 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		690
	CreationDateTime <CreDtTm>	[0..1]	DateTime		690

4.4.2 NotificationCancellationTypeAndLinkage <NtfctnCxlTpAndLkg>

Presence: [1..1]

Definition: Provides information about the type of notification cancellation request and linked message.

NotificationCancellationTypeAndLinkage <NtfctnCxlTpAndLkg> contains the following **NotificationCancellation1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NotificationCancellationType <NtfctnCxlTp>	[1..1]	CodeSet		203
	LinkedAgentCANotificationAdvicelIdentification <LkdAgtCANtfctnAdvclId>	[1..1]	±		203

4.4.2.1 NotificationCancellationType <NtfctnCxlTp>

Presence: [1..1]

Definition: The function of the notification e.g. new notification.

Datatype: "CorporateActionNotificationType2Code" on page 821

CodeName	Name	Definition
WITH	Withdrawal	Notification sent to void a previously sent notification due to the withdrawal of the event by the issuer.
CANC	Cancellation	Notification requesting the cancellation of a previously sent notification.

4.4.2.2 LinkedAgentCANotificationAdvicelIdentification <LkdAgtCANtfctnAdvclId>

Presence: [1..1]

Definition: The identification of the linked notification advice.

LinkedAgentCANotificationAdvicelIdentification <LkdAgtCANtfctnAdvclId> contains the following elements (see "DocumentIdentification8" on page 690 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		690
	CreationDateTime <CreDtTm>	[0..1]	DateTime		690

4.4.3 CorporateActionGeneralInformation <CorpActnGnllnf>

Presence: [1..1]

Definition: General information about the corporate action event.

CorporateActionGeneralInformation <CorpActnGnllnf> contains the following elements (see "CorporateActionInformation2" on page 723 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AgentIdentification <AgtId>	[1..1]	±		723
	IssuerCorporateActionIdentification <IssrCorpActnId>	[1..1]	Text		724
	CorporateActionProcessingIdentification <CorpActnPrvgId>	[0..1]	Text		724
	EventType <EvtTp>	[1..1]			724
{Or	Code <Cd>	[1..1]	CodeSet		724
Or}	Proprietary <Prtry>	[1..1]	±		730
	EventProcessingType <EvtPrvgTp>	[0..1]			730
{Or	Code <Cd>	[1..1]	CodeSet		731
Or}	Proprietary <Prtry>	[1..1]	±		731
	MandatoryVoluntaryEventType <MndtryVlntryEvtTp>	[1..1]			731
{Or	Code <Cd>	[1..1]	CodeSet		732
Or}	Proprietary <Prtry>	[1..1]	±		732
	UnderlyingSecurity <UndrlygScty>	[1..1]	±		732
	OtherUnderlyingSecurity <OthrUndrlygScty>	[0..*]	±		733

4.4.4 CorporateActionNotificationDetails <CorpActnNtfctnDtls>

Presence: [0..1]

Definition: Detailed information of the notification advice to be cancelled.

CorporateActionNotificationDetails <CorpActnNtfctnDtls> contains the following
CorporateActionNotificationAdvice1 elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CorporateActionDetails <CorpActnDtls>	[1..1]			216
	EventStage <EvtStag>	[0..*]			221
{Or	Code <Cd>	[1..1]	CodeSet		221
Or}	Proprietary <Prtry>	[1..1]	±		222
	DefaultOptionType <DfltOptnTp>	[0..1]			222
{Or	Code <Cd>	[1..1]	CodeSet		222
Or}	Proprietary <Prtry>	[1..1]	±		223
	DefaultOptionNumber <DfltOptnNb>	[0..1]	Text		224
	CalculationMethod <ClctnMtd>	[0..1]			224
{Or	Code <Cd>	[1..1]	CodeSet		224
Or}	Proprietary <Prtry>	[1..1]	±		224
	BackEndOddLotSecuritiesQuantity <BckEndOddLotSciesQty>	[0..1]	±		225
	FrontEndOddLotSecuritiesQuantity <FrntEndOddLotSciesQty>	[0..1]	±		225
	MinimumExercisableSecuritiesQuantity <MinExrcblSciesQty>	[0..1]			225
{Or	Unit <Unit>	[1..1]	Quantity		226
Or}	FaceAmount <FaceAmt>	[1..1]	Amount	C1, C5	226
	MinimumExercisableMultipleSecuritiesQuantity <MinExrcblMltplSciesQty>	[0..1]			226
{Or	Unit <Unit>	[1..1]	Quantity		226
Or}	FaceAmount <FaceAmt>	[1..1]	Amount	C1, C5	227
	IncrementalDenomination <IncrmtlDnmtn>	[0..1]			227
{Or	Unit <Unit>	[1..1]	Quantity		227
Or}	FaceAmount <FaceAmt>	[1..1]	Amount	C1, C5	227
	NewDenominationSecuritiesQuantity <NewDnmtnSciesQty>	[0..1]			228
{Or	Unit <Unit>	[1..1]	Quantity		228
Or}	FaceAmount <FaceAmt>	[1..1]	Amount	C1, C5	228
	NewBoardLotSecuritiesQuantity <NewBrdLotSciesQty>	[0..1]			229
{Or	Unit <Unit>	[1..1]	Quantity		229
Or}	FaceAmount <FaceAmt>	[1..1]	Amount	C1, C5	229

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	SecuritiesQuantitySought <SctiesQtySght>	[0..1]	±		229
	BaseDenomination <BaseDnmtn>	[0..1]			230
{Or	Unit <Unit>	[1..1]	Quantity		230
Or}	FaceAmount <FaceAmt>	[1..1]	Amount	C1, C5	230
	ChangeType <ChngTp>	[0..*]			231
{Or	Code <Cd>	[1..1]	CodeSet		231
Or}	Proprietary <Prtry>	[1..1]	±		231
	OfferType <OfferTp>	[0..*]			232
{Or	Code <Cd>	[1..1]	CodeSet		232
Or}	Proprietary <Prtry>	[1..1]	±		233
	RestrictionIndicator <RstrctnInd>	[0..1]	Indicator		233
	PartialElectionIndicator <PrtlElctnInd>	[0..1]	Indicator		233
	ElectionType <ElctnTp>	[0..1]			233
{Or	Code <Cd>	[1..1]	CodeSet		234
Or}	Proprietary <Prtry>	[1..1]	±		234
	LotteryType <LtryTp>	[0..1]			234
{Or	Code <Cd>	[1..1]	CodeSet		234
Or}	Proprietary <Prtry>	[1..1]	±		235
	IncomeType <IncmTp>	[0..1]	±		235
	DividendType <DvddTp>	[0..1]			235
{Or	Code <Cd>	[1..1]	CodeSet		236
Or}	Proprietary <Prtry>	[1..1]	±		236
	IntermediateSecuritiesDistributionType <IntrmdtSctiesDstrbtnTp>	[0..1]			236
{Or	Code <Cd>	[1..1]	CodeSet		237
Or}	Proprietary <Prtry>	[1..1]	±		237
	CouponNumber <CpnNb>	[0..*]	Text		237
	InterestAccruedNumberOfDays <IntrstAcrdNbOfDays>	[0..1]	Quantity		238
	NewDenominationCurrency <NewDnmtnCcy>	[0..1]	CodeSet	C1	238
	DateDetails <DtDtls>	[0..1]			238
	RecordDate <RcrdDt>	[0..1]	±		239
	EffectiveDate <FctvDt>	[0..1]	±		240

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CoverExpirationDate <CoverXprtnDt>	[0..1]	±		240
	EqualisationDate <EqulstnDt>	[0..1]	±		240
	MarginFixingDate <MrgnFxdDt>	[0..1]	±		241
	LotteryDate <LtryDt>	[0..1]	±		241
	ProtectDate <PrctDt>	[0..1]	±		241
	UnconditionalDate <UcondlDt>	[0..1]	±		242
	WhollyUnconditionalDate <WhlyUcondlDt>	[0..1]	±		242
	ResultsPublicationDate <RsltsPblctnDt>	[0..1]	±		242
	CourtApprovalDate <CrtApprvlDt>	[0..1]	±		243
	EarlyClosingDate <EarlyClsgDt>	[0..1]	±		243
	ExDividendDate <ExDvddDt>	[0..1]	±		243
	IndexFixingDate <IndxFxdDt>	[0..1]	±		244
	MaturityDate <MtrtyDt>	[0..1]	±		244
	TradingSuspendedDate <TradgSspdDt>	[0..1]	±		244
	CertificationDeadline <CertfctnDdlIn>	[0..1]	±		245
	RedemptionDate <RedDt>	[0..1]	±		245
	RegistrationDeadline <RegnDdlIn>	[0..1]	±		245
	ProrationDate <PrratnDt>	[0..1]	±		246
	DeadlineForTaxBreakdownInstruction <DdlInForTaxBrkdwnInstr>	[0..1]	±		246
	LapsedDate <LpsdDt>	[0..1]	±		246
	GuaranteedParticipationDate <GrntedPrctptnDt>	[0..1]	±		247
	ElectionToCounterpartyDeadline <ElctnToCtrPtyDdlIn>	[0..1]	±		247
	SpecialExDate <SpclExDt>	[0..1]	±		247
	PriceDetails <PricDtls>	[0..*]			248
	MaximumPrice <MaxPric>	[0..1]	±		248
	MinimumPrice <MinPric>	[0..1]	±		248
	PeriodDetails <PrdDtls>	[0..1]			249
	ActionPeriod <ActnPrd>	[0..1]	±		249
	CompulsoryPurchasePeriod <CmplsryPurchsPrd>	[0..1]	±		249
	InterestPeriod <IntrstPrd>	[0..1]	±		250
	BlockingPeriod <BlckgPrd>	[0..1]	±		250

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PriceCalculationPeriod <PricClctnPrd>	[0..1]	±		250
	RateAndAmountDetails <RateAndAmtDtls>	[0..1]			250
	Interest <Intrst>	[0..1]	±		251
	RelatedIndex <RltdIndx>	[0..1]	±		251
	PercentageSought <PctgSght>	[0..1]	±		252
	ReinvestmentDiscountToMarket <RinvstmtDscntToMkt>	[0..1]	±		252
	Spread <Sprd>	[0..1]	±		252
	BidInterval <BidIntrvl>	[0..1]			253
{Or	Amount <Amt>	[1..1]	Amount	C1, C5	253
Or}	NotSpecifiedRate <NotSpctdRate>	[1..1]			253
{Or	Code <Cd>	[1..1]	CodeSet		254
Or}	Proprietary <Prtry>	[1..1]	±		254
	Charges <Chrgs>	[0..1]	±		254
	CorporateActionAdditionalInformation <CorpActnAddtlInf>	[0..1]			255
	InformationConditions <InfConds>	[0..1]	Text		255
	InformationToComplyWith <InfToCmplyWth>	[0..1]	Text		255
	TaxationConditions <TaxtnConds>	[0..1]	Text		255
	NewCompanyName <NewCpnyNm>	[0..1]	Text		255
	Offeror <Offerr>	[0..1]	±		255
	URLAddress <URLAdr>	[0..1]	Text		256
	AdditionalText <AddtlTxt>	[0..1]	Text		256
	CertificationRequiredIndicator <CertfctnReqrdInd>	[0..1]	Indicator		256
	CertificationType <CertfctnTp>	[0..1]			256
{Or	Code <Cd>	[1..1]	CodeSet		256
Or}	Proprietary <Prtry>	[1..1]	±		257
	CapitalGain <CptlGn>	[0..1]	CodeSet		257
	TaxableIncomePerShareCalculated <TaxblIncmPerShrClctd>	[0..1]	CodeSet		258
	NewPlaceOfIncorporation <NewPlcOfIncorprtn>	[0..1]	Text		258
	RenounceableEntitlementStatusType <RnnclEntitlmntStsTp>	[0..1]			258
{Or	Code <Cd>	[1..1]	CodeSet		258

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
Or}	Proprietary <Prtry>	[1..1]	±		258
	ConversionType <ConvstP>	[0..1]			259
{Or	Code <Cd>	[1..1]	CodeSet		259
Or}	Proprietary <Prtry>	[1..1]	±		259
	RedemptionChargesAppliedIndicator <RedChrgsAppldInd>	[0..1]	Indicator		259
	DistributionType <DstrbtnTp>	[0..1]			260
{Or	Code <Cd>	[1..1]	CodeSet		260
Or}	Proprietary <Prtry>	[1..1]	±		260
	CorporateActionOptionDetails <CorpActnOptnDtls>	[0..*]		C3, C6	260
	OptionNumber <OptnNb>	[1..1]	Text		268
	OptionType <OptnTp>	[1..1]			268
{Or	Code <Cd>	[1..1]	CodeSet		269
Or}	Proprietary <Prtry>	[1..1]	±		270
	OptionAvailabilityStatus <OptnAvlbtySts>	[1..1]			270
{Or	Code <Cd>	[1..1]	CodeSet		270
Or}	Proprietary <Prtry>	[1..1]	±		271
	CertificationIndicator <CertfctnInd>	[0..1]	Indicator		271
	CertificationType <CertfctnTp>	[0..1]			271
{Or	Code <Cd>	[1..1]	CodeSet		271
Or}	Proprietary <Prtry>	[1..1]	±		272
	AssentedLineSecurityIdentification <AssntdLineSctyId>	[0..1]	±		272
	AgentSecuritiesAccountIdentification <AgtSciesAcctId>	[0..1]	Text		273
	AgentCashAccountIdentification <AgtCshAcctId>	[0..1]	±		273
	OfferType <OfferTp>	[0..*]			273
{Or	Code <Cd>	[1..1]	CodeSet		273
Or}	Proprietary <Prtry>	[1..1]	±		274
	IntermediateSecuritiesDistributionType <IntrmdtSciesDstrbtnTp>	[0..1]			274
{Or	Code <Cd>	[1..1]	CodeSet		275
Or}	Proprietary <Prtry>	[1..1]	±		275
	WithdrawalAllowedIndicator <WdrwlAllwdInd>	[1..1]	Indicator		276

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ChangeAllowedIndicator <ChngAllwdInd>	[1..1]	Indicator		276
	DateDetails <DtDtls>	[0..1]			276
	CouponClippingDate <CpnClpngDt>	[0..1]	±		277
	ConsentExpirationDate <CnsntXprtnDt>	[0..1]	±		277
	ConsentRecordDate <CnsntRcrdDt>	[0..1]	±		278
	PaymentDate <PmtDt>	[0..1]	±		278
	EarliestPaymentDate <EarlstPmtDt>	[0..1]	±		278
	MarketDeadline <MktDdln>	[0..1]	±		278
	ResponseDeadline <RspnDdln>	[0..1]	±		279
	DeadlineToSplit <DdlnToSpl>	[0..1]	±		279
	ExpiryDate <XpryDt>	[0..1]	±		279
	QuotationSettingDate <QtnSetngDt>	[0..1]	±		280
	SubscriptionCostDebitDate <SbcptCostDbtDt>	[0..1]	±		280
	RateAndAmountDetails <RateAndAmtDtls>	[0..1]			280
	WithholdingTax <WhldgTax>	[0..1]	±		282
	WithholdingOfForeignTax <WhldgOfFrgnTax>	[0..1]	±		283
	WithholdingOfLocalTax <WhldgOfLclTax>	[0..1]	±		283
	GermanLocalTax1 <GrmnLclTax1>	[0..1]	±		283
	GermanLocalTax2 <GrmnLclTax2>	[0..1]	±		284
	GermanLocalTax3 <GrmnLclTax3>	[0..1]	±		284
	GermanLocalTax4 <GrmnLclTax4>	[0..1]	±		285
	TaxOnIncome <TaxOnIncm>	[0..1]	±		285
	TaxOnProfit <TaxOnPrft>	[0..1]	±		285
	TaxReclaim <TaxRclm>	[0..1]	±		285
	FiscalStamp <FscIStmp>	[0..1]	±		286
	Proration <Pratr>	[0..1]	±		286
	NewToOld <NewToOd>	[0..1]	±		286
	NewSecuritiesToUnderlyingSecurities <NewSctiesToUndrlygScties>	[0..1]	±		287
	AdditionalQuantityForExistingSecurities <AddtlQtyForExstgScties>	[0..1]	±		287
	AdditionalQuantityForSubscribedResultantSecurities <AddtlQtyForSbcdbRsItntScties>	[0..1]	±		288

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	RelatedTax <RltdTax>	[0..1]			288
	TaxType <TaxTp>	[1..1]			288
{Or	Code <Cd>	[1..1]	CodeSet		289
Or}	Proprietary <Prtry>	[1..1]	±		289
	Amount <Amt>	[1..1]	Amount	C1, C5	289
	NonResidentRate <NonResdtRate>	[0..1]	±		290
	Charges <Chrgs>	[0..1]	±		290
	InterestForUsedPayment <IntrstForUsdPmt>	[0..1]	±		290
	IndexFactor <IndxFctr>	[0..1]	±		291
	FullyFranked <FullyFrnkcd>	[0..1]	±		291
	GrossDividend <GrssDvdd>	[0..1]	±		291
	NetDividend <NetDvdd>	[0..1]	±		292
	FinalDividend <Fnldvdd>	[0..1]			292
{Or	Amount <Amt>	[1..1]	Amount	C1, C5	292
Or}	NotSpecifiedRate <NotSpcdfRate>	[1..1]			293
{Or	Code <Cd>	[1..1]	CodeSet		293
Or}	Proprietary <Prtry>	[1..1]	±		293
	ProvisionalDividend <PrvsnlDvdd>	[0..1]			294
{Or	Amount <Amt>	[1..1]	Amount	C1, C5	294
Or}	NotSpecifiedRate <NotSpcdfRate>	[1..1]			294
{Or	Code <Cd>	[1..1]	CodeSet		295
Or}	Proprietary <Prtry>	[1..1]	±		295
	CashIncentive <CshIncntiv>	[0..1]	±		295
	SolicitationFee <SlctnFee>	[0..1]	±		296
	MaximumAllowedOversubscription <MaxAllwdOvrscpt>	[0..1]	±		296
	AdditionalTax <AddtlTax>	[0..1]	±		296
	OriginalAmount <OrgnlAmt>	[0..1]	Amount	C1, C5	297
	ExchangeRate <XchgRate>	[0..1]			297
	UnitCurrency <UnitCcy>	[1..1]	CodeSet	C1	297
	QuotedCurrency <QtdCcy>	[1..1]	CodeSet	C1	298
	ExchangeRate <XchgRate>	[1..1]	Rate		298

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ResultingAmount <RsltgAmt>	[1..1]	Amount	C1, C5	298
	ApplicableRate <AplblRate>	[0..1]	±		298
	PriceDetails <PricDtls>	[0..1]			299
	ExercisePrice <ExrcPric>	[0..1]	±		299
	IssuePrice <IssePric>	[0..1]	±		300
	CashInLieuOfSharePrice <CshInLieuOfShrPric>	[0..1]	±		300
	TaxableIncomePerDividendShare <TaxblIncmPerDvddShr>	[0..1]			301
	AmountPriceType <AmtPricTp>	[1..1]			301
{Or	Code <Cd>	[1..1]	CodeSet		301
Or}	Proprietary <Prtry>	[1..1]	±		302
	PriceValue <PricVal>	[1..1]	Amount	C1	302
	GenericCashPriceReceivedPerProduct <GncCshPricRcvdPerPdct>	[0..1]	±		302
	GenericCashPricePaidPerProduct <GncCshPricPdPerPdct>	[0..1]	±		303
	OverSubscriptionDepositPrice <OverSbcptDpstPric>	[0..1]	±		304
	PeriodDetails <PrdDtls>	[0..1]			304
	AssentedLinePeriod <AssntdLinePrd>	[0..1]	±		305
	ActionPeriod <ActnPrd>	[0..1]	±		305
	PrivilegeSuspensionPeriod <PrvlgSspnsnPrd>	[0..1]	±		305
	ParallelTradingPeriod <ParllTradgPrd>	[0..1]	±		306
	SellThruIssuerPeriod <SellThrulssrPrd>	[0..1]	±		306
	RevocabilityPeriod <RvcbltyPrd>	[0..1]	±		306
	PriceCalculationPeriod <PricClctnPrd>	[0..1]	±		306
	SecuritiesMovementDetails <SctiesMvmntDtls>	[0..*]			307
	SecurityIdentification <Sctyld>	[1..1]	±		309
	CreditDebitIndicator <CdtDbtInd>	[1..1]	CodeSet		309
	SecuritiesQuantity <SctiesQty>	[0..1]			309
{Or	Unit <Unit>	[1..1]	Quantity		310
Or}	FaceAmount <FaceAmt>	[1..1]	Amount	C1, C5	310
	MinimumExercisableSecuritiesQuantity <MinExrcblSctiesQty>	[0..1]			310

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		310
Or}	FaceAmount <FaceAmt>	[1..1]	Amount	C1, C5	311
	MinimumExercisableMultipleSecuritiesQuantity <MinExrcblMltpSciesQty>	[0..1]			311
{Or	Unit <Unit>	[1..1]	Quantity		311
Or}	FaceAmount <FaceAmt>	[1..1]	Amount	C1, C5	311
	NewDenominationSecuritiesQuantity <NewDnmtnSciesQty>	[0..1]			312
{Or	Unit <Unit>	[1..1]	Quantity		312
Or}	FaceAmount <FaceAmt>	[1..1]	Amount	C1, C5	312
	NewBoardLotSecuritiesQuantity <NewBrdLotSciesQty>	[0..1]			313
{Or	Unit <Unit>	[1..1]	Quantity		313
Or}	FaceAmount <FaceAmt>	[1..1]	Amount	C1, C5	313
	ShareRanking <ShrRnkg>	[0..1]			313
{Or	Code <Cd>	[1..1]	CodeSet		314
Or}	Proprietary <Prtry>	[1..1]	±		314
	AdditionalQuantityForSubscribedResultantSecurities <AddtlQtyForSbcbdRslntScies>	[0..1]	±		314
	DateDetails <DtDtls>	[0..1]			314
	PaymentDate <PmtDt>	[0..1]	±		315
	AvailableDate <AvlblDt>	[0..1]	±		315
	DividendRankingDate <DvddRnkgDt>	[0..1]	±		315
	PariPassuDate <PrpssDt>	[0..1]	±		316
	FirstDealingDate <FrstDealgDt>	[0..1]	±		316
	EarliestPaymentDate <EarlstPmtDt>	[0..1]	±		316
	PriceDetails <PricDtls>	[0..1]			317
	IndicativePrice <IndctvPric>	[0..1]	±		317
	MarketPrice <MktPric>	[0..1]	±		317
	TradingPeriod <TradgPrd>	[0..1]	±		318
	AdditionalQuantityForExistingSecurities <AddtlQtyForExstgScies>	[0..1]	±		318
	TemporaryFinancialInstrumentIndicator <TempFinInstrmlnd>	[0..1]	Indicator		318
	FractionDisposition <FrctnDspstn>	[0..1]			319

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		319
Or}	Proprietary <Prtry>	[1..1]	±		319
	CashMovementDetails <CshMvmntDtls>	[0..*]			320
	CreditDebitIndicator <CdtDbtInd>	[1..1]	CodeSet		323
	Currency <Ccy>	[0..1]	CodeSet	C1	323
	DateDetails <DtDtls>	[0..1]			323
	ForeignExchangeRateFixingDate <FXRateFxdt>	[0..1]	±		323
	ValueDate <ValDt>	[0..1]	±		324
	PaymentDate <PmtDt>	[0..1]	±		324
	EarliestPaymentDate <EarlstPmtDt>	[0..1]	±		324
	AmountDetails <AmtDtls>	[0..1]			325
	GrossCashAmount <GrssCshAmt>	[0..1]	Amount	C1, C5	327
	NetCashAmount <NetCshAmt>	[0..1]	Amount	C1, C5	328
	IssueDiscountAmount <IsseDscntAmt>	[0..1]	Amount	C1, C5	328
	SolicitationFees <SlctnFees>	[0..1]	Amount	C1, C5	328
	CashInLieuOfShare <CshInLieuOfShr>	[0..1]	Amount	C1, C5	329
	OriginalAmount <OrgnlAmt>	[0..1]	Amount	C1, C5	329
	CapitalGain <CptlGn>	[0..1]	Amount	C1, C5	330
	InterestAmount <IntrstAmt>	[0..1]	Amount	C1, C5	330
	IndemnityAmount <IndmntyAmt>	[0..1]	Amount	C1, C5	330
	RedemptionPremiumAmount <RedPrmAmt>	[0..1]	Amount	C1, C5	331
	ManufacturedDividendAmount <ManfctrdDvddAmt>	[0..1]	Amount	C1, C5	331
	PrincipalOrCorpus <PrncplOrCrps>	[0..1]	Amount	C1, C5	332
	ReinvestmentAmount <RinvstmtAmt>	[0..1]	Amount	C1, C5	332
	MarketClaimAmount <MktClmAmt>	[0..1]	Amount	C1, C5	333
	FullyFrankedAmount <FullyFrnkdtAmt>	[0..1]	Amount	C1, C5	333
	UnfrankedAmount <UfrnkdtAmt>	[0..1]	Amount	C1, C5	333
	SundryOrOtherAmount <SndryOrOthrAmt>	[0..1]	Amount	C1, C5	334
	SpecialConcessionAmount <SpclCncssnAmt>	[0..1]	Amount	C1, C5	334
	EntitledAmount <EntitldAmt>	[0..1]	Amount	C1, C5	335
	CashIncentive <CshIncntiv>	[0..1]	Amount	C1, C5	335

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AdditionalSubscriptionCost <AddtlSbcptCost>	[0..1]	Amount	C1, C5	335
	TaxFreeAmount <TaxFreeAmt>	[0..1]	Amount	C1, C5	336
	TaxDeferredAmount <TaxDfrdAmt>	[0..1]	Amount	C1, C5	336
	GermanLocalTax1Amount <GrmnLclTax1Amt>	[0..1]	Amount	C1, C5	337
	GermanLocalTax2Amount <GrmnLclTax2Amt>	[0..1]	Amount	C1, C5	337
	GermanLocalTax3Amount <GrmnLclTax3Amt>	[0..1]	Amount	C1, C5	337
	GermanLocalTax4Amount <GrmnLclTax4Amt>	[0..1]	Amount	C1, C5	338
	StockExchangeTaxAmount <StockXchgTaxAmt>	[0..1]	Amount	C1, C5	338
	TransferTaxAmount <TrfTaxAmt>	[0..1]	Amount	C1, C5	339
	TransactionTaxAmount <TxTaxAmt>	[0..1]	Amount	C1, C5	339
	ValueAddedTaxAmount <ValAddedTaxAmt>	[0..1]	Amount	C1, C5	339
	EURetentionTaxAmount <EURtntnTaxAmt>	[0..1]	Amount	C1, C5	340
	LocalTaxAmount <LclTaxAmt>	[0..1]	Amount	C1, C5	340
	PaymentLevyTaxAmount <PmtLevyTaxAmt>	[0..1]	Amount	C1, C5	341
	CountryNationalFederalTaxAmount <CtryNtlFdrlTaxAmt>	[0..1]	Amount	C1, C5	341
	StampDutyAmount <StmpDtyAmt>	[0..1]	Amount	C1, C5	341
	TaxReclaimAmount <TaxRclmAmt>	[0..1]	Amount	C1, C5	342
	TaxCreditAmount <TaxCdtAmt>	[0..1]	Amount	C1, C5	342
	WithholdingOfForeignTaxAmount <WhldgOfFrgnTaxAmt>	[0..1]	Amount	C1, C5	343
	WithholdingOfLocalTaxAmount <WhldgOfLclTaxAmt>	[0..1]	Amount	C1, C5	343
	AdditionalTaxAmount <AddtlTaxAmt>	[0..1]	Amount	C1, C5	343
	WithholdingTaxAmount <WhldgTaxAmt>	[0..1]	Amount	C1, C5	344
	FiscalStampAmount <FscIStmpAmt>	[0..1]	Amount	C1, C5	344
	ExecutingBrokerAmount <ExctgBrkrAmt>	[0..1]	Amount	C1, C5	345
	PayingAgentCommissionAmount <PngAgtComssnAmt>	[0..1]	Amount	C1, C5	345
	LocalBrokerCommissionAmount <LclBrkrComssnAmt>	[0..1]	Amount	C1, C5	345
	PostageFeeAmount <PstgFeeAmt>	[0..1]	Amount	C1, C5	346
	RegulatoryFeesAmount <RgltryFeesAmt>	[0..1]	Amount	C1, C5	346
	ShippingFeesAmount <ShppgFeesAmt>	[0..1]	Amount	C1, C5	347

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ChargesAmount <ChrgsAmt>	[0..1]	Amount	C1, C5	347
	ExchangeRate <XchgRate>	[0..1]			347
	UnitCurrency <UnitCcy>	[1..1]	CodeSet	C1	348
	QuotedCurrency <QtdCcy>	[1..1]	CodeSet	C1	348
	ExchangeRate <XchgRate>	[1..1]	Rate		348
	ResultingAmount <RsltgAmt>	[1..1]	Amount	C1, C5	349
	CorporateActionOtherAgentDetails <CorpActnOthrAgtDtls>	[0..*]			349
	AgentIdentification <AgtId>	[1..1]	±		349
	AgentRole <AgtRole>	[1..1]			350
{Or	Code <Cd>	[1..1]	CodeSet		350
Or}	Proprietary <Prtry>	[1..1]	±		350
	ContactPerson <CtctPrsn>	[0..1]	±		351
	FractionDisposition <FrctnDspstn>	[0..1]			351
{Or	Code <Cd>	[1..1]	CodeSet		351
Or}	Proprietary <Prtry>	[1..1]	±		351
	RedemptionChargesAppliedIndicator <RedChrgsApldInd>	[0..1]	Indicator		352
	OptionFeatures <OptnFeatrs>	[0..*]			352
{Or	Code <Cd>	[1..1]	CodeSet		352
Or}	Proprietary <Prtry>	[1..1]	±		353
	CorporateActionAdditionalInformation <CorpActnAddtlInf>	[0..1]			353
	InformationConditions <InfConds>	[0..1]	Text		354
	InformationToComplyWith <InfToCmplyWth>	[0..1]	Text		354
	TaxationConditions <TaxtnConds>	[0..1]	Text		354
	NewCompanyName <NewCpnyNm>	[0..1]	Text		354
	Offeror <Offerr>	[0..1]	±		354
	URLAddress <URLAdr>	[0..1]	Text		354
	AdditionalText <AddtlTxt>	[0..1]	Text		354

4.4.4.1 CorporateActionDetails <CorpActnDtls>

Presence: [1..1]

Definition: Provides detailed information about the corporate action event.

CorporateActionDetails <CorpActnDtls> contains the following **CorporateAction2** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	EventStage <EvtStag>	[0..*]			221
{Or	Code <Cd>	[1..1]	CodeSet		221
Or}	Proprietary <Prtry>	[1..1]	±		222
	DefaultOptionType <DfltOptnTp>	[0..1]			222
{Or	Code <Cd>	[1..1]	CodeSet		222
Or}	Proprietary <Prtry>	[1..1]	±		223
	DefaultOptionNumber <DfltOptnNb>	[0..1]	Text		224
	CalculationMethod <ClctnMtd>	[0..1]			224
{Or	Code <Cd>	[1..1]	CodeSet		224
Or}	Proprietary <Prtry>	[1..1]	±		224
	BackEndOddLotSecuritiesQuantity <BckEndOddLotSciesQty>	[0..1]	±		225
	FrontEndOddLotSecuritiesQuantity <FrntEndOddLotSciesQty>	[0..1]	±		225
	MinimumExercisableSecuritiesQuantity <MinExrcblSciesQty>	[0..1]			225
{Or	Unit <Unit>	[1..1]	Quantity		226
Or}	FaceAmount <FaceAmt>	[1..1]	Amount	C1, C5	226
	MinimumExercisableMultipleSecuritiesQuantity <MinExrcblMltplSciesQty>	[0..1]			226
{Or	Unit <Unit>	[1..1]	Quantity		226
Or}	FaceAmount <FaceAmt>	[1..1]	Amount	C1, C5	227
	IncrementalDenomination <IncrmtlDnmtn>	[0..1]			227
{Or	Unit <Unit>	[1..1]	Quantity		227
Or}	FaceAmount <FaceAmt>	[1..1]	Amount	C1, C5	227
	NewDenominationSecuritiesQuantity <NewDnmtnSciesQty>	[0..1]			228
{Or	Unit <Unit>	[1..1]	Quantity		228
Or}	FaceAmount <FaceAmt>	[1..1]	Amount	C1, C5	228
	NewBoardLotSecuritiesQuantity <NewBrdLotSciesQty>	[0..1]			229
{Or	Unit <Unit>	[1..1]	Quantity		229
Or}	FaceAmount <FaceAmt>	[1..1]	Amount	C1, C5	229
	SecuritiesQuantitySought <SciesQtySght>	[0..1]	±		229

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	BaseDenomination <BaseDnmtn>	[0..1]			230
{Or	Unit <Unit>	[1..1]	Quantity		230
Or}	FaceAmount <FaceAmt>	[1..1]	Amount	C1, C5	230
	ChangeType <ChngTp>	[0..*]			231
{Or	Code <Cd>	[1..1]	CodeSet		231
Or}	Proprietary <Prtry>	[1..1]	±		231
	OfferType <OfferTp>	[0..*]			232
{Or	Code <Cd>	[1..1]	CodeSet		232
Or}	Proprietary <Prtry>	[1..1]	±		233
	RestrictionIndicator <RstrctnInd>	[0..1]	Indicator		233
	PartialElectionIndicator <PrtlElctnInd>	[0..1]	Indicator		233
	ElectionType <ElctnTp>	[0..1]			233
{Or	Code <Cd>	[1..1]	CodeSet		234
Or}	Proprietary <Prtry>	[1..1]	±		234
	LotteryType <LtryTp>	[0..1]			234
{Or	Code <Cd>	[1..1]	CodeSet		234
Or}	Proprietary <Prtry>	[1..1]	±		235
	IncomeType <IncmTp>	[0..1]	±		235
	DividendType <DvddTp>	[0..1]			235
{Or	Code <Cd>	[1..1]	CodeSet		236
Or}	Proprietary <Prtry>	[1..1]	±		236
	IntermediateSecuritiesDistributionType <IntrmdtSciesDstrbtnTp>	[0..1]			236
{Or	Code <Cd>	[1..1]	CodeSet		237
Or}	Proprietary <Prtry>	[1..1]	±		237
	CouponNumber <CpnNb>	[0..*]	Text		237
	InterestAccruedNumberOfDays <IntrstAcrdNbOfDays>	[0..1]	Quantity		238
	NewDenominationCurrency <NewDnmtnCcy>	[0..1]	CodeSet	C1	238
	DateDetails <DtDtls>	[0..1]			238
	RecordDate <RcrdDt>	[0..1]	±		239
	EffectiveDate <FctvDt>	[0..1]	±		240
	CoverExpirationDate <CoverXprtnDt>	[0..1]	±		240

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	EqualisationDate <EqulstnDt>	[0..1]	±		240
	MarginFixingDate <MrgnFxdDt>	[0..1]	±		241
	LotteryDate <LtryDt>	[0..1]	±		241
	ProtectDate <PrctDt>	[0..1]	±		241
	UnconditionalDate <UcondlDt>	[0..1]	±		242
	WhollyUnconditionalDate <WhlyUcondlDt>	[0..1]	±		242
	ResultsPublicationDate <RsltsPblctnDt>	[0..1]	±		242
	CourtApprovalDate <CrtApprvlDt>	[0..1]	±		243
	EarlyClosingDate <EarlyClsgDt>	[0..1]	±		243
	ExDividendDate <ExDvddDt>	[0..1]	±		243
	IndexFixingDate <IndxFxdDt>	[0..1]	±		244
	MaturityDate <MtrtyDt>	[0..1]	±		244
	TradingSuspendedDate <TradgSspdDt>	[0..1]	±		244
	CertificationDeadline <CertfctnDdln>	[0..1]	±		245
	RedemptionDate <RedDt>	[0..1]	±		245
	RegistrationDeadline <RegnDdln>	[0..1]	±		245
	ProrationDate <PrratnDt>	[0..1]	±		246
	DeadlineForTaxBreakdownInstruction <DdlnForTaxBrkdwnInstr>	[0..1]	±		246
	LapsedDate <LpsdDt>	[0..1]	±		246
	GuaranteedParticipationDate <GrntedPrtcpnDt>	[0..1]	±		247
	ElectionToCounterpartyDeadline <ElctnToCtrPtyDdln>	[0..1]	±		247
	SpecialExDate <SpclExDt>	[0..1]	±		247
	PriceDetails <Prctls>	[0..*]			248
	MaximumPrice <MaxPric>	[0..1]	±		248
	MinimumPrice <MinPric>	[0..1]	±		248
	PeriodDetails <PrdDtls>	[0..1]			249
	ActionPeriod <ActnPrd>	[0..1]	±		249
	CompulsoryPurchasePeriod <CmplsrPurchsPrd>	[0..1]	±		249
	InterestPeriod <IntrstPrd>	[0..1]	±		250
	BlockingPeriod <BlckgPrd>	[0..1]	±		250
	PriceCalculationPeriod <PricClctnPrd>	[0..1]	±		250

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	RateAndAmountDetails <RateAndAmtDtls>	[0..1]			250
	Interest <Intrst>	[0..1]	±		251
	RelatedIndex <RltdIndx>	[0..1]	±		251
	PercentageSought <PctgSght>	[0..1]	±		252
	ReinvestmentDiscountToMarket <RinvstmtDscntToMkt>	[0..1]	±		252
	Spread <Sprd>	[0..1]	±		252
	BidInterval <BidIntrvl>	[0..1]			253
{Or	Amount <Amt>	[1..1]	Amount	C1, C5	253
Or}	NotSpecifiedRate <NotSpctdRate>	[1..1]			253
{Or	Code <Cd>	[1..1]	CodeSet		254
Or}	Proprietary <Prtry>	[1..1]	±		254
	Charges <Chrgs>	[0..1]	±		254
	CorporateActionAdditionalInformation <CorpActnAddtlInf>	[0..1]			255
	InformationConditions <InfConds>	[0..1]	Text		255
	InformationToComplyWith <InfToCmplyWth>	[0..1]	Text		255
	TaxationConditions <TaxtnConds>	[0..1]	Text		255
	NewCompanyName <NewCpnyNm>	[0..1]	Text		255
	Offeror <Offerr>	[0..1]	±		255
	URLAddress <URLAdr>	[0..1]	Text		256
	AdditionalText <AddtlTxt>	[0..1]	Text		256
	CertificationRequiredIndicator <CertfctnReqrdInd>	[0..1]	Indicator		256
	CertificationType <CertfctnTp>	[0..1]			256
{Or	Code <Cd>	[1..1]	CodeSet		256
Or}	Proprietary <Prtry>	[1..1]	±		257
	CapitalGain <CptlGn>	[0..1]	CodeSet		257
	TaxableIncomePerShareCalculated <TaxblIncmPerShrClctd>	[0..1]	CodeSet		258
	NewPlaceOfIncorporation <NewPlcOfIncorprtn>	[0..1]	Text		258
	RenounceableEntitlementStatusType <RnnclEntitlmntStsTp>	[0..1]			258
{Or	Code <Cd>	[1..1]	CodeSet		258
Or}	Proprietary <Prtry>	[1..1]	±		258

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ConversionType <ConvstP>	[0..1]			259
{Or	Code <Cd>	[1..1]	CodeSet		259
Or}	Proprietary <Prtry>	[1..1]	±		259
	RedemptionChargesAppliedIndicator <RedChrgsAppldInd>	[0..1]	Indicator		259
	DistributionType <DstrbtnTp>	[0..1]			260
{Or	Code <Cd>	[1..1]	CodeSet		260
Or}	Proprietary <Prtry>	[1..1]	±		260

4.4.4.1.1 EventStage <EvtStag>

Presence: [0..*]

Definition: Stage in the corporate action event life cycle.

EventStage <EvtStag> contains one of the following **CorporateActionEventStage1FormatChoice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		221
Or}	Proprietary <Prtry>	[1..1]	±		222

4.4.4.1.1.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the stage of the CA event.

Datatype: "CorporateActionEventStage1Code" on page 807

CodeName	Name	Definition
APPD	Approved	Approved at the relevant meeting, eg, dividend.
CLDE	Deactivated	The offer is closed for acceptance.
PWAL	ActionPeriod	Open for acceptance until the next specified deadline in the announcement (if any).
SUAP	SubjectToApproval	Subject to approval at the relevant meeting, eg, dividend.
UNAC	UnconditionalAsToAcceptance	The required level of acceptances specified in the terms of the offer has been achieved but there are still outstanding conditions to fulfil.
WHOU	WhollyUnconditional	Wholly unconditional; all conditions specified in the offer document have been satisfied.

CodeName	Name	Definition
FULL	FullReversalLotteryNotification	An original or supplemental lottery is being reversed.
LAPS	Lapsed	The event/offer conditions have not been met and the event/offer is terminated or lapsed.
PART	PartialReversalLotteryNotification	Original call amount is reduced by the agent. The original announcement is cancelled and a new original lottery is issued.
RESC	RescissionReversalLotteryNotification	Original and or supplemental lottery details have changed and the lottery is being cancelled.

4.4.4.1.1.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary code to express the stage of the CA event.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification13](#)" on page 693 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		693
	SchemeName <SchmeNm>	[0..1]	Text		693
	Issuer <Issr>	[1..1]	Text		693

4.4.4.1.2 DefaultOptionType <DfltOptnTp>

Presence: [0..1]

Definition: Identifies the option that will be selected by default if no instruction is provided by account owner.

DefaultOptionType <DfltOptnTp> contains one of the following **CorporateActionOption1FormatChoice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		222
Or}	Proprietary <Prtry>	[1..1]	±		223

4.4.4.1.2.1 Code <Cd>

Presence: [1..1]

Definition: Option type expressed as a code.

Datatype: "[CorporateActionOptionType1Code](#)" on page 821

CodeName	Name	Definition
BSPL	BonusSharePlan	Receive shares issued from the Share Premium Reserve of the company and considered as a capital distribution

CodeName	Name	Definition
		rather than a disbursement of income, with different tax implications (typically found in Australia).
BUYA	BuyUp	Buy additional securities to round up position.
CASE	CashAndSecurities	Election choice includes a combination of both cash and securities.
CASH	Cash	Distribution of cash.
CEXC	ConsentAndExchange	Vote to consent to change the terms of the securities agreement and to exchange securities.
CTEN	ConsentAndTender	Vote to consent to change the terms of the securities agreement and to tender securities for cash.
CONN	ConsentDenied	Vote not to approve the event or proposal.
CONY	ConsentGranted	Vote to approve the event or proposal.
EXER	Exercise	Exercise intermediate securities or warrants.
LAPS	Lapse	Allow event or entitled security to expire.
MPUT	Retain	An option that allows a holder to elect to retain their holding, eg, a puttable bond.
NOAC	NoAction	Option for the account owner not to take part in the event.
OFFR	ProposedRate	In a remarketing of variable notes, the margin that shareholders can propose in respect of the next interest period.
OVER	Oversubscribe	Subscribe to more equities than underlying securities position allows.
SECU	SecuritiesOption	Distribution of securities to holders.
SLLE	SellEntitlement	Sell the intermediate securities.
SPLI	SplitInstruction	Option to give a split instruction, eg, a split voting instruction on a meeting.
NOQU	NonQualifiedInvestor	The account owner is a Non-Qualified Investor.
OTHR	Other	Generic corporate action option to be used in case that no other specific code is appropriate.
QINV	QualifiedInvestor	The account owner is a Qualified Investor.

4.4.4.1.2.2 Proprietary <Prtry>*Presence:* [1..1]*Definition:* Option type expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification13](#)" on page 693 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		693
	SchemeName <SchmeNm>	[0..1]	Text		693
	Issuer <Issr>	[1..1]	Text		693

4.4.4.1.3 DefaultOptionNumber <DfltOptnNb>

Presence: [0..1]

Definition: Identifies the option number that will be selected by default if no instruction is provided by account owner.

Datatype: "[Exact3NumericText](#)" on page 852

4.4.4.1.4 CalculationMethod <ClctnMtd>

Presence: [0..1]

Definition: The method of calculation of drawings and partial redemptions.

CalculationMethod <ClctnMtd> contains one of the following **CorporateActionCalculationMethod1FormatChoice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		224
Or}	Proprietary <Prtry>	[1..1]	±		224

4.4.4.1.4.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the calculation method for drawings.

Datatype: "[CorporateActionCalculationMethod1Code](#)" on page 805

CodeName	Name	Definition
PROR	ProRata	Pro-rata for drawings.
LOTT	Lottery	Lottery for drawings.
NOMI	NominalReduction	Reduction of nominal for partial redemption.
NNOM	NoNominalReduction	Without reduction of nominal (via pool factor) for partial redemption.

4.4.4.1.4.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary code to express the calculation method for drawings.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification13](#)" on page 693 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		693
	SchemeName <SchmeNm>	[0..1]	Text		693
	Issuer <Issr>	[1..1]	Text		693

4.4.4.1.5 BackEndOddLotSecuritiesQuantity <BckEndOddLotSciesQty>

Presence: [0..1]

Definition: Represents the presence of a back end odd lot provision and the quantity of equity required after proration to be eligible for this privilege.

BackEndOddLotSecuritiesQuantity <BckEndOddLotSciesQty> contains one of the following elements (see "[UnitOrFaceAmountOrCode1Choice](#)" on page 793 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		793
Or	FaceAmount <FaceAmt>	[1..1]	Amount	C1, C5	793
Or}	Code <Cd>	[1..1]	CodeSet		793

4.4.4.1.6 FrontEndOddLotSecuritiesQuantity <FrntEndOddLotSciesQty>

Presence: [0..1]

Definition: Specifies that if an order is prorated holders of odd lots who tender their full position will not have tendered position prorated but rather accepted in full.

FrontEndOddLotSecuritiesQuantity <FrntEndOddLotSciesQty> contains one of the following elements (see "[UnitOrFaceAmountOrCode1Choice](#)" on page 793 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		793
Or	FaceAmount <FaceAmt>	[1..1]	Amount	C1, C5	793
Or}	Code <Cd>	[1..1]	CodeSet		793

4.4.4.1.7 MinimumExercisableSecuritiesQuantity <MinExrcblSciesQty>

Presence: [0..1]

Definition: Minimum quantity of financial instrument or lot of rights/warrants that must be exercised.

MinimumExercisableSecuritiesQuantity <MinExrcblSciesQty> contains one of the following **UnitOrFaceAmount1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		226
Or}	FaceAmount <FaceAmt>	[1..1]	Amount	C1, C5	226

4.4.4.1.7.1 Unit <Unit>

Presence: [1..1]

Definition: Quantity expressed as a number, eg, a number of shares.

Datatype: "DecimalNumber" on page 851

4.4.4.1.7.2 FaceAmount <FaceAmt>

Presence: [1..1]

Definition: Quantity expressed as an amount representing the face amount, ie, the principal, of a debt instrument.

Impacted by: C1 "ActiveCurrency", C5 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 801

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

4.4.4.1.8 MinimumExercisableMultipleSecuritiesQuantity <MinExrcblMltplSciesQty>

Presence: [0..1]

Definition: Minimum multiple quantity of financial instrument or lot of rights/warrants that must be exercised.

MinimumExercisableMultipleSecuritiesQuantity <MinExrcblMltplSciesQty> contains one of the following **UnitOrFaceAmount1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		226
Or}	FaceAmount <FaceAmt>	[1..1]	Amount	C1, C5	227

4.4.4.1.8.1 Unit <Unit>

Presence: [1..1]

Definition: Quantity expressed as a number, eg, a number of shares.

Datatype: "DecimalNumber" on page 851

4.4.4.1.8.2 FaceAmount <FaceAmt>

Presence: [1..1]

Definition: Quantity expressed as an amount representing the face amount, ie, the principal, of a debt instrument.

Impacted by: C1 "ActiveCurrency", C5 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 801

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

4.4.4.1.9 IncrementalDenomination <IncrmtlDnmtn>

Presence: [0..1]

Definition: Amount used when the called amount is not met by running the lottery with the base denomination.

IncrementalDenomination <IncrmtlDnmtn> contains one of the following **UnitOrFaceAmount1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		227
Or}	FaceAmount <FaceAmt>	[1..1]	Amount	C1, C5	227

4.4.4.1.9.1 Unit <Unit>

Presence: [1..1]

Definition: Quantity expressed as a number, eg, a number of shares.

Datatype: "DecimalNumber" on page 851

4.4.4.1.9.2 FaceAmount <FaceAmt>

Presence: [1..1]

Definition: Quantity expressed as an amount representing the face amount, ie, the principal, of a debt instrument.

Impacted by: C1 "ActiveCurrency", C5 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 801

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

4.4.4.1.10 NewDenominationSecuritiesQuantity <NewDnmtnScitiesQty>

Presence: [0..1]

Definition: New Denomination of the equity following, eg, an increase or decrease in nominal value.

NewDenominationSecuritiesQuantity <NewDnmtnScitiesQty> contains one of the following **UnitOrFaceAmount1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		228
Or}	FaceAmount <FaceAmt>	[1..1]	Amount	C1, C5	228

4.4.4.1.10.1 Unit <Unit>

Presence: [1..1]

Definition: Quantity expressed as a number, eg, a number of shares.

Datatype: "DecimalNumber" on page 851

4.4.4.1.10.2 FaceAmount <FaceAmt>

Presence: [1..1]

Definition: Quantity expressed as an amount representing the face amount, ie, the principal, of a debt instrument.

Impacted by: C1 "ActiveCurrency", C5 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 801

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

4.4.4.1.11 NewBoardLotSecuritiesQuantity <NewBrdLotSctiesQty>*Presence:* [0..1]*Definition:* Quantity of equity that makes up the new board lot.**NewBoardLotSecuritiesQuantity <NewBrdLotSctiesQty>** contains one of the following **UnitOrFaceAmount1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		229
Or}	FaceAmount <FaceAmt>	[1..1]	Amount	C1, C5	229

4.4.4.1.11.1 Unit <Unit>*Presence:* [1..1]*Definition:* Quantity expressed as a number, eg, a number of shares.*Datatype:* "DecimalNumber" on page 851**4.4.4.1.11.2 FaceAmount <FaceAmt>***Presence:* [1..1]*Definition:* Quantity expressed as an amount representing the face amount, ie, the principal, of a debt instrument.*Impacted by:* C1 "ActiveCurrency", C5 "CurrencyAmount"*Datatype:* "ActiveCurrencyAndAmount" on page 801**Constraints**

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

4.4.4.1.12 SecuritiesQuantitySought <SctiesQtySght>*Presence:* [0..1]*Definition:* Quantity of securities the offeror/issuer will purchase or redeem under the terms of the event. This can be a number or the term "any and all".

SecuritiesQuantitySought <SctiesQtySght> contains one of the following elements (see "UnitOrFaceAmountOrCode1Choice" on page 793 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		793
Or	FaceAmount <FaceAmt>	[1..1]	Amount	C1, C5	793
Or}	Code <Cd>	[1..1]	CodeSet		793

4.4.4.1.13 BaseDenomination <BaseDnmtn>

Presence: [0..1]

Definition: The minimum integral amount of securities that each account owner must have remaining after the called amounts are applied.

BaseDenomination <BaseDnmtn> contains one of the following **UnitOrFaceAmount1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		230
Or}	FaceAmount <FaceAmt>	[1..1]	Amount	C1, C5	230

4.4.4.1.13.1 Unit <Unit>

Presence: [1..1]

Definition: Quantity expressed as a number, eg, a number of shares.

Datatype: "DecimalNumber" on page 851

4.4.4.1.13.2 FaceAmount <FaceAmt>

Presence: [1..1]

Definition: Quantity expressed as an amount representing the face amount, ie, the principal, of a debt instrument.

Impacted by: C1 "ActiveCurrency", C5 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 801

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

4.4.4.1.14 ChangeType <ChngTp>*Presence:* [0..*]*Definition:* Specifies the type of change announced.**ChangeType <ChngTp>** contains one of the following **CorporateActionChangeType1FormatChoice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		231
Or}	Proprietary <Prtry>	[1..1]	±		231

4.4.4.1.14.1 Code <Cd>*Presence:* [1..1]*Definition:* Standard code to specify the type of changes.*Datatype:* "CorporateActionChangeType1Code" on page 806

CodeName	Name	Definition
BERE	BearToRegistered	Change from bearer into registered form.
CERT	Certificates	Change of all instruments into certificates.
DEPH	DematerialisedToPhysical	Change from dematerialised into physical form.
GPPH	GlobalPermanentToPhysical	Change from global permanent to physical form.
GTGP	GlobalTemporaryToGlobalPermanent	Change from global temporary to global permanent form.
GTPH	GlobalTemporaryToPhysical	Change from global temporary to physical form.
NAME	Name	The issuing company changes its name. CA event shows the change from old name to new name and may involve surrendering physical shares with the old name to the registrar.
PHDE	PhysicalToDematerialised	Change from physical into dematerialised form.
REBE	RegisteredToBearer	Change from registered into bearer form.
TERM	Terms	Change in the terms of the security.
DECI	Decimalisation	Process of changing the accounting method of a security, for example, mutual fund, from units to a quantity with decimals.

4.4.4.1.14.2 Proprietary <Prtry>*Presence:* [1..1]*Definition:* Proprietary code to express the type of changes.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification13](#)" on page 693 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		693
	SchemeName <SchmeNm>	[0..1]	Text		693
	Issuer <Issr>	[1..1]	Text		693

4.4.4.1.15 OfferType <OfferTp>

Presence: [0..*]

Definition: Specifies the conditions that apply to the offer.

OfferType <OfferTp> contains one of the following **OfferType1FormatChoice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		232
Or}	Proprietary <Prtry>	[1..1]	±		233

4.4.4.1.15.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the conditions that apply to the offer.

Datatype: "[OfferType1Code](#)" on page 829

CodeName	Name	Definition
DISS	DissenterRights	Securities holder has the right to disagree with a mandatory event. This right is not inherent in all offers and therefore must be noted in announcements only when applicable.
ERUN	RestrictionExchange	Exchange of Restricted to Unrestricted (or vice versa).
FCFS	FirstComeFirstServed	Securities will be accepted in the order they have been submitted until the target number of quantity sought is reached.
FINL	FinalOffer	Final or last offer to holders subject to offer conditions being reached, for example, 50 percent accepted on a tender.
MINI	MiniTender	Tender offer for a security that is priced below the current trading price of that instrument.
PART	PartialOffer	Offer made by the offeror for a portion of the outstanding shares not already owned by the offeror.

CodeName	Name	Definition
SQUE	SqueezeOutBid	Offer launched by a company, in possession of a large number of the shares (90% - 95%) of a company (generally as a result of a tender offer), in order to acquire the remaining shares of that company.

4.4.4.1.15.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary code to express the conditions that apply to the offer.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification13](#)" on page 693 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		693
	SchemeName <SchmeNm>	[0..1]	Text		693
	Issuer <Issr>	[1..1]	Text		693

4.4.4.1.16 RestrictionIndicator <RstrctnInd>

Presence: [0..1]

Definition: Indicates whether there is restrictions apply to the CA event or not.

Yes = There is restrictions.

No = There is no restrictions.

Datatype: One of the following values must be used (see "[YesNoIndicator](#)" on page 850):

- *Meaning When True:* Yes
- *Meaning When False:* No

4.4.4.1.17 PartialElectionIndicator <PrtlElctnInd>

Presence: [0..1]

Definition: Specifies if the issuer will allow the agent to accept partial elections. It is to allow split voting over options. It allows the client to elect more than one option to be selected per designated holding.

Datatype: One of the following values must be used (see "[YesNoIndicator](#)" on page 850):

- *Meaning When True:* Yes
- *Meaning When False:* No

4.4.4.1.18 ElectionType <ElctnTp>

Presence: [0..1]

Definition: Specifies the effect on the holdings of electing a Corporate Action option.

ElectionType <ElctnTp> contains one of the following **ElectionMovementType1FormatChoice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		234
Or}	Proprietary <Prtry>	[1..1]	±		234

4.4.4.1.18.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the effect on the holdings of electing a Corporate Action option.

Datatype: "ElectionMovementType1Code" on page 823

CodeName	Name	Definition
REST	Restricted	Election results in a change of balance type that transfers control of the securities rather than the securities themselves.
DRCT	Direct	Direct movement of resource, that is, cash or security that is directly credited to the paying agent account.

4.4.4.1.18.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary code to express the effect on the holdings of electing a Corporate Action option.

Proprietary <Prtry> contains the following elements (see "GenericIdentification13" on page 693 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		693
	SchemeName <SchmeNm>	[0..1]	Text		693
	Issuer <Issr>	[1..1]	Text		693

4.4.4.1.19 LotteryType <LtryTp>

Presence: [0..1]

Definition: Specifies the type of lottery announced.

LotteryType <LtryTp> contains one of the following **LotteryType1FormatChoice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		234
Or}	Proprietary <Prtry>	[1..1]	±		235

4.4.4.1.19.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the lottery type.

Datatype: "LotteryType1Code" on page 828

CodeName	Name	Definition
ORIG	OriginalLotteryNotification	Original lottery that has been announced for a specific amount and date.
SUPP	SupplementalLotteryNotification	Supplemental or additional quantity called on a previously announced original lottery.

4.4.4.1.19.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary code to express the lottery type.

Proprietary <Prtry> contains the following elements (see "GenericIdentification13" on page 693 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		693
	SchemeName <SchmeNm>	[0..1]	Text		693
	Issuer <Issr>	[1..1]	Text		693

4.4.4.1.20 IncomeType <IncmTp>

Presence: [0..1]

Definition: Specifies the type of income.

The lists of income type codes to be used, are available on the SMPG website at www.smpg.info.

IncomeType <IncmTp> contains the following elements (see "GenericIdentification13" on page 693 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		693
	SchemeName <SchmeNm>	[0..1]	Text		693
	Issuer <Issr>	[1..1]	Text		693

4.4.4.1.21 DividendType <DvddTp>

Presence: [0..1]

Definition: Specifies the conditions in which a dividend is paid.

DividendType <DvddTp> contains one of the following **CorporateActionFrequencyType1FormatChoice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		236
Or}	Proprietary <Prtry>	[1..1]	±		236

4.4.4.1.21.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the frequency of a CA event.

Datatype: "CorporateActionFrequencyType1Code" on page 820

CodeName	Name	Definition
FINL	Final	Payment of a dividend, less amounts already paid through interim dividends.
INTE	Interim	Dividend declared and paid before annual earnings have been determined.
REGR	Regular	Dividend paid at regular interval, for example, quarterly.
SPEC	Special	Dividend in addition to the regular dividend being paid by the company.

4.4.4.1.21.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary code to express the frequency of a CA event.

Proprietary <Prtry> contains the following elements (see "GenericIdentification13" on page 693 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		693
	SchemeName <SchmeNm>	[0..1]	Text		693
	Issuer <Issr>	[1..1]	Text		693

4.4.4.1.22 IntermediateSecuritiesDistributionType <IntrmdtSciesDstrbtnTp>

Presence: [0..1]

Definition: Type of intermediates securities distribution, eg, stock dividend, reverse right.

IntermediateSecuritiesDistributionType <IntrmdtSciesDstrbtnTp> contains one of the following **IntermediateSecurityDistributionType1FormatChoice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		237
Or}	Proprietary <Prtry>	[1..1]	±		237

4.4.4.1.22.1 Code <Cd>*Presence:* [1..1]*Definition:* Standard code to specify the type of intermediate security distribution.*Datatype:* "IntermediateSecurityDistributionType1Code" on page 827

CodeName	Name	Definition
BIDS	ReverseRights	Distribution of reverser rights.
BONU	BonusRights	Distribution of bonus rights.
DRIP	DividendReinvestment	Distribution of dividend reinvestment securities.
DVCA	CashDividend	Distribution of cash to shareholders, in proportion to their equity holding. Ordinary dividends are recurring and regular. Shareholder must take cash and may be offered a choice of currency.
DVOP	DividendOption	Distribution of dividend option.
EXRI	SubscriptionRights	Distribution of subscription rights.
PRIO	OpenOfferRights	Distribution of open offer rights.
DVSC	ScripDividendOrPayment	Dividend or interest paid in the form of scrip.
DVSE	StockDividend	Dividend paid to shareholders in the form of shares of stock.
INTR	InterestPayment	Interest payment distributed to holders of an interest bearing asset.
LIQU	LiquidationDividendOrPayment	A distribution of cash, assets or both.
SOFF	SpinOff	A distribution of subsidiary stock to the shareholders.

4.4.4.1.22.2 Proprietary <Prtry>*Presence:* [1..1]*Definition:* Proprietary code to express the type of intermediate security distribution.**Proprietary <Prtry>** contains the following elements (see "GenericIdentification13" on page 693 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		693
	SchemeName <SchmeNm>	[0..1]	Text		693
	Issuer <Issr>	[1..1]	Text		693

4.4.4.1.23 CouponNumber <CpnNb>*Presence:* [0..*]*Definition:* Number of the coupon attached/associated with a security.*Datatype:* "Max3NumericText" on page 853

4.4.4.1.24 InterestAccruedNumberOfDays <IntrstAcrdNbOfDays>

Presence: [0..1]

Definition: Number of days used for calculating the accrued interest amount.

Datatype: "Number" on page 851

4.4.4.1.25 NewDenominationCurrency <NewDnmtnCcy>

Presence: [0..1]

Definition: New denomination currency of the financial instrument.

Impacted by: C1 "ActiveCurrency"

Datatype: "ActiveCurrencyCode" on page 802

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

4.4.4.1.26 DateDetails <DtDtls>

Presence: [0..1]

Definition: Provides information about the dates related to a CA event.

DateDetails <DtDtls> contains the following **CorporateActionDate2** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	RecordDate <RcrdDt>	[0..1]	±		239
	EffectiveDate <FctvDt>	[0..1]	±		240
	CoverExpirationDate <CoverXprtnDt>	[0..1]	±		240
	EqualisationDate <EqulstnDt>	[0..1]	±		240
	MarginFixingDate <MrgnFxdDt>	[0..1]	±		241
	LotteryDate <LtryDt>	[0..1]	±		241
	ProtectDate <PrctDt>	[0..1]	±		241
	UnconditionalDate <UcondlDt>	[0..1]	±		242
	WhollyUnconditionalDate <WhlyUcondlDt>	[0..1]	±		242
	ResultsPublicationDate <RsltsPblctnDt>	[0..1]	±		242
	CourtApprovalDate <CrtApprvlDt>	[0..1]	±		243
	EarlyClosingDate <EarlyClsgDt>	[0..1]	±		243
	ExDividendDate <ExDvddDt>	[0..1]	±		243
	IndexFixingDate <IndxFxdDt>	[0..1]	±		244
	MaturityDate <MtrtyDt>	[0..1]	±		244
	TradingSuspendedDate <TradgSspdDt>	[0..1]	±		244
	CertificationDeadline <CertfctnDdlIn>	[0..1]	±		245
	RedemptionDate <RedDt>	[0..1]	±		245
	RegistrationDeadline <RegnDdlIn>	[0..1]	±		245
	ProrationDate <PrratnDt>	[0..1]	±		246
	DeadlineForTaxBreakdownInstruction <DdlInForTaxBrkdwInstr>	[0..1]	±		246
	LapsedDate <LpsdDt>	[0..1]	±		246
	GuaranteedParticipationDate <GrntedPrtpctnDt>	[0..1]	±		247
	ElectionToCounterpartyDeadline <ElctnToCtrPtyDdlIn>	[0..1]	±		247
	SpecialExDate <SpclExDt>	[0..1]	±		247

4.4.4.1.26.1 RecordDate <RcrdDt>

Presence: [0..1]

Definition: Date on which positions are struck at the end of the day to note which parties will receive the relevant amount of entitlement, due to be distributed on payment date.

RecordDate <RcrdDt> contains one of the following elements (see "DateFormat4Choice" on page 678 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		679
Or	NotSpecifiedDate <NotSpcfdDt>	[1..1]	CodeSet		679
Or}	Proprietary <Prtry>	[1..1]	±		679

4.4.4.1.26.2 EffectiveDate <FctvDt>

Presence: [0..1]

Definition: Date on which a process is to be completed or becomes effective.

EffectiveDate <FctvDt> contains one of the following elements (see "DateFormat4Choice" on page 678 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		679
Or	NotSpecifiedDate <NotSpcfdDt>	[1..1]	CodeSet		679
Or}	Proprietary <Prtry>	[1..1]	±		679

4.4.4.1.26.3 CoverExpirationDate <CoverXprtnDt>

Presence: [0..1]

Definition: Last day a holder can deliver the securities that it had previously protected.

CoverExpirationDate <CoverXprtnDt> contains one of the following elements (see "DateFormat4Choice" on page 678 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		679
Or	NotSpecifiedDate <NotSpcfdDt>	[1..1]	CodeSet		679
Or}	Proprietary <Prtry>	[1..1]	±		679

4.4.4.1.26.4 EqualisationDate <EqualstnDt>

Presence: [0..1]

Definition: Date on which all or part of any holding bought in a unit trust is subject to being treated as capital rather than income. This is normally one day after the previous distribution's ex date.

EqualisationDate <EqulstnDt> contains one of the following elements (see "[DateFormat4Choice](#)" on page 678 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		679
Or	NotSpecifiedDate <NotSpcfdDt>	[1..1]	CodeSet		679
Or}	Proprietary <Prtry>	[1..1]	±		679

4.4.4.1.26.5 MarginFixingDate <MrgnFxdDt>

Presence: [0..1]

Definition: Date/time at which the margin rate will be determined.

MarginFixingDate <MrgnFxdDt> contains one of the following elements (see "[DateFormat4Choice](#)" on page 678 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		679
Or	NotSpecifiedDate <NotSpcfdDt>	[1..1]	CodeSet		679
Or}	Proprietary <Prtry>	[1..1]	±		679

4.4.4.1.26.6 LotteryDate <LtryDt>

Presence: [0..1]

Definition: Date on which the lottery is run and applied to the holder's positions. This is also applicable to partial calls.

LotteryDate <LtryDt> contains one of the following elements (see "[DateFormat4Choice](#)" on page 678 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		679
Or	NotSpecifiedDate <NotSpcfdDt>	[1..1]	CodeSet		679
Or}	Proprietary <Prtry>	[1..1]	±		679

4.4.4.1.26.7 ProtectDate <PrctDt>

Presence: [0..1]

Definition: Last date a holder can request to defer delivery of securities pursuant to a notice of guaranteed delivery or other required documentation.

ProtectDate <PrctDt> contains one of the following elements (see "DateFormat4Choice" on page 678 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		679
Or	NotSpecifiedDate <NotSpcfdDt>	[1..1]	CodeSet		679
Or}	Proprietary <Prtry>	[1..1]	±		679

4.4.4.1.26.8 UnconditionalDate <UcondIDt>

Presence: [0..1]

Definition: Date upon which the terms of the take-over become unconditional as to acceptances.

UnconditionalDate <UcondIDt> contains one of the following elements (see "DateFormat4Choice" on page 678 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		679
Or	NotSpecifiedDate <NotSpcfdDt>	[1..1]	CodeSet		679
Or}	Proprietary <Prtry>	[1..1]	±		679

4.4.4.1.26.9 WhollyUnconditionalDate <WhlyUcondIDt>

Presence: [0..1]

Definition: Date on which all conditions, including regulatory, legal etc. pertaining to the take-over, have been met.

WhollyUnconditionalDate <WhlyUcondIDt> contains one of the following elements (see "DateFormat4Choice" on page 678 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		679
Or	NotSpecifiedDate <NotSpcfdDt>	[1..1]	CodeSet		679
Or}	Proprietary <Prtry>	[1..1]	±		679

4.4.4.1.26.10 ResultsPublicationDate <RsItsPblctnDt>

Presence: [0..1]

Definition: Date on which results are published, eg, results of an offer.

ResultsPublicationDate <RsItsPblctnDt> contains one of the following elements (see "DateFormat4Choice" on page 678 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		679
Or	NotSpecifiedDate <NotSpcfdDt>	[1..1]	CodeSet		679
Or}	Proprietary <Prtry>	[1..1]	±		679

4.4.4.1.26.11 CourtApprovalDate <CrtApprvlDt>

Presence: [0..1]

Definition: Date/time upon which the High Court provided approval.

CourtApprovalDate <CrtApprvlDt> contains one of the following elements (see "DateFormat4Choice" on page 678 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		679
Or	NotSpecifiedDate <NotSpcfdDt>	[1..1]	CodeSet		679
Or}	Proprietary <Prtry>	[1..1]	±		679

4.4.4.1.26.12 EarlyClosingDate <EarlyClsgDt>

Presence: [0..1]

Definition: First possible early closing date of an offer if different from the expiry date.

EarlyClosingDate <EarlyClsgDt> contains one of the following elements (see "DateFormat4Choice" on page 678 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		679
Or	NotSpecifiedDate <NotSpcfdDt>	[1..1]	CodeSet		679
Or}	Proprietary <Prtry>	[1..1]	±		679

4.4.4.1.26.13 ExDividendDate <ExDvddDt>

Presence: [0..1]

Definition: Date/time as from which trading (including exchange and OTC trading) occurs on the underlying security without the benefit.

ExDividendDate <ExDvddDt> contains one of the following elements (see "DateFormat4Choice" on page 678 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		679
Or	NotSpecifiedDate <NotSpcfdDt>	[1..1]	CodeSet		679
Or}	Proprietary <Prtry>	[1..1]	±		679

4.4.4.1.26.14 IndexFixingDate <IndxFxgDt>

Presence: [0..1]

Definition: Date/time at which an index rate will be determined.

IndexFixingDate <IndxFxgDt> contains one of the following elements (see "DateFormat4Choice" on page 678 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		679
Or	NotSpecifiedDate <NotSpcfdDt>	[1..1]	CodeSet		679
Or}	Proprietary <Prtry>	[1..1]	±		679

4.4.4.1.26.15 MaturityDate <MtrtyDt>

Presence: [0..1]

Definition: Date on which an interest bearing financial instrument becomes due and principal is repaid by the issuer to the investor.

MaturityDate <MtrtyDt> contains one of the following elements (see "DateFormat4Choice" on page 678 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		679
Or	NotSpecifiedDate <NotSpcfdDt>	[1..1]	CodeSet		679
Or}	Proprietary <Prtry>	[1..1]	±		679

4.4.4.1.26.16 TradingSuspendedDate <TradgSspdDt>

Presence: [0..1]

Definition: Date on which trading of a security is suspended as the result of an event.

TradingSuspendedDate <TradgSspdT> contains one of the following elements (see "DateFormat4Choice" on page 678 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		679
Or	NotSpecifiedDate <NotSpcfdDt>	[1..1]	CodeSet		679
Or}	Proprietary <Prtry>	[1..1]	±		679

4.4.4.1.26.17 CertificationDeadline <CertfctnDdln>

Presence: [0..1]

Definition: Deadline by which the beneficial ownership of securities must be declared.

CertificationDeadline <CertfctnDdln> contains one of the following elements (see "DateFormat4Choice" on page 678 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		679
Or	NotSpecifiedDate <NotSpcfdDt>	[1..1]	CodeSet		679
Or}	Proprietary <Prtry>	[1..1]	±		679

4.4.4.1.26.18 RedemptionDate <RedDt>

Presence: [0..1]

Definition: Date/time at which the securities will be redeemed (early) for payment of principal.

RedemptionDate <RedDt> contains one of the following elements (see "DateFormat4Choice" on page 678 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		679
Or	NotSpecifiedDate <NotSpcfdDt>	[1..1]	CodeSet		679
Or}	Proprietary <Prtry>	[1..1]	±		679

4.4.4.1.26.19 RegistrationDeadline <RegnDdln>

Presence: [0..1]

Definition: Date on which instructions to register or registration details will be accepted.

RegistrationDeadline <RegnDdln> contains one of the following elements (see "[DateFormat4Choice](#)" on page 678 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		679
Or	NotSpecifiedDate <NotSpcfdDt>	[1..1]	CodeSet		679
Or}	Proprietary <Prtry>	[1..1]	±		679

4.4.4.1.26.20 ProrationDate <PrratnDt>

Presence: [0..1]

Definition: Date (and time) at which an issuer will determine the proration amount/quantity of an offer.

ProrationDate <PrratnDt> contains one of the following elements (see "[DateFormat4Choice](#)" on page 678 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		679
Or	NotSpecifiedDate <NotSpcfdDt>	[1..1]	CodeSet		679
Or}	Proprietary <Prtry>	[1..1]	±		679

4.4.4.1.26.21 DeadlineForTaxBreakdownInstruction <DdlnForTaxBrkdwnInstr>

Presence: [0..1]

Definition: Date on until which tax breakdown instructions will be accepted.

DeadlineForTaxBreakdownInstruction <DdlnForTaxBrkdwnInstr> contains one of the following elements (see "[DateFormat4Choice](#)" on page 678 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		679
Or	NotSpecifiedDate <NotSpcfdDt>	[1..1]	CodeSet		679
Or}	Proprietary <Prtry>	[1..1]	±		679

4.4.4.1.26.22 LapsedDate <LpsdDt>

Presence: [0..1]

Definition: Date/time at which an event/offer is terminated or lapsed.

LapsedDate <LpsdDt> contains one of the following elements (see "DateFormat4Choice" on page 678 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		679
Or	NotSpecifiedDate <NotSpcfdDt>	[1..1]	CodeSet		679
Or}	Proprietary <Prtry>	[1..1]	±		679

4.4.4.1.26.23 GuaranteedParticipationDate <GrntedPrtcptnDt>

Presence: [0..1]

Definition: Last date/time by which a buying counterparty to a trade can be sure that it will have the right to participate in an event.

GuaranteedParticipationDate <GrntedPrtcptnDt> contains one of the following elements (see "DateFormat4Choice" on page 678 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		679
Or	NotSpecifiedDate <NotSpcfdDt>	[1..1]	CodeSet		679
Or}	Proprietary <Prtry>	[1..1]	±		679

4.4.4.1.26.24 ElectionToCounterpartyDeadline <ElctnToCtrPtyDdln>

Presence: [0..1]

Definition: Deadline by which an entitled holder needs to advise their counterparty to a transaction of their election for a corporate action event.

ElectionToCounterpartyDeadline <ElctnToCtrPtyDdln> contains one of the following elements (see "DateFormat4Choice" on page 678 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		679
Or	NotSpecifiedDate <NotSpcfdDt>	[1..1]	CodeSet		679
Or}	Proprietary <Prtry>	[1..1]	±		679

4.4.4.1.26.25 SpecialExDate <SpclExDt>

Presence: [0..1]

Definition: Date/time as from which 'special processing' can start to be used by participants for that event. Special processing is a means of marking a transaction, that would normally be traded ex or cum, as being traded cum or ex respectively, eg, a transaction dealt 'special' after the ex date would result in the buyer being eligible for the entitlement. This is typically used in the UK and Irish markets.

SpecialExDate <SpclExDt> contains one of the following elements (see "DateFormat4Choice" on page 678 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		679
Or	NotSpecifiedDate <NotSpcfdDt>	[1..1]	CodeSet		679
Or}	Proprietary <Prtry>	[1..1]	±		679

4.4.4.1.27 PriceDetails <PricDtls>

Presence: [0..*]

Definition: Provides information about the prices related to a CA event.

PriceDetails <PricDtls> contains the following **CorporateActionPrice2** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	MaximumPrice <MaxPric>	[0..1]	±		248
	MinimumPrice <MinPric>	[0..1]	±		248

4.4.4.1.27.1 MaximumPrice <MaxPric>

Presence: [0..1]

Definition: Maximum or cap price at which a holder can bid, e.g. on a Dutch auction offer.

MaximumPrice <MaxPric> contains one of the following elements (see "PriceFormat3Choice" on page 768 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Amount <Amt>	[1..1]			768
	AmountPriceType <AmtPricTp>	[1..1]			768
{Or	Code <Cd>	[1..1]	CodeSet		769
Or}	Proprietary <Prtry>	[1..1]	±		769
	PriceValue <PricVal>	[1..1]	Amount	C1	769
Or}	Rate <Rate>	[1..1]	±		770

4.4.4.1.27.2 MinimumPrice <MinPric>

Presence: [0..1]

Definition: Minimum or floor price at which a holder can bid, e.g. on a Dutch auction offer.

MinimumPrice <MinPric> contains one of the following elements (see "PriceFormat3Choice" on page 768 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Amount <Amt>	[1..1]			768
	AmountPriceType <AmtPricTp>	[1..1]			768
{Or	Code <Cd>	[1..1]	CodeSet		769
Or}	Proprietary <Prtry>	[1..1]	±		769
	PriceValue <PricVal>	[1..1]	Amount	C1	769
Or}	Rate <Rate>	[1..1]	±		770

4.4.4.1.28 PeriodDetails <PrdDtls>

Presence: [0..1]

Definition: Provides information about the periods related to a CA event.

PeriodDetails <PrdDtls> contains the following **CorporateActionPeriod1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ActionPeriod <ActnPrd>	[0..1]	±		249
	CompulsoryPurchasePeriod <CmplsryPurchsPrd>	[0..1]	±		249
	InterestPeriod <IntrstPrd>	[0..1]	±		250
	BlockingPeriod <BlckgPrd>	[0..1]	±		250
	PriceCalculationPeriod <PricClctnPrd>	[0..1]	±		250

4.4.4.1.28.1 ActionPeriod <ActnPrd>

Presence: [0..1]

Definition: Period during which the specified option, or all options of the event, remains valid, eg, offer period.

ActionPeriod <ActnPrd> contains the following elements (see "Period1" on page 679 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	StartDate <StartDt>	[1..1]	±		680
	EndDate <EndDt>	[1..1]	±		680

4.4.4.1.28.2 CompulsoryPurchasePeriod <CmplsryPurchsPrd>

Presence: [0..1]

Definition: Period during a take-over where any outstanding equity must be purchased by the take-over company.

CompulsoryPurchasePeriod <CmplsryPurchsPrd> contains the following elements (see "Period1" on page 679 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	StartDate <StartDt>	[1..1]	±		680
	EndDate <EndDt>	[1..1]	±		680

4.4.4.1.28.3 InterestPeriod <IntrstPrd>

Presence: [0..1]

Definition: Period during which the interest rate has been applied.

InterestPeriod <IntrstPrd> contains the following elements (see "Period1" on page 679 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	StartDate <StartDt>	[1..1]	±		680
	EndDate <EndDt>	[1..1]	±		680

4.4.4.1.28.4 BlockingPeriod <BlckgPrd>

Presence: [0..1]

Definition: Period during which the security is blocked.

BlockingPeriod <BlckgPrd> contains the following elements (see "Period1" on page 679 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	StartDate <StartDt>	[1..1]	±		680
	EndDate <EndDt>	[1..1]	±		680

4.4.4.1.28.5 PriceCalculationPeriod <PricClctnPrd>

Presence: [0..1]

Definition: Period during which the price of a security is determined.

PriceCalculationPeriod <PricClctnPrd> contains the following elements (see "Period1" on page 679 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	StartDate <StartDt>	[1..1]	±		680
	EndDate <EndDt>	[1..1]	±		680

4.4.4.1.29 RateAndAmountDetails <RateAndAmtDtls>

Presence: [0..1]

Definition: Provides information about rates and amounts related to a CA event.

RateAndAmountDetails <RateAndAmtDtls> contains the following **CorporateActionRate1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Interest <Intrst>	[0..1]	±		251
	RelatedIndex <RltdIndx>	[0..1]	±		251
	PercentageSought <PctgSght>	[0..1]	±		252
	ReinvestmentDiscountToMarket <RinvstmtDscntToMkt>	[0..1]	±		252
	Spread <Sprd>	[0..1]	±		252
	BidInterval <BidIntrvl>	[0..1]			253
{Or	Amount <Amt>	[1..1]	Amount	C1, C5	253
Or}	NotSpecifiedRate <NotSpctdRate>	[1..1]			253
{Or	Code <Cd>	[1..1]	CodeSet		254
Or}	Proprietary <Prtry>	[1..1]	±		254
	Charges <Chrgs>	[0..1]	±		254

4.4.4.1.29.1 Interest <Intrst>

Presence: [0..1]

Definition: Annual rate of a financial instrument.

Interest <Intrst> contains one of the following elements (see "RateAndAmountFormat1Choice" on page 713 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		713
Or	Amount <Amt>	[1..1]	Amount	C1, C5	713
Or}	NotSpecifiedRate <NotSpctdRate>	[1..1]			714
{Or	Code <Cd>	[1..1]	CodeSet		714
Or}	Proprietary <Prtry>	[1..1]	±		714

4.4.4.1.29.2 RelatedIndex <RltdIndx>

Presence: [0..1]

Definition: Index rate related to the interest rate of the forthcoming interest payment.

RelatedIndex <RltdIdx> contains one of the following elements (see "RateFormat1Choice" on page 777 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		778
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]			778
{Or	Code <Cd>	[1..1]	CodeSet		778
Or}	Proprietary <Prtry>	[1..1]	±		778

4.4.4.1.29.3 PercentageSought <PctgSght>

Presence: [0..1]

Definition: Percentage of securities the offeror/issuer will purchase or redeem under the terms of the event. This can be a number or the term "any and all".

PercentageSought <PctgSght> contains one of the following elements (see "RateFormat1Choice" on page 777 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		778
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]			778
{Or	Code <Cd>	[1..1]	CodeSet		778
Or}	Proprietary <Prtry>	[1..1]	±		778

4.4.4.1.29.4 ReinvestmentDiscountToMarket <RinvstmtDscntToMkt>

Presence: [0..1]

Definition: Rate of discount for securities purchased through a reinvestment scheme as compared to the current market price of security.

ReinvestmentDiscountToMarket <RinvstmtDscntToMkt> contains one of the following elements (see "RateFormat1Choice" on page 777 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		778
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]			778
{Or	Code <Cd>	[1..1]	CodeSet		778
Or}	Proprietary <Prtry>	[1..1]	±		778

4.4.4.1.29.5 Spread <Sprd>

Presence: [0..1]

Definition: Margin allowed over or under a given rate.

Spread <Sprd> contains one of the following elements (see "RateFormat1Choice" on page 777 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		778
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]			778
{Or	Code <Cd>	[1..1]	CodeSet		778
Or}	Proprietary <Prtry>	[1..1]	±		778

4.4.4.1.29.6 BidInterval <BidIntrvl>

Presence: [0..1]

Definition: Acceptable price increment used for submitting a bid.

BidInterval <BidIntrvl> contains one of the following **AmountAndRateFormat3Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Amount <Amt>	[1..1]	Amount	C1, C5	253
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]			253
{Or	Code <Cd>	[1..1]	CodeSet		254
Or}	Proprietary <Prtry>	[1..1]	±		254

4.4.4.1.29.6.1 Amount <Amt>

Presence: [1..1]

Definition: Number of monetary units specified in a currency.

Impacted by: C1 "ActiveCurrency", C5 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 801

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

4.4.4.1.29.6.2 NotSpecifiedRate <NotSpcfdRate>

Presence: [1..1]

Definition: The rate is not specified.

NotSpecifiedRate <NotSpcfdRate> contains one of the following **RateValueType6FormatChoice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		254
Or}	Proprietary <Prtry>	[1..1]	±		254

4.4.4.1.29.6.2.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the value of a rate.

Datatype: "RateValueType6Code" on page 835

CodeName	Name	Definition
UKWN	Unknown	Rate is unknown by the sender or has not been established.
OPEN	Open	Rate has not been established.

4.4.4.1.29.6.2.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary code to express the value of a rate.

Proprietary <Prtry> contains the following elements (see "GenericIdentification13" on page 693 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		693
	SchemeName <SchmeNm>	[0..1]	Text		693
	Issuer <Issr>	[1..1]	Text		693

4.4.4.1.29.7 Charges <Chrgs>

Presence: [0..1]

Definition: Rate used to calculate the amount of the charges/fees that cannot be categorised.

Charges <Chrgs> contains one of the following elements (see "RateAndAmountFormat1Choice" on page 713 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		713
Or	Amount <Amt>	[1..1]	Amount	C1, C5	713
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]			714
{Or	Code <Cd>	[1..1]	CodeSet		714
Or}	Proprietary <Prtry>	[1..1]	±		714

4.4.4.1.30 CorporateActionAdditionalInformation <CorpActnAddtlInf>*Presence:* [0..1]*Definition:* Provides additional information.**CorporateActionAdditionalInformation <CorpActnAddtlInf>** contains the following **CorporateActionNarrative1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	InformationConditions <InfConds>	[0..1]	Text		255
	InformationToComplyWith <InfToCmplyWth>	[0..1]	Text		255
	TaxationConditions <TaxtnConds>	[0..1]	Text		255
	NewCompanyName <NewCpnyNm>	[0..1]	Text		255
	Offeror <Offerr>	[0..1]	±		255
	URLAddress <URLAdr>	[0..1]	Text		256
	AdditionalText <AddtlTxt>	[0..1]	Text		256

4.4.4.1.30.1 InformationConditions <InfConds>*Presence:* [0..1]*Definition:* Provides conditional information related to the event, eg, an offer is subject to 50% acceptance, the offeror allows the securities holder to set some conditions.*Datatype:* "Max350Text" on page 853**4.4.4.1.30.2 InformationToComplyWith <InfToCmplyWth>***Presence:* [0..1]*Definition:* Provides information conditions to the account owner that are to be complied with, eg, not open to US/Canadian residents, QIB or SIL to be provided.*Datatype:* "Max350Text" on page 853**4.4.4.1.30.3 TaxationConditions <TaxtnConds>***Presence:* [0..1]*Definition:* Provides taxation conditions that cannot be included within the structured fields of this message.*Datatype:* "Max350Text" on page 853**4.4.4.1.30.4 NewCompanyName <NewCpnyNm>***Presence:* [0..1]*Definition:* Provide the new name of a company following a name change.*Datatype:* "Max350Text" on page 853**4.4.4.1.30.5 Offeror <Offerr>***Presence:* [0..1]*Definition:* Provides the entity making the offer and is different from the issuing company.

Offeror <Offerr> contains one of the following elements (see ["PartyIdentification2Choice"](#) on page 733 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	BICOrBEI <BICOrBEI>	[1..1]	IdentifierSet	C2	733
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		733
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		734

4.4.4.1.30.6 URLAddress <URLAdr>

Presence: [0..1]

Definition: Provides the web address published for the event, ie the address for the Universal Resource Locator (URL), eg, used over the www (HTTP) service.

Datatype: ["Max256Text"](#) on page 853

4.4.4.1.30.7 AdditionalText <AddtlTxt>

Presence: [0..1]

Definition: Provides additional information or specifies in more detail the content of a message.

Datatype: ["Max350Text"](#) on page 853

4.4.4.1.31 CertificationRequiredIndicator <CertfctnReqrdInd>

Presence: [0..1]

Definition: Indicates whether certification is required from the account owner.

Datatype: One of the following values must be used (see ["YesNoIndicator"](#) on page 850):

- *Meaning When True:* Yes
- *Meaning When False:* No

4.4.4.1.32 CertificationType <CertfctnTp>

Presence: [0..1]

Definition: Type of certification which is required.

CertificationType <CertfctnTp> contains one of the following **BeneficiaryCertificationType1FormatChoice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		256
Or}	Proprietary <Prtry>	[1..1]	±		257

4.4.4.1.32.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the type of beneficiary's certification.

Datatype: "BeneficiaryCertificationType1Code" on page 803

CodeName	Name	Definition
ACCI	AccreditedInvestor	The holder of the security has to irrevocably certify that it is an Accredited Investor as defined in US Securities and Exchange Commission Regulation D.
DOMI	DomicileCountry	The holder of the security has to certify his domicile.
FULL	FullBeneficialOwnerBreakdown	The holder of the security has to provide his beneficial owner details for disclosure/instruction purpose.
QIBB	QIBCertification	The holder of the security has to irrevocably certify that it is a Qualified Institutional Buyer as defined in US Securities and Exchange Commission Rule 144A.
TRBD	TaxRateBreakdown	The holder of the security has to provide basic details on nominals and associated tax rate to be used by the account servicer.
NCOM	NonCompany	The holder of the security has to certify he is an Non-Company related person.

4.4.4.1.32.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary code to express the type of beneficiary's certification.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification13](#)" on page 693 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		693
	SchemeName <SchmeNm>	[0..1]	Text		693
	Issuer <Issr>	[1..1]	Text		693

4.4.4.1.33 CapitalGain <CptlGn>

Presence: [0..1]

Definition: Specifies whether the capital gain is in the scope of the EU Savings directive for the income realised upon the sale, refund or redemption of shares and units (.) (Article 6(1d)).

Datatype: "EUCapitalGain2Code" on page 824

CodeName	Name	Definition
EUSI	CapitalGainInScope	Capital gain is in the scope of the directive.
EUSO	CapitalGainOutScope	Capital gain is out of the scope of the directive.
UKWN	CapitalGainUnknown	Unknown whether capital gain is in or out of the scope of the directive.

4.4.4.1.34 TaxableIncomePerShareCalculated <TaxblIncmPerShrClctd>*Presence:* [0..1]*Definition:* Specifies whether the financial instrument calculates the taxable income per dividend/taxable income per share.*Datatype:* "TaxableIncomePerShareCalculated2Code" on page 845

CodeName	Name	Definition
TSIY	FundCalculates	Fund is calculating the taxable interest per share with the NAV.
TSIN	FundDoesNotCalculate	Fund is not calculating the taxable interest per share with the NAV.
UKWN	FundCalculatesUnknown	Unknown whether the fund is calculating the taxable interest per share with the NAV.

4.4.4.1.35 NewPlaceOfIncorporation <NewPlcOfIncorprtn>*Presence:* [0..1]*Definition:* New companys place of incorporation.*Datatype:* "Max70Text" on page 854**4.4.4.1.36 RenounceableEntitlementStatusType <RnnclEntitlmntStsTp>***Presence:* [0..1]*Definition:* Specifies whether terms of the event allow resale of the rights.**RenounceableEntitlementStatusType <RnnclEntitlmntStsTp>** contains one of the following **RenounceableStatus1FormatChoice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		258
Or}	Proprietary <Prtry>	[1..1]	±		258

4.4.4.1.36.1 Code <Cd>*Presence:* [1..1]*Definition:* Standard code to specify the renounceable status.*Datatype:* "RenounceableStatus1Code" on page 842

CodeName	Name	Definition
NREN	NonRenounceable	Intermediate securities cannot be sold.
RENO	Renounceable	Intermediate securities can be sold.

4.4.4.1.36.2 Proprietary <Prtry>*Presence:* [1..1]*Definition:* Proprietary code to express the renounceable status.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification13](#)" on page 693 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		693
	SchemeName <SchmeNm>	[0..1]	Text		693
	Issuer <Issr>	[1..1]	Text		693

4.4.4.1.37 ConversionType <ConvsTp>

Presence: [0..1]

Definition: Specifies the conversion type of an instrument.

ConversionType <ConvsTp> contains one of the following **ConversionType1FormatChoice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		259
Or}	Proprietary <Prtry>	[1..1]	±		259

4.4.4.1.37.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the type of conversion.

Datatype: "[ConversionType1Code](#)" on page 805

CodeName	Name	Definition
FINL	Final	This is a final conversion.
INTE	Interim	This is an interim conversion.

4.4.4.1.37.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary code to express the type of conversion.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification13](#)" on page 693 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		693
	SchemeName <SchmeNm>	[0..1]	Text		693
	Issuer <Issr>	[1..1]	Text		693

4.4.4.1.38 RedemptionChargesAppliedIndicator <RedChrgsApplInd>

Presence: [0..1]

Definition: Indicates whether redemption charges apply.

Datatype: One of the following values must be used (see ["YesNoIndicator"](#) on page 850):

- *Meaning When True:* Yes
- *Meaning When False:* No

4.4.4.1.39 DistributionType <DstrbtnTp>

Presence: [0..1]

Definition: Specifies whether the proceeds of the event will be distributed on a rolling basis rather than on a specific date.

DistributionType <DstrbtnTp> contains one of the following **DistributionType1FormatChoice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		260
Or}	Proprietary <Prtry>	[1..1]	±		260

4.4.4.1.39.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the distribution type.

Datatype: ["DistributionType1Code"](#) on page 823

CodeName	Name	Definition
ROLL	RollingBasis	Event will have a number of acceptance and payment dates until further announcement by the Issuer or its agent.

4.4.4.1.39.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary code to express the distribution type.

Proprietary <Prtry> contains the following elements (see ["GenericIdentification13"](#) on page 693 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		693
	SchemeName <SchmeNm>	[0..1]	Text		693
	Issuer <Issr>	[1..1]	Text		693

4.4.4.2 CorporateActionOptionDetails <CorpActnOptnDtls>

Presence: [0..*]

Definition: Provides information about an option of a CA event.

Impacted by: [C3 "ChangeAllowedRule"](#), [C6 "WithdrawalAllowedRule"](#)

CorporateActionOptionDetails <CorpActnOptnDtls> contains the following
CorporateActionOption1 elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	OptionNumber <OptnNb>	[1..1]	Text		268
	OptionType <OptnTp>	[1..1]			268
{Or	Code <Cd>	[1..1]	CodeSet		269
Or}	Proprietary <Prtry>	[1..1]	±		270
	OptionAvailabilityStatus <OptnAvlbtySts>	[1..1]			270
{Or	Code <Cd>	[1..1]	CodeSet		270
Or}	Proprietary <Prtry>	[1..1]	±		271
	CertificationIndicator <CertfctnInd>	[0..1]	Indicator		271
	CertificationType <CertfctnTp>	[0..1]			271
{Or	Code <Cd>	[1..1]	CodeSet		271
Or}	Proprietary <Prtry>	[1..1]	±		272
	AssentedLineSecurityIdentification <AssntdLineSctyId>	[0..1]	±		272
	AgentSecuritiesAccountIdentification <AgtSciesAcctId>	[0..1]	Text		273
	AgentCashAccountIdentification <AgtCshAcctId>	[0..1]	±		273
	OfferType <OfferTp>	[0..*]			273
{Or	Code <Cd>	[1..1]	CodeSet		273
Or}	Proprietary <Prtry>	[1..1]	±		274
	IntermediateSecuritiesDistributionType <IntrmdtSciesDstrbtnTp>	[0..1]			274
{Or	Code <Cd>	[1..1]	CodeSet		275
Or}	Proprietary <Prtry>	[1..1]	±		275
	WithdrawalAllowedIndicator <WdrwlAllwdInd>	[1..1]	Indicator		276
	ChangeAllowedIndicator <ChngAllwdInd>	[1..1]	Indicator		276
	DateDetails <DtDtls>	[0..1]			276
	CouponClippingDate <CpnClpngDt>	[0..1]	±		277
	ConsentExpirationDate <CnsntXprtnDt>	[0..1]	±		277
	ConsentRecordDate <CnsntRcrdDt>	[0..1]	±		278
	PaymentDate <PmtDt>	[0..1]	±		278
	EarliestPaymentDate <EarlstPmtDt>	[0..1]	±		278
	MarketDeadline <MktDdln>	[0..1]	±		278

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ResponseDeadline <RspnDdlIn>	[0..1]	±		279
	DeadlineToSplit <DdlInToSpl>	[0..1]	±		279
	ExpiryDate <XpryDt>	[0..1]	±		279
	QuotationSettingDate <QtnSetngDt>	[0..1]	±		280
	SubscriptionCostDebitDate <SbcptCostDbtDt>	[0..1]	±		280
	RateAndAmountDetails <RateAndAmtDtls>	[0..1]			280
	WithholdingTax <WhldgTax>	[0..1]	±		282
	WithholdingOfForeignTax <WhldgOfFrgnTax>	[0..1]	±		283
	WithholdingOfLocalTax <WhldgOfLclTax>	[0..1]	±		283
	GermanLocalTax1 <GrmnLclTax1>	[0..1]	±		283
	GermanLocalTax2 <GrmnLclTax2>	[0..1]	±		284
	GermanLocalTax3 <GrmnLclTax3>	[0..1]	±		284
	GermanLocalTax4 <GrmnLclTax4>	[0..1]	±		285
	TaxOnIncome <TaxOnIncm>	[0..1]	±		285
	TaxOnProfit <TaxOnPrft>	[0..1]	±		285
	TaxReclaim <TaxRclm>	[0..1]	±		285
	FiscalStamp <FscIStmp>	[0..1]	±		286
	Proration <Prratn>	[0..1]	±		286
	NewToOld <NewToOd>	[0..1]	±		286
	NewSecuritiesToUnderlyingSecurities <NewSctiesToUndrlygScties>	[0..1]	±		287
	AdditionalQuantityForExistingSecurities <AddtlQtyForExstgScties>	[0..1]	±		287
	AdditionalQuantityForSubscribedResultantSecurities <AddtlQtyForSbcdbdRsltntScties>	[0..1]	±		288
	RelatedTax <RltdTax>	[0..1]			288
	TaxType <TaxTp>	[1..1]			288
{Or	Code <Cd>	[1..1]	CodeSet		289
Or}	Proprietary <Prtry>	[1..1]	±		289
	Amount <Amt>	[1..1]	Amount	C1, C5	289
	NonResidentRate <NonResdtRate>	[0..1]	±		290
	Charges <Chrgs>	[0..1]	±		290
	InterestForUsedPayment <IntrstForUsdPmt>	[0..1]	±		290

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	IndexFactor <IndxFctr>	[0..1]	±		291
	FullyFranked <FullyFrnkcd>	[0..1]	±		291
	GrossDividend <GrssDvdd>	[0..1]	±		291
	NetDividend <NetDvdd>	[0..1]	±		292
	FinalDividend <FnlDvdd>	[0..1]			292
{Or	Amount <Amt>	[1..1]	Amount	C1, C5	292
Or}	NotSpecifiedRate <NotSpctdRate>	[1..1]			293
{Or	Code <Cd>	[1..1]	CodeSet		293
Or}	Proprietary <Prtry>	[1..1]	±		293
	ProvisionalDividend <PrvsnlDvdd>	[0..1]			294
{Or	Amount <Amt>	[1..1]	Amount	C1, C5	294
Or}	NotSpecifiedRate <NotSpctdRate>	[1..1]			294
{Or	Code <Cd>	[1..1]	CodeSet		295
Or}	Proprietary <Prtry>	[1..1]	±		295
	CashIncentive <CshIncntiv>	[0..1]	±		295
	SolicitationFee <SlctnFee>	[0..1]	±		296
	MaximumAllowedOversubscription <MaxAllwdOvrshcpt>	[0..1]	±		296
	AdditionalTax <AddtlTax>	[0..1]	±		296
	OriginalAmount <OrgnlAmt>	[0..1]	Amount	C1, C5	297
	ExchangeRate <XchgRate>	[0..1]			297
	UnitCurrency <UnitCcy>	[1..1]	CodeSet	C1	297
	QuotedCurrency <QtdCcy>	[1..1]	CodeSet	C1	298
	ExchangeRate <XchgRate>	[1..1]	Rate		298
	ResultingAmount <RsltgAmt>	[1..1]	Amount	C1, C5	298
	ApplicableRate <AplblRate>	[0..1]	±		298
	PriceDetails <PricDtls>	[0..1]			299
	ExercisePrice <ExrcPric>	[0..1]	±		299
	IssuePrice <IssePric>	[0..1]	±		300
	CashInLieuOfSharePrice <CshInLieuOfShrPric>	[0..1]	±		300
	TaxableIncomePerDividendShare <TaxblIncmPerDvddShr>	[0..1]			301
	AmountPriceType <AmtPricTp>	[1..1]			301

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		301
Or}	Proprietary <Prtry>	[1..1]	±		302
	PriceValue <PricVal>	[1..1]	Amount	C1	302
	GenericCashPriceReceivedPerProduct <GncCshPricRcvdPerPdct>	[0..1]	±		302
	GenericCashPricePaidPerProduct <GncCshPricPdPerPdct>	[0..1]	±		303
	OverSubscriptionDepositPrice <OverSbcptDpstPric>	[0..1]	±		304
	PeriodDetails <PrdDtls>	[0..1]			304
	AssentedLinePeriod <AssntdLinePrd>	[0..1]	±		305
	ActionPeriod <ActnPrd>	[0..1]	±		305
	PrivilegeSuspensionPeriod <PrvlgSspnsnPrd>	[0..1]	±		305
	ParallelTradingPeriod <ParllTradgPrd>	[0..1]	±		306
	SellThruIssuerPeriod <SellThrulssrPrd>	[0..1]	±		306
	RevocabilityPeriod <RvcbltyPrd>	[0..1]	±		306
	PriceCalculationPeriod <PricClctnPrd>	[0..1]	±		306
	SecuritiesMovementDetails <SctiesMvmntDtls>	[0..*]			307
	SecurityIdentification <Sctyld>	[1..1]	±		309
	CreditDebitIndicator <CdtDbtInd>	[1..1]	CodeSet		309
	SecuritiesQuantity <SctiesQty>	[0..1]			309
{Or	Unit <Unit>	[1..1]	Quantity		310
Or}	FaceAmount <FaceAmt>	[1..1]	Amount	C1, C5	310
	MinimumExercisableSecuritiesQuantity <MinExrcblSctiesQty>	[0..1]			310
{Or	Unit <Unit>	[1..1]	Quantity		310
Or}	FaceAmount <FaceAmt>	[1..1]	Amount	C1, C5	311
	MinimumExercisableMultipleSecuritiesQuantity <MinExrcblMltplSctiesQty>	[0..1]			311
{Or	Unit <Unit>	[1..1]	Quantity		311
Or}	FaceAmount <FaceAmt>	[1..1]	Amount	C1, C5	311
	NewDenominationSecuritiesQuantity <NewDnmtnSctiesQty>	[0..1]			312
{Or	Unit <Unit>	[1..1]	Quantity		312
Or}	FaceAmount <FaceAmt>	[1..1]	Amount	C1, C5	312

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NewBoardLotSecuritiesQuantity <NewBrdLotSciesQty>	[0..1]			313
{Or	Unit <Unit>	[1..1]	Quantity		313
Or}	FaceAmount <FaceAmt>	[1..1]	Amount	C1, C5	313
	ShareRanking <ShrRnkg>	[0..1]			313
{Or	Code <Cd>	[1..1]	CodeSet		314
Or}	Proprietary <Prtry>	[1..1]	±		314
	AdditionalQuantityForSubscribedResultantSecurities <AddtlQtyForSbcbdRslntScies>	[0..1]	±		314
	DateDetails <DtDtls>	[0..1]			314
	PaymentDate <PmtDt>	[0..1]	±		315
	AvailableDate <AvlblDt>	[0..1]	±		315
	DividendRankingDate <DvddRnkgDt>	[0..1]	±		315
	PariPassuDate <PrpssDt>	[0..1]	±		316
	FirstDealingDate <FrstDealgDt>	[0..1]	±		316
	EarliestPaymentDate <EarlstPmtDt>	[0..1]	±		316
	PriceDetails <PricDtls>	[0..1]			317
	IndicativePrice <IndctvPric>	[0..1]	±		317
	MarketPrice <MktPric>	[0..1]	±		317
	TradingPeriod <TradgPrd>	[0..1]	±		318
	AdditionalQuantityForExistingSecurities <AddtlQtyForExstgScies>	[0..1]	±		318
	TemporaryFinancialInstrumentIndicator <TempFinInstrmlInd>	[0..1]	Indicator		318
	FractionDisposition <FrctnDspstn>	[0..1]			319
{Or	Code <Cd>	[1..1]	CodeSet		319
Or}	Proprietary <Prtry>	[1..1]	±		319
	CashMovementDetails <CshMvmntDtls>	[0..*]			320
	CreditDebitIndicator <CdtDbtInd>	[1..1]	CodeSet		323
	Currency <Ccy>	[0..1]	CodeSet	C1	323
	DateDetails <DtDtls>	[0..1]			323
	ForeignExchangeRateFixingDate <FXRateFxdDt>	[0..1]	±		323
	ValueDate <ValDt>	[0..1]	±		324
	PaymentDate <PmtDt>	[0..1]	±		324

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	EarliestPaymentDate <EarlstPmtDt>	[0..1]	±		324
	AmountDetails <AmtDtls>	[0..1]			325
	GrossCashAmount <GrssCshAmt>	[0..1]	Amount	C1, C5	327
	NetCashAmount <NetCshAmt>	[0..1]	Amount	C1, C5	328
	IssueDiscountAmount <IsseDscntAmt>	[0..1]	Amount	C1, C5	328
	SolicitationFees <SlctnFees>	[0..1]	Amount	C1, C5	328
	CashInLieuOfShare <CshInLieuOfShr>	[0..1]	Amount	C1, C5	329
	OriginalAmount <OrgnlAmt>	[0..1]	Amount	C1, C5	329
	CapitalGain <CptlGn>	[0..1]	Amount	C1, C5	330
	InterestAmount <IntrstAmt>	[0..1]	Amount	C1, C5	330
	IndemnityAmount <IndmntyAmt>	[0..1]	Amount	C1, C5	330
	RedemptionPremiumAmount <RedPrmAmt>	[0..1]	Amount	C1, C5	331
	ManufacturedDividendAmount <ManfctrdDvddAmt>	[0..1]	Amount	C1, C5	331
	PrincipalOrCorpus <PrncplOrCrps>	[0..1]	Amount	C1, C5	332
	ReinvestmentAmount <RinvstmtAmt>	[0..1]	Amount	C1, C5	332
	MarketClaimAmount <MktClmAmt>	[0..1]	Amount	C1, C5	333
	FullyFrankedAmount <FullyFrnkAmt>	[0..1]	Amount	C1, C5	333
	UnfrankedAmount <UfrnkAmt>	[0..1]	Amount	C1, C5	333
	SundryOrOtherAmount <SndryOrOthrAmt>	[0..1]	Amount	C1, C5	334
	SpecialConcessionAmount <SpclCncssnAmt>	[0..1]	Amount	C1, C5	334
	EntitledAmount <EntitldAmt>	[0..1]	Amount	C1, C5	335
	CashIncentive <CshIncntiv>	[0..1]	Amount	C1, C5	335
	AdditionalSubscriptionCost <AddtlSbcptCost>	[0..1]	Amount	C1, C5	335
	TaxFreeAmount <TaxFreeAmt>	[0..1]	Amount	C1, C5	336
	TaxDeferredAmount <TaxDfrdAmt>	[0..1]	Amount	C1, C5	336
	GermanLocalTax1Amount <GrmnLclTax1Amt>	[0..1]	Amount	C1, C5	337
	GermanLocalTax2Amount <GrmnLclTax2Amt>	[0..1]	Amount	C1, C5	337
	GermanLocalTax3Amount <GrmnLclTax3Amt>	[0..1]	Amount	C1, C5	337
	GermanLocalTax4Amount <GrmnLclTax4Amt>	[0..1]	Amount	C1, C5	338
	StockExchangeTaxAmount <StockXchgTaxAmt>	[0..1]	Amount	C1, C5	338
	TransferTaxAmount <TrfTaxAmt>	[0..1]	Amount	C1, C5	339

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	TransactionTaxAmount <TxTaxAmt>	[0..1]	Amount	C1, C5	339
	ValueAddedTaxAmount <ValAddedTaxAmt>	[0..1]	Amount	C1, C5	339
	EURetentionTaxAmount <EURtntnTaxAmt>	[0..1]	Amount	C1, C5	340
	LocalTaxAmount <LclTaxAmt>	[0..1]	Amount	C1, C5	340
	PaymentLevyTaxAmount <PmtLevyTaxAmt>	[0..1]	Amount	C1, C5	341
	CountryNationalFederalTaxAmount <CtryNtlFdrlTaxAmt>	[0..1]	Amount	C1, C5	341
	StampDutyAmount <StmpDtyAmt>	[0..1]	Amount	C1, C5	341
	TaxReclaimAmount <TaxRclmAmt>	[0..1]	Amount	C1, C5	342
	TaxCreditAmount <TaxCdtAmt>	[0..1]	Amount	C1, C5	342
	WithholdingOfForeignTaxAmount <WhldgOfFrngnTaxAmt>	[0..1]	Amount	C1, C5	343
	WithholdingOfLocalTaxAmount <WhldgOfLclTaxAmt>	[0..1]	Amount	C1, C5	343
	AdditionalTaxAmount <AddtlTaxAmt>	[0..1]	Amount	C1, C5	343
	WithholdingTaxAmount <WhldgTaxAmt>	[0..1]	Amount	C1, C5	344
	FiscalStampAmount <FscIStmpAmt>	[0..1]	Amount	C1, C5	344
	ExecutingBrokerAmount <ExctgBrkrAmt>	[0..1]	Amount	C1, C5	345
	PayingAgentCommissionAmount <PngAgtComssnAmt>	[0..1]	Amount	C1, C5	345
	LocalBrokerCommissionAmount <LclBrkrComssnAmt>	[0..1]	Amount	C1, C5	345
	PostageFeeAmount <PstgFeeAmt>	[0..1]	Amount	C1, C5	346
	RegulatoryFeesAmount <RgltryFeesAmt>	[0..1]	Amount	C1, C5	346
	ShippingFeesAmount <ShppgFeesAmt>	[0..1]	Amount	C1, C5	347
	ChargesAmount <ChrgsAmt>	[0..1]	Amount	C1, C5	347
	ExchangeRate <XchgRate>	[0..1]			347
	UnitCurrency <UnitCcy>	[1..1]	CodeSet	C1	348
	QuotedCurrency <QtdCcy>	[1..1]	CodeSet	C1	348
	ExchangeRate <XchgRate>	[1..1]	Rate		348
	ResultingAmount <RsltAmt>	[1..1]	Amount	C1, C5	349
	CorporateActionOtherAgentDetails <CorpActnOthrAgtDtls>	[0..*]			349
	AgentIdentification <AgtId>	[1..1]	±		349
	AgentRole <AgtRole>	[1..1]			350

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		350
Or}	Proprietary <Prtry>	[1..1]	±		350
	ContactPerson <CtctPrsn>	[0..1]	±		351
	FractionDisposition <FrctnDspstn>	[0..1]			351
{Or	Code <Cd>	[1..1]	CodeSet		351
Or}	Proprietary <Prtry>	[1..1]	±		351
	RedemptionChargesAppliedIndicator <RedChrgsAppldInd>	[0..1]	Indicator		352
	OptionFeatures <OptnFeatrs>	[0..*]			352
{Or	Code <Cd>	[1..1]	CodeSet		352
Or}	Proprietary <Prtry>	[1..1]	±		353
	CorporateActionAdditionalInformation <CorpActnAddtlInf>	[0..1]			353
	InformationConditions <InfConds>	[0..1]	Text		354
	InformationToComplyWith <InfToCmplyWth>	[0..1]	Text		354
	TaxationConditions <TaxtnConds>	[0..1]	Text		354
	NewCompanyName <NewCpnyNm>	[0..1]	Text		354
	Offeror <Offerr>	[0..1]	±		354
	URLAddress <URLAdr>	[0..1]	Text		354
	AdditionalText <AddtlTxt>	[0..1]	Text		354

Constraints

- **ChangeAllowedRule**

If the value of ChangeAllowedIndicator is true, then PerdioDetails/RevocabilityPeriod is Mandatory.

- **WithdrawalAllowedRule**

If the value of WithdrawalAllowedIndicator is true, then PeriodDetails/RevocabilityPeriod is Mandatory.

4.4.4.2.1 OptionNumber <OptnNb>

Presence: [1..1]

Definition: Number identifying the available corporate action options.

Datatype: "Exact3NumericText" on page 852

4.4.4.2.2 OptionType <OptnTp>

Presence: [1..1]

Definition: Specifies the corporate action options available to the account owner.

OptionType <OptnTp> contains one of the following **CorporateActionOption1FormatChoice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		269
Or}	Proprietary <Prtry>	[1..1]	±		270

4.4.4.2.2.1 Code <Cd>

Presence: [1..1]

Definition: Option type expressed as a code.

Datatype: "CorporateActionOptionType1Code" on page 821

CodeName	Name	Definition
BSPL	BonusSharePlan	Receive shares issued from the Share Premium Reserve of the company and considered as a capital distribution rather than a disbursement of income, with different tax implications (typically found in Australia).
BUYA	BuyUp	Buy additional securities to round up position.
CASE	CashAndSecurities	Election choice includes a combination of both cash and securities.
CASH	Cash	Distribution of cash.
CEXC	ConsentAndExchange	Vote to consent to change the terms of the securities agreement and to exchange securities.
CTEN	ConsentAndTender	Vote to consent to change the terms of the securities agreement and to tender securities for cash.
CONN	ConsentDenied	Vote not to approve the event or proposal.
CONY	ConsentGranted	Vote to approve the event or proposal.
EXER	Exercise	Exercise intermediate securities or warrants.
LAPS	Lapse	Allow event or entitled security to expire.
MPUT	Retain	An option that allows a holder to elect to retain their holding, eg, a puttable bond.
NOAC	NoAction	Option for the account owner not to take part in the event.
OFFR	ProposedRate	In a remarketing of variable notes, the margin that shareholders can propose in respect of the next interest period.
OVER	Oversubscribe	Subscribe to more equities than underlying securities position allows.
SECU	SecuritiesOption	Distribution of securities to holders.
SLLE	SellEntitlement	Sell the intermediate securities.

CodeName	Name	Definition
SPLI	SplitInstruction	Option to give a split instruction, eg, a split voting instruction on a meeting.
NOQU	NonQualifiedInvestor	The account owner is a Non-Qualified Investor.
OTHR	Other	Generic corporate action option to be used in case that no other specific code is appropriate.
QINV	QualifiedInvestor	The account owner is a Qualified Investor.

4.4.4.2.2.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Option type expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification13](#)" on page 693 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		693
	SchemeName <SchmeNm>	[0..1]	Text		693
	Issuer <Issr>	[1..1]	Text		693

4.4.4.2.3 OptionAvailabilityStatus <OptnAvlbtys>

Presence: [1..1]

Definition: Specifies the status of the option.

OptionAvailabilityStatus <OptnAvlbtys> contains one of the following **CorporateActionEventStatus2FormatChoice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		270
Or}	Proprietary <Prtry>	[1..1]	±		271

4.4.4.2.3.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the status of the CA option.

Datatype: "[CorporateActionEventStatus2Code](#)" on page 807

CodeName	Name	Definition
ACTI	Active	The CA event is active.
CANC	Cancelled	The CA event is cancelled.

CodeName	Name	Definition
INAC	Inactive	Option is not active and can no longer be responded to. Any responses already processed against this option will remain valid, eg, expired option.

4.4.4.2.3.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary code to express the status of the CA option.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification13](#)" on page 693 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		693
	SchemeName <SchmeNm>	[0..1]	Text		693
	Issuer <Issr>	[1..1]	Text		693

4.4.4.2.4 CertificationIndicator <CertfctnInd>

Presence: [0..1]

Definition: Whether or not certification is required from the account owner.

Yes: certification required

No: no certification required.

Datatype: One of the following values must be used (see "[YesNoIndicator](#)" on page 850):

- *Meaning When True:* Yes
- *Meaning When False:* No

4.4.4.2.5 CertificationType <CertfctnTp>

Presence: [0..1]

Definition: Type of certification which is required.

CertificationType <CertfctnTp> contains one of the following **BeneficiaryCertificationType1FormatChoice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		271
Or}	Proprietary <Prtry>	[1..1]	±		272

4.4.4.2.5.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the type of beneficiary's certification.

Datatype: "[BeneficiaryCertificationType1Code](#)" on page 803

CodeName	Name	Definition
ACCI	AccreditedInvestor	The holder of the security has to irrevocably certify that it is an Accredited Investor as defined in US Securities and Exchange Commission Regulation D.
DOMI	DomicileCountry	The holder of the security has to certify his domicile.
FULL	FullBeneficialOwnerBreakdown	The holder of the security has to provide his beneficial owner details for disclosure/instruction purpose.
QIBB	QIBCertification	The holder of the security has to irrevocably certify that it is a Qualified Institutional Buyer as defined in US Securities and Exchange Commission Rule 144A.
TRBD	TaxRateBreakdown	The holder of the security has to provide basic details on nominals and associated tax rate to be used by the account servicer.
NCOM	NonCompany	The holder of the security has to certify he is an Non-Company related person.

4.4.4.2.5.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary code to express the type of beneficiary's certification.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification13](#)" on page 693 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		693
	SchemeName <SchmeNm>	[0..1]	Text		693
	Issuer <Issr>	[1..1]	Text		693

4.4.4.2.6 AssentedLineSecurityIdentification <AssntdLineSctyld>

Presence: [0..1]

Definition: Identification of a temporary security used for processing reasons, eg, contra security used in the US.

AssentedLineSecurityIdentification <AssntdLineSctyld> contains the following elements (see "SecurityIdentification7" on page 791 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	ISIN <ISIN>	[1..1]	IdentifierSet		791
Or}	OtherIdentification <Othrlid>	[1..1]			791
	Identification <Id>	[1..1]	Text		792
{Or	DomesticIdentificationSource <DmstldSrc>	[1..1]	CodeSet	C4	792
Or}	ProprietaryIdentificationSource <PrtryldSrc>	[1..1]	Text		792
	Description <Desc>	[0..1]	Text		792

4.4.4.2.7 AgentSecuritiesAccountIdentification <AgtSctiesAcctld>

Presence: [0..1]

Definition: Identification of the safekeeping account held by an agent at the CSD.

Datatype: "Max35Text" on page 853

4.4.4.2.8 AgentCashAccountIdentification <AgtCshAcctld>

Presence: [0..1]

Definition: Identification of the cash account held by an agent at the CSD.

AgentCashAccountIdentification <AgtCshAcctld> contains one of the following elements (see "AccountIdentification2Choice" on page 670 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	CashAccountIdentification <CshAcctld>	[1..1]	Text		670
Or}	SecuritiesAccountIdentification <SctiesAcctld>	[1..1]	Text		670

4.4.4.2.9 OfferType <OfferTp>

Presence: [0..*]

Definition: Specifies the conditions that apply to the offer.

OfferType <OfferTp> contains one of the following **OfferType1FormatChoice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		273
Or}	Proprietary <Prtry>	[1..1]	±		274

4.4.4.2.9.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the conditions that apply to the offer.

Datatype: "OfferType1Code" on page 829

CodeName	Name	Definition
DISS	DissenterRights	Securities holder has the right to disagree with a mandatory event. This right is not inherent in all offers and therefore must be noted in announcements only when applicable.
ERUN	RestrictionExchange	Exchange of Restricted to Unrestricted (or vice versa).
FCFS	FirstComeFirstServed	Securities will be accepted in the order they have been submitted until the target number of quantity sought is reached.
FINL	FinalOffer	Final or last offer to holders subject to offer conditions being reached, for example, 50 percent accepted on a tender.
MINI	MiniTender	Tender offer for a security that is priced below the current trading price of that instrument.
PART	PartialOffer	Offer made by the offeror for a portion of the outstanding shares not already owned by the offeror.
SQUE	SqueezeOutBid	Offer launched by a company, in possession of a large number of the shares (90% - 95%) of a company (generally as a result of a tender offer), in order to acquire the remaining shares of that company.

4.4.4.2.9.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary code to express the conditions that apply to the offer.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification13](#)" on page 693 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		693
	SchemeName <SchmeNm>	[0..1]	Text		693
	Issuer <Issr>	[1..1]	Text		693

4.4.4.2.10 IntermediateSecuritiesDistributionType <IntrmdtSctiesDstrbtnTp>

Presence: [0..1]

Definition: Type of intermediates securities distribution, eg, stock dividend, reverse right.

IntermediateSecuritiesDistributionType <IntrmdtSctiesDstrbtnTp> contains one of the following **IntermediateSecurityDistributionType1FormatChoice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		275
Or}	Proprietary <Prtry>	[1..1]	±		275

4.4.4.2.10.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the type of intermediate security distribution.

Datatype: "IntermediateSecurityDistributionType1Code" on page 827

CodeName	Name	Definition
BIDS	ReverseRights	Distribution of reverser rights.
BONU	BonusRights	Distribution of bonus rights.
DRIP	DividendReinvestment	Distribution of dividend reinvestment securities.
DVCA	CashDividend	Distribution of cash to shareholders, in proportion to their equity holding. Ordinary dividends are recurring and regular. Shareholder must take cash and may be offered a choice of currency.
DVOP	DividendOption	Distribution of dividend option.
EXRI	SubscriptionRights	Distribution of subscription rights.
PRIO	OpenOfferRights	Distribution of open offer rights.
DVSC	ScripDividendOrPayment	Dividend or interest paid in the form of scrip.
DVSE	StockDividend	Dividend paid to shareholders in the form of shares of stock.
INTR	InterestPayment	Interest payment distributed to holders of an interest bearing asset.
LIQU	LiquidationDividendOrPayment	A distribution of cash, assets or both.
SOFF	SpinOff	A distribution of subsidiary stock to the shareholders.

4.4.4.2.10.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary code to express the type of intermediate security distribution.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification13](#)" on page 693 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		693
	SchemeName <SchmeNm>	[0..1]	Text		693
	Issuer <Issr>	[1..1]	Text		693

4.4.4.2.11 WithdrawalAllowedIndicator <WdrwAllwdInd>

Presence: [1..1]

Definition: Indicates whether withdrawal of instruction is allowed.

Datatype: One of the following values must be used (see "[YesNoIndicator](#)" on page 850):

- *Meaning When True:* Yes
- *Meaning When False:* No

4.4.4.2.12 ChangeAllowedIndicator <ChngAllwdInd>

Presence: [1..1]

Definition: Indicates whether change of instruction is allowed.

Datatype: One of the following values must be used (see "[YesNoIndicator](#)" on page 850):

- *Meaning When True:* Yes
- *Meaning When False:* No

4.4.4.2.13 DateDetails <DtDtls>

Presence: [0..1]

Definition: Provides information about the dates related to a CA option.

DateDetails <DtDtls> contains the following **CorporateActionDate4** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CouponClippingDate <CpnClpngDt>	[0..1]	±		277
	ConsentExpirationDate <CnsntXprtnDt>	[0..1]	±		277
	ConsentRecordDate <CnsntRcrdDt>	[0..1]	±		278
	PaymentDate <PmtDt>	[0..1]	±		278
	EarliestPaymentDate <EarlstPmtDt>	[0..1]	±		278
	MarketDeadline <MktDdln>	[0..1]	±		278
	ResponseDeadline <RspnDdln>	[0..1]	±		279
	DeadlineToSplit <DdlnToSpl>	[0..1]	±		279
	ExpiryDate <XpryDt>	[0..1]	±		279
	QuotationSettingDate <QtnSetngDt>	[0..1]	±		280
	SubscriptionCostDebitDate <SbcptCostDbtDt>	[0..1]	±		280

4.4.4.2.13.1 CouponClippingDate <CpnClpngDt>

Presence: [0..1]

Definition: Date/time at which the coupons are to be/were submitted for payment of interest.

CouponClippingDate <CpnClpngDt> contains one of the following elements (see "DateFormat4Choice" on page 678 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		679
Or	NotSpecifiedDate <NotSpcfdDt>	[1..1]	CodeSet		679
Or}	Proprietary <Prtry>	[1..1]	±		679

4.4.4.2.13.2 ConsentExpirationDate <CnsntXprtnDt>

Presence: [0..1]

Definition: Last date/time at which a holder can consent to the changes sought by the corporation.

ConsentExpirationDate <CnsntXprtnDt> contains one of the following elements (see "DateFormat4Choice" on page 678 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		679
Or	NotSpecifiedDate <NotSpcfdDt>	[1..1]	CodeSet		679
Or}	Proprietary <Prtry>	[1..1]	±		679

4.4.4.2.13.3 ConsentRecordDate <CnsntRcrdDt>*Presence:* [0..1]

Definition: Date/time used by the offeror to determine the beneficiary eligible to participate in a consent based on the registered owner of the securities, eg, beneficial owner of consent record. The consent record date qualifier is used to indicate that a record date only applies to a certain part of the offer, not the entire offer.

ConsentRecordDate <CnsntRcrdDt> contains one of the following elements (see ["DateFormat4Choice"](#) on page 678 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		679
Or	NotSpecifiedDate <NotSpcfdDt>	[1..1]	CodeSet		679
Or}	Proprietary <Prtry>	[1..1]	±		679

4.4.4.2.13.4 PaymentDate <PmtDt>*Presence:* [0..1]

Definition: Date/time at which the distribution is due to take place (cash and/or securities).

PaymentDate <PmtDt> contains one of the following elements (see ["DateFormat4Choice"](#) on page 678 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		679
Or	NotSpecifiedDate <NotSpcfdDt>	[1..1]	CodeSet		679
Or}	Proprietary <Prtry>	[1..1]	±		679

4.4.4.2.13.5 EarliestPaymentDate <EarlstPmtDt>*Presence:* [0..1]

Definition: Date/time at which a payment can be made, eg, if payment date is a non-business day or to indicate the first payment date of an offer.

EarliestPaymentDate <EarlstPmtDt> contains one of the following elements (see ["DateFormat4Choice"](#) on page 678 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		679
Or	NotSpecifiedDate <NotSpcfdDt>	[1..1]	CodeSet		679
Or}	Proprietary <Prtry>	[1..1]	±		679

4.4.4.2.13.6 MarketDeadline <MktDdlIn>*Presence:* [0..1]

Definition: Issuer or issuer's agent deadline to respond, with an instruction, to an outstanding offer or privilege.

MarketDeadline <MktDdln> contains one of the following elements (see "DateFormat4Choice" on page 678 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		679
Or	NotSpecifiedDate <NotSpcfdDt>	[1..1]	CodeSet		679
Or}	Proprietary <Prtry>	[1..1]	±		679

4.4.4.2.13.7 ResponseDeadline <RspnDdln>

Presence: [0..1]

Definition: Date/time at which the account servicer has set as the deadline to respond, with instructions, to an outstanding event. This time is dependent on the reference time zone of the account servicer as specified in an SLA.

ResponseDeadline <RspnDdln> contains one of the following elements (see "DateFormat4Choice" on page 678 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		679
Or	NotSpecifiedDate <NotSpcfdDt>	[1..1]	CodeSet		679
Or}	Proprietary <Prtry>	[1..1]	±		679

4.4.4.2.13.8 DeadlineToSplit <DdlnToSpl>

Presence: [0..1]

Definition: Deadline by which instructions must be received to split securities, eg, of physical certificates.

DeadlineToSplit <DdlnToSpl> contains one of the following elements (see "DateFormat4Choice" on page 678 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		679
Or	NotSpecifiedDate <NotSpcfdDt>	[1..1]	CodeSet		679
Or}	Proprietary <Prtry>	[1..1]	±		679

4.4.4.2.13.9 ExpiryDate <XpryDt>

Presence: [0..1]

Definition: Date/time at which an order expires or on which a privilege or offer terminates.

ExpiryDate <XpryDt> contains one of the following elements (see "DateFormat4Choice" on page 678 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		679
Or	NotSpecifiedDate <NotSpcfdDt>	[1..1]	CodeSet		679
Or}	Proprietary <Prtry>	[1..1]	±		679

4.4.4.2.13.10 QuotationSettingDate <QtnSetngDt>

Presence: [0..1]

Definition: Date/time at which the price of a security is determined.

QuotationSettingDate <QtnSetngDt> contains one of the following elements (see "DateFormat4Choice" on page 678 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		679
Or	NotSpecifiedDate <NotSpcfdDt>	[1..1]	CodeSet		679
Or}	Proprietary <Prtry>	[1..1]	±		679

4.4.4.2.13.11 SubscriptionCostDebitDate <SbcptCostDbtDt>

Presence: [0..1]

Definition: Date/time by which cash must be in place in order to take part in the event.

SubscriptionCostDebitDate <SbcptCostDbtDt> contains one of the following elements (see "DateFormat4Choice" on page 678 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		679
Or	NotSpecifiedDate <NotSpcfdDt>	[1..1]	CodeSet		679
Or}	Proprietary <Prtry>	[1..1]	±		679

4.4.4.2.14 RateAndAmountDetails <RateAndAmtDtIs>

Presence: [0..1]

Definition: Provides information about rates and amounts related to a CA option.

RateAndAmountDetails <RateAndAmtDtls> contains the following **CorporateActionRate2** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	WithholdingTax <WhldgTax>	[0..1]	±		282
	WithholdingOfForeignTax <WhldgOfFrgnTax>	[0..1]	±		283
	WithholdingOfLocalTax <WhldgOfLclTax>	[0..1]	±		283
	GermanLocalTax1 <GrmnLclTax1>	[0..1]	±		283
	GermanLocalTax2 <GrmnLclTax2>	[0..1]	±		284
	GermanLocalTax3 <GrmnLclTax3>	[0..1]	±		284
	GermanLocalTax4 <GrmnLclTax4>	[0..1]	±		285
	TaxOnIncome <TaxOnIncm>	[0..1]	±		285
	TaxOnProfit <TaxOnPrft>	[0..1]	±		285
	TaxReclaim <TaxRclm>	[0..1]	±		285
	FiscalStamp <FscIStmp>	[0..1]	±		286
	Proration <Prratn>	[0..1]	±		286
	NewToOld <NewToOd>	[0..1]	±		286
	NewSecuritiesToUnderlyingSecurities <NewSctiesToUndrlygScties>	[0..1]	±		287
	AdditionalQuantityForExistingSecurities <AddtlQtyForExstgScties>	[0..1]	±		287
	AdditionalQuantityForSubscribedResultantSecurities <AddtlQtyForSbcbdRslntScties>	[0..1]	±		288
	RelatedTax <RltdTax>	[0..1]			288
	TaxType <TaxTp>	[1..1]			288
{Or	Code <Cd>	[1..1]	CodeSet		289
Or}	Proprietary <Prtry>	[1..1]	±		289
	Amount <Amt>	[1..1]	Amount	C1, C5	289
	NonResidentRate <NonResdtRate>	[0..1]	±		290
	Charges <Chrgs>	[0..1]	±		290
	InterestForUsedPayment <IntrstForUsdPmt>	[0..1]	±		290
	IndexFactor <IndxFctr>	[0..1]	±		291
	FullyFranked <FullyFrnkcd>	[0..1]	±		291
	GrossDividend <GrssDvdd>	[0..1]	±		291
	NetDividend <NetDvdd>	[0..1]	±		292
	FinalDividend <FnIDvdd>	[0..1]			292

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Amount <Amt>	[1..1]	Amount	C1, C5	292
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]			293
{Or	Code <Cd>	[1..1]	CodeSet		293
Or}	Proprietary <Prtry>	[1..1]	±		293
	ProvisionalDividend <PrvsnlDvdd>	[0..1]			294
{Or	Amount <Amt>	[1..1]	Amount	C1, C5	294
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]			294
{Or	Code <Cd>	[1..1]	CodeSet		295
Or}	Proprietary <Prtry>	[1..1]	±		295
	CashIncentive <CshIncntiv>	[0..1]	±		295
	SolicitationFee <SlctnFee>	[0..1]	±		296
	MaximumAllowedOversubscription <MaxAllwdOvrsbcpt>	[0..1]	±		296
	AdditionalTax <AddtlTax>	[0..1]	±		296
	OriginalAmount <OrgnlAmt>	[0..1]	Amount	C1, C5	297
	ExchangeRate <XchgRate>	[0..1]			297
	UnitCurrency <UnitCcy>	[1..1]	CodeSet	C1	297
	QuotedCurrency <QtdCcy>	[1..1]	CodeSet	C1	298
	ExchangeRate <XchgRate>	[1..1]	Rate		298
	ResultingAmount <RsltgAmt>	[1..1]	Amount	C1, C5	298
	ApplicableRate <AplblRate>	[0..1]	±		298

4.4.4.2.14.1 WithholdingTax <WhldgTax>

Presence: [0..1]

Definition: Percentage of a cash distribution that will be withheld by a tax authority.

WithholdingTax <WhldgTax> contains one of the following elements (see ["RateFormat1Choice"](#) on page 777 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		778
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]			778
{Or	Code <Cd>	[1..1]	CodeSet		778
Or}	Proprietary <Prtry>	[1..1]	±		778

4.4.4.2.14.2 WithholdingOfForeignTax <WhldgOfFrngTax>*Presence:* [0..1]*Definition:* Rate at which the income will be withheld by the jurisdiction in which the income was originally paid, for which relief at source and/or reclaim may be possible.**WithholdingOfForeignTax <WhldgOfFrngTax>** contains one of the following elements (see "RateAndAmountFormat1Choice" on page 713 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		713
Or	Amount <Amt>	[1..1]	Amount	C1, C5	713
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]			714
{Or	Code <Cd>	[1..1]	CodeSet		714
Or}	Proprietary <Prtry>	[1..1]	±		714

4.4.4.2.14.3 WithholdingOfLocalTax <WhldgOfLclTax>*Presence:* [0..1]*Definition:* Rate at which the income will be withheld by the jurisdiction in which the account owner is located, for which relief at source and/or reclaim may be possible.**WithholdingOfLocalTax <WhldgOfLclTax>** contains one of the following elements (see "RateAndAmountFormat1Choice" on page 713 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		713
Or	Amount <Amt>	[1..1]	Amount	C1, C5	713
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]			714
{Or	Code <Cd>	[1..1]	CodeSet		714
Or}	Proprietary <Prtry>	[1..1]	±		714

4.4.4.2.14.4 GermanLocalTax1 <GrmnLclTax1>*Presence:* [0..1]*Definition:* Local tax (ZAS Anrechnungsbetrag) subject to interest down payment tax (proportion of interest liable for interest down payment tax/interim profit that is not covered by the tax exempt amount).

GermanLocalTax1 <GrmnLclTax1> contains one of the following elements (see "RateAndAmountFormat1Choice" on page 713 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		713
Or	Amount <Amt>	[1..1]	Amount	C1, C5	713
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]			714
{Or	Code <Cd>	[1..1]	CodeSet		714
Or}	Proprietary <Prtry>	[1..1]	±		714

4.4.4.2.14.5 GermanLocalTax2 <GrmnLclTax2>

Presence: [0..1]

Definition: Local tax (ZAS Pflichtige Zinsen) interest liable for interest down payment tax (proportion of gross interest per unit/interim profits that is not covered by the credit in the interest pool).

GermanLocalTax2 <GrmnLclTax2> contains one of the following elements (see "RateAndAmountFormat1Choice" on page 713 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		713
Or	Amount <Amt>	[1..1]	Amount	C1, C5	713
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]			714
{Or	Code <Cd>	[1..1]	CodeSet		714
Or}	Proprietary <Prtry>	[1..1]	±		714

4.4.4.2.14.6 GermanLocalTax3 <GrmnLclTax3>

Presence: [0..1]

Definition: Local tax (Zinstopf) offset interest per unit against tax exempt amount (variation to offset interest per unit in relation to tax exempt amount).

GermanLocalTax3 <GrmnLclTax3> contains one of the following elements (see "RateAndAmountFormat1Choice" on page 713 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		713
Or	Amount <Amt>	[1..1]	Amount	C1, C5	713
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]			714
{Or	Code <Cd>	[1..1]	CodeSet		714
Or}	Proprietary <Prtry>	[1..1]	±		714

4.4.4.2.14.7 GermanLocalTax4 <GrmnLclTax4>*Presence:* [0..1]*Definition:* Local tax (Ertrag Besitzanteilig) yield liable for interest down payment tax.**GermanLocalTax4 <GrmnLclTax4>** contains one of the following elements (see "[RateAndAmountFormat1Choice](#)" on page 713 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		713
Or	Amount <Amt>	[1..1]	Amount	C1, C5	713
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]			714
{Or	Code <Cd>	[1..1]	CodeSet		714
Or}	Proprietary <Prtry>	[1..1]	±		714

4.4.4.2.14.8 TaxOnIncome <TaxOnIncm>*Presence:* [0..1]*Definition:* Taxation applied on an amount clearly identified as an income.**TaxOnIncome <TaxOnIncm>** contains one of the following elements (see "[RateFormat1Choice](#)" on page 777 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		778
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]			778
{Or	Code <Cd>	[1..1]	CodeSet		778
Or}	Proprietary <Prtry>	[1..1]	±		778

4.4.4.2.14.9 TaxOnProfit <TaxOnPrft>*Presence:* [0..1]*Definition:* Taxation applied on an amount clearly identified as capital profits, capital gains.**TaxOnProfit <TaxOnPrft>** contains one of the following elements (see "[RateFormat1Choice](#)" on page 777 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		778
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]			778
{Or	Code <Cd>	[1..1]	CodeSet		778
Or}	Proprietary <Prtry>	[1..1]	±		778

4.4.4.2.14.10 TaxReclaim <TaxRclm>*Presence:* [0..1]

Definition: Percentage of cash that was paid in excess of actual tax obligation and was reclaimed.

TaxReclaim <TaxRclm> contains one of the following elements (see "RateFormat1Choice" on page 777 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		778
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]			778
{Or	Code <Cd>	[1..1]	CodeSet		778
Or}	Proprietary <Prtry>	[1..1]	±		778

4.4.4.2.14.11 FiscalStamp <FscIStmp>

Presence: [0..1]

Definition: Percentage of fiscal tax to apply.

FiscalStamp <FscIStmp> contains one of the following elements (see "RateFormat1Choice" on page 777 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		778
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]			778
{Or	Code <Cd>	[1..1]	CodeSet		778
Or}	Proprietary <Prtry>	[1..1]	±		778

4.4.4.2.14.12 Proration <Prratn>

Presence: [0..1]

Definition: Proportionate allocation used for the offer.

Proration <Prratn> contains one of the following elements (see "RateFormat1Choice" on page 777 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		778
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]			778
{Or	Code <Cd>	[1..1]	CodeSet		778
Or}	Proprietary <Prtry>	[1..1]	±		778

4.4.4.2.14.13 NewToOld <NewToOd>

Presence: [0..1]

Definition: Quantity of new securities for a given quantity of underlying securities, where the underlying securities will be exchanged or debited, eg, 2 for 1: 2 new equities credited for every 1 underlying equity debited = 2 resulting equities.

NewToOld <NewToOd> contains one of the following elements (see "RatioFormat2Choice" on page 774 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	QuantityToQuantity <QtyToQty>	[1..1]	±		774
Or	AmountToAmount <AmtToAmt>	[1..1]	±		774
Or	AmountToQuantity <AmtToQty>	[1..1]	±		774
Or	QuantityToAmount <QtyToAmt>	[1..1]	±		775
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]			775
{Or	Code <Cd>	[1..1]	CodeSet		775
Or}	Proprietary <Prtry>	[1..1]	±		775

4.4.4.2.14.14 NewSecuritiesToUnderlyingSecurities <NewSctiesToUndrlygScties>

Presence: [0..1]

Definition: Quantity of new equities that will be derived by the exercise of a given quantity of intermediate securities.

NewSecuritiesToUnderlyingSecurities <NewSctiesToUndrlygScties> contains one of the following elements (see "RatioFormat2Choice" on page 774 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	QuantityToQuantity <QtyToQty>	[1..1]	±		774
Or	AmountToAmount <AmtToAmt>	[1..1]	±		774
Or	AmountToQuantity <AmtToQty>	[1..1]	±		774
Or	QuantityToAmount <QtyToAmt>	[1..1]	±		775
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]			775
{Or	Code <Cd>	[1..1]	CodeSet		775
Or}	Proprietary <Prtry>	[1..1]	±		775

4.4.4.2.14.15 AdditionalQuantityForExistingSecurities <AddtlQtyForExstgScties>

Presence: [0..1]

Definition: Quantity of additional securities for a given quantity of underlying securities where underlying securities are not exchanged or debited, eg, 1 for 1: 1 new

equity credited for every 1 underlying equity = 2 resulting equities.

AdditionalQuantityForExistingSecurities <AddtlQtyForExstgScties> contains one of the following elements (see "RatioFormat1Choice" on page 776 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	QuantityToQuantity <QtyToQty>	[1..1]	±		776
Or	AmountToAmount <AmtToAmt>	[1..1]	±		776
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]			777
{Or	Code <Cd>	[1..1]	CodeSet		777
Or}	Proprietary <Prtry>	[1..1]	±		777

4.4.4.2.14.16 AdditionalQuantityForSubscribedResultantSecurities <AddtlQtyForSbcbdrslntScties>

Presence: [0..1]

Definition: Quantity of additional intermediate securities/new equities awarded for a given quantity of securities derived from subscription.

AdditionalQuantityForSubscribedResultantSecurities <AddtlQtyForSbcbdrslntScties> contains one of the following elements (see "RatioFormat1Choice" on page 776 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	QuantityToQuantity <QtyToQty>	[1..1]	±		776
Or	AmountToAmount <AmtToAmt>	[1..1]	±		776
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]			777
{Or	Code <Cd>	[1..1]	CodeSet		777
Or}	Proprietary <Prtry>	[1..1]	±		777

4.4.4.2.14.17 RelatedTax <RltdTax>

Presence: [0..1]

Definition: Percentage of the gross dividend rate on which tax must be paid.

RelatedTax <RltdTax> contains the following **RelatedTaxType1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	TaxType <TaxTp>	[1..1]			288
{Or	Code <Cd>	[1..1]	CodeSet		289
Or}	Proprietary <Prtry>	[1..1]	±		289
	Amount <Amt>	[1..1]	Amount	C1, C5	289

4.4.4.2.14.17.1 TaxType <TaxTp>

Presence: [1..1]

Definition: Specifies the type of tax.

TaxType <TaxTp> contains one of the following **TaxType3FormatChoice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		289
Or}	Proprietary <Prtry>	[1..1]	±		289

4.4.4.2.14.17.1.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the type of taxes.

Datatype: "TaxType3Code" on page 846

CodeName	Name	Definition
LIDT	GermanLocalTax2	Local tax (ZAS pflichtige Zinsen) - interest liable for interest down payment tax (proportion of gross interest per unit/ interim profits that is not covered by the credit in the interest pool).
WITF	WithholdingOfForeignTax	Rate at which the income will be withheld by the jurisdiction in which the income was originally paid, for which relief at source and/or reclaim may be possible.
WITL	WithholdingOfLocalTax	Rate at which the income will be withheld by the jurisdiction in which the account owner is located, for which relief at source and/or reclaim may be possible.

4.4.4.2.14.17.1.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary code to express the type of taxes.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification13](#)" on page 693 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		693
	SchemeName <SchmeNm>	[0..1]	Text		693
	Issuer <Issr>	[1..1]	Text		693

4.4.4.2.14.17.2 Amount <Amt>

Presence: [1..1]

Definition: The value of the related tax expressed as an amount.

Impacted by: C1 "ActiveCurrency", C5 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 801

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

4.4.4.2.14.18 NonResidentRate <NonResdtRate>

Presence: [0..1]

Definition: Rate per share to which a non-resident is entitled.

NonResidentRate <NonResdtRate> contains one of the following elements (see "[RateAndAmountFormat1Choice](#)" on page 713 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		713
Or	Amount <Amt>	[1..1]	Amount	C1, C5	713
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]			714
{Or	Code <Cd>	[1..1]	CodeSet		714
Or}	Proprietary <Prtry>	[1..1]	±		714

4.4.4.2.14.19 Charges <Chrgs>

Presence: [0..1]

Definition: Rate used to calculate the amount of the charges/fees that cannot be categorised.

Charges <Chrgs> contains one of the following elements (see "[RateAndAmountFormat1Choice](#)" on page 713 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		713
Or	Amount <Amt>	[1..1]	Amount	C1, C5	713
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]			714
{Or	Code <Cd>	[1..1]	CodeSet		714
Or}	Proprietary <Prtry>	[1..1]	±		714

4.4.4.2.14.20 InterestForUsedPayment <IntrstForUsdPmt>

Presence: [0..1]

Definition: The actual interest rate used for the payment of the interest for the specified interest period.

InterestForUsedPayment <IntrstForUsdPmt> contains one of the following elements (see "RateAndAmountFormat1Choice" on page 713 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		713
Or	Amount <Amt>	[1..1]	Amount	C1, C5	713
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]			714
{Or	Code <Cd>	[1..1]	CodeSet		714
Or}	Proprietary <Prtry>	[1..1]	±		714

4.4.4.2.14.21 IndexFactor <IndxFctr>

Presence: [0..1]

Definition: Public index rate applied to the amount paid to adjust it to inflation.

IndexFactor <IndxFctr> contains one of the following elements (see "RateAndAmountFormat1Choice" on page 713 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		713
Or	Amount <Amt>	[1..1]	Amount	C1, C5	713
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]			714
{Or	Code <Cd>	[1..1]	CodeSet		714
Or}	Proprietary <Prtry>	[1..1]	±		714

4.4.4.2.14.22 FullyFranked <FullyFrnkD>

Presence: [0..1]

Definition: Rate resulting from a fully franked dividend paid by a company; rate includes tax credit for companies that have made sufficient tax payments during fiscal period.

FullyFranked <FullyFrnkD> contains one of the following elements (see "RateAndAmountFormat1Choice" on page 713 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		713
Or	Amount <Amt>	[1..1]	Amount	C1, C5	713
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]			714
{Or	Code <Cd>	[1..1]	CodeSet		714
Or}	Proprietary <Prtry>	[1..1]	±		714

4.4.4.2.14.23 GrossDividend <GrssDvdd>

Presence: [0..1]

Definition: Cash dividend amount per equity before deductions or allowances have been made.

GrossDividend <GrsdVdd> contains one of the following elements (see "GrossDividendRate1Choice" on page 784 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	NotSpecifiedRate <NotSpcfdRate>	[1..1]			784
{Or	Code <Cd>	[1..1]	CodeSet		785
Or}	Proprietary <Prtry>	[1..1]	±		785
Or	Amount <Amt>	[1..1]	Amount	C1, C5	785
Or}	RateTypeAmount <RateTpAmt>	[1..1]	±		786

4.4.4.2.14.24 NetDividend <NetDvdd>

Presence: [0..1]

Definition: Cash dividend amount per equity after deductions or allowances have been made.

NetDividend <NetDvdd> contains one of the following elements (see "NetDividendRate1Choice" on page 780 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	NotSpecifiedRate <NotSpcfdRate>	[1..1]			781
{Or	Code <Cd>	[1..1]	CodeSet		781
Or}	Proprietary <Prtry>	[1..1]	±		781
Or	Amount <Amt>	[1..1]	Amount	C1, C5	782
Or}	RateTypeAmount <RateTpAmt>	[1..1]	±		782

4.4.4.2.14.25 FinalDividend <FnlDvdd>

Presence: [0..1]

Definition: Dividend is final.

FinalDividend <FnlDvdd> contains one of the following **AmountAndRateFormat2Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Amount <Amt>	[1..1]	Amount	C1, C5	292
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]			293
{Or	Code <Cd>	[1..1]	CodeSet		293
Or}	Proprietary <Prtry>	[1..1]	±		293

4.4.4.2.14.25.1 Amount <Amt>

Presence: [1..1]

Definition: Number of monetary units specified in a currency.

Impacted by: C1 "ActiveCurrency", C5 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 801

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

4.4.4.2.14.25.2 NotSpecifiedRate <NotSpcfdRate>

Presence: [1..1]

Definition: The rate is not specified.

NotSpecifiedRate <NotSpcfdRate> contains one of the following **RateType12FormatChoice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		293
Or}	Proprietary <Prtry>	[1..1]	±		293

4.4.4.2.14.25.2.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the type of rate.

Datatype: "RateType12Code" on page 835

CodeName	Name	Definition
OPEN	Open	Rate has not been established.
UKWN	Unknown	Rate is unknown by the sender or has not been established.
NILP	NilPayment	Rate will not be paid.

4.4.4.2.14.25.2.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary code to express the type of rate.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification13](#)" on page 693 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		693
	SchemeName <SchmeNm>	[0..1]	Text		693
	Issuer <Issr>	[1..1]	Text		693

4.4.4.2.14.26 ProvisionalDividend <PrvsnlDvdd>

Presence: [0..1]

Definition: Dividend is provisional.

ProvisionalDividend <PrvsnlDvdd> contains one of the following **AmountAndRateFormat2Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Amount <Amt>	[1..1]	Amount	C1, C5	294
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]			294
{Or	Code <Cd>	[1..1]	CodeSet		295
Or}	Proprietary <Prtry>	[1..1]	±		295

4.4.4.2.14.26.1 Amount <Amt>

Presence: [1..1]

Definition: Number of monetary units specified in a currency.

Impacted by: C1 "ActiveCurrency", C5 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 801

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

4.4.4.2.14.26.2 NotSpecifiedRate <NotSpcfdRate>

Presence: [1..1]

Definition: The rate is not specified.

NotSpecifiedRate <NotSpcfdRate> contains one of the following **RateType12FormatChoice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		295
Or}	Proprietary <Prtry>	[1..1]	±		295

4.4.4.2.14.26.2.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the type of rate.

Datatype: "RateType12Code" on page 835

CodeName	Name	Definition
OPEN	Open	Rate has not been established.
UKWN	Unknown	Rate is unknown by the sender or has not been established.
NILP	NilPayment	Rate will not be paid.

4.4.4.2.14.26.2.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary code to express the type of rate.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification13](#)" on page 693 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		693
	SchemeName <SchmeNm>	[0..1]	Text		693
	Issuer <Issr>	[1..1]	Text		693

4.4.4.2.14.27 CashIncentive <CshIncntiv>

Presence: [0..1]

Definition: Rate of the cash premium made available if the securities holder consents or participates to an event, e.g. consent fees.

CashIncentive <CshIncntiv> contains one of the following elements (see "[RateFormat1Choice](#)" on page 777 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		778
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]			778
{Or	Code <Cd>	[1..1]	CodeSet		778
Or}	Proprietary <Prtry>	[1..1]	±		778

4.4.4.2.14.28 SolicitationFee <SlctnFee>*Presence:* [0..1]*Definition:* Cash rate made available in an offer in order to encourage participation in the offer.**SolicitationFee <SlctnFee>** contains one of the following elements (see "RateFormat1Choice" on page 777 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		778
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]			778
{Or	Code <Cd>	[1..1]	CodeSet		778
Or}	Proprietary <Prtry>	[1..1]	±		778

4.4.4.2.14.29 MaximumAllowedOversubscription <MaxAllwdOvrsbcpt>*Presence:* [0..1]*Definition:* A maximum percentage of shares available through the over subscription privilege, usually a percentage of the basic subscription shares, eg, an account owner subscribing to 100 shares may over subscribe to a maximum of 50 additional shares when the over subscription maximum is 50%.**MaximumAllowedOversubscription <MaxAllwdOvrsbcpt>** contains one of the following elements (see "RateFormat1Choice" on page 777 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		778
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]			778
{Or	Code <Cd>	[1..1]	CodeSet		778
Or}	Proprietary <Prtry>	[1..1]	±		778

4.4.4.2.14.30 AdditionalTax <AddtlTax>*Presence:* [0..1]*Definition:* Rate used for additional tax that cannot be categorised.**AdditionalTax <AddtlTax>** contains one of the following elements (see "RateAndAmountFormat1Choice" on page 713 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		713
Or	Amount <Amt>	[1..1]	Amount	C1, C5	713
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]			714
{Or	Code <Cd>	[1..1]	CodeSet		714
Or}	Proprietary <Prtry>	[1..1]	±		714

4.4.4.2.14.31 OriginalAmount <OrgnlAmt>*Presence:* [0..1]*Definition:* Amount in its original currency when conversion from/into another currency has occurred.*Impacted by:* C1 "ActiveCurrency", C5 "CurrencyAmount"*Datatype:* "ActiveCurrencyAndAmount" on page 801**Constraints**

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

4.4.4.2.14.32 ExchangeRate <XchgRate>*Presence:* [0..1]*Definition:* Provides information about a foreign exchange.**ExchangeRate <XchgRate>** contains the following **ForeignExchangeTerms8** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	UnitCurrency <UnitCcy>	[1..1]	CodeSet	C1	297
	QuotedCurrency <QtdCcy>	[1..1]	CodeSet	C1	298
	ExchangeRate <XchgRate>	[1..1]	Rate		298
	ResultingAmount <RsltgAmt>	[1..1]	Amount	C1, C5	298

4.4.4.2.14.32.1 UnitCurrency <UnitCcy>*Presence:* [1..1]

Definition: Currency in which the rate of exchange is expressed in a currency exchange. In the example 1GBP = xxxCUR, the unit currency is GBP.

Impacted by: C1 "ActiveCurrency"*Datatype:* "ActiveCurrencyCode" on page 802**Constraints**

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217

Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

4.4.4.2.14.32.2 QuotedCurrency <QtdCcy>

Presence: [1..1]

Definition: Currency into which the base currency is converted, in a currency exchange.

Impacted by: C1 "ActiveCurrency"

Datatype: "ActiveCurrencyCode" on page 802

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

4.4.4.2.14.32.3 ExchangeRate <XchgRate>

Presence: [1..1]

Definition: Factor used for the conversion of an amount from one currency into another. This reflects the price at which one currency was bought with another currency.

Usage: ExchangeRate expresses the ratio between UnitCurrency and QuotedCurrency (ExchangeRate = UnitCurrency/QuotedCurrency).

Datatype: "BaseOneRate" on page 851

4.4.4.2.14.32.4 ResultingAmount <RsltAmt>

Presence: [1..1]

Definition: Amount of money resulting from a foreign exchange transaction.

Impacted by: C1 "ActiveCurrency", C5 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 801

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

4.4.4.2.14.33 ApplicableRate <AplblRate>

Presence: [0..1]

Definition: Rate applicable to the event announced, eg, redemption rate for a redemption event.

ApplicableRate <ApblRate> contains one of the following elements (see "RateFormat1Choice" on page 777 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		778
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]			778
{Or	Code <Cd>	[1..1]	CodeSet		778
Or}	Proprietary <Prtry>	[1..1]	±		778

4.4.4.2.15 PriceDetails <PricDtls>

Presence: [0..1]

Definition: Provides information about the prices related to a CA option.

PriceDetails <PricDtls> contains the following **CorporateActionPrice1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ExercisePrice <ExrcPric>	[0..1]	±		299
	IssuePrice <IssePric>	[0..1]	±		300
	CashInLieuOfSharePrice <CshInLieuOfShrPric>	[0..1]	±		300
	TaxableIncomePerDividendShare <TaxblIncmPerDvddShr>	[0..1]			301
	AmountPriceType <AmtPricTp>	[1..1]			301
{Or	Code <Cd>	[1..1]	CodeSet		301
Or}	Proprietary <Prtry>	[1..1]	±		302
	PriceValue <PricVal>	[1..1]	Amount	C1	302
	GenericCashPriceReceivedPerProduct <GncCshPricRcvdPerPdct>	[0..1]	±		302
	GenericCashPricePaidPerProduct <GncCshPricPdPerPdct>	[0..1]	±		303
	OverSubscriptionDepositPrice <OverSbcptDpstPric>	[0..1]	±		304

4.4.4.2.15.1 ExercisePrice <ExrcPric>

Presence: [0..1]

Definition: 1. Price at which security will be purchased/sold if warrant is exercised, either as an actual amount or a percentage.

2. Price at which a bond is converted to underlying security either as an actual amount or a percentage.

3. Strike price of an option, represented either as an actual amount, a percentage or or a number of points above an index.

ExercisePrice <ExrcPric> contains one of the following elements (see "PriceFormat4Choice" on page 755 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Amount <Amt>	[1..1]			756
	AmountPriceType <AmtPricTp>	[1..1]			756
{Or	Code <Cd>	[1..1]	CodeSet		756
Or}	Proprietary <Prtry>	[1..1]	±		757
	PriceValue <PricVal>	[1..1]	Amount	C1	757
Or	Rate <Rate>	[1..1]	±		757
Or	NotSpecified <NotSpcfd>	[1..1]			758
{Or	Code <Cd>	[1..1]	CodeSet		758
Or}	Proprietary <Prtry>	[1..1]	±		758
Or}	IndexPoints <IndxPts>	[1..1]	Quantity		758

4.4.4.2.15.2 IssuePrice <IssePric>

Presence: [0..1]

Definition: Initial issue price of a financial instrument.

IssuePrice <IssePric> contains one of the following elements (see "PriceFormat2Choice" on page 770 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Amount <Amt>	[1..1]			770
	AmountPriceType <AmtPricTp>	[1..1]			771
{Or	Code <Cd>	[1..1]	CodeSet		771
Or}	Proprietary <Prtry>	[1..1]	±		771
	PriceValue <PricVal>	[1..1]	Amount	C1	772
Or	Rate <Rate>	[1..1]	±		772
Or}	NotSpecified <NotSpcfd>	[1..1]			772
{Or	Code <Cd>	[1..1]	CodeSet		772
Or}	Proprietary <Prtry>	[1..1]	±		773

4.4.4.2.15.3 CashInLieuOfSharePrice <CshInLieuOfShrPric>

Presence: [0..1]

Definition: Cash disbursement in lieu of equities; usually in lieu of fractional quantity.

CashInLieuOfSharePrice <CshInLieuOfShrPric> contains one of the following elements (see "PriceFormat2Choice" on page 770 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Amount <Amt>	[1..1]			770
	AmountPriceType <AmtPricTp>	[1..1]			771
{Or	Code <Cd>	[1..1]	CodeSet		771
Or}	Proprietary <Prtry>	[1..1]	±		771
	PriceValue <PricVal>	[1..1]	Amount	C1	772
Or	Rate <Rate>	[1..1]	±		772
Or}	NotSpecified <NotSpcfd>	[1..1]			772
{Or	Code <Cd>	[1..1]	CodeSet		772
Or}	Proprietary <Prtry>	[1..1]	±		773

4.4.4.2.15.4 TaxableIncomePerDividendShare <TaxblIncmPerDvddShr>

Presence: [0..1]

Definition: Amount included in the dividend/NAV that is identified as gains directly or indirectly derived from interest payments within the scope of the EU Savings directive.

TaxableIncomePerDividendShare <TaxblIncmPerDvddShr> contains the following **AmountPrice1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AmountPriceType <AmtPricTp>	[1..1]			301
{Or	Code <Cd>	[1..1]	CodeSet		301
Or}	Proprietary <Prtry>	[1..1]	±		302
	PriceValue <PricVal>	[1..1]	Amount	C1	302

4.4.4.2.15.4.1 AmountPriceType <AmtPricTp>

Presence: [1..1]

Definition: Type of amount price.

AmountPriceType <AmtPricTp> contains one of the following **AmountPriceType1FormatChoice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		301
Or}	Proprietary <Prtry>	[1..1]	±		302

4.4.4.2.15.4.1.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the amount price type.

Datatype: "AmountPriceType1Code" on page 803

CodeName	Name	Definition
ACTU	ActualAmount	Price expressed as a currency and amount per unit or per share.
DISC	Discount	Price expressed as a discount amount.
PLOT	Lot	Price expressed as an amount of money per lot.
PREM	Premium	Price expressed as a premium.

4.4.4.2.15.4.1.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary code to express the amount price type.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification13](#)" on page 693 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		693
	SchemeName <SchmeNm>	[0..1]	Text		693
	Issuer <Issr>	[1..1]	Text		693

4.4.4.2.15.4.2 PriceValue <PricVal>

Presence: [1..1]

Definition: Value of the price.

Impacted by: C1 "ActiveCurrency"

Datatype: "ActiveCurrencyAnd13DecimalAmount" on page 801

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

4.4.4.2.15.5 GenericCashPriceReceivedPerProduct <GncCshPricRcvdPerPdct>

Presence: [0..1]

Definition: Generic cash price received per product by the underlying security holder either as a percentage or an amount, eg, redemption price.

GenericCashPriceReceivedPerProduct <GncCshPricRcvdPerPdct> contains one of the following elements (see "PriceFormat1Choice" on page 759 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Amount <Amt>	[1..1]			759
	AmountPriceType <AmtPricTp>	[1..1]			760
{Or	Code <Cd>	[1..1]	CodeSet		760
Or}	Proprietary <Prtry>	[1..1]	±		760
	PriceValue <PricVal>	[1..1]	Amount	C1	761
Or	Rate <Rate>	[1..1]	Rate		761
Or	AmountPricePerFinancialInstrumentQuantity <AmtPricPerFinInstrmQty>	[1..1]			761
	AmountPriceType <AmtPricTp>	[1..1]			762
{Or	Code <Cd>	[1..1]	CodeSet		762
Or}	Proprietary <Prtry>	[1..1]	±		762
	PriceValue <PricVal>	[1..1]	Amount	C1	762
	FinancialInstrumentQuantity <FinInstrmQty>	[1..1]			763
{Or	Unit <Unit>	[1..1]	Quantity		763
Or}	FaceAmount <FaceAmt>	[1..1]	Amount	C1, C5	763
Or	AmountPricePerAmount <AmtPricPerAmt>	[1..1]			763
	AmountPriceType <AmtPricTp>	[1..1]			764
{Or	Code <Cd>	[1..1]	CodeSet		764
Or}	Proprietary <Prtry>	[1..1]	±		764
	PriceValue <PricVal>	[1..1]	Amount	C1	765
	Amount <Amt>	[1..1]	Amount	C1	765
Or}	NotSpecified <NotSpcfd>	[1..1]			765
{Or	Code <Cd>	[1..1]	CodeSet		766
Or}	Proprietary <Prtry>	[1..1]	±		766

4.4.4.2.15.6 GenericCashPricePaidPerProduct <GncCshPricPdPerPdct>

Presence: [0..1]

Definition: Generic cash price paid per product by the underlying security holder either as a percentage or an amount, eg, reinvestment price.

GenericCashPricePaidPerProduct <GncCshPricPdPerPdct> contains one of the following elements (see "PriceFormat2Choice" on page 770 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Amount <Amt>	[1..1]			770
	AmountPriceType <AmtPricTp>	[1..1]			771
{Or	Code <Cd>	[1..1]	CodeSet		771
Or}	Proprietary <Prtry>	[1..1]	±		771
	PriceValue <PricVal>	[1..1]	Amount	C1	772
Or	Rate <Rate>	[1..1]	±		772
Or}	NotSpecified <NotSpcfd>	[1..1]			772
{Or	Code <Cd>	[1..1]	CodeSet		772
Or}	Proprietary <Prtry>	[1..1]	±		773

4.4.4.2.15.7 OverSubscriptionDepositPrice <OverSbcptDpstPric>

Presence: [0..1]

Definition: Amount of money required per over-subscribed equity as defined by the issuer.

OverSubscriptionDepositPrice <OverSbcptDpstPric> contains one of the following elements (see "PriceFormat2Choice" on page 770 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Amount <Amt>	[1..1]			770
	AmountPriceType <AmtPricTp>	[1..1]			771
{Or	Code <Cd>	[1..1]	CodeSet		771
Or}	Proprietary <Prtry>	[1..1]	±		771
	PriceValue <PricVal>	[1..1]	Amount	C1	772
Or	Rate <Rate>	[1..1]	±		772
Or}	NotSpecified <NotSpcfd>	[1..1]			772
{Or	Code <Cd>	[1..1]	CodeSet		772
Or}	Proprietary <Prtry>	[1..1]	±		773

4.4.4.2.16 PeriodDetails <PrdDtls>

Presence: [0..1]

Definition: Provides information about the periods related to a CA option.

PeriodDetails <PrdDtls> contains the following **CorporateActionPeriod2** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AssentedLinePeriod <AssntdLinePrd>	[0..1]	±		305
	ActionPeriod <ActnPrd>	[0..1]	±		305
	PrivilegeSuspensionPeriod <PrvlgSspnsnPrd>	[0..1]	±		305
	ParallelTradingPeriod <ParllTradgPrd>	[0..1]	±		306
	SellThruIssuerPeriod <SellThruIssrPrd>	[0..1]	±		306
	RevocabilityPeriod <RvcbltyPrd>	[0..1]	±		306
	PriceCalculationPeriod <PricClctnPrd>	[0..1]	±		306

4.4.4.2.16.1 AssentedLinePeriod <AssntdLinePrd>

Presence: [0..1]

Definition: Period during which the assented line is available.

AssentedLinePeriod <AssntdLinePrd> contains the following elements (see "Period1" on page 679 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	StartDate <StartDt>	[1..1]	±		680
	EndDate <EndDt>	[1..1]	±		680

4.4.4.2.16.2 ActionPeriod <ActnPrd>

Presence: [0..1]

Definition: Period during which the specified option, or all options of the event, remains valid, eg, offer period.

ActionPeriod <ActnPrd> contains the following elements (see "Period1" on page 679 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	StartDate <StartDt>	[1..1]	±		680
	EndDate <EndDt>	[1..1]	±		680

4.4.4.2.16.3 PrivilegeSuspensionPeriod <PrvlgSspnsnPrd>

Presence: [0..1]

Definition: Period during which the privilege is not available, eg, this can happen whenever a meeting takes place or whenever a coupon payment is due.

PrivilegeSuspensionPeriod <PrvlgSspnsnPrd> contains the following elements (see "Period1" on page 679 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	StartDate <StartDt>	[1..1]	±		680
	EndDate <EndDt>	[1..1]	±		680

4.4.4.2.16.4 ParallelTradingPeriod <ParllTradgPrd>

Presence: [0..1]

Definition: Period during which both old and new equity may be traded simultaneously, eg, consolidation of equity or splitting of equity.

ParallelTradingPeriod <ParllTradgPrd> contains the following elements (see "Period1" on page 679 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	StartDate <StartDt>	[1..1]	±		680
	EndDate <EndDt>	[1..1]	±		680

4.4.4.2.16.5 SellThruIssuerPeriod <SellThrulssrPrd>

Presence: [0..1]

Definition: Period (last day included) during which an account owner can surrender or sell securities to the issuer and receive the sale proceeds.

SellThruIssuerPeriod <SellThrulssrPrd> contains the following elements (see "Period1" on page 679 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	StartDate <StartDt>	[1..1]	±		680
	EndDate <EndDt>	[1..1]	±		680

4.4.4.2.16.6 RevocabilityPeriod <RvcbltyPrd>

Presence: [0..1]

Definition: Period during which the shareholder can revoke, change or withdraw its instruction.

RevocabilityPeriod <RvcbltyPrd> contains the following elements (see "Period1" on page 679 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	StartDate <StartDt>	[1..1]	±		680
	EndDate <EndDt>	[1..1]	±		680

4.4.4.2.16.7 PriceCalculationPeriod <PricClctnPrd>

Presence: [0..1]

Definition: Period during which the price of a security is determined (for outturn securities).

PriceCalculationPeriod <PricClctnPrd> contains the following elements (see "Period1" on page 679 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	StartDate <StartDt>	[1..1]	±		680
	EndDate <EndDt>	[1..1]	±		680

4.4.4.2.17 SecuritiesMovementDetails <SctiesMvmntDtls>

Presence: [0..*]

Definition: Provides information about the securities movement linked to the CA option.

SecuritiesMovementDetails <SctiesMvmntDtls> contains the following **SecurityOption1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	SecurityIdentification <SctyId>	[1..1]	±		309
	CreditDebitIndicator <CdtDbtInd>	[1..1]	CodeSet		309
	SecuritiesQuantity <SctiesQty>	[0..1]			309
{Or	Unit <Unit>	[1..1]	Quantity		310
Or}	FaceAmount <FaceAmt>	[1..1]	Amount	C1, C5	310
	MinimumExercisableSecuritiesQuantity <MinExrcblSctiesQty>	[0..1]			310
{Or	Unit <Unit>	[1..1]	Quantity		310
Or}	FaceAmount <FaceAmt>	[1..1]	Amount	C1, C5	311
	MinimumExercisableMultipleSecuritiesQuantity <MinExrcblMltplSctiesQty>	[0..1]			311
{Or	Unit <Unit>	[1..1]	Quantity		311
Or}	FaceAmount <FaceAmt>	[1..1]	Amount	C1, C5	311
	NewDenominationSecuritiesQuantity <NewDnmtnSctiesQty>	[0..1]			312
{Or	Unit <Unit>	[1..1]	Quantity		312
Or}	FaceAmount <FaceAmt>	[1..1]	Amount	C1, C5	312
	NewBoardLotSecuritiesQuantity <NewBrdLotSctiesQty>	[0..1]			313
{Or	Unit <Unit>	[1..1]	Quantity		313
Or}	FaceAmount <FaceAmt>	[1..1]	Amount	C1, C5	313
	ShareRanking <ShrRnkg>	[0..1]			313
{Or	Code <Cd>	[1..1]	CodeSet		314
Or}	Proprietary <Prtry>	[1..1]	±		314
	AdditionalQuantityForSubscribedResultantSecurities <AddtlQtyForSbcbdrslntScties>	[0..1]	±		314
	DateDetails <DtDtls>	[0..1]			314
	PaymentDate <PmtDt>	[0..1]	±		315
	AvailableDate <AvlbIDt>	[0..1]	±		315
	DividendRankingDate <DvddRnkgDt>	[0..1]	±		315
	PariPassuDate <PrpssDt>	[0..1]	±		316
	FirstDealingDate <FrstDealgDt>	[0..1]	±		316
	EarliestPaymentDate <EarlstPmtDt>	[0..1]	±		316
	PriceDetails <PricDtls>	[0..1]			317

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	IndicativePrice <IndctvPric>	[0..1]	±		317
	MarketPrice <MktPric>	[0..1]	±		317
	TradingPeriod <TradgPrd>	[0..1]	±		318
	AdditionalQuantityForExistingSecurities <AddtlQtyForExstgScies>	[0..1]	±		318
	TemporaryFinancialInstrumentIndicator <TempFinInstrmlInd>	[0..1]	Indicator		318
	FractionDisposition <FrctnDspstn>	[0..1]			319
{Or	Code <Cd>	[1..1]	CodeSet		319
Or}	Proprietary <Prtry>	[1..1]	±		319

4.4.4.2.17.1 SecurityIdentification <Sctyld>*Presence:* [1..1]*Definition:* Identification of the financial instrument.**SecurityIdentification <Sctyld>** contains the following elements (see "FinancialInstrumentDescription3" on page 790 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	SecurityIdentification <Sctyld>	[1..1]	±		790
	PlaceOfListing <PlcOfListg>	[0..1]	IdentifierSet		790
	SafekeepingPlace <SfkpgPlc>	[0..1]	±		790

4.4.4.2.17.2 CreditDebitIndicator <CdtDbtInd>*Presence:* [1..1]*Definition:* Specifies whether the value is a debit or credit.*Datatype:* "CreditDebitCode" on page 822

CodeName	Name	Definition
CRDT	Credit	Operation is an increase.
DBIT	Debit	Operation is a decrease.

4.4.4.2.17.3 SecuritiesQuantity <SctiesQty>*Presence:* [0..1]*Definition:* Quantity of financial instrument.

SecuritiesQuantity <SctiesQty> contains one of the following **UnitOrFaceAmount1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		310
Or}	FaceAmount <FaceAmt>	[1..1]	Amount	C1, C5	310

4.4.4.2.17.3.1 Unit <Unit>

Presence: [1..1]

Definition: Quantity expressed as a number, eg, a number of shares.

Datatype: "DecimalNumber" on page 851

4.4.4.2.17.3.2 FaceAmount <FaceAmt>

Presence: [1..1]

Definition: Quantity expressed as an amount representing the face amount, ie, the principal, of a debt instrument.

Impacted by: C1 "ActiveCurrency", C5 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 801

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

4.4.4.2.17.4 MinimumExercisableSecuritiesQuantity <MinExrcblSctiesQty>

Presence: [0..1]

Definition: Minimum quantity of financial instrument or lot of rights/warrants that must be exercised.

MinimumExercisableSecuritiesQuantity <MinExrcblSctiesQty> contains one of the following **UnitOrFaceAmount1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		310
Or}	FaceAmount <FaceAmt>	[1..1]	Amount	C1, C5	311

4.4.4.2.17.4.1 Unit <Unit>

Presence: [1..1]

Definition: Quantity expressed as a number, eg, a number of shares.

Datatype: "DecimalNumber" on page 851

4.4.4.2.17.4.2 FaceAmount <FaceAmt>

Presence: [1..1]

Definition: Quantity expressed as an amount representing the face amount, ie, the principal, of a debt instrument.

Impacted by: C1 "ActiveCurrency", C5 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 801

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

4.4.4.2.17.5 MinimumExercisableMultipleSecuritiesQuantity <MinExrcblMltpISctiesQty>

Presence: [0..1]

Definition: Minimum multiple quantity of financial instrument or lot of rights/warrants that must be exercised.

MinimumExercisableMultipleSecuritiesQuantity <MinExrcblMltpISctiesQty> contains one of the following **UnitOrFaceAmount1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		311
Or}	FaceAmount <FaceAmt>	[1..1]	Amount	C1, C5	311

4.4.4.2.17.5.1 Unit <Unit>

Presence: [1..1]

Definition: Quantity expressed as a number, eg, a number of shares.

Datatype: "DecimalNumber" on page 851

4.4.4.2.17.5.2 FaceAmount <FaceAmt>

Presence: [1..1]

Definition: Quantity expressed as an amount representing the face amount, ie, the principal, of a debt instrument.

Impacted by: C1 "ActiveCurrency", C5 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 801

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

4.4.4.2.17.6 NewDenominationSecuritiesQuantity <NewDnmtnSciesQty>

Presence: [0..1]

Definition: New denomination of the financial instrument following, eg, an increase or decrease in nominal value.

NewDenominationSecuritiesQuantity <NewDnmtnSciesQty> contains one of the following **UnitOrFaceAmount1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		312
Or}	FaceAmount <FaceAmt>	[1..1]	Amount	C1, C5	312

4.4.4.2.17.6.1 Unit <Unit>

Presence: [1..1]

Definition: Quantity expressed as a number, eg, a number of shares.

Datatype: "DecimalNumber" on page 851

4.4.4.2.17.6.2 FaceAmount <FaceAmt>

Presence: [1..1]

Definition: Quantity expressed as an amount representing the face amount, ie, the principal, of a debt instrument.

Impacted by: C1 "ActiveCurrency", C5 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 801

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

4.4.4.2.17.7 NewBoardLotSecuritiesQuantity <NewBrdLotSctiesQty>

Presence: [0..1]

Definition: Quantity of equity that makes up the new board lot.

NewBoardLotSecuritiesQuantity <NewBrdLotSctiesQty> contains one of the following **UnitOrFaceAmount1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		313
Or}	FaceAmount <FaceAmt>	[1..1]	Amount	C1, C5	313

4.4.4.2.17.7.1 Unit <Unit>

Presence: [1..1]

Definition: Quantity expressed as a number, eg, a number of shares.

Datatype: "DecimalNumber" on page 851

4.4.4.2.17.7.2 FaceAmount <FaceAmt>

Presence: [1..1]

Definition: Quantity expressed as an amount representing the face amount, ie, the principal, of a debt instrument.

Impacted by: C1 "ActiveCurrency", C5 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 801

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

4.4.4.2.17.8 ShareRanking <ShrRnkg>

Presence: [0..1]

Definition: Specifies whether the shares are ranking for dividend or pari passu.

ShareRanking <ShrRnkg> contains one of the following **ShareRanking1FormatChoice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		314
Or}	Proprietary <Prtry>	[1..1]	±		314

4.4.4.2.17.8.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the share ranking.

Datatype: "ShareRanking1Code" on page 844

CodeName	Name	Definition
DIVI	Dividend	Payable on the date specified.
PARI	PariPassu	Pari Passu. With equal rights as other shares of the company.

4.4.4.2.17.8.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary code to express the share ranking.

Proprietary <Prtry> contains the following elements (see "GenericIdentification13" on page 693 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		693
	SchemeName <SchmeNm>	[0..1]	Text		693
	Issuer <Issr>	[1..1]	Text		693

4.4.4.2.17.9 AdditionalQuantityForSubscribedResultantSecurities <AddtlQtyForSbcbdrslntntScies>

Presence: [0..1]

Definition: Quantity of additional intermediate securities/new equities awarded for a given quantity of securities derived from subscription.

AdditionalQuantityForSubscribedResultantSecurities <AddtlQtyForSbcbdrslntntScies> contains the following elements (see "QuantityToQuantityRatio1" on page 689 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Quantity1 <Qty1>	[1..1]	Quantity		690
	Quantity2 <Qty2>	[1..1]	Quantity		690

4.4.4.2.17.10 DateDetails <DtDtIs>

Presence: [0..1]

Definition: Provides information about the dates related to a securities movement.

DateDetails <DtDtls> contains the following **CorporateActionDate3** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PaymentDate <PmtDt>	[0..1]	±		315
	AvailableDate <AvlblDt>	[0..1]	±		315
	DividendRankingDate <DvddRnkgDt>	[0..1]	±		315
	PariPassuDate <PrpssDt>	[0..1]	±		316
	FirstDealingDate <FrstDealgDt>	[0..1]	±		316
	EarliestPaymentDate <EarlstPmtDt>	[0..1]	±		316

4.4.4.2.17.10.1 PaymentDate <PmtDt>

Presence: [0..1]

Definition: Date/time at which the distribution is due to take place (cash and/or securities).

PaymentDate <PmtDt> contains one of the following elements (see "[DateFormat4Choice](#)" on page 678 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		679
Or	NotSpecifiedDate <NotSpcfdDt>	[1..1]	CodeSet		679
Or}	Proprietary <Prtry>	[1..1]	±		679

4.4.4.2.17.10.2 AvailableDate <AvlblDt>

Presence: [0..1]

Definition: Date/time at which securities become available for sale.

AvailableDate <AvlblDt> contains one of the following elements (see "[DateFormat4Choice](#)" on page 678 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		679
Or	NotSpecifiedDate <NotSpcfdDt>	[1..1]	CodeSet		679
Or}	Proprietary <Prtry>	[1..1]	±		679

4.4.4.2.17.10.3 DividendRankingDate <DvddRnkgDt>

Presence: [0..1]

Definition: Date/time at which a security will be entitled to a dividend.

DividendRankingDate <DvddRnkgDt> contains one of the following elements (see "DateFormat4Choice" on page 678 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		679
Or	NotSpecifiedDate <NotSpcfdDt>	[1..1]	CodeSet		679
Or}	Proprietary <Prtry>	[1..1]	±		679

4.4.4.2.17.10.4 PariPassuDate <PrpssDt>

Presence: [0..1]

Definition: Date on which security will assimilate, become fungible, or have the same rights to dividends as the parent issue.

PariPassuDate <PrpssDt> contains one of the following elements (see "DateFormat4Choice" on page 678 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		679
Or	NotSpecifiedDate <NotSpcfdDt>	[1..1]	CodeSet		679
Or}	Proprietary <Prtry>	[1..1]	±		679

4.4.4.2.17.10.5 FirstDealingDate <FrstDealgDt>

Presence: [0..1]

Definition: Date/time at which new securities begin trading.

FirstDealingDate <FrstDealgDt> contains one of the following elements (see "DateFormat4Choice" on page 678 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		679
Or	NotSpecifiedDate <NotSpcfdDt>	[1..1]	CodeSet		679
Or}	Proprietary <Prtry>	[1..1]	±		679

4.4.4.2.17.10.6 EarliestPaymentDate <EarlstPmtDt>

Presence: [0..1]

Definition: Date/time at which a payment can be made, eg, if payment date is a non-business day or to indicate the first payment date of an offer.

EarliestPaymentDate <EarlStPmtDt> contains one of the following elements (see "DateFormat4Choice" on page 678 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		679
Or	NotSpecifiedDate <NotSpcfdDt>	[1..1]	CodeSet		679
Or}	Proprietary <Prtry>	[1..1]	±		679

4.4.4.2.17.11 PriceDetails <PricDtls>

Presence: [0..1]

Definition: Provides information about the prices related to a securities movement.

PriceDetails <PricDtls> contains the following **CorporateActionPrice4** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	IndicativePrice <IndctvPric>	[0..1]	±		317
	MarketPrice <MktPric>	[0..1]	±		317

4.4.4.2.17.11.1 IndicativePrice <IndctvPric>

Presence: [0..1]

Definition: Estimated price, eg, for valuation purposes.

IndicativePrice <IndctvPric> contains one of the following elements (see "PriceFormat2Choice" on page 770 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Amount <Amt>	[1..1]			770
	AmountPriceType <AmtPricTp>	[1..1]			771
{Or	Code <Cd>	[1..1]	CodeSet		771
Or}	Proprietary <Prtry>	[1..1]	±		771
	PriceValue <PricVal>	[1..1]	Amount	C1	772
Or	Rate <Rate>	[1..1]	±		772
Or}	NotSpecified <NotSpcfd>	[1..1]			772
{Or	Code <Cd>	[1..1]	CodeSet		772
Or}	Proprietary <Prtry>	[1..1]	±		773

4.4.4.2.17.11.2 MarketPrice <MktPric>

Presence: [0..1]

Definition: Last reported/known price of a financial instrument in a market.

MarketPrice <MktPric> contains one of the following elements (see "[PriceFormat2Choice](#)" on page 770 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Amount <Amt>	[1..1]			770
	AmountPriceType <AmtPricTp>	[1..1]			771
{Or	Code <Cd>	[1..1]	CodeSet		771
Or}	Proprietary <Prtry>	[1..1]	±		771
	PriceValue <PricVal>	[1..1]	Amount	C1	772
Or	Rate <Rate>	[1..1]	±		772
Or}	NotSpecified <NotSpced>	[1..1]			772
{Or	Code <Cd>	[1..1]	CodeSet		772
Or}	Proprietary <Prtry>	[1..1]	±		773

4.4.4.2.17.12 TradingPeriod <TradgPrd>

Presence: [0..1]

Definition: Period during which intermediate securities are tradable in a secondary market.

TradingPeriod <TradgPrd> contains the following elements (see "[Period1](#)" on page 679 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	StartDate <StartDt>	[1..1]	±		680
	EndDate <EndDt>	[1..1]	±		680

4.4.4.2.17.13 AdditionalQuantityForExistingSecurities <AddtlQtyForExstgScties>

Presence: [0..1]

Definition: Quantity of additional securities for a given quantity of underlying securities where underlying securities are not exchanged or debited, eg, 1 for 1: 1 new equity credited for every 1 underlying equity = 2 resulting equities.

AdditionalQuantityForExistingSecurities <AddtlQtyForExstgScties> contains the following elements (see "[QuantityToQuantityRatio1](#)" on page 689 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Quantity1 <Qty1>	[1..1]	Quantity		690
	Quantity2 <Qty2>	[1..1]	Quantity		690

4.4.4.2.17.14 TemporaryFinancialInstrumentIndicator <TempFinInstrmInd>

Presence: [0..1]

Definition: Specifies that the security is a temporary security.

Datatype: One of the following values must be used (see "[YesNoIndicator](#)" on page 850):

- *Meaning When True:* Yes
- *Meaning When False:* No

4.4.4.2.17.15 FractionDisposition <FrctnDspstn>

Presence: [0..1]

Definition: Specifies how fractions resulting from derived securities will be processed or how prorated decisions will be rounding, if provided with a pro ration rate.

FractionDisposition <FrctnDspstn> contains one of the following **FractionDispositionType1FormatChoice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		319
Or}	Proprietary <Prtry>	[1..1]	±		319

4.4.4.2.17.15.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify how fractions resulting from derived securities will be processed or how prorated decisions will be rounding, if provided with a pro ration rate.

Datatype: "FractionDispositionType1Code" on page 826

CodeName	Name	Definition
BUYU	BuyUp	Buy securities up to next whole number.
CINL	CashInLieuOfFraction	Fractional part of cash. Take cash in lieu of fractions.
DIST	IssueFraction	Take distribution of fractions in the form of securities.
RDDN	RoundDown	Round down to the nearest whole number.
RDUP	RoundUp	Round up to the nearest whole number.
STAN	RoundToNearest	If the fraction is greater than or equal to 0.5 of the resulting security then round up, else round down.

4.4.4.2.17.15.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary code to express how fractions resulting from derived securities will be processed or how prorated decisions will be rounding, if provided with a pro ration rate.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification13](#)" on page 693 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		693
	SchemeName <SchmeNm>	[0..1]	Text		693
	Issuer <Issr>	[1..1]	Text		693

4.4.4.2.18 CashMovementDetails <CshMvmntDtls>

Presence: [0..*]

Definition: Provides information about the cash movement linked to the CA option.

CashMovementDetails <CshMvmntDtls> contains the following **CashOption1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CreditDebitIndicator <CdtDbtInd>	[1..1]	CodeSet		323
	Currency <Ccy>	[0..1]	CodeSet	C1	323
	DateDetails <DtDtls>	[0..1]			323
	ForeignExchangeRateFixingDate <FXRateFxdt>	[0..1]	±		323
	ValueDate <ValDt>	[0..1]	±		324
	PaymentDate <PmtDt>	[0..1]	±		324
	EarliestPaymentDate <EarlstPmtDt>	[0..1]	±		324
	AmountDetails <AmtDtls>	[0..1]			325
	GrossCashAmount <GrssCshAmt>	[0..1]	Amount	C1, C5	327
	NetCashAmount <NetCshAmt>	[0..1]	Amount	C1, C5	328
	IssueDiscountAmount <IsseDscntAmt>	[0..1]	Amount	C1, C5	328
	SolicitationFees <SlctnFees>	[0..1]	Amount	C1, C5	328
	CashInLieuOfShare <CshInLieuOfShr>	[0..1]	Amount	C1, C5	329
	OriginalAmount <OrgnlAmt>	[0..1]	Amount	C1, C5	329
	CapitalGain <CptlGn>	[0..1]	Amount	C1, C5	330
	InterestAmount <IntrstAmt>	[0..1]	Amount	C1, C5	330
	IndemnityAmount <IndmntyAmt>	[0..1]	Amount	C1, C5	330
	RedemptionPremiumAmount <RedPrmAmt>	[0..1]	Amount	C1, C5	331
	ManufacturedDividendAmount <ManfctrdDvddAmt>	[0..1]	Amount	C1, C5	331
	PrincipalOrCorpus <PrncplOrCrps>	[0..1]	Amount	C1, C5	332
	ReinvestmentAmount <RinvstmtAmt>	[0..1]	Amount	C1, C5	332
	MarketClaimAmount <MktClmAmt>	[0..1]	Amount	C1, C5	333
	FullyFrankedAmount <FullyFrnkAmt>	[0..1]	Amount	C1, C5	333
	UnfrankedAmount <UfrnkAmt>	[0..1]	Amount	C1, C5	333
	SundryOrOtherAmount <SndryOrOthrAmt>	[0..1]	Amount	C1, C5	334
	SpecialConcessionAmount <SpclCncssnAmt>	[0..1]	Amount	C1, C5	334
	EntitledAmount <EntitldAmt>	[0..1]	Amount	C1, C5	335
	CashIncentive <CshIncntiv>	[0..1]	Amount	C1, C5	335
	AdditionalSubscriptionCost <AddtlSbcptCost>	[0..1]	Amount	C1, C5	335
	TaxFreeAmount <TaxFreeAmt>	[0..1]	Amount	C1, C5	336
	TaxDeferredAmount <TaxDfrdAmt>	[0..1]	Amount	C1, C5	336

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	GermanLocalTax1Amount <GrmnLclTax1Amt>	[0..1]	Amount	C1, C5	337
	GermanLocalTax2Amount <GrmnLclTax2Amt>	[0..1]	Amount	C1, C5	337
	GermanLocalTax3Amount <GrmnLclTax3Amt>	[0..1]	Amount	C1, C5	337
	GermanLocalTax4Amount <GrmnLclTax4Amt>	[0..1]	Amount	C1, C5	338
	StockExchangeTaxAmount <StockXchgTaxAmt>	[0..1]	Amount	C1, C5	338
	TransferTaxAmount <TrfTaxAmt>	[0..1]	Amount	C1, C5	339
	TransactionTaxAmount <TxTaxAmt>	[0..1]	Amount	C1, C5	339
	ValueAddedTaxAmount <ValAddedTaxAmt>	[0..1]	Amount	C1, C5	339
	EURRetentionTaxAmount <EURRtnTaxAmt>	[0..1]	Amount	C1, C5	340
	LocalTaxAmount <LclTaxAmt>	[0..1]	Amount	C1, C5	340
	PaymentLevyTaxAmount <PmtLevyTaxAmt>	[0..1]	Amount	C1, C5	341
	CountryNationalFederalTaxAmount <CtryNtlFdrlTaxAmt>	[0..1]	Amount	C1, C5	341
	StampDutyAmount <StmpDtyAmt>	[0..1]	Amount	C1, C5	341
	TaxReclaimAmount <TaxRclmAmt>	[0..1]	Amount	C1, C5	342
	TaxCreditAmount <TaxCdtAmt>	[0..1]	Amount	C1, C5	342
	WithholdingOfForeignTaxAmount <WhldgOfFrngTaxAmt>	[0..1]	Amount	C1, C5	343
	WithholdingOfLocalTaxAmount <WhldgOfLclTaxAmt>	[0..1]	Amount	C1, C5	343
	AdditionalTaxAmount <AddtlTaxAmt>	[0..1]	Amount	C1, C5	343
	WithholdingTaxAmount <WhldgTaxAmt>	[0..1]	Amount	C1, C5	344
	FiscalStampAmount <FscIStmpAmt>	[0..1]	Amount	C1, C5	344
	ExecutingBrokerAmount <ExctgBrkrAmt>	[0..1]	Amount	C1, C5	345
	PayingAgentCommissionAmount <PngAgtComssnAmt>	[0..1]	Amount	C1, C5	345
	LocalBrokerCommissionAmount <LclBrkrComssnAmt>	[0..1]	Amount	C1, C5	345
	PostageFeeAmount <PstgFeeAmt>	[0..1]	Amount	C1, C5	346
	RegulatoryFeesAmount <RgltryFeesAmt>	[0..1]	Amount	C1, C5	346
	ShippingFeesAmount <ShppgFeesAmt>	[0..1]	Amount	C1, C5	347
	ChargesAmount <ChrgsAmt>	[0..1]	Amount	C1, C5	347
	ExchangeRate <XchgRate>	[0..1]			347
	UnitCurrency <UnitCcy>	[1..1]	CodeSet	C1	348

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	QuotedCurrency <QtdCcy>	[1..1]	CodeSet	C1	348
	ExchangeRate <XchgRate>	[1..1]	Rate		348
	ResultingAmount <RsltgAmt>	[1..1]	Amount	C1, C5	349

4.4.4.2.18.1 CreditDebitIndicator <CdtDbtInd>*Presence:* [1..1]*Definition:* Indicates whether it is a debit or a credit.*Datatype:* "CreditDebitCode" on page 822

CodeName	Name	Definition
CRDT	Credit	Operation is an increase.
DBIT	Debit	Operation is a decrease.

4.4.4.2.18.2 Currency <Ccy>*Presence:* [0..1]*Definition:* Currency of the option.*Impacted by:* C1 "ActiveCurrency"*Datatype:* "ActiveCurrencyCode" on page 802**Constraints**

- ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

4.4.4.2.18.3 DateDetails <DtDtls>*Presence:* [0..1]*Definition:* Provides information about the dates related to a cash movement.**DateDetails <DtDtls>** contains the following **CorporateActionDate5** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ForeignExchangeRateFixingDate <FXRateFxdt>	[0..1]	±		323
	ValueDate <ValDt>	[0..1]	±		324
	PaymentDate <PmtDt>	[0..1]	±		324
	EarliestPaymentDate <EarlstPmtDt>	[0..1]	±		324

4.4.4.2.18.3.1 ForeignExchangeRateFixingDate <FXRateFxdt>*Presence:* [0..1]

Definition: Date/time at which a foreign exchange rate will be determined.

ForeignExchangeRateFixingDate <FXRateFxdt> contains one of the following elements (see "DateFormat4Choice" on page 678 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		679
Or	NotSpecifiedDate <NotSpcfdDt>	[1..1]	CodeSet		679
Or}	Proprietary <Prtry>	[1..1]	±		679

4.4.4.2.18.3.2 ValueDate <ValDt>

Presence: [0..1]

Definition: Date/time at which assets become available to the account owner (in a credit entry), or cease to be available to the account owner (in a debit entry).

ValueDate <ValDt> contains one of the following elements (see "DateFormat4Choice" on page 678 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		679
Or	NotSpecifiedDate <NotSpcfdDt>	[1..1]	CodeSet		679
Or}	Proprietary <Prtry>	[1..1]	±		679

4.4.4.2.18.3.3 PaymentDate <PmtDt>

Presence: [0..1]

Definition: Date/time at which the distribution is due to take place (cash and/or securities).

PaymentDate <PmtDt> contains one of the following elements (see "DateFormat4Choice" on page 678 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		679
Or	NotSpecifiedDate <NotSpcfdDt>	[1..1]	CodeSet		679
Or}	Proprietary <Prtry>	[1..1]	±		679

4.4.4.2.18.3.4 EarliestPaymentDate <EarlstPmtDt>

Presence: [0..1]

Definition: Date/time at which a payment can be made, eg, if payment date is a non-business day or to indicate the first payment date of an offer.

EarliestPaymentDate <EarlstPmtDt> contains one of the following elements (see "DateFormat4Choice" on page 678 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		679
Or	NotSpecifiedDate <NotSpdfdDt>	[1..1]	CodeSet		679
Or}	Proprietary <Prtry>	[1..1]	±		679

4.4.4.2.18.4 AmountDetails <AmtDtls>

Presence: [0..1]

Definition: Provides information about the amounts related to a cash movement.

AmountDetails <AmtDtls> contains the following **CorporateActionAmounts1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	GrossCashAmount <GrssCshAmt>	[0..1]	Amount	C1, C5	327
	NetCashAmount <NetCshAmt>	[0..1]	Amount	C1, C5	328
	IssueDiscountAmount <IssseDscntAmt>	[0..1]	Amount	C1, C5	328
	SolicitationFees <SlctnFees>	[0..1]	Amount	C1, C5	328
	CashInLieuOfShare <CshInLieuOfShr>	[0..1]	Amount	C1, C5	329
	OriginalAmount <OrgnlAmt>	[0..1]	Amount	C1, C5	329
	CapitalGain <CptlGn>	[0..1]	Amount	C1, C5	330
	InterestAmount <IntrstAmt>	[0..1]	Amount	C1, C5	330
	IndemnityAmount <IndmntyAmt>	[0..1]	Amount	C1, C5	330
	RedemptionPremiumAmount <RedPrmAmt>	[0..1]	Amount	C1, C5	331
	ManufacturedDividendAmount <ManfctrdDvddAmt>	[0..1]	Amount	C1, C5	331
	PrincipalOrCorpus <PrncplOrCrps>	[0..1]	Amount	C1, C5	332
	ReinvestmentAmount <RinvstmtAmt>	[0..1]	Amount	C1, C5	332
	MarketClaimAmount <MktClmAmt>	[0..1]	Amount	C1, C5	333
	FullyFrankedAmount <FullyFrnkdtAmt>	[0..1]	Amount	C1, C5	333
	UnfrankedAmount <UfrnkdtAmt>	[0..1]	Amount	C1, C5	333
	SundryOrOtherAmount <SndryOrOthrAmt>	[0..1]	Amount	C1, C5	334
	SpecialConcessionAmount <SpclCncssnAmt>	[0..1]	Amount	C1, C5	334
	EntitledAmount <EntitldAmt>	[0..1]	Amount	C1, C5	335
	CashIncentive <CshIncntiv>	[0..1]	Amount	C1, C5	335
	AdditionalSubscriptionCost <AddtlSbcptCost>	[0..1]	Amount	C1, C5	335
	TaxFreeAmount <TaxFreeAmt>	[0..1]	Amount	C1, C5	336
	TaxDeferredAmount <TaxDfrdAmt>	[0..1]	Amount	C1, C5	336
	GermanLocalTax1Amount <GrmnLclTax1Amt>	[0..1]	Amount	C1, C5	337
	GermanLocalTax2Amount <GrmnLclTax2Amt>	[0..1]	Amount	C1, C5	337
	GermanLocalTax3Amount <GrmnLclTax3Amt>	[0..1]	Amount	C1, C5	337
	GermanLocalTax4Amount <GrmnLclTax4Amt>	[0..1]	Amount	C1, C5	338
	StockExchangeTaxAmount <StockXchgTaxAmt>	[0..1]	Amount	C1, C5	338
	TransferTaxAmount <TrfTaxAmt>	[0..1]	Amount	C1, C5	339
	TransactionTaxAmount <TxTaxAmt>	[0..1]	Amount	C1, C5	339
	ValueAddedTaxAmount <ValAddedTaxAmt>	[0..1]	Amount	C1, C5	339

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	EURetentionTaxAmount <EURtnTaxAmt>	[0..1]	Amount	C1, C5	340
	LocalTaxAmount <LclTaxAmt>	[0..1]	Amount	C1, C5	340
	PaymentLevyTaxAmount <PmtLevyTaxAmt>	[0..1]	Amount	C1, C5	341
	CountryNationalFederalTaxAmount <CtryNtlFdrTaxAmt>	[0..1]	Amount	C1, C5	341
	StampDutyAmount <StmpDtyAmt>	[0..1]	Amount	C1, C5	341
	TaxReclaimAmount <TaxRclmAmt>	[0..1]	Amount	C1, C5	342
	TaxCreditAmount <TaxCdtAmt>	[0..1]	Amount	C1, C5	342
	WithholdingOfForeignTaxAmount <WhldgOfFrgrTaxAmt>	[0..1]	Amount	C1, C5	343
	WithholdingOfLocalTaxAmount <WhldgOfLclTaxAmt>	[0..1]	Amount	C1, C5	343
	AdditionalTaxAmount <AddtlTaxAmt>	[0..1]	Amount	C1, C5	343
	WithholdingTaxAmount <WhldgTaxAmt>	[0..1]	Amount	C1, C5	344
	FiscalStampAmount <FscIStmpAmt>	[0..1]	Amount	C1, C5	344
	ExecutingBrokerAmount <ExctgBrkrAmt>	[0..1]	Amount	C1, C5	345
	PayingAgentCommissionAmount <PngAgtComssnAmt>	[0..1]	Amount	C1, C5	345
	LocalBrokerCommissionAmount <LclBrkrComssnAmt>	[0..1]	Amount	C1, C5	345
	PostageFeeAmount <PstgFeeAmt>	[0..1]	Amount	C1, C5	346
	RegulatoryFeesAmount <RgltryFeesAmt>	[0..1]	Amount	C1, C5	346
	ShippingFeesAmount <ShppgFeesAmt>	[0..1]	Amount	C1, C5	347
	ChargesAmount <ChrgsAmt>	[0..1]	Amount	C1, C5	347

4.4.4.2.18.4.1 GrossCashAmount <GrssCshAmt>*Presence:* [0..1]*Definition:* Amount of money before any deductions and allowances have been made.*Impacted by:* C1 "ActiveCurrency", C5 "CurrencyAmount"*Datatype:* "ActiveCurrencyAndAmount" on page 801**Constraints**

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217

Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

4.4.4.2.18.4.2 NetCashAmount <NetCshAmt>

Presence: [0..1]

Definition: Amount of money after deductions and allowances have been made, if any, ie, the total amount +/- charges/fees.

Impacted by: C1 "ActiveCurrency", C5 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 801

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

4.4.4.2.18.4.3 IssueDiscountAmount <IsseDscntAmt>

Presence: [0..1]

Definition: Amount of money defined as a discount on a new issue or on a tranche of an existing issue.

Impacted by: C1 "ActiveCurrency", C5 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 801

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

4.4.4.2.18.4.4 SolicitationFees <SlctnFees>

Presence: [0..1]

Definition: Amount of cash premium made available in order to encourage participation in the offer. Payment is made to a third party who has solicited an entity to take part in the offer.

Impacted by: C1 "ActiveCurrency", C5 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 801

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

4.4.4.2.18.4.5 CashInLieuOfShare <CshInLieuOfShr>

Presence: [0..1]

Definition: Cash disbursement in lieu of a fractional quantity of, eg, equity.

Impacted by: C1 "ActiveCurrency", C5 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 801

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

4.4.4.2.18.4.6 OriginalAmount <OrgnIAmt>

Presence: [0..1]

Definition: Amount in its original currency when conversion from/into another currency has occurred.

Impacted by: C1 "ActiveCurrency", C5 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 801

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217

Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

4.4.4.2.18.4.7 CapitalGain <CptlGn>

Presence: [0..1]

Definition: Amount of money distributed as the result of a capital gain.

Impacted by: C1 "ActiveCurrency", C5 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 801

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

4.4.4.2.18.4.8 InterestAmount <IntrstAmt>

Presence: [0..1]

Definition: Amount of money representing a coupon payment.

Impacted by: C1 "ActiveCurrency", C5 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 801

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

4.4.4.2.18.4.9 IndemnityAmount <IndmntyAmt>

Presence: [0..1]

Definition: (Unique to France) Amount due to a buyer of securities dealt prior to ex date which may be subject to different rate of taxation.

Impacted by: C1 "ActiveCurrency", C5 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 801

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

4.4.4.2.18.4.10 RedemptionPremiumAmount <RedPrmAmt>

Presence: [0..1]

Definition: Amount of money (not interest) in addition to the principal at the redemption of a bond.

Impacted by: C1 "ActiveCurrency", C5 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 801

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

4.4.4.2.18.4.11 ManufacturedDividendAmount <ManfctrdDvddAmt>

Presence: [0..1]

Definition: Amount of money that the borrower pays to the lender as a compensation. It does not entitle the lender to reclaim any tax credit and is sometimes treated differently by the local tax authorities of the lender.

Impacted by: C1 "ActiveCurrency", C5 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 801

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

4.4.4.2.18.4.12 PrincipalOrCorpus <PrncplOrCrps>

Presence: [0..1]

Definition: Amount of money representing a distribution of a bond's principal, eg, repayment of outstanding debt.

Impacted by: C1 "ActiveCurrency", C5 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 801

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

4.4.4.2.18.4.13 ReinvestmentAmount <RinvstmtAmt>

Presence: [0..1]

Definition: Amount of money reinvested in additional securities.

Impacted by: C1 "ActiveCurrency", C5 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 801

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

4.4.4.2.18.4.14 MarketClaimAmount <MktCImAmt>

Presence: [0..1]

Definition: Amount of money resulting from a market claim.

Impacted by: C1 "ActiveCurrency", C5 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 801

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

4.4.4.2.18.4.15 FullyFrankedAmount <FullyFrnkAmt>

Presence: [0..1]

Definition: Amount resulting from a fully franked dividend paid by a company; amount includes tax credit for companies that have made sufficient tax payments during the fiscal period.

Impacted by: C1 "ActiveCurrency", C5 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 801

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

4.4.4.2.18.4.16 UnfrankedAmount <UfrnkAmt>

Presence: [0..1]

Definition: Amount resulting from an unfranked dividend paid by a company; the amount does not include tax credit and is subject to withholding tax.

Impacted by: C1 "ActiveCurrency", C5 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 801

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

4.4.4.2.18.4.17 SundryOrOtherAmount <SndryOrOthrAmt>

Presence: [0..1]

Definition: Amount of money related to taxable income that cannot be categorised.

Impacted by: C1 "ActiveCurrency", C5 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 801

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

4.4.4.2.18.4.18 SpecialConcessionAmount <SpclCncssnAmt>

Presence: [0..1]

Definition: Amount of drawdown or other reduction from or in addition to the deal price.

Impacted by: C1 "ActiveCurrency", C5 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 801

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

4.4.4.2.18.4.19 EntitledAmount <EntitldAmt>

Presence: [0..1]

Definition: Cash amount based on terms of corporate action event and balance of underlying securities, entitled to/from account owner (which may be positive or negative).

Impacted by: C1 "ActiveCurrency", C5 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 801

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

4.4.4.2.18.4.20 CashIncentive <CshIncntiv>

Presence: [0..1]

Definition: Rate of the cash premium made available if the securities holder consents or participates to an event, e.g. consent fees.

Impacted by: C1 "ActiveCurrency", C5 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 801

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

4.4.4.2.18.4.21 AdditionalSubscriptionCost <AddtlSbcptCost>

Presence: [0..1]

Definition: Additional costs - coming on top of the subscription costs - which the subscriber should pay as per the subscription process. Not to be used for the subscription cost itself.

Impacted by: C1 "ActiveCurrency", C5 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 801

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

4.4.4.2.18.4.22 TaxFreeAmount <TaxFreeAmt>

Presence: [0..1]

Definition: Amount of money that has not been subject to taxation.

Impacted by: C1 "ActiveCurrency", C5 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 801

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

4.4.4.2.18.4.23 TaxDeferredAmount <TaxDfrdAmt>

Presence: [0..1]

Definition: Amount of income eligible for deferred taxation.

Impacted by: C1 "ActiveCurrency", C5 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 801

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

4.4.4.2.18.4.24 GermanLocalTax1Amount <GrmnLclTax1Amt>

Presence: [0..1]

Definition: Local tax (ZAS Anrechnungsbetrag) subject to interest down payment tax (proportion of interest liable for interest down payment tax/interim profit that is not covered by the tax exempt amount).

Impacted by: C1 "ActiveCurrency", C5 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 801

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

4.4.4.2.18.4.25 GermanLocalTax2Amount <GrmnLclTax2Amt>

Presence: [0..1]

Definition: Local tax (ZAS Pflichtige Zinsen) interest liable for interest down payment tax (proportion of gross interest per unit/interim profits that is not covered by the credit in the interest pool).

Impacted by: C1 "ActiveCurrency", C5 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 801

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

4.4.4.2.18.4.26 GermanLocalTax3Amount <GrmnLclTax3Amt>

Presence: [0..1]

Definition: Local tax (Zinstopf) offset interest per unit against tax exempt amount (variation to offset interest per unit in relation to tax exempt amount).

Impacted by: C1 "ActiveCurrency", C5 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 801

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

4.4.4.2.18.4.27 GermanLocalTax4Amount <GrmnLclTax4Amt>

Presence: [0..1]

Definition: Local tax (Ertrag Besitzanteilig) yield liable for interest down payment tax.

Impacted by: C1 "ActiveCurrency", C5 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 801

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

4.4.4.2.18.4.28 StockExchangeTaxAmount <StockXchgTaxAmt>

Presence: [0..1]

Definition: Amount of stock exchange tax.

Impacted by: C1 "ActiveCurrency", C5 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 801

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

4.4.4.2.18.4.29 TransferTaxAmount <TrfTaxAmt>

Presence: [0..1]

Definition: Tax levied on a transfer of ownership of financial instrument.

Impacted by: C1 "ActiveCurrency", C5 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 801

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

4.4.4.2.18.4.30 TransactionTaxAmount <TxTaxAmt>

Presence: [0..1]

Definition: Amount of transaction tax.

Impacted by: C1 "ActiveCurrency", C5 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 801

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

4.4.4.2.18.4.31 ValueAddedTaxAmount <ValAddedTaxAmt>

Presence: [0..1]

Definition: Tax on value added.

Impacted by: C1 "ActiveCurrency", C5 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 801

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

4.4.4.2.18.4.32 EURetentionTaxAmount <EURtntnTaxAmt>

Presence: [0..1]

Definition: Total amount of tax withheld at source in conformance with the EU Savings Directive.

Impacted by: C1 "ActiveCurrency", C5 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 801

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

4.4.4.2.18.4.33 LocalTaxAmount <LclTaxAmt>

Presence: [0..1]

Definition: Amount of tax charged by the jurisdiction in which the financial instrument settles.

Impacted by: C1 "ActiveCurrency", C5 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 801

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

4.4.4.2.18.4.34 PaymentLevyTaxAmount <PmtLevyTaxAmt>

Presence: [0..1]

Definition: Payment levy tax.

Impacted by: C1 "ActiveCurrency", C5 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 801

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

4.4.4.2.18.4.35 CountryNationalFederalTaxAmount <CtryNtlFdrTaxAmt>

Presence: [0..1]

Definition: Amount of country, national or federal tax charged by the jurisdiction in which the account servicer is located.

Impacted by: C1 "ActiveCurrency", C5 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 801

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

4.4.4.2.18.4.36 StampDutyAmount <StmpDtyAmt>

Presence: [0..1]

Definition: Amount of stamp duty.

Impacted by: C1 "ActiveCurrency", C5 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 801

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

4.4.4.2.18.4.37 TaxReclaimAmount <TaxRclmAmt>

Presence: [0..1]

Definition: Amount that was paid in excess of actual tax obligation and was reclaimed.

Impacted by: C1 "ActiveCurrency", C5 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 801

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

4.4.4.2.18.4.38 TaxCreditAmount <TaxCdtAmt>

Presence: [0..1]

Definition: Amount of taxes that have been previously paid in relation to the taxable event.

Impacted by: C1 "ActiveCurrency", C5 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 801

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

4.4.4.2.18.4.39 WithholdingOfForeignTaxAmount <WhldgOfFrgnTaxAmt>

Presence: [0..1]

Definition: Amount of money withheld by the jurisdiction in which the income was originally paid, for which relief at source and/or reclaim may be possible.

Impacted by: C1 "ActiveCurrency", C5 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 801

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

4.4.4.2.18.4.40 WithholdingOfLocalTaxAmount <WhldgOfLclTaxAmt>

Presence: [0..1]

Definition: Amount of money withheld by the jurisdiction in which the account owner is located, for which relief at source and/or reclaim may be possible.

Impacted by: C1 "ActiveCurrency", C5 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 801

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

4.4.4.2.18.4.41 AdditionalTaxAmount <AddtlTaxAmt>

Presence: [0..1]

Definition: Amount of additional taxes that cannot be categorised.

Impacted by: C1 "ActiveCurrency", C5 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 801

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

4.4.4.2.18.4.42 WithholdingTaxAmount <WhldgTaxAmt>

Presence: [0..1]

Definition: Amount of a cash distribution that will be withheld by a tax authority.

Impacted by: C1 "ActiveCurrency", C5 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 801

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

4.4.4.2.18.4.43 FiscalStampAmount <FscIStmpAmt>

Presence: [0..1]

Definition: Amount of fiscal tax to apply.

Impacted by: C1 "ActiveCurrency", C5 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 801

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

4.4.4.2.18.4.44 ExecutingBrokerAmount <ExctgBrkrAmt>

Presence: [0..1]

Definition: Amount of money paid to an executing broker as a commission.

Impacted by: C1 "ActiveCurrency", C5 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 801

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

4.4.4.2.18.4.45 PayingAgentCommissionAmount <PngAgtComssnAmt>

Presence: [0..1]

Definition: Amount of paying/sub-paying agent commission.

Impacted by: C1 "ActiveCurrency", C5 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 801

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

4.4.4.2.18.4.46 LocalBrokerCommissionAmount <LclBrkrComssnAmt>

Presence: [0..1]

Definition: Local broker's commission.

Impacted by: C1 "ActiveCurrency", C5 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 801

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

4.4.4.2.18.4.47 PostageFeeAmount <PstgFeeAmt>

Presence: [0..1]

Definition: Amount of money paid for delivery by regular post mail.

Impacted by: C1 "ActiveCurrency", C5 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 801

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

4.4.4.2.18.4.48 RegulatoryFeesAmount <RgltryFeesAmt>

Presence: [0..1]

Definition: Amount of money charged by a regulatory authority, eg, Securities and Exchange fees.

Impacted by: C1 "ActiveCurrency", C5 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 801

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

4.4.4.2.18.4.49 ShippingFeesAmount <ShppgFeesAmt>

Presence: [0..1]

Definition: Amount of money (including insurance) paid for delivery by carrier.

Impacted by: C1 "ActiveCurrency", C5 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 801

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

4.4.4.2.18.4.50 ChargesAmount <ChrgsAmt>

Presence: [0..1]

Definition: Amount of money paid for the provision of financial services that cannot be categorised by another qualifier.

Impacted by: C1 "ActiveCurrency", C5 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 801

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

4.4.4.2.18.5 ExchangeRate <XchgRate>

Presence: [0..1]

Definition: Provides information about a foreign exchange.

ExchangeRate <XchgRate> contains the following **ForeignExchangeTerms8** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	UnitCurrency <UnitCcy>	[1..1]	CodeSet	C1	348
	QuotedCurrency <QtdCcy>	[1..1]	CodeSet	C1	348
	ExchangeRate <XchgRate>	[1..1]	Rate		348
	ResultingAmount <RsltAmt>	[1..1]	Amount	C1, C5	349

4.4.4.2.18.5.1 UnitCurrency <UnitCcy>

Presence: [1..1]

Definition: Currency in which the rate of exchange is expressed in a currency exchange. In the example 1GBP = xxxCUR, the unit currency is GBP.

Impacted by: C1 "ActiveCurrency"

Datatype: "ActiveCurrencyCode" on page 802

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

4.4.4.2.18.5.2 QuotedCurrency <QtdCcy>

Presence: [1..1]

Definition: Currency into which the base currency is converted, in a currency exchange.

Impacted by: C1 "ActiveCurrency"

Datatype: "ActiveCurrencyCode" on page 802

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

4.4.4.2.18.5.3 ExchangeRate <XchgRate>

Presence: [1..1]

Definition: Factor used for the conversion of an amount from one currency into another. This reflects the price at which one currency was bought with another currency.

Usage: ExchangeRate expresses the ratio between UnitCurrency and QuotedCurrency (ExchangeRate = UnitCurrency/QuotedCurrency).

Datatype: "BaseOneRate" on page 851

4.4.4.2.18.5.4 ResultingAmount <RsltgAmt>*Presence:* [1..1]*Definition:* Amount of money resulting from a foreign exchange transaction.*Impacted by:* C1 "ActiveCurrency", C5 "CurrencyAmount"*Datatype:* "ActiveCurrencyAndAmount" on page 801**Constraints**

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

4.4.4.2.19 CorporateActionOtherAgentDetails <CorpActnOthrAgtDtls>*Presence:* [0..*]*Definition:* Provides information about the agents linked to the CA option.

CorporateActionOtherAgentDetails <CorpActnOthrAgtDtls> contains the following **CorporateActionAgent1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AgentIdentification <AgtId>	[1..1]	±		349
	AgentRole <AgtRole>	[1..1]			350
{Or	Code <Cd>	[1..1]	CodeSet		350
Or}	Proprietary <Prtry>	[1..1]	±		350
	ContactPerson <CtctPrsn>	[0..1]	±		351

4.4.4.2.19.1 AgentIdentification <AgtId>*Presence:* [1..1]*Definition:* Identification of the agent.

AgentIdentification <AgtId> contains one of the following elements (see "PartyIdentification2Choice" on page 733 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	BICOrBEI <BICOrBEI>	[1..1]	IdentifierSet	C2	733
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		733
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		734

4.4.4.2.19.2 AgentRole <AgtRole>*Presence:* [1..1]*Definition:* Role played by the agent.**AgentRole <AgtRole>** contains one of the following **AgentRole1FormatChoice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		350
Or}	Proprietary <Prtry>	[1..1]	±		350

4.4.4.2.19.2.1 Code <Cd>*Presence:* [1..1]*Definition:* Standard code to specify the role of the agent.*Datatype:* "AgentRole2Code" on page 803

CodeName	Name	Definition
SPAY	SystemPayingAgent	The System Paying Agent (SPA) is the party within the CSD that is responsible for the distribution of cash and security proceeds related to a specific distribution to other CSD Parties.
CODO	CoDomicile	Sub-agent appointed to execute the payment for the corporate action event on behalf of the issuer company/offoror.
ISAG	IssuerAgent	Party appointed to administer the event on behalf of the issuer company/offoror. The party may be contacted for more information about the event.
REGR	Registrar	Party/agent responsible for maintaining the register of a security.
PAYA	PayingAgent	Agent (principal or fiscal paying agent) appointed to execute the payment for the corporate action event on behalf of the issuer company/offoror.

4.4.4.2.19.2.2 Proprietary <Prtry>*Presence:* [1..1]*Definition:* Proprietary code to express the role of the agent.**Proprietary <Prtry>** contains the following elements (see "GenericIdentification13" on page 693 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		693
	SchemeName <SchmeNm>	[0..1]	Text		693
	Issuer <Issr>	[1..1]	Text		693

4.4.4.2.19.3 ContactPerson <CtctPrsn>*Presence:* [0..1]*Definition:* Contact person at the agent.**ContactPerson <CtctPrsn>** contains the following elements (see "NameAndAddress5" on page 749 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[1..1]	Text		749
	Address <Adr>	[0..1]	±		749

4.4.4.2.20 FractionDisposition <FrctnDspstn>*Presence:* [0..1]*Definition:* Specifies how fractions resulting from derived securities will be processed or how prorated decisions will be rounding, if provided with a pro ration rate.**FractionDisposition <FrctnDspstn>** contains one of the following **FractionDispositionType1FormatChoice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		351
Or}	Proprietary <Prtry>	[1..1]	±		351

4.4.4.2.20.1 Code <Cd>*Presence:* [1..1]*Definition:* Standard code to specify how fractions resulting from derived securities will be processed or how prorated decisions will be rounding, if provided with a pro ration rate.*Datatype:* "FractionDispositionType1Code" on page 826

CodeName	Name	Definition
BUYU	BuyUp	Buy securities up to next whole number.
CINL	CashInLieuOfFraction	Fractional part of cash. Take cash in lieu of fractions.
DIST	IssueFraction	Take distribution of fractions in the form of securities.
RDDN	RoundDown	Round down to the nearest whole number.
RDUP	RoundUp	Round up to the nearest whole number.
STAN	RoundToNearest	If the fraction is greater than or equal to 0.5 of the resulting security then round up, else round down.

4.4.4.2.20.2 Proprietary <Prtry>*Presence:* [1..1]

Definition: Proprietary code to express how fractions resulting from derived securities will be processed or how prorated decisions will be rounding, if provided with a pro ration rate.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification13](#)" on page 693 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		693
	SchemeName <SchmeNm>	[0..1]	Text		693
	Issuer <Issr>	[1..1]	Text		693

4.4.4.2.21 RedemptionChargesAppliedIndicator <RedChrgsAppldInd>

Presence: [0..1]

Definition: Indicates whether redemption charges apply.

Datatype: One of the following values must be used (see "[YesNoIndicator](#)" on page 850):

- *Meaning When True:* Yes
- *Meaning When False:* No

4.4.4.2.22 OptionFeatures <OptnFeatrs>

Presence: [0..*]

Definition: Specifies the features that may apply to a corporate action option.

OptionFeatures <OptnFeatrs> contains one of the following **OptionFeatures1FormatChoice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		352
Or}	Proprietary <Prtry>	[1..1]	±		353

4.4.4.2.22.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the feature of an option.

Datatype: "[OptionFeatures1Code](#)" on page 830

CodeName	Name	Definition
COND	Conditional	Feature whereby the holder can elect to place a condition on the acceptance of the option.
MAXC	MaximumCash	Maximum cash option, may be subject to scaling, as such you may receive a combination of cash and securities outturn.
MAXS	MaximumSecurities	Maximum stock option, may be subject to scaling, as such you may receive a

CodeName	Name	Definition
		combination of securities and cash outturn.
OPLF	OddLotPreference	Tender or exchange with the odd lot preference.
PROR	Proration	Feature whereby the option can be subject to pro ration in case, for example, of over-subscription.
QOVE	OverAndAbove	Feature whereby the holder can elect a quantity to receive over and above normal ensured entitlement.
QREC	QuantityToReceive	Feature whereby the holder can elect a quantity to receive.
VVPR	ReducedWithholdingTax	Reduced withholding tax rate applies to the option.

4.4.4.2.22.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary code to express the feature of an option.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification13](#)" on page 693 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		693
	SchemeName <SchmeNm>	[0..1]	Text		693
	Issuer <Issr>	[1..1]	Text		693

4.4.4.2.23 CorporateActionAdditionalInformation <CorpActnAddtlInf>

Presence: [0..1]

Definition: Provides additional information.

CorporateActionAdditionalInformation <CorpActnAddtlInf> contains the following **CorporateActionNarrative1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	InformationConditions <InfConds>	[0..1]	Text		354
	InformationToComplyWith <InfToCmplyWth>	[0..1]	Text		354
	TaxationConditions <TaxtnConds>	[0..1]	Text		354
	NewCompanyName <NewCpnyNm>	[0..1]	Text		354
	Offeror <Offerr>	[0..1]	±		354
	URLAddress <URLAdr>	[0..1]	Text		354
	AdditionalText <AddtlTxt>	[0..1]	Text		354

4.4.4.2.23.1 InformationConditions <InfConds>*Presence:* [0..1]*Definition:* Provides conditional information related to the event, eg, an offer is subject to 50% acceptance, the offeror allows the securities holder to set some conditions.*Datatype:* "Max350Text" on page 853**4.4.4.2.23.2 InformationToComplyWith <InfToCmplyWth>***Presence:* [0..1]*Definition:* Provides information conditions to the account owner that are to be complied with, eg, not open to US/Canadian residents, QIB or SIL to be provided.*Datatype:* "Max350Text" on page 853**4.4.4.2.23.3 TaxationConditions <TaxtnConds>***Presence:* [0..1]*Definition:* Provides taxation conditions that cannot be included within the structured fields of this message.*Datatype:* "Max350Text" on page 853**4.4.4.2.23.4 NewCompanyName <NewCpnyNm>***Presence:* [0..1]*Definition:* Provide the new name of a company following a name change.*Datatype:* "Max350Text" on page 853**4.4.4.2.23.5 Offeror <Offerr>***Presence:* [0..1]*Definition:* Provides the entity making the offer and is different from the issuing company.**Offeror <Offerr>** contains one of the following elements (see "PartyIdentification2Choice" on page 733 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	BICorBEI <BICorBEI>	[1..1]	IdentifierSet	C2	733
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		733
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		734

4.4.4.2.23.6 URLAddress <URLAdr>*Presence:* [0..1]*Definition:* Provides the web address published for the event, ie the address for the Universal Resource Locator (URL), eg, used over the www (HTTP) service.*Datatype:* "Max256Text" on page 853**4.4.4.2.23.7 AdditionalText <AddtlTxt>***Presence:* [0..1]*Definition:* Provides additional information or specifies in more detail the content of a

message.

Datatype: "Max350Text" on page 853

5 **seev.011.001.02**

AgentCANotificationStatusAdviceV02

5.1 **MessageDefinition Functionality**

Scope

This message is sent by a CSD to an issuer (or its agent) to report the status, or change in status, of a notification advice or notification cancellation request.

Usage

When this message is used to report the status of a notification advice then the building block Agent Corporate Action Notification Advice Identification must be present.

When this message is used to provide the status of a notification cancellation request then the building block Notification Cancellation Request Identification must be present.

Outline

The AgentCANotificationStatusAdviceV02 MessageDefinition is composed of 2 MessageBuildingBlocks:

A. AgentDocumentIdentificationAndStatus

Identification and status of the linked document (ie. corporate action notification advice or notification cancellation request) sent by the agent.

B. CorporateActionGeneralInformation

General information about the corporate action event.

5.2 Structure

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	Message root <Document> <AgtCANtfctnStsAdv<	[1..1]			
	AgentDocumentIdentificationAndStatus <AgtDocIdAndSts>	[1..1]			359
{Or	AgentCANotificationAdviceIdentificationAndStatus <AgtCANtfctnAdvclIdAndSts>	[1..1]			359
	Identification <Id>	[1..1]	Text		360
	CreationDateTime <CreDtTm>	[0..1]	DateTime		360
	Status <Sts>	[1..1]			360
{Or	ProcessedStatus <PrcdSts>	[1..1]	±		360
Or}	RejectedStatus <RjctdSts>	[1..1]	±		360
Or}	AgentCANotificationCancellationRequestIdentificationAndStatus <AgtCANtfctnCxlReqIdAndSts>	[1..1]			361
	Identification <Id>	[1..1]	Text		361
	CreationDateTime <CreDtTm>	[0..1]	DateTime		361
	Status <Sts>	[1..1]			361
{Or	ProcessedStatus <PrcdSts>	[1..1]	±		362
Or}	RejectedStatus <RjctdSts>	[1..1]	±		362
	CorporateActionGeneralInformation <CorpActnGnlInf>	[1..1]		C8	362
	AgentIdentification <AgtId>	[1..1]	±		363
	CorporateActionEventIdentification <CorpActnEvtId>	[1..1]	Text		363
	OfficialCorporateActionEventIdentification <OffclCorpActnEvtId>	[0..1]	Text		364
	EventProcessingType <EvtPrcgTp>	[0..1]	±		364
	EventType <EvtTp>	[1..1]	±	C5	364
	MandatoryVoluntaryEventType <MndtryVlntryEvtTp>	[1..1]	±		365
	UnderlyingSecurity <UndrlygScty>	[1..1]			365
	FinancialInstrumentIdentification <FinInstrmId>	[1..1]	±	C3, C4, C6, C7, C9	365
	PlaceOfListing <PlcOfListg>	[0..1]	±		366
	SafekeepingPlace <SfkpgPlc>	[0..1]	±		367

5.3 Constraints

C1 AnyBIC

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

C2 Country

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

C3 DescriptionPresenceRule

If Description is not present then either ISIN or at least one occurrence of OtherIdentification must be present.

C4 DescriptionUsageRule

Description must be used alone as the last resort.

C5 EventTypeRule

If Code is present, the code OTHR (Other) must only be used if no other corporate action event code is appropriate.

If Code is present, the code CHAN (Change) must only be used if no other corporate action event code is appropriate and only for an event which relates to a change.

If Code is present, the code REDM (Final Maturity) must only be used if the redemption is decided by the issuer and not by the securities holders.

C6 ISINGuideline

When an ISIN code exists, it is strongly recommended that the ISIN be used.

C7 ISINPresenceRule

If ISIN is not present then either Description or at least one occurrence of OtherIdentification must be present.

C8 OfficialCorporateActionEventReference

The Securities Market Practice Group (SMPG) has published market practice recommendations on the structure and usage of the Official Corporate Action Event Reference (COAF). These recommendations are available in the SMPG Global Market Practices Part 1 document on www.smpg.info.

C9 OtherIdentificationPresenceRule

If OtherIdentification is not present then either ISIN or Description must be present.

5.4 Message Building Blocks

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

5.4.1 AgentDocumentIdentificationAndStatus <AgtDocIdAndSts>

Presence: [1..1]

Definition: Identification and status of the linked document (ie. corporate action notification advice or notification cancellation request) sent by the agent.

AgentDocumentIdentificationAndStatus <AgtDocIdAndSts> contains one of the following **AgentDocumentIdentificationAndStatus1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AgentCANotificationAdviceIdentificationAndStatus <AgtCANtfctnAdvclIdAndSts>	[1..1]			359
	Identification <Id>	[1..1]	Text		360
	CreationDateTime <CreDtTm>	[0..1]	DateTime		360
	Status <Sts>	[1..1]			360
{Or	ProcessedStatus <PrcdSts>	[1..1]	±		360
Or}	RejectedStatus <RjctdSts>	[1..1]	±		360
Or}	AgentCANotificationCancellationRequestIdentificationAndStatus <AgtCANtfctnCxlReqIdAndSts>	[1..1]			361
	Identification <Id>	[1..1]	Text		361
	CreationDateTime <CreDtTm>	[0..1]	DateTime		361
	Status <Sts>	[1..1]			361
{Or	ProcessedStatus <PrcdSts>	[1..1]	±		362
Or}	RejectedStatus <RjctdSts>	[1..1]	±		362

5.4.1.1 AgentCANotificationAdviceIdentificationAndStatus <AgtCANtfctnAdvclIdAndSts>

Presence: [1..1]

Definition: Identification of the linked Agent CA Notification Advice for which a status is given.

AgentCANotificationAdviceIdentificationAndStatus <AgtCANtfctnAdvclIdAndSts> contains the following **AgentNotificationIdentificationAndStatus1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		360
	CreationDateTime <CreDtTm>	[0..1]	DateTime		360
	Status <Sts>	[1..1]			360
{Or	ProcessedStatus <PrcdSts>	[1..1]	±		360
Or}	RejectedStatus <RjctdSts>	[1..1]	±		360

5.4.1.1.1 Identification <Id>*Presence:* [1..1]*Definition:* Unique identification of the document.*Datatype:* "Max35Text" on page 853**5.4.1.1.2 CreationDateTime <CreDtTm>***Presence:* [0..1]*Definition:* Date/time of the creation of the document.*Datatype:* "ISODateTime" on page 846**5.4.1.1.3 Status <Sts>***Presence:* [1..1]*Definition:* Status of the corporate action notification advice sent by the agent.**Status <Sts>** contains one of the following **NotificationAdviceStatus2Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	ProcessedStatus <PrcdSts>	[1..1]	±		360
Or}	RejectedStatus <RjctdSts>	[1..1]	±		360

5.4.1.1.3.1 ProcessedStatus <PrcdSts>*Presence:* [1..1]*Definition:* Provides information about the processing status of advice.**ProcessedStatus <PrcdSts>** contains the following elements (see "NotificationProcessingStatus2" on page 794 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Status <Sts>	[1..1]			794
{Or	Code <Cd>	[1..1]	CodeSet		794
Or}	Proprietary <Prtry>	[1..1]	±		794
	AdditionalInformation <AddtlInf>	[0..1]	Text		795

5.4.1.1.3.2 RejectedStatus <RjctdSts>*Presence:* [1..1]*Definition:* Provides information about the rejection status.

RejectedStatus <RjctdSts> contains the following elements (see "NotificationRejectionReason2" on page 797 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reason <Rsn>	[1..*]			798
{Or	Code <Cd>	[1..1]	CodeSet		798
Or}	Proprietary <Prtry>	[1..1]	±		800
	AdditionalInformation <AddtlInf>	[0..1]	Text		800

5.4.1.2 AgentCANotificationCancellationRequestIdentificationAndStatus <AgtCANtfctnCxlReqIdAndSts>

Presence: [1..1]

Definition: Identification of the linked Agent CA Notification Cancellation Request for which a status is given.

**AgentCANotificationCancellationRequestIdentificationAndStatus
<AgtCANtfctnCxlReqIdAndSts>** contains the following
AgentNotificationCancellationIdentificationAndStatus1 elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		361
	CreationDateTime <CreDtTm>	[0..1]	DateTime		361
	Status <Sts>	[1..1]			361
{Or	ProcessedStatus <PrcdSts>	[1..1]	±		362
Or}	RejectedStatus <RjctdSts>	[1..1]	±		362

5.4.1.2.1 Identification <Id>

Presence: [1..1]

Definition: Unique identification of the document.

Datatype: "Max35Text" on page 853

5.4.1.2.2 CreationDateTime <CreDtTm>

Presence: [0..1]

Definition: Date/time of the creation of the document.

Datatype: "ISODateTime" on page 846

5.4.1.2.3 Status <Sts>

Presence: [1..1]

Definition: Status of the corporate action cancellation request sent by the agent.

Status <Sts> contains one of the following **NotificationCancellationRequestStatus2Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	ProcessedStatus <PrcdSts>	[1..1]	±		362
Or}	RejectedStatus <RjctdSts>	[1..1]	±		362

5.4.1.2.3.1 ProcessedStatus <PrcdSts>

Presence: [1..1]

Definition: Provides information about the processing status of the cancellation request.

ProcessedStatus <PrcdSts> contains the following elements (see "NotificationCancellationProcessingStatus2" on page 796 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Status <Sts>	[1..1]			796
{Or	Code <Cd>	[1..1]	CodeSet		797
Or}	Proprietary <Prtry>	[1..1]	±		797
	AdditionalInformation <AddtlInf>	[0..1]	Text		797

5.4.1.2.3.2 RejectedStatus <RjctdSts>

Presence: [1..1]

Definition: Provides information about the rejection status.

RejectedStatus <RjctdSts> contains the following elements (see "NotificationCancellationRejectionReason2" on page 795 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reason <Rsn>	[1..*]			795
{Or	Code <Cd>	[1..1]	CodeSet		795
Or}	Proprietary <Prtry>	[1..1]	±		796
	AdditionalInformation <AddtlInf>	[0..1]	Text		796

5.4.2 CorporateActionGeneralInformation <CorpActnGnlInf>

Presence: [1..1]

Definition: General information about the corporate action event.

Impacted by: C8 "OfficialCorporateActionEventReference"

CorporateActionGeneralInformation <CorpActnGnllnf> contains the following
CorporateActionGeneralInformation171 elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AgentIdentification <AgtId>	[1..1]	±		363
	CorporateActionEventIdentification <CorpActnEvtId>	[1..1]	Text		363
	OfficialCorporateActionEventIdentification <OffclCorpActnEvtId>	[0..1]	Text		364
	EventProcessingType <EvtPrcgTp>	[0..1]	±		364
	EventType <EvtTp>	[1..1]	±	C5	364
	MandatoryVoluntaryEventType <MndtryVlntryEvtTp>	[1..1]	±		365
	UnderlyingSecurity <UndrlygScty>	[1..1]			365
	FinancialInstrumentIdentification <FinInstrmId>	[1..1]	±	C3, C4, C6, C7, C9	365
	PlaceOfListing <PlcOfListg>	[0..1]	±		366
	SafekeepingPlace <SfkpgPlc>	[0..1]	±		367

Constraints

- **OfficialCorporateActionEventReference**

The Securities Market Practice Group (SMPG) has published market practice recommendations on the structure and usage of the Official Corporate Action Event Reference (COAF). These recommendations are available in the SMPG Global Market Practices Part 1 document on www.smpg.info.

5.4.2.1 AgentIdentification <AgtId>

Presence: [1..1]

Definition: Identification of the party appointed to administer the event on behalf of the issuer company/ offeror.

AgentIdentification <AgtId> contains one of the following elements (see "PartyIdentification129Choice" on page 737 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	737
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		738
Or	NameAndAddress <NmAndAdr>	[1..1]	±		738
Or}	LEI <LEI>	[1..1]	IdentifierSet		738

5.4.2.2 CorporateActionEventIdentification <CorpActnEvtId>

Presence: [1..1]

Definition: Reference assigned by the account servicer to unambiguously identify a corporate action event.

Datatype: "Max35Text" on page 853

5.4.2.3 OfficialCorporateActionEventIdentification <OffclCorpActnEvtId>

Presence: [0..1]

Definition: Official and unique reference assigned by the official central body/entity within each market at the beginning of a corporate action event.

Datatype: "Max35Text" on page 853

5.4.2.4 EventProcessingType <EvtPrcgTp>

Presence: [0..1]

Definition: Type of processing involved by a corporate action.

EventProcessingType <EvtPrcgTp> contains one of the following elements (see "CorporateActionEventProcessingType2Choice" on page 712 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		712
Or}	Proprietary <Prtry>	[1..1]	±		713

5.4.2.5 EventType <EvtTp>

Presence: [1..1]

Definition: Type of corporate action event.

Impacted by: C5 "EventTypeRule"

EventType <EvtTp> contains one of the following elements (see "CorporateActionEventType84Choice" on page 704 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		705
Or}	Proprietary <Prtry>	[1..1]	±		711

Constraints

- **EventTypeRule**

If Code is present, the code OTHR (Other) must only be used if no other corporate action event code is appropriate.

If Code is present, the code CHAN (Change) must only be used if no other corporate action event code is appropriate and only for an event which relates to a change.

If Code is present, the code REDM (Final Maturity) must only be used if the redemption is decided by the issuer and not by the securities holders.

5.4.2.6 MandatoryVoluntaryEventType <MndtryVlntryEvtTp>

Presence: [1..1]

Definition: Specifies whether the event is mandatory, mandatory with options or voluntary.

MandatoryVoluntaryEventType <MndtryVlntryEvtTp> contains one of the following elements (see "[CorporateActionMandatoryVoluntary3Choice](#)" on page 711 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		711
Or}	Proprietary <Prtry>	[1..1]	±		712

5.4.2.7 UnderlyingSecurity <UndrlygScty>

Presence: [1..1]

Definition: Security concerned by the corporate action.

UnderlyingSecurity <UndrlygScty> contains the following **FinancialInstrumentDescription4** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	FinancialInstrumentIdentification <FinInstrmId>	[1..1]	±	C3, C4, C6, C7, C9	365
	PlaceOfListing <PlcOfListg>	[0..1]	±		366
	SafekeepingPlace <SfkpgPlc>	[0..1]	±		367

5.4.2.7.1 FinancialInstrumentIdentification <FinInstrmId>

Presence: [1..1]

Definition: Identifies the financial instrument.

Impacted by: C3 "DescriptionPresenceRule", C4 "DescriptionUsageRule", C6 "ISINGuideline", C7 "ISINPresenceRule", C9 "OtherIdentificationPresenceRule"

FinancialInstrumentIdentification <FinInstrmId> contains the following elements (see "SecurityIdentification19" on page 687 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ISIN <ISIN>	[0..1]	IdentifierSet		688
	OtherIdentification <OthrId>	[0..*]			688
	Identification <Id>	[1..1]	Text		689
	Suffix <Sfx>	[0..1]	Text		689
	Type <Tp>	[1..1]	±		689
	Description <Desc>	[0..1]	Text		689

Constraints

- **DescriptionPresenceRule**

If Description is not present then either ISIN or at least one occurrence of OtherIdentification must be present.

```
On Condition
  /Description is absent
Following Must be True
  /ISIN Must be present
Or    /OtherIdentification[*] Must be present
```

- **DescriptionUsageRule**

Description must be used alone as the last resort.

- **ISINGuideline**

When an ISIN code exists, it is strongly recommended that the ISIN be used.

- **ISINPresenceRule**

If ISIN is not present then either Description or at least one occurrence of OtherIdentification must be present.

```
On Condition
  /ISIN is absent
Following Must be True
  /OtherIdentification[*] Must be present
Or    /Description Must be present
```

- **OtherIdentificationPresenceRule**

If OtherIdentification is not present then either ISIN or Description must be present.

```
On Condition
  /OtherIdentification[*] is absent
Following Must be True
  /ISIN Must be present
Or    /Description Must be present
```

5.4.2.7.2 PlaceOfListing <PlcOfListg>

Presence: [0..1]

Definition: Place where the referenced financial instrument is listed.

PlaceOfListing <PlcOfListg> contains one of the following elements (see "MarketIdentification3Choice" on page 694 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	MarketIdentifierCode <MktldrCd>	[1..1]	IdentifierSet		694
Or}	Description <Desc>	[1..1]	Text		694

5.4.2.7.3 SafekeepingPlace <SfkpgPlc>

Presence: [0..1]

Definition: Identification of location where the financial instrument will be safekept.

SafekeepingPlace <SfkpgPlc> contains one of the following elements (see "SafekeepingPlaceFormat28Choice" on page 787 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Identification <Id>	[1..1]			787
	SafekeepingPlaceType <SfkpgPlcTp>	[1..1]	CodeSet		788
	Identification <Id>	[0..1]	Text		788
Or	Country <Ctry>	[1..1]	CodeSet	C4	788
Or	TypeAndIdentification <TpAndId>	[1..1]			788
	SafekeepingPlaceType <SfkpgPlcTp>	[1..1]	CodeSet		789
	Identification <Id>	[1..1]	IdentifierSet	C1	789
Or}	Proprietary <Prtry>	[1..1]	±		789

6 **seev.012.001.01** **AgentCAElectionAdviceV01**

6.1 **MessageDefinition Functionality**

Scope

This message is sent by a CSD to the issuer (or its agent) to provide information about the clients' election instruction, the registration details, the delivery details, etc. In case of an election with underlying resource movements, it also confirms that these have been completed. This message may also be sent in case of an amendment of an election, once the CSD has completed the required resource movements.

Usage

This message can be used for a new election advice or an amended election advice.

If this message is used for a new election advice, the function of the message must be 'new election'.

If this message is used for an amended election advice, the function of the message must be 'option change' and the identification of the previously sent election advice must be present.

This message can include the cash movements and/or securities movements in the case of an election with underlying resource movements. Additionally, this message can include delivery, certification and beneficial owner details.

Note: this information can be also sent separately in the Agent Corporate Action Information advice message.

Outline

The AgentCAElectionAdviceV01 MessageDefinition is composed of 6 MessageBuildingBlocks:

A. Identification

Identification assigned by the Sender to unambiguously identify the advice.

B. ElectionAdviceTypeAndLinkage

Provides information about the type of election advice and linked messages.

C. CorporateActionGeneralInformation

General information about the corporate action event.

D. ElectionDetails

Provides information about the election(s).

E. AdditionalInformation

Provides additional information about the delivery details, beneficial owner details, etc.

F. ContactDetails

Contact responsible for the transaction identified in the message.

6.2 Structure

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	Message root <Document> <AgtCAElctnAdv>	[1..1]		C6, C9, C10	
	Identification <Id>	[1..1]	±		372
	ElectionAdviceTypeAndLinkage <ElctnAdvTpAndLkg>	[1..1]		C7	373
	ElectionType <ElctnTp>	[1..1]	CodeSet		373
	PreviousAgentCAElectionAdviceIdentification <PrvsAgtCAElctnAdvcl>	[0..1]	±		373
	AgentCAElectionStatusAdviceIdentification <AgtCAElctnStsAdvcl>	[0..1]	±		374
	AgentCAElectionAmendmentRequestIdentification <AgtCAElctnAmdmntReqId>	[0..1]	±		374
	CorporateActionGeneralInformation <CorpActnGnlInf>	[1..1]	±	C3	374
	ElectionDetails <ElctnDtls>	[1..1]			375
	AccountDetails <AcctDtls>	[0..1]	±		377
	OptionType <OptnTp>	[0..1]			377
{Or	Code <Cd>	[1..1]	CodeSet		377
Or}	Proprietary <Prtry>	[1..1]	±		378
	OptionNumber <OptnNb>	[0..1]	Text		378
	InstructedUnderlyingSecuritiesQuantity <InstdUndrlygScitiesQty>	[0..1]			379
{Or	Unit <Unit>	[1..1]	Quantity		379
Or}	FaceAmount <FaceAmt>	[1..1]	Amount	C1, C5	379
	InstructedSecuritiesQuantityToReceive <InstdScitiesQtyToRcv>	[0..1]			379
{Or	Unit <Unit>	[1..1]	Quantity		380
Or}	FaceAmount <FaceAmt>	[1..1]	Amount	C1, C5	380
	ProposedRate <PropsdRate>	[0..1]	Rate		380
	CashMovementDetails <CshMvmntDtls>	[0..*]			380
	PostingIdentification <PstngId>	[0..1]	Text		381
	PostingDateTime <PstngDtTm>	[0..1]	±		381
	PostingAmount <PstngAmt>	[1..1]	Amount	C1, C5	381
	AccountDetails <AcctDtls>	[1..2]			382
	CreditDebitIndicator <CdtDbtInd>	[1..1]	CodeSet		382

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	AccountOwnerIdentification <AcctOwnrId>	[0..1]	±		382
	AccountIdentification <AcctId>	[1..1]	±		382
	SecuritiesMovementDetails <SctiesMvmntDtls>	[0..*]			383
	SecurityIdentification <SctyId>	[1..1]	±		383
	PostingDateTime <PstngDtTm>	[0..1]	±		383
	PostingIdentification <PstngId>	[0..1]	Text		384
	PostingQuantity <PstngQty>	[1..1]			384
{Or	Unit <Unit>	[1..1]	Quantity		384
Or}	FaceAmount <FaceAmt>	[1..1]	Amount	C1, C5	384
	AccountDetails <AcctDtls>	[1..2]	±		385
	AdditionalInformation <AddtlInf>	[0..1]			385
	BeneficialOwnerDetails <BnfclOwnrDtls>	[0..*]			387
	BeneficialOwnerIdentification <BnfclOwnrId>	[1..1]	±		387
	AdditionalIdentification <AddtlId>	[0..1]			388
	Identification <Id>	[1..1]	Text		388
	IdentificationType <IdTp>	[1..1]			388
{Or	Code <Cd>	[1..1]	CodeSet		388
Or}	Proprietary <Prtry>	[1..1]	±		389
	Issuer <Issr>	[0..1]	Text		389
	Nationality <Ntlty>	[0..1]	CodeSet	C4	389
	DomicileCountry <DmclCtry>	[0..1]	CodeSet	C4	389
	NonDomicileCountry <NonDmclCtry>	[0..1]	CodeSet	C4	390
	CertificationIndicator <CertfctnInd>	[0..1]	Indicator		390
	CertificationType <CertfctnTp>	[0..1]			390
{Or	Code <Cd>	[1..1]	CodeSet		391
Or}	Proprietary <Prtry>	[1..1]	±		391
	DeclarationDetails <DclrtnDtls>	[0..1]	Text		391
	SecurityIdentification <SctyId>	[0..1]	±		391
	ElectedSecuritiesQuantity <ElctdSctiesQty>	[1..1]			392
{Or	Unit <Unit>	[1..1]	Quantity		392
Or}	FaceAmount <FaceAmt>	[1..1]	Amount	C1, C5	392

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	RegistrationDetails <RegnDtls>	[0..1]	Text		393
	ReceiverIdentification <Rcvrld>	[0..1]	±		393
	CertificationIndicator <CertfctnInd>	[0..1]	Indicator		393
	CertificationType <CertfctnTp>	[0..1]			393
{Or	Code <Cd>	[1..1]	CodeSet		394
Or}	Proprietary <Prtry>	[1..1]	±		394
	DeliveryDetails <DlvryDtls>	[0..*]			395
{Or	SecuritiesAccountIdentification <SctiesAcctId>	[1..1]	Text		395
Or}	CashAccountIdentification <CshAcctId>	[1..1]	±		395
	AccountOwnerIdentification <AcctOwnrld>	[0..1]	±		395
	AccountServicerIdentification <AcctSvcrld>	[0..1]	±		396
	AdditionalInstruction <AddtlInstr>	[0..1]	Text		396
	ContactDetails <CtctDtls>	[0..1]	±		396

6.3 Constraints

C1 ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

C2 AnyBIC

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered by the ISO 9362 Registration Authority in the BIC directory, and consists of eight (8) or eleven (11) contiguous characters.

C3 CorporateActionIdentificationRule

At least one element in the list (IssuerCorporateActionReference, OfficialCorporateActionReference, CorporateActionProcessingIdentifier) must be present. They may all be may be present.

C4 Country

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

C5 CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

C6 ElectionType2Rule

If ElectionAdviceTypeAndLinkages/ElectionType is CHAN, then ElectionDetails/SecuritiesMovementDetails/CreditAccountDetails/OptionNumber, ElectionDetails/SecuritiesMovementDetails/CreditAccountDetails/OptionType, ElectionDetails/SecuritiesMovementDetails/DebitAccountDetails/OptionNumber and ElectionDetails/SecuritiesMovementDetails/DebitAccountDetails/OptionType must be present.

This constraint is defined at the MessageDefinition level.

C7 ElectionTypeRule

If ElectionType is CHAN, then PreviousAgentCAElectionAdviceIdentification and AgentCAElectionStatusAdviceIdentification are mandatory.

C8 IBAN

A valid IBAN consists of all three of the following components: Country Code, check digits and BBAN.

C9 OptionNumberRule

If ElectionDetails/OptionNumber is present, then OptionNumber is not allowed in any occurrences of ElectionDetails/SecuritiesMovementDetails/DebitAccountDetails and/or ElectionDetails/SecuritiesMovementDetails/CreditAccountDetails.

If ElectionDetails/SecuritiesMovementDetails/DebitAccountDetails/OptionNumber is present at least once and/or ElectionDetails/SecuritiesMovementDetails/CreditAccountDetails/OptionNumber is present at least once then ElectionDetails/CorporateActionOptionNumber is not allowed.

This constraint is defined at the MessageDefinition level.

C10 OptionTypeRule

If ElectionDetails/OptionType is present, then OptionType is not allowed in any occurrences of ElectionDetails/SecuritiesMovementDetails/DebitAccountDetails and/or ElectionDetails/SecuritiesMovementDetails/CreditAccountDetails.

If ElectionDetails/SecuritiesMovementDetails/DebitAccountDetails/OptionType is present at least once and/or ElectionDetails/SecuritiesMovementDetails/CreditAccountDetails/OptionType is present at least once then ElectionDetails/CorporateActionOptionType is not allowed.

This constraint is defined at the MessageDefinition level.

6.4 Message Building Blocks

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

6.4.1 Identification <Id>

Presence: [1..1]

Definition: Identification assigned by the Sender to unambiguously identify the advice.

Identification <Id> contains the following elements (see "[DocumentIdentification8](#)" on page 690 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		690
	CreationDateTime <CreDtTm>	[0..1]	DateTime		690

6.4.2 ElectionAdviceTypeAndLinkage <ElctnAdvTpAndLkg>

Presence: [1..1]

Definition: Provides information about the type of election advice and linked messages.

Impacted by: [C7 "ElectionTypeRule"](#)

ElectionAdviceTypeAndLinkage <ElctnAdvTpAndLkg> contains the following **ElectionAdviceFunction1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ElectionType <ElctnTp>	[1..1]	CodeSet		373
	PreviousAgentCAElectionAdviceIdentification <PrvsAgtCAElctnAdvclId>	[0..1]	±		373
	AgentCAElectionStatusAdviceIdentification <AgtCAElctnStsAdvclId>	[0..1]	±		374
	AgentCAElectionAmendmentRequestIdentification <AgtCAElctnAmdmntReqId>	[0..1]	±		374

Constraints

- ElectionTypeRule**

If ElectionType is CHAN, then PreviousAgentCAElectionAdviceIdentification and AgentCAElectionStatusAdviceIdentification are mandatory.

6.4.2.1 ElectionType <ElctnTp>

Presence: [1..1]

Definition: Type of election advice.

Datatype: "[ElectionType1Code](#)" on page 824

CodeName	Name	Definition
NEWM	NewElection	New election advice.
CHAN	OptionChange	Election advice which is the result of an option change.

6.4.2.2 PreviousAgentCAElectionAdviceIdentification <PrvsAgtCAElctnAdvclId>

Presence: [0..1]

Definition: Identification of the previous Agent Corporate Action Election Advice that is being amended.

PreviousAgentCAElectionAdviceIdentification <PrvsAgtCAElctnAdvclId> contains the following elements (see "DocumentIdentification8" on page 690 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		690
	CreationDateTime <CreDtTm>	[0..1]	DateTime		690

6.4.2.3 AgentCAElectionStatusAdviceIdentification <AgtCAElctnStsAdvclId>

Presence: [0..1]

Definition: Identification of the Agent Corporate Action Election Status Advice by which the issuer (agent) accepts the election amendment request.

AgentCAElectionStatusAdviceIdentification <AgtCAElctnStsAdvclId> contains the following elements (see "DocumentIdentification8" on page 690 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		690
	CreationDateTime <CreDtTm>	[0..1]	DateTime		690

6.4.2.4 AgentCAElectionAmendmentRequestIdentification <AgtCAElctnAmdmntReqId>

Presence: [0..1]

Definition: Identification of the Agent Corporate Action Election Amendment Request by which the CSD request the authorisation to amend an election.

AgentCAElectionAmendmentRequestIdentification <AgtCAElctnAmdmntReqId> contains the following elements (see "DocumentIdentification8" on page 690 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		690
	CreationDateTime <CreDtTm>	[0..1]	DateTime		690

6.4.3 CorporateActionGeneralInformation <CorpActnGnlInf>

Presence: [1..1]

Definition: General information about the corporate action event.

Impacted by: C3 "CorporateActionIdentificationRule"

CorporateActionGeneralInformation <CorpActnGnllnf> contains the following elements (see "CorporateActionInformation1" on page 695 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AgentIdentification <AgtId>	[1..1]	±		695
	IssuerCorporateActionIdentification <IssrCorpActnId>	[0..1]	Text		696
	CorporateActionProcessingIdentification <CorpActnPrvgId>	[0..1]	Text		696
	EventType <EvtTp>	[1..1]			696
{Or	Code <Cd>	[1..1]	CodeSet		696
Or}	Proprietary <Prtry>	[1..1]	±		702
	MandatoryVoluntaryEventType <MndtryVlntryEvtTp>	[1..1]			702
{Or	Code <Cd>	[1..1]	CodeSet		702
Or}	Proprietary <Prtry>	[1..1]	±		703
	EventProcessingType <EvtPrcgTp>	[0..1]			703
{Or	Code <Cd>	[1..1]	CodeSet		703
Or}	Proprietary <Prtry>	[1..1]	±		704
	UnderlyingSecurity <UndrlygScty>	[1..1]	±		704

Constraints

- **CorporateActionIdentificationRule**

At least one element in the list (IssuerCorporateActionReference, OfficialCorporateActionReference, CorporateActionProcessingIdentifier) must be present. They may all be present.

6.4.4 ElectionDetails <ElctnDtls>

Presence: [1..1]

Definition: Provides information about the election(s).

ElectionDetails <ElctnDtls> contains the following **CorporateActionElection3** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AccountDetails <AcctDtls>	[0..1]	±		377
	OptionType <OptnTp>	[0..1]			377
{Or	Code <Cd>	[1..1]	CodeSet		377
Or}	Proprietary <Prtry>	[1..1]	±		378
	OptionNumber <OptnNb>	[0..1]	Text		378
	InstructedUnderlyingSecuritiesQuantity <InstdUndrlygSciesQty>	[0..1]			379
{Or	Unit <Unit>	[1..1]	Quantity		379
Or}	FaceAmount <FaceAmt>	[1..1]	Amount	C1, C5	379
	InstructedSecuritiesQuantityToReceive <InstdSciesQtyToRcv>	[0..1]			379
{Or	Unit <Unit>	[1..1]	Quantity		380
Or}	FaceAmount <FaceAmt>	[1..1]	Amount	C1, C5	380
	ProposedRate <PropsdRate>	[0..1]	Rate		380
	CashMovementDetails <CshMvmntDtls>	[0..*]			380
	PostingIdentification <Pstngld>	[0..1]	Text		381
	PostingDateTime <PstngDtTm>	[0..1]	±		381
	PostingAmount <PstngAmt>	[1..1]	Amount	C1, C5	381
	AccountDetails <AcctDtls>	[1..2]			382
	CreditDebitIndicator <CdtDbtInd>	[1..1]	CodeSet		382
	AccountOwnerIdentification <AcctOwnrld>	[0..1]	±		382
	AccountIdentification <Acctld>	[1..1]	±		382
	SecuritiesMovementDetails <SciesMvmntDtls>	[0..*]			383
	SecurityIdentification <Sctyld>	[1..1]	±		383
	PostingDateTime <PstngDtTm>	[0..1]	±		383
	PostingIdentification <Pstngld>	[0..1]	Text		384
	PostingQuantity <PstngQty>	[1..1]			384
{Or	Unit <Unit>	[1..1]	Quantity		384
Or}	FaceAmount <FaceAmt>	[1..1]	Amount	C1, C5	384
	AccountDetails <AcctDtls>	[1..2]	±		385

6.4.4.1 AccountDetails <AcctDtls>

Presence: [0..1]

Definition: Provides information about the account.

AccountDetails <AcctDtls> contains the following elements (see "[SecuritiesAccount7](#)" on page 669 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AccountOwnerIdentification <AcctOwnrId>	[0..1]	±		669
	AccountIdentification <AcctId>	[1..1]	Text		669

6.4.4.2 OptionType <OptnTp>

Presence: [0..1]

Definition: Specifies the corporate action options available to the account owner.

OptionType <OptnTp> contains one of the following **CorporateActionOption1FormatChoice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		377
Or}	Proprietary <Prtry>	[1..1]	±		378

6.4.4.2.1 Code <Cd>

Presence: [1..1]

Definition: Option type expressed as a code.

Datatype: "[CorporateActionOptionType1Code](#)" on page 821

CodeName	Name	Definition
BSPL	BonusSharePlan	Receive shares issued from the Share Premium Reserve of the company and considered as a capital distribution rather than a disbursement of income, with different tax implications (typically found in Australia).
BUYA	BuyUp	Buy additional securities to round up position.
CASE	CashAndSecurities	Election choice includes a combination of both cash and securities.
CASH	Cash	Distribution of cash.
CEXC	ConsentAndExchange	Vote to consent to change the terms of the securities agreement and to exchange securities.
CTEN	ConsentAndTender	Vote to consent to change the terms of the securities agreement and to tender securities for cash.

CodeName	Name	Definition
CONN	ConsentDenied	Vote not to approve the event or proposal.
CONY	ConsentGranted	Vote to approve the event or proposal.
EXER	Exercise	Exercise intermediate securities or warrants.
LAPS	Lapse	Allow event or entitled security to expire.
MPUT	Retain	An option that allows a holder to elect to retain their holding, eg, a putable bond.
NOAC	NoAction	Option for the account owner not to take part in the event.
OFFR	ProposedRate	In a remarketing of variable notes, the margin that shareholders can propose in respect of the next interest period.
OVER	Oversubscribe	Subscribe to more equities than underlying securities position allows.
SECU	SecuritiesOption	Distribution of securities to holders.
SLLE	SellEntitlement	Sell the intermediate securities.
SPLI	SplitInstruction	Option to give a split instruction, eg, a split voting instruction on a meeting.
NOQU	NonQualifiedInvestor	The account owner is a Non-Qualified Investor.
OTHR	Other	Generic corporate action option to be used in case that no other specific code is appropriate.
QINV	QualifiedInvestor	The account owner is a Qualified Investor.

6.4.4.2.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Option type expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification13](#)" on page 693 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		693
	SchemeName <SchmeNm>	[0..1]	Text		693
	Issuer <Issr>	[1..1]	Text		693

6.4.4.3 OptionNumber <OptnNb>

Presence: [0..1]

Definition: Number identifying the available corporate action options.

Datatype: "[Exact3NumericText](#)" on page 852

6.4.4.4 InstructedUnderlyingSecuritiesQuantity <InstdUndrlygSctiesQty>

Presence: [0..1]

Definition: Quantity of underlying securities to which this instruction applies.

InstructedUnderlyingSecuritiesQuantity <InstdUndrlygSctiesQty> contains one of the following **UnitOrFaceAmount1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		379
Or}	FaceAmount <FaceAmt>	[1..1]	Amount	C1, C5	379

6.4.4.4.1 Unit <Unit>

Presence: [1..1]

Definition: Quantity expressed as a number, eg, a number of shares.

Datatype: "DecimalNumber" on page 851

6.4.4.4.2 FaceAmount <FaceAmt>

Presence: [1..1]

Definition: Quantity expressed as an amount representing the face amount, ie, the principal, of a debt instrument.

Impacted by: C1 "ActiveCurrency", C5 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 801

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

6.4.4.5 InstructedSecuritiesQuantityToReceive <InstdSctiesQtyToRcv>

Presence: [0..1]

Definition: Quantity of the benefits that the account owner wants to receive, eg, as a result of dividend reinvestment.

InstructedSecuritiesQuantityToReceive <InstdSctiesQtyToRcv> contains one of the following **UnitOrFaceAmount1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		380
Or}	FaceAmount <FaceAmt>	[1..1]	Amount	C1, C5	380

6.4.4.5.1 Unit <Unit>

Presence: [1..1]

Definition: Quantity expressed as a number, eg, a number of shares.

Datatype: "DecimalNumber" on page 851

6.4.4.5.2 FaceAmount <FaceAmt>

Presence: [1..1]

Definition: Quantity expressed as an amount representing the face amount, ie, the principal, of a debt instrument.

Impacted by: C1 "ActiveCurrency", C5 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 801

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

6.4.4.6 ProposedRate <PropsdRate>

Presence: [0..1]

Definition: Rate proposed in a remarketing of variable rate notes.

Datatype: "PercentageRate" on page 851

6.4.4.7 CashMovementDetails <CshMvmntDtls>

Presence: [0..*]

Definition: Provides information about the cash movement resulting from the election instruction.

CashMovementDetails <CshMvmntDtls> contains the following **CorporateActionCashMovements2** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PostingIdentification <Pstngld>	[0..1]	Text		381
	PostingDateTime <PstngDtTm>	[0..1]	±		381
	PostingAmount <PstngAmt>	[1..1]	Amount	C1, C5	381
	AccountDetails <AcctDtls>	[1..2]			382
	CreditDebitIndicator <CdtDbtInd>	[1..1]	CodeSet		382
	AccountOwnerIdentification <AcctOwnrld>	[0..1]	±		382
	AccountIdentification <Acctld>	[1..1]	±		382

6.4.4.7.1 PostingIdentification <Pstngld>

Presence: [0..1]

Definition: Posting identification of the cash movement.

Datatype: "Max35Text" on page 853

6.4.4.7.2 PostingDateTime <PstngDtTm>

Presence: [0..1]

Definition: Posting date of the cash movement.

PostingDateTime <PstngDtTm> contains one of the following elements (see "DateAndDateTimeChoice" on page 678 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		678
Or}	DateTime <DtTm>	[1..1]	DateTime		678

6.4.4.7.3 PostingAmount <PstngAmt>

Presence: [1..1]

Definition: Amount posted as a result of the cash movement.

Impacted by: C1 "ActiveCurrency", C5 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 801

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217

Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

6.4.4.7.4 AccountDetails <AcctDtls>

Presence: [1..2]

Definition: Provides information about the account which is debited/credited as a result of the movement.

AccountDetails <AcctDtls> contains the following **CashAccount19** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CreditDebitIndicator <CdtDbtInd>	[1..1]	CodeSet		382
	AccountOwnerIdentification <AcctOwnrId>	[0..1]	±		382
	AccountIdentification <AcctId>	[1..1]	±		382

6.4.4.7.4.1 CreditDebitIndicator <CdtDbtInd>

Presence: [1..1]

Definition: Specifies whether the value is a debit or credit.

Datatype: "CreditDebitCode" on page 822

CodeName	Name	Definition
CRDT	Credit	Operation is an increase.
DBIT	Debit	Operation is a decrease.

6.4.4.7.4.2 AccountOwnerIdentification <AcctOwnrId>

Presence: [0..1]

Definition: Identification of the party that owns the account.

AccountOwnerIdentification <AcctOwnrId> contains one of the following elements (see "PartyIdentification2Choice" on page 733 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	BICOrBEI <BICOrBEI>	[1..1]	IdentifierSet	C2	733
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		733
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		734

6.4.4.7.4.3 AccountIdentification <AcctId>

Presence: [1..1]

Definition: Identification of the cash account or the securities account from which the cash account is derived.

AccountIdentification <AcctId> contains one of the following elements (see "AccountIdentification2Choice" on page 670 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	CashAccountIdentification <CshAcctId>	[1..1]	Text		670
Or}	SecuritiesAccountIdentification <SctiesAcctId>	[1..1]	Text		670

6.4.4.8 SecuritiesMovementDetails <SctiesMvmntDtls>

Presence: [0..*]

Definition: Provides information about the securities movement resulting from the election instruction.

SecuritiesMovementDetails <SctiesMvmntDtls> contains the following **CorporateActionSecuritiesMovement2** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	SecurityIdentification <SctyId>	[1..1]	±		383
	PostingDateTime <PstngDtTm>	[0..1]	±		383
	PostingIdentification <PstngId>	[0..1]	Text		384
	PostingQuantity <PstngQty>	[1..1]			384
{Or	Unit <Unit>	[1..1]	Quantity		384
Or}	FaceAmount <FaceAmt>	[1..1]	Amount	C1, C5	384
	AccountDetails <AcctDtls>	[1..2]	±		385

6.4.4.8.1 SecurityIdentification <SctyId>

Presence: [1..1]

Definition: Identification of the financial instrument.

SecurityIdentification <SctyId> contains the following elements (see "SecurityIdentification7" on page 791 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	ISIN <ISIN>	[1..1]	IdentifierSet		791
Or}	OtherIdentification <OthrId>	[1..1]			791
	Identification <Id>	[1..1]	Text		792
{Or	DomesticIdentificationSource <DmstIdSrc>	[1..1]	CodeSet	C4	792
Or}	ProprietaryIdentificationSource <PrtryIdSrc>	[1..1]	Text		792
	Description <Desc>	[0..1]	Text		792

6.4.4.8.2 PostingDateTime <PstngDtTm>

Presence: [0..1]

Definition: Date and time of the posting of the movement.

PostingDateTime <PstngDtTm> contains one of the following elements (see "DateAndDateTimeChoice" on page 678 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		678
Or}	DateTime <DtTm>	[1..1]	DateTime		678

6.4.4.8.3 PostingIdentification <PstngId>

Presence: [0..1]

Definition: Posting identification of the securities movement.

Datatype: "Max35Text" on page 853

6.4.4.8.4 PostingQuantity <PstngQty>

Presence: [1..1]

Definition: Securities quantity posted as a result of the securities movement.

PostingQuantity <PstngQty> contains one of the following **UnitOrFaceAmount1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		384
Or}	FaceAmount <FaceAmt>	[1..1]	Amount	C1, C5	384

6.4.4.8.4.1 Unit <Unit>

Presence: [1..1]

Definition: Quantity expressed as a number, eg, a number of shares.

Datatype: "DecimalNumber" on page 851

6.4.4.8.4.2 FaceAmount <FaceAmt>

Presence: [1..1]

Definition: Quantity expressed as an amount representing the face amount, ie, the principal, of a debt instrument.

Impacted by: C1 "ActiveCurrency", C5 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 801

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217

Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

6.4.4.8.5 AccountDetails <AcctDtls>

Presence: [1..2]

Definition: Provides information about the account which is debited/credited as a result of the movement.

AccountDetails <AcctDtls> contains the following elements (see "[SecuritiesAccount9](#)" on page 659 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CreditDebitIndicator <CdtDbtInd>	[1..1]	CodeSet		660
	AccountOwnerIdentification <AcctOwnrId>	[0..1]	±		660
	AccountIdentification <AcctId>	[1..1]	Text		660
	BalanceType <BalTp>	[0..1]			661
{Or	Code <Cd>	[1..1]	CodeSet		661
Or}	Proprietary <Prtry>	[1..1]	±		661
	OptionType <OptnTp>	[0..1]			662
{Or	Code <Cd>	[1..1]	CodeSet		662
Or}	Proprietary <Prtry>	[1..1]	±		663
	OptionNumber <OptnNb>	[0..1]	Text		663
	SecurityHoldingForm <SctyHldgForm>	[0..1]	CodeSet		663

6.4.5 AdditionalInformation <AddtlInf>

Presence: [0..1]

Definition: Provides additional information about the delivery details, beneficial owner details, etc.

AdditionalInformation <AddtlInf> contains the following **CorporateActionAdditionalInformation1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	BeneficialOwnerDetails <BnfcOwnrDtls>	[0..*]			387
	BeneficialOwnerIdentification <BnfcOwnrId>	[1..1]	±		387
	AdditionalIdentification <AddtlId>	[0..1]			388
	Identification <Id>	[1..1]	Text		388
	IdentificationType <IdTp>	[1..1]			388
{Or	Code <Cd>	[1..1]	CodeSet		388
Or}	Proprietary <Prtry>	[1..1]	±		389
	Issuer <Issr>	[0..1]	Text		389
	Nationality <Ntly>	[0..1]	CodeSet	C4	389
	DomicileCountry <DmclCtry>	[0..1]	CodeSet	C4	389
	NonDomicileCountry <NonDmclCtry>	[0..1]	CodeSet	C4	390
	CertificationIndicator <CertfctnInd>	[0..1]	Indicator		390
	CertificationType <CertfctnTp>	[0..1]			390
{Or	Code <Cd>	[1..1]	CodeSet		391
Or}	Proprietary <Prtry>	[1..1]	±		391
	DeclarationDetails <DclrtDtls>	[0..1]	Text		391
	SecurityIdentification <SctyId>	[0..1]	±		391
	ElectedSecuritiesQuantity <ElctdSciesQty>	[1..1]			392
{Or	Unit <Unit>	[1..1]	Quantity		392
Or}	FaceAmount <FaceAmt>	[1..1]	Amount	C1, C5	392
	RegistrationDetails <RegnDtls>	[0..1]	Text		393
	ReceiverIdentification <RcvrId>	[0..1]	±		393
	CertificationIndicator <CertfctnInd>	[0..1]	Indicator		393
	CertificationType <CertfctnTp>	[0..1]			393
{Or	Code <Cd>	[1..1]	CodeSet		394
Or}	Proprietary <Prtry>	[1..1]	±		394
	DeliveryDetails <DlvryDtls>	[0..*]			395
{Or	SecuritiesAccountIdentification <SciesAcctId>	[1..1]	Text		395
Or}	CashAccountIdentification <CshAcctId>	[1..1]	±		395
	AccountOwnerIdentification <AcctOwnrId>	[0..1]	±		395

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AccountServicerIdentification <AcctSvcrId>	[0..1]	±		396
	AdditionalInstruction <AddtlInstr>	[0..1]	Text		396

6.4.5.1 BeneficialOwnerDetails <BnfclOwnrDtls>

Presence: [0..*]

Definition: Provides information about the beneficial owner of the securities.

BeneficialOwnerDetails <BnfclOwnrDtls> contains the following **BeneficialOwner1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	BeneficialOwnerIdentification <BnfclOwnrId>	[1..1]	±		387
	AdditionalIdentification <AddtlId>	[0..1]			388
	Identification <Id>	[1..1]	Text		388
	IdentificationType <IdTp>	[1..1]			388
{Or	Code <Cd>	[1..1]	CodeSet		388
Or}	Proprietary <Prtry>	[1..1]	±		389
	Issuer <Issr>	[0..1]	Text		389
	Nationality <Ntlty>	[0..1]	CodeSet	C4	389
	DomicileCountry <DmclCtry>	[0..1]	CodeSet	C4	389
	NonDomicileCountry <NonDmclCtry>	[0..1]	CodeSet	C4	390
	CertificationIndicator <CertfctnInd>	[0..1]	Indicator		390
	CertificationType <CertfctnTp>	[0..1]			390
{Or	Code <Cd>	[1..1]	CodeSet		391
Or}	Proprietary <Prtry>	[1..1]	±		391
	DeclarationDetails <DclrtnDtls>	[0..1]	Text		391
	SecurityIdentification <SctyId>	[0..1]	±		391
	ElectedSecuritiesQuantity <ElctdSciesQty>	[1..1]			392
{Or	Unit <Unit>	[1..1]	Quantity		392
Or}	FaceAmount <FaceAmt>	[1..1]	Amount	C1, C5	392

6.4.5.1.1 BeneficialOwnerIdentification <BnfclOwnrId>

Presence: [1..1]

Definition: Identification of the party that is the beneficial owner of the specified securities.

BeneficialOwnerIdentification <BnfcOwnrId> contains one of the following elements (see "PartyIdentification2Choice" on page 733 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	BICOrBEI <BICOrBEI>	[1..1]	IdentifierSet	C2	733
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		733
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		734

6.4.5.1.2 AdditionalIdentification <AddtId>

Presence: [0..1]

Definition: Additional identification of the party that is the beneficial owner of the specified securities.

AdditionalIdentification <AddtId> contains the following **GenericIdentification16** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		388
	IdentificationType <IdTp>	[1..1]			388
{Or	Code <Cd>	[1..1]	CodeSet		388
Or}	Proprietary <Prtry>	[1..1]	±		389
	Issuer <Issr>	[0..1]	Text		389

6.4.5.1.2.1 Identification <Id>

Presence: [1..1]

Definition: The identifier.

Datatype: "Max35Text" on page 853

6.4.5.1.2.2 IdentificationType <IdTp>

Presence: [1..1]

Definition: Specifies the nature of the identifier.

IdentificationType <IdTp> contains one of the following **PersonIdentificationType3Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		388
Or}	Proprietary <Prtry>	[1..1]	±		389

6.4.5.1.2.2.1 Code <Cd>

Presence: [1..1]

Definition: Person identification expressed as a code.

Datatype: "PersonIdentificationType3Code" on page 831

CodeName	Name	Definition
ARNU	AlienRegistrationNumber	Number assigned by a government agency to identify foreign nationals.
CCPT	PassportNumber	Number assigned by a passport authority to a passport.
EMID	EmployerIdentificationNumber	Number assigned to an employer by a registration authority.
DRLC	DriversLicenseNumber	Number assigned by a license authority to a driver's license.
FINN	ForeignInvestmentIdentityNumber	Number assigned to a foreign investor (other than the alien number).
TXID	TaxIdentificationNumber	Number assigned by a tax authority to an entity.

6.4.5.1.2.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Person identification expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see ["GenericIdentification13"](#) on page 693 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		693
	SchemeName <SchmeNm>	[0..1]	Text		693
	Issuer <Issr>	[1..1]	Text		693

6.4.5.1.2.3 Issuer <Issr>

Presence: [0..1]

Definition: Party that assigns the identification.

Datatype: ["Max35Text"](#) on page 853

6.4.5.1.3 Nationality <Ntlty>

Presence: [0..1]

Definition: Nationality of the beneficial owner.

Impacted by: [C4 "Country"](#)

Datatype: ["CountryCode"](#) on page 822

Constraints

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

6.4.5.1.4 DomicileCountry <DmclCtry>

Presence: [0..1]

Definition: Country in which a person is permanently domiciled (the place of a persons permanent home).

Impacted by: C4 "Country"

Datatype: "CountryCode" on page 822

Constraints

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

6.4.5.1.5 NonDomicileCountry <NonDmclCtry>

Presence: [0..1]

Definition: The holder of the security has to certify, in line with the terms of the corporate action, that it is not domiciled in the country indicated.

Impacted by: C4 "Country"

Datatype: "CountryCode" on page 822

Constraints

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

6.4.5.1.6 CertificationIndicator <CertfctnInd>

Presence: [0..1]

Definition: Whether or not certification is required from the account owner.

Yes: certification required

No: no certification required.

Datatype: One of the following values must be used (see "YesNoIndicator" on page 850):

- *Meaning When True:* Yes
- *Meaning When False:* No

6.4.5.1.7 CertificationType <CertfctnTp>

Presence: [0..1]

Definition: Type of certification which is required.

CertificationType <CertfctnTp> contains one of the following **BeneficiaryCertificationType1FormatChoice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		391
Or}	Proprietary <Prtry>	[1..1]	±		391

6.4.5.1.7.1 Code <Cd>*Presence:* [1..1]*Definition:* Standard code to specify the type of beneficiary's certification.*Datatype:* "BeneficiaryCertificationType1Code" on page 803

CodeName	Name	Definition
ACCI	AccreditedInvestor	The holder of the security has to irrevocably certify that it is an Accredited Investor as defined in US Securities and Exchange Commission Regulation D.
DOMI	DomicileCountry	The holder of the security has to certify his domicile.
FULL	FullBeneficialOwnerBreakdown	The holder of the security has to provide his beneficial owner details for disclosure/instruction purpose.
QIBB	QIBCertification	The holder of the security has to irrevocably certify that it is a Qualified Institutional Buyer as defined in US Securities and Exchange Commission Rule 144A.
TRBD	TaxRateBreakdown	The holder of the security has to provide basic details on nominals and associated tax rate to be used by the account servicer.
NCOM	NonCompany	The holder of the security has to certify he is an Non-Company related person.

6.4.5.1.7.2 Proprietary <Prtry>*Presence:* [1..1]*Definition:* Proprietary code to express the type of beneficiary's certification.**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification13](#)" on page 693 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		693
	SchemeName <SchmeNm>	[0..1]	Text		693
	Issuer <Issr>	[1..1]	Text		693

6.4.5.1.8 DeclarationDetails <DclrtnDtls>*Presence:* [0..1]*Definition:* Provides declaration details narrative relative to the financial instrument, eg, beneficial ownership.*Datatype:* "Max350Text" on page 853**6.4.5.1.9 SecurityIdentification <Sctyld>***Presence:* [0..1]

Definition: Identification of the financial instrument.

SecurityIdentification <Sctyld> contains the following elements (see "[SecurityIdentification7](#)" on page 791 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	ISIN <ISIN>	[1..1]	IdentifierSet		791
Or}	OtherIdentification <Othrlid>	[1..1]			791
	Identification <Id>	[1..1]	Text		792
{Or	DomesticIdentificationSource <DmstldSrc>	[1..1]	CodeSet	C4	792
Or}	ProprietaryIdentificationSource <PrtryldSrc>	[1..1]	Text		792
	Description <Desc>	[0..1]	Text		792

6.4.5.1.10 ElectedSecuritiesQuantity <ElctdScitiesQty>

Presence: [1..1]

Definition: Quantity of securities elected by to the beneficial owner.

ElectedSecuritiesQuantity <ElctdScitiesQty> contains one of the following **UnitOrFaceAmount1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		392
Or}	FaceAmount <FaceAmt>	[1..1]	Amount	C1, C5	392

6.4.5.1.10.1 Unit <Unit>

Presence: [1..1]

Definition: Quantity expressed as a number, eg, a number of shares.

Datatype: "[DecimalNumber](#)" on page 851

6.4.5.1.10.2 FaceAmount <FaceAmt>

Presence: [1..1]

Definition: Quantity expressed as an amount representing the face amount, ie, the principal, of a debt instrument.

Impacted by: C1 "ActiveCurrency", C5 "CurrencyAmount"

Datatype: "[ActiveCurrencyAndAmount](#)" on page 801

Constraints

- ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217

Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

6.4.5.2 RegistrationDetails <RegnDtls>

Presence: [0..1]

Definition: Provides information required for the registration.

Datatype: "Max350Text" on page 853

6.4.5.3 ReceiverIdentification <RcvrId>

Presence: [0..1]

Definition: Identification of the receiver of outturned resources (cash/securities) in case the resources need to be delivered outside the CSD.

ReceiverIdentification <RcvrId> contains one of the following elements (see "PartyIdentification2Choice" on page 733 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	BICOrBEI <BICOrBEI>	[1..1]	IdentifierSet	C2	733
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		733
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		734

6.4.5.4 CertificationIndicator <CertfctnInd>

Presence: [0..1]

Definition: Whether or not certification is required from the account owner.

Y: certification required

N: no certification required.

Datatype: One of the following values must be used (see "YesNoIndicator" on page 850):

- *Meaning When True:* Yes
- *Meaning When False:* No

6.4.5.5 CertificationType <CertfctnTp>

Presence: [0..1]

Definition: Type of certification which is required.

CertificationType <CertfctnTp> contains one of the following **BeneficiaryCertificationType1FormatChoice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		394
Or}	Proprietary <Prtry>	[1..1]	±		394

6.4.5.5.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the type of beneficiary's certification.

Datatype: "BeneficiaryCertificationType1Code" on page 803

CodeName	Name	Definition
ACCI	AccreditedInvestor	The holder of the security has to irrevocably certify that it is an Accredited Investor as defined in US Securities and Exchange Commission Regulation D.
DOMI	DomicileCountry	The holder of the security has to certify his domicile.
FULL	FullBeneficialOwnerBreakdown	The holder of the security has to provide his beneficial owner details for disclosure/instruction purpose.
QIBB	QIBCertification	The holder of the security has to irrevocably certify that it is a Qualified Institutional Buyer as defined in US Securities and Exchange Commission Rule 144A.
TRBD	TaxRateBreakdown	The holder of the security has to provide basic details on nominals and associated tax rate to be used by the account servicer.
NCOM	NonCompany	The holder of the security has to certify he is an Non-Company related person.

6.4.5.5.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary code to express the type of beneficiary's certification.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification13](#)" on page 693 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		693
	SchemeName <SchmeNm>	[0..1]	Text		693
	Issuer <Issr>	[1..1]	Text		693

6.4.5.6 DeliveryDetails <DlvryDtls>

Presence: [0..*]

Definition: Provides information about the delivery details of proceeds.

DeliveryDetails <DlvryDtls> contains the following **ProceedsDelivery1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	SecuritiesAccountIdentification <SctiesAcctId>	[1..1]	Text		395
Or}	CashAccountIdentification <CshAcctId>	[1..1]	±		395
	AccountOwnerIdentification <AcctOwnrId>	[0..1]	±		395
	AccountServicerIdentification <AcctSvcrId>	[0..1]	±		396

6.4.5.6.1 SecuritiesAccountIdentification <SctiesAcctId>

Presence: [1..1]

Warning Either SecuritiesAccountIdentification or CashAccountIdentification must be present.

Definition: identification of the securities account to which the securities have to be delivered.

Datatype: "Max35Text" on page 853

6.4.5.6.2 CashAccountIdentification <CshAcctId>

Presence: [1..1]

Warning Either SecuritiesAccountIdentification or CashAccountIdentification must be present.

Definition: Identification of the cash account to which the cash has to be delivered.

CashAccountIdentification <CshAcctId> contains one of the following elements (see "CashAccountIdentification1Choice" on page 675 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	IBAN <IBAN>	[1..1]	IdentifierSet	C8	675
Or	BBAN <BBAN>	[1..1]	IdentifierSet		676
Or	UPIC <UPIC>	[1..1]	IdentifierSet		676
Or}	DomesticAccount <DmstAcct>	[1..1]	±		676

6.4.5.6.3 AccountOwnerIdentification <AcctOwnrId>

Presence: [0..1]

Definition: Identification of the party that owns the account.

AccountOwnerIdentification <AcctOwnrId> contains one of the following elements (see "PartyIdentification2Choice" on page 733 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	BICOrBEI <BICOrBEI>	[1..1]	IdentifierSet	C2	733
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		733
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		734

6.4.5.6.4 AccountServicerIdentification <AcctSvcrId>

Presence: [0..1]

Definition: Identification of the institution servicing the account.

AccountServicerIdentification <AcctSvcrId> contains one of the following elements (see "PartyIdentification2Choice" on page 733 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	BICOrBEI <BICOrBEI>	[1..1]	IdentifierSet	C2	733
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		733
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		734

6.4.5.7 AdditionalInstruction <AddtlInstr>

Presence: [0..1]

Definition: Provides additional details pertaining to the corporate action instruction.

Datatype: "Max350Text" on page 853

6.4.6 ContactDetails <CtctDtls>

Presence: [0..1]

Definition: Contact responsible for the transaction identified in the message.

ContactDetails <CtctDtls> contains the following elements (see "ContactPerson1" on page 748 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ContactPerson <CtctPrsn>	[1..1]	±		748
	InstitutionIdentification <InstnId>	[0..1]	±		749

7 **seev.013.001.01**

AgentCAElectionAmendmentRequestV01

7.1 **MessageDefinition Functionality**

Scope

This message is sent by a CSD to the issuer (or its agent) to request the authorisation of an amendment of a previously sent Agent Corporate Action Election Advice message.

Usage

This message is used to request the amendment of a previously sent Agent Corporate Action Election Advice message.

Once the amendment request has been accepted by the issuer (or its agent), the CSD will process any resource movement and send an Agent Corporate Action Election Advice message with the function, option change, to confirm that the amendment has been booked at the CSD.

This message is used when the terms and conditions of the corporate action event allow amendments.

Outline

The AgentCAElectionAmendmentRequestV01 MessageDefinition is composed of 7 MessageBuildingBlocks:

A. Identification

Identification assigned by the Sender to unambiguously identify the request.

B. AgentCAElectionAdviceIdentification

Identification of the linked Agent CA Election Advice for which an amendment is requested.

C. CorporateActionGeneralInformation

General information about the corporate action event.

D. AccountDetails

Provides information about the account.

E. OriginalElectionDetails

Provides information about the original election to be amended.

F. AmendedElectionDetails

Provides information about the amendments to the election.

G. ContactDetails

Contact responsible for the transaction identified in the message.

7.2 Structure

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	Message root <Document> <AgtCAElctnAmdmntReq>	[1..1]			
	Identification <Id>	[1..1]	±		399
	AgentCAElectionAdviceIdentification <AgtCAElctnAdvclId>	[1..1]	±		399
	CorporateActionGeneralInformation <CorpActnGnllnf>	[1..1]	±	C3	400
	AccountDetails <AcctDtls>	[1..1]	±		400
	OriginalElectionDetails <OrgnlElctnDtls>	[1..1]			401
	OptionType <OptnTp>	[1..1]			401
{Or	Code <Cd>	[1..1]	CodeSet		401
Or}	Proprietary <Prtry>	[1..1]	±		403
	OptionNumber <OptnNb>	[1..1]	Text		403
	OriginalInstructedQuantity <OrgnlInstdQty>	[1..1]			403
{Or	Unit <Unit>	[1..1]	Quantity		403
Or}	FaceAmount <FaceAmt>	[1..1]	Amount	C1, C5	403
	RemainingQuantity <RmngQty>	[1..1]			404
{Or	Unit <Unit>	[1..1]	Quantity		404
Or}	FaceAmount <FaceAmt>	[1..1]	Amount	C1, C5	404
	AmendedElectionDetails <AmddElctnDtls>	[1..1]			405
	OptionType <OptnTp>	[1..1]			405
{Or	Code <Cd>	[1..1]	CodeSet		405
Or}	Proprietary <Prtry>	[1..1]	±		406
	OptionNumber <OptnNb>	[1..1]	Text		407
	NewInstructedQuantity <NewInstdQty>	[1..1]			407
{Or	Unit <Unit>	[1..1]	Quantity		407
Or}	FaceAmount <FaceAmt>	[1..1]	Amount	C1, C5	407
	Reason <Rsn>	[0..1]	Text		408
	ContactDetails <CtctDtls>	[0..1]	±		408

7.3 Constraints

- C1 ActiveCurrency**
The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.
- C2 AnyBIC**
Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered by the ISO 9362 Registration Authority in the BIC directory, and consists of eight (8) or eleven (11) contiguous characters.
- C3 CorporateActionIdentificationRule**
At least one element in the list (IssuerCorporateActionReference, OfficialCorporateActionReference, CorporateActionProcessingIdentifier) must be present. They may all be may be present.
- C4 Country**
The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).
- C5 CurrencyAmount**
The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

7.4 Message Building Blocks

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

7.4.1 Identification <Id>

Presence: [1..1]
Definition: Identification assigned by the Sender to unambiguously identify the request.
Identification <Id> contains the following elements (see ["DocumentIdentification8"](#) on page 690 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		690
	CreationDateTime <CreDtTm>	[0..1]	DateTime		690

7.4.2 AgentCAElectionAdviceIdentification <AgtCAElctnAdvId>

Presence: [1..1]
Definition: Identification of the linked Agent CA Election Advice for which an amendment is requested.

AgentCAElectionAdviceIdentification <AgtCAEIctnAdvId> contains the following elements (see "DocumentIdentification8" on page 690 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		690
	CreationDateTime <CreDtTm>	[0..1]	DateTime		690

7.4.3 CorporateActionGeneralInformation <CorpActnGnlInf>

Presence: [1..1]

Definition: General information about the corporate action event.

Impacted by: C3 "CorporateActionIdentificationRule"

CorporateActionGeneralInformation <CorpActnGnlInf> contains the following elements (see "CorporateActionInformation1" on page 695 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AgentIdentification <AgtId>	[1..1]	±		695
	IssuerCorporateActionIdentification <IssrCorpActnId>	[0..1]	Text		696
	CorporateActionProcessingIdentification <CorpActnPrctlId>	[0..1]	Text		696
	EventType <EvtTp>	[1..1]			696
{Or	Code <Cd>	[1..1]	CodeSet		696
Or}	Proprietary <Prtry>	[1..1]	±		702
	MandatoryVoluntaryEventType <MndtryVlntryEvtTp>	[1..1]			702
{Or	Code <Cd>	[1..1]	CodeSet		702
Or}	Proprietary <Prtry>	[1..1]	±		703
	EventProcessingType <EvtPrctgTp>	[0..1]			703
{Or	Code <Cd>	[1..1]	CodeSet		703
Or}	Proprietary <Prtry>	[1..1]	±		704
	UnderlyingSecurity <UndrlygScty>	[1..1]	±		704

Constraints

- CorporateActionIdentificationRule**

At least one element in the list (IssuerCorporateActionReference, OfficialCorporateActionReference, CorporateActionProcessingIdentifier) must be present. They may all be may be present.

7.4.4 AccountDetails <AcctDtls>

Presence: [1..1]

Definition: Provides information about the account.

AccountDetails <AcctDtls> contains the following elements (see "SecuritiesAccount7" on page 669 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AccountOwnerIdentification <AcctOwnrId>	[0..1]	±		669
	AccountIdentification <AcctId>	[1..1]	Text		669

7.4.5 OriginalElectionDetails <OrgnIElctnDtls>

Presence: [1..1]

Definition: Provides information about the original election to be amended.

OriginalElectionDetails <OrgnIElctnDtls> contains the following **CorporateActionElection1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	OptionType <OptnTp>	[1..1]			401
{Or	Code <Cd>	[1..1]	CodeSet		401
Or}	Proprietary <Prtry>	[1..1]	±		403
	OptionNumber <OptnNb>	[1..1]	Text		403
	OriginalInstructedQuantity <OrgnlInstdQty>	[1..1]			403
{Or	Unit <Unit>	[1..1]	Quantity		403
Or}	FaceAmount <FaceAmt>	[1..1]	Amount	C1, C5	403
	RemainingQuantity <RmngQty>	[1..1]			404
{Or	Unit <Unit>	[1..1]	Quantity		404
Or}	FaceAmount <FaceAmt>	[1..1]	Amount	C1, C5	404

7.4.5.1 OptionType <OptnTp>

Presence: [1..1]

Definition: Specifies the corporate action options available to the account owner.

OptionType <OptnTp> contains one of the following **CorporateActionOption1FormatChoice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		401
Or}	Proprietary <Prtry>	[1..1]	±		403

7.4.5.1.1 Code <Cd>

Presence: [1..1]

Definition: Option type expressed as a code.

Datatype: "CorporateActionOptionType1Code" on page 821

CodeName	Name	Definition
BSPL	BonusSharePlan	Receive shares issued from the Share Premium Reserve of the company and considered as a capital distribution rather than a disbursement of income, with different tax implications (typically found in Australia).
BUYA	BuyUp	Buy additional securities to round up position.
CASE	CashAndSecurities	Election choice includes a combination of both cash and securities.
CASH	Cash	Distribution of cash.
CEXC	ConsentAndExchange	Vote to consent to change the terms of the securities agreement and to exchange securities.
CTEN	ConsentAndTender	Vote to consent to change the terms of the securities agreement and to tender securities for cash.
CONN	ConsentDenied	Vote not to approve the event or proposal.
CONY	ConsentGranted	Vote to approve the event or proposal.
EXER	Exercise	Exercise intermediate securities or warrants.
LAPS	Lapse	Allow event or entitled security to expire.
MPUT	Retain	An option that allows a holder to elect to retain their holding, eg, a puttable bond.
NOAC	NoAction	Option for the account owner not to take part in the event.
OFFR	ProposedRate	In a remarketing of variable notes, the margin that shareholders can propose in respect of the next interest period.
OVER	Oversubscribe	Subscribe to more equities than underlying securities position allows.
SECU	SecuritiesOption	Distribution of securities to holders.
SLLE	SellEntitlement	Sell the intermediate securities.
SPLI	SplitInstruction	Option to give a split instruction, eg, a split voting instruction on a meeting.
NOQU	NonQualifiedInvestor	The account owner is a Non-Qualified Investor.
OTHR	Other	Generic corporate action option to be used in case that no other specific code is appropriate.
QINV	QualifiedInvestor	The account owner is a Qualified Investor.

7.4.5.1.2 Proprietary <Prtry>*Presence:* [1..1]*Definition:* Option type expressed as a proprietary code.**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification13](#)" on page 693 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		693
	SchemeName <SchmeNm>	[0..1]	Text		693
	Issuer <Issr>	[1..1]	Text		693

7.4.5.2 OptionNumber <OptnNb>*Presence:* [1..1]*Definition:* Number identifying the available corporate action options.*Datatype:* "[Exact3NumericText](#)" on page 852**7.4.5.3 OriginalInstructedQuantity <OrgnlInstdQty>***Presence:* [1..1]*Definition:* Quantity of the securities that was instructed in the original election advice.**OriginalInstructedQuantity <OrgnlInstdQty>** contains one of the following **UnitOrFaceAmount1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		403
Or}	FaceAmount <FaceAmt>	[1..1]	Amount	C1, C5	403

7.4.5.3.1 Unit <Unit>*Presence:* [1..1]*Definition:* Quantity expressed as a number, eg, a number of shares.*Datatype:* "[DecimalNumber](#)" on page 851**7.4.5.3.2 FaceAmount <FaceAmt>***Presence:* [1..1]*Definition:* Quantity expressed as an amount representing the face amount, ie, the principal, of a debt instrument.*Impacted by:* [C1 "ActiveCurrency"](#), [C5 "CurrencyAmount"](#)*Datatype:* "[ActiveCurrencyAndAmount](#)" on page 801

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

7.4.5.4 RemainingQuantity <RmngQty>

Presence: [1..1]

Definition: Remaining instructed securities quantity after the amendment of the election.

RemainingQuantity <RmngQty> contains one of the following **UnitOrFaceAmount1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		404
Or}	FaceAmount <FaceAmt>	[1..1]	Amount	C1, C5	404

7.4.5.4.1 Unit <Unit>

Presence: [1..1]

Definition: Quantity expressed as a number, eg, a number of shares.

Datatype: "DecimalNumber" on page 851

7.4.5.4.2 FaceAmount <FaceAmt>

Presence: [1..1]

Definition: Quantity expressed as an amount representing the face amount, ie, the principal, of a debt instrument.

Impacted by: C1 "ActiveCurrency", C5 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 801

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

7.4.6 AmendedElectionDetails <AmddElctnDtls>

Presence: [1..1]

Definition: Provides information about the amendments to the election.

AmendedElectionDetails <AmddElctnDtls> contains the following **CorporateActionElection2** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	OptionType <OptnTp>	[1..1]			405
{Or	Code <Cd>	[1..1]	CodeSet		405
Or}	Proprietary <Prtry>	[1..1]	±		406
	OptionNumber <OptnNb>	[1..1]	Text		407
	NewInstructedQuantity <NewInstQty>	[1..1]			407
{Or	Unit <Unit>	[1..1]	Quantity		407
Or}	FaceAmount <FaceAmt>	[1..1]	Amount	C1, C5	407
	Reason <Rsn>	[0..1]	Text		408

7.4.6.1 OptionType <OptnTp>

Presence: [1..1]

Definition: Specifies the corporate action options available to the account owner.

OptionType <OptnTp> contains one of the following **CorporateActionOption1FormatChoice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		405
Or}	Proprietary <Prtry>	[1..1]	±		406

7.4.6.1.1 Code <Cd>

Presence: [1..1]

Definition: Option type expressed as a code.

Datatype: "CorporateActionOptionType1Code" on page 821

CodeName	Name	Definition
BSPL	BonusSharePlan	Receive shares issued from the Share Premium Reserve of the company and considered as a capital distribution rather than a disbursement of income, with different tax implications (typically found in Australia).
BUYA	BuyUp	Buy additional securities to round up position.

CodeName	Name	Definition
CASE	CashAndSecurities	Election choice includes a combination of both cash and securities.
CASH	Cash	Distribution of cash.
CEXC	ConsentAndExchange	Vote to consent to change the terms of the securities agreement and to exchange securities.
CTEN	ConsentAndTender	Vote to consent to change the terms of the securities agreement and to tender securities for cash.
CONN	ConsentDenied	Vote not to approve the event or proposal.
CONY	ConsentGranted	Vote to approve the event or proposal.
EXER	Exercise	Exercise intermediate securities or warrants.
LAPS	Lapse	Allow event or entitled security to expire.
MPUT	Retain	An option that allows a holder to elect to retain their holding, eg, a puttable bond.
NOAC	NoAction	Option for the account owner not to take part in the event.
OFFR	ProposedRate	In a remarketing of variable notes, the margin that shareholders can propose in respect of the next interest period.
OVER	Oversubscribe	Subscribe to more equities than underlying securities position allows.
SECU	SecuritiesOption	Distribution of securities to holders.
SLLE	SellEntitlement	Sell the intermediate securities.
SPLI	SplitInstruction	Option to give a split instruction, eg, a split voting instruction on a meeting.
NOQU	NonQualifiedInvestor	The account owner is a Non-Qualified Investor.
OTHR	Other	Generic corporate action option to be used in case that no other specific code is appropriate.
QINV	QualifiedInvestor	The account owner is a Qualified Investor.

7.4.6.1.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Option type expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification13](#)" on page 693 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		693
	SchemeName <SchmeNm>	[0..1]	Text		693
	Issuer <Issr>	[1..1]	Text		693

7.4.6.2 OptionNumber <OptnNb>

Presence: [1..1]

Definition: Number identifying the available corporate action options.

Datatype: "[Exact3NumericText](#)" on page 852

7.4.6.3 NewInstructedQuantity <NewInstQty>

Presence: [1..1]

Definition: New instructed securities quantity after the amendment.

NewInstructedQuantity <NewInstQty> contains one of the following **UnitOrFaceAmount1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		407
Or}	FaceAmount <FaceAmt>	[1..1]	Amount	C1, C5	407

7.4.6.3.1 Unit <Unit>

Presence: [1..1]

Definition: Quantity expressed as a number, eg, a number of shares.

Datatype: "[DecimalNumber](#)" on page 851

7.4.6.3.2 FaceAmount <FaceAmt>

Presence: [1..1]

Definition: Quantity expressed as an amount representing the face amount, ie, the principal, of a debt instrument.

Impacted by: C1 "ActiveCurrency", C5 "CurrencyAmount"

Datatype: "[ActiveCurrencyAndAmount](#)" on page 801

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217

Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

7.4.6.4 Reason <Rsn>

Presence: [0..1]

Definition: The reason for the amendment request.

Datatype: "Max350Text" on page 853

7.4.7 ContactDetails <CtctDtls>

Presence: [0..1]

Definition: Contact responsible for the transaction identified in the message.

ContactDetails <CtctDtls> contains the following elements (see "ContactPerson1" on page 748 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ContactPerson <CtctPrsn>	[1..1]	±		748
	InstitutionIdentification <InstnId>	[0..1]	±		749

8 **seev.014.001.01** **AgentCAElectionCancellationRequestV01**

8.1 **MessageDefinition Functionality**

Scope

This message is sent by a CSD to the issuer (or its agent) to request the cancellation of a previously sent Agent Corporate Action Election Advice message.

Usage

This message may only be used to cancel an entire Agent Corporate Action Election Advice message that was previously sent by the CSD. No partial cancellation is allowed.

This message must contain the identification of the Agent Corporate Action Election Advice to be cancelled, the agent identification and the corporate action references. This message may also contain details of the election advice to be cancelled, but this is not recommended.

Outline

The AgentCAElectionCancellationRequestV01 MessageDefinition is composed of 4 MessageBuildingBlocks:

A. Identification

Identification assigned by the Sender to unambiguously identify the cancellation request.

B. AgentCAElectionAdviceIdentification

Identification of the Agent CA Election Advice to be cancelled.

C. CorporateActionGeneralInformation

General information about the corporate action event.

D. ElectionDetails

Provides information about the election advice to be cancelled.

8.2 Structure

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	Message root <Document> <AgtCAElctnCxlReq>	[1..1]			
	Identification <Id>	[1..1]	±		411
	AgentCAElectionAdviceIdentification <AgtCAElctnAdvclId>	[1..1]	±		412
	CorporateActionGeneralInformation <CorpActnGnlInf>	[1..1]	±	C3	412
	ElectionDetails <ElctnDtls>	[0..1]			413
	AccountDetails <AcctDtls>	[0..1]	±		415
	OptionType <OptnTp>	[0..1]			415
{Or	Code <Cd>	[1..1]	CodeSet		415
Or}	Proprietary <Prtry>	[1..1]	±		416
	OptionNumber <OptnNb>	[0..1]	Text		416
	InstructedUnderlyingSecuritiesQuantity <InstdUndrlygSctiesQty>	[0..1]			417
{Or	Unit <Unit>	[1..1]	Quantity		417
Or}	FaceAmount <FaceAmt>	[1..1]	Amount	C1, C5	417
	InstructedSecuritiesQuantityToReceive <InstdSctiesQtyToRcv>	[0..1]			417
{Or	Unit <Unit>	[1..1]	Quantity		418
Or}	FaceAmount <FaceAmt>	[1..1]	Amount	C1, C5	418
	ProposedRate <PropsdRate>	[0..1]	Rate		418
	CashMovementDetails <CshMvmntDtls>	[0..*]			418
	PostingIdentification <PstngId>	[0..1]	Text		419
	PostingDateTime <PstngDtTm>	[0..1]	±		419
	PostingAmount <PstngAmt>	[1..1]	Amount	C1, C5	419
	AccountDetails <AcctDtls>	[1..2]			420
	CreditDebitIndicator <CdtDbtInd>	[1..1]	CodeSet		420
	AccountOwnerIdentification <AcctOwnrId>	[0..1]	±		420
	AccountIdentification <AcctId>	[1..1]	±		420
	SecuritiesMovementDetails <SctiesMvmntDtls>	[0..*]			421
	SecurityIdentification <SctyId>	[1..1]	±		421
	PostingDateTime <PstngDtTm>	[0..1]	±		421
	PostingIdentification <PstngId>	[0..1]	Text		422

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	PostingQuantity <PstngQty>	[1..1]			422
{Or	Unit <Unit>	[1..1]	Quantity		422
Or}	FaceAmount <FaceAmt>	[1..1]	Amount	C1, C5	422
	AccountDetails <AcctDtls>	[1..2]	±		423

8.3 Constraints

C1 ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

C2 AnyBIC

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered by the ISO 9362 Registration Authority in the BIC directory, and consists of eight (8) or eleven (11) contiguous characters.

C3 CorporateActionIdentificationRule

At least one element in the list (IssuerCorporateActionReference, OfficialCorporateActionReference, CorporateActionProcessingIdentifier) must be present. They may all be may be present.

C4 Country

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

C5 CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

8.4 Message Building Blocks

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

8.4.1 Identification <Id>

Presence: [1..1]

Definition: Identification assigned by the Sender to unambiguously identify the cancellation request.

Identification <Id> contains the following elements (see ["DocumentIdentification8"](#) on page 690 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		690
	CreationDateTime <CreDtTm>	[0..1]	DateTime		690

8.4.2 AgentCAElectionAdviceIdentification <AgtCAElctnAdvId>

Presence: [1..1]

Definition: Identification of the Agent CA Election Advice to be cancelled.

AgentCAElectionAdviceIdentification <AgtCAElctnAdvId> contains the following elements (see ["DocumentIdentification8"](#) on page 690 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		690
	CreationDateTime <CreDtTm>	[0..1]	DateTime		690

8.4.3 CorporateActionGeneralInformation <CorpActnGnlInf>

Presence: [1..1]

Definition: General information about the corporate action event.

Impacted by: [C3 "CorporateActionIdentificationRule"](#)

CorporateActionGeneralInformation <CorpActnGnllnf> contains the following elements (see "CorporateActionInformation1" on page 695 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AgentIdentification <AgtId>	[1..1]	±		695
	IssuerCorporateActionIdentification <IssrCorpActnId>	[0..1]	Text		696
	CorporateActionProcessingIdentification <CorpActnPrvgId>	[0..1]	Text		696
	EventType <EvtTp>	[1..1]			696
{Or	Code <Cd>	[1..1]	CodeSet		696
Or}	Proprietary <Prtry>	[1..1]	±		702
	MandatoryVoluntaryEventType <MndtryVlntryEvtTp>	[1..1]			702
{Or	Code <Cd>	[1..1]	CodeSet		702
Or}	Proprietary <Prtry>	[1..1]	±		703
	EventProcessingType <EvtPrcgTp>	[0..1]			703
{Or	Code <Cd>	[1..1]	CodeSet		703
Or}	Proprietary <Prtry>	[1..1]	±		704
	UnderlyingSecurity <UndrlygScty>	[1..1]	±		704

Constraints

- **CorporateActionIdentificationRule**

At least one element in the list (IssuerCorporateActionReference, OfficialCorporateActionReference, CorporateActionProcessingIdentifier) must be present. They may all be present.

8.4.4 ElectionDetails <ElctnDtls>

Presence: [0..1]

Definition: Provides information about the election advice to be cancelled.

ElectionDetails <ElctnDtls> contains the following **CorporateActionElection3** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AccountDetails <AcctDtls>	[0..1]	±		415
	OptionType <OptnTp>	[0..1]			415
{Or	Code <Cd>	[1..1]	CodeSet		415
Or}	Proprietary <Prtry>	[1..1]	±		416
	OptionNumber <OptnNb>	[0..1]	Text		416
	InstructedUnderlyingSecuritiesQuantity <InstdUndrlygSciesQty>	[0..1]			417
{Or	Unit <Unit>	[1..1]	Quantity		417
Or}	FaceAmount <FaceAmt>	[1..1]	Amount	C1, C5	417
	InstructedSecuritiesQuantityToReceive <InstdSciesQtyToRcv>	[0..1]			417
{Or	Unit <Unit>	[1..1]	Quantity		418
Or}	FaceAmount <FaceAmt>	[1..1]	Amount	C1, C5	418
	ProposedRate <PropsdRate>	[0..1]	Rate		418
	CashMovementDetails <CshMvmntDtls>	[0..*]			418
	PostingIdentification <Pstngld>	[0..1]	Text		419
	PostingDateTime <PstngDtTm>	[0..1]	±		419
	PostingAmount <PstngAmt>	[1..1]	Amount	C1, C5	419
	AccountDetails <AcctDtls>	[1..2]			420
	CreditDebitIndicator <CdtDbtInd>	[1..1]	CodeSet		420
	AccountOwnerIdentification <AcctOwnrld>	[0..1]	±		420
	AccountIdentification <Acctld>	[1..1]	±		420
	SecuritiesMovementDetails <SciesMvmntDtls>	[0..*]			421
	SecurityIdentification <Sctyld>	[1..1]	±		421
	PostingDateTime <PstngDtTm>	[0..1]	±		421
	PostingIdentification <Pstngld>	[0..1]	Text		422
	PostingQuantity <PstngQty>	[1..1]			422
{Or	Unit <Unit>	[1..1]	Quantity		422
Or}	FaceAmount <FaceAmt>	[1..1]	Amount	C1, C5	422
	AccountDetails <AcctDtls>	[1..2]	±		423

8.4.4.1 AccountDetails <AcctDtls>*Presence:* [0..1]*Definition:* Provides information about the account.**AccountDetails <AcctDtls>** contains the following elements (see "[SecuritiesAccount7](#)" on page 669 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AccountOwnerIdentification <AcctOwnrId>	[0..1]	±		669
	AccountIdentification <AcctId>	[1..1]	Text		669

8.4.4.2 OptionType <OptnTp>*Presence:* [0..1]*Definition:* Specifies the corporate action options available to the account owner.**OptionType <OptnTp>** contains one of the following **CorporateActionOption1FormatChoice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		415
Or}	Proprietary <Prtry>	[1..1]	±		416

8.4.4.2.1 Code <Cd>*Presence:* [1..1]*Definition:* Option type expressed as a code.*Datatype:* "[CorporateActionOptionType1Code](#)" on page 821

CodeName	Name	Definition
BSPL	BonusSharePlan	Receive shares issued from the Share Premium Reserve of the company and considered as a capital distribution rather than a disbursement of income, with different tax implications (typically found in Australia).
BUYA	BuyUp	Buy additional securities to round up position.
CASE	CashAndSecurities	Election choice includes a combination of both cash and securities.
CASH	Cash	Distribution of cash.
CEXC	ConsentAndExchange	Vote to consent to change the terms of the securities agreement and to exchange securities.
CTEN	ConsentAndTender	Vote to consent to change the terms of the securities agreement and to tender securities for cash.

CodeName	Name	Definition
CONN	ConsentDenied	Vote not to approve the event or proposal.
CONY	ConsentGranted	Vote to approve the event or proposal.
EXER	Exercise	Exercise intermediate securities or warrants.
LAPS	Lapse	Allow event or entitled security to expire.
MPUT	Retain	An option that allows a holder to elect to retain their holding, eg, a putable bond.
NOAC	NoAction	Option for the account owner not to take part in the event.
OFFR	ProposedRate	In a remarketing of variable notes, the margin that shareholders can propose in respect of the next interest period.
OVER	Oversubscribe	Subscribe to more equities than underlying securities position allows.
SECU	SecuritiesOption	Distribution of securities to holders.
SLLE	SellEntitlement	Sell the intermediate securities.
SPLI	SplitInstruction	Option to give a split instruction, eg, a split voting instruction on a meeting.
NOQU	NonQualifiedInvestor	The account owner is a Non-Qualified Investor.
OTHR	Other	Generic corporate action option to be used in case that no other specific code is appropriate.
QINV	QualifiedInvestor	The account owner is a Qualified Investor.

8.4.4.2.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Option type expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification13](#)" on page 693 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		693
	SchemeName <SchmeNm>	[0..1]	Text		693
	Issuer <Issr>	[1..1]	Text		693

8.4.4.3 OptionNumber <OptnNb>

Presence: [0..1]

Definition: Number identifying the available corporate action options.

Datatype: "[Exact3NumericText](#)" on page 852

8.4.4.4 InstructedUnderlyingSecuritiesQuantity <InstdUndrlygSctiesQty>*Presence:* [0..1]*Definition:* Quantity of underlying securities to which this instruction applies.**InstructedUnderlyingSecuritiesQuantity <InstdUndrlygSctiesQty>** contains one of the following **UnitOrFaceAmount1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		417
Or}	FaceAmount <FaceAmt>	[1..1]	Amount	C1, C5	417

8.4.4.4.1 Unit <Unit>*Presence:* [1..1]*Definition:* Quantity expressed as a number, eg, a number of shares.*Datatype:* "DecimalNumber" on page 851**8.4.4.4.2 FaceAmount <FaceAmt>***Presence:* [1..1]*Definition:* Quantity expressed as an amount representing the face amount, ie, the principal, of a debt instrument.*Impacted by:* C1 "ActiveCurrency", C5 "CurrencyAmount"*Datatype:* "ActiveCurrencyAndAmount" on page 801**Constraints**

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

8.4.4.5 InstructedSecuritiesQuantityToReceive <InstdSctiesQtyToRcv>*Presence:* [0..1]*Definition:* Quantity of the benefits that the account owner wants to receive, eg, as a result of dividend reinvestment.

InstructedSecuritiesQuantityToReceive <InstdSctiesQtyToRcv> contains one of the following **UnitOrFaceAmount1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		418
Or}	FaceAmount <FaceAmt>	[1..1]	Amount	C1, C5	418

8.4.4.5.1 Unit <Unit>

Presence: [1..1]

Definition: Quantity expressed as a number, eg, a number of shares.

Datatype: "DecimalNumber" on page 851

8.4.4.5.2 FaceAmount <FaceAmt>

Presence: [1..1]

Definition: Quantity expressed as an amount representing the face amount, ie, the principal, of a debt instrument.

Impacted by: C1 "ActiveCurrency", C5 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 801

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

8.4.4.6 ProposedRate <PropsdRate>

Presence: [0..1]

Definition: Rate proposed in a remarketing of variable rate notes.

Datatype: "PercentageRate" on page 851

8.4.4.7 CashMovementDetails <CshMvmntDtls>

Presence: [0..*]

Definition: Provides information about the cash movement resulting from the election instruction.

CashMovementDetails <CshMvmntDtls> contains the following **CorporateActionCashMovements2** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PostingIdentification <Pstngld>	[0..1]	Text		419
	PostingDateTime <PstngDtTm>	[0..1]	±		419
	PostingAmount <PstngAmt>	[1..1]	Amount	C1, C5	419
	AccountDetails <AcctDtls>	[1..2]			420
	CreditDebitIndicator <CdtDbtInd>	[1..1]	CodeSet		420
	AccountOwnerIdentification <AcctOwnrld>	[0..1]	±		420
	AccountIdentification <AcctId>	[1..1]	±		420

8.4.4.7.1 PostingIdentification <Pstngld>

Presence: [0..1]

Definition: Posting identification of the cash movement.

Datatype: "Max35Text" on page 853

8.4.4.7.2 PostingDateTime <PstngDtTm>

Presence: [0..1]

Definition: Posting date of the cash movement.

PostingDateTime <PstngDtTm> contains one of the following elements (see "DateAndDateTimeChoice" on page 678 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		678
Or}	DateTime <DtTm>	[1..1]	DateTime		678

8.4.4.7.3 PostingAmount <PstngAmt>

Presence: [1..1]

Definition: Amount posted as a result of the cash movement.

Impacted by: C1 "ActiveCurrency", C5 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 801

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217

Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

8.4.4.7.4 AccountDetails <AcctDtls>

Presence: [1..2]

Definition: Provides information about the account which is debited/credited as a result of the movement.

AccountDetails <AcctDtls> contains the following **CashAccount19** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CreditDebitIndicator <CdtDbtInd>	[1..1]	CodeSet		420
	AccountOwnerIdentification <AcctOwnrld>	[0..1]	±		420
	AccountIdentification <AcctId>	[1..1]	±		420

8.4.4.7.4.1 CreditDebitIndicator <CdtDbtInd>

Presence: [1..1]

Definition: Specifies whether the value is a debit or credit.

Datatype: "CreditDebitCode" on page 822

CodeName	Name	Definition
CRDT	Credit	Operation is an increase.
DBIT	Debit	Operation is a decrease.

8.4.4.7.4.2 AccountOwnerIdentification <AcctOwnrld>

Presence: [0..1]

Definition: Identification of the party that owns the account.

AccountOwnerIdentification <AcctOwnrld> contains one of the following elements (see "PartyIdentification2Choice" on page 733 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	BICOrBEI <BICOrBEI>	[1..1]	IdentifierSet	C2	733
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		733
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		734

8.4.4.7.4.3 AccountIdentification <AcctId>

Presence: [1..1]

Definition: Identification of the cash account or the securities account from which the cash account is derived.

AccountIdentification <AcctId> contains one of the following elements (see "AccountIdentification2Choice" on page 670 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	CashAccountIdentification <CshAcctId>	[1..1]	Text		670
Or}	SecuritiesAccountIdentification <SctiesAcctId>	[1..1]	Text		670

8.4.4.8 SecuritiesMovementDetails <SctiesMvmntDtIs>

Presence: [0..*]

Definition: Provides information about the securities movement resulting from the election instruction.

SecuritiesMovementDetails <SctiesMvmntDtIs> contains the following **CorporateActionSecuritiesMovement2** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	SecurityIdentification <SctyId>	[1..1]	±		421
	PostingDateTime <PstngDtTm>	[0..1]	±		421
	PostingIdentification <PstngId>	[0..1]	Text		422
	PostingQuantity <PstngQty>	[1..1]			422
{Or	Unit <Unit>	[1..1]	Quantity		422
Or}	FaceAmount <FaceAmt>	[1..1]	Amount	C1, C5	422
	AccountDetails <AcctDtIs>	[1..2]	±		423

8.4.4.8.1 SecurityIdentification <SctyId>

Presence: [1..1]

Definition: Identification of the financial instrument.

SecurityIdentification <SctyId> contains the following elements (see "SecurityIdentification7" on page 791 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	ISIN <ISIN>	[1..1]	IdentifierSet		791
Or}	OtherIdentification <OthrId>	[1..1]			791
	Identification <Id>	[1..1]	Text		792
{Or	DomesticIdentificationSource <DmstIdSrc>	[1..1]	CodeSet	C4	792
Or}	ProprietaryIdentificationSource <PrtryIdSrc>	[1..1]	Text		792
	Description <Desc>	[0..1]	Text		792

8.4.4.8.2 PostingDateTime <PstngDtTm>

Presence: [0..1]

Definition: Date and time of the posting of the movement.

PostingDateTime <PstngDtTm> contains one of the following elements (see "DateAndDateTimeChoice" on page 678 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		678
Or}	DateTime <DtTm>	[1..1]	DateTime		678

8.4.4.8.3 PostingIdentification <PstngId>

Presence: [0..1]

Definition: Posting identification of the securities movement.

Datatype: "Max35Text" on page 853

8.4.4.8.4 PostingQuantity <PstngQty>

Presence: [1..1]

Definition: Securities quantity posted as a result of the securities movement.

PostingQuantity <PstngQty> contains one of the following **UnitOrFaceAmount1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		422
Or}	FaceAmount <FaceAmt>	[1..1]	Amount	C1, C5	422

8.4.4.8.4.1 Unit <Unit>

Presence: [1..1]

Definition: Quantity expressed as a number, eg, a number of shares.

Datatype: "DecimalNumber" on page 851

8.4.4.8.4.2 FaceAmount <FaceAmt>

Presence: [1..1]

Definition: Quantity expressed as an amount representing the face amount, ie, the principal, of a debt instrument.

Impacted by: C1 "ActiveCurrency", C5 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 801

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217

Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

8.4.4.8.5 AccountDetails <AcctDtls>

Presence: [1..2]

Definition: Provides information about the account which is debited/credited as a result of the movement.

AccountDetails <AcctDtls> contains the following elements (see "[SecuritiesAccount9](#)" on page 659 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CreditDebitIndicator <CdtDbtInd>	[1..1]	CodeSet		660
	AccountOwnerIdentification <AcctOwnrId>	[0..1]	±		660
	AccountIdentification <AcctId>	[1..1]	Text		660
	BalanceType <BalTp>	[0..1]			661
{Or	Code <Cd>	[1..1]	CodeSet		661
Or}	Proprietary <Prtry>	[1..1]	±		661
	OptionType <OptnTp>	[0..1]			662
{Or	Code <Cd>	[1..1]	CodeSet		662
Or}	Proprietary <Prtry>	[1..1]	±		663
	OptionNumber <OptnNb>	[0..1]	Text		663
	SecurityHoldingForm <SctyHldgForm>	[0..1]	CodeSet		663

9 **seev.015.001.01**

AgentCAElectionStatusAdviceV01

9.1 **MessageDefinition Functionality**

Scope

This message is sent by an issuer (or its agent) to the CSD to report the status, or a change in status, of:

- a corporate action election advice;
- an election cancellation request; or
- an election amendment request.

Usage

This message must be sent in response to an:

- Agent Corporation Action Election Advice to provide the status of an election advice in the case of a rejection. However, it may also be used in all other situations, in which case, the building blocks Election Advice Identification and the Election Advice Status must be present.
- Agent Corporation Action Election Cancellation Request to provide the status of the cancellation request, in which case, the building blocks Election Cancellation Request Identification and the Election Cancellation Request Status must be present.
- Agent Corporation Action Election Amendment Request to provide the status of the amendment request, in which case, the building blocks Election Amendment Request Identification and the Election Amendment Request Status must be present.

Outline

The AgentCAElectionStatusAdviceV01 MessageDefinition is composed of 8 MessageBuildingBlocks:

A. Identification

Identification assigned by the Sender to unambiguously identify the status advice.

B. AgentCAElectionAdviceIdentification

Identification of the linked Agent CA Election Advice for which a status is given.

C. AgentCAElectionCancellationRequestIdentification

Identification of the linked Agent CA Election Cancellation Request for which a status is given.

D. AgentCAElectionAmendmentRequestIdentification

Identification of the linked Agent CA Election Amendment Request for which a status is given.

E. CorporateActionGeneralInformation

General information about the corporate action event.

F. ElectionAdviceStatus

Status of the election advice sent by the CSD.

G. ElectionCancellationRequestStatus

Status of the election cancellation request sent by the CSD.

H. ElectionAmendmentRequestStatus

Status of the amendment request sent by the CSD.

9.2 Structure

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	Message root <Document> <AgtCAElctnStsAdv>	[1..1]		C4, C5, C6	
	Identification <Id>	[1..1]	±		428
{Or	AgentCAElectionAdviceIdentification <AgtCAElctnAdvId>	[1..1]	±		428
Or	AgentCAElectionCancellationRequestIdentification <AgtCAElctnCxlReqId>	[1..1]	±		428
Or}	AgentCAElectionAmendmentRequestIdentification <AgtCAElctnAmdmntReqId>	[1..1]	±		429
	CorporateActionGeneralInformation <CorpActnGnllnf>	[1..1]	±	C2	429
{Or	ElectionAdviceStatus <ElctnAdvSts>	[1..1]			430
{Or	ProcessedStatus <PrcdSts>	[1..1]			431
	Status <Sts>	[1..1]			431
{Or	Code <Cd>	[1..1]	CodeSet		431
Or}	Proprietary <Prtry>	[1..1]	±		432
	AdditionalInformation <AddtlInf>	[0..1]	Text		432
Or}	RejectedStatus <RjctdSts>	[1..1]			432
	Reason <Rsn>	[1..*]			432
{Or	Code <Cd>	[1..1]	CodeSet		433
Or}	Proprietary <Prtry>	[1..1]	±		433
	AdditionalInformation <AddtlInf>	[0..1]	Text		433
Or	ElectionCancellationRequestStatus <ElctnCxlReqSts>	[1..1]			433
{Or	ProcessedStatus <PrcdSts>	[1..1]			434
	Status <Sts>	[1..1]			434
{Or	Code <Cd>	[1..1]	CodeSet		434
Or}	Proprietary <Prtry>	[1..1]	±		435
	AdditionalInformation <AddtlInf>	[0..1]	Text		435
Or}	RejectedStatus <RjctdSts>	[1..1]			435
	Reason <Rsn>	[1..*]			435
{Or	Code <Cd>	[1..1]	CodeSet		436
Or}	Proprietary <Prtry>	[1..1]	±		436
	AdditionalInformation <AddtlInf>	[0..1]	Text		436

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
Or}	ElectionAmendmentRequestStatus <ElctnAmdmntReqSts>	[1..1]			436
{Or	ProcessedStatus <PrccdSts>	[1..1]			437
	Status <Sts>	[1..1]			437
{Or	Code <Cd>	[1..1]	CodeSet		437
Or}	Proprietary <Prtry>	[1..1]	±		438
	AdditionalInformation <AddtlInf>	[0..1]	Text		438
Or}	RejectedStatus <RjctdSts>	[1..1]			438
	Reason <Rsn>	[1..*]			438
{Or	Code <Cd>	[1..1]	CodeSet		439
Or}	Proprietary <Prtry>	[1..1]	±		439
	AdditionalInformation <AddtlInf>	[0..1]	Text		439

9.3 Constraints

C1 AnyBIC

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered by the ISO 9362 Registration Authority in the BIC directory, and consists of eight (8) or eleven (11) contiguous characters.

C2 CorporateActionIdentificationRule

At least one element in the list (IssuerCorporateActionReference, OfficialCorporateActionReference, CorporateActionProcessingIdentifier) must be present. They may all be may be present.

C3 Country

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

C4 ElectionAdviceStatusRule

If AgentCAElectionAdviceIdentification is present, then ElectionAdviceStatus must be present.

This constraint is defined at the MessageDefinition level.

C5 ElectionAmendmentRequestStatusRule

If AgentCAElectionAmendmentRequestIdentification is present, then ElectionAmendmentRequestStatus must be present.

This constraint is defined at the MessageDefinition level.

C6 ElectionCancellationRequestStatusRule

If AgentCAElectionCancellationRequestIdentification is present, then ElectionCancellationRequestStatus must be present.

This constraint is defined at the MessageDefinition level.

9.4 Message Building Blocks

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

9.4.1 Identification <Id>

Presence: [1..1]

Definition: Identification assigned by the Sender to unambiguously identify the status advice.

Identification <Id> contains the following elements (see ["DocumentIdentification8"](#) on page 690 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		690
	CreationDateTime <CreDtTm>	[0..1]	DateTime		690

9.4.2 AgentCAElectionAdviceIdentification <AgtCAElctnAdvId>

Presence: [1..1]

Warning Either AgentCAElectionAdviceIdentification or AgentCAElectionCancellationRequestIdentification or AgentCAElectionAmendmentRequestIdentification must be present.

Definition: Identification of the linked Agent CA Election Advice for which a status is given.

AgentCAElectionAdviceIdentification <AgtCAElctnAdvId> contains the following elements (see ["DocumentIdentification8"](#) on page 690 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		690
	CreationDateTime <CreDtTm>	[0..1]	DateTime		690

9.4.3 AgentCAElectionCancellationRequestIdentification <AgtCAElctnCxlReqId>

Presence: [1..1]

Warning Either AgentCAElectionAdviceIdentification or AgentCAElectionCancellationRequestIdentification or AgentCAElectionAmendmentRequestIdentification must be present.

Definition: Identification of the linked Agent CA Election Cancellation Request for which a status is given.

AgentCAElectionCancellationRequestIdentification <AgtCAElctnCxlReqId> contains the following elements (see "DocumentIdentification8" on page 690 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		690
	CreationDateTime <CreDtTm>	[0..1]	DateTime		690

9.4.4 AgentCAElectionAmendmentRequestIdentification <AgtCAElctnAmdmntReqId>

Presence: [1..1]

Warning Either AgentCAElectionAdviceIdentification or AgentCAElectionCancellationRequestIdentification or AgentCAElectionAmendmentRequestIdentification must be present.

Definition: Identification of the linked Agent CA Election Amendment Request for which a status is given.

AgentCAElectionAmendmentRequestIdentification <AgtCAElctnAmdmntReqId> contains the following elements (see "DocumentIdentification8" on page 690 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		690
	CreationDateTime <CreDtTm>	[0..1]	DateTime		690

9.4.5 CorporateActionGeneralInformation <CorpActnGnlInf>

Presence: [1..1]

Definition: General information about the corporate action event.

Impacted by: C2 "CorporateActionIdentificationRule"

CorporateActionGeneralInformation <CorpActnGnllnf> contains the following elements (see "CorporateActionInformation1" on page 695 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AgentIdentification <AgtId>	[1..1]	±		695
	IssuerCorporateActionIdentification <IssrCorpActnId>	[0..1]	Text		696
	CorporateActionProcessingIdentification <CorpActnPrvgId>	[0..1]	Text		696
	EventType <EvtTp>	[1..1]			696
{Or	Code <Cd>	[1..1]	CodeSet		696
Or}	Proprietary <Prtry>	[1..1]	±		702
	MandatoryVoluntaryEventType <MndtryVlntryEvtTp>	[1..1]			702
{Or	Code <Cd>	[1..1]	CodeSet		702
Or}	Proprietary <Prtry>	[1..1]	±		703
	EventProcessingType <EvtPrvgTp>	[0..1]			703
{Or	Code <Cd>	[1..1]	CodeSet		703
Or}	Proprietary <Prtry>	[1..1]	±		704
	UnderlyingSecurity <UndrlygScty>	[1..1]	±		704

Constraints

- **CorporateActionIdentificationRule**

At least one element in the list (IssuerCorporateActionReference, OfficialCorporateActionReference, CorporateActionProcessingIdentifier) must be present. They may all be may be present.

9.4.6 ElectionAdviceStatus <ElctnAdvcsSts>

Presence: [1..1]

Warning Either ElectionAdviceStatus or ElectionCancellationRequestStatus or ElectionAmendmentRequestStatus must be present.

Definition: Status of the election advice sent by the CSD.

ElectionAdviceStatus <ElctnAdvcs> contains one of the following **ElectionAdviceStatus1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	ProcessedStatus <PrcdSts>	[1..1]			431
	Status <Sts>	[1..1]			431
{Or	Code <Cd>	[1..1]	CodeSet		431
Or}	Proprietary <Prtry>	[1..1]	±		432
	AdditionalInformation <AddtlInf>	[0..1]	Text		432
Or}	RejectedStatus <RjctdSts>	[1..1]			432
	Reason <Rsn>	[1..*]			432
{Or	Code <Cd>	[1..1]	CodeSet		433
Or}	Proprietary <Prtry>	[1..1]	±		433
	AdditionalInformation <AddtlInf>	[0..1]	Text		433

9.4.6.1 ProcessedStatus <PrcdSts>

Presence: [1..1]

Definition: Provides information about the processing status of advice.

ProcessedStatus <PrcdSts> contains the following **CorporateActionInstructionProcessingStatus1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Status <Sts>	[1..1]			431
{Or	Code <Cd>	[1..1]	CodeSet		431
Or}	Proprietary <Prtry>	[1..1]	±		432
	AdditionalInformation <AddtlInf>	[0..1]	Text		432

9.4.6.1.1 Status <Sts>

Presence: [1..1]

Definition: The processing status.

Status <Sts> contains one of the following **ProcessedStatus3FormatChoice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		431
Or}	Proprietary <Prtry>	[1..1]	±		432

9.4.6.1.1.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the processing status of an advice, a request or a movement.

Datatype: "ProcessedStatus3Code" on page 832

CodeName	Name	Definition
RECE	Received	The instruction/request has been received.
PEND	Pending	The processing of the request is pending.
PACK	Accepted	The request is accepted.

9.4.6.1.1.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary code to express the processing status of an advice, a request or a movement.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification13](#)" on page 693 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		693
	SchemeName <SchmeNm>	[0..1]	Text		693
	Issuer <Issr>	[1..1]	Text		693

9.4.6.1.2 AdditionalInformation <AddtlInf>

Presence: [0..1]

Definition: Additional information about the status.

Datatype: "Max350Text" on page 853

9.4.6.2 RejectedStatus <RjctdSts>

Presence: [1..1]

Definition: Provides information about the rejection status.

RejectedStatus <RjctdSts> contains the following **CorporateActionInstructionRejectionStatus1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reason <Rsn>	[1..*]			432
{Or	Code <Cd>	[1..1]	CodeSet		433
Or}	Proprietary <Prtry>	[1..1]	±		433
	AdditionalInformation <AddtlInf>	[0..1]	Text		433

9.4.6.2.1 Reason <Rsn>

Presence: [1..*]

Definition: The rejection reason.

Reason <Rsn> contains one of the following **RejectionReason18FormatChoice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		433
Or}	Proprietary <Prtry>	[1..1]	±		433

9.4.6.2.1.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the reason of a rejection of an election advice.

Datatype: "RejectionReason18Code" on page 838

CodeName	Name	Definition
FAIL	FailedValidation	The validation of the advice/instruction/request failed.
INHO	InsufficientBalance	Insufficient balance.
LATT	Late	Instruction/Request arrives too late.

9.4.6.2.1.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary code to express the reason of a rejection of an election advice.

Proprietary <Prtry> contains the following elements (see "GenericIdentification13" on page 693 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		693
	SchemeName <SchmeNm>	[0..1]	Text		693
	Issuer <Issr>	[1..1]	Text		693

9.4.6.2.2 AdditionalInformation <AddtlInf>

Presence: [0..1]

Definition: Additional information about the status.

Datatype: "Max350Text" on page 853

9.4.7 ElectionCancellationRequestStatus <ElctnCxlReqSts>

Presence: [1..1]

Warning Either ElectionAdviceStatus or ElectionCancellationRequestStatus or ElectionAmendmentRequestStatus must be present.

Definition: Status of the election cancellation request sent by the CSD.

ElectionCancellationRequestStatus <ElctnCxlReqSts> contains one of the following **ElectionCancellationStatus1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	ProcessedStatus <PrcdSts>	[1..1]			434
	Status <Sts>	[1..1]			434
{Or	Code <Cd>	[1..1]	CodeSet		434
Or}	Proprietary <Prtry>	[1..1]	±		435
	AdditionalInformation <AddtlInf>	[0..1]	Text		435
Or}	RejectedStatus <RjctdSts>	[1..1]			435
	Reason <Rsn>	[1..*]			435
{Or	Code <Cd>	[1..1]	CodeSet		436
Or}	Proprietary <Prtry>	[1..1]	±		436
	AdditionalInformation <AddtlInf>	[0..1]	Text		436

9.4.7.1 ProcessedStatus <PrcdSts>

Presence: [1..1]

Definition: Provides information about the processing status of the request.

ProcessedStatus <PrcdSts> contains the following **CorporateActionCancellationProcessingStatus1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Status <Sts>	[1..1]			434
{Or	Code <Cd>	[1..1]	CodeSet		434
Or}	Proprietary <Prtry>	[1..1]	±		435
	AdditionalInformation <AddtlInf>	[0..1]	Text		435

9.4.7.1.1 Status <Sts>

Presence: [1..1]

Definition: The processing status.

Status <Sts> contains one of the following **ProcessedStatus5FormatChoice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		434
Or}	Proprietary <Prtry>	[1..1]	±		435

9.4.7.1.1.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the processing status of a request.

Datatype: "ProcessedStatus5Code" on page 833

CodeName	Name	Definition
RECE	Received	The instruction/request has been received.
PACK	Accepted	The request is accepted.

9.4.7.1.1.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary code to express the processing status of a request.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification13](#)" on page 693 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		693
	SchemeName <SchmeNm>	[0..1]	Text		693
	Issuer <Issr>	[1..1]	Text		693

9.4.7.1.2 AdditionalInformation <AddtlInf>

Presence: [0..1]

Definition: Additional information about the status.

Datatype: "Max350Text" on page 853

9.4.7.2 RejectedStatus <RjctdSts>

Presence: [1..1]

Definition: Provides information about the rejection status.

RejectedStatus <RjctdSts> contains the following **CorporateActionCancellationRejectionStatus1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reason <Rsn>	[1..*]			435
{Or	Code <Cd>	[1..1]	CodeSet		436
Or}	Proprietary <Prtry>	[1..1]	±		436
	AdditionalInformation <AddtlInf>	[0..1]	Text		436

9.4.7.2.1 Reason <Rsn>

Presence: [1..*]

Definition: The rejection reason.

Reason <Rsn> contains one of the following **RejectionReason9FormatChoice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		436
Or}	Proprietary <Prtry>	[1..1]	±		436

9.4.7.2.1.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the reason of a rejection of an election cancellation request.

Datatype: "RejectionReason9Code" on page 842

CodeName	Name	Definition
NCAN	CancellationNotAllowed	Cancellation is not allowed.
LATT	Late	Instruction/Request arrives too late.
ELEC	ElectionAlreadyCancelled	The election advice is already cancelled.
FAIL	FailedValidation	The validation of the advice/instruction/request failed.

9.4.7.2.1.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary code to express the reason of a rejection of an election cancellation request.

Proprietary <Prtry> contains the following elements (see "GenericIdentification13" on page 693 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		693
	SchemeName <SchmeNm>	[0..1]	Text		693
	Issuer <Issr>	[1..1]	Text		693

9.4.7.2.2 AdditionalInformation <AddtlInf>

Presence: [0..1]

Definition: Additional information about the status.

Datatype: "Max350Text" on page 853

9.4.8 ElectionAmendmentRequestStatus <ElctnAmdmntReqSts>

Presence: [1..1]

Warning Either ElectionAdviceStatus or ElectionCancellationRequestStatus or ElectionAmendmentRequestStatus must be present.

Definition: Status of the amendment request sent by the CSD.

ElectionAmendmentRequestStatus <ElctnAmdmntReqSts> contains one of the following **ElectionAmendmentStatus1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	ProcessedStatus <PrcdSts>	[1..1]			437
	Status <Sts>	[1..1]			437
{Or	Code <Cd>	[1..1]	CodeSet		437
Or}	Proprietary <Prtry>	[1..1]	±		438
	AdditionalInformation <AddtlInf>	[0..1]	Text		438
Or}	RejectedStatus <RjctdSts>	[1..1]			438
	Reason <Rsn>	[1..*]			438
{Or	Code <Cd>	[1..1]	CodeSet		439
Or}	Proprietary <Prtry>	[1..1]	±		439
	AdditionalInformation <AddtlInf>	[0..1]	Text		439

9.4.8.1 ProcessedStatus <PrcdSts>

Presence: [1..1]

Definition: Provides information about the processing status of the request.

ProcessedStatus <PrcdSts> contains the following **CorporateActionAmendmentProcessingStatus1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Status <Sts>	[1..1]			437
{Or	Code <Cd>	[1..1]	CodeSet		437
Or}	Proprietary <Prtry>	[1..1]	±		438
	AdditionalInformation <AddtlInf>	[0..1]	Text		438

9.4.8.1.1 Status <Sts>

Presence: [1..1]

Definition: The processing status.

Status <Sts> contains one of the following **ProcessedStatus5FormatChoice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		437
Or}	Proprietary <Prtry>	[1..1]	±		438

9.4.8.1.1.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the processing status of a request.

Datatype: "ProcessedStatus5Code" on page 833

CodeName	Name	Definition
RECE	Received	The instruction/request has been received.
PACK	Accepted	The request is accepted.

9.4.8.1.1.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary code to express the processing status of a request.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification13](#)" on page 693 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		693
	SchemeName <SchmeNm>	[0..1]	Text		693
	Issuer <Issr>	[1..1]	Text		693

9.4.8.1.2 AdditionalInformation <AddtlInf>

Presence: [0..1]

Definition: Additional information about the status.

Datatype: "Max350Text" on page 853

9.4.8.2 RejectedStatus <RjctdSts>

Presence: [1..1]

Definition: Provides information about the rejection status.

RejectedStatus <RjctdSts> contains the following **CorporateActionAmendmentRejectionStatus1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reason <Rsn>	[1..*]			438
{Or	Code <Cd>	[1..1]	CodeSet		439
Or}	Proprietary <Prtry>	[1..1]	±		439
	AdditionalInformation <AddtlInf>	[0..1]	Text		439

9.4.8.2.1 Reason <Rsn>

Presence: [1..*]

Definition: The rejection reason.

Reason <Rsn> contains one of the following **RejectionReason8FormatChoice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		439
Or}	Proprietary <Prtry>	[1..1]	±		439

9.4.8.2.1.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the reason of a rejection of an election amendment request.

Datatype: "RejectionReason8Code" on page 842

CodeName	Name	Definition
NAMD	AmendmentNotAllowed	Amendment is not allowed.
LATT	Late	Instruction/Request arrives too late.
ELEC	ElectionAlreadyCancelled	The election advice is already cancelled.
FAIL	FailedValidation	The validation of the advice/instruction/request failed.

9.4.8.2.1.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary code to express the reason of a rejection of an election amendment request.

Proprietary <Prtry> contains the following elements (see "GenericIdentification13" on page 693 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		693
	SchemeName <SchmeNm>	[0..1]	Text		693
	Issuer <Issr>	[1..1]	Text		693

9.4.8.2.2 AdditionalInformation <AddtlInf>

Presence: [0..1]

Definition: Additional information about the status.

Datatype: "Max350Text" on page 853

10 **seev.016.001.01**

AgentCADistributionBreakdownAdviceV01

10.1 **MessageDefinition Functionality**

Scope

This message is sent by a CSD to an issuer (or its agent) to provide distribution breakdown information for the proceeds that are to be delivered outside the CSD (e.g. when the proceeds are not eligible in the CSD).

Usage

This message is used to provide distribution breakdown information (securities and/or cash) per account for a specific corporate action option.

Note: the delivery details are sent through the Agent Corporate Action Information Advice.

Outline

The AgentCADistributionBreakdownAdviceV01 MessageDefinition is composed of 3 MessageBuildingBlocks:

A. Identification

Identification assigned by the Sender to unambiguously identify the advice.

B. CorporateActionGeneralInformation

General information about the corporate action event.

C. CorporateActionDistributionDetails

Provides information about the CA option and the entitlements.

10.2 Structure

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	Message root <Document> <AgtCADstrbtnBrkdwnAdv>	[1..1]			
	Identification <Id>	[1..1]	±		442
	CorporateActionGeneralInformation <CorpActnGnlInf>	[1..1]	±	C3	442
	CorporateActionDistributionDetails <CorpActnDstrbtnDtls>	[1..1]			443
	OptionType <OptnTp>	[1..1]			444
{Or	Code <Cd>	[1..1]	CodeSet		444
Or}	Proprietary <Prtry>	[1..1]	±		445
	OptionNumber <OptnNb>	[1..1]	Text		446
	RecordDate <RcrdDt>	[0..1]	±		446
	PaymentDate <PmtDt>	[0..1]	±		446
	AccountAndDistributionDetails <AcctAndDstrbtnDtls>	[1..*]			446
	AccountOwnerIdentification <AcctOwnrId>	[0..1]	±		447
	AccountIdentification <AcctId>	[1..1]	Text		447
	SecuritiesDistributionDetails <SctiesDstrbtnDtls>	[0..*]			447
	SecurityIdentification <SctyId>	[1..1]	±		448
	EntitledSecuritiesQuantity <EntitldSctiesQty>	[1..1]			448
{Or	Unit <Unit>	[1..1]	Quantity		448
Or}	FaceAmount <FaceAmt>	[1..1]	Amount	C1, C5	449
	CashDistributionDetails <CshDstrbtnDtls>	[0..*]			449
	CashAmount <CshAmt>	[1..1]	Amount	C1, C5	449

10.3 Constraints

C1 ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

C2 AnyBIC

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered by the ISO 9362 Registration Authority in the BIC directory, and consists of eight (8) or eleven (11) contiguous characters.

C3 CorporateActionIdentificationRule

At least one element in the list (IssuerCorporateActionReference, OfficialCorporateActionReference, CorporateActionProcessingIdentifier) must be present. They may all be may be present.

C4 Country

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

C5 CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

10.4 Message Building Blocks

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

10.4.1 Identification <Id>

Presence: [1..1]

Definition: Identification assigned by the Sender to unambiguously identify the advice.

Identification <Id> contains the following elements (see "[DocumentIdentification8](#)" on page 690 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		690
	CreationDateTime <CreDtTm>	[0..1]	DateTime		690

10.4.2 CorporateActionGeneralInformation <CorpActnGnlInf>

Presence: [1..1]

Definition: General information about the corporate action event.

Impacted by: [C3 "CorporateActionIdentificationRule"](#)

CorporateActionGeneralInformation <CorpActnGnllnf> contains the following elements (see "CorporateActionInformation1" on page 695 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AgentIdentification <AgtId>	[1..1]	±		695
	IssuerCorporateActionIdentification <IssrCorpActnId>	[0..1]	Text		696
	CorporateActionProcessingIdentification <CorpActnPrcgId>	[0..1]	Text		696
	EventType <EvtTp>	[1..1]			696
{Or	Code <Cd>	[1..1]	CodeSet		696
Or}	Proprietary <Prtry>	[1..1]	±		702
	MandatoryVoluntaryEventType <MndtryVlntryEvtTp>	[1..1]			702
{Or	Code <Cd>	[1..1]	CodeSet		702
Or}	Proprietary <Prtry>	[1..1]	±		703
	EventProcessingType <EvtPrcgTp>	[0..1]			703
{Or	Code <Cd>	[1..1]	CodeSet		703
Or}	Proprietary <Prtry>	[1..1]	±		704
	UnderlyingSecurity <UndrlygScty>	[1..1]	±		704

Constraints

- **CorporateActionIdentificationRule**

At least one element in the list (IssuerCorporateActionReference, OfficialCorporateActionReference, CorporateActionProcessingIdentifier) must be present. They may all be present.

10.4.3 CorporateActionDistributionDetails <CorpActnDstrbtdtls>

Presence: [1..1]

Definition: Provides information about the CA option and the entitlements.

CorporateActionDistributionDetails <CorpActnDstrbtnDtls> contains the following **EntitlementAdvice1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	OptionType <OptnTp>	[1..1]			444
{Or	Code <Cd>	[1..1]	CodeSet		444
Or}	Proprietary <Prtry>	[1..1]	±		445
	OptionNumber <OptnNb>	[1..1]	Text		446
	RecordDate <RcrdDt>	[0..1]	±		446
	PaymentDate <PmtDt>	[0..1]	±		446
	AccountAndDistributionDetails <AcctAndDstrbtnDtls>	[1..*]			446
	AccountOwnerIdentification <AcctOwnrId>	[0..1]	±		447
	AccountIdentification <AcctId>	[1..1]	Text		447
	SecuritiesDistributionDetails <SctiesDstrbtnDtls>	[0..*]			447
	SecurityIdentification <SctyId>	[1..1]	±		448
	EntitledSecuritiesQuantity <EntitldSctiesQty>	[1..1]			448
{Or	Unit <Unit>	[1..1]	Quantity		448
Or}	FaceAmount <FaceAmt>	[1..1]	Amount	C1, C5	449
	CashDistributionDetails <CshDstrbtnDtls>	[0..*]			449
	CashAmount <CshAmt>	[1..1]	Amount	C1, C5	449

10.4.3.1 OptionType <OptnTp>

Presence: [1..1]

Definition: Specifies the corporate action options available to the account owner.

OptionType <OptnTp> contains one of the following **CorporateActionOption1FormatChoice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		444
Or}	Proprietary <Prtry>	[1..1]	±		445

10.4.3.1.1 Code <Cd>

Presence: [1..1]

Definition: Option type expressed as a code.

Datatype: "CorporateActionOptionType1Code" on page 821

CodeName	Name	Definition
BSPL	BonusSharePlan	Receive shares issued from the Share Premium Reserve of the company and considered as a capital distribution rather than a disbursement of income, with different tax implications (typically found in Australia).
BUYA	BuyUp	Buy additional securities to round up position.
CASE	CashAndSecurities	Election choice includes a combination of both cash and securities.
CASH	Cash	Distribution of cash.
CEXC	ConsentAndExchange	Vote to consent to change the terms of the securities agreement and to exchange securities.
CTEN	ConsentAndTender	Vote to consent to change the terms of the securities agreement and to tender securities for cash.
CONN	ConsentDenied	Vote not to approve the event or proposal.
CONY	ConsentGranted	Vote to approve the event or proposal.
EXER	Exercise	Exercise intermediate securities or warrants.
LAPS	Lapse	Allow event or entitled security to expire.
MPUT	Retain	An option that allows a holder to elect to retain their holding, eg, a puttable bond.
NOAC	NoAction	Option for the account owner not to take part in the event.
OFFR	ProposedRate	In a remarketing of variable notes, the margin that shareholders can propose in respect of the next interest period.
OVER	Oversubscribe	Subscribe to more equities than underlying securities position allows.
SECU	SecuritiesOption	Distribution of securities to holders.
SLLE	SellEntitlement	Sell the intermediate securities.
SPLI	SplitInstruction	Option to give a split instruction, eg, a split voting instruction on a meeting.
NOQU	NonQualifiedInvestor	The account owner is a Non-Qualified Investor.
OTHR	Other	Generic corporate action option to be used in case that no other specific code is appropriate.
QINV	QualifiedInvestor	The account owner is a Qualified Investor.

10.4.3.1.2 Proprietary <Prtry>*Presence:* [1..1]

Definition: Option type expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification13](#)" on page 693 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		693
	SchemeName <SchmeNm>	[0..1]	Text		693
	Issuer <Issr>	[1..1]	Text		693

10.4.3.2 OptionNumber <OptnNb>

Presence: [1..1]

Definition: Number identifying the available corporate action options.

Datatype: "[Exact3NumericText](#)" on page 852

10.4.3.3 RecordDate <RcrdDt>

Presence: [0..1]

Definition: Date on which the holders of securities are/will be recorded for the income being paid or for entitlement to the rights or offer/privilege.

RecordDate <RcrdDt> contains one of the following elements (see "[DateFormat4Choice](#)" on page 678 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		679
Or	NotSpecifiedDate <NotSpcfdDt>	[1..1]	CodeSet		679
Or}	Proprietary <Prtry>	[1..1]	±		679

10.4.3.4 PaymentDate <PmtDt>

Presence: [0..1]

Definition: Date on which securities/cash will be paid.

PaymentDate <PmtDt> contains one of the following elements (see "[DateFormat4Choice](#)" on page 678 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		679
Or	NotSpecifiedDate <NotSpcfdDt>	[1..1]	CodeSet		679
Or}	Proprietary <Prtry>	[1..1]	±		679

10.4.3.5 AccountAndDistributionDetails <AcctAndDstrbtnDtls>

Presence: [1..*]

Definition: Provides information about the entitlement and the entitled account.

AccountAndDistributionDetails <AcctAndDstrbtnDtls> contains the following **Entitlement1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AccountOwnerIdentification <AcctOwnrld>	[0..1]	±		447
	AccountIdentification <Acctld>	[1..1]	Text		447
	SecuritiesDistributionDetails <SctiesDstrbtnDtls>	[0..*]			447
	SecurityIdentification <Sctyld>	[1..1]	±		448
	EntitledSecuritiesQuantity <EntitldSctiesQty>	[1..1]			448
{Or	Unit <Unit>	[1..1]	Quantity		448
Or}	FaceAmount <FaceAmt>	[1..1]	Amount	C1, C5	449
	CashDistributionDetails <CshDstrbtnDtls>	[0..*]			449
	CashAmount <CshAmt>	[1..1]	Amount	C1, C5	449

10.4.3.5.1 AccountOwnerIdentification <AcctOwnrld>

Presence: [0..1]

Definition: Identification of the party that owns the account.

AccountOwnerIdentification <AcctOwnrld> contains one of the following elements (see "PartyIdentification2Choice" on page 733 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	BICOrBEI <BICOrBEI>	[1..1]	IdentifierSet	C2	733
Or	ProprietaryIdentification <Prtryld>	[1..1]	±		733
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		734

10.4.3.5.2 AccountIdentification <Acctld>

Presence: [1..1]

Definition: Identification of the account.

Datatype: "Max35Text" on page 853

10.4.3.5.3 SecuritiesDistributionDetails <SctiesDstrbtnDtls>

Presence: [0..*]

Definition: Provides information about the securities distribution.

SecuritiesDistributionDetails <SctiesDstrbtnDtls> contains the following **SecuritiesEntitlement1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	SecurityIdentification <Sctyld>	[1..1]	±		448
	EntitledSecuritiesQuantity <EntitldSctiesQty>	[1..1]			448
{Or	Unit <Unit>	[1..1]	Quantity		448
Or}	FaceAmount <FaceAmt>	[1..1]	Amount	C1, C5	449

10.4.3.5.3.1 SecurityIdentification <Sctyld>

Presence: [1..1]

Definition: Identification of the financial instrument.

SecurityIdentification <Sctyld> contains the following elements (see "[SecurityIdentification7](#)" on page 791 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	ISIN <ISIN>	[1..1]	IdentifierSet		791
Or}	OtherIdentification <Othrlid>	[1..1]			791
	Identification <Id>	[1..1]	Text		792
{Or	DomesticIdentificationSource <DmstldSrc>	[1..1]	CodeSet	C4	792
Or}	ProprietaryIdentificationSource <PrtryldSrc>	[1..1]	Text		792
	Description <Desc>	[0..1]	Text		792

10.4.3.5.3.2 EntitledSecuritiesQuantity <EntitldSctiesQty>

Presence: [1..1]

Definition: Quantity of securities based on the terms of the corporate action event and balance of underlying securities entitled to the account owner. (This quantity can be positive or negative).

EntitledSecuritiesQuantity <EntitldSctiesQty> contains one of the following **UnitOrFaceAmount1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		448
Or}	FaceAmount <FaceAmt>	[1..1]	Amount	C1, C5	449

10.4.3.5.3.2.1 Unit <Unit>

Presence: [1..1]

Definition: Quantity expressed as a number, eg, a number of shares.

Datatype: "[DecimalNumber](#)" on page 851

10.4.3.5.3.2.2 FaceAmount <FaceAmt>*Presence:* [1..1]*Definition:* Quantity expressed as an amount representing the face amount, ie, the principal, of a debt instrument.*Impacted by:* C1 "ActiveCurrency", C5 "CurrencyAmount"*Datatype:* "ActiveCurrencyAndAmount" on page 801**Constraints**

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

10.4.3.5.4 CashDistributionDetails <CshDstrbtnDtls>*Presence:* [0..*]*Definition:* Provides information about the cash distribution.**CashDistributionDetails <CshDstrbtnDtls>** contains the following **CashEntitlement1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CashAmount <CshAmt>	[1..1]	Amount	C1, C5	449

10.4.3.5.4.1 CashAmount <CshAmt>*Presence:* [1..1]*Definition:* Entitled cash amount.*Impacted by:* C1 "ActiveCurrency", C5 "CurrencyAmount"*Datatype:* "ActiveCurrencyAndAmount" on page 801**Constraints**

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

11 **seev.017.001.01**

AgentCAGlobalDistributionAuthorisationRequestV01

11.1 **MessageDefinition Functionality**

Scope

This message is sent by a CSD to an issuer (or its agent) to request the authorisation to process the entitlement movements (cash and/or securities) calculated by the CSD for a given corporate action entire event, a given corporate action option and optionally a given resource.

This message can also be sent to request the issuer (or its agent) to make available / deliver the relevant resources to the CSD.

Usage

This message is used to request the authorisation to process the entitlement movements calculated by the CSD for a given corporate action event and option. An Agent Corporate Action Global Distribution Authorisation Request message must be sent for each option and if several resources are associated to an option, an Agent Corporate Action Global Distribution Authorisation Request message can be sent for each resource.

This message can also be used to pre-advise a global distribution authorisation request, in which case the value of the field pre-advice indicator must be set to yes.

Outline

The AgentCAGlobalDistributionAuthorisationRequestV01 MessageDefinition is composed of 3 MessageBuildingBlocks:

A. Identification

Identification assigned by the Sender to unambiguously identify the request.

B. CorporateActionGeneralInformation

General information about the corporate action event.

C. GlobalDistributionDetails

Provides detailed information about the global distribution.

11.2 Structure

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	Message root <Document> <AgtCAGblDstrbtnAuthstnReq>	[1..1]			
	Identification <Id>	[1..1]	±		452
	CorporateActionGeneralInformation <CorpActnGnlInf>	[1..1]	±	C3	453
	GlobalDistributionDetails <GblDstrbtnDtls>	[1..1]		C6	453
	PreadviceIndicator <Pradvclnd>	[1..1]	Indicator		455
	OptionNumber <OptnNb>	[1..1]	Text		455
	OptionType <OptnTp>	[1..1]			455
{Or	Code <Cd>	[1..1]	CodeSet		455
Or}	Proprietary <Prtry>	[1..1]	±		456
	RecordDate <RcrdDt>	[1..1]	±		457
	PaymentDate <PmtDt>	[1..1]	±		457
	SecuritiesMovement <SctiesMvmnt>	[0..*]			457
	MovementIdentification <MvmntId>	[0..1]	Text		458
	SecurityIdentification <SctyId>	[1..1]	±		458
	SecuritiesQuantity <SctiesQty>	[1..1]			458
{Or	Unit <Unit>	[1..1]	Quantity		459
Or}	FaceAmount <FaceAmt>	[1..1]	Amount	C1, C5	459
	AccountDetails <AcctDtls>	[1..2]	±		459
	CashMovement <CshMvmnt>	[0..*]			459
	MovementIdentification <MvmntId>	[0..1]	Text		460
	Amount <Amt>	[1..1]	Amount	C1, C5	460
	TaxAmount <TaxAmt>	[0..1]	Amount	C1, C5	460
	Charges <Chrgs>	[0..*]	±		461
	AccountDetails <AcctDtls>	[1..2]			461
	CreditDebitIndicator <CdtDbtInd>	[1..1]	CodeSet		461
	AccountOwnerIdentification <AcctOwnrId>	[0..1]	±		462
	AccountIdentification <AcctId>	[1..1]	±		462
	BalanceType <BalTp>	[0..1]			462
	Code <Cd>	[1..1]	CodeSet		462
	Proprietary <Prtry>	[1..1]	±		463

11.3 Constraints

C1 ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

C2 AnyBIC

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered by the ISO 9362 Registration Authority in the BIC directory, and consists of eight (8) or eleven (11) contiguous characters.

C3 CorporateActionIdentificationRule

At least one element in the list (IssuerCorporateActionReference, OfficialCorporateActionReference, CorporateActionProcessingIdentifier) must be present. They may all be may be present.

C4 Country

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

C5 CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

C6 MovementRule

At least one occurrence of either CashMovement or SecuritiesMovement must be present. Both may be present.

11.4 Message Building Blocks

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

11.4.1 Identification <Id>

Presence: [1..1]

Definition: Identification assigned by the Sender to unambiguously identify the request.

Identification <Id> contains the following elements (see "[DocumentIdentification8](#)" on page 690 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		690
	CreationDateTime <CreDtTm>	[0..1]	DateTime		690

11.4.2 CorporateActionGeneralInformation <CorpActnGnllnf>

Presence: [1..1]

Definition: General information about the corporate action event.

Impacted by: C3 "CorporateActionIdentificationRule"

CorporateActionGeneralInformation <CorpActnGnllnf> contains the following elements (see "CorporateActionInformation1" on page 695 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AgentIdentification <AgtId>	[1..1]	±		695
	IssuerCorporateActionIdentification <IssrCorpActnId>	[0..1]	Text		696
	CorporateActionProcessingIdentification <CorpActnPrcgId>	[0..1]	Text		696
	EventType <EvtTp>	[1..1]			696
{Or	Code <Cd>	[1..1]	CodeSet		696
Or}	Proprietary <Prtry>	[1..1]	±		702
	MandatoryVoluntaryEventType <MndtryVlntryEvtTp>	[1..1]			702
{Or	Code <Cd>	[1..1]	CodeSet		702
Or}	Proprietary <Prtry>	[1..1]	±		703
	EventProcessingType <EvtPrcgTp>	[0..1]			703
{Or	Code <Cd>	[1..1]	CodeSet		703
Or}	Proprietary <Prtry>	[1..1]	±		704
	UnderlyingSecurity <UndrlygScty>	[1..1]	±		704

Constraints

- **CorporateActionIdentificationRule**

At least one element in the list (IssuerCorporateActionReference, OfficialCorporateActionReference, CorporateActionProcessingIdentifier) must be present. They may all be may be present.

11.4.3 GlobalDistributionDetails <GblDstrbtnDtls>

Presence: [1..1]

Definition: Provides detailed information about the global distribution.

Impacted by: C6 "MovementRule"

GlobalDistributionDetails <GbIDstrbtnDtls> contains the following **GlobalDistributionRequest1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PreadviceIndicator <Pradvclnd>	[1..1]	Indicator		455
	OptionNumber <OptnNb>	[1..1]	Text		455
	OptionType <OptnTp>	[1..1]			455
{Or	Code <Cd>	[1..1]	CodeSet		455
Or}	Proprietary <Prtry>	[1..1]	±		456
	RecordDate <RcrdDt>	[1..1]	±		457
	PaymentDate <PmtDt>	[1..1]	±		457
	SecuritiesMovement <SctiesMvmnt>	[0..*]			457
	MovementIdentification <Mvmntld>	[0..1]	Text		458
	SecurityIdentification <Sctyld>	[1..1]	±		458
	SecuritiesQuantity <SctiesQty>	[1..1]			458
{Or	Unit <Unit>	[1..1]	Quantity		459
Or}	FaceAmount <FaceAmt>	[1..1]	Amount	C1, C5	459
	AccountDetails <AcctDtls>	[1..2]	±		459
	CashMovement <CshMvmnt>	[0..*]			459
	MovementIdentification <Mvmntld>	[0..1]	Text		460
	Amount <Amt>	[1..1]	Amount	C1, C5	460
	TaxAmount <TaxAmt>	[0..1]	Amount	C1, C5	460
	Charges <Chrgs>	[0..*]	±		461
	AccountDetails <AcctDtls>	[1..2]			461
	CreditDebitIndicator <CdtDbtInd>	[1..1]	CodeSet		461
	AccountOwnerIdentification <AcctOwnrld>	[0..1]	±		462
	AccountIdentification <Acctld>	[1..1]	±		462
	BalanceType <BalTp>	[0..1]			462
	Code <Cd>	[1..1]	CodeSet		462
	Proprietary <Prtry>	[1..1]	±		463

Constraints

- **MovementRule**

At least one occurrence of either CashMovement or SecuritiesMovement must be present. Both may be present.

11.4.3.1 PreadviceIndicator <Pradvclnd>

Presence: [1..1]

Definition: Indicates whether the message is an advice or pre-advice.

Datatype: One of the following values must be used (see "YesNoIndicator" on page 850):

- *Meaning When True:* Yes
- *Meaning When False:* No

11.4.3.2 OptionNumber <OptnNb>

Presence: [1..1]

Definition: Number identifying the available corporate action options.

Datatype: "Exact3NumericText" on page 852

11.4.3.3 OptionType <OptnTp>

Presence: [1..1]

Definition: Specifies the corporate action options available to the account owner.

OptionType <OptnTp> contains one of the following **CorporateActionOption1FormatChoice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		455
Or}	Proprietary <Prtry>	[1..1]	±		456

11.4.3.3.1 Code <Cd>

Presence: [1..1]

Definition: Option type expressed as a code.

Datatype: "CorporateActionOptionType1Code" on page 821

CodeName	Name	Definition
BSPL	BonusSharePlan	Receive shares issued from the Share Premium Reserve of the company and considered as a capital distribution rather than a disbursement of income, with different tax implications (typically found in Australia).
BUYA	BuyUp	Buy additional securities to round up position.
CASE	CashAndSecurities	Election choice includes a combination of both cash and securities.

CodeName	Name	Definition
CASH	Cash	Distribution of cash.
CEXC	ConsentAndExchange	Vote to consent to change the terms of the securities agreement and to exchange securities.
CTEN	ConsentAndTender	Vote to consent to change the terms of the securities agreement and to tender securities for cash.
CONN	ConsentDenied	Vote not to approve the event or proposal.
CONY	ConsentGranted	Vote to approve the event or proposal.
EXER	Exercise	Exercise intermediate securities or warrants.
LAPS	Lapse	Allow event or entitled security to expire.
MPUT	Retain	An option that allows a holder to elect to retain their holding, eg, a puttable bond.
NOAC	NoAction	Option for the account owner not to take part in the event.
OFFR	ProposedRate	In a remarketing of variable notes, the margin that shareholders can propose in respect of the next interest period.
OVER	Oversubscribe	Subscribe to more equities than underlying securities position allows.
SECU	SecuritiesOption	Distribution of securities to holders.
SLLE	SellEntitlement	Sell the intermediate securities.
SPLI	SplitInstruction	Option to give a split instruction, eg, a split voting instruction on a meeting.
NOQU	NonQualifiedInvestor	The account owner is a Non-Qualified Investor.
OTHR	Other	Generic corporate action option to be used in case that no other specific code is appropriate.
QINV	QualifiedInvestor	The account owner is a Qualified Investor.

11.4.3.3.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Option type expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification13](#)" on page 693 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		693
	SchemeName <SchmeNm>	[0..1]	Text		693
	Issuer <Issr>	[1..1]	Text		693

11.4.3.4 RecordDate <RcrdDt>

Presence: [1..1]

Definition: Date on which the holders of securities are/will be recorded for the income being paid or for entitlement to the rights or offer/privilege.

RecordDate <RcrdDt> contains one of the following elements (see "[DateFormat4Choice](#)" on page 678 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		679
Or	NotSpecifiedDate <NotSpcfdDt>	[1..1]	CodeSet		679
Or}	Proprietary <Prtry>	[1..1]	±		679

11.4.3.5 PaymentDate <PmtDt>

Presence: [1..1]

Definition: Date on which securities/cash will be paid.

PaymentDate <PmtDt> contains one of the following elements (see "[DateFormat4Choice](#)" on page 678 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		679
Or	NotSpecifiedDate <NotSpcfdDt>	[1..1]	CodeSet		679
Or}	Proprietary <Prtry>	[1..1]	±		679

11.4.3.6 SecuritiesMovement <SctiesMvmnt>

Presence: [0..*]

Definition: Provides information about the securities movement.

SecuritiesMovement <SctiesMvmnt> contains the following **SecurityMovement1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	MovementIdentification <MvmntId>	[0..1]	Text		458
	SecurityIdentification <SctyId>	[1..1]	±		458
	SecuritiesQuantity <SctiesQty>	[1..1]			458
{Or	Unit <Unit>	[1..1]	Quantity		459
Or}	FaceAmount <FaceAmt>	[1..1]	Amount	C1, C5	459
	AccountDetails <AcctDtls>	[1..2]	±		459

11.4.3.6.1 MovementIdentification <MvmntId>

Presence: [0..1]

Definition: Identification of the movement.

Datatype: "Max35Text" on page 853

11.4.3.6.2 SecurityIdentification <SctyId>

Presence: [1..1]

Definition: Identification of the financial instrument.

SecurityIdentification <SctyId> contains the following elements (see "[SecurityIdentification7](#)" on page 791 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	ISIN <ISIN>	[1..1]	IdentifierSet		791
Or}	OtherIdentification <OthrId>	[1..1]			791
	Identification <Id>	[1..1]	Text		792
{Or	DomesticIdentificationSource <DmstIdSrc>	[1..1]	CodeSet	C4	792
Or}	ProprietaryIdentificationSource <PrtryIdSrc>	[1..1]	Text		792
	Description <Desc>	[0..1]	Text		792

11.4.3.6.3 SecuritiesQuantity <SctiesQty>

Presence: [1..1]

Definition: Quantitty of financial instrument.

SecuritiesQuantity <SctiesQty> contains one of the following **UnitOrFaceAmount1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		459
Or}	FaceAmount <FaceAmt>	[1..1]	Amount	C1, C5	459

11.4.3.6.3.1 Unit <Unit>*Presence:* [1..1]*Definition:* Quantity expressed as a number, eg, a number of shares.*Datatype:* "DecimalNumber" on page 851**11.4.3.6.3.2 FaceAmount <FaceAmt>***Presence:* [1..1]*Definition:* Quantity expressed as an amount representing the face amount, ie, the principal, of a debt instrument.*Impacted by:* C1 "ActiveCurrency", C5 "CurrencyAmount"*Datatype:* "ActiveCurrencyAndAmount" on page 801**Constraints**

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

11.4.3.6.4 AccountDetails <AcctDtls>*Presence:* [1..2]*Definition:* Provides information about the account which is debited/credited.

AccountDetails <AcctDtls> contains the following elements (see "SecuritiesAccount12" on page 672 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CreditDebitIndicator <CdtDbtInd>	[1..1]	CodeSet		673
	AccountOwnerIdentification <AcctOwnrId>	[0..1]	±		673
	AccountIdentification <AcctId>	[1..1]	Text		673
	BalanceType <BalTp>	[0..1]			673
{Or	Code <Cd>	[1..1]	CodeSet		674
Or}	Proprietary <Prtry>	[1..1]	±		674
	SecurityHoldingForm <SctyHldgForm>	[0..1]	CodeSet		675

11.4.3.7 CashMovement <CshMvmnt>*Presence:* [0..*]*Definition:* Provides information about the cash movement.

CashMovement <CshMvmnt> contains the following **CashMovement1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	MovementIdentification <MvmntId>	[0..1]	Text		460
	Amount <Amt>	[1..1]	Amount	C1, C5	460
	TaxAmount <TaxAmt>	[0..1]	Amount	C1, C5	460
	Charges <Chrgs>	[0..*]	±		461
	AccountDetails <AcctDtls>	[1..2]			461
	CreditDebitIndicator <CdtDbtInd>	[1..1]	CodeSet		461
	AccountOwnerIdentification <AcctOwnrId>	[0..1]	±		462
	AccountIdentification <AcctId>	[1..1]	±		462
	BalanceType <BalTp>	[0..1]			462
	Code <Cd>	[1..1]	CodeSet		462
	Proprietary <Prtry>	[1..1]	±		463

11.4.3.7.1 MovementIdentification <MvmntId>

Presence: [0..1]

Definition: Identification of the movement.

Datatype: "Max35Text" on page 853

11.4.3.7.2 Amount <Amt>

Presence: [1..1]

Definition: Cash amount.

Impacted by: C1 "ActiveCurrency", C5 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 801

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

11.4.3.7.3 TaxAmount <TaxAmt>

Presence: [0..1]

Definition: Amount of taxes.

Impacted by: C1 "ActiveCurrency", C5 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 801

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

11.4.3.7.4 Charges <Chrgs>

Presence: [0..*]

Definition: Specifies the charges.

Charges <Chrgs> contains the following elements (see "Charges1" on page 676 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Type <Tp>	[0..1]			677
{Or	Code <Cd>	[1..1]	CodeSet		677
Or}	Proprietary <Prtry>	[1..1]	±		677
	Amount <Amt>	[1..1]	Amount	C1, C5	677

11.4.3.7.5 AccountDetails <AcctDtls>

Presence: [1..2]

Definition: Provides information about the account which is debited/credited.

AccountDetails <AcctDtls> contains the following **CashAccount18** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CreditDebitIndicator <CdtDbtInd>	[1..1]	CodeSet		461
	AccountOwnerIdentification <AcctOwnrId>	[0..1]	±		462
	AccountIdentification <AcctId>	[1..1]	±		462
	BalanceType <BalTp>	[0..1]			462
	Code <Cd>	[1..1]	CodeSet		462
	Proprietary <Prtry>	[1..1]	±		463

11.4.3.7.5.1 CreditDebitIndicator <CdtDbtInd>

Presence: [1..1]

Definition: Specifies whether the value is a debit or credit.

Datatype: "CreditDebitCode" on page 822

CodeName	Name	Definition
CRDT	Credit	Operation is an increase.
DBIT	Debit	Operation is a decrease.

11.4.3.7.5.2 AccountOwnerIdentification <AcctOwnrId>

Presence: [0..1]

Definition: Identification of the party that owns the account.

AccountOwnerIdentification <AcctOwnrId> contains one of the following elements (see "PartyIdentification2Choice" on page 733 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	BICOrBEI <BICOrBEI>	[1..1]	IdentifierSet	C2	733
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		733
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		734

11.4.3.7.5.3 AccountIdentification <AcctId>

Presence: [1..1]

Definition: Identification of the cash account or the securities account from which the cash account is derived.

AccountIdentification <AcctId> contains one of the following elements (see "AccountIdentification2Choice" on page 670 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	CashAccountIdentification <CshAcctId>	[1..1]	Text		670
Or}	SecuritiesAccountIdentification <SctiesAcctId>	[1..1]	Text		670

11.4.3.7.5.4 BalanceType <BalTp>

Presence: [0..1]

Definition: The cash balance type.

BalanceType <BalTp> contains the following **CashBalanceType1FormatType** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Code <Cd>	[1..1]	CodeSet		462
	Proprietary <Prtry>	[1..1]	±		463

11.4.3.7.5.4.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the type of cash balance.

Datatype: "CashBalanceType1Code" on page 804

CodeName	Name	Definition
AVLB	Available	Balance of money or securities that is at the disposal of the account owner on the date specified.
REST	Restricted	<p>Balance of cash that may only be used under certain conditions.</p> <p>Balance type is used to block securities/cash in the client account e.g., in relation to elections relating to certain corporate actions. The holding is under the direct control of the system paying agent, who is the only one who can transfer securities out of this balance type.</p> <p>Also known as the "escrow balance" or "sequestered balance".</p>

11.4.3.7.5.4.2 Proprietary <Prtry>*Presence:* [1..1]*Definition:* Proprietary code to express the type of cash balance.**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification13](#)" on page 693 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		693
	SchemeName <SchmeNm>	[0..1]	Text		693
	Issuer <Issr>	[1..1]	Text		693

12 **seev.018.001.01**

AgentCAGlobalDistributionStatusAdviceV01

12.1 **MessageDefinition Functionality**

Scope

This message is sent by an issuer (or its agent) to the CSD to authorise/prohibit the CSD to process the entitlement movements.

Usage

This message is used to authorise/prohibit the CSD to process the movements requested in the Global Distribution Authorisation Request message.

Once the amendment request has been accepted by the issuer (or its agent), the CSD will process any resource movement and send an Agent Corporate Action Election Advice message with the function, option change, to confirm that the amendment has been booked at the CSD.

The issuer (or its agent) can provide the status in 2 different ways:

- Provide a global status, in which case the building block Global Movement Status must be present; or
- Provide a status by individual movements, in which case, the building block Individual Movement Status must be present. An individual movement cannot be rejected.

Outline

The AgentCAGlobalDistributionStatusAdviceV01 MessageDefinition is composed of 5 MessageBuildingBlocks:

A. Identification

Identification assigned by the Sender to unambiguously identify the status advice.

B. AgentCAGlobalDistributionAuthorisationRequestIdentification

Identification of the linked Agent CA Global Distribution Authorisation Request for which a status is given.

C. CorporateActionGeneralInformation

General information about the corporate action event.

D. GlobalMovementStatus

Provides information about the status of the global movement.

E. IndividualMovementStatus

Provides information about the status of an individual movement.

12.2 Structure

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	Message root <Document> <AgtCAGblDstrbtnStsAdv>	[1..1]			
	Identification <Id>	[1..1]	±		466
	AgentCAGlobalDistributionAuthorisationRequestIdentification <AgtCAGblDstrbtnAuthstnReqId>	[1..1]	±		466
	CorporateActionGeneralInformation <CorpActnGnllnf>	[1..1]	±	C2	467
{Or	GlobalMovementStatus <GblMvmntSts>	[1..1]			467
{Or	ProcessedStatus <PrcdSts>	[1..1]			468
	Status <Sts>	[1..1]			468
{Or	Code <Cd>	[1..1]	CodeSet		469
Or}	Proprietary <Prtry>	[1..1]	±		469
	AdditionalInformation <AddtlInf>	[0..1]	Text		469
Or}	RejectedStatus <RjctdSts>	[1..1]			469
	Reason <Rsn>	[1..*]			470
{Or	Code <Cd>	[1..1]	CodeSet		470
Or}	Proprietary <Prtry>	[1..1]	±		470
	AdditionalInformation <AddtlInf>	[0..1]	Text		470
Or}	IndividualMovementStatus <IndvMvmntSts>	[1..*]			470
	MovementIdentification <MvmntId>	[0..1]	Text		471
{Or	ProcessedStatus <PrcdSts>	[1..1]			471
	Status <Sts>	[1..1]			471
{Or	Code <Cd>	[1..1]	CodeSet		472
Or}	Proprietary <Prtry>	[1..1]	±		472
	AdditionalInformation <AddtlInf>	[0..1]	Text		472
Or}	RejectedStatus <RjctdSts>	[1..1]			472
	Reason <Rsn>	[1..*]			473
{Or	Code <Cd>	[1..1]	CodeSet		473
Or}	Proprietary <Prtry>	[1..1]	±		473
	AdditionalInformation <AddtlInf>	[0..1]	Text		473

12.3 Constraints

C1 AnyBIC

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered by the ISO 9362 Registration Authority in the BIC directory, and consists of eight (8) or eleven (11) contiguous characters.

C2 CorporateActionIdentificationRule

At least one element in the list (IssuerCorporateActionReference, OfficialCorporateActionReference, CorporateActionProcessingIdentifier) must be present. They may all be may be present.

C3 Country

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

12.4 Message Building Blocks

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

12.4.1 Identification <Id>

Presence: [1..1]

Definition: Identification assigned by the Sender to unambiguously identify the status advice.

Identification <Id> contains the following elements (see "[DocumentIdentification8](#)" on page 690 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		690
	CreationDateTime <CreDtTm>	[0..1]	DateTime		690

12.4.2 AgentCAGlobalDistributionAuthorisationRequestIdentification <AgtCAGbIDstrbtnAuthstnReqId>

Presence: [1..1]

Definition: Identification of the linked Agent CA Global Distribution Authorisation Request for which a status is given.

AgentCAGlobalDistributionAuthorisationRequestIdentification <AgtCAGbIDstrbtnAuthstnReqId> contains the following elements (see "[DocumentIdentification8](#)" on page 690 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		690
	CreationDateTime <CreDtTm>	[0..1]	DateTime		690

12.4.3 CorporateActionGeneralInformation <CorpActnGnllnf>

Presence: [1..1]

Definition: General information about the corporate action event.

Impacted by: C2 "CorporateActionIdentificationRule"

CorporateActionGeneralInformation <CorpActnGnllnf> contains the following elements (see "CorporateActionInformation1" on page 695 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AgentIdentification <AgtId>	[1..1]	±		695
	IssuerCorporateActionIdentification <IssrCorpActnId>	[0..1]	Text		696
	CorporateActionProcessingIdentification <CorpActnPrcgId>	[0..1]	Text		696
	EventType <EvtTp>	[1..1]			696
{Or	Code <Cd>	[1..1]	CodeSet		696
Or}	Proprietary <Prtry>	[1..1]	±		702
	MandatoryVoluntaryEventType <MndtryVlntryEvtTp>	[1..1]			702
{Or	Code <Cd>	[1..1]	CodeSet		702
Or}	Proprietary <Prtry>	[1..1]	±		703
	EventProcessingType <EvtPrcgTp>	[0..1]			703
{Or	Code <Cd>	[1..1]	CodeSet		703
Or}	Proprietary <Prtry>	[1..1]	±		704
	UnderlyingSecurity <UndrlygScty>	[1..1]	±		704

Constraints

- **CorporateActionIdentificationRule**

At least one element in the list (IssuerCorporateActionReference, OfficialCorporateActionReference, CorporateActionProcessingIdentifier) must be present. They may all be may be present.

12.4.4 GlobalMovementStatus <GbIMvmntSts>

Presence: [1..1]

Warning Either GlobalMovementStatus or IndividualMovementStatus must be present.

Definition: Provides information about the status of the global movement.

GlobalMovementStatus <GblMvmntSts> contains the following **GlobalDistributionStatus1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	ProcessedStatus <PrcdSts>	[1..1]			468
	Status <Sts>	[1..1]			468
{Or	Code <Cd>	[1..1]	CodeSet		469
Or}	Proprietary <Prtry>	[1..1]	±		469
	AdditionalInformation <AddtlInf>	[0..1]	Text		469
Or}	RejectedStatus <RjctdSts>	[1..1]			469
	Reason <Rsn>	[1..*]			470
{Or	Code <Cd>	[1..1]	CodeSet		470
Or}	Proprietary <Prtry>	[1..1]	±		470
	AdditionalInformation <AddtlInf>	[0..1]	Text		470

12.4.4.1 ProcessedStatus <PrcdSts>

Presence: [1..1]

Warning Either ProcessedStatus or RejectedStatus.

Definition: Provides information about the processing status of the global movement.

ProcessedStatus <PrcdSts> contains the following **DistributionProcessingStatus1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Status <Sts>	[1..1]			468
{Or	Code <Cd>	[1..1]	CodeSet		469
Or}	Proprietary <Prtry>	[1..1]	±		469
	AdditionalInformation <AddtlInf>	[0..1]	Text		469

12.4.4.1.1 Status <Sts>

Presence: [1..1]

Definition: The processing status.

Status <Sts> contains one of the following **ProcessedStatus3FormatChoice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		469
Or}	Proprietary <Prtry>	[1..1]	±		469

12.4.4.1.1.1 Code <Cd>*Presence:* [1..1]*Definition:* Standard code to specify the processing status of an advice, a request or a movement.*Datatype:* "ProcessedStatus3Code" on page 832

CodeName	Name	Definition
RECE	Received	The instruction/request has been received.
PEND	Pending	The processing of the request is pending.
PACK	Accepted	The request is accepted.

12.4.4.1.1.2 Proprietary <Prtry>*Presence:* [1..1]*Definition:* Proprietary code to express the processing status of an advice, a request or a movement.**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification13](#)" on page 693 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		693
	SchemeName <SchmeNm>	[0..1]	Text		693
	Issuer <Issr>	[1..1]	Text		693

12.4.4.1.2 AdditionalInformation <AddtlInf>*Presence:* [0..1]*Definition:* Additional information about the status.*Datatype:* "Max350Text" on page 853**12.4.4.2 RejectedStatus <RjctdSts>***Presence:* [1..1]**Warning** Either ProcessedStatus or RejectedStatus.*Definition:* Provides information about the rejection status.**RejectedStatus <RjctdSts>** contains the following **DistributionRejectionStatus1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reason <Rsn>	[1..*]			470
{Or	Code <Cd>	[1..1]	CodeSet		470
Or}	Proprietary <Prtry>	[1..1]	±		470
	AdditionalInformation <AddtlInf>	[0..1]	Text		470

12.4.4.2.1 Reason <Rsn>*Presence:* [1..*]*Definition:* The rejection reason.**Reason <Rsn>** contains one of the following **RejectionReason19FormatChoice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		470
Or}	Proprietary <Prtry>	[1..1]	±		470

12.4.4.2.1.1 Code <Cd>*Presence:* [1..1]*Definition:* Standard code to specify the reason of a rejection of a global movement authorisation request.*Datatype:* "RejectionReason19Code" on page 838

CodeName	Name	Definition
FAIL	FailedValidation	The validation of the advice/instruction/request failed.

12.4.4.2.1.2 Proprietary <Prtry>*Presence:* [1..1]*Definition:* Proprietary code to express the reason of a rejection of a global movement authorisation request.**Proprietary <Prtry>** contains the following elements (see "GenericIdentification13" on page 693 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		693
	SchemeName <SchmeNm>	[0..1]	Text		693
	Issuer <Issr>	[1..1]	Text		693

12.4.4.2.2 AdditionalInformation <AddtlInf>*Presence:* [0..1]*Definition:* Additional information about the status.*Datatype:* "Max350Text" on page 853**12.4.5 IndividualMovementStatus <IndvMvmntSts>***Presence:* [1..*]**Warning** Either GlobalMovementStatus or IndividualMovementStatus must be present.*Definition:* Provides information about the status of an individual movement.

IndividualMovementStatus <IndvMvmntSts> contains the following **IndividualMovementStatus1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	MovementIdentification <MvmntId>	[0..1]	Text		471
{Or	ProcessedStatus <PrcdSts>	[1..1]			471
	Status <Sts>	[1..1]			471
{Or	Code <Cd>	[1..1]	CodeSet		472
Or}	Proprietary <Prtry>	[1..1]	±		472
	AdditionalInformation <AddtlInf>	[0..1]	Text		472
Or}	RejectedStatus <RjctdSts>	[1..1]			472
	Reason <Rsn>	[1..*]			473
{Or	Code <Cd>	[1..1]	CodeSet		473
Or}	Proprietary <Prtry>	[1..1]	±		473
	AdditionalInformation <AddtlInf>	[0..1]	Text		473

12.4.5.1 MovementIdentification <MvmntId>

Presence: [0..1]

Definition: Identification of the movement.

Datatype: "Max35Text" on page 853

12.4.5.2 ProcessedStatus <PrcdSts>

Presence: [1..1]

Warning Either ProcessedStatus or RejectedStatus.

Definition: Provides information about the processing status of individual movement.

ProcessedStatus <PrcdSts> contains the following **MovementProcessingStatus1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Status <Sts>	[1..1]			471
{Or	Code <Cd>	[1..1]	CodeSet		472
Or}	Proprietary <Prtry>	[1..1]	±		472
	AdditionalInformation <AddtlInf>	[0..1]	Text		472

12.4.5.2.1 Status <Sts>

Presence: [1..1]

Definition: The processing status.

Status <Sts> contains one of the following **ProcessedStatus3FormatChoice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		472
Or}	Proprietary <Prtry>	[1..1]	±		472

12.4.5.2.1.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the processing status of an advice, a request or a movement.

Datatype: "ProcessedStatus3Code" on page 832

CodeName	Name	Definition
RECE	Received	The instruction/request has been received.
PEND	Pending	The processing of the request is pending.
PACK	Accepted	The request is accepted.

12.4.5.2.1.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary code to express the processing status of an advice, a request or a movement.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification13](#)" on page 693 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		693
	SchemeName <SchmeNm>	[0..1]	Text		693
	Issuer <Issr>	[1..1]	Text		693

12.4.5.2.2 AdditionalInformation <AddtlInf>

Presence: [0..1]

Definition: Additional information about the status.

Datatype: "Max350Text" on page 853

12.4.5.3 RejectedStatus <RjctdSts>

Presence: [1..1]

Warning Either ProcessedStatus or RejectedStatus.

Definition: Provides information about the rejection status.

RejectedStatus <RjctdSts> contains the following **DistributionRejectionStatus1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reason <Rsn>	[1..*]			473
{Or	Code <Cd>	[1..1]	CodeSet		473
Or}	Proprietary <Prtry>	[1..1]	±		473
	AdditionalInformation <AddtlInf>	[0..1]	Text		473

12.4.5.3.1 Reason <Rsn>

Presence: [1..*]

Definition: The rejection reason.

Reason <Rsn> contains one of the following **RejectionReason19FormatChoice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		473
Or}	Proprietary <Prtry>	[1..1]	±		473

12.4.5.3.1.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the reason of a rejection of a global movement authorisation request.

Datatype: "RejectionReason19Code" on page 838

CodeName	Name	Definition
FAIL	FailedValidation	The validation of the advice/instruction/request failed.

12.4.5.3.1.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary code to express the reason of a rejection of a global movement authorisation request.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification13](#)" on page 693 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		693
	SchemeName <SchmeNm>	[0..1]	Text		693
	Issuer <Issr>	[1..1]	Text		693

12.4.5.3.2 AdditionalInformation <AddtlInf>

Presence: [0..1]

Definition: Additional information about the status.

Datatype: "Max350Text" on page 853

13 **seev.019.001.01**

AgentCAMovementInstructionV01

13.1 **MessageDefinition Functionality**

Scope

This message is sent by an issuer (or its agent) to a CSD to order:

- the global or individual debit of exercised resources (cash and/or securities), per event and optionally per option and per resource for all or individual CSD client's accounts;
- and/or the individual credits of the outturn resources per event and optionally per option and per resource for a given CSD client's account.

Usage

This message is used to instruct:

- the global debit of the exercised resources from the CSD client's available or sequestered balance, in which case, the order type must be 'global debit order';
- the individual debits and credits:
- the individual debit of the exercised resources from the CSD client's available or sequestered balance and/or
- the individual credit of the outturn resources to the CSD client's account.

The order type must be 'individual order';

- a return order, in the case of a scaleback, i.e. the return of the exercised resources to the CSD client's account. The order type must be either 'global return order' or 'individual return order'; and

change of option, e.g. in the case of the closure of an option, by moving the exercised resources from one option to another option within the sequestered balances in accordance to the new option conditions. The order type must be 'option change order'.

Outline

The AgentCAMovementInstructionV01 MessageDefinition is composed of 7 MessageBuildingBlocks:

A. Identification

Identification assigned by the Sender to unambiguously identify the instruction.

B. AgentCAElectionAdviceIdentification

Identification of the Agent CA ElectionAdvice when the movements are the result of an ElectionAdvice.

C. CorporateActionGeneralInformation

General information about the corporate action event.

D. MovementGeneralInformation

Provides general information about the movement.

E. UnderlyingSecuritiesMovementDetails

Information related to the movement of the underlying securities.

F. UnderlyingCashMovementDetails

Information related to the movement of the underlying cash.

G. ProceedsMovementDetails

Information related to the movement of the CA proceeds.

13.2 Structure

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	Message root <Document> <AgtCAMvmntInstr>	[1..1]		C6, C7	
	Identification <Id>	[1..1]	±		480
	AgentCAElectionAdviserIdentification <AgtCAElctnAdvclId>	[0..1]	±		480
	CorporateActionGeneralInformation <CorpActnGnlInf>	[1..1]	±	C3	481
	MovementGeneralInformation <MvmntGnlInf>	[1..1]			481
	OrderType <OrdrtP>	[1..1]	CodeSet		482
	HighPriorityIndicator <HghPrtyInd>	[1..1]	Indicator		483
	OptionNumber <OptnNb>	[0..1]	Text		483
	OptionType <OptnTp>	[0..1]			483
{Or	Code <Cd>	[1..1]	CodeSet		483
Or}	Proprietary <Prtry>	[1..1]	±		484
	RequestedExecutionDate <ReqdExctnDt>	[1..1]	Date		485
	AccountOwnerIdentification <AcctOwnrId>	[0..1]	±		485
	AccountIdentification <AcctId>	[0..1]	Text		485
	ConfirmedBalanceSecuritiesQuantity <ConfdBalSciesQty>	[0..1]			485
{Or	Unit <Unit>	[1..1]	Quantity		485
Or}	FaceAmount <FaceAmt>	[1..1]	Amount	C1, C5	485
	UnderlyingSecuritiesMovementDetails <UndrlygSciesMvmntDtls>	[0..*]			486
	SecurityIdentification <SctyId>	[1..1]	±		486
	SecuritiesQuantity <SciesQty>	[1..1]			487
{Or	Unit <Unit>	[1..1]	Quantity		487
Or}	FaceAmount <FaceAmt>	[1..1]	Amount	C1, C5	487
	AccountDetails <AcctDtls>	[1..2]	±		488
	UnderlyingCashMovementDetails <UndrlygCshMvmntDtls>	[0..*]			488
	Amount <Amt>	[1..1]	Amount	C1, C5	489
	AccountDetails <AcctDtls>	[1..2]			489
	CreditDebitIndicator <CdtDbtInd>	[1..1]	CodeSet		489
	AccountOwnerIdentification <AcctOwnrId>	[0..1]	±		490
	AccountIdentification <AcctId>	[1..1]	±		490

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	ProceedsMovementDetails <PrcdsMvmntDtls>	[0..1]			490
	CashProceedsMovementDetails <CshPrcdsMvmntDtls>	[0..*]			492
	PostingAmount <PstngAmt>	[1..1]	Amount	C1, C5	492
	ReconciliationDetails <RcncltnDtls>	[0..1]	Text		493
	AccountDetails <AcctDtls>	[1..2]			493
	CreditDebitIndicator <CdtDbtInd>	[1..1]	CodeSet		493
	AccountOwnerIdentification <AcctOwnrld>	[0..1]	±		493
	AccountIdentification <AcctId>	[1..1]	±		494
	SecuritiesProceedsMovementDetails <SctiesPrcdsMvmntDtls>	[0..*]			494
	SecurityIdentification <Sctyld>	[1..1]	±		494
	PostingQuantity <PstngQty>	[1..1]			495
{Or	Unit <Unit>	[1..1]	Quantity		495
Or}	FaceAmount <FaceAmt>	[1..1]	Amount	C1, C5	495
	AccountDetails <AcctDtls>	[1..2]	±		496
	ReconciliationDetails <RcncltnDtls>	[0..1]	Text		496
	TaxDetails <TaxDtls>	[0..1]			496
	TaxVoucherRate <TaxVchrRate>	[1..1]	Rate		497
{Or	TaxCredit <TaxCdt>	[1..1]	Amount	C1, C5	498
Or}	TaxDeduction <TaxDdctn>	[1..1]	Amount	C1, C5	498
	GrossAmount <GrssAmt>	[1..1]	Amount	C1, C5	498
	NetAmount <NetAmt>	[1..1]	Amount	C1, C5	499
	RecordDateHolding <RcrdDtHldg>	[1..1]			499
{Or	Unit <Unit>	[1..1]	Quantity		500
Or}	FaceAmount <FaceAmt>	[1..1]	Amount	C1, C5	500
	TaxCreditRate <TaxCdtRate>	[0..1]	Rate		500
	WithholdingTaxAmount <WhldgTaxAmt>	[0..1]	Amount	C1, C5	500
	WithholdingTaxRate <WhldgTaxRate>	[0..1]	Rate		501
	ScripDividendReinvestmentPricePerShare <ScripDvddRinvstmtPricPerShr>	[0..1]	±		501
	CashAmountBroughtForward <CshAmtBrghFwd>	[0..1]	Amount	C1, C5	501
	AllotedSharesCost <AlltdShrsCost>	[0..1]	±		501
	CashAmountCarriedForward <CshAmtCrrdFwd>	[0..1]	Amount	C1, C5	502

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	NotionalTax <NtnlTax>	[0..1]	Amount	C1, C5	502
	NotionalDividendPayable <NtnlDvddPybl>	[0..1]	Amount	C1, C5	502
	BargainDate <BrgrnDt>	[0..1]	Date		503
	BargainSettlementDate <BrgrnSttlmDt>	[0..1]	Date		503
	StampDutyAmount <StmpDtyAmt>	[0..1]	Amount	C1, C5	503
	ChargeAmount <ChrgAmt>	[0..1]	Amount	C1, C5	503
	CommissionAmount <ComssnAmt>	[0..1]	Amount	C1, C5	504
	ForeignExchangeDetails <FXDtls>	[0..1]			504
	UnitCurrency <UnitCcy>	[1..1]	CodeSet	C1	504
	QuotedCurrency <QtdCcy>	[1..1]	CodeSet	C1	505
	ExchangeRate <XchgRate>	[1..1]	Rate		505
	ResultingAmount <RsltgAmt>	[1..1]	Amount	C1, C5	505
	OriginalAmount <OrgnlAmt>	[0..1]	Amount	C1, C5	506

13.3 Constraints

C1 ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

C2 AnyBIC

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered by the ISO 9362 Registration Authority in the BIC directory, and consists of eight (8) or eleven (11) contiguous characters.

C3 CorporateActionIdentificationRule

At least one element in the list (IssuerCorporateActionReference, OfficialCorporateActionReference, CorporateActionProcessingIdentifier) must be present. They may all be may be present.

C4 Country

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

C5 CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

C6 OptionRule1

IF MovementGeneralInformation/OrderType is CHAN (OptionChangeOrder) then OptionNumber and OptionType must be present in each occurrence of UnderlyingSecuritiesMovementDetails/CreditAccountDetails and UnderlyingSecuritiesMovement/DebitAccountDetails and OptionNumber and OptionType cannot be present in MovementGeneralInformation.

This constraint is defined at the MessageDefinition level.

C7 OptionRule2

IF MovementGeneralInformation/OrderType is not CHAN (OptionChangeOrder), then OptionNumber and OptionType must be present in MovementGeneralInformation and cannot be present in any occurrence of UnderlyingSecuritiesMovementDetails/CreditAccountDetails neither in UnderlyingSecuritiesMovement/DebitAccountDetails.

This constraint is defined at the MessageDefinition level.

C8 ValidationByTable

Must be a valid nationality.

13.4 Message Building Blocks

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

13.4.1 Identification <Id>

Presence: [1..1]

Definition: Identification assigned by the Sender to unambiguously identify the instruction.

Identification <Id> contains the following elements (see ["DocumentIdentification8"](#) on page 690 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		690
	CreationDateTime <CreDtTm>	[0..1]	DateTime		690

13.4.2 AgentCAElectionAdviceIdentification <AgtCAElctnAdvId>

Presence: [0..1]

Definition: Identification of the Agent CA ElectionAdvice when the movements are the result of an ElectionAdvice.

AgentCAElectionAdviceIdentification <AgtCAElctnAdvId> contains the following elements (see ["DocumentIdentification8"](#) on page 690 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		690
	CreationDateTime <CreDtTm>	[0..1]	DateTime		690

13.4.3 CorporateActionGeneralInformation <CorpActnGnlInf>

Presence: [1..1]

Definition: General information about the corporate action event.

Impacted by: C3 "CorporateActionIdentificationRule"

CorporateActionGeneralInformation <CorpActnGnlInf> contains the following elements (see "CorporateActionInformation1" on page 695 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AgentIdentification <AgtId>	[1..1]	±		695
	IssuerCorporateActionIdentification <IssrCorpActnId>	[0..1]	Text		696
	CorporateActionProcessingIdentification <CorpActnPrcgId>	[0..1]	Text		696
	EventType <EvtTp>	[1..1]			696
{Or	Code <Cd>	[1..1]	CodeSet		696
Or}	Proprietary <Prtry>	[1..1]	±		702
	MandatoryVoluntaryEventType <MndtryVlntryEvtTp>	[1..1]			702
{Or	Code <Cd>	[1..1]	CodeSet		702
Or}	Proprietary <Prtry>	[1..1]	±		703
	EventProcessingType <EvtPrcgTp>	[0..1]			703
{Or	Code <Cd>	[1..1]	CodeSet		703
Or}	Proprietary <Prtry>	[1..1]	±		704
	UnderlyingSecurity <UndrlygScty>	[1..1]	±		704

Constraints

- **CorporateActionIdentificationRule**

At least one element in the list (IssuerCorporateActionReference, OfficialCorporateActionReference, CorporateActionProcessingIdentifier) must be present. They may all be may be present.

13.4.4 MovementGeneralInformation <MvmntGnlInf>

Presence: [1..1]

Definition: Provides general information about the movement.

MovementGeneralInformation <MvmntGnllnf> contains the following **CorporateActionMovement1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	OrderType <OrdrTp>	[1..1]	CodeSet		482
	HighPriorityIndicator <HghPrtyInd>	[1..1]	Indicator		483
	OptionNumber <OptnNb>	[0..1]	Text		483
	OptionType <OptnTp>	[0..1]			483
{Or	Code <Cd>	[1..1]	CodeSet		483
Or}	Proprietary <Prtry>	[1..1]	±		484
	RequestedExecutionDate <ReqdExctnDt>	[1..1]	Date		485
	AccountOwnerIdentification <AcctOwnrId>	[0..1]	±		485
	AccountIdentification <AcctId>	[0..1]	Text		485
	ConfirmedBalanceSecuritiesQuantity <ConfdBalScitiesQty>	[0..1]			485
{Or	Unit <Unit>	[1..1]	Quantity		485
Or}	FaceAmount <FaceAmt>	[1..1]	Amount	C1, C5	485

13.4.4.1 OrderType <OrdrTp>

Presence: [1..1]

Definition: Type of movement instruction.

Datatype: "DistributionInstructionType1Code" on page 823

CodeName	Name	Definition
GDEB	GlobalDebitOrder	Instruction to debit the positions held under all clients accounts in the underlying resources of the given event and option. This order applies to all clients who are eligible to participate to the CA event.
IDEB	IndividualOrder	An instruction, on a per client basis, to debit the position held in the underlying resources (securities and/or cash) and/or the credit of the proceeds (securities and/or cash).
GRET	GlobalReturnOrder	Instruction to transfer securities from the clients sequestered balances back to the respective available balances.
CHAN	OptionChangeOrder	Instruction to transfer the position from one sequestered balance (related to a CA option) to another sequestered balance (related to another CA option).
IRET	IndividualReturnOrder	Instruction to transfer securities from a client sequestered balance back to its available balance.

13.4.4.2 HighPriorityIndicator <HghPrtyInd>

Presence: [1..1]

Definition: Indicates whether the movement is a high priority or not.

Meaning when true: High priority

Meaning when false: Standard.

Datatype: One of the following values must be used (see "[YesNoIndicator](#)" on page 850):

- *Meaning When True:* Yes
- *Meaning When False:* No

13.4.4.3 OptionNumber <OptnNb>

Presence: [0..1]

Definition: Number identifying the available corporate action options.

Datatype: "[Exact3NumericText](#)" on page 852

13.4.4.4 OptionType <OptnTp>

Presence: [0..1]

Definition: Specifies the corporate action options available to the account owner.

OptionType <OptnTp> contains one of the following **CorporateActionOption1FormatChoice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		483
Or}	Proprietary <Prtry>	[1..1]	±		484

13.4.4.4.1 Code <Cd>

Presence: [1..1]

Definition: Option type expressed as a code.

Datatype: "[CorporateActionOptionType1Code](#)" on page 821

CodeName	Name	Definition
BSPL	BonusSharePlan	Receive shares issued from the Share Premium Reserve of the company and considered as a capital distribution rather than a disbursement of income, with different tax implications (typically found in Australia).
BUYA	BuyUp	Buy additional securities to round up position.
CASE	CashAndSecurities	Election choice includes a combination of both cash and securities.
CASH	Cash	Distribution of cash.

CodeName	Name	Definition
CEXC	ConsentAndExchange	Vote to consent to change the terms of the securities agreement and to exchange securities.
CTEN	ConsentAndTender	Vote to consent to change the terms of the securities agreement and to tender securities for cash.
CONN	ConsentDenied	Vote not to approve the event or proposal.
CONY	ConsentGranted	Vote to approve the event or proposal.
EXER	Exercise	Exercise intermediate securities or warrants.
LAPS	Lapse	Allow event or entitled security to expire.
MPUT	Retain	An option that allows a holder to elect to retain their holding, eg, a puttable bond.
NOAC	NoAction	Option for the account owner not to take part in the event.
OFFR	ProposedRate	In a remarketing of variable notes, the margin that shareholders can propose in respect of the next interest period.
OVER	Oversubscribe	Subscribe to more equities than underlying securities position allows.
SECU	SecuritiesOption	Distribution of securities to holders.
SLLE	SellEntitlement	Sell the intermediate securities.
SPLI	SplitInstruction	Option to give a split instruction, eg, a split voting instruction on a meeting.
NOQU	NonQualifiedInvestor	The account owner is a Non-Qualified Investor.
OTHR	Other	Generic corporate action option to be used in case that no other specific code is appropriate.
QINV	QualifiedInvestor	The account owner is a Qualified Investor.

13.4.4.4.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Option type expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification13](#)" on page 693 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		693
	SchemeName <SchmeNm>	[0..1]	Text		693
	Issuer <Issr>	[1..1]	Text		693

13.4.4.5 RequestedExecutionDate <ReqdExctnDt>*Presence:* [1..1]*Definition:* Date at which the distribution movement must be executed.*Datatype:* "ISODate" on page 846**13.4.4.6 AccountOwnerIdentification <AcctOwnrld>***Presence:* [0..1]*Definition:* Identification of the party that owns the account.**AccountOwnerIdentification <AcctOwnrld>** contains one of the following elements (see "PartyIdentification2Choice" on page 733 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	BICOrBEI <BICOrBEI>	[1..1]	IdentifierSet	C2	733
Or	ProprietaryIdentification <Prtryld>	[1..1]	±		733
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		734

13.4.4.7 AccountIdentification <Acctld>*Presence:* [0..1]*Definition:* Identification of the account.*Datatype:* "Max35Text" on page 853**13.4.4.8 ConfirmedBalanceSecuritiesQuantity <ConfdBalSctiesQty>***Presence:* [0..1]*Definition:* Quantity of securities in the confirmed balance, ie, the balance to which the credit of the returned resources applies.**ConfirmedBalanceSecuritiesQuantity <ConfdBalSctiesQty>** contains one of the following **UnitOrFaceAmount1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		485
Or}	FaceAmount <FaceAmt>	[1..1]	Amount	C1, C5	485

13.4.4.8.1 Unit <Unit>*Presence:* [1..1]*Definition:* Quantity expressed as a number, eg, a number of shares.*Datatype:* "DecimalNumber" on page 851**13.4.4.8.2 FaceAmount <FaceAmt>***Presence:* [1..1]

Definition: Quantity expressed as an amount representing the face amount, ie, the principal, of a debt instrument.

Impacted by: C1 "ActiveCurrency", C5 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 801

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

13.4.5 UnderlyingSecuritiesMovementDetails <UndrlygSctiesMvmntDtls>

Presence: [0..*]

Definition: Information related to the movement of the underlying securities.

UnderlyingSecuritiesMovementDetails <UndrlygSctiesMvmntDtls> contains the following **UnderlyingSecurityMovement1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	SecurityIdentification <Sctyld>	[1..1]	±		486
	SecuritiesQuantity <SctiesQty>	[1..1]			487
{Or	Unit <Unit>	[1..1]	Quantity		487
Or}	FaceAmount <FaceAmt>	[1..1]	Amount	C1, C5	487
	AccountDetails <AcctDtls>	[1..2]	±		488

13.4.5.1 SecurityIdentification <Sctyld>

Presence: [1..1]

Definition: Identification of the financial instrument.

SecurityIdentification <Sctyld> contains the following elements (see "[SecurityIdentification7](#)" on page 791 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	ISIN <ISIN>	[1..1]	IdentifierSet		791
Or}	OtherIdentification <Othrlid>	[1..1]			791
	Identification <Id>	[1..1]	Text		792
{Or	DomesticIdentificationSource <DmstldSrc>	[1..1]	CodeSet	C4	792
Or}	ProprietaryIdentificationSource <PrtryldSrc>	[1..1]	Text		792
	Description <Desc>	[0..1]	Text		792

13.4.5.2 SecuritiesQuantity <SctiesQty>

Presence: [1..1]

Definition: Quantity of financial instrument.

SecuritiesQuantity <SctiesQty> contains one of the following **UnitOrFaceAmount1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		487
Or}	FaceAmount <FaceAmt>	[1..1]	Amount	C1, C5	487

13.4.5.2.1 Unit <Unit>

Presence: [1..1]

Definition: Quantity expressed as a number, eg, a number of shares.

Datatype: "[DecimalNumber](#)" on page 851

13.4.5.2.2 FaceAmount <FaceAmt>

Presence: [1..1]

Definition: Quantity expressed as an amount representing the face amount, ie, the principal, of a debt instrument.

Impacted by: [C1 "ActiveCurrency"](#), [C5 "CurrencyAmount"](#)

Datatype: "[ActiveCurrencyAndAmount](#)" on page 801

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

13.4.5.3 AccountDetails <AcctDtls>

Presence: [1..2]

Definition: Provides information about the debited/credited securities account.

AccountDetails <AcctDtls> contains the following elements (see "[SecuritiesAccount8](#)" on page 664 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CreditDebitIndicator <CdtDbtInd>	[1..1]	CodeSet		664
	AccountOwnerIdentification <AcctOwnrId>	[0..1]	±		665
	AccountIdentification <AcctId>	[1..1]	Text		665
	BalanceType <BalTp>	[0..1]			665
{Or	Code <Cd>	[1..1]	CodeSet		665
Or}	Proprietary <Prtry>	[1..1]	±		666
	OptionType <OptnTp>	[0..1]			666
{Or	Code <Cd>	[1..1]	CodeSet		666
Or}	Proprietary <Prtry>	[1..1]	±		667
	OptionNumber <OptnNb>	[0..1]	Text		668
	SecurityHoldingForm <SctyHldgForm>	[0..1]	CodeSet		668
	StampDuty <StrmpDty>	[0..1]			668
{Or	Code <Cd>	[1..1]	CodeSet		668
Or}	Proprietary <Prtry>	[1..1]	±		669

13.4.6 UnderlyingCashMovementDetails <UndrlygCshMvmntDtls>

Presence: [0..*]

Definition: Information related to the movement of the underlying cash.

UnderlyingCashMovementDetails <UndrlygCshMvmntDtls> contains the following **CashMovement2** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Amount <Amt>	[1..1]	Amount	C1, C5	489
	AccountDetails <AcctDtls>	[1..2]			489
	CreditDebitIndicator <CdtDbtInd>	[1..1]	CodeSet		489
	AccountOwnerIdentification <AcctOwnrId>	[0..1]	±		490
	AccountIdentification <AcctId>	[1..1]	±		490

13.4.6.1 Amount <Amt>

Presence: [1..1]

Definition: Cash amount.

Impacted by: C1 "ActiveCurrency", C5 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 801

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

13.4.6.2 AccountDetails <AcctDtls>

Presence: [1..2]

Definition: Provides information about the account which is debited/credited.

AccountDetails <AcctDtls> contains the following **CashAccount19** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CreditDebitIndicator <CdtDbtInd>	[1..1]	CodeSet		489
	AccountOwnerIdentification <AcctOwnrId>	[0..1]	±		490
	AccountIdentification <AcctId>	[1..1]	±		490

13.4.6.2.1 CreditDebitIndicator <CdtDbtInd>

Presence: [1..1]

Definition: Specifies whether the value is a debit or credit.

Datatype: "CreditDebitCode" on page 822

CodeName	Name	Definition
CRDT	Credit	Operation is an increase.
DBIT	Debit	Operation is a decrease.

13.4.6.2.2 AccountOwnerIdentification <AcctOwnrId>

Presence: [0..1]

Definition: Identification of the party that owns the account.

AccountOwnerIdentification <AcctOwnrId> contains one of the following elements (see "PartyIdentification2Choice" on page 733 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	BICOrBEI <BICOrBEI>	[1..1]	IdentifierSet	C2	733
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		733
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		734

13.4.6.2.3 AccountIdentification <AcctId>

Presence: [1..1]

Definition: Identification of the cash account or the securities account from which the cash account is derived.

AccountIdentification <AcctId> contains one of the following elements (see "AccountIdentification2Choice" on page 670 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	CashAccountIdentification <CshAcctId>	[1..1]	Text		670
Or}	SecuritiesAccountIdentification <SctiesAcctId>	[1..1]	Text		670

13.4.7 ProceedsMovementDetails <PrcdsMvmntDtls>

Presence: [0..1]

Definition: Information related to the movement of the CA proceeds.

ProceedsMovementDetails <PrcdsMvmntDtls> contains the following **ProceedsMovement1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CashProceedsMovementDetails <CshPrcdsMvmntDtls>	[0..*]			492
	PostingAmount <PstngAmt>	[1..1]	Amount	C1, C5	492
	ReconciliationDetails <RcncltnDtls>	[0..1]	Text		493
	AccountDetails <AcctDtls>	[1..2]			493
	CreditDebitIndicator <CdtDbtInd>	[1..1]	CodeSet		493
	AccountOwnerIdentification <AcctOwnrId>	[0..1]	±		493
	AccountIdentification <AcctId>	[1..1]	±		494
	SecuritiesProceedsMovementDetails <SctiesPrcdsMvmntDtls>	[0..*]			494
	SecurityIdentification <SctyId>	[1..1]	±		494
	PostingQuantity <PstngQty>	[1..1]			495
{Or	Unit <Unit>	[1..1]	Quantity		495
Or}	FaceAmount <FaceAmt>	[1..1]	Amount	C1, C5	495
	AccountDetails <AcctDtls>	[1..2]	±		496
	ReconciliationDetails <RcncltnDtls>	[0..1]	Text		496
	TaxDetails <TaxDtls>	[0..1]			496
	TaxVoucherRate <TaxVchrRate>	[1..1]	Rate		497
{Or	TaxCredit <TaxCdt>	[1..1]	Amount	C1, C5	498
Or}	TaxDeduction <TaxDdctn>	[1..1]	Amount	C1, C5	498
	GrossAmount <GrssAmt>	[1..1]	Amount	C1, C5	498
	NetAmount <NetAmt>	[1..1]	Amount	C1, C5	499
	RecordDateHolding <RcrdDtHldg>	[1..1]			499
{Or	Unit <Unit>	[1..1]	Quantity		500
Or}	FaceAmount <FaceAmt>	[1..1]	Amount	C1, C5	500
	TaxCreditRate <TaxCdtRate>	[0..1]	Rate		500
	WithholdingTaxAmount <WhldgTaxAmt>	[0..1]	Amount	C1, C5	500
	WithholdingTaxRate <WhldgTaxRate>	[0..1]	Rate		501
	ScripDividendReinvestmentPricePerShare <ScripDvddRinvstmtPricPerShr>	[0..1]	±		501
	CashAmountBroughtForward <CshAmtBrghtFwd>	[0..1]	Amount	C1, C5	501
	AllotedSharesCost <AlltdShrsCost>	[0..1]	±		501

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CashAmountCarriedForward <CshAmtCrrdFwd>	[0..1]	Amount	C1, C5	502
	NotionalTax <NtnlTax>	[0..1]	Amount	C1, C5	502
	NotionalDividendPayable <NtnlDvddPybl>	[0..1]	Amount	C1, C5	502
	BargainDate <BrngDt>	[0..1]	Date		503
	BargainSettlementDate <BrngSttlmDt>	[0..1]	Date		503
	StampDutyAmount <StmpDtyAmt>	[0..1]	Amount	C1, C5	503
	ChargeAmount <ChrgAmt>	[0..1]	Amount	C1, C5	503
	CommissionAmount <ComssnAmt>	[0..1]	Amount	C1, C5	504
	ForeignExchangeDetails <FXDtls>	[0..1]			504
	UnitCurrency <UnitCcy>	[1..1]	CodeSet	C1	504
	QuotedCurrency <QtdCcy>	[1..1]	CodeSet	C1	505
	ExchangeRate <XchgRate>	[1..1]	Rate		505
	ResultingAmount <RsltgtAmt>	[1..1]	Amount	C1, C5	505
	OriginalAmount <OrgnlAmt>	[0..1]	Amount	C1, C5	506

13.4.7.1 CashProceedsMovementDetails <CshPrclsMvmntDtls>

Presence: [0..*]

Definition: Provides information about the movement of the cash proceeds.

CashProceedsMovementDetails <CshPrclsMvmntDtls> contains the following **CashProceeds1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PostingAmount <PstngAmt>	[1..1]	Amount	C1, C5	492
	ReconciliationDetails <RcncltnDtls>	[0..1]	Text		493
	AccountDetails <AcctDtls>	[1..2]			493
	CreditDebitIndicator <CdtDbtInd>	[1..1]	CodeSet		493
	AccountOwnerIdentification <AcctOwnrId>	[0..1]	±		493
	AccountIdentification <AcctId>	[1..1]	±		494

13.4.7.1.1 PostingAmount <PstngAmt>

Presence: [1..1]

Definition: Cash amount which is posted.

Impacted by: C1 "ActiveCurrency", C5 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 801

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

13.4.7.1.2 ReconciliationDetails <RcncltnDtls>

Presence: [0..1]

Definition: Reconciliation information.

Datatype: "Max350Text" on page 853

13.4.7.1.3 AccountDetails <AcctDtls>

Presence: [1..2]

Definition: Provides information about the debited securities account.

AccountDetails <AcctDtls> contains the following **CashAccount19** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CreditDebitIndicator <CdtDbtInd>	[1..1]	CodeSet		493
	AccountOwnerIdentification <AcctOwnrld>	[0..1]	±		493
	AccountIdentification <AcctId>	[1..1]	±		494

13.4.7.1.3.1 CreditDebitIndicator <CdtDbtInd>

Presence: [1..1]

Definition: Specifies whether the value is a debit or credit.

Datatype: "CreditDebitCode" on page 822

CodeName	Name	Definition
CRDT	Credit	Operation is an increase.
DBIT	Debit	Operation is a decrease.

13.4.7.1.3.2 AccountOwnerIdentification <AcctOwnrld>

Presence: [0..1]

Definition: Identification of the party that owns the account.

AccountOwnerIdentification <AcctOwnrId> contains one of the following elements (see "PartyIdentification2Choice" on page 733 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	BICOrBEI <BICOrBEI>	[1..1]	IdentifierSet	C2	733
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		733
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		734

13.4.7.1.3.3 AccountIdentification <AcctId>

Presence: [1..1]

Definition: Identification of the cash account or the securities account from which the cash account is derived.

AccountIdentification <AcctId> contains one of the following elements (see "AccountIdentification2Choice" on page 670 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	CashAccountIdentification <CshAcctId>	[1..1]	Text		670
Or}	SecuritiesAccountIdentification <SctiesAcctId>	[1..1]	Text		670

13.4.7.2 SecuritiesProceedsMovementDetails <SctiesPrcdsMvmntDtls>

Presence: [0..*]

Definition: Provides information about the movement of the securities proceeds.

SecuritiesProceedsMovementDetails <SctiesPrcdsMvmntDtls> contains the following **SecuritiesProceeds1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	SecurityIdentification <SctyId>	[1..1]	±		494
	PostingQuantity <PstngQty>	[1..1]			495
{Or	Unit <Unit>	[1..1]	Quantity		495
Or}	FaceAmount <FaceAmt>	[1..1]	Amount	C1, C5	495
	AccountDetails <AcctDtls>	[1..2]	±		496
	ReconciliationDetails <RcncltnDtls>	[0..1]	Text		496

13.4.7.2.1 SecurityIdentification <SctyId>

Presence: [1..1]

Definition: Identification of the financial instrument.

SecurityIdentification <Sctyld> contains the following elements (see "[SecurityIdentification7](#)" on page 791 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	ISIN <ISIN>	[1..1]	IdentifierSet		791
Or}	OtherIdentification <Othrlid>	[1..1]			791
	Identification <Id>	[1..1]	Text		792
{Or	DomesticIdentificationSource <DmstldSrc>	[1..1]	CodeSet	C4	792
Or}	ProprietaryIdentificationSource <PrtryldSrc>	[1..1]	Text		792
	Description <Desc>	[0..1]	Text		792

13.4.7.2.2 PostingQuantity <PstngQty>

Presence: [1..1]

Definition: The quantity of financial instruments that is posted.

PostingQuantity <PstngQty> contains one of the following **UnitOrFaceAmount1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		495
Or}	FaceAmount <FaceAmt>	[1..1]	Amount	C1, C5	495

13.4.7.2.2.1 Unit <Unit>

Presence: [1..1]

Definition: Quantity expressed as a number, eg, a number of shares.

Datatype: "[DecimalNumber](#)" on page 851

13.4.7.2.2.2 FaceAmount <FaceAmt>

Presence: [1..1]

Definition: Quantity expressed as an amount representing the face amount, ie, the principal, of a debt instrument.

Impacted by: [C1 "ActiveCurrency"](#), [C5 "CurrencyAmount"](#)

Datatype: "[ActiveCurrencyAndAmount](#)" on page 801

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

13.4.7.2.3 AccountDetails <AcctDtls>

Presence: [1..2]

Definition: Provides information about the account that is debited/credited.

AccountDetails <AcctDtls> contains the following elements (see "[SecuritiesAccount10](#)" on page 670 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CreditDebitIndicator <CdtDbtInd>	[1..1]	CodeSet		670
	AccountOwnerIdentification <AcctOwnrId>	[0..1]	±		671
	AccountOwnerNationality <AcctOwnrNtlty>	[0..1]	CodeSet	C8	671
	AccountIdentification <AcctId>	[1..1]	Text		671
	BalanceType <BalTp>	[0..1]			671
{Or	Code <Cd>	[1..1]	CodeSet		672
Or}	Proprietary <Prtry>	[1..1]	±		672
	SecurityHoldingForm <SctyHldgForm>	[0..1]	CodeSet		672

13.4.7.2.4 ReconciliationDetails <RcncltnDtls>

Presence: [0..1]

Definition: Provides reconciliation information.

Datatype: "[Max350Text](#)" on page 853

13.4.7.3 TaxDetails <TaxDtls>

Presence: [0..1]

Definition: Provides information about the tax voucher.

TaxDetails <TaxDtls> contains the following **TaxVoucher1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	TaxVoucherRate <TaxVchrRate>	[1..1]	Rate		497
{Or	TaxCredit <TaxCdt>	[1..1]	Amount	C1, C5	498
Or}	TaxDeduction <TaxDdctn>	[1..1]	Amount	C1, C5	498
	GrossAmount <GrssAmt>	[1..1]	Amount	C1, C5	498
	NetAmount <NetAmt>	[1..1]	Amount	C1, C5	499
	RecordDateHolding <RcrdDtHldg>	[1..1]			499
{Or	Unit <Unit>	[1..1]	Quantity		500
Or}	FaceAmount <FaceAmt>	[1..1]	Amount	C1, C5	500
	TaxCreditRate <TaxCdtRate>	[0..1]	Rate		500
	WithholdingTaxAmount <WhldgTaxAmt>	[0..1]	Amount	C1, C5	500
	WithholdingTaxRate <WhldgTaxRate>	[0..1]	Rate		501
	ScripDividendReinvestmentPricePerShare <ScripDvddRinvstmtPricPerShr>	[0..1]	±		501
	CashAmountBroughtForward <CshAmtBrghFwd>	[0..1]	Amount	C1, C5	501
	AllotedSharesCost <AlltdShrsCost>	[0..1]	±		501
	CashAmountCarriedForward <CshAmtCrrdFwd>	[0..1]	Amount	C1, C5	502
	NotionalTax <NtnlTax>	[0..1]	Amount	C1, C5	502
	NotionalDividendPayable <NtnlDvddPybl>	[0..1]	Amount	C1, C5	502
	BargainDate <BrgrDt>	[0..1]	Date		503
	BargainSettlementDate <BrgrSttlmDt>	[0..1]	Date		503
	StampDutyAmount <StmpDtyAmt>	[0..1]	Amount	C1, C5	503
	ChargeAmount <ChrgAmt>	[0..1]	Amount	C1, C5	503
	CommissionAmount <ComssnAmt>	[0..1]	Amount	C1, C5	504
	ForeignExchangeDetails <FXDtls>	[0..1]			504
	UnitCurrency <UnitCcy>	[1..1]	CodeSet	C1	504
	QuotedCurrency <QtdCcy>	[1..1]	CodeSet	C1	505
	ExchangeRate <XchgRate>	[1..1]	Rate		505
	ResultingAmount <RsltgAmt>	[1..1]	Amount	C1, C5	505
	OriginalAmount <OrgnlAmt>	[0..1]	Amount	C1, C5	506

13.4.7.3.1 TaxVoucherRate <TaxVchrRate>

Presence: [1..1]

Definition: Distribution rate per share.

Datatype: "BaseOneRate" on page 851

13.4.7.3.2 TaxCredit <TaxCdt>

Presence: [1..1]

Warning Either TaxCredit orTaxDeduction must be present.

Definition: Amount of tax that have been previously paid.

Impacted by: C1 "ActiveCurrency", C5 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 801

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

13.4.7.3.3 TaxDeduction <TaxDdctn>

Presence: [1..1]

Warning Either TaxCredit orTaxDeduction must be present.

Definition: Amount of tax that have been previously deducted.

Impacted by: C1 "ActiveCurrency", C5 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 801

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

13.4.7.3.4 GrossAmount <GrssAmt>

Presence: [1..1]

Definition: Cash amount before any deductions and allowances have been made.

Impacted by: C1 "ActiveCurrency", C5 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 801

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

13.4.7.3.5 NetAmount <NetAmt>

Presence: [1..1]

Definition: Cash amount after any deductions and allowances have been made.

Impacted by: C1 "ActiveCurrency", C5 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 801

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

13.4.7.3.6 RecordDateHolding <RcrdDtHldg>

Presence: [1..1]

Definition: Securities holding on record date.

RecordDateHolding <RcrdDtHldg> contains one of the following **UnitOrFaceAmount1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		500
Or}	FaceAmount <FaceAmt>	[1..1]	Amount	C1, C5	500

13.4.7.3.6.1 Unit <Unit>

Presence: [1..1]

Definition: Quantity expressed as a number, eg, a number of shares.

Datatype: "DecimalNumber" on page 851

13.4.7.3.6.2 FaceAmount <FaceAmt>

Presence: [1..1]

Definition: Quantity expressed as an amount representing the face amount, ie, the principal, of a debt instrument.

Impacted by: C1 "ActiveCurrency", C5 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 801

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

13.4.7.3.7 TaxCreditRate <TaxCdtRate>

Presence: [0..1]

Definition: Applicable tax rate on the tax credit amount.

Datatype: "PercentageRate" on page 851

13.4.7.3.8 WithholdingTaxAmount <WhldgTaxAmt>

Presence: [0..1]

Definition: Cash amount that will be withheld by a tax authority.

Impacted by: C1 "ActiveCurrency", C5 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 801

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

13.4.7.3.9 WithholdingTaxRate <WhldgTaxRate>

Presence: [0..1]

Definition: Rate of a cash distribution that will be withheld by a tax authority.

Datatype: "PercentageRate" on page 851

13.4.7.3.10 ScripDividendReinvestmentPricePerShare <ScripDvddRinvstmtPricPerShr>

Presence: [0..1]

Definition: Cost per share of new shares allotted.

ScripDividendReinvestmentPricePerShare <ScripDvddRinvstmtPricPerShr> contains the following elements (see "PriceValue1" on page 766 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Amount <Amt>	[1..1]	Amount	C1	766

13.4.7.3.11 CashAmountBroughtForward <CshAmtBrghtFwd>

Presence: [0..1]

Definition: Cash amount retained from previous dividend or interest payment.

Impacted by: C1 "ActiveCurrency", C5 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 801

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

13.4.7.3.12 AllotedSharesCost <AlltdShrsCost>

Presence: [0..1]

Definition: Total cash amount required to purchase shares allotted.

AllotedSharesCost <AlltdShrsCost> contains the following elements (see "PriceValue1" on page 766 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Amount <Amt>	[1..1]	Amount	C1	766

13.4.7.3.13 CashAmountCarriedForward <CshAmtCrrdFwd>

Presence: [0..1]

Definition: Cash amount carried forward to next dividend or interest payment.

Impacted by: C1 "ActiveCurrency", C5 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 801

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

13.4.7.3.14 NotionalTax <NtnlTax>

Presence: [0..1]

Definition: Where new securities are issued in lieu of a cash dividend, the notional tax is the tax on the amount of cash that would have been paid. For scrips only.

Impacted by: C1 "ActiveCurrency", C5 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 801

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

13.4.7.3.15 NotionalDividendPayable <NtnlDvddPybl>

Presence: [0..1]

Definition: Amount of cash that would have been payable if the dividend had been taken in the form of cash rather than shares. For scrip only.

Impacted by: C1 "ActiveCurrency", C5 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 801

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

13.4.7.3.16 BargainDate <BrngDt>

Presence: [0..1]

Definition: Date on which DRIP purchase completed.

Datatype: "ISODate" on page 846

13.4.7.3.17 BargainSettlementDate <BrngSttlmDt>

Presence: [0..1]

Definition: Settlement date of the DRIP purchase transaction.

Datatype: "ISODate" on page 846

13.4.7.3.18 StampDutyAmount <StmpDtyAmt>

Presence: [0..1]

Definition: Amount of stamp duty.

Impacted by: C1 "ActiveCurrency", C5 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 801

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

13.4.7.3.19 ChargeAmount <ChrgAmt>

Presence: [0..1]

Definition: Amount of charges/fees charged to the client.

Impacted by: C1 "ActiveCurrency", C5 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 801

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

13.4.7.3.20 CommissionAmount <ComssnAmt>

Presence: [0..1]

Definition: Amount due to the paying agent.

Impacted by: C1 "ActiveCurrency", C5 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 801

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

13.4.7.3.21 ForeignExchangeDetails <FXDtIs>

Presence: [0..1]

Definition: Provides information about the foreign exchange transaction.

ForeignExchangeDetails <FXDtIs> contains the following **ForeignExchangeTerms9** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	UnitCurrency <UnitCcy>	[1..1]	CodeSet	C1	504
	QuotedCurrency <QtdCcy>	[1..1]	CodeSet	C1	505
	ExchangeRate <XchgRate>	[1..1]	Rate		505
	ResultingAmount <RsltAmt>	[1..1]	Amount	C1, C5	505
	OriginalAmount <OrgnlAmt>	[0..1]	Amount	C1, C5	506

13.4.7.3.21.1 UnitCurrency <UnitCcy>

Presence: [1..1]

Definition: Currency in which the rate of exchange is expressed in a currency exchange. In the example 1GBP = xxxCUR, the unit currency is GBP.

Impacted by: C1 "ActiveCurrency"

Datatype: "ActiveCurrencyCode" on page 802

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

13.4.7.3.21.2 QuotedCurrency <QtdCcy>

Presence: [1..1]

Definition: Currency into which the base currency is converted, in a currency exchange.

Impacted by: C1 "ActiveCurrency"

Datatype: "ActiveCurrencyCode" on page 802

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

13.4.7.3.21.3 ExchangeRate <XchgRate>

Presence: [1..1]

Definition: Factor used for the conversion of an amount from one currency into another. This reflects the price at which one currency was bought with another currency.

Usage: ExchangeRate expresses the ratio between UnitCurrency and QuotedCurrency (ExchangeRate = UnitCurrency/QuotedCurrency).

Datatype: "BaseOneRate" on page 851

13.4.7.3.21.4 ResultingAmount <RsltAmt>

Presence: [1..1]

Definition: Amount of money resulting from a foreign exchange transaction.

Impacted by: C1 "ActiveCurrency", C5 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 801

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217

Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

13.4.7.3.21.5 OriginalAmount <OrgnlAmt>

Presence: [0..1]

Definition: Amount in its original currency when conversion from/into another currency has occurred.

Impacted by: C1 "ActiveCurrency", C5 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 801

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

14 **seev.020.001.01**

AgentCAMovementCancellationRequestV01

14.1 **MessageDefinition Functionality**

Scope

This message is sent by an issuer (or its agent) to a CSD to request the cancellation of (a) movement(s) previously sent via an Agent Corporate Action Movement Instruction.

Usage

This message may be used to cancel an entire Agent Corporate Action Movement Instruction message that was previously sent by the issuer (or its agent) or specific movements.

This message must contain the identification of the Agent Corporate Action Movement Instruction containing the movement(s) to be cancelled, the agent identification and the corporate action references. This message must also contain details of the movement(s) to be cancelled.

Outline

The AgentCAMovementCancellationRequestV01 MessageDefinition is composed of 4 MessageBuildingBlocks:

A. Identification

Identification assigned by the Sender to unambiguously identify the cancellation request.

B. AgentCAMovementInstructionIdentification

Identification of the Agent CA Movement Instruction to be cancelled.

C. CorporateActionGeneralInformation

General information about the corporate action event.

D. MovementDetails

Details of the movement instructions to be cancelled.

14.2 Structure

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	Message root <Document> <AgtCAMvmntCxlReq>	[1..1]			
	Identification <Id>	[1..1]	±		511
	AgentCAMovementInstructionIdentification <AgtCAMvmntInstrId>	[1..1]	±		511
	CorporateActionGeneralInformation <CorpActnGnllnf>	[1..1]	±	C3	511
	MovementDetails <MvmntDtls>	[0..1]			512
	MovementGeneralInformation <MvmntGnllnf>	[1..1]			515
	OrderType <OrdrTp>	[1..1]	CodeSet		515
	HighPriorityIndicator <HghPrtyInd>	[1..1]	Indicator		516
	OptionNumber <OptnNb>	[0..1]	Text		516
	OptionType <OptnTp>	[0..1]			516
{Or	Code <Cd>	[1..1]	CodeSet		517
Or}	Proprietary <Prtry>	[1..1]	±		518
	RequestedExecutionDate <ReqdExctnDt>	[1..1]	Date		518
	AccountOwnerIdentification <AcctOwnrId>	[0..1]	±		518
	AccountIdentification <AcctId>	[0..1]	Text		518
	ConfirmedBalanceSecuritiesQuantity <ConfdBalScitiesQty>	[0..1]			519
{Or	Unit <Unit>	[1..1]	Quantity		519
Or}	FaceAmount <FaceAmt>	[1..1]	Amount	C1, C5	519
	UnderlyingSecuritiesMovementDetails <UndrlygScitiesMvmntDtls>	[0..*]			519
	SecurityIdentification <SctyId>	[1..1]	±		520
	SecuritiesQuantity <ScitiesQty>	[1..1]			520
{Or	Unit <Unit>	[1..1]	Quantity		520
Or}	FaceAmount <FaceAmt>	[1..1]	Amount	C1, C5	521
	AccountDetails <AcctDtls>	[1..2]	±		521
	UnderlyingCashMovementDetails <UndrlygCshMvmntDtls>	[0..*]			522
	Amount <Amt>	[1..1]	Amount	C1, C5	522
	AccountDetails <AcctDtls>	[1..2]			522
	CreditDebitIndicator <CdtDbtInd>	[1..1]	CodeSet		523
	AccountOwnerIdentification <AcctOwnrId>	[0..1]	±		523

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	AccountIdentification <AcctId>	[1..1]	±		523
	ProceedsMovementDetails <PrcdsMvmntDtls>	[0..*]			523
	CashProceedsMovementDetails <CshPrcdsMvmntDtls>	[0..*]			525
	PostingAmount <PstngAmt>	[1..1]	Amount	C1, C5	525
	ReconciliationDetails <RcncltnDtls>	[0..1]	Text		526
	AccountDetails <AcctDtls>	[1..2]			526
	CreditDebitIndicator <CdtDbtInd>	[1..1]	CodeSet		526
	AccountOwnerIdentification <AcctOwnrId>	[0..1]	±		526
	AccountIdentification <AcctId>	[1..1]	±		527
	SecuritiesProceedsMovementDetails <SctiesPrcdsMvmntDtls>	[0..*]			527
	SecurityIdentification <SctyId>	[1..1]	±		527
	PostingQuantity <PstngQty>	[1..1]			528
{Or	Unit <Unit>	[1..1]	Quantity		528
Or}	FaceAmount <FaceAmt>	[1..1]	Amount	C1, C5	528
	AccountDetails <AcctDtls>	[1..2]	±		529
	ReconciliationDetails <RcncltnDtls>	[0..1]	Text		529
	TaxDetails <TaxDtls>	[0..1]			529
	TaxVoucherRate <TaxVchrRate>	[1..1]	Rate		530
{Or	TaxCredit <TaxCdt>	[1..1]	Amount	C1, C5	531
Or}	TaxDeduction <TaxDdctn>	[1..1]	Amount	C1, C5	531
	GrossAmount <GrssAmt>	[1..1]	Amount	C1, C5	531
	NetAmount <NetAmt>	[1..1]	Amount	C1, C5	532
	RecordDateHolding <RcrdDtHldg>	[1..1]			532
{Or	Unit <Unit>	[1..1]	Quantity		532
Or}	FaceAmount <FaceAmt>	[1..1]	Amount	C1, C5	533
	TaxCreditRate <TaxCdtRate>	[0..1]	Rate		533
	WithholdingTaxAmount <WhldgTaxAmt>	[0..1]	Amount	C1, C5	533
	WithholdingTaxRate <WhldgTaxRate>	[0..1]	Rate		534
	ScripDividendReinvestmentPricePerShare <ScripDvddRinvstmtPricPerShr>	[0..1]	±		534
	CashAmountBroughtForward <CshAmtBrghFwd>	[0..1]	Amount	C1, C5	534
	AllotedSharesCost <AlltdShrsCost>	[0..1]	±		534

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	CashAmountCarriedForward <CshAmtCrrdFwd>	[0..1]	Amount	C1, C5	534
	NotionalTax <NtnlTax>	[0..1]	Amount	C1, C5	535
	NotionalDividendPayable <NtnlDvddPybl>	[0..1]	Amount	C1, C5	535
	BargainDate <BrngDt>	[0..1]	Date		536
	BargainSettlementDate <BrngSttlmDt>	[0..1]	Date		536
	StampDutyAmount <StmpDtyAmt>	[0..1]	Amount	C1, C5	536
	ChargeAmount <ChrgAmt>	[0..1]	Amount	C1, C5	536
	CommissionAmount <ComssnAmt>	[0..1]	Amount	C1, C5	537
	ForeignExchangeDetails <FXDtls>	[0..1]			537
	UnitCurrency <UnitCcy>	[1..1]	CodeSet	C1	537
	QuotedCurrency <QtdCcy>	[1..1]	CodeSet	C1	538
	ExchangeRate <XchgRate>	[1..1]	Rate		538
	ResultingAmount <RsltgtAmt>	[1..1]	Amount	C1, C5	538
	OriginalAmount <OrgnlAmt>	[0..1]	Amount	C1, C5	539

14.3 Constraints

C1 ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

C2 AnyBIC

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered by the ISO 9362 Registration Authority in the BIC directory, and consists of eight (8) or eleven (11) contiguous characters.

C3 CorporateActionIdentificationRule

At least one element in the list (IssuerCorporateActionReference, OfficialCorporateActionReference, CorporateActionProcessingIdentifier) must be present. They may all be may be present.

C4 Country

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

C5 CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

C6 ValidationByTable

Must be a valid nationality.

14.4 Message Building Blocks

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

14.4.1 Identification <Id>

Presence: [1..1]

Definition: Identification assigned by the Sender to unambiguously identify the cancellation request.

Identification <Id> contains the following elements (see "[DocumentIdentification8](#)" on page 690 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		690
	CreationDateTime <CreDtTm>	[0..1]	DateTime		690

14.4.2 AgentCAMovementInstructionIdentification <AgtCAMvmntInstrId>

Presence: [1..1]

Definition: Identification of the Agent CA Movement Instruction to be cancelled.

AgentCAMovementInstructionIdentification <AgtCAMvmntInstrId> contains the following elements (see "[DocumentIdentification8](#)" on page 690 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		690
	CreationDateTime <CreDtTm>	[0..1]	DateTime		690

14.4.3 CorporateActionGeneralInformation <CorpActnGnllnf>

Presence: [1..1]

Definition: General information about the corporate action event.

Impacted by: [C3 "CorporateActionIdentificationRule"](#)

CorporateActionGeneralInformation <CorpActnGnllnf> contains the following elements (see "CorporateActionInformation1" on page 695 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AgentIdentification <AgtId>	[1..1]	±		695
	IssuerCorporateActionIdentification <IssrCorpActnId>	[0..1]	Text		696
	CorporateActionProcessingIdentification <CorpActnPrctgId>	[0..1]	Text		696
	EventType <EvtTp>	[1..1]			696
{Or	Code <Cd>	[1..1]	CodeSet		696
Or}	Proprietary <Prtry>	[1..1]	±		702
	MandatoryVoluntaryEventType <MndtryVlntryEvtTp>	[1..1]			702
{Or	Code <Cd>	[1..1]	CodeSet		702
Or}	Proprietary <Prtry>	[1..1]	±		703
	EventProcessingType <EvtPrctgTp>	[0..1]			703
{Or	Code <Cd>	[1..1]	CodeSet		703
Or}	Proprietary <Prtry>	[1..1]	±		704
	UnderlyingSecurity <UndrlygScty>	[1..1]	±		704

Constraints

- **CorporateActionIdentificationRule**

At least one element in the list (IssuerCorporateActionReference, OfficialCorporateActionReference, CorporateActionProcessingIdentifier) must be present. They may all be present.

14.4.4 MovementDetails <MvmntDtls>

Presence: [0..1]

Definition: Details of the movement instructions to be cancelled.

MovementDetails <MvmntDtls> contains the following **MovementInstruction1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	MovementGeneralInformation <MvmntGnlInf>	[1..1]			515
	OrderType <OrdTp>	[1..1]	CodeSet		515
	HighPriorityIndicator <HghPrtyInd>	[1..1]	Indicator		516
	OptionNumber <OptnNb>	[0..1]	Text		516
	OptionType <OptnTp>	[0..1]			516
{Or	Code <Cd>	[1..1]	CodeSet		517
Or}	Proprietary <Prtry>	[1..1]	±		518
	RequestedExecutionDate <ReqdExctnDt>	[1..1]	Date		518
	AccountOwnerIdentification <AcctOwnrId>	[0..1]	±		518
	AccountIdentification <AcctId>	[0..1]	Text		518
	ConfirmedBalanceSecuritiesQuantity <ConfdBalSciesQty>	[0..1]			519
{Or	Unit <Unit>	[1..1]	Quantity		519
Or}	FaceAmount <FaceAmt>	[1..1]	Amount	C1, C5	519
	UnderlyingSecuritiesMovementDetails <UndrlygSciesMvmntDtls>	[0..*]			519
	SecurityIdentification <SctyId>	[1..1]	±		520
	SecuritiesQuantity <SciesQty>	[1..1]			520
{Or	Unit <Unit>	[1..1]	Quantity		520
Or}	FaceAmount <FaceAmt>	[1..1]	Amount	C1, C5	521
	AccountDetails <AcctDtls>	[1..2]	±		521
	UnderlyingCashMovementDetails <UndrlygCshMvmntDtls>	[0..*]			522
	Amount <Amt>	[1..1]	Amount	C1, C5	522
	AccountDetails <AcctDtls>	[1..2]			522
	CreditDebitIndicator <CdtDbtInd>	[1..1]	CodeSet		523
	AccountOwnerIdentification <AcctOwnrId>	[0..1]	±		523
	AccountIdentification <AcctId>	[1..1]	±		523
	ProceedsMovementDetails <PrcdsMvmntDtls>	[0..*]			523
	CashProceedsMovementDetails <CshPrcdsMvmntDtls>	[0..*]			525
	PostingAmount <PstngAmt>	[1..1]	Amount	C1, C5	525
	ReconciliationDetails <RcncltnDtls>	[0..1]	Text		526

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AccountDetails <AcctDtls>	[1..2]			526
	CreditDebitIndicator <CdtDbtInd>	[1..1]	CodeSet		526
	AccountOwnerIdentification <AcctOwnrId>	[0..1]	±		526
	AccountIdentification <AcctId>	[1..1]	±		527
	SecuritiesProceedsMovementDetails <SctiesPrcdsMvmntDtls>	[0..*]			527
	SecurityIdentification <SctyId>	[1..1]	±		527
	PostingQuantity <PstngQty>	[1..1]			528
{Or	Unit <Unit>	[1..1]	Quantity		528
Or}	FaceAmount <FaceAmt>	[1..1]	Amount	C1, C5	528
	AccountDetails <AcctDtls>	[1..2]	±		529
	ReconciliationDetails <RcncltnDtls>	[0..1]	Text		529
	TaxDetails <TaxDtls>	[0..1]			529
	TaxVoucherRate <TaxVchrRate>	[1..1]	Rate		530
{Or	TaxCredit <TaxCdt>	[1..1]	Amount	C1, C5	531
Or}	TaxDeduction <TaxDdctn>	[1..1]	Amount	C1, C5	531
	GrossAmount <GrssAmt>	[1..1]	Amount	C1, C5	531
	NetAmount <NetAmt>	[1..1]	Amount	C1, C5	532
	RecordDateHolding <RcrdDtHldg>	[1..1]			532
{Or	Unit <Unit>	[1..1]	Quantity		532
Or}	FaceAmount <FaceAmt>	[1..1]	Amount	C1, C5	533
	TaxCreditRate <TaxCdtRate>	[0..1]	Rate		533
	WithholdingTaxAmount <WhldgTaxAmt>	[0..1]	Amount	C1, C5	533
	WithholdingTaxRate <WhldgTaxRate>	[0..1]	Rate		534
	ScripDividendReinvestmentPricePerShare <ScripDvddRinvstmtPricPerShr>	[0..1]	±		534
	CashAmountBroughtForward <CshAmtBrghtFwd>	[0..1]	Amount	C1, C5	534
	AllotedSharesCost <AlltdShrsCost>	[0..1]	±		534
	CashAmountCarriedForward <CshAmtCrrdFwd>	[0..1]	Amount	C1, C5	534
	NotionalTax <NtnlTax>	[0..1]	Amount	C1, C5	535
	NotionalDividendPayable <NtnlDvddPybl>	[0..1]	Amount	C1, C5	535
	BargainDate <BrngDt>	[0..1]	Date		536
	BargainSettlementDate <BrngSttlmDt>	[0..1]	Date		536

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	StampDutyAmount <StmpDtyAmt>	[0..1]	Amount	C1, C5	536
	ChargeAmount <ChrgAmt>	[0..1]	Amount	C1, C5	536
	CommissionAmount <ComssnAmt>	[0..1]	Amount	C1, C5	537
	ForeignExchangeDetails <FXDtls>	[0..1]			537
	UnitCurrency <UnitCcy>	[1..1]	CodeSet	C1	537
	QuotedCurrency <QtdCcy>	[1..1]	CodeSet	C1	538
	ExchangeRate <XchgRate>	[1..1]	Rate		538
	ResultingAmount <RsltAmt>	[1..1]	Amount	C1, C5	538
	OriginalAmount <OrgnlAmt>	[0..1]	Amount	C1, C5	539

14.4.4.1 MovementGeneralInformation <MvmntGnlInf>

Presence: [1..1]

Definition: Provides general information about the movement.

MovementGeneralInformation <MvmntGnlInf> contains the following **CorporateActionMovement1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	OrderType <OrdrTp>	[1..1]	CodeSet		515
	HighPriorityIndicator <HghPrtyInd>	[1..1]	Indicator		516
	OptionNumber <OptnNb>	[0..1]	Text		516
	OptionType <OptnTp>	[0..1]			516
{Or	Code <Cd>	[1..1]	CodeSet		517
Or}	Proprietary <Prtry>	[1..1]	±		518
	RequestedExecutionDate <ReqdExctnDt>	[1..1]	Date		518
	AccountOwnerIdentification <AcctOwnrId>	[0..1]	±		518
	AccountIdentification <AcctId>	[0..1]	Text		518
	ConfirmedBalanceSecuritiesQuantity <ConfdBalScitiesQty>	[0..1]			519
{Or	Unit <Unit>	[1..1]	Quantity		519
Or}	FaceAmount <FaceAmt>	[1..1]	Amount	C1, C5	519

14.4.4.1.1 OrderType <OrdrTp>

Presence: [1..1]

Definition: Type of movement instruction.

Datatype: "DistributionInstructionType1Code" on page 823

CodeName	Name	Definition
GDEB	GlobalDebitOrder	Instruction to debit the positions held under all clients accounts in the underlying resources of the given event and option. This order applies to all clients who are eligible to participate to the CA event.
IDEB	IndividualOrder	An instruction, on a per client basis, to debit the position held in the underlying resources (securities and/or cash) and/or the credit of the proceeds (securities and/or cash).
GRET	GlobalReturnOrder	Instruction to transfer securities from the clients sequestered balances back to the respective available balances.
CHAN	OptionChangeOrder	Instruction to transfer the position from one sequestered balance (related to a CA option) to another sequestered balance (related to another CA option).
IRET	IndividualReturnOrder	Instruction to transfer securities from a client sequestered balance back to its available balance.

14.4.4.1.2 HighPriorityIndicator <HghPrtyInd>

Presence: [1..1]

Definition: Indicates whether the movement is a high priority or not.

Meaning when true: High priority

Meaning when false: Standard.

Datatype: One of the following values must be used (see ["YesNoIndicator" on page 850](#)):

- *Meaning When True:* Yes
- *Meaning When False:* No

14.4.4.1.3 OptionNumber <OptnNb>

Presence: [0..1]

Definition: Number identifying the available corporate action options.

Datatype: ["Exact3NumericText" on page 852](#)

14.4.4.1.4 OptionType <OptnTp>

Presence: [0..1]

Definition: Specifies the corporate action options available to the account owner.

OptionType <OptnTp> contains one of the following **CorporateActionOption1FormatChoice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		517
Or}	Proprietary <Prtry>	[1..1]	±		518

14.4.4.1.4.1 Code <Cd>

Presence: [1..1]

Definition: Option type expressed as a code.

Datatype: "CorporateActionOptionType1Code" on page 821

CodeName	Name	Definition
BSPL	BonusSharePlan	Receive shares issued from the Share Premium Reserve of the company and considered as a capital distribution rather than a disbursement of income, with different tax implications (typically found in Australia).
BUYA	BuyUp	Buy additional securities to round up position.
CASE	CashAndSecurities	Election choice includes a combination of both cash and securities.
CASH	Cash	Distribution of cash.
CEXC	ConsentAndExchange	Vote to consent to change the terms of the securities agreement and to exchange securities.
CTEN	ConsentAndTender	Vote to consent to change the terms of the securities agreement and to tender securities for cash.
CONN	ConsentDenied	Vote not to approve the event or proposal.
CONY	ConsentGranted	Vote to approve the event or proposal.
EXER	Exercise	Exercise intermediate securities or warrants.
LAPS	Lapse	Allow event or entitled security to expire.
MPUT	Retain	An option that allows a holder to elect to retain their holding, eg, a puttable bond.
NOAC	NoAction	Option for the account owner not to take part in the event.
OFFR	ProposedRate	In a remarketing of variable notes, the margin that shareholders can propose in respect of the next interest period.
OVER	Oversubscribe	Subscribe to more equities than underlying securities position allows.
SECU	SecuritiesOption	Distribution of securities to holders.
SLLE	SellEntitlement	Sell the intermediate securities.

CodeName	Name	Definition
SPLI	SplitInstruction	Option to give a split instruction, eg, a split voting instruction on a meeting.
NOQU	NonQualifiedInvestor	The account owner is a Non-Qualified Investor.
OTHR	Other	Generic corporate action option to be used in case that no other specific code is appropriate.
QINV	QualifiedInvestor	The account owner is a Qualified Investor.

14.4.4.1.4.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Option type expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification13](#)" on page 693 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		693
	SchemeName <SchmeNm>	[0..1]	Text		693
	Issuer <Issr>	[1..1]	Text		693

14.4.4.1.5 RequestedExecutionDate <ReqdExctnDt>

Presence: [1..1]

Definition: Date at which the distribution movement must be executed.

Datatype: "[ISODate](#)" on page 846

14.4.4.1.6 AccountOwnerIdentification <AcctOwnrId>

Presence: [0..1]

Definition: Identification of the party that owns the account.

AccountOwnerIdentification <AcctOwnrId> contains one of the following elements (see "[PartyIdentification2Choice](#)" on page 733 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	BICorBEI <BICorBEI>	[1..1]	IdentifierSet	C2	733
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		733
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		734

14.4.4.1.7 AccountIdentification <AcctId>

Presence: [0..1]

Definition: Identification of the account.

Datatype: "Max35Text" on page 853

14.4.4.1.8 ConfirmedBalanceSecuritiesQuantity <ConfdBalSctiesQty>

Presence: [0..1]

Definition: Quantity of securities in the confirmed balance, ie, the balance to which the credit of the outturned resources applies.

ConfirmedBalanceSecuritiesQuantity <ConfdBalSctiesQty> contains one of the following **UnitOrFaceAmount1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		519
Or}	FaceAmount <FaceAmt>	[1..1]	Amount	C1, C5	519

14.4.4.1.8.1 Unit <Unit>

Presence: [1..1]

Definition: Quantity expressed as a number, eg, a number of shares.

Datatype: "DecimalNumber" on page 851

14.4.4.1.8.2 FaceAmount <FaceAmt>

Presence: [1..1]

Definition: Quantity expressed as an amount representing the face amount, ie, the principal, of a debt instrument.

Impacted by: C1 "ActiveCurrency", C5 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 801

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

14.4.4.2 UnderlyingSecuritiesMovementDetails <UndrlygSctiesMvmntDtls>

Presence: [0..*]

Definition: Provides information about the underlying securities movement.

UnderlyingSecuritiesMovementDetails <UndrlygSctiesMvmntDtls> contains the following **UnderlyingSecurityMovement1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	SecurityIdentification <Sctyld>	[1..1]	±		520
	SecuritiesQuantity <SctiesQty>	[1..1]			520
{Or	Unit <Unit>	[1..1]	Quantity		520
Or}	FaceAmount <FaceAmt>	[1..1]	Amount	C1, C5	521
	AccountDetails <AcctDtls>	[1..2]	±		521

14.4.4.2.1 SecurityIdentification <Sctyld>

Presence: [1..1]

Definition: Identification of the financial instrument.

SecurityIdentification <Sctyld> contains the following elements (see "[SecurityIdentification7](#)" on page 791 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	ISIN <ISIN>	[1..1]	IdentifierSet		791
Or}	OtherIdentification <OthrlId>	[1..1]			791
	Identification <Id>	[1..1]	Text		792
{Or	DomesticIdentificationSource <DmstldSrc>	[1..1]	CodeSet	C4	792
Or}	ProprietaryIdentificationSource <PrtryldSrc>	[1..1]	Text		792
	Description <Desc>	[0..1]	Text		792

14.4.4.2.2 SecuritiesQuantity <SctiesQty>

Presence: [1..1]

Definition: Quantity of financial instrument.

SecuritiesQuantity <SctiesQty> contains one of the following **UnitOrFaceAmount1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		520
Or}	FaceAmount <FaceAmt>	[1..1]	Amount	C1, C5	521

14.4.4.2.2.1 Unit <Unit>

Presence: [1..1]

Definition: Quantity expressed as a number, eg, a number of shares.

Datatype: "[DecimalNumber](#)" on page 851

14.4.4.2.2.2 FaceAmount <FaceAmt>*Presence:* [1..1]*Definition:* Quantity expressed as an amount representing the face amount, ie, the principal, of a debt instrument.*Impacted by:* C1 "ActiveCurrency", C5 "CurrencyAmount"*Datatype:* "ActiveCurrencyAndAmount" on page 801**Constraints**

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

14.4.4.2.3 AccountDetails <AcctDtls>*Presence:* [1..2]*Definition:* Provides information about the debited/credited securities account.

AccountDetails <AcctDtls> contains the following elements (see "[SecuritiesAccount8](#)" on page 664 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CreditDebitIndicator <CdtDbtInd>	[1..1]	CodeSet		664
	AccountOwnerIdentification <AcctOwnrId>	[0..1]	±		665
	AccountIdentification <AcctId>	[1..1]	Text		665
	BalanceType <BalTp>	[0..1]			665
{Or	Code <Cd>	[1..1]	CodeSet		665
Or}	Proprietary <Prtry>	[1..1]	±		666
	OptionType <OptnTp>	[0..1]			666
{Or	Code <Cd>	[1..1]	CodeSet		666
Or}	Proprietary <Prtry>	[1..1]	±		667
	OptionNumber <OptnNb>	[0..1]	Text		668
	SecurityHoldingForm <SctyHldgForm>	[0..1]	CodeSet		668
	StampDuty <StmpDty>	[0..1]			668
{Or	Code <Cd>	[1..1]	CodeSet		668
Or}	Proprietary <Prtry>	[1..1]	±		669

14.4.4.3 UnderlyingCashMovementDetails <UndrlygCshMvmntDtls>*Presence:* [0..*]*Definition:* Provides information about the underlying cash movement.**UnderlyingCashMovementDetails <UndrlygCshMvmntDtls>** contains the following **CashMovement2** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Amount <Amt>	[1..1]	Amount	C1, C5	522
	AccountDetails <AcctDtls>	[1..2]			522
	CreditDebitIndicator <CdtDbtInd>	[1..1]	CodeSet		523
	AccountOwnerIdentification <AcctOwnrId>	[0..1]	±		523
	AccountIdentification <AcctId>	[1..1]	±		523

14.4.4.3.1 Amount <Amt>*Presence:* [1..1]*Definition:* Cash amount.*Impacted by:* C1 "ActiveCurrency", C5 "CurrencyAmount"*Datatype:* "ActiveCurrencyAndAmount" on page 801**Constraints**

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

14.4.4.3.2 AccountDetails <AcctDtls>*Presence:* [1..2]*Definition:* Provides information about the account which is debited/credited.**AccountDetails <AcctDtls>** contains the following **CashAccount19** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CreditDebitIndicator <CdtDbtInd>	[1..1]	CodeSet		523
	AccountOwnerIdentification <AcctOwnrId>	[0..1]	±		523
	AccountIdentification <AcctId>	[1..1]	±		523

14.4.4.3.2.1 CreditDebitIndicator <CdtDbtInd>*Presence:* [1..1]*Definition:* Specifies whether the value is a debit or credit.*Datatype:* "CreditDebitCode" on page 822

CodeName	Name	Definition
CRDT	Credit	Operation is an increase.
DBIT	Debit	Operation is a decrease.

14.4.4.3.2.2 AccountOwnerIdentification <AcctOwnrId>*Presence:* [0..1]*Definition:* Identification of the party that owns the account.**AccountOwnerIdentification <AcctOwnrId>** contains one of the following elements (see "PartyIdentification2Choice" on page 733 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	BICOrBEI <BICOrBEI>	[1..1]	IdentifierSet	C2	733
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		733
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		734

14.4.4.3.2.3 AccountIdentification <AcctId>*Presence:* [1..1]*Definition:* Identification of the cash account or the securities account from which the cash account is derived.**AccountIdentification <AcctId>** contains one of the following elements (see "AccountIdentification2Choice" on page 670 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	CashAccountIdentification <CshAcctId>	[1..1]	Text		670
Or}	SecuritiesAccountIdentification <SctiesAcctId>	[1..1]	Text		670

14.4.4.4 ProceedsMovementDetails <PrcdsMvmntDtls>*Presence:* [0..*]*Definition:* Provides information about the proceeds, ie, outturned resources.

ProceedsMovementDetails <PrcdsMvmntDtls> contains the following **ProceedsMovement1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CashProceedsMovementDetails <CshPrcdsMvmntDtls>	[0..*]			525
	PostingAmount <PstngAmt>	[1..1]	Amount	C1, C5	525
	ReconciliationDetails <RcncltnDtls>	[0..1]	Text		526
	AccountDetails <AcctDtls>	[1..2]			526
	CreditDebitIndicator <CdtDbtInd>	[1..1]	CodeSet		526
	AccountOwnerIdentification <AcctOwnrId>	[0..1]	±		526
	AccountIdentification <AcctId>	[1..1]	±		527
	SecuritiesProceedsMovementDetails <SctiesPrcdsMvmntDtls>	[0..*]			527
	SecurityIdentification <SctyId>	[1..1]	±		527
	PostingQuantity <PstngQty>	[1..1]			528
{Or	Unit <Unit>	[1..1]	Quantity		528
Or}	FaceAmount <FaceAmt>	[1..1]	Amount	C1, C5	528
	AccountDetails <AcctDtls>	[1..2]	±		529
	ReconciliationDetails <RcncltnDtls>	[0..1]	Text		529
	TaxDetails <TaxDtls>	[0..1]			529
	TaxVoucherRate <TaxVchrRate>	[1..1]	Rate		530
{Or	TaxCredit <TaxCdt>	[1..1]	Amount	C1, C5	531
Or}	TaxDeduction <TaxDdctn>	[1..1]	Amount	C1, C5	531
	GrossAmount <GrssAmt>	[1..1]	Amount	C1, C5	531
	NetAmount <NetAmt>	[1..1]	Amount	C1, C5	532
	RecordDateHolding <RcrdDtHldg>	[1..1]			532
{Or	Unit <Unit>	[1..1]	Quantity		532
Or}	FaceAmount <FaceAmt>	[1..1]	Amount	C1, C5	533
	TaxCreditRate <TaxCdtRate>	[0..1]	Rate		533
	WithholdingTaxAmount <WhldgTaxAmt>	[0..1]	Amount	C1, C5	533
	WithholdingTaxRate <WhldgTaxRate>	[0..1]	Rate		534
	ScripDividendReinvestmentPricePerShare <ScripDvddRinvstmtPricPerShr>	[0..1]	±		534
	CashAmountBroughtForward <CshAmtBrghFwd>	[0..1]	Amount	C1, C5	534
	AllotedSharesCost <AlltdShrsCost>	[0..1]	±		534

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CashAmountCarriedForward <CshAmtCrrdFwd>	[0..1]	Amount	C1, C5	534
	NotionalTax <NtnlTax>	[0..1]	Amount	C1, C5	535
	NotionalDividendPayable <NtnlDvddPybl>	[0..1]	Amount	C1, C5	535
	BargainDate <BrngDt>	[0..1]	Date		536
	BargainSettlementDate <BrngSttlmDt>	[0..1]	Date		536
	StampDutyAmount <StmpDtyAmt>	[0..1]	Amount	C1, C5	536
	ChargeAmount <ChrgAmt>	[0..1]	Amount	C1, C5	536
	CommissionAmount <ComssnAmt>	[0..1]	Amount	C1, C5	537
	ForeignExchangeDetails <FXDtls>	[0..1]			537
	UnitCurrency <UnitCcy>	[1..1]	CodeSet	C1	537
	QuotedCurrency <QtdCcy>	[1..1]	CodeSet	C1	538
	ExchangeRate <XchgRate>	[1..1]	Rate		538
	ResultingAmount <RsltAmt>	[1..1]	Amount	C1, C5	538
	OriginalAmount <OrgnlAmt>	[0..1]	Amount	C1, C5	539

14.4.4.4.1 CashProceedsMovementDetails <CshPrclsMvmntDtls>

Presence: [0..*]

Definition: Provides information about the movement of the cash proceeds.

CashProceedsMovementDetails <CshPrclsMvmntDtls> contains the following **CashProceeds1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PostingAmount <PstngAmt>	[1..1]	Amount	C1, C5	525
	ReconciliationDetails <RcncltnDtls>	[0..1]	Text		526
	AccountDetails <AcctDtls>	[1..2]			526
	CreditDebitIndicator <CdtDbtInd>	[1..1]	CodeSet		526
	AccountOwnerIdentification <AcctOwnrld>	[0..1]	±		526
	AccountIdentification <AcctId>	[1..1]	±		527

14.4.4.4.1.1 PostingAmount <PstngAmt>

Presence: [1..1]

Definition: Cash amount which is posted.

Impacted by: C1 "ActiveCurrency", C5 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 801

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

14.4.4.4.1.2 ReconciliationDetails <RcncltnDtls>

Presence: [0..1]

Definition: Reconciliation information.

Datatype: "Max350Text" on page 853

14.4.4.4.1.3 AccountDetails <AcctDtls>

Presence: [1..2]

Definition: Provides information about the debited securities account.

AccountDetails <AcctDtls> contains the following **CashAccount19** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CreditDebitIndicator <CdtDbtInd>	[1..1]	CodeSet		526
	AccountOwnerIdentification <AcctOwnrId>	[0..1]	±		526
	AccountIdentification <AcctId>	[1..1]	±		527

14.4.4.4.1.3.1 CreditDebitIndicator <CdtDbtInd>

Presence: [1..1]

Definition: Specifies whether the value is a debit or credit.

Datatype: "CreditDebitCode" on page 822

CodeName	Name	Definition
CRDT	Credit	Operation is an increase.
DBIT	Debit	Operation is a decrease.

14.4.4.4.1.3.2 AccountOwnerIdentification <AcctOwnrId>

Presence: [0..1]

Definition: Identification of the party that owns the account.

AccountOwnerIdentification <AcctOwnrId> contains one of the following elements (see "PartyIdentification2Choice" on page 733 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	BICOrBEI <BICOrBEI/>	[1..1]	IdentifierSet	C2	733
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		733
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		734

14.4.4.1.3.3 AccountIdentification <AcctId>

Presence: [1..1]

Definition: Identification of the cash account or the securities account from which the cash account is derived.

AccountIdentification <AcctId> contains one of the following elements (see "AccountIdentification2Choice" on page 670 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	CashAccountIdentification <CshAcctId>	[1..1]	Text		670
Or}	SecuritiesAccountIdentification <SctiesAcctId>	[1..1]	Text		670

14.4.4.2 SecuritiesProceedsMovementDetails <SctiesPrclsMvmntDtls>

Presence: [0..*]

Definition: Provides information about the movement of the securities proceeds.

SecuritiesProceedsMovementDetails <SctiesPrclsMvmntDtls> contains the following **SecuritiesProceeds1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	SecurityIdentification <SctyId>	[1..1]	±		527
	PostingQuantity <PstngQty>	[1..1]			528
{Or	Unit <Unit>	[1..1]	Quantity		528
Or}	FaceAmount <FaceAmt>	[1..1]	Amount	C1, C5	528
	AccountDetails <AcctDtls>	[1..2]	±		529
	ReconciliationDetails <RcncltnDtls>	[0..1]	Text		529

14.4.4.2.1 SecurityIdentification <SctyId>

Presence: [1..1]

Definition: Identification of the financial instrument.

SecurityIdentification <Sctyld> contains the following elements (see "[SecurityIdentification7](#)" on page 791 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	ISIN <ISIN>	[1..1]	IdentifierSet		791
Or}	OtherIdentification <Othrlid>	[1..1]			791
	Identification <Id>	[1..1]	Text		792
{Or	DomesticIdentificationSource <DmstldSrc>	[1..1]	CodeSet	C4	792
Or}	ProprietaryIdentificationSource <PrtryldSrc>	[1..1]	Text		792
	Description <Desc>	[0..1]	Text		792

14.4.4.4.2.2 PostingQuantity <PstngQty>

Presence: [1..1]

Definition: The quantity of financial instruments that is posted.

PostingQuantity <PstngQty> contains one of the following **UnitOrFaceAmount1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		528
Or}	FaceAmount <FaceAmt>	[1..1]	Amount	C1, C5	528

14.4.4.4.2.2.1 Unit <Unit>

Presence: [1..1]

Definition: Quantity expressed as a number, eg, a number of shares.

Datatype: "[DecimalNumber](#)" on page 851

14.4.4.4.2.2.2 FaceAmount <FaceAmt>

Presence: [1..1]

Definition: Quantity expressed as an amount representing the face amount, ie, the principal, of a debt instrument.

Impacted by: C1 "ActiveCurrency", C5 "CurrencyAmount"

Datatype: "[ActiveCurrencyAndAmount](#)" on page 801

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

14.4.4.4.2.3 AccountDetails <AcctDtls>

Presence: [1..2]

Definition: Provides information about the account that is debited/credited.

AccountDetails <AcctDtls> contains the following elements (see "[SecuritiesAccount10](#)" on page 670 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CreditDebitIndicator <CdtDbtInd>	[1..1]	CodeSet		670
	AccountOwnerIdentification <AcctOwnrId>	[0..1]	±		671
	AccountOwnerNationality <AcctOwnrNtlty>	[0..1]	CodeSet	C8	671
	AccountIdentification <AcctId>	[1..1]	Text		671
	BalanceType <BalTp>	[0..1]			671
{Or	Code <Cd>	[1..1]	CodeSet		672
Or}	Proprietary <Prtry>	[1..1]	±		672
	SecurityHoldingForm <SctyHldgForm>	[0..1]	CodeSet		672

14.4.4.4.2.4 ReconciliationDetails <RcncltnDtls>

Presence: [0..1]

Definition: Provides reconciliation information.

Datatype: "[Max350Text](#)" on page 853

14.4.4.4.3 TaxDetails <TaxDtls>

Presence: [0..1]

Definition: Provides information about the tax voucher.

TaxDetails <TaxDtls> contains the following **TaxVoucher1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	TaxVoucherRate <TaxVchrRate>	[1..1]	Rate		530
{Or	TaxCredit <TaxCdt>	[1..1]	Amount	C1, C5	531
Or}	TaxDeduction <TaxDdctn>	[1..1]	Amount	C1, C5	531
	GrossAmount <GrssAmt>	[1..1]	Amount	C1, C5	531
	NetAmount <NetAmt>	[1..1]	Amount	C1, C5	532
	RecordDateHolding <RcrdDtHldg>	[1..1]			532
{Or	Unit <Unit>	[1..1]	Quantity		532
Or}	FaceAmount <FaceAmt>	[1..1]	Amount	C1, C5	533
	TaxCreditRate <TaxCdtRate>	[0..1]	Rate		533
	WithholdingTaxAmount <WhldgTaxAmt>	[0..1]	Amount	C1, C5	533
	WithholdingTaxRate <WhldgTaxRate>	[0..1]	Rate		534
	ScripDividendReinvestmentPricePerShare <ScripDvddRinvstmtPricPerShr>	[0..1]	±		534
	CashAmountBroughtForward <CshAmtBrghtFwd>	[0..1]	Amount	C1, C5	534
	AllotedSharesCost <AlltdShrsCost>	[0..1]	±		534
	CashAmountCarriedForward <CshAmtCrrdFwd>	[0..1]	Amount	C1, C5	534
	NotionalTax <NtnlTax>	[0..1]	Amount	C1, C5	535
	NotionalDividendPayable <NtnlDvddPybl>	[0..1]	Amount	C1, C5	535
	BargainDate <BrngDt>	[0..1]	Date		536
	BargainSettlementDate <BrngSttlmDt>	[0..1]	Date		536
	StampDutyAmount <StmpDtyAmt>	[0..1]	Amount	C1, C5	536
	ChargeAmount <ChrgAmt>	[0..1]	Amount	C1, C5	536
	CommissionAmount <ComssnAmt>	[0..1]	Amount	C1, C5	537
	ForeignExchangeDetails <FXDtls>	[0..1]			537
	UnitCurrency <UnitCcy>	[1..1]	CodeSet	C1	537
	QuotedCurrency <QtdCcy>	[1..1]	CodeSet	C1	538
	ExchangeRate <XchgRate>	[1..1]	Rate		538
	ResultingAmount <RsltgAmt>	[1..1]	Amount	C1, C5	538
	OriginalAmount <OrgnlAmt>	[0..1]	Amount	C1, C5	539

14.4.4.4.3.1 TaxVoucherRate <TaxVchrRate>*Presence:* [1..1]

Definition: Distribution rate per share.

Datatype: "BaseOneRate" on page 851

14.4.4.4.3.2 TaxCredit <TaxCdt>

Presence: [1..1]

Warning Either TaxCredit orTaxDeduction must be present.

Definition: Amount of tax that have been previously paid.

Impacted by: C1 "ActiveCurrency", C5 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 801

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

14.4.4.4.3.3 TaxDeduction <TaxDdctn>

Presence: [1..1]

Warning Either TaxCredit orTaxDeduction must be present.

Definition: Amount of tax that have been previously deducted.

Impacted by: C1 "ActiveCurrency", C5 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 801

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

14.4.4.4.3.4 GrossAmount <GrssAmt>

Presence: [1..1]

Definition: Cash amount before any deductions and allowances have been made.

Impacted by: C1 "ActiveCurrency", C5 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 801

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

14.4.4.4.3.5 NetAmount <NetAmt>

Presence: [1..1]

Definition: Cash amount after any deductions and allowances have been made.

Impacted by: C1 "ActiveCurrency", C5 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 801

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

14.4.4.4.3.6 RecordDateHolding <RcrdDtHldg>

Presence: [1..1]

Definition: Securities holding on record date.

RecordDateHolding <RcrdDtHldg> contains one of the following **UnitOrFaceAmount1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		532
Or}	FaceAmount <FaceAmt>	[1..1]	Amount	C1, C5	533

14.4.4.4.3.6.1 Unit <Unit>

Presence: [1..1]

Definition: Quantity expressed as a number, eg, a number of shares.

Datatype: "DecimalNumber" on page 851

14.4.4.4.3.6.2 FaceAmount <FaceAmt>

Presence: [1..1]

Definition: Quantity expressed as an amount representing the face amount, ie, the principal, of a debt instrument.

Impacted by: C1 "ActiveCurrency", C5 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 801

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

14.4.4.4.3.7 TaxCreditRate <TaxCdtRate>

Presence: [0..1]

Definition: Applicable tax rate on the tax credit amount.

Datatype: "PercentageRate" on page 851

14.4.4.4.3.8 WithholdingTaxAmount <WhldgTaxAmt>

Presence: [0..1]

Definition: Cash amount that will be withheld by a tax authority.

Impacted by: C1 "ActiveCurrency", C5 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 801

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

14.4.4.4.3.9 WithholdingTaxRate <WhldgTaxRate>*Presence:* [0..1]*Definition:* Rate of a cash distribution that will be withheld by a tax authority.*Datatype:* "PercentageRate" on page 851**14.4.4.4.3.10 ScripDividendReinvestmentPricePerShare <ScripDvddRinvstmtPricPerShr>***Presence:* [0..1]*Definition:* Cost per share of new shares allotted.**ScripDividendReinvestmentPricePerShare <ScripDvddRinvstmtPricPerShr>** contains the following elements (see "PriceValue1" on page 766 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Amount <Amt>	[1..1]	Amount	C1	766

14.4.4.4.3.11 CashAmountBroughtForward <CshAmtBrghFwd>*Presence:* [0..1]*Definition:* Cash amount retained from previous dividend or interest payment.*Impacted by:* C1 "ActiveCurrency", C5 "CurrencyAmount"*Datatype:* "ActiveCurrencyAndAmount" on page 801**Constraints**

- ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

14.4.4.4.3.12 AllotedSharesCost <AlltdShrsCost>*Presence:* [0..1]*Definition:* Total cash amount required to purchase shares allotted.**AllotedSharesCost <AlltdShrsCost>** contains the following elements (see "PriceValue1" on page 766 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Amount <Amt>	[1..1]	Amount	C1	766

14.4.4.4.3.13 CashAmountCarriedForward <CshAmtCrrdFwd>*Presence:* [0..1]

Definition: Cash amount carried forward to next dividend or interest payment.

Impacted by: C1 "ActiveCurrency", C5 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 801

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

14.4.4.3.14 NotionalTax <NtnITax>

Presence: [0..1]

Definition: Where new securities are issued in lieu of a cash dividend, the notional tax is the tax on the amount of cash that would have been paid. For scrips only.

Impacted by: C1 "ActiveCurrency", C5 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 801

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

14.4.4.3.15 NotionalDividendPayable <NtnIDvddPybl>

Presence: [0..1]

Definition: Amount of cash that would have been payable if the dividend had been taken in the form of cash rather than shares. For scrip only.

Impacted by: C1 "ActiveCurrency", C5 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 801

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217

Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

14.4.4.4.3.16 BargainDate <BrnDt>

Presence: [0..1]

Definition: Date on which DRIP purchase completed.

Datatype: "ISODate" on page 846

14.4.4.4.3.17 BargainSettlementDate <BrnSttlmDt>

Presence: [0..1]

Definition: Settlement date of the DRIP purchase transaction.

Datatype: "ISODate" on page 846

14.4.4.4.3.18 StampDutyAmount <StmpDtyAmt>

Presence: [0..1]

Definition: Amount of stamp duty.

Impacted by: C1 "ActiveCurrency", C5 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 801

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

14.4.4.4.3.19 ChargeAmount <ChrgAmt>

Presence: [0..1]

Definition: Amount of charges/fees charged to the client.

Impacted by: C1 "ActiveCurrency", C5 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 801

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

14.4.4.4.3.20 CommissionAmount <ComssnAmt>

Presence: [0..1]

Definition: Amount due to the paying agent.

Impacted by: C1 "ActiveCurrency", C5 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 801

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

14.4.4.4.3.21 ForeignExchangeDetails <FXDtIs>

Presence: [0..1]

Definition: Provides information about the foreign exchange transaction.

ForeignExchangeDetails <FXDtIs> contains the following **ForeignExchangeTerms9** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	UnitCurrency <UnitCcy>	[1..1]	CodeSet	C1	537
	QuotedCurrency <QtdCcy>	[1..1]	CodeSet	C1	538
	ExchangeRate <XchgRate>	[1..1]	Rate		538
	ResultingAmount <RsltAmt>	[1..1]	Amount	C1, C5	538
	OriginalAmount <OrgnlAmt>	[0..1]	Amount	C1, C5	539

14.4.4.4.3.21.1 UnitCurrency <UnitCcy>

Presence: [1..1]

Definition: Currency in which the rate of exchange is expressed in a currency exchange. In the example 1GBP = xxxCUR, the unit currency is GBP.

Impacted by: C1 "ActiveCurrency"

Datatype: "ActiveCurrencyCode" on page 802

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

14.4.4.4.3.21.2 QuotedCurrency <QtdCcy>

Presence: [1..1]

Definition: Currency into which the base currency is converted, in a currency exchange.

Impacted by: C1 "ActiveCurrency"

Datatype: "ActiveCurrencyCode" on page 802

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

14.4.4.4.3.21.3 ExchangeRate <XchgRate>

Presence: [1..1]

Definition: Factor used for the conversion of an amount from one currency into another. This reflects the price at which one currency was bought with another currency.

Usage: ExchangeRate expresses the ratio between UnitCurrency and QuotedCurrency (ExchangeRate = UnitCurrency/QuotedCurrency).

Datatype: "BaseOneRate" on page 851

14.4.4.4.3.21.4 ResultingAmount <RsltAmt>

Presence: [1..1]

Definition: Amount of money resulting from a foreign exchange transaction.

Impacted by: C1 "ActiveCurrency", C5 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 801

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217

Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

14.4.4.4.3.21.5 OriginalAmount <OrgnlAmt>

Presence: [0..1]

Definition: Amount in its original currency when conversion from/into another currency has occurred.

Impacted by: C1 "ActiveCurrency", C5 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 801

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

15 **seev.021.001.01**

AgentCAMovementConfirmationV01

15.1 **MessageDefinition Functionality**

Scope

This message is sent by a CSD to an issuer (or its agent) to confirm the settlement of resource movements.

Usage

This message is used to confirm the settlement of the movements resulting from an:

- Agent Corporate Action Movement Instruction message. It confirms the settlement of the exercised resources and/or proceeds movements in which case the building block Agent Corporate Action Movement Instruction Identification must be present. An Agent Corporate Action Movement Instruction message may be responded to by more than one Agent Corporate Action Movement Confirmation messages.
- Agent Corporate Action Global Distribution Status Advice authorising the global distribution. It confirms the settlement of the exercised resources and/or proceeds movements. The building block Agent Corporate Action Global Distribution Status Advice Identification must be present. An Agent Corporate Action Global Distribution Status Advice message may be responded to by more than one Agent Corporate Action Movement Confirmation messages.
- Agent Corporate Action Election Status Advice that rejects an election advice. It confirms the return of the exercised resources. The building block Agent Corporate Action Election Status Advice Identification must be present. An Agent Corporate Action Election Status Advice message may be responded to by more than one Agent Corporate Action Movement Confirmation messages.
- Agent Corporate Action Election Status Advice where an election cancellation request has been accepted. It confirms the return of the exercised resources. The building block Agent Corporate Action Election Status Advice Identification must be present. An Agent Corporate Action Election Status Advice message may be responded to by more than one Agent Corporate Action Movement Confirmation messages.

Outline

The AgentCAMovementConfirmationV01 MessageDefinition is composed of 7 MessageBuildingBlocks:

A. Identification

Identification assigned by the Sender to unambiguously identify the confirmation.

B. AgentCAMovementInstructionIdentification

Identification of the Agent CA Movement Instruction that triggered the movement(s).

C. AgentCAElectionStatusAdviceIdentification

Identification of the Agent CA Election Status Advice that triggered the movement(s).

D. AgentCAGlobalDistributionStatusAdviceIdentification

Identification of the Agent CA Global Distribution Status Advice that triggered the movement(s).

E. CorporateActionGeneralInformation

General information about the corporate action event.

F. SecuritiesMovementDetails

Information about the securities movements.

G. CashMovementDetails

Information about the cash movement.

15.2 Structure

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	Message root <Document> <AgtCAMvmntConf>	[1..1]			
	Identification <Id>	[1..1]	±		543
{Or	AgentCAMovementInstructionIdentification <AgtCAMvmntInstrId>	[1..1]	±		543
Or	AgentCAElectionStatusAdviceIdentification <AgtCAElctnStsAdvId>	[1..1]	±		544
Or}	AgentCAGlobalDistributionStatusAdviceIdentification <AgtCAGblDstrbtnStsAdvId>	[1..1]	±		544
	CorporateActionGeneralInformation <CorpActnGnlInf>	[1..1]	±	C3	545
	SecuritiesMovementDetails <SctiesMvmntDtls>	[0..*]			545
	PostingDateTime <PstngDtTm>	[0..1]	±		546
	SecurityIdentification <SctyId>	[1..1]	±		546
	PostingQuantity <PstngQty>	[1..1]			546
{Or	Unit <Unit>	[1..1]	Quantity		547
Or}	FaceAmount <FaceAmt>	[1..1]	Amount	C1, C5	547
	AccountDetails <AcctDtls>	[1..2]	±		547
	CashMovementDetails <CshMvmntDtls>	[0..*]			548
	PostingDateTime <PstngDtTm>	[0..1]	±		549
	ValueDate <ValDt>	[1..1]	Date		549
	PostingAmount <PstngAmt>	[1..1]	Amount	C1, C5	549
	AccountDetails <AcctDtls>	[1..2]			549
	CreditDebitIndicator <CdtDbtInd>	[1..1]	CodeSet		550
	AccountOwnerIdentification <AcctOwnrId>	[0..1]	±		550
	AccountIdentification <AcctId>	[1..1]	±		550
	BalanceType <BalTp>	[0..1]			551
	Code <Cd>	[1..1]	CodeSet		551
	Proprietary <Prtry>	[1..1]	±		551

15.3 Constraints

C1 ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with

the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

C2 AnyBIC

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered by the ISO 9362 Registration Authority in the BIC directory, and consists of eight (8) or eleven (11) contiguous characters.

C3 CorporateActionIdentificationRule

At least one element in the list (IssuerCorporateActionReference, OfficialCorporateActionReference, CorporateActionProcessingIdentifier) must be present. They may all be may be present.

C4 Country

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

C5 CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

15.4 Message Building Blocks

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

15.4.1 Identification <Id>

Presence: [1..1]

Definition: Identification assigned by the Sender to unambiguously identify the confirmation.

Identification <Id> contains the following elements (see "[DocumentIdentification8](#)" on page 690 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		690
	CreationDateTime <CreDtTm>	[0..1]	DateTime		690

15.4.2 AgentCAMovementInstructionIdentification <AgtCAMvmntInstrId>

Presence: [1..1]

Warning Either AgentCAMovementInstructionIdentification or AgentCAElectionStatusAdviceIdentification or AgentCAGlobalDistributionStatusAdviceIdentification must be present.

Definition: Identification of the Agent CA Movement Instruction that triggered the movement(s).

AgentCAMovementInstructionIdentification <AgtCAMvmntInstrId> contains the following elements (see "DocumentIdentification8" on page 690 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		690
	CreationDateTime <CreDtTm>	[0..1]	DateTime		690

15.4.3 AgentCAElectionStatusAdviceIdentification <AgtCAElctnStsAdvId>

Presence: [1..1]

Warning Either AgentCAMovementInstructionIdentification or AgentCAElectionStatusAdviceIdentification or AgentCAGlobalDistributionStatusAdviceIdentification must be present.

Definition: Identification of the Agent CA Election Status Advice that triggered the movement(s).

AgentCAElectionStatusAdviceIdentification <AgtCAElctnStsAdvId> contains the following elements (see "DocumentIdentification8" on page 690 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		690
	CreationDateTime <CreDtTm>	[0..1]	DateTime		690

15.4.4 AgentCAGlobalDistributionStatusAdviceIdentification <AgtCAGbIDstrbtnStsAdvId>

Presence: [1..1]

Warning Either AgentCAMovementInstructionIdentification or AgentCAElectionStatusAdviceIdentification or AgentCAGlobalDistributionStatusAdviceIdentification must be present.

Definition: Identification of the Agent CA Global Distribution Status Advice that triggered the movement(s).

AgentCAGlobalDistributionStatusAdviceIdentification <AgtCAGbIDstrbtnStsAdvId> contains the following elements (see "DocumentIdentification8" on page 690 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		690
	CreationDateTime <CreDtTm>	[0..1]	DateTime		690

15.4.5 CorporateActionGeneralInformation <CorpActnGnllnf>

Presence: [1..1]

Definition: General information about the corporate action event.

Impacted by: C3 "CorporateActionIdentificationRule"

CorporateActionGeneralInformation <CorpActnGnllnf> contains the following elements (see "CorporateActionInformation1" on page 695 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AgentIdentification <AgtId>	[1..1]	±		695
	IssuerCorporateActionIdentification <IssrCorpActnId>	[0..1]	Text		696
	CorporateActionProcessingIdentification <CorpActnPrcgId>	[0..1]	Text		696
	EventType <EvtTp>	[1..1]			696
{Or	Code <Cd>	[1..1]	CodeSet		696
Or}	Proprietary <Prtry>	[1..1]	±		702
	MandatoryVoluntaryEventType <MndtryVlntryEvtTp>	[1..1]			702
{Or	Code <Cd>	[1..1]	CodeSet		702
Or}	Proprietary <Prtry>	[1..1]	±		703
	EventProcessingType <EvtPrcgTp>	[0..1]			703
{Or	Code <Cd>	[1..1]	CodeSet		703
Or}	Proprietary <Prtry>	[1..1]	±		704
	UnderlyingSecurity <UndrlygScty>	[1..1]	±		704

Constraints

- **CorporateActionIdentificationRule**

At least one element in the list (IssuerCorporateActionReference, OfficialCorporateActionReference, CorporateActionProcessingIdentifier) must be present. They may all be may be present.

15.4.6 SecuritiesMovementDetails <SctiesMvmntDtls>

Presence: [0..*]

Definition: Information about the securities movements.

SecuritiesMovementDetails <SctiesMvmntDtls> contains the following
CorporateActionSecuritiesMovement1 elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PostingDateTime <PstngDtTm>	[0..1]	±		546
	SecurityIdentification <Sctyld>	[1..1]	±		546
	PostingQuantity <PstngQty>	[1..1]			546
{Or	Unit <Unit>	[1..1]	Quantity		547
Or}	FaceAmount <FaceAmt>	[1..1]	Amount	C1, C5	547
	AccountDetails <AcctDtls>	[1..2]	±		547

15.4.6.1 PostingDateTime <PstngDtTm>

Presence: [0..1]

Definition: Date and time of the posting.

PostingDateTime <PstngDtTm> contains one of the following elements (see "DateAndDateTimeChoice" on page 678 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		678
Or}	DateTime <DtTm>	[1..1]	DateTime		678

15.4.6.2 SecurityIdentification <Sctyld>

Presence: [1..1]

Definition: Identification of the financial instrument.

SecurityIdentification <Sctyld> contains the following elements (see "SecurityIdentification7" on page 791 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	ISIN <ISIN>	[1..1]	IdentifierSet		791
Or}	OtherIdentification <Othrld>	[1..1]			791
	Identification <Id>	[1..1]	Text		792
{Or	DomesticIdentificationSource <DmstldSrc>	[1..1]	CodeSet	C4	792
Or}	ProprietaryIdentificationSource <PrtryldSrc>	[1..1]	Text		792
	Description <Desc>	[0..1]	Text		792

15.4.6.3 PostingQuantity <PstngQty>

Presence: [1..1]

Definition: Quantity of securities that is posted.

PostingQuantity <PstngQty> contains one of the following **UnitOrFaceAmount1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		547
Or}	FaceAmount <FaceAmt>	[1..1]	Amount	C1, C5	547

15.4.6.3.1 Unit <Unit>

Presence: [1..1]

Definition: Quantity expressed as a number, eg, a number of shares.

Datatype: "DecimalNumber" on page 851

15.4.6.3.2 FaceAmount <FaceAmt>

Presence: [1..1]

Definition: Quantity expressed as an amount representing the face amount, ie, the principal, of a debt instrument.

Impacted by: C1 "ActiveCurrency", C5 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 801

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

15.4.6.4 AccountDetails <AcctDtls>

Presence: [1..2]

Definition: Provides information about the account which is debited/credited.

AccountDetails <AcctDtls> contains the following elements (see "SecuritiesAccount8" on page 664 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CreditDebitIndicator <CdtDbtInd>	[1..1]	CodeSet		664
	AccountOwnerIdentification <AcctOwnrId>	[0..1]	±		665
	AccountIdentification <AcctId>	[1..1]	Text		665
	BalanceType <BalTp>	[0..1]			665
{Or	Code <Cd>	[1..1]	CodeSet		665
Or}	Proprietary <Prtry>	[1..1]	±		666
	OptionType <OptnTp>	[0..1]			666
{Or	Code <Cd>	[1..1]	CodeSet		666
Or}	Proprietary <Prtry>	[1..1]	±		667
	OptionNumber <OptnNb>	[0..1]	Text		668
	SecurityHoldingForm <SctyHldgForm>	[0..1]	CodeSet		668
	StampDuty <StmpDty>	[0..1]			668
{Or	Code <Cd>	[1..1]	CodeSet		668
Or}	Proprietary <Prtry>	[1..1]	±		669

15.4.7 CashMovementDetails <CshMvmntDtls>

Presence: [0..*]

Definition: Information about the cash movement.

CashMovementDetails <CshMvmntDtls> contains the following **CashMovement3** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PostingDateTime <PstngDtTm>	[0..1]	±		549
	ValueDate <ValDt>	[1..1]	Date		549
	PostingAmount <PstngAmt>	[1..1]	Amount	C1, C5	549
	AccountDetails <AcctDtls>	[1..2]			549
	CreditDebitIndicator <CdtDbtInd>	[1..1]	CodeSet		550
	AccountOwnerIdentification <AcctOwnrId>	[0..1]	±		550
	AccountIdentification <AcctId>	[1..1]	±		550
	BalanceType <BalTp>	[0..1]			551
	Code <Cd>	[1..1]	CodeSet		551
	Proprietary <Prtry>	[1..1]	±		551

15.4.7.1 PostingDateTime <PstngDtTm>

Presence: [0..1]

Definition: Date and time of the posting.

PostingDateTime <PstngDtTm> contains one of the following elements (see ["DateAndDateTimeChoice"](#) on page 678 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		678
Or}	DateTime <DtTm>	[1..1]	DateTime		678

15.4.7.2 ValueDate <ValDt>

Presence: [1..1]

Definition: Value date.

Datatype: ["ISODate"](#) on page 846

15.4.7.3 PostingAmount <PstngAmt>

Presence: [1..1]

Definition: Cash amount that is posted.

Impacted by: [C1 "ActiveCurrency"](#), [C5 "CurrencyAmount"](#)

Datatype: ["ActiveCurrencyAndAmount"](#) on page 801

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

15.4.7.4 AccountDetails <AcctDtls>

Presence: [1..2]

Definition: Provides information about the account which is debited/credited.

AccountDetails <AcctDtls> contains the following **CashAccount18** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CreditDebitIndicator <CdtDbtInd>	[1..1]	CodeSet		550
	AccountOwnerIdentification <AcctOwnrId>	[0..1]	±		550
	AccountIdentification <AcctId>	[1..1]	±		550
	BalanceType <BalTp>	[0..1]			551
	Code <Cd>	[1..1]	CodeSet		551
	Proprietary <Prtry>	[1..1]	±		551

15.4.7.4.1 CreditDebitIndicator <CdtDbtInd>

Presence: [1..1]

Definition: Specifies whether the value is a debit or credit.

Datatype: "CreditDebitCode" on page 822

CodeName	Name	Definition
CRDT	Credit	Operation is an increase.
DBIT	Debit	Operation is a decrease.

15.4.7.4.2 AccountOwnerIdentification <AcctOwnrId>

Presence: [0..1]

Definition: Identification of the party that owns the account.

AccountOwnerIdentification <AcctOwnrId> contains one of the following elements (see "PartyIdentification2Choice" on page 733 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	BICOrBEI <BICOrBEI>	[1..1]	IdentifierSet	C2	733
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		733
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		734

15.4.7.4.3 AccountIdentification <AcctId>

Presence: [1..1]

Definition: Identification of the cash account or the securities account from which the cash account is derived.

AccountIdentification <AcctId> contains one of the following elements (see "AccountIdentification2Choice" on page 670 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	CashAccountIdentification <CshAcctId>	[1..1]	Text		670
Or}	SecuritiesAccountIdentification <SctiesAcctId>	[1..1]	Text		670

15.4.7.4.4 BalanceType <BalTp>

Presence: [0..1]

Definition: The cash balance type.

BalanceType <BalTp> contains the following **CashBalanceType1FormatType** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Code <Cd>	[1..1]	CodeSet		551
	Proprietary <Prtry>	[1..1]	±		551

15.4.7.4.4.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the type of cash balance.

Datatype: "CashBalanceType1Code" on page 804

CodeName	Name	Definition
AVLB	Available	Balance of money or securities that is at the disposal of the account owner on the date specified.
REST	Restricted	<p>Balance of cash that may only be used under certain conditions.</p> <p>Balance type is used to block securities/ cash in the client account e.g., in relation to elections relating to certain corporate actions. The holding is under the direct control of the system paying agent, who us the only one who can transfer securities out of this balance type.</p> <p>Also known as the "escrow balance" or "sequestered balance".</p>

15.4.7.4.4.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary code to express the type of cash balance.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification13](#)" on page 693 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		693
	SchemeName <SchmeNm>	[0..1]	Text		693
	Issuer <Issr>	[1..1]	Text		693

16 **seev.022.001.01**

AgentCAMovementStatusAdviceV01

16.1 **MessageDefinition Functionality**

Scope

This message is sent by a CSD to an issuer (or its agent) to report the status, or a change in status, of

- a global distribution status advice released by an issuer (or its agent);
- a movement instruction released by an issuer (or its agent);
- a movement cancellation request sent by the issuer (or its agent); and
- the non-settlement of the movements at the CSD.

Usage

This message is used to report the status of:

- the movements resulting from a movement instruction message, in which case, the Agent Corporate Action Movement Instruction Identification must be present;
- the movements resulting from a global distribution status advice message (with the status, authorised), in which case, the Agent Corporate Action Global Distribution Status Advice Identification must be present;
- the movement cancellation request, in which case, the Agent Corporate Action Movement Cancellation Request Identification must be present; and
- the movements resulting from an election status advice (if the status of the election advice is rejected or if the status of the election cancellation request or amendment request is accepted) in case there is a settlement problem. The Election Status Advice Identification must be present.

In the case of a failed settlement, the message contains details of the movement, such as account details, securities or cash information and the reason of the failure.

This message should not be used to provide the confirmation of the settlement; the Agent Corporate Action Movement Confirmation message should be used instead.

Outline

The AgentCAMovementStatusAdviceV01 MessageDefinition is composed of 8 MessageBuildingBlocks:

A. Identification

Identification assigned by the Sender to unambiguously identify the status advice.

B. AgentCAElectionStatusAdviceIdentification

Identification of the Agent Corporate Action Election Status Advice.

C. AgentCAGlobalDistributionStatusAdviceIdentification

Identification of the Agent Corporate Action Global Distribution Status Advice.

D. AgentCAMovementInstructionIdentification

Identification of the linked Agent CA Movement Instruction for which a status is given.

E. AgentCAMovementCancellationRequestIdentification

Identification of the linked Agent CA Movement Cancellation Request for which a status is given.

F. CorporateActionGeneralInformation

General information about the corporate action event.

G. MovementStatusDetails

Status of the movement instruction.

H. MovementCancellationStatusDetails

Status of the movement cancellation request.

16.2 Structure

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	Message root <Document> <AgtCAMvmntStsAdv>	[1..1]		C6, C7	
	Identification <Id>	[1..1]	±		557
{Or	AgentCAElectionStatusAdviceIdentification <AgtCAEicnStsAdvId>	[1..1]	±		557
Or	AgentCAGlobalDistributionStatusAdviceIdentification <AgtCAGblDstrbtrStsAdvId>	[1..1]	±		558
Or	AgentCAMovementInstructionIdentification <AgtCAMvmntInstrId>	[1..1]	±		558
Or}	AgentCAMovementCancellationRequestIdentification <AgtCAMvmntCxlReqId>	[1..1]	±		558
	CorporateActionGeneralInformation <CorpActnGnlInf>	[1..1]	±	C3	559
{Or	MovementStatusDetails <MvmntStsDtls>	[1..1]			559
{Or	ProcessedStatus <PrcdSts>	[1..1]			560
	Status <Sts>	[1..1]			561
{Or	Code <Cd>	[1..1]	CodeSet		561
Or}	Proprietary <Prtry>	[1..1]	±		561
	AdditionalInformation <AddtlInf>	[0..1]	Text		562
Or	FailedStatus <FaildSts>	[1..1]			562
	AgentAccountIdentification <AgtAcctId>	[1..1]	Text		562
	ClientAccountIdentification <ClnAcctId>	[0..1]	Text		562
	AccountOwnerIdentification <AcctOwnrId>	[0..1]	±		562
	ResourceDetails <RsrcDtls>	[1..*]			563
{Or	CashAmount <CshAmt>	[1..1]	Amount	C1, C5	563
Or}	SecuritiesQuantity <SctiesQty>	[1..1]			564
{Or	Unit <Unit>	[1..1]	Quantity		564
Or}	FaceAmount <FaceAmt>	[1..1]	Amount	C1, C5	564
	SecurityIdentification <SctyId>	[0..1]	±		564
	Reason <Rsn>	[1..1]			565
{Or	Code <Cd>	[1..1]	CodeSet		565
Or}	Proprietary <Prtry>	[1..1]	±		565
Or}	RejectedStatus <RjctdSts>	[1..1]			566
	Reason <Rsn>	[1..*]			566

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		566
Or}	Proprietary <Prtry>	[1..1]	±		567
	AdditionalInformation <AddtlInf>	[0..1]	Text		567
Or}	MovementCancellationStatusDetails <MvmntCxlStsDtls>	[1..1]			567
	ProcessedStatus <PrcdSts>	[1..1]			568
	Status <Sts>	[1..1]			568
{Or	Code <Cd>	[1..1]	CodeSet		568
Or}	Proprietary <Prtry>	[1..1]	±		569
	AdditionalInformation <AddtlInf>	[0..1]	Text		569
	RejectedStatus <RjctdSts>	[1..1]			569
	Reason <Rsn>	[1..*]			569
{Or	Code <Cd>	[1..1]	CodeSet		570
Or}	Proprietary <Prtry>	[1..1]	±		570
	AdditionalInformation <AddtlInf>	[0..1]	Text		571

16.3 Constraints

C1 ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

C2 AnyBIC

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered by the ISO 9362 Registration Authority in the BIC directory, and consists of eight (8) or eleven (11) contiguous characters.

C3 CorporateActionIdentificationRule

At least one element in the list (IssuerCorporateActionReference, OfficialCorporateActionReference, CorporateActionProcessingIdentifier) must be present. They may all be may be present.

C4 Country

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

C5 CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

C6 MovementCancellationStatusDetailsRule

If AgentCAMovementCancellationRequestIdentification is present then MovementCancellationStatusDetails must be present.

This constraint is defined at the MessageDefinition level.

C7 MovementStatusDetailsRule

If AgentCAElectionStatusAdviceIdentification, AgentCAGlobalDistributionStatusAdviceIdentification or AgentCAMovementInstructionIdentification is present, then MovementStatusDetails must be present.

This constraint is defined at the MessageDefinition level.

16.4 Message Building Blocks

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

16.4.1 Identification <Id>

Presence: [1..1]

Definition: Identification assigned by the Sender to unambiguously identify the status advice.

Identification <Id> contains the following elements (see "[DocumentIdentification8](#)" on page 690 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		690
	CreationDateTime <CreDtTm>	[0..1]	DateTime		690

16.4.2 AgentCAElectionStatusAdviceIdentification <AgtCAElctnStsAdvclId>

Presence: [1..1]

Warning Either AgentCAElectionStatusAdviceIdentification or AgentCAGlobalDistributionStatusAdviceIdentification or AgentCAMovementInstructionIdentification or AgentCAMovementCancellationRequestIdentification must be present.

Definition: Identification of the Agent Corporate Action Election Status Advice.

AgentCAElectionStatusAdviceIdentification <AgtCAElctnStsAdvclId> contains the following elements (see "[DocumentIdentification8](#)" on page 690 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		690
	CreationDateTime <CreDtTm>	[0..1]	DateTime		690

16.4.3 AgentCAGlobalDistributionStatusAdviceIdentification <AgtCAGbIDstrbtnStsAdvclD>

Presence: [1..1]

Warning Either AgentCAElectionStatusAdviceIdentification or AgentCAGlobalDistributionStatusAdviceIdentification or AgentCAMovementInstructionIdentification or AgentCAMovementCancellationRequestIdentification must be present.

Definition: Identification of the Agent Corporate Action Global Distribution Status Advice.

AgentCAGlobalDistributionStatusAdviceIdentification <AgtCAGbIDstrbtnStsAdvclD> contains the following elements (see "[DocumentIdentification8](#)" on page 690 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		690
	CreationDateTime <CreDtTm>	[0..1]	DateTime		690

16.4.4 AgentCAMovementInstructionIdentification <AgtCAMvmntInstrId>

Presence: [1..1]

Warning Either AgentCAElectionStatusAdviceIdentification or AgentCAGlobalDistributionStatusAdviceIdentification or AgentCAMovementInstructionIdentification or AgentCAMovementCancellationRequestIdentification must be present.

Definition: Identification of the linked Agent CA Movement Instruction for which a status is given.

AgentCAMovementInstructionIdentification <AgtCAMvmntInstrId> contains the following elements (see "[DocumentIdentification8](#)" on page 690 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		690
	CreationDateTime <CreDtTm>	[0..1]	DateTime		690

16.4.5 AgentCAMovementCancellationRequestIdentification <AgtCAMvmntCxlReqId>

Presence: [1..1]

Warning Either AgentCAElectionStatusAdviceIdentification or AgentCAGlobalDistributionStatusAdviceIdentification or AgentCAMovementInstructionIdentification or AgentCAMovementCancellationRequestIdentification must be present.

Definition: Identification of the linked Agent CA Movement Cancellation Request for which a status is given.

AgentCAMovementCancellationRequestIdentification <AgtCAMvmntCxlReqId> contains the following elements (see "DocumentIdentification8" on page 690 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		690
	CreationDateTime <CreDtTm>	[0..1]	DateTime		690

16.4.6 CorporateActionGeneralInformation <CorpActnGnlInf>

Presence: [1..1]

Definition: General information about the corporate action event.

Impacted by: C3 "CorporateActionIdentificationRule"

CorporateActionGeneralInformation <CorpActnGnlInf> contains the following elements (see "CorporateActionInformation1" on page 695 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AgentIdentification <AgtId>	[1..1]	±		695
	IssuerCorporateActionIdentification <IssrCorpActnId>	[0..1]	Text		696
	CorporateActionProcessingIdentification <CorpActnPrcgId>	[0..1]	Text		696
	EventType <EvtTp>	[1..1]			696
{Or	Code <Cd>	[1..1]	CodeSet		696
Or}	Proprietary <Prtry>	[1..1]	±		702
	MandatoryVoluntaryEventType <MndtryVlntryEvtTp>	[1..1]			702
{Or	Code <Cd>	[1..1]	CodeSet		702
Or}	Proprietary <Prtry>	[1..1]	±		703
	EventProcessingType <EvtPrcgTp>	[0..1]			703
{Or	Code <Cd>	[1..1]	CodeSet		703
Or}	Proprietary <Prtry>	[1..1]	±		704
	UnderlyingSecurity <UndrlygScty>	[1..1]	±		704

Constraints

- CorporateActionIdentificationRule**

At least one element in the list (IssuerCorporateActionReference, OfficialCorporateActionReference, CorporateActionProcessingIdentifier) must be present. They may all be may be present.

16.4.7 MovementStatusDetails <MvmntStsDtls>

Presence: [1..1]

Warning Either MovementStatusDetails or MovementCancellationStatusDetails must be present but not both.

Definition: Status of the movement instruction.

MovementStatusDetails <MvmntStsDtls> contains one of the following **CorporateActionMovementStatus1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	ProcessedStatus <PrcdSts>	[1..1]			560
	Status <Sts>	[1..1]			561
{Or	Code <Cd>	[1..1]	CodeSet		561
Or}	Proprietary <Prtry>	[1..1]	±		561
	AdditionalInformation <AddtlInf>	[0..1]	Text		562
Or	FailedStatus <FaildSts>	[1..1]			562
	AgentAccountIdentification <AgtAcctId>	[1..1]	Text		562
	ClientAccountIdentification <ClntAcctId>	[0..1]	Text		562
	AccountOwnerIdentification <AcctOwnrId>	[0..1]	±		562
	ResourceDetails <RsrcDtls>	[1..*]			563
{Or	CashAmount <CshAmt>	[1..1]	Amount	C1, C5	563
Or}	SecuritiesQuantity <SctiesQty>	[1..1]			564
{Or	Unit <Unit>	[1..1]	Quantity		564
Or}	FaceAmount <FaceAmt>	[1..1]	Amount	C1, C5	564
	SecurityIdentification <SctyId>	[0..1]	±		564
	Reason <Rsn>	[1..1]			565
{Or	Code <Cd>	[1..1]	CodeSet		565
Or}	Proprietary <Prtry>	[1..1]	±		565
Or}	RejectedStatus <RjctdSts>	[1..1]			566
	Reason <Rsn>	[1..*]			566
{Or	Code <Cd>	[1..1]	CodeSet		566
Or}	Proprietary <Prtry>	[1..1]	±		567
	AdditionalInformation <AddtlInf>	[0..1]	Text		567

16.4.7.1 ProcessedStatus <PrcdSts>

Presence: [1..1]

Definition: Provides information about the processing status of the movement.

ProcessedStatus <PrcdSts> contains the following **CorporateActionMovementProcessingStatus1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Status <Sts>	[1..1]			561
{Or	Code <Cd>	[1..1]	CodeSet		561
Or}	Proprietary <Prtry>	[1..1]	±		561
	AdditionalInformation <AddtlInf>	[0..1]	Text		562

16.4.7.1.1 Status <Sts>

Presence: [1..1]

Definition: The processing status.

Status <Sts> contains one of the following **ProcessedStatus3FormatChoice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		561
Or}	Proprietary <Prtry>	[1..1]	±		561

16.4.7.1.1.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the processing status of an advice, a request or a movement.

Datatype: "ProcessedStatus3Code" on page 832

CodeName	Name	Definition
RECE	Received	The instruction/request has been received.
PEND	Pending	The processing of the request is pending.
PACK	Accepted	The request is accepted.

16.4.7.1.1.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary code to express the processing status of an advice, a request or a movement.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification13](#)" on page 693 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		693
	SchemeName <SchmeNm>	[0..1]	Text		693
	Issuer <Issr>	[1..1]	Text		693

16.4.7.1.2 AdditionalInformation <AddtlInf>*Presence:* [0..1]*Definition:* Additional information about the status.*Datatype:* "Max350Text" on page 853**16.4.7.2 FailedStatus <FaildSts>***Presence:* [1..1]*Definition:* Provides information about the settlement failure.**FailedStatus <FaildSts>** contains the following **CorporateActionMovementFailedStatus1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AgentAccountIdentification <AgtAcctId>	[1..1]	Text		562
	ClientAccountIdentification <ClntAcctId>	[0..1]	Text		562
	AccountOwnerIdentification <AcctOwnrId>	[0..1]	±		562
	ResourceDetails <RsrcDtls>	[1..*]			563
{Or	CashAmount <CshAmt>	[1..1]	Amount	C1, C5	563
Or}	SecuritiesQuantity <SctiesQty>	[1..1]			564
{Or	Unit <Unit>	[1..1]	Quantity		564
Or}	FaceAmount <FaceAmt>	[1..1]	Amount	C1, C5	564
	SecurityIdentification <SctyId>	[0..1]	±		564
	Reason <Rsn>	[1..1]			565
{Or	Code <Cd>	[1..1]	CodeSet		565
Or}	Proprietary <Prtry>	[1..1]	±		565

16.4.7.2.1 AgentAccountIdentification <AgtAcctId>*Presence:* [1..1]*Definition:* Identification of the agent account.*Datatype:* "Max35Text" on page 853**16.4.7.2.2 ClientAccountIdentification <ClntAcctId>***Presence:* [0..1]*Definition:* Identification of the client account.*Datatype:* "Max35Text" on page 853**16.4.7.2.3 AccountOwnerIdentification <AcctOwnrId>***Presence:* [0..1]*Definition:* Identification of the party that owns the client account.

AccountOwnerIdentification <AcctOwnrId> contains one of the following elements (see "PartyIdentification2Choice" on page 733 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	BICOrBEI <BICOrBEI/>	[1..1]	IdentifierSet	C2	733
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		733
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		734

16.4.7.2.4 ResourceDetails <RsrcDtls>

Presence: [1..*]

Definition: Provides information about the resource movement that failed and the reason of the failure.

ResourceDetails <RsrcDtls> contains the following **FailedMovement1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	CashAmount <CshAmt>	[1..1]	Amount	C1, C5	563
Or}	SecuritiesQuantity <SctiesQty>	[1..1]			564
{Or	Unit <Unit>	[1..1]	Quantity		564
Or}	FaceAmount <FaceAmt>	[1..1]	Amount	C1, C5	564
	SecurityIdentification <SctyId>	[0..1]	±		564
	Reason <Rsn>	[1..1]			565
{Or	Code <Cd>	[1..1]	CodeSet		565
Or}	Proprietary <Prtry>	[1..1]	±		565

16.4.7.2.4.1 CashAmount <CshAmt>

Presence: [1..1]

Warning Either CashAmount or SecuritiesQuantity must be present.

Definition: Amount of cash.

Impacted by: C1 "ActiveCurrency", C5 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 801

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

16.4.7.2.4.2 SecuritiesQuantity <SctiesQty>

Presence: [1..1]

Warning Either CashAmount or SecuritiesQuantity must be present.

Definition: Quantity of the financial instrument.

SecuritiesQuantity <SctiesQty> contains one of the following **UnitOrFaceAmount1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		564
Or}	FaceAmount <FaceAmt>	[1..1]	Amount	C1, C5	564

16.4.7.2.4.2.1 Unit <Unit>

Presence: [1..1]

Definition: Quantity expressed as a number, eg, a number of shares.

Datatype: "DecimalNumber" on page 851

16.4.7.2.4.2.2 FaceAmount <FaceAmt>

Presence: [1..1]

Definition: Quantity expressed as an amount representing the face amount, ie, the principal, of a debt instrument.

Impacted by: C1 "ActiveCurrency", C5 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 801

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

16.4.7.2.4.3 SecurityIdentification <Sctyld>

Presence: [0..1]

Definition: Identification of the financial instrument.

SecurityIdentification <Sctyld> contains the following elements (see "SecurityIdentification7" on page 791 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	ISIN <ISIN>	[1..1]	IdentifierSet		791
Or}	OtherIdentification <Othrlid>	[1..1]			791
	Identification <Id>	[1..1]	Text		792
{Or	DomesticIdentificationSource <DmstldSrc>	[1..1]	CodeSet	C4	792
Or}	ProprietaryIdentificationSource <PrtryldSrc>	[1..1]	Text		792
	Description <Desc>	[0..1]	Text		792

16.4.7.2.4.4 Reason <Rsn>

Presence: [1..1]

Definition: The reason for the settlement failure.

Reason <Rsn> contains one of the following **FailedSettlementReason1FormatChoice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		565
Or}	Proprietary <Prtry>	[1..1]	±		565

16.4.7.2.4.4.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the reason of a settlement failure.

Datatype: "FailedSettlementReason1Code" on page 826

CodeName	Name	Definition
CANE	CreditAccountNotEligible	Credit account is not eligible.
CADI	CreditAccountDisabled	Credit account is disabled.
DANE	DebitAccountNotEligible	Debit account is not eligible.
AADI	DebitAccountDisabled	Debit account is disabled.
INSE	InsufficientSecurities	Insufficient securities on the account to settle.
INDI	IncompleteDistribution	The distribution is incomplete.
INCA	InsufficientCash	Insufficient cash on the account to settle.

16.4.7.2.4.4.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary code for specifying the reason of a settlement failure.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification13](#)" on page 693 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		693
	SchemeName <SchmeNm>	[0..1]	Text		693
	Issuer <Issr>	[1..1]	Text		693

16.4.7.3 RejectedStatus <RjctdSts>

Presence: [1..1]

Definition: Provides information about the rejection status.

RejectedStatus <RjctdSts> contains the following **CorporateActionMovementRejectionStatus1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reason <Rsn>	[1..*]			566
{Or	Code <Cd>	[1..1]	CodeSet		566
Or}	Proprietary <Prtry>	[1..1]	±		567
	AdditionalInformation <AddtlInf>	[0..1]	Text		567

16.4.7.3.1 Reason <Rsn>

Presence: [1..*]

Definition: The rejection reason.

Reason <Rsn> contains one of the following **RejectionReason13FormatChoice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		566
Or}	Proprietary <Prtry>	[1..1]	±		567

16.4.7.3.1.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the reason of a rejection of a movement.

Datatype: "[RejectionReason13Code](#)" on page 837

CodeName	Name	Definition
FAIL	FailedValidation	The validation of the advice/instruction/request failed.
SAID	SameIdentification	Several message with the same identification.
INID	InvalidIdentification	Instruction contains an invalid message identification, identification is unknown.

CodeName	Name	Definition
REFI	InvalidCAIdentification	Invalid corporate action identification supplied.
MICA	MismatchedCAIdentification	Issuer CA Identification does not correspond with the Corporate Action Identification supplied.

16.4.7.3.1.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary code to express the reason of a rejection of a movement.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification13](#)" on page 693 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		693
	SchemeName <SchmeNm>	[0..1]	Text		693
	Issuer <Issr>	[1..1]	Text		693

16.4.7.3.2 AdditionalInformation <AddtlInf>

Presence: [0..1]

Definition: Additional information about the status.

Datatype: "[Max350Text](#)" on page 853

16.4.8 MovementCancellationStatusDetails <MvmntCxlStsDtls>

Presence: [1..1]

Warning Either MovementStatusDetails or MovementCancellationStatusDetails must be present but not both.

Definition: Status of the movement cancellation request.

MovementCancellationStatusDetails <MvmntCxlStsDtIs> contains the following **CorporateMovementStatus2** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ProcessedStatus <PrcdSts>	[1..1]			568
	Status <Sts>	[1..1]			568
{Or	Code <Cd>	[1..1]	CodeSet		568
Or}	Proprietary <Prtry>	[1..1]	±		569
	AdditionalInformation <AddtlInf>	[0..1]	Text		569
	RejectedStatus <RjctdSts>	[1..1]			569
	Reason <Rsn>	[1..*]			569
{Or	Code <Cd>	[1..1]	CodeSet		570
Or}	Proprietary <Prtry>	[1..1]	±		570
	AdditionalInformation <AddtlInf>	[0..1]	Text		571

16.4.8.1 ProcessedStatus <PrcdSts>

Presence: [1..1]

Definition: Provides information about the processing status of the cancellation request.

ProcessedStatus <PrcdSts> contains the following **CorporationActionMovementProcessingStatus2** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Status <Sts>	[1..1]			568
{Or	Code <Cd>	[1..1]	CodeSet		568
Or}	Proprietary <Prtry>	[1..1]	±		569
	AdditionalInformation <AddtlInf>	[0..1]	Text		569

16.4.8.1.1 Status <Sts>

Presence: [1..1]

Definition: The processing status.

Status <Sts> contains one of the following **ProcessedStatus2FormatChoice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		568
Or}	Proprietary <Prtry>	[1..1]	±		569

16.4.8.1.1.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the processing status of a cancellation request.

Datatype: "ProcessedStatus2Code" on page 832

CodeName	Name	Definition
RECE	Received	The instruction/request has been received.
COMP	Completed	The request has been completed.

16.4.8.1.1.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary code to express the processing status of a cancellation request.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification13](#)" on page 693 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		693
	SchemeName <SchmeNm>	[0..1]	Text		693
	Issuer <Issr>	[1..1]	Text		693

16.4.8.1.2 AdditionalInformation <AddtlInf>

Presence: [0..1]

Definition: Additional information about the status.

Datatype: "Max350Text" on page 853

16.4.8.2 RejectedStatus <RjctdSts>

Presence: [1..1]

Definition: Provides information about the rejection status.

RejectedStatus <RjctdSts> contains the following **CorporateActionMovementRejectionStatus2** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reason <Rsn>	[1..*]			569
{Or	Code <Cd>	[1..1]	CodeSet		570
Or}	Proprietary <Prtry>	[1..1]	±		570
	AdditionalInformation <AddtlInf>	[0..1]	Text		571

16.4.8.2.1 Reason <Rsn>

Presence: [1..*]

Definition: The rejection reason.

Reason <Rsn> contains one of the following **RejectionReason14FormatChoice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		570
Or}	Proprietary <Prtry>	[1..1]	±		570

16.4.8.2.1.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the reason of a rejection of a movement cancellation request.

Datatype: "RejectionReason14Code" on page 837

CodeName	Name	Definition
FAIL	FailedValidation	The validation of the advice/instruction/request failed.
LATT	Late	Instruction/Request arrives too late.
INET	InvalidEventType	CA Event Type does not correspond with the relating Corporate Action.
INUS	InvalidUnderlyingSecurity	Underlying Security Identification does not correspond with the Corporate Action Reference supplied.
INPT	InvalidEventProcessingType	Event Processing Type does not correspond with the relating Corporate Action.
INMV	InvalidMandatoryVoluntaryEventType	Mandatory/Voluntary Event Type does not correspond with the relating Corporate Action.
INDE	InvalidCorporateActionInformation	Details specified in the Corporate Action General Information block are inconsistent with those that were specified in the relating original message.
AGIN	InvalidAgent	Invalid agent identification supplied.
INMO	InvalidMovementInformation	Details specified in the MOVEMENT GENERAL INFORMATION block are inconsistent with those that were specified in the relating original message.
SAID	SameIdentification	Several message with the same identification.
INID	InvalidIdentification	Instruction contains an invalid message identification, identification is unknown.
MOSE	MovementAlreadySettled	One or more of the underlying movements have already settled.

16.4.8.2.1.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary code to express the reason of a rejection of a movement cancellation request.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification13](#)" on page 693 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		693
	SchemeName <SchmeNm>	[0..1]	Text		693
	Issuer <Issr>	[1..1]	Text		693

16.4.8.2.2 AdditionalInformation <AddtlInf>

Presence: [0..1]

Definition: Additional information about the status.

Datatype: "[Max350Text](#)" on page 853

17 **seev.023.001.01**

AgentCAInformationAdviceV01

17.1 **MessageDefinition Functionality**

Scope

This message is sent by a CSD to an issuer (or its agent) to provide information about the certification and/or the delivery details to the issuer (or its agent).

Usage

This message can be used in the case of a corporate action event without an election.

This message can also be used in the case of a corporate action event with election when the election details and the additional information cannot be provided in the same message. In this case, the Agent Corporate Action Election Advice Identification must be used to link this message to the election advice for which additional information is provided.

Outline

The AgentCAInformationAdviceV01 MessageDefinition is composed of 6 MessageBuildingBlocks:

A. Identification

Identification assigned by the Sender to unambiguously identify the advice.

B. AgentCAElectionAdviceIdentification

Identification of the linked Agent CA Election Advice Identification.

C. CorporateActionGeneralInformation

General information about the corporate action event.

D. AccountDetails

Information about the account for which additional information is provided.

E. CorporateActionAdditionalInformation

Additional information about the corporate action such as the delivery details.

F. ContactDetails

Contact responsible for the transaction identified in the message.

17.2 Structure

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	Message root <Document> <AgtCAInfAdv>	[1..1]			
	Identification <Id>	[1..1]	±		575
	AgentCAElectionAdviceIdentification <AgtCAElctnAdvclId>	[0..1]	±		575
	CorporateActionGeneralInformation <CorpActnGnlInf>	[1..1]	±	C3	575
	AccountDetails <AcctDtls>	[1..1]	±		576
	CorporateActionAdditionalInformation <CorpActnAddtlInf>	[1..1]			576
	BeneficialOwnerDetails <BnfclOwnrDtls>	[0..*]			578
	BeneficialOwnerIdentification <BnfclOwnrId>	[1..1]	±		578
	AdditionalIdentification <AddtlId>	[0..1]			579
	Identification <Id>	[1..1]	Text		579
	IdentificationType <IdTp>	[1..1]			579
{Or	Code <Cd>	[1..1]	CodeSet		579
Or}	Proprietary <Prtry>	[1..1]	±		580
	Issuer <Issr>	[0..1]	Text		580
	Nationality <Ntlty>	[0..1]	CodeSet	C4	580
	DomicileCountry <DmclCtry>	[0..1]	CodeSet	C4	580
	NonDomicileCountry <NonDmclCtry>	[0..1]	CodeSet	C4	581
	CertificationIndicator <CertfctnInd>	[0..1]	Indicator		581
	CertificationType <CertfctnTp>	[0..1]			581
{Or	Code <Cd>	[1..1]	CodeSet		582
Or}	Proprietary <Prtry>	[1..1]	±		582
	DeclarationDetails <DclrtnDtls>	[0..1]	Text		582
	SecurityIdentification <SctyId>	[0..1]	±		582
	ElectedSecuritiesQuantity <ElctdSciesQty>	[1..1]			583
{Or	Unit <Unit>	[1..1]	Quantity		583
Or}	FaceAmount <FaceAmt>	[1..1]	Amount	C1, C5	583
	RegistrationDetails <RegnDtls>	[0..1]	Text		584
	ReceiverIdentification <RcvrId>	[0..1]	±		584
	CertificationIndicator <CertfctnInd>	[0..1]	Indicator		584
	CertificationType <CertfctnTp>	[0..1]			584

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		585
Or}	Proprietary <Prtry>	[1..1]	±		585
	DeliveryDetails <DlvryDtls>	[0..*]			586
{Or	SecuritiesAccountIdentification <SctiesAcctId>	[1..1]	Text		586
Or}	CashAccountIdentification <CshAcctId>	[1..1]	±		586
	AccountOwnerIdentification <AcctOwnrId>	[0..1]	±		586
	AccountServicerIdentification <AcctSvcrId>	[0..1]	±		587
	AdditionalInstruction <AddtlInstr>	[0..1]	Text		587
	ContactDetails <CtctDtls>	[0..1]	±		587

17.3 Constraints

C1 ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

C2 AnyBIC

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered by the ISO 9362 Registration Authority in the BIC directory, and consists of eight (8) or eleven (11) contiguous characters.

C3 CorporateActionIdentificationRule

At least one element in the list (IssuerCorporateActionReference, OfficialCorporateActionReference, CorporateActionProcessingIdentifier) must be present. They may all be may be present.

C4 Country

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

C5 CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

C6 IBAN

A valid IBAN consists of all three of the following components: Country Code, check digits and BBAN.

17.4 Message Building Blocks

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

17.4.1 Identification <Id>

Presence: [1..1]

Definition: Identification assigned by the Sender to unambiguously identify the advice.

Identification <Id> contains the following elements (see "[DocumentIdentification8](#)" on page 690 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		690
	CreationDateTime <CreDtTm>	[0..1]	DateTime		690

17.4.2 AgentCAElectionAdviceIdentification <AgtCAElctnAdvId>

Presence: [0..1]

Definition: Identification of the linked Agent CA Election Advice Identification.

AgentCAElectionAdviceIdentification <AgtCAElctnAdvId> contains the following elements (see "[DocumentIdentification8](#)" on page 690 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		690
	CreationDateTime <CreDtTm>	[0..1]	DateTime		690

17.4.3 CorporateActionGeneralInformation <CorpActnGnllnf>

Presence: [1..1]

Definition: General information about the corporate action event.

Impacted by: [C3 "CorporateActionIdentificationRule"](#)

CorporateActionGeneralInformation <CorpActnGnlInf> contains the following elements (see "CorporateActionInformation1" on page 695 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AgentIdentification <AgtId>	[1..1]	±		695
	IssuerCorporateActionIdentification <IssrCorpActnId>	[0..1]	Text		696
	CorporateActionProcessingIdentification <CorpActnPrctgId>	[0..1]	Text		696
	EventType <EvtTp>	[1..1]			696
{Or	Code <Cd>	[1..1]	CodeSet		696
Or}	Proprietary <Prtry>	[1..1]	±		702
	MandatoryVoluntaryEventType <MndtryVlntryEvtTp>	[1..1]			702
{Or	Code <Cd>	[1..1]	CodeSet		702
Or}	Proprietary <Prtry>	[1..1]	±		703
	EventProcessingType <EvtPrctgTp>	[0..1]			703
{Or	Code <Cd>	[1..1]	CodeSet		703
Or}	Proprietary <Prtry>	[1..1]	±		704
	UnderlyingSecurity <UndrlygScty>	[1..1]	±		704

Constraints

- **CorporateActionIdentificationRule**

At least one element in the list (IssuerCorporateActionReference, OfficialCorporateActionReference, CorporateActionProcessingIdentifier) must be present. They may all be may be present.

17.4.4 AccountDetails <AcctDtls>

Presence: [1..1]

Definition: Information about the account for which additional information is provided.

AccountDetails <AcctDtls> contains the following elements (see "SecuritiesAccount7" on page 669 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AccountOwnerIdentification <AcctOwnrId>	[0..1]	±		669
	AccountIdentification <AcctId>	[1..1]	Text		669

17.4.5 CorporateActionAdditionalInformation <CorpActnAddtlInf>

Presence: [1..1]

Definition: Additional information about the corporate action such as the delivery details.

CorporateActionAdditionalInformation <CorpActnAddtlInf> contains the following **CorporateActionAdditionalInformation1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	BeneficialOwnerDetails <BnfcOwnrDtls>	[0..*]			578
	BeneficialOwnerIdentification <BnfcOwnrld>	[1..1]	±		578
	AdditionalIdentification <AddtlId>	[0..1]			579
	Identification <Id>	[1..1]	Text		579
	IdentificationType <IdTp>	[1..1]			579
{Or	Code <Cd>	[1..1]	CodeSet		579
Or}	Proprietary <Prtry>	[1..1]	±		580
	Issuer <Issr>	[0..1]	Text		580
	Nationality <Ntlty>	[0..1]	CodeSet	C4	580
	DomicileCountry <DmclCtry>	[0..1]	CodeSet	C4	580
	NonDomicileCountry <NonDmclCtry>	[0..1]	CodeSet	C4	581
	CertificationIndicator <CertfctnInd>	[0..1]	Indicator		581
	CertificationType <CertfctnTp>	[0..1]			581
{Or	Code <Cd>	[1..1]	CodeSet		582
Or}	Proprietary <Prtry>	[1..1]	±		582
	DeclarationDetails <DclrtnDtls>	[0..1]	Text		582
	SecurityIdentification <Sctyld>	[0..1]	±		582
	ElectedSecuritiesQuantity <ElctdSciesQty>	[1..1]			583
{Or	Unit <Unit>	[1..1]	Quantity		583
Or}	FaceAmount <FaceAmt>	[1..1]	Amount	C1, C5	583
	RegistrationDetails <RegnDtls>	[0..1]	Text		584
	ReceiverIdentification <Rcvrld>	[0..1]	±		584
	CertificationIndicator <CertfctnInd>	[0..1]	Indicator		584
	CertificationType <CertfctnTp>	[0..1]			584
{Or	Code <Cd>	[1..1]	CodeSet		585
Or}	Proprietary <Prtry>	[1..1]	±		585
	DeliveryDetails <DlvryDtls>	[0..*]			586
{Or	SecuritiesAccountIdentification <SciesAcctld>	[1..1]	Text		586
Or}	CashAccountIdentification <CshAcctld>	[1..1]	±		586
	AccountOwnerIdentification <AcctOwnrld>	[0..1]	±		586

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AccountServicerIdentification <AcctSvcrId>	[0..1]	±		587
	AdditionalInstruction <AddtlInstr>	[0..1]	Text		587

17.4.5.1 BeneficialOwnerDetails <BnfclOwnrDtls>

Presence: [0..*]

Definition: Provides information about the beneficial owner of the securities.

BeneficialOwnerDetails <BnfclOwnrDtls> contains the following **BeneficialOwner1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	BeneficialOwnerIdentification <BnfclOwnrId>	[1..1]	±		578
	AdditionalIdentification <AddtlId>	[0..1]			579
	Identification <Id>	[1..1]	Text		579
	IdentificationType <IdTp>	[1..1]			579
{Or	Code <Cd>	[1..1]	CodeSet		579
Or}	Proprietary <Prtry>	[1..1]	±		580
	Issuer <Issr>	[0..1]	Text		580
	Nationality <Ntlty>	[0..1]	CodeSet	C4	580
	DomicileCountry <DmclCtry>	[0..1]	CodeSet	C4	580
	NonDomicileCountry <NonDmclCtry>	[0..1]	CodeSet	C4	581
	CertificationIndicator <CertfctnInd>	[0..1]	Indicator		581
	CertificationType <CertfctnTp>	[0..1]			581
{Or	Code <Cd>	[1..1]	CodeSet		582
Or}	Proprietary <Prtry>	[1..1]	±		582
	DeclarationDetails <DclrtnDtls>	[0..1]	Text		582
	SecurityIdentification <SctyId>	[0..1]	±		582
	ElectedSecuritiesQuantity <ElctdSciesQty>	[1..1]			583
{Or	Unit <Unit>	[1..1]	Quantity		583
Or}	FaceAmount <FaceAmt>	[1..1]	Amount	C1, C5	583

17.4.5.1.1 BeneficialOwnerIdentification <BnfclOwnrId>

Presence: [1..1]

Definition: Identification of the party that is the beneficial owner of the specified securities.

BeneficialOwnerIdentification <BnfcOwnrId> contains one of the following elements (see "PartyIdentification2Choice" on page 733 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	BICOrBEI <BICOrBEI/>	[1..1]	IdentifierSet	C2	733
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		733
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		734

17.4.5.1.2 AdditionalIdentification <AddtId>

Presence: [0..1]

Definition: Additional identification of the party that is the beneficial owner of the specified securities.

AdditionalIdentification <AddtId> contains the following **GenericIdentification16** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		579
	IdentificationType <IdTp>	[1..1]			579
{Or	Code <Cd>	[1..1]	CodeSet		579
Or}	Proprietary <Prtry>	[1..1]	±		580
	Issuer <Iss>	[0..1]	Text		580

17.4.5.1.2.1 Identification <Id>

Presence: [1..1]

Definition: The identifier.

Datatype: "Max35Text" on page 853

17.4.5.1.2.2 IdentificationType <IdTp>

Presence: [1..1]

Definition: Specifies the nature of the identifier.

IdentificationType <IdTp> contains one of the following **PersonIdentificationType3Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		579
Or}	Proprietary <Prtry>	[1..1]	±		580

17.4.5.1.2.2.1 Code <Cd>

Presence: [1..1]

Definition: Person identification expressed as a code.

Datatype: "PersonIdentificationType3Code" on page 831

CodeName	Name	Definition
ARNU	AlienRegistrationNumber	Number assigned by a government agency to identify foreign nationals.
CCPT	PassportNumber	Number assigned by a passport authority to a passport.
EMID	EmployerIdentificationNumber	Number assigned to an employer by a registration authority.
DRLC	DriversLicenseNumber	Number assigned by a license authority to a driver's license.
FINN	ForeignInvestmentIdentityNumber	Number assigned to a foreign investor (other than the alien number).
TXID	TaxIdentificationNumber	Number assigned by a tax authority to an entity.

17.4.5.1.2.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Person identification expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification13](#)" on page 693 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		693
	SchemeName <SchmeNm>	[0..1]	Text		693
	Issuer <Issr>	[1..1]	Text		693

17.4.5.1.2.3 Issuer <Issr>

Presence: [0..1]

Definition: Party that assigns the identification.

Datatype: "[Max35Text](#)" on page 853

17.4.5.1.3 Nationality <Ntlty>

Presence: [0..1]

Definition: Nationality of the beneficial owner.

Impacted by: [C4 "Country"](#)

Datatype: "[CountryCode](#)" on page 822

Constraints

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

17.4.5.1.4 DomicileCountry <DmclCtry>

Presence: [0..1]

Definition: Country in which a person is permanently domiciled (the place of a persons permanent home).

Impacted by: C4 "Country"

Datatype: "CountryCode" on page 822

Constraints

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

17.4.5.1.5 NonDomicileCountry <NonDmclCtry>

Presence: [0..1]

Definition: The holder of the security has to certify, in line with the terms of the corporate action, that it is not domiciled in the country indicated.

Impacted by: C4 "Country"

Datatype: "CountryCode" on page 822

Constraints

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

17.4.5.1.6 CertificationIndicator <CertfctnInd>

Presence: [0..1]

Definition: Whether or not certification is required from the account owner.

Yes: certification required

No: no certification required.

Datatype: One of the following values must be used (see "YesNoIndicator" on page 850):

- *Meaning When True:* Yes
- *Meaning When False:* No

17.4.5.1.7 CertificationType <CertfctnTp>

Presence: [0..1]

Definition: Type of certification which is required.

CertificationType <CertfctnTp> contains one of the following **BeneficiaryCertificationType1FormatChoice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		582
Or}	Proprietary <Prtry>	[1..1]	±		582

17.4.5.1.7.1 Code <Cd>*Presence:* [1..1]*Definition:* Standard code to specify the type of beneficiary's certification.*Datatype:* "BeneficiaryCertificationType1Code" on page 803

CodeName	Name	Definition
ACCI	AccreditedInvestor	The holder of the security has to irrevocably certify that it is an Accredited Investor as defined in US Securities and Exchange Commission Regulation D.
DOMI	DomicileCountry	The holder of the security has to certify his domicile.
FULL	FullBeneficialOwnerBreakdown	The holder of the security has to provide his beneficial owner details for disclosure/instruction purpose.
QIBB	QIBCertification	The holder of the security has to irrevocably certify that it is a Qualified Institutional Buyer as defined in US Securities and Exchange Commission Rule 144A.
TRBD	TaxRateBreakdown	The holder of the security has to provide basic details on nominals and associated tax rate to be used by the account servicer.
NCOM	NonCompany	The holder of the security has to certify he is an Non-Company related person.

17.4.5.1.7.2 Proprietary <Prtry>*Presence:* [1..1]*Definition:* Proprietary code to express the type of beneficiary's certification.**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification13](#)" on page 693 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		693
	SchemeName <SchmeNm>	[0..1]	Text		693
	Issuer <Issr>	[1..1]	Text		693

17.4.5.1.8 DeclarationDetails <DclrtnDtls>*Presence:* [0..1]*Definition:* Provides declaration details narrative relative to the financial instrument, eg, beneficial ownership.*Datatype:* "Max350Text" on page 853**17.4.5.1.9 SecurityIdentification <Sctyld>***Presence:* [0..1]

Definition: Identification of the financial instrument.

SecurityIdentification <Sctyld> contains the following elements (see "[SecurityIdentification7](#)" on page 791 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	ISIN <ISIN>	[1..1]	IdentifierSet		791
Or}	OtherIdentification <Othrlid>	[1..1]			791
	Identification <Id>	[1..1]	Text		792
{Or	DomesticIdentificationSource <DmstldSrc>	[1..1]	CodeSet	C4	792
Or}	ProprietaryIdentificationSource <PrtryldSrc>	[1..1]	Text		792
	Description <Desc>	[0..1]	Text		792

17.4.5.1.10 ElectedSecuritiesQuantity <ElctdScitiesQty>

Presence: [1..1]

Definition: Quantity of securities elected by to the beneficial owner.

ElectedSecuritiesQuantity <ElctdScitiesQty> contains one of the following **UnitOrFaceAmount1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		583
Or}	FaceAmount <FaceAmt>	[1..1]	Amount	C1, C5	583

17.4.5.1.10.1 Unit <Unit>

Presence: [1..1]

Definition: Quantity expressed as a number, eg, a number of shares.

Datatype: "[DecimalNumber](#)" on page 851

17.4.5.1.10.2 FaceAmount <FaceAmt>

Presence: [1..1]

Definition: Quantity expressed as an amount representing the face amount, ie, the principal, of a debt instrument.

Impacted by: C1 "ActiveCurrency", C5 "CurrencyAmount"

Datatype: "[ActiveCurrencyAndAmount](#)" on page 801

Constraints

- ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217

Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

17.4.5.2 RegistrationDetails <RegnDtls>

Presence: [0..1]

Definition: Provides information required for the registration.

Datatype: "Max350Text" on page 853

17.4.5.3 ReceiverIdentification <RcvrId>

Presence: [0..1]

Definition: Identification of the receiver of outturned resources (cash/securities) in case the resources need to be delivered outside the CSD.

ReceiverIdentification <RcvrId> contains one of the following elements (see "PartyIdentification2Choice" on page 733 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	BICOrBEI <BICOrBEI>	[1..1]	IdentifierSet	C2	733
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		733
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		734

17.4.5.4 CertificationIndicator <CertfctnInd>

Presence: [0..1]

Definition: Whether or not certification is required from the account owner.

Y: certification required

N: no certification required.

Datatype: One of the following values must be used (see "YesNoIndicator" on page 850):

- *Meaning When True:* Yes
- *Meaning When False:* No

17.4.5.5 CertificationType <CertfctnTp>

Presence: [0..1]

Definition: Type of certification which is required.

CertificationType <CertfctnTp> contains one of the following **BeneficiaryCertificationType1FormatChoice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		585
Or}	Proprietary <Prtry>	[1..1]	±		585

17.4.5.5.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the type of beneficiary's certification.

Datatype: "BeneficiaryCertificationType1Code" on page 803

CodeName	Name	Definition
ACCI	AccreditedInvestor	The holder of the security has to irrevocably certify that it is an Accredited Investor as defined in US Securities and Exchange Commission Regulation D.
DOMI	DomicileCountry	The holder of the security has to certify his domicile.
FULL	FullBeneficialOwnerBreakdown	The holder of the security has to provide his beneficial owner details for disclosure/instruction purpose.
QIBB	QIBCertification	The holder of the security has to irrevocably certify that it is a Qualified Institutional Buyer as defined in US Securities and Exchange Commission Rule 144A.
TRBD	TaxRateBreakdown	The holder of the security has to provide basic details on nominals and associated tax rate to be used by the account servicer.
NCOM	NonCompany	The holder of the security has to certify he is an Non-Company related person.

17.4.5.5.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary code to express the type of beneficiary's certification.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification13](#)" on page 693 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		693
	SchemeName <SchmeNm>	[0..1]	Text		693
	Issuer <Issr>	[1..1]	Text		693

17.4.5.6 DeliveryDetails <DlvryDtls>

Presence: [0..*]

Definition: Provides information about the delivery details of proceeds.

DeliveryDetails <DlvryDtls> contains the following **ProceedsDelivery1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	SecuritiesAccountIdentification <SctiesAcctId>	[1..1]	Text		586
Or}	CashAccountIdentification <CshAcctId>	[1..1]	±		586
	AccountOwnerIdentification <AcctOwnrId>	[0..1]	±		586
	AccountServicerIdentification <AcctSvcrId>	[0..1]	±		587

17.4.5.6.1 SecuritiesAccountIdentification <SctiesAcctId>

Presence: [1..1]

Warning Either SecuritiesAccountIdentification or CashAccountIdentification must be present.

Definition: identification of the securities account to which the securities have to be delivered.

Datatype: "Max35Text" on page 853

17.4.5.6.2 CashAccountIdentification <CshAcctId>

Presence: [1..1]

Warning Either SecuritiesAccountIdentification or CashAccountIdentification must be present.

Definition: Identification of the cash account to which the cash has to be delivered.

CashAccountIdentification <CshAcctId> contains one of the following elements (see "CashAccountIdentification1Choice" on page 675 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	IBAN <IBAN>	[1..1]	IdentifierSet	C8	675
Or	BBAN <BBAN>	[1..1]	IdentifierSet		676
Or	UPIC <UPIC>	[1..1]	IdentifierSet		676
Or}	DomesticAccount <DmstAcct>	[1..1]	±		676

17.4.5.6.3 AccountOwnerIdentification <AcctOwnrId>

Presence: [0..1]

Definition: Identification of the party that owns the account.

AccountOwnerIdentification <AcctOwnrId> contains one of the following elements (see "PartyIdentification2Choice" on page 733 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	BICOrBEI <BICOrBEI>	[1..1]	IdentifierSet	C2	733
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		733
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		734

17.4.5.6.4 AccountServicerIdentification <AcctSvcrId>

Presence: [0..1]

Definition: Identification of the institution servicing the account.

AccountServicerIdentification <AcctSvcrId> contains one of the following elements (see "PartyIdentification2Choice" on page 733 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	BICOrBEI <BICOrBEI>	[1..1]	IdentifierSet	C2	733
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		733
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		734

17.4.5.7 AdditionalInstruction <AddtlInstr>

Presence: [0..1]

Definition: Provides additional details pertaining to the corporate action instruction.

Datatype: "Max350Text" on page 853

17.4.6 ContactDetails <CtctDtls>

Presence: [0..1]

Definition: Contact responsible for the transaction identified in the message.

ContactDetails <CtctDtls> contains the following elements (see "ContactPerson1" on page 748 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ContactPerson <CtctPrsn>	[1..1]	±		748
	InstitutionIdentification <InstnId>	[0..1]	±		749

18 **seev.024.001.01**

AgentCAInformationStatusAdviceV01

18.1 **MessageDefinition Functionality**

Scope

This message is sent by an issuer (or its agent) to a CSD to report the status, or change in status, of an information advice.

Usage

This message must be used in response to an Agent Corporate Action Information Advice in the case of a rejection. However, it may also be used to report other statuses.

The information advice identification must be present to link this message to the information advice for which the status is provided.

Outline

The AgentCAInformationStatusAdviceV01 MessageDefinition is composed of 4 MessageBuildingBlocks:

A. Identification

Identification assigned by the Sender to unambiguously identify the status advice.

B. AgentCAInformationAdviceIdentification

Identification of the linked Agent CA Information Advice for which a status is given.

C. CorporateActionAdditionalInformation

Additional information about the corporate action such as the delivery details.

D. InformationStatusDetails

Status of the information advice sent by the CSD.

18.2 Structure

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	Message root <Document> <AgtCAInfStsAdv>	[1..1]			
	Identification <Id>	[1..1]	±		591
	AgentCAInformationAdviceIdentification <AgtCAInfAdvId>	[1..1]	±		591
	CorporateActionAdditionalInformation <CorpActnAddtlInf>	[0..1]			591
	BeneficialOwnerDetails <BnfcOwnrDtls>	[0..*]			593
	BeneficialOwnerIdentification <BnfcOwnrId>	[1..1]	±		593
	AdditionalIdentification <AddtlId>	[0..1]			594
	Identification <Id>	[1..1]	Text		594
	IdentificationType <IdTp>	[1..1]			594
{Or	Code <Cd>	[1..1]	CodeSet		594
Or}	Proprietary <Prtry>	[1..1]	±		595
	Issuer <Issr>	[0..1]	Text		595
	Nationality <Ntlty>	[0..1]	CodeSet	C3	595
	DomicileCountry <DmclCtry>	[0..1]	CodeSet	C3	595
	NonDomicileCountry <NonDmclCtry>	[0..1]	CodeSet	C3	596
	CertificationIndicator <CertfctnInd>	[0..1]	Indicator		596
	CertificationType <CertfctnTp>	[0..1]			596
{Or	Code <Cd>	[1..1]	CodeSet		597
Or}	Proprietary <Prtry>	[1..1]	±		597
	DeclarationDetails <DclrtnDtls>	[0..1]	Text		597
	SecurityIdentification <SctyId>	[0..1]	±		597
	ElectedSecuritiesQuantity <ElctdSciesQty>	[1..1]			598
{Or	Unit <Unit>	[1..1]	Quantity		598
Or}	FaceAmount <FaceAmt>	[1..1]	Amount	C1, C4	598
	RegistrationDetails <RegnDtls>	[0..1]	Text		599
	ReceiverIdentification <RcvrId>	[0..1]	±		599
	CertificationIndicator <CertfctnInd>	[0..1]	Indicator		599
	CertificationType <CertfctnTp>	[0..1]			599
{Or	Code <Cd>	[1..1]	CodeSet		600
Or}	Proprietary <Prtry>	[1..1]	±		600

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	DeliveryDetails <DlrvyDtls>	[0..*]			601
{Or	SecuritiesAccountIdentification <SctiesAcctId>	[1..1]	Text		601
Or}	CashAccountIdentification <CshAcctId>	[1..1]	±		601
	AccountOwnerIdentification <AcctOwnrId>	[0..1]	±		601
	AccountServicerIdentification <AcctSvcrId>	[0..1]	±		602
	AdditionalInstruction <AddtlInstr>	[0..1]	Text		602
	InformationStatusDetails <InfStsDtls>	[1..1]			602
{Or	ProcessedStatus <PrcdSts>	[1..1]			603
	Status <Sts>	[1..1]			603
{Or	Code <Cd>	[1..1]	CodeSet		603
Or}	Proprietary <Prtry>	[1..1]	±		604
	AdditionalInformation <AddtlInf>	[0..1]	Text		604
Or}	RejectedStatus <RjctdSts>	[1..1]			604
	Reason <Rsn>	[1..*]			604
{Or	Code <Cd>	[1..1]	CodeSet		605
Or}	Proprietary <Prtry>	[1..1]	±		605
	AdditionalInformation <AddtlInf>	[0..1]	Text		605

18.3 Constraints

C1 ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

C2 AnyBIC

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered by the ISO 9362 Registration Authority in the BIC directory, and consists of eight (8) or eleven (11) contiguous characters.

C3 Country

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

C4 CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

C5 IBAN

A valid IBAN consists of all three of the following components: Country Code, check digits and BBAN.

18.4 Message Building Blocks

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

18.4.1 Identification <Id>

Presence: [1..1]

Definition: Identification assigned by the Sender to unambiguously identify the status advice.

Identification <Id> contains the following elements (see ["DocumentIdentification8"](#) on page 690 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		690
	CreationDateTime <CreDtTm>	[0..1]	DateTime		690

18.4.2 AgentCAInformationAdviceIdentification <AgtCAInfAdvId>

Presence: [1..1]

Definition: Identification of the linked Agent CA Information Advice for which a status is given.

AgentCAInformationAdviceIdentification <AgtCAInfAdvId> contains the following elements (see ["DocumentIdentification8"](#) on page 690 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		690
	CreationDateTime <CreDtTm>	[0..1]	DateTime		690

18.4.3 CorporateActionAdditionalInformation <CorpActnAddtlInf>

Presence: [0..1]

Definition: Additional information about the corporate action such as the delivery details.

CorporateActionAdditionalInformation <CorpActnAddtlInf> contains the following
CorporateActionAdditionalInformation1 elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	BeneficialOwnerDetails <BnfcOwnrDtls>	[0..*]			593
	BeneficialOwnerIdentification <BnfcOwnrId>	[1..1]	±		593
	AdditionalIdentification <AddtlId>	[0..1]			594
	Identification <Id>	[1..1]	Text		594
	IdentificationType <IdTp>	[1..1]			594
{Or	Code <Cd>	[1..1]	CodeSet		594
Or}	Proprietary <Prtry>	[1..1]	±		595
	Issuer <Issr>	[0..1]	Text		595
	Nationality <Ntlty>	[0..1]	CodeSet	C3	595
	DomicileCountry <DmclCtry>	[0..1]	CodeSet	C3	595
	NonDomicileCountry <NonDmclCtry>	[0..1]	CodeSet	C3	596
	CertificationIndicator <CertfctnInd>	[0..1]	Indicator		596
	CertificationType <CertfctnTp>	[0..1]			596
{Or	Code <Cd>	[1..1]	CodeSet		597
Or}	Proprietary <Prtry>	[1..1]	±		597
	DeclarationDetails <DclrtnDtls>	[0..1]	Text		597
	SecurityIdentification <SctyId>	[0..1]	±		597
	ElectedSecuritiesQuantity <ElctdSciesQty>	[1..1]			598
{Or	Unit <Unit>	[1..1]	Quantity		598
Or}	FaceAmount <FaceAmt>	[1..1]	Amount	C1, C4	598
	RegistrationDetails <RegnDtls>	[0..1]	Text		599
	ReceiverIdentification <RcvrId>	[0..1]	±		599
	CertificationIndicator <CertfctnInd>	[0..1]	Indicator		599
	CertificationType <CertfctnTp>	[0..1]			599
{Or	Code <Cd>	[1..1]	CodeSet		600
Or}	Proprietary <Prtry>	[1..1]	±		600
	DeliveryDetails <DlvryDtls>	[0..*]			601
{Or	SecuritiesAccountIdentification <SciesAcctId>	[1..1]	Text		601
Or}	CashAccountIdentification <CshAcctId>	[1..1]	±		601
	AccountOwnerIdentification <AcctOwnrId>	[0..1]	±		601

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AccountServicerIdentification <AcctSvcrId>	[0..1]	±		602
	AdditionalInstruction <AddtlInstr>	[0..1]	Text		602

18.4.3.1 BeneficialOwnerDetails <BnfclOwnrDtls>

Presence: [0..*]

Definition: Provides information about the beneficial owner of the securities.

BeneficialOwnerDetails <BnfclOwnrDtls> contains the following **BeneficialOwner1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	BeneficialOwnerIdentification <BnfclOwnrId>	[1..1]	±		593
	AdditionalIdentification <AddtlId>	[0..1]			594
	Identification <Id>	[1..1]	Text		594
	IdentificationType <IdTp>	[1..1]			594
{Or	Code <Cd>	[1..1]	CodeSet		594
Or}	Proprietary <Prtry>	[1..1]	±		595
	Issuer <Issr>	[0..1]	Text		595
	Nationality <Ntlty>	[0..1]	CodeSet	C3	595
	DomicileCountry <DmclCtry>	[0..1]	CodeSet	C3	595
	NonDomicileCountry <NonDmclCtry>	[0..1]	CodeSet	C3	596
	CertificationIndicator <CertfctnInd>	[0..1]	Indicator		596
	CertificationType <CertfctnTp>	[0..1]			596
{Or	Code <Cd>	[1..1]	CodeSet		597
Or}	Proprietary <Prtry>	[1..1]	±		597
	DeclarationDetails <DclrtnDtls>	[0..1]	Text		597
	SecurityIdentification <SctyId>	[0..1]	±		597
	ElectedSecuritiesQuantity <ElctdSciesQty>	[1..1]			598
{Or	Unit <Unit>	[1..1]	Quantity		598
Or}	FaceAmount <FaceAmt>	[1..1]	Amount	C1, C4	598

18.4.3.1.1 BeneficialOwnerIdentification <BnfclOwnrId>

Presence: [1..1]

Definition: Identification of the party that is the beneficial owner of the specified securities.

BeneficialOwnerIdentification <BnfcOwnrId> contains one of the following elements (see "PartyIdentification2Choice" on page 733 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	BICOrBEI <BICOrBEI/>	[1..1]	IdentifierSet	C2	733
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		733
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		734

18.4.3.1.2 AdditionalIdentification <AddtId>

Presence: [0..1]

Definition: Additional identification of the party that is the beneficial owner of the specified securities.

AdditionalIdentification <AddtId> contains the following **GenericIdentification16** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		594
	IdentificationType <IdTp>	[1..1]			594
{Or	Code <Cd>	[1..1]	CodeSet		594
Or}	Proprietary <Prtry>	[1..1]	±		595
	Issuer <Issr>	[0..1]	Text		595

18.4.3.1.2.1 Identification <Id>

Presence: [1..1]

Definition: The identifier.

Datatype: "Max35Text" on page 853

18.4.3.1.2.2 IdentificationType <IdTp>

Presence: [1..1]

Definition: Specifies the nature of the identifier.

IdentificationType <IdTp> contains one of the following **PersonIdentificationType3Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		594
Or}	Proprietary <Prtry>	[1..1]	±		595

18.4.3.1.2.2.1 Code <Cd>

Presence: [1..1]

Definition: Person identification expressed as a code.

Datatype: "PersonIdentificationType3Code" on page 831

CodeName	Name	Definition
ARNU	AlienRegistrationNumber	Number assigned by a government agency to identify foreign nationals.
CCPT	PassportNumber	Number assigned by a passport authority to a passport.
EMID	EmployerIdentificationNumber	Number assigned to an employer by a registration authority.
DRLC	DriversLicenseNumber	Number assigned by a license authority to a driver's license.
FINN	ForeignInvestmentIdentityNumber	Number assigned to a foreign investor (other than the alien number).
TXID	TaxIdentificationNumber	Number assigned by a tax authority to an entity.

18.4.3.1.2.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Person identification expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see ["GenericIdentification13"](#) on page 693 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		693
	SchemeName <SchmeNm>	[0..1]	Text		693
	Issuer <Issr>	[1..1]	Text		693

18.4.3.1.2.3 Issuer <Issr>

Presence: [0..1]

Definition: Party that assigns the identification.

Datatype: ["Max35Text"](#) on page 853

18.4.3.1.3 Nationality <Ntlty>

Presence: [0..1]

Definition: Nationality of the beneficial owner.

Impacted by: [C3 "Country"](#)

Datatype: ["CountryCode"](#) on page 822

Constraints

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

18.4.3.1.4 DomicileCountry <DmclCtry>

Presence: [0..1]

Definition: Country in which a person is permanently domiciled (the place of a persons permanent home).

Impacted by: C3 "Country"

Datatype: "CountryCode" on page 822

Constraints

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

18.4.3.1.5 NonDomicileCountry <NonDmclCtry>

Presence: [0..1]

Definition: The holder of the security has to certify, in line with the terms of the corporate action, that it is not domiciled in the country indicated.

Impacted by: C3 "Country"

Datatype: "CountryCode" on page 822

Constraints

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

18.4.3.1.6 CertificationIndicator <CertfctnInd>

Presence: [0..1]

Definition: Whether or not certification is required from the account owner.

Yes: certification required

No: no certification required.

Datatype: One of the following values must be used (see "YesNoIndicator" on page 850):

- *Meaning When True:* Yes
- *Meaning When False:* No

18.4.3.1.7 CertificationType <CertfctnTp>

Presence: [0..1]

Definition: Type of certification which is required.

CertificationType <CertfctnTp> contains one of the following **BeneficiaryCertificationType1FormatChoice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		597
Or}	Proprietary <Prtry>	[1..1]	±		597

18.4.3.1.7.1 Code <Cd>*Presence:* [1..1]*Definition:* Standard code to specify the type of beneficiary's certification.*Datatype:* "BeneficiaryCertificationType1Code" on page 803

CodeName	Name	Definition
ACCI	AccreditedInvestor	The holder of the security has to irrevocably certify that it is an Accredited Investor as defined in US Securities and Exchange Commission Regulation D.
DOMI	DomicileCountry	The holder of the security has to certify his domicile.
FULL	FullBeneficialOwnerBreakdown	The holder of the security has to provide his beneficial owner details for disclosure/instruction purpose.
QIBB	QIBCertification	The holder of the security has to irrevocably certify that it is a Qualified Institutional Buyer as defined in US Securities and Exchange Commission Rule 144A.
TRBD	TaxRateBreakdown	The holder of the security has to provide basic details on nominals and associated tax rate to be used by the account servicer.
NCOM	NonCompany	The holder of the security has to certify he is an Non-Company related person.

18.4.3.1.7.2 Proprietary <Prtry>*Presence:* [1..1]*Definition:* Proprietary code to express the type of beneficiary's certification.**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification13](#)" on page 693 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		693
	SchemeName <SchmeNm>	[0..1]	Text		693
	Issuer <Issr>	[1..1]	Text		693

18.4.3.1.8 DeclarationDetails <DclrtnDtls>*Presence:* [0..1]*Definition:* Provides declaration details narrative relative to the financial instrument, eg, beneficial ownership.*Datatype:* "Max350Text" on page 853**18.4.3.1.9 SecurityIdentification <Sctyld>***Presence:* [0..1]

Definition: Identification of the financial instrument.

SecurityIdentification <Sctyld> contains the following elements (see "[SecurityIdentification7](#)" on page 791 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	ISIN <ISIN>	[1..1]	IdentifierSet		791
Or}	OtherIdentification <Othrlid>	[1..1]			791
	Identification <Id>	[1..1]	Text		792
{Or	DomesticIdentificationSource <DmstldSrc>	[1..1]	CodeSet	C4	792
Or}	ProprietaryIdentificationSource <PrtryldSrc>	[1..1]	Text		792
	Description <Desc>	[0..1]	Text		792

18.4.3.1.10 ElectedSecuritiesQuantity <ElctdScitiesQty>

Presence: [1..1]

Definition: Quantity of securities elected by to the beneficial owner.

ElectedSecuritiesQuantity <ElctdScitiesQty> contains one of the following **UnitOrFaceAmount1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		598
Or}	FaceAmount <FaceAmt>	[1..1]	Amount	C1, C4	598

18.4.3.1.10.1 Unit <Unit>

Presence: [1..1]

Definition: Quantity expressed as a number, eg, a number of shares.

Datatype: "[DecimalNumber](#)" on page 851

18.4.3.1.10.2 FaceAmount <FaceAmt>

Presence: [1..1]

Definition: Quantity expressed as an amount representing the face amount, ie, the principal, of a debt instrument.

Impacted by: C1 "ActiveCurrency", C4 "CurrencyAmount"

Datatype: "[ActiveCurrencyAndAmount](#)" on page 801

Constraints

- ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217

Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

18.4.3.2 RegistrationDetails <RegnDtls>

Presence: [0..1]

Definition: Provides information required for the registration.

Datatype: "Max350Text" on page 853

18.4.3.3 ReceiverIdentification <RcvrId>

Presence: [0..1]

Definition: Identification of the receiver of outturned resources (cash/securities) in case the resources need to be delivered outside the CSD.

ReceiverIdentification <RcvrId> contains one of the following elements (see "PartyIdentification2Choice" on page 733 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	BICOrBEI <BICOrBEI>	[1..1]	IdentifierSet	C2	733
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		733
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		734

18.4.3.4 CertificationIndicator <CertfctnInd>

Presence: [0..1]

Definition: Whether or not certification is required from the account owner.

Y: certification required

N: no certification required.

Datatype: One of the following values must be used (see "YesNoIndicator" on page 850):

- *Meaning When True:* Yes
- *Meaning When False:* No

18.4.3.5 CertificationType <CertfctnTp>

Presence: [0..1]

Definition: Type of certification which is required.

CertificationType <CertfctnTp> contains one of the following **BeneficiaryCertificationType1FormatChoice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		600
Or}	Proprietary <Prtry>	[1..1]	±		600

18.4.3.5.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the type of beneficiary's certification.

Datatype: "BeneficiaryCertificationType1Code" on page 803

CodeName	Name	Definition
ACCI	AccreditedInvestor	The holder of the security has to irrevocably certify that it is an Accredited Investor as defined in US Securities and Exchange Commission Regulation D.
DOMI	DomicileCountry	The holder of the security has to certify his domicile.
FULL	FullBeneficialOwnerBreakdown	The holder of the security has to provide his beneficial owner details for disclosure/instruction purpose.
QIBB	QIBCertification	The holder of the security has to irrevocably certify that it is a Qualified Institutional Buyer as defined in US Securities and Exchange Commission Rule 144A.
TRBD	TaxRateBreakdown	The holder of the security has to provide basic details on nominals and associated tax rate to be used by the account servicer.
NCOM	NonCompany	The holder of the security has to certify he is an Non-Company related person.

18.4.3.5.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary code to express the type of beneficiary's certification.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification13](#)" on page 693 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		693
	SchemeName <SchmeNm>	[0..1]	Text		693
	Issuer <Issr>	[1..1]	Text		693

18.4.3.6 DeliveryDetails <DlvryDtls>*Presence:* [0..*]*Definition:* Provides information about the delivery details of proceeds.**DeliveryDetails <DlvryDtls>** contains the following **ProceedsDelivery1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	SecuritiesAccountIdentification <SctiesAcctId>	[1..1]	Text		601
Or}	CashAccountIdentification <CshAcctId>	[1..1]	±		601
	AccountOwnerIdentification <AcctOwnrId>	[0..1]	±		601
	AccountServicerIdentification <AcctSvcrId>	[0..1]	±		602

18.4.3.6.1 SecuritiesAccountIdentification <SctiesAcctId>*Presence:* [1..1]**Warning** Either SecuritiesAccountIdentification or CashAccountIdentification must be present.*Definition:* identification of the securities account to which the securities have to be delivered.*Datatype:* "Max35Text" on page 853**18.4.3.6.2 CashAccountIdentification <CshAcctId>***Presence:* [1..1]**Warning** Either SecuritiesAccountIdentification or CashAccountIdentification must be present.*Definition:* Identification of the cash account to which the cash has to be delivered.**CashAccountIdentification <CshAcctId>** contains one of the following elements (see "CashAccountIdentification1Choice" on page 675 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	IBAN <IBAN>	[1..1]	IdentifierSet	C8	675
Or	BBAN <BBAN>	[1..1]	IdentifierSet		676
Or	UPIC <UPIC>	[1..1]	IdentifierSet		676
Or}	DomesticAccount <DmstAcct>	[1..1]	±		676

18.4.3.6.3 AccountOwnerIdentification <AcctOwnrId>*Presence:* [0..1]*Definition:* Identification of the party that owns the account.

AccountOwnerIdentification <AcctOwnrId> contains one of the following elements (see "PartyIdentification2Choice" on page 733 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	BICOrBEI <BICOrBEI/>	[1..1]	IdentifierSet	C2	733
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		733
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		734

18.4.3.6.4 AccountServicerIdentification <AcctSvcrId>

Presence: [0..1]

Definition: Identification of the institution servicing the account.

AccountServicerIdentification <AcctSvcrId> contains one of the following elements (see "PartyIdentification2Choice" on page 733 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	BICOrBEI <BICOrBEI/>	[1..1]	IdentifierSet	C2	733
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		733
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		734

18.4.3.7 AdditionalInstruction <AddtlInstr>

Presence: [0..1]

Definition: Provides additional details pertaining to the corporate action instruction.

Datatype: "Max350Text" on page 853

18.4.4 InformationStatusDetails <InfStsDtls>

Presence: [1..1]

Definition: Status of the information advice sent by the CSD.

InformationStatusDetails <InfStsDtIs> contains one of the following **CorporateActionInformationStatus1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	ProcessedStatus <PrcdSts>	[1..1]			603
	Status <Sts>	[1..1]			603
{Or	Code <Cd>	[1..1]	CodeSet		603
Or}	Proprietary <Prtry>	[1..1]	±		604
	AdditionalInformation <AddtlInf>	[0..1]	Text		604
Or}	RejectedStatus <RjctdSts>	[1..1]			604
	Reason <Rsn>	[1..*]			604
{Or	Code <Cd>	[1..1]	CodeSet		605
Or}	Proprietary <Prtry>	[1..1]	±		605
	AdditionalInformation <AddtlInf>	[0..1]	Text		605

18.4.4.1 ProcessedStatus <PrcdSts>

Presence: [1..1]

Definition: Provides information about the processing status of the advice.

ProcessedStatus <PrcdSts> contains the following **CorporateActionInformationProcessingStatus1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Status <Sts>	[1..1]			603
{Or	Code <Cd>	[1..1]	CodeSet		603
Or}	Proprietary <Prtry>	[1..1]	±		604
	AdditionalInformation <AddtlInf>	[0..1]	Text		604

18.4.4.1.1 Status <Sts>

Presence: [1..1]

Definition: The processing status.

Status <Sts> contains one of the following **ProcessedStatus5FormatChoice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		603
Or}	Proprietary <Prtry>	[1..1]	±		604

18.4.4.1.1.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the processing status of a request.

Datatype: "ProcessedStatus5Code" on page 833

CodeName	Name	Definition
RECE	Received	The instruction/request has been received.
PACK	Accepted	The request is accepted.

18.4.4.1.1.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary code to express the processing status of a request.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification13](#)" on page 693 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		693
	SchemeName <SchmeNm>	[0..1]	Text		693
	Issuer <Issr>	[1..1]	Text		693

18.4.4.1.2 AdditionalInformation <AddtlInf>

Presence: [0..1]

Definition: Additional information about the status.

Datatype: "Max350Text" on page 853

18.4.4.2 RejectedStatus <RjctdSts>

Presence: [1..1]

Definition: Provides information about the rejection status.

RejectedStatus <RjctdSts> contains the following **CorporateActionInformationRejectedStatus1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reason <Rsn>	[1..*]			604
{Or	Code <Cd>	[1..1]	CodeSet		605
Or}	Proprietary <Prtry>	[1..1]	±		605
	AdditionalInformation <AddtlInf>	[0..1]	Text		605

18.4.4.2.1 Reason <Rsn>

Presence: [1..*]

Definition: The rejection reason.

Reason <Rsn> contains one of the following **RejectionReason15FormatChoice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		605
Or}	Proprietary <Prtry>	[1..1]	±		605

18.4.4.2.1.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the reason of a rejection of an information advice.

Datatype: "RejectionReason15Code" on page 838

CodeName	Name	Definition
FAIL	FailedValidation	The validation of the advice/instruction/request failed.

18.4.4.2.1.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary code to express the reason of a rejection of an information advice.

Proprietary <Prtry> contains the following elements (see "GenericIdentification13" on page 693 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		693
	SchemeName <SchmeNm>	[0..1]	Text		693
	Issuer <Issr>	[1..1]	Text		693

18.4.4.2.2 AdditionalInformation <AddtlInf>

Presence: [0..1]

Definition: Additional information about the status.

Datatype: "Max350Text" on page 853

19 **seev.025.001.01**

AgentCAStandingInstructionRequestV01

19.1 **MessageDefinition Functionality**

Scope

This message is sent by a CSD to the issuer (or its agent) to provide the issuer (or its agent) with the CSD's client details for the distribution of the proceeds of a corporate action event:

- Gross or net payments.
- Delivery details for securities that have to be delivered outside of the CSD.
- Delivery details for cash amounts that have to be delivered outside of the CSD.

Usage

This message is used to request the issuer (or its agent) to put a standing instruction in place for proceeds.

The amendment of a standing instruction is done through a cancel-and-replace mechanism. First a standing instruction cancellation request is sent followed by a new standing instruction request.

Outline

The AgentCAStandingInstructionRequestV01 MessageDefinition is composed of 4 MessageBuildingBlocks:

- A. Identification
Identification assigned by the Sender to unambiguously identify the request.
- B. StandingInstructionGeneralInformation
General information about the standing instruction.
- C. StandingInstructionDetails
Provides detailed information about the standing instruction.
- D. ContactDetails
Contact responsible for the transaction identified in the message.

19.2 Structure

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	Message root <Document> <AgtCAStgInstrReq>	[1..1]			
	Identification <Id>	[1..1]	±		608
	StandingInstructionGeneralInformation <StgInstrGnlInf>	[1..1]	±		608
	StandingInstructionDetails <StgInstrDtls>	[1..1]			608
{Or	NetOrGross <NetOrGrss>	[1..1]	CodeSet		609
Or	CashDistributionDetails <CshDstrbtnDtls>	[1..1]			609
	AccountIdentification <AcctId>	[1..1]	±		610
	PaymentCurrency <PmtCcy>	[1..1]	CodeSet	C1	610
	AccountOwnerIdentification <AcctOwnrId>	[0..1]	±		610
	CorrespondentBankIdentification <CrspdtBkId>	[1..1]	IdentifierSet	C3	610
Or}	SecuritiesDistributionDetails <SctiesDstrbtnDtls>	[1..1]	±		611
	AdditionalInformation <AddtlInf>	[0..1]	Text		611
	ContactDetails <CtctDtls>	[0..1]	±		611

19.3 Constraints

C1 ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

C2 AnyBIC

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered by the ISO 9362 Registration Authority in the BIC directory, and consists of eight (8) or eleven (11) contiguous characters.

C3 BIC

Valid BICs for financial institutions are registered by the ISO 9362 Registration Authority in the BIC directory, and consist of eight (8) or eleven (11) contiguous characters.

C4 Country

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

C5 IBAN

A valid IBAN consists of all three of the following components: Country Code, check digits and BBAN.

19.4 Message Building Blocks

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

19.4.1 Identification <Id>

Presence: [1..1]

Definition: Identification assigned by the Sender to unambiguously identify the request.

Identification <Id> contains the following elements (see "[DocumentIdentification8](#)" on page 690 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		690
	CreationDateTime <CreDtTm>	[0..1]	DateTime		690

19.4.2 StandingInstructionGeneralInformation <StgInstrGnInf>

Presence: [1..1]

Definition: General information about the standing instruction.

StandingInstructionGeneralInformation <StgInstrGnInf> contains the following elements (see "[CorporateActionStandingInstructionGeneralInformation1](#)" on page 715 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	StandingInstructionType <StgInstrTp>	[1..1]	CodeSet		715
	EventType <EvtTp>	[0..*]			715
{Or	Code <Cd>	[1..1]	CodeSet		715
Or}	Proprietary <Prtry>	[1..1]	±		721
	InstructingPartyIdentification <InstgPtyId>	[1..1]	±		722
	ClientStandingInstructionIdentification <ClntStgInstrId>	[1..1]	Text		722
	AccountDetails <AcctDtls>	[0..*]			722
	SecuritiesAccountIdentification <SctiesAcctId>	[1..1]	Text		722
	IncludedIndicator <InclInd>	[1..1]	Indicator		722
	UnderlyingSecurity <UndrlygScty>	[0..1]	±		723

19.4.3 StandingInstructionDetails <StgInstrDtls>

Presence: [1..1]

Definition: Provides detailed information about the standing instruction.

StandingInstructionDetails <StgInstrDtls> contains the following **CorporateActionStandingInstruction1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	NetOrGross <NetOrGrss>	[1..1]	CodeSet		609
Or	CashDistributionDetails <CshDstrbtnDtls>	[1..1]			609
	AccountIdentification <AcctId>	[1..1]	±		610
	PaymentCurrency <PmtCcy>	[1..1]	CodeSet	C1	610
	AccountOwnerIdentification <AcctOwnrId>	[0..1]	±		610
	CorrespondentBankIdentification <CrspdtBkId>	[1..1]	IdentifierSet	C3	610
Or}	SecuritiesDistributionDetails <ScitiesDstrbtnDtls>	[1..1]	±		611
	AdditionalInformation <AddtlInf>	[0..1]	Text		611

19.4.3.1 NetOrGross <NetOrGrss>

Presence: [1..1]

Warning Either NetOrGross, CashDistributionDetails or SecuritiesDistributionDetails must be present.

Definition: Identifies whether the account Holders want their income to be paid net or gross of income tax (default is gross).

Datatype: "StandingInstructionGrossNet1Code" on page 845

CodeName	Name	Definition
GROS	Gross	Gross payment.
NETT	Net	Net payment.

19.4.3.2 CashDistributionDetails <CshDstrbtnDtls>

Presence: [1..1]

Warning Either NetOrGross, CashDistributionDetails or SecuritiesDistributionDetails must be present.

Definition: Provides information about the cash distribution standing instruction.

CashDistributionDetails <CshDstrbtnDtls> contains the following **CashAccount17** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AccountIdentification <AcctId>	[1..1]	±		610
	PaymentCurrency <PmtCcy>	[1..1]	CodeSet	C1	610
	AccountOwnerIdentification <AcctOwnrId>	[0..1]	±		610
	CorrespondentBankIdentification <CrspdtBkId>	[1..1]	IdentifierSet	C3	610

19.4.3.2.1 AccountIdentification <AcctId>*Presence:* [1..1]*Definition:* Identification of the cash account.**AccountIdentification <AcctId>** contains one of the following elements (see "CashAccountIdentification1Choice" on page 675 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	IBAN <IBAN>	[1..1]	IdentifierSet	C8	675
Or	BBAN <BBAN>	[1..1]	IdentifierSet		676
Or	UPIC <UPIC>	[1..1]	IdentifierSet		676
Or}	DomesticAccount <DmstAcct>	[1..1]	±		676

19.4.3.2.2 PaymentCurrency <PmtCcy>*Presence:* [1..1]*Definition:* Currency of the payment.*Impacted by:* C1 "ActiveCurrency"*Datatype:* "ActiveCurrencyCode" on page 802**Constraints**

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

19.4.3.2.3 AccountOwnerIdentification <AcctOwnrId>*Presence:* [0..1]*Definition:* Identification of the party that owns the account.**AccountOwnerIdentification <AcctOwnrId>** contains one of the following elements (see "PartyIdentification2Choice" on page 733 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	BICOrBEI <BICOrBEI>	[1..1]	IdentifierSet	C2	733
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		733
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		734

19.4.3.2.4 CorrespondentBankIdentification <CrspdtBkId>*Presence:* [1..1]*Definition:* Identification of the cash correspondent bank.*Impacted by:* C3 "BIC"

Datatype: "BICIdentifier" on page 848

Constraints

- **BIC**

Valid BICs for financial institutions are registered by the ISO 9362 Registration Authority in the BIC directory, and consist of eight (8) or eleven (11) contiguous characters.

19.4.3.3 SecuritiesDistributionDetails <SctiesDstrbtnDtls>

Presence: [1..1]

Warning Either NetOrGross, CashDistributionDetails or SecuritiesDistributionDetails must be present.

Definition: Provides information about the securities distribution standing instruction.

SecuritiesDistributionDetails <SctiesDstrbtnDtls> contains the following elements (see "SecuritiesAccount6" on page 658 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	SecurityIdentification <Sctyld>	[1..1]	±		658
	SecuritiesAccountIdentification <SctiesAcctId>	[1..1]	Text		658
	AccountOwnerIdentification <AcctOwnrId>	[0..1]	±		658
	SafekeepingPlace <SfkpgPlc>	[1..1]	±		659
	RegistrationDetails <RegnDtls>	[0..1]	Text		659

19.4.3.4 AdditionalInformation <AddtlInf>

Presence: [0..1]

Definition: Additional information about the standing instruction.

Datatype: "Max350Text" on page 853

19.4.4 ContactDetails <CtctDtls>

Presence: [0..1]

Definition: Contact responsible for the transaction identified in the message.

ContactDetails <CtctDtls> contains the following elements (see "ContactPerson1" on page 748 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ContactPerson <CtctPrsn>	[1..1]	±		748
	InstitutionIdentification <InstnId>	[0..1]	±		749

20 **seev.026.001.01** **AgentCAStandingInstructionCancellationReq** **uestV01**

20.1 **MessageDefinition Functionality**

Scope

This message is sent by a CSD to the issuer agent to request the cancellation of a previously sent Agent Corporate Action Standing Instruction.

Usage

This message is used to request the cancellation of a standing instruction.

This message must contain the identification of the standing instruction to be cancelled. It may also contain details of the standing instruction to be cancelled, but this is not recommended.

Outline

The AgentCAStandingInstructionCancellationRequestV01 MessageDefinition is composed of 4 MessageBuildingBlocks:

A. Identification

Identification assigned by the Sender to unambiguously identify the cancellation request.

B. AgentCAStandingInstructionRequestIdentification

Identification of the Agent CA Standing Instruction Request to be cancelled.

C. StandingInstructionGeneralInformation

General information about the standing instruction.

D. StandingInstructionDetails

Information related to the standing instruction.

20.2 Structure

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	Message root <Document> <AgtCAStgInstrCxlReq>	[1..1]			
	Identification <Id>	[1..1]	±		614
	AgentCAStandingInstructionRequestIdentification <AgtCAStgInstrReqId>	[1..1]	±		614
	StandingInstructionGeneralInformation <StgInstrGnlInf>	[1..1]	±		614
	StandingInstructionDetails <StgInstrDtls>	[0..1]			615
{Or	NetOrGross <NetOrGrss>	[1..1]	CodeSet		615
Or	CashDistributionDetails <CshDstrbtnDtls>	[1..1]			616
	AccountIdentification <AcctId>	[1..1]	±		616
	PaymentCurrency <PmtCcy>	[1..1]	CodeSet	C1	616
	AccountOwnerIdentification <AcctOwnrId>	[0..1]	±		617
	CorrespondentBankIdentification <CrspdtBkId>	[1..1]	IdentifierSet	C3	617
Or}	SecuritiesDistributionDetails <SctiesDstrbtnDtls>	[1..1]	±		617
	AdditionalInformation <AddtlInf>	[0..1]	Text		618

20.3 Constraints

C1 ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

C2 AnyBIC

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered by the ISO 9362 Registration Authority in the BIC directory, and consists of eight (8) or eleven (11) contiguous characters.

C3 BIC

Valid BICs for financial institutions are registered by the ISO 9362 Registration Authority in the BIC directory, and consist of eight (8) or eleven (11) contiguous characters.

C4 Country

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

C5 IBAN

A valid IBAN consists of all three of the following components: Country Code, check digits and BBAN.

20.4 Message Building Blocks

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

20.4.1 Identification <Id>

Presence: [1..1]

Definition: Identification assigned by the Sender to unambiguously identify the cancellation request.

Identification <Id> contains the following elements (see "[DocumentIdentification8](#)" on page 690 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		690
	CreationDateTime <CreDtTm>	[0..1]	DateTime		690

20.4.2 AgentCAStandingInstructionRequestIdentification <AgtCAStgInstrReqId>

Presence: [1..1]

Definition: Identification of the Agent CA Standing Instruction Request to be cancelled.

AgentCAStandingInstructionRequestIdentification <AgtCAStgInstrReqId> contains the following elements (see "[DocumentIdentification8](#)" on page 690 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		690
	CreationDateTime <CreDtTm>	[0..1]	DateTime		690

20.4.3 StandingInstructionGeneralInformation <StgInstrGnlInf>

Presence: [1..1]

Definition: General information about the standing instruction.

StandingInstructionGeneralInformation <StgInstrGnllnf> contains the following elements (see "CorporateActionStandingInstructionGeneralInformation1" on page 715 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	StandingInstructionType <StgInstrTp>	[1..1]	CodeSet		715
	EventType <EvtTp>	[0..*]			715
{Or	Code <Cd>	[1..1]	CodeSet		715
Or}	Proprietary <Prtry>	[1..1]	±		721
	InstructingPartyIdentification <InstgPtyId>	[1..1]	±		722
	ClientStandingInstructionIdentification <CIntStgInstrId>	[1..1]	Text		722
	AccountDetails <AcctDtls>	[0..*]			722
	SecuritiesAccountIdentification <SctiesAcctId>	[1..1]	Text		722
	IncludedIndicator <InclInd>	[1..1]	Indicator		722
	UnderlyingSecurity <UndrlygScty>	[0..1]	±		723

20.4.4 StandingInstructionDetails <StgInstrDtls>

Presence: [0..1]

Definition: Information related to the standing instruction.

StandingInstructionDetails <StgInstrDtls> contains the following **CorporateActionStandingInstruction1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	NetOrGross <NetOrGrss>	[1..1]	CodeSet		615
Or	CashDistributionDetails <CshDstrbtnDtls>	[1..1]			616
	AccountIdentification <AcctId>	[1..1]	±		616
	PaymentCurrency <PmtCcy>	[1..1]	CodeSet	C1	616
	AccountOwnerIdentification <AcctOwnrId>	[0..1]	±		617
	CorrespondentBankIdentification <CrspdtBkId>	[1..1]	IdentifierSet	C3	617
Or}	SecuritiesDistributionDetails <SctiesDstrbtnDtls>	[1..1]	±		617
	AdditionalInformation <AddtlInf>	[0..1]	Text		618

20.4.4.1 NetOrGross <NetOrGrss>

Presence: [1..1]

Warning Either NetOrGross, CashDistributionDetails or SecuritiesDistributionDetails must be present.

Definition: Identifies whether the account Holders want their income to be paid net or gross of income tax (default is gross).

Datatype: "StandingInstructionGrossNet1Code" on page 845

CodeName	Name	Definition
GROS	Gross	Gross payment.
NETT	Net	Net payment.

20.4.4.2 CashDistributionDetails <CshDstrbtnDtls>

Presence: [1..1]

Warning Either NetOrGross, CashDistributionDetails or SecuritiesDistributionDetails must be present.

Definition: Provides information about the cash distribution standing instruction.

CashDistributionDetails <CshDstrbtnDtls> contains the following **CashAccount17** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AccountIdentification <AcctId>	[1..1]	±		616
	PaymentCurrency <PmtCcy>	[1..1]	CodeSet	C1	616
	AccountOwnerIdentification <AcctOwnrId>	[0..1]	±		617
	CorrespondentBankIdentification <CrspdtBkId>	[1..1]	IdentifierSet	C3	617

20.4.4.2.1 AccountIdentification <AcctId>

Presence: [1..1]

Definition: Identification of the cash account.

AccountIdentification <AcctId> contains one of the following elements (see "CashAccountIdentification1Choice" on page 675 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	IBAN <IBAN>	[1..1]	IdentifierSet	C8	675
Or	BBAN <BBAN>	[1..1]	IdentifierSet		676
Or	UPIC <UPIC>	[1..1]	IdentifierSet		676
Or}	DomesticAccount <DmstAcct>	[1..1]	±		676

20.4.4.2.2 PaymentCurrency <PmtCcy>

Presence: [1..1]

Definition: Currency of the payment.

Impacted by: C1 "ActiveCurrency"

Datatype: "ActiveCurrencyCode" on page 802

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

20.4.4.2.3 AccountOwnerIdentification <AcctOwnrId>

Presence: [0..1]

Definition: Identification of the party that owns the account.

AccountOwnerIdentification <AcctOwnrId> contains one of the following elements (see "PartyIdentification2Choice" on page 733 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	BICOrBEI <BICOrBEI>	[1..1]	IdentifierSet	C2	733
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		733
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		734

20.4.4.2.4 CorrespondentBankIdentification <CrspdtBkId>

Presence: [1..1]

Definition: Identification of the cash correspondent bank.

Impacted by: C3 "BIC"

Datatype: "BICIdentifier" on page 848

Constraints

- **BIC**

Valid BICs for financial institutions are registered by the ISO 9362 Registration Authority in the BIC directory, and consist of eight (8) or eleven (11) contiguous characters.

20.4.4.3 SecuritiesDistributionDetails <SctiesDstrbtnDtls>

Presence: [1..1]

Warning Either NetOrGross, CashDistributionDetails or SecuritiesDistributionDetails must be present.

Definition: Provides information about the securities distribution standing instruction.

SecuritiesDistributionDetails <SctiesDstrbtnDtls> contains the following elements (see "SecuritiesAccount6" on page 658 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	SecurityIdentification <Sctyld>	[1..1]	±		658
	SecuritiesAccountIdentification <SctiesAcctld>	[1..1]	Text		658
	AccountOwnerIdentification <AcctOwnrld>	[0..1]	±		658
	SafekeepingPlace <SfkpgPlc>	[1..1]	±		659
	RegistrationDetails <RegnDtls>	[0..1]	Text		659

20.4.4.4 AdditionalInformation <AddtlInf>

Presence: [0..1]

Definition: Additional information about the standing instruction.

Datatype: "Max350Text" on page 853

21 **seev.027.001.01**

AgentCAStandingInstructionStatusAdviceV01

21.1 **MessageDefinition Functionality**

Scope

This message is sent by an issuer (or its agent) to the CSD to report the status, or a change in status, of a standing instruction request or the status of a standing instruction cancellation request.

Usage

When this message is used to report the status of a standing instruction request, the building block Standing Instruction Request Identification must be present.

When this message is used to report the status of a standing instruction cancellation request, the building block Standing Instruction Cancellation Request Identification must be present.

Outline

The AgentCAStandingInstructionStatusAdviceV01 MessageDefinition is composed of 6 MessageBuildingBlocks:

A. Identification

Identification assigned by the Sender to unambiguously identify the status advice.

B. AgentCAStandingInstructionRequestIdentification

Identification of the linked Agent CA Standing Instruction Request for which a status is given.

C. AgentCAStandingInstructionCancellationRequestIdentification

Identification of the linked Agent CA Standing Instruction Cancellation Request for which a status is given.

.

D. StandingInstructionGeneralInformation

General information about the standing instruction.

E. StandingInstructionRequestStatus

Status of the standing instruction request.

F. StandingInstructionCancellationRequestStatus

Provides information about the status of a standing instruction cancellation request.

21.2 Structure

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	Message root <Document> <AgtCAStgInstrStsAdv>	[1..1]		C3, C4	
	Identification <Id>	[1..1]	±		621
{Or	AgentCAStandingInstructionRequestIdentification <AgtCAStgInstrReqId>	[1..1]	±		621
Or}	AgentCAStandingInstructionCancellationRequestIdentification <AgtCAStgInstrCxlReqId>	[1..1]	±		622
	StandingInstructionGeneralInformation <StgInstrGnllnf>	[1..1]	±		622
{Or	StandingInstructionRequestStatus <StgInstrReqSts>	[1..1]			623
{Or	ProcessedStatus <PrcdSts>	[1..1]			624
	Status <Sts>	[1..1]			624
{Or	Code <Cd>	[1..1]	CodeSet		624
Or}	Proprietary <Prtry>	[1..1]	±		624
	AdditionalInformation <AddtlInf>	[0..1]	Text		625
Or}	RejectedStatus <RjctdSts>	[1..1]			625
	Reason <Rsn>	[1..*]			625
{Or	Code <Cd>	[1..1]	CodeSet		625
Or}	Proprietary <Prtry>	[1..1]	±		626
	AdditionalInformation <AddtlInf>	[0..1]	Text		626
Or}	StandingInstructionCancellationRequestStatus <StgInstrCxlReqSts>	[1..1]			626
{Or	ProcessedStatus <PrcdSts>	[1..1]			627
	Status <Sts>	[1..1]			627
{Or	Code <Cd>	[1..1]	CodeSet		627
Or}	Proprietary <Prtry>	[1..1]	±		628
	AdditionalInformation <AddtlInf>	[0..1]	Text		628
Or}	RejectedStatus <RjctdSts>	[1..1]			628
	Reason <Rsn>	[1..*]			628
{Or	Code <Cd>	[1..1]	CodeSet		629
Or}	Proprietary <Prtry>	[1..1]	±		629
	AdditionalInformation <AddtlInf>	[0..1]	Text		629

21.3 Constraints

- C1 AnyBIC**
Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered by the ISO 9362 Registration Authority in the BIC directory, and consists of eight (8) or eleven (11) contiguous characters.
- C2 Country**
The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).
- C3 StandingInstructionCancellationRequestStatusRule**
If AgentCAStandingInstructionCancellationRequestIdentification is present, then StandingInstructionCancellationRequestStatus must be present.
This constraint is defined at the MessageDefinition level.
- C4 StandingInstructionRequestStatusRule**
If AgentCAStandingInstructionRequestIdentification is present, then StandingInstructionRequestStatus must be present.
This constraint is defined at the MessageDefinition level.

21.4 Message Building Blocks

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

21.4.1 Identification <Id>

Presence: [1..1]
Definition: Identification assigned by the Sender to unambiguously identify the status advice.
Identification <Id> contains the following elements (see "[DocumentIdentification8](#)" on page 690 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		690
	CreationDateTime <CreDtTm>	[0..1]	DateTime		690

21.4.2 AgentCAStandingInstructionRequestIdentification <AgtCAStglInstrReqId>

Presence: [1..1]

Warning
Either AgentCAStandingInstructionRequestIdentification or AgentCAStandingInstructionCancellationRequestIdentification must be present, but not both.

Definition: Identification of the linked Agent CA Standing Instruction Request for which a status is given.

AgentCAStandingInstructionRequestIdentification <AgtCAStgInstrReqId> contains the following elements (see "DocumentIdentification8" on page 690 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		690
	CreationDateTime <CreDtTm>	[0..1]	DateTime		690

21.4.3 AgentCAStandingInstructionCancellationRequestIdentification <AgtCAStgInstrCxlReqId>

Presence: [1..1]

Warning Either AgentCAStandingInstructionRequestIdentification or AgentCAStandingInstructionCancellationRequestIdentification must be present, but not both.

Definition: Identification of the linked Agent CA Standing Instruction Cancellation Request for which a status is given.

AgentCAStandingInstructionCancellationRequestIdentification <AgtCAStgInstrCxlReqId> contains the following elements (see "DocumentIdentification8" on page 690 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		690
	CreationDateTime <CreDtTm>	[0..1]	DateTime		690

21.4.4 StandingInstructionGeneralInformation <StgInstrGnllnf>

Presence: [1..1]

Definition: General information about the standing instruction.

StandingInstructionGeneralInformation <StgInstrGnllnf> contains the following elements (see "CorporateActionStandingInstructionGeneralInformation1" on page 715 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	StandingInstructionType <StgInstrTp>	[1..1]	CodeSet		715
	EventType <EvtTp>	[0..*]			715
{Or	Code <Cd>	[1..1]	CodeSet		715
Or}	Proprietary <Prtry>	[1..1]	±		721
	InstructingPartyIdentification <InstgPtyId>	[1..1]	±		722
	ClientStandingInstructionIdentification <ClntStgInstrId>	[1..1]	Text		722
	AccountDetails <AcctDtls>	[0..*]			722
	SecuritiesAccountIdentification <SctiesAcctId>	[1..1]	Text		722
	IncludedIndicator <InclInd>	[1..1]	Indicator		722
	UnderlyingSecurity <UndrlygScty>	[0..1]	±		723

21.4.5 StandingInstructionRequestStatus <StgInstrReqSts>

Presence: [1..1]

Warning Either StandingInstructionRequestStatus or StandingInstructionCancellationRequestStatus must be present, but not both.

Definition: Status of the standing instruction request.

StandingInstructionRequestStatus <StgInstrReqSts> contains one of the following **StandingInstructionStatus1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	ProcessedStatus <PrcdSts>	[1..1]			624
	Status <Sts>	[1..1]			624
{Or	Code <Cd>	[1..1]	CodeSet		624
Or}	Proprietary <Prtry>	[1..1]	±		624
	AdditionalInformation <AddtlInf>	[0..1]	Text		625
Or}	RejectedStatus <RjctdSts>	[1..1]			625
	Reason <Rsn>	[1..*]			625
{Or	Code <Cd>	[1..1]	CodeSet		625
Or}	Proprietary <Prtry>	[1..1]	±		626
	AdditionalInformation <AddtlInf>	[0..1]	Text		626

21.4.5.1 ProcessedStatus <PrCdSts>*Presence:* [1..1]*Definition:* Provides information about the processing status of the request.**ProcessedStatus <PrCdSts>** contains the following
CorporateActionStandingInstructionProcessingStatus1 elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Status <Sts>	[1..1]			624
{Or	Code <Cd>	[1..1]	CodeSet		624
Or}	Proprietary <Prtry>	[1..1]	±		624
	AdditionalInformation <AddtlInf>	[0..1]	Text		625

21.4.5.1.1 Status <Sts>*Presence:* [1..1]*Definition:* The processing status.**Status <Sts>** contains one of the following **ProcessedStatus3FormatChoice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		624
Or}	Proprietary <Prtry>	[1..1]	±		624

21.4.5.1.1.1 Code <Cd>*Presence:* [1..1]*Definition:* Standard code to specify the processing status of an advice, a request or a movement.*Datatype:* "ProcessedStatus3Code" on page 832

CodeName	Name	Definition
RECE	Received	The instruction/request has been received.
PEND	Pending	The processing of the request is pending.
PACK	Accepted	The request is accepted.

21.4.5.1.1.2 Proprietary <Prtry>*Presence:* [1..1]*Definition:* Proprietary code to express the processing status of an advice, a request or a movement.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification13](#)" on page 693 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		693
	SchemeName <SchmeNm>	[0..1]	Text		693
	Issuer <Issr>	[1..1]	Text		693

21.4.5.1.2 AdditionalInformation <AddtlInf>

Presence: [0..1]

Definition: Additional information about the status.

Datatype: "[Max350Text](#)" on page 853

21.4.5.2 RejectedStatus <RjctdSts>

Presence: [1..1]

Definition: Provides information about the rejection status.

RejectedStatus <RjctdSts> contains the following **CorporateActionStandingInstructionRejectionStatus1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reason <Rsn>	[1..*]			625
{Or	Code <Cd>	[1..1]	CodeSet		625
Or}	Proprietary <Prtry>	[1..1]	±		626
	AdditionalInformation <AddtlInf>	[0..1]	Text		626

21.4.5.2.1 Reason <Rsn>

Presence: [1..*]

Definition: The rejection reason.

Reason <Rsn> contains one of the following **RejectionReason20FormatChoice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		625
Or}	Proprietary <Prtry>	[1..1]	±		626

21.4.5.2.1.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the reason of a rejection of the standing instruction request.

Datatype: "[RejectionReason20Code](#)" on page 838

CodeName	Name	Definition
FAIL	FailedValidation	The validation of the advice/instruction/request failed.
CASA	NotIdentifiedCashAccount	Unable to identify cash account.
CORR	NotIdentifiedCorrespondentBank	Unable to identify correspondent bank.
STAN	InvalidStandingInstructionType	Invalid standing instruction type for the specified underlying security.
NOHO	NoHolding	No holding for the specified underlying security.

21.4.5.2.1.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary code to express the reason of a rejection of the standing instruction request.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification13](#)" on page 693 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		693
	SchemeName <SchmeNm>	[0..1]	Text		693
	Issuer <Issr>	[1..1]	Text		693

21.4.5.2.2 AdditionalInformation <AddtlInf>

Presence: [0..1]

Definition: Additional information about the status.

Datatype: "[Max350Text](#)" on page 853

21.4.6 StandingInstructionCancellationRequestStatus <StgInstrCxlReqSts>

Presence: [1..1]

Warning Either StandingInstructionRequestStatus or StandingInstructionCancellationRequestStatus must be present, but not both.

Definition: Provides information about the status of a standing instruction cancellation request.

StandingInstructionCancellationRequestStatus <StgInstrCxlReqSts> contains one of the following **StandingInstructionCancellationStatus1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	ProcessedStatus <PrcdSts>	[1..1]			627
	Status <Sts>	[1..1]			627
{Or	Code <Cd>	[1..1]	CodeSet		627
Or}	Proprietary <Prtry>	[1..1]	±		628
	AdditionalInformation <AddtlInf>	[0..1]	Text		628
Or}	RejectedStatus <RjctdSts>	[1..1]			628
	Reason <Rsn>	[1..*]			628
{Or	Code <Cd>	[1..1]	CodeSet		629
Or}	Proprietary <Prtry>	[1..1]	±		629
	AdditionalInformation <AddtlInf>	[0..1]	Text		629

21.4.6.1 ProcessedStatus <PrcdSts>

Presence: [1..1]

Definition: Provides information about the processing status of the cancellation request.

ProcessedStatus <PrcdSts> contains the following **CorporateActionStandingInstructionCancellationProcessingStatus1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Status <Sts>	[1..1]			627
{Or	Code <Cd>	[1..1]	CodeSet		627
Or}	Proprietary <Prtry>	[1..1]	±		628
	AdditionalInformation <AddtlInf>	[0..1]	Text		628

21.4.6.1.1 Status <Sts>

Presence: [1..1]

Definition: The processing status.

Status <Sts> contains one of the following **ProcessedStatus4FormatChoice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		627
Or}	Proprietary <Prtry>	[1..1]	±		628

21.4.6.1.1.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the processing status of the standing instruction cancellation request.

Datatype: "ProcessedStatus4Code" on page 833

CodeName	Name	Definition
RECE	Received	The instruction/request has been received.
COMP	Completed	The request has been completed.
PEND	Pending	The processing of the request is pending.

21.4.6.1.1.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary code to express the processing status of the standing instruction cancellation request.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification13](#)" on page 693 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		693
	SchemeName <SchmeNm>	[0..1]	Text		693
	Issuer <Issr>	[1..1]	Text		693

21.4.6.1.2 AdditionalInformation <AddtlInf>

Presence: [0..1]

Definition: Additional information about the status.

Datatype: "Max350Text" on page 853

21.4.6.2 RejectedStatus <RjctdSts>

Presence: [1..1]

Definition: Provides information about the rejection status.

RejectedStatus <RjctdSts> contains the following **CorporateActionStandingInstructionCancellationRejectionStatus1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reason <Rsn>	[1..*]			628
{Or	Code <Cd>	[1..1]	CodeSet		629
Or}	Proprietary <Prtry>	[1..1]	±		629
	AdditionalInformation <AddtlInf>	[0..1]	Text		629

21.4.6.2.1 Reason <Rsn>

Presence: [1..*]

Definition: The rejection reason.

Reason <Rsn> contains one of the following **RejectionReason10FormatChoice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		629
Or}	Proprietary <Prtry>	[1..1]	±		629

21.4.6.2.1.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the reason of a rejection of a standing instruction cancellation request.

Datatype: "RejectionReason10Code" on page 835

CodeName	Name	Definition
FAIL	FailedValidation	The validation of the advice/instruction/request failed.

21.4.6.2.1.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary code to express the reason of a rejection of a standing instruction cancellation request.

Proprietary <Prtry> contains the following elements (see "GenericIdentification13" on page 693 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		693
	SchemeName <SchmeNm>	[0..1]	Text		693
	Issuer <Issr>	[1..1]	Text		693

21.4.6.2.2 AdditionalInformation <AddtlInf>

Presence: [0..1]

Definition: Additional information about the status.

Datatype: "Max350Text" on page 853

22 **seev.028.001.01**

AgentCAdesactivationInstructionV01

22.1 **MessageDefinition Functionality**

Scope

This message is sent by an issuer (or its agent) to the CSD to instruct the deactivation of a corporate action event or to deactivate one or more specific options of the corporate action. As of the deactivation date, the CSD is allowed to reject any related election instruction received from clients.

Usage

Deactivation refers only to the empowerment of the CSD to reject further elections. To withdraw an event, the Agent Corporate Action Notification Advice message must be used.

This message can be used to deactivate all the options of a corporate action event, in which case, no option should be mentioned in the message.

This message can also be used to deactivate one or more specific corporate action options, in which case, the option type and option number must be present.

This message can only be used when the deactivation date is after the market deadline. Before the market deadline, an updated notification advice message must be sent with option availability status: inactive or cancelled.

An un-effected deactivation (pending deactivation date/time) can be cancelled with an Agent Corporate Action Deactivation Cancellation Request.

The amendment of a deactivation is effected by cancel/replace mechanism.

Outline

The AgentCAdesactivationInstructionV01 MessageDefinition is composed of 3 MessageBuildingBlocks:

A. Identification

Identification assigned by the Sender to unambiguously identify the instruction.

B. CorporateActionGeneralInformation

General information about the corporate action event.

C. DeactivationDetails

Information related to the deactivation of a CA event.

22.2 Structure

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	Message root <Document> <AgtCADAdeactvtnInstr>	[1..1]			
	Identification <Id>	[1..1]	±		631
	CorporateActionGeneralInformation <CorpActnGnllnf>	[1..1]	±	C2	632
	DeactivationDetails <DeactvtnDtls>	[1..1]			632
	DeactivationDateAndTime <DeactvtnDtAndTm>	[1..1]	DateTime		633
	OptionDetails <OptnDtls>	[0..*]			633
	OptionType <OptnTp>	[1..1]			633
{Or	Code <Cd>	[1..1]	CodeSet		634
Or}	Proprietary <Prtry>	[1..1]	±		635
	OptionNumber <OptnNb>	[1..1]	Text		635

22.3 Constraints

C1 AnyBIC

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered by the ISO 9362 Registration Authority in the BIC directory, and consists of eight (8) or eleven (11) contiguous characters.

C2 CorporateActionIdentificationRule

At least one element in the list (IssuerCorporateActionReference, OfficialCorporateActionReference, CorporateActionProcessingIdentifier) must be present. They may all be may be present.

C3 Country

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

22.4 Message Building Blocks

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

22.4.1 Identification <Id>

Presence: [1..1]

Definition: Identification assigned by the Sender to unambiguously identify the instruction.

Identification <Id> contains the following elements (see "DocumentIdentification8" on page 690 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		690
	CreationDateTime <CreDtTm>	[0..1]	DateTime		690

22.4.2 CorporateActionGeneralInformation <CorpActnGnlInf>

Presence: [1..1]

Definition: General information about the corporate action event.

Impacted by: C2 "CorporateActionIdentificationRule"

CorporateActionGeneralInformation <CorpActnGnlInf> contains the following elements (see "CorporateActionInformation1" on page 695 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AgentIdentification <AgtId>	[1..1]	±		695
	IssuerCorporateActionIdentification <IssrCorpActnId>	[0..1]	Text		696
	CorporateActionProcessingIdentification <CorpActnPrctlId>	[0..1]	Text		696
	EventType <EvtTp>	[1..1]			696
{Or	Code <Cd>	[1..1]	CodeSet		696
Or}	Proprietary <Prtry>	[1..1]	±		702
	MandatoryVoluntaryEventType <MndtryVlntryEvtTp>	[1..1]			702
{Or	Code <Cd>	[1..1]	CodeSet		702
Or}	Proprietary <Prtry>	[1..1]	±		703
	EventProcessingType <EvtPrctgTp>	[0..1]			703
{Or	Code <Cd>	[1..1]	CodeSet		703
Or}	Proprietary <Prtry>	[1..1]	±		704
	UnderlyingSecurity <UndrlygScty>	[1..1]	±		704

Constraints

- **CorporateActionIdentificationRule**

At least one element in the list (IssuerCorporateActionReference, OfficialCorporateActionReference, CorporateActionProcessingIdentifier) must be present. They may all be may be present.

22.4.3 DeactivationDetails <DeactvtnDtls>

Presence: [1..1]

Definition: Information related to the deactivation of a CA event.

DeactivationDetails <DeactvtnDtls> contains the following **CorporateActionDeactivationInstruction1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	DeactivationDateAndTime <DeactvtnDtAndTm>	[1..1]	DateTime		633
	OptionDetails <OptnDtls>	[0..*]			633
	OptionType <OptnTp>	[1..1]			633
{Or	Code <Cd>	[1..1]	CodeSet		634
Or}	Proprietary <Prtry>	[1..1]	±		635
	OptionNumber <OptnNb>	[1..1]	Text		635

22.4.3.1 DeactivationDateAndTime <DeactvtnDtAndTm>

Presence: [1..1]

Definition: Date and time at which the CSD must deactivate the corporate action event or the option.

Datatype: "ISODatetime" on page 846

22.4.3.2 OptionDetails <OptnDtls>

Presence: [0..*]

Definition: Provides information about the option, when the deactivation instruction applies at the level of a corporate action option.

OptionDetails <OptnDtls> contains the following **CorporateActionOption2** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	OptionType <OptnTp>	[1..1]			633
{Or	Code <Cd>	[1..1]	CodeSet		634
Or}	Proprietary <Prtry>	[1..1]	±		635
	OptionNumber <OptnNb>	[1..1]	Text		635

22.4.3.2.1 OptionType <OptnTp>

Presence: [1..1]

Definition: Specifies the corporate action options available to the account owner.

OptionType <OptnTp> contains one of the following **CorporateActionOption1FormatChoice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		634
Or}	Proprietary <Prtry>	[1..1]	±		635

22.4.3.2.1.1 Code <Cd>*Presence:* [1..1]*Definition:* Option type expressed as a code.*Datatype:* "CorporateActionOptionType1Code" on page 821

CodeName	Name	Definition
BSPL	BonusSharePlan	Receive shares issued from the Share Premium Reserve of the company and considered as a capital distribution rather than a disbursement of income, with different tax implications (typically found in Australia).
BUYA	BuyUp	Buy additional securities to round up position.
CASE	CashAndSecurities	Election choice includes a combination of both cash and securities.
CASH	Cash	Distribution of cash.
CEXC	ConsentAndExchange	Vote to consent to change the terms of the securities agreement and to exchange securities.
CTEN	ConsentAndTender	Vote to consent to change the terms of the securities agreement and to tender securities for cash.
CONN	ConsentDenied	Vote not to approve the event or proposal.
CONY	ConsentGranted	Vote to approve the event or proposal.
EXER	Exercise	Exercise intermediate securities or warrants.
LAPS	Lapse	Allow event or entitled security to expire.
MPUT	Retain	An option that allows a holder to elect to retain their holding, eg, a puttable bond.
NOAC	NoAction	Option for the account owner not to take part in the event.
OFFR	ProposedRate	In a remarketing of variable notes, the margin that shareholders can propose in respect of the next interest period.
OVER	Oversubscribe	Subscribe to more equities than underlying securities position allows.
SECU	SecuritiesOption	Distribution of securities to holders.
SLLE	SellEntitlement	Sell the intermediate securities.
SPLI	SplitInstruction	Option to give a split instruction, eg, a split voting instruction on a meeting.
NOQU	NonQualifiedInvestor	The account owner is a Non-Qualified Investor.
OTHR	Other	Generic corporate action option to be used in case that no other specific code is appropriate.

CodeName	Name	Definition
QINV	QualifiedInvestor	The account owner is a Qualified Investor.

22.4.3.2.1.2 Proprietary <Prtry>*Presence:* [1..1]*Definition:* Option type expressed as a proprietary code.**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification13](#)" on page 693 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		693
	SchemeName <SchmeNm>	[0..1]	Text		693
	Issuer <Issr>	[1..1]	Text		693

22.4.3.2.2 OptionNumber <OptnNb>*Presence:* [1..1]*Definition:* Number identifying the available corporate action options.*Datatype:* "[Exact3NumericText](#)" on page 852

23 **seev.029.001.01**

AgentCADeactivationCancellationRequestV01

23.1 **MessageDefinition Functionality**

Scope

This message is sent by an issuer (or its agent) to the CSD to request the cancellation of a previously sent corporate action deactivation instruction.

Usage

This message is used to request the cancellation of a pending deactivation instruction. The cancellation must apply to exactly the same level as the original instruction, ie to the entire CA event or to an option as per the original instruction.

This message must be sent before the deactivation execution date.

In case a corporate action or option is already deactivated, this message can not be used to reactivate the corporate action entire event or option; the notification advice message must be used to reactivate a corporate action or option.

Outline

The AgentCADeactivationCancellationRequestV01 MessageDefinition is composed of 4 MessageBuildingBlocks:

A. Identification

Identification assigned by the Sender to unambiguously identify the cancellation request.

B. AgentCADeactivationInstructionIdentification

Identification of the Agent CA Deactivation Instruction to be cancelled.

C. CorporateActionGeneralInformation

General information about the corporate action event.

D. DeactivationInstructionDetails

Details of the deactivation instruction to be cancelled.

23.2 Structure

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	Message root <Document> <AgtCADeactvtnCxlReq>	[1..1]			
	Identification <Id>	[1..1]	±		637
	AgentCADeactivationInstructionIdentification <AgtCADeactvtnInstrId>	[1..1]	±		638
	CorporateActionGeneralInformation <CorpActnGnllnf>	[1..1]	±	C2	638
	DeactivationInstructionDetails <DeactvtnInstrDtls>	[0..1]			639
	DeactivationDateAndTime <DeactvtnDtAndTm>	[1..1]	DateTime		640
	OptionDetails <OptnDtls>	[0..*]			640
	OptionType <OptnTp>	[1..1]			640
{Or	Code <Cd>	[1..1]	CodeSet		640
Or}	Proprietary <Prtry>	[1..1]	±		641
	OptionNumber <OptnNb>	[1..1]	Text		642

23.3 Constraints

C1 AnyBIC

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered by the ISO 9362 Registration Authority in the BIC directory, and consists of eight (8) or eleven (11) contiguous characters.

C2 CorporateActionIdentificationRule

At least one element in the list (IssuerCorporateActionReference, OfficialCorporateActionReference, CorporateActionProcessingIdentifier) must be present. They may all be may be present.

C3 Country

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

23.4 Message Building Blocks

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

23.4.1 Identification <Id>

Presence: [1..1]

Definition: Identification assigned by the Sender to unambiguously identify the cancellation request.

Identification <Id> contains the following elements (see "[DocumentIdentification8](#)" on page 690 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		690
	CreationDateTime <CreDtTm>	[0..1]	DateTime		690

23.4.2 AgentCADeactivationInstructionIdentification <AgtCADeactvtnInstrId>

Presence: [1..1]

Definition: Identification of the Agent CA Deactivation Instruction to be cancelled.

AgentCADeactivationInstructionIdentification <AgtCADeactvtnInstrId> contains the following elements (see "[DocumentIdentification8](#)" on page 690 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		690
	CreationDateTime <CreDtTm>	[0..1]	DateTime		690

23.4.3 CorporateActionGeneralInformation <CorpActnGnlInf>

Presence: [1..1]

Definition: General information about the corporate action event.

Impacted by: [C2 "CorporateActionIdentificationRule"](#)

CorporateActionGeneralInformation <CorpActnGnlInf> contains the following elements (see "CorporateActionInformation1" on page 695 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AgentIdentification <AgtId>	[1..1]	±		695
	IssuerCorporateActionIdentification <IssrCorpActnId>	[0..1]	Text		696
	CorporateActionProcessingIdentification <CorpActnPrctlId>	[0..1]	Text		696
	EventType <EvtTp>	[1..1]			696
{Or	Code <Cd>	[1..1]	CodeSet		696
Or}	Proprietary <Prtry>	[1..1]	±		702
	MandatoryVoluntaryEventType <MndtryVlntryEvtTp>	[1..1]			702
{Or	Code <Cd>	[1..1]	CodeSet		702
Or}	Proprietary <Prtry>	[1..1]	±		703
	EventProcessingType <EvtPrctgTp>	[0..1]			703
{Or	Code <Cd>	[1..1]	CodeSet		703
Or}	Proprietary <Prtry>	[1..1]	±		704
	UnderlyingSecurity <UndrlygScty>	[1..1]	±		704

Constraints

- **CorporateActionIdentificationRule**

At least one element in the list (IssuerCorporateActionReference, OfficialCorporateActionReference, CorporateActionProcessingIdentifier) must be present. They may all be may be present.

23.4.4 DeactivationInstructionDetails <DeactvtlnInstrDtls>

Presence: [0..1]

Definition: Details of the deactivation instruction to be cancelled.

DeactivationInstructionDetails <DeactvtlnInstrDtls> contains the following **CorporateActionDeactivationInstruction1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	DeactivationDateAndTime <DeactvtnDtAndTm>	[1..1]	DateTime		640
	OptionDetails <OptnDtls>	[0..*]			640
	OptionType <OptnTp>	[1..1]			640
{Or	Code <Cd>	[1..1]	CodeSet		640
Or}	Proprietary <Prtry>	[1..1]	±		641
	OptionNumber <OptnNb>	[1..1]	Text		642

23.4.4.1 DeactivationDateAndTime <DeactvtnDtAndTm>*Presence:* [1..1]*Definition:* Date and time at which the CSD must deactivate the corporate action event or the option.*Datatype:* "ISODatetime" on page 846**23.4.4.2 OptionDetails <OptnDtls>***Presence:* [0..*]*Definition:* Provides information about the option, when the deactivation instruction applies at the level of a corporate action option.**OptionDetails <OptnDtls>** contains the following **CorporateActionOption2** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	OptionType <OptnTp>	[1..1]			640
{Or	Code <Cd>	[1..1]	CodeSet		640
Or}	Proprietary <Prtry>	[1..1]	±		641
	OptionNumber <OptnNb>	[1..1]	Text		642

23.4.4.2.1 OptionType <OptnTp>*Presence:* [1..1]*Definition:* Specifies the corporate action options available to the account owner.**OptionType <OptnTp>** contains one of the following **CorporateActionOption1FormatChoice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		640
Or}	Proprietary <Prtry>	[1..1]	±		641

23.4.4.2.1.1 Code <Cd>*Presence:* [1..1]*Definition:* Option type expressed as a code.*Datatype:* "CorporateActionOptionType1Code" on page 821

CodeName	Name	Definition
BSPL	BonusSharePlan	Receive shares issued from the Share Premium Reserve of the company and considered as a capital distribution rather than a disbursement of income, with different tax implications (typically found in Australia).
BUYA	BuyUp	Buy additional securities to round up position.
CASE	CashAndSecurities	Election choice includes a combination of both cash and securities.

CodeName	Name	Definition
CASH	Cash	Distribution of cash.
CEXC	ConsentAndExchange	Vote to consent to change the terms of the securities agreement and to exchange securities.
CTEN	ConsentAndTender	Vote to consent to change the terms of the securities agreement and to tender securities for cash.
CONN	ConsentDenied	Vote not to approve the event or proposal.
CONY	ConsentGranted	Vote to approve the event or proposal.
EXER	Exercise	Exercise intermediate securities or warrants.
LAPS	Lapse	Allow event or entitled security to expire.
MPUT	Retain	An option that allows a holder to elect to retain their holding, eg, a putable bond.
NOAC	NoAction	Option for the account owner not to take part in the event.
OFFR	ProposedRate	In a remarketing of variable notes, the margin that shareholders can propose in respect of the next interest period.
OVER	Oversubscribe	Subscribe to more equities than underlying securities position allows.
SECU	SecuritiesOption	Distribution of securities to holders.
SLLE	SellEntitlement	Sell the intermediate securities.
SPLI	SplitInstruction	Option to give a split instruction, eg, a split voting instruction on a meeting.
NOQU	NonQualifiedInvestor	The account owner is a Non-Qualified Investor.
OTHR	Other	Generic corporate action option to be used in case that no other specific code is appropriate.
QINV	QualifiedInvestor	The account owner is a Qualified Investor.

23.4.4.2.1.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Option type expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification13](#)" on page 693 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		693
	SchemeName <SchmeNm>	[0..1]	Text		693
	Issuer <Issr>	[1..1]	Text		693

23.4.4.2.2 OptionNumber <OptnNb>

Presence: [1..1]

Definition: Number identifying the available corporate action options.

Datatype: "Exact3NumericText" on page 852

24 **seev.030.001.01**

AgentCADeactivationStatusAdviceV01

24.1 **MessageDefinition Functionality**

Scope

This message is sent by a CSD to an issuer (or its agent) to report the status, or a change in status, of a corporate action deactivation instruction or the status of a deactivation cancellation request.

Usage

This message is used to provide a status on the deactivation instruction, especially to confirm the deactivation of a Corporate Action event or option.

Outline

The AgentCADeactivationStatusAdviceV01 MessageDefinition is composed of 6 MessageBuildingBlocks:

A. Identification

Identification assigned by the Sender to unambiguously identify the status advice.

B. AgentCADeactivationInstructionIdentification

Identification of the linked Agent CA Deactivation Instruction for which a status is given.

C. AgentCADeactivationCancellationRequestIdentification

Identification of the linked Agent CA Deactivation Cancellation Request for which a status is given.

D. CorporateActionGeneralInformation

General information about the corporate action event.

E. DeactivationInstructionStatus

Status of the deactivation instruction sent by the issuer (agent).

F. DeactivationCancellationRequestStatus

Status of the deactivation cancellation request sent by the issuer (agent).

24.2 Structure

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	Message root <Document> <AgtCADeactvtnStsAdvc>	[1..1]		C4, C5	
	Identification <Id>	[1..1]	±		645
{Or	AgentCADeactivationInstructionIdentification <AgtCADeactvtnInstrId>	[1..1]	±		646
Or}	AgentCADeactivationCancellationRequestIdentification <AgtCADeactvtnCxlReqId>	[1..1]	±		646
	CorporateActionGeneralInformation <CorpActnGnllnf>	[1..1]	±	C2	646
{Or	DeactivationInstructionStatus <DeactvtnInstrSts>	[1..*]			647
	OptionType <OptnTp>	[0..1]			648
{Or	Code <Cd>	[1..1]	CodeSet		648
Or}	Proprietary <Prtry>	[1..1]	±		649
	OptionNumber <OptnNb>	[0..1]	Text		650
{Or	ProcessedStatus <PrcdSts>	[1..1]			650
	Status <Sts>	[1..1]			650
{Or	Code <Cd>	[1..1]	CodeSet		650
Or}	Proprietary <Prtry>	[1..1]	±		651
	AdditionalInformation <AddtlInf>	[0..1]	Text		651
Or}	RejectedStatus <RjctdSts>	[1..1]			651
	Reason <Rsn>	[1..*]			651
{Or	Code <Cd>	[1..1]	CodeSet		652
Or}	Proprietary <Prtry>	[1..1]	±		653
	AdditionalInformation <AddtlInf>	[0..1]	Text		653
Or}	DeactivationCancellationRequestStatus <DeactvtnCxlReqSts>	[1..1]			653
{Or	ProcessedStatus <PrcdSts>	[1..1]			654
	Status <Sts>	[1..1]			654
{Or	Code <Cd>	[1..1]	CodeSet		654
Or}	Proprietary <Prtry>	[1..1]	±		655
	AdditionalInformation <AddtlInf>	[0..1]	Text		655
Or}	RejectedStatus <RjctdSts>	[1..1]			655
	Reason <Rsn>	[1..*]			655
{Or	Code <Cd>	[1..1]	CodeSet		656

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
Or}	Proprietary <Prtry>	[1..1]	±		657
	AdditionalInformation <AddtlInf>	[0..1]	Text		657

24.3 Constraints

C1 AnyBIC

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered by the ISO 9362 Registration Authority in the BIC directory, and consists of eight (8) or eleven (11) contiguous characters.

C2 CorporateActionIdentificationRule

At least one element in the list (IssuerCorporateActionReference, OfficialCorporateActionReference, CorporateActionProcessingIdentifier) must be present. They may all be may be present.

C3 Country

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

C4 DeactivationCancellationRequestStatusRule

If AgentCADeactivationCancellationRequestIdentification is present, then DeactivationCancellationRequestStatus must be present.

This constraint is defined at the MessageDefinition level.

C5 DeactivationInstructionStatusRule

If AgentCADeactivationInstructionIdentification is present, then at least one occurrence of DeactivationInstructionStatus must be present.

This constraint is defined at the MessageDefinition level.

24.4 Message Building Blocks

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

24.4.1 Identification <Id>

Presence: [1..1]

Definition: Identification assigned by the Sender to unambiguously identify the status advice.

Identification <Id> contains the following elements (see "[DocumentIdentification8](#)" on page 690 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		690
	CreationDateTime <CreDtTm>	[0..1]	DateTime		690

24.4.2 AgentCADeactivationInstructionIdentification <AgtCADeactvtnInstrId>

Presence: [1..1]

Warning Either AgentCADeactivationInstructionIdentification or AgentCADeactivationCancellationRequestIdentification must be present.

Definition: Identification of the linked Agent CA Deactivation Instruction for which a status is given.

AgentCADeactivationInstructionIdentification <AgtCADeactvtnInstrId> contains the following elements (see "DocumentIdentification8" on page 690 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		690
	CreationDateTime <CreDtTm>	[0..1]	DateTime		690

24.4.3 AgentCADeactivationCancellationRequestIdentification <AgtCADeactvtnCxlReqId>

Presence: [1..1]

Warning Either AgentCADeactivationInstructionIdentification or AgentCADeactivationCancellationRequestIdentification must be present.

Definition: Identification of the linked Agent CA Deactivation Cancellation Request for which a status is given.

AgentCADeactivationCancellationRequestIdentification <AgtCADeactvtnCxlReqId> contains the following elements (see "DocumentIdentification8" on page 690 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		690
	CreationDateTime <CreDtTm>	[0..1]	DateTime		690

24.4.4 CorporateActionGeneralInformation <CorpActnGnlInf>

Presence: [1..1]

Definition: General information about the corporate action event.

Impacted by: C2 "CorporateActionIdentificationRule"

CorporateActionGeneralInformation <CorpActnGnllnf> contains the following elements (see "CorporateActionInformation1" on page 695 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AgentIdentification <AgtId>	[1..1]	±		695
	IssuerCorporateActionIdentification <IssrCorpActnId>	[0..1]	Text		696
	CorporateActionProcessingIdentification <CorpActnPrvgId>	[0..1]	Text		696
	EventType <EvtTp>	[1..1]			696
{Or	Code <Cd>	[1..1]	CodeSet		696
Or}	Proprietary <Prtry>	[1..1]	±		702
	MandatoryVoluntaryEventType <MndtryVlntryEvtTp>	[1..1]			702
{Or	Code <Cd>	[1..1]	CodeSet		702
Or}	Proprietary <Prtry>	[1..1]	±		703
	EventProcessingType <EvtPrcgTp>	[0..1]			703
{Or	Code <Cd>	[1..1]	CodeSet		703
Or}	Proprietary <Prtry>	[1..1]	±		704
	UnderlyingSecurity <UndrlygScty>	[1..1]	±		704

Constraints

- **CorporateActionIdentificationRule**

At least one element in the list (IssuerCorporateActionReference, OfficialCorporateActionReference, CorporateActionProcessingIdentifier) must be present. They may all be may be present.

24.4.5 DeactivationInstructionStatus <DeactvtnInstrSts>

Presence: [1..*]

Warning Either DeactivationInstructionStatus or DeactivationCancellationRequestStatus must be present.

Definition: Status of the deactivation instruction sent by the issuer (agent).

DeactivationInstructionStatus <DeactvtlnstrSts> contains the following
CorporateActionDeactivationInstructionStatus1 elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	OptionType <OptnTp>	[0..1]			648
{Or	Code <Cd>	[1..1]	CodeSet		648
Or}	Proprietary <Prtry>	[1..1]	±		649
	OptionNumber <OptnNb>	[0..1]	Text		650
{Or	ProcessedStatus <PrcdSts>	[1..1]			650
	Status <Sts>	[1..1]			650
{Or	Code <Cd>	[1..1]	CodeSet		650
Or}	Proprietary <Prtry>	[1..1]	±		651
	AdditionalInformation <AddtlInf>	[0..1]	Text		651
Or}	RejectedStatus <RjctdSts>	[1..1]			651
	Reason <Rsn>	[1..*]			651
{Or	Code <Cd>	[1..1]	CodeSet		652
Or}	Proprietary <Prtry>	[1..1]	±		653
	AdditionalInformation <AddtlInf>	[0..1]	Text		653

24.4.5.1 OptionType <OptnTp>

Presence: [0..1]

Definition: Specifies the corporate action options available to the account owner.

OptionType <OptnTp> contains one of the following **CorporateActionOption1FormatChoice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		648
Or}	Proprietary <Prtry>	[1..1]	±		649

24.4.5.1.1 Code <Cd>

Presence: [1..1]

Definition: Option type expressed as a code.

Datatype: "CorporateActionOptionType1Code" on page 821

CodeName	Name	Definition
BSPL	BonusSharePlan	Receive shares issued from the Share Premium Reserve of the company and considered as a capital distribution rather than a disbursement of income,

CodeName	Name	Definition
		with different tax implications (typically found in Australia).
BUYA	BuyUp	Buy additional securities to round up position.
CASE	CashAndSecurities	Election choice includes a combination of both cash and securities.
CASH	Cash	Distribution of cash.
CEXC	ConsentAndExchange	Vote to consent to change the terms of the securities agreement and to exchange securities.
CTEN	ConsentAndTender	Vote to consent to change the terms of the securities agreement and to tender securities for cash.
CONN	ConsentDenied	Vote not to approve the event or proposal.
CONY	ConsentGranted	Vote to approve the event or proposal.
EXER	Exercise	Exercise intermediate securities or warrants.
LAPS	Lapse	Allow event or entitled security to expire.
MPUT	Retain	An option that allows a holder to elect to retain their holding, eg, a puttable bond.
NOAC	NoAction	Option for the account owner not to take part in the event.
OFFR	ProposedRate	In a remarketing of variable notes, the margin that shareholders can propose in respect of the next interest period.
OVER	Oversubscribe	Subscribe to more equities than underlying securities position allows.
SECU	SecuritiesOption	Distribution of securities to holders.
SLLE	SellEntitlement	Sell the intermediate securities.
SPLI	SplitInstruction	Option to give a split instruction, eg, a split voting instruction on a meeting.
NOQU	NonQualifiedInvestor	The account owner is a Non-Qualified Investor.
OTHR	Other	Generic corporate action option to be used in case that no other specific code is appropriate.
QINV	QualifiedInvestor	The account owner is a Qualified Investor.

24.4.5.1.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Option type expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification13](#)" on page 693 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		693
	SchemeName <SchmeNm>	[0..1]	Text		693
	Issuer <Issr>	[1..1]	Text		693

24.4.5.2 OptionNumber <OptnNb>

Presence: [0..1]

Definition: Number identifying the available corporate action options.

Datatype: "[Exact3NumericText](#)" on page 852

24.4.5.3 ProcessedStatus <PrcdSts>

Presence: [1..1]

Warning Either ProcessedStatus or RejectedStatus must be present.

Definition: Provides information about the processing status of the instruction.

ProcessedStatus <PrcdSts> contains the following **CorporateActionDeactivationInstructionProcessingStatus1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Status <Sts>	[1..1]			650
{Or	Code <Cd>	[1..1]	CodeSet		650
Or}	Proprietary <Prtry>	[1..1]	±		651
	AdditionalInformation <AddtlInf>	[0..1]	Text		651

24.4.5.3.1 Status <Sts>

Presence: [1..1]

Definition: The processing status.

Status <Sts> contains one of the following **ProcessedStatus6FormatChoice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		650
Or}	Proprietary <Prtry>	[1..1]	±		651

24.4.5.3.1.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the processing status of a deactivation instruction.

Datatype: "ProcessedStatus6Code" on page 833

CodeName	Name	Definition
RECE	Received	The instruction/request has been received.
DEAC	WaitingDeactivationDate	Awaiting the deactivation date.
COMP	Completed	The request has been completed.

24.4.5.3.1.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary code to express the processing status of a deactivation instruction.

Proprietary <Prtry> contains the following elements (see "GenericIdentification13" on page 693 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		693
	SchemeName <SchmeNm>	[0..1]	Text		693
	Issuer <Issr>	[1..1]	Text		693

24.4.5.3.2 AdditionalInformation <AddtlInf>

Presence: [0..1]

Definition: Additional information about the status.

Datatype: "Max350Text" on page 853

24.4.5.4 RejectedStatus <RjctdSts>

Presence: [1..1]

Warning Either ProcessedStatus or RejectedStatus must be present.

Definition: Provides information about the rejection status.

RejectedStatus <RjctdSts> contains the following **CorporateActionDeactivationInstructionRejectionStatus1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reason <Rsn>	[1..*]			651
{Or	Code <Cd>	[1..1]	CodeSet		652
Or}	Proprietary <Prtry>	[1..1]	±		653
	AdditionalInformation <AddtlInf>	[0..1]	Text		653

24.4.5.4.1 Reason <Rsn>

Presence: [1..*]

Definition: The rejection reason.

Reason <Rsn> contains one of the following **RejectionReason12FormatChoice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		652
Or}	Proprietary <Prtry>	[1..1]	±		653

24.4.5.4.1.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the reason of a rejection of a deactivation instruction.

Datatype: "RejectionReason12Code" on page 836

CodeName	Name	Definition
DEAC	EventAlreadyDeactivated	Rejected as the deactivation of the event is already completed.
FAIL	FailedValidation	The validation of the advice/instruction/request failed.
SAME	SameCAIdentification	An Agent Corporate Action Deactivation Instruction with the same identification already exists.
REFI	InvalidCAIdentification	Invalid corporate action identification supplied.
AGIN	InvalidAgent	Invalid agent identification supplied.
MAIN	NotMainAgent	Agent Identification specified does not correspond to the main Agent of the relating event.
OPTI	InvalidOption	Invalid combination of CA option code and CA option number.
PEDA	PendingDeactivation	The specified Corporate Action option already has a pending deactivation instruction.
NORO	NoRemainingOption	Specified Corporate Action has no remaining options to disable.
INET	InvalidEventType	CA Event Type does not correspond with the relating Corporate Action.
INUS	InvalidUnderlyingSecurity	Underlying Security Identification does not correspond with the Corporate Action Reference supplied.
INPT	InvalidEventProcessingType	Event Processing Type does not correspond with the relating Corporate Action.
INMV	InvalidMandatoryVoluntaryEventType	Mandatory/Voluntary Event Type does not correspond with the relating Corporate Action.
SAID	SamelIdentification	Several message with the same identification.
MICA	MismatchedCAIdentification	Issuer CA Identification does not correspond with the Corporate Action Identification supplied.

CodeName	Name	Definition
NOAP	NotApplicable	This instruction type is not applicable for mandatory events.

24.4.5.4.1.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary code to express the reason of a rejection of a deactivation instruction.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification13](#)" on page 693 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		693
	SchemeName <SchmeNm>	[0..1]	Text		693
	Issuer <Issr>	[1..1]	Text		693

24.4.5.4.2 AdditionalInformation <AddtlInf>

Presence: [0..1]

Definition: Additional information about the status.

Datatype: "[Max350Text](#)" on page 853

24.4.6 DeactivationCancellationRequestStatus <DeactvtnCxlReqSts>

Presence: [1..1]

Warning Either DeactivationInstructionStatus or DeactivationCancellationRequestStatus must be present.

Definition: Status of the deactivation cancellation request sent by the issuer (agent).

DeactivationCancellationRequestStatus <DeactvtnCxlReqSts> contains one of the following **CorporateActionDeactivationCancellationStatus1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	ProcessedStatus <PrcdSts>	[1..1]			654
	Status <Sts>	[1..1]			654
{Or	Code <Cd>	[1..1]	CodeSet		654
Or}	Proprietary <Prtry>	[1..1]	±		655
	AdditionalInformation <AddtlInf>	[0..1]	Text		655
Or}	RejectedStatus <RjctdSts>	[1..1]			655
	Reason <Rsn>	[1..*]			655
{Or	Code <Cd>	[1..1]	CodeSet		656
Or}	Proprietary <Prtry>	[1..1]	±		657
	AdditionalInformation <AddtlInf>	[0..1]	Text		657

24.4.6.1 ProcessedStatus <PrcdSts>

Presence: [1..1]

Definition: Provides information about the processing status of the cancellation request.

ProcessedStatus <PrcdSts> contains the following **CorporateActionDeactivationCancellationProcessingStatus1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Status <Sts>	[1..1]			654
{Or	Code <Cd>	[1..1]	CodeSet		654
Or}	Proprietary <Prtry>	[1..1]	±		655
	AdditionalInformation <AddtlInf>	[0..1]	Text		655

24.4.6.1.1 Status <Sts>

Presence: [1..1]

Definition: The processing status.

Status <Sts> contains one of the following **ProcessedStatus2FormatChoice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		654
Or}	Proprietary <Prtry>	[1..1]	±		655

24.4.6.1.1.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the processing status of a cancellation request.

Datatype: "ProcessedStatus2Code" on page 832

CodeName	Name	Definition
RECE	Received	The instruction/request has been received.
COMP	Completed	The request has been completed.

24.4.6.1.1.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary code to express the processing status of a cancellation request.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification13](#)" on page 693 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		693
	SchemeName <SchmeNm>	[0..1]	Text		693
	Issuer <Issr>	[1..1]	Text		693

24.4.6.1.2 AdditionalInformation <AddtlInf>

Presence: [0..1]

Definition: Additional information about the status.

Datatype: "Max350Text" on page 853

24.4.6.2 RejectedStatus <RjctdSts>

Presence: [1..1]

Definition: Provides information about the rejection status.

RejectedStatus <RjctdSts> contains the following
CorporateActionDeactivationCancellationRejectionStatus1 elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reason <Rsn>	[1..*]			655
{Or	Code <Cd>	[1..1]	CodeSet		656
Or}	Proprietary <Prtry>	[1..1]	±		657
	AdditionalInformation <AddtlInf>	[0..1]	Text		657

24.4.6.2.1 Reason <Rsn>

Presence: [1..*]

Definition: The rejection reason.

Reason <Rsn> contains one of the following **RejectionReason7FormatChoice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		656
Or}	Proprietary <Prtry>	[1..1]	±		657

24.4.6.2.1.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the reason of a rejection cancellation request.

Datatype: "RejectionReason7Code" on page 839

CodeName	Name	Definition
DEAC	EventAlreadyDeactivated	Rejected as the deactivation of the event is already completed.
FAIL	FailedValidation	The validation of the advice/instruction/request failed.
PDEA	NoPendingDeactivationInstruction	The cancellation request is rejected as there is no pending deactivation instruction.
INID	InvalidIdentification	Instruction contains an invalid message identification, identification is unknown.
REFI	InvalidCAIdentification	Invalid corporate action identification supplied.
AGIN	InvalidAgent	Invalid agent identification supplied.
SAID	SameIdentification	Several message with the same identification.
DEAO	OptionAlreadyDeactivated	Rejected as the deactivation of the option is already completed.
INET	InvalidEventType	CA Event Type does not correspond with the relating Corporate Action.
INUS	InvalidUnderlyingSecurity	Underlying Security Identification does not correspond with the Corporate Action Reference supplied.
INPT	InvalidEventProcessingType	Event Processing Type does not correspond with the relating Corporate Action.
INMV	InvalidMandatoryVoluntaryEventType	Mandatory/Voluntary Event Type does not correspond with the relating Corporate Action.
INDE	InvalidCorporateActionInformation	Details specified in the Corporate Action General Information block are inconsistent with those that were specified in the relating original message.
INDT	InvalidDetails	Message details specified in the instruction/request are inconsistent with those that were specified in the relating original message.

24.4.6.2.1.2 Proprietary <Prtry>*Presence:* [1..1]*Definition:* Proprietary code to express the reason of a rejection cancellation request.**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification13](#)" on page 693 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		693
	SchemeName <SchmeNm>	[0..1]	Text		693
	Issuer <Issr>	[1..1]	Text		693

24.4.6.2.2 AdditionalInformation <AddtlInf>*Presence:* [0..1]*Definition:* Additional information about the status.*Datatype:* "[Max350Text](#)" on page 853

25 Message Items Types

25.1 MessageComponents

25.1.1 Account

25.1.1.1 SecuritiesAccount6

Definition: Provides information about the securities account.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	SecurityIdentification <Sctyld>	[1..1]	±		658
	SecuritiesAccountIdentification <SctiesAcctld>	[1..1]	Text		658
	AccountOwnerIdentification <AcctOwnrld>	[0..1]	±		658
	SafekeepingPlace <SfkpgPlc>	[1..1]	±		659
	RegistrationDetails <RegnDtls>	[0..1]	Text		659

25.1.1.1.1 SecurityIdentification <Sctyld>

Presence: [1..1]

Definition: Identification of the financial instrument.

SecurityIdentification <Sctyld> contains the following elements (see "[SecurityIdentification7](#)" on page 791 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	ISIN <ISIN>	[1..1]	IdentifierSet		791
Or}	OtherIdentification <Othrld>	[1..1]			791
	Identification <Id>	[1..1]	Text		792
{Or	DomesticIdentificationSource <DmstldSrc>	[1..1]	CodeSet	C4	792
Or}	ProprietaryIdentificationSource <PrtryldSrc>	[1..1]	Text		792
	Description <Desc>	[0..1]	Text		792

25.1.1.1.2 SecuritiesAccountIdentification <SctiesAcctld>

Presence: [1..1]

Definition: Identification of the account where financial instruments are maintained.

Datatype: "[Max35Text](#)" on page 853

25.1.1.1.3 AccountOwnerIdentification <AcctOwnrld>

Presence: [0..1]

Definition: Identification of the party that owns the account.

AccountOwnerIdentification <AcctOwnrId> contains one of the following elements (see "PartyIdentification2Choice" on page 733 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	BICOrBEI <BICOrBEI/>	[1..1]	IdentifierSet	C2	733
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		733
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		734

25.1.1.1.4 SafekeepingPlace <SfkpgPlc>

Presence: [1..1]

Definition: Identification of the place of safekeeping.

SafekeepingPlace <SfkpgPlc> contains one of the following elements (see "PartyIdentification2Choice" on page 733 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	BICOrBEI <BICOrBEI/>	[1..1]	IdentifierSet	C2	733
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		733
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		734

25.1.1.1.5 RegistrationDetails <RegnDtls>

Presence: [0..1]

Definition: Provides information required for the registration of the security.

Datatype: "Max350Text" on page 853

25.1.1.2 SecuritiesAccount9

Definition: Provides information about the securities account.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CreditDebitIndicator <CdtDbtInd>	[1..1]	CodeSet		660
	AccountOwnerIdentification <AcctOwnrId>	[0..1]	±		660
	AccountIdentification <AcctId>	[1..1]	Text		660
	BalanceType <BalTp>	[0..1]			661
{Or	Code <Cd>	[1..1]	CodeSet		661
Or}	Proprietary <Prtry>	[1..1]	±		661
	OptionType <OptnTp>	[0..1]			662
{Or	Code <Cd>	[1..1]	CodeSet		662
Or}	Proprietary <Prtry>	[1..1]	±		663
	OptionNumber <OptnNb>	[0..1]	Text		663
	SecurityHoldingForm <SctyHldgForm>	[0..1]	CodeSet		663

25.1.1.2.1 CreditDebitIndicator <CdtDbtInd>

Presence: [1..1]

Definition: Specifies whether the value is a debit or credit.

Datatype: "CreditDebitCode" on page 822

CodeName	Name	Definition
CRDT	Credit	Operation is an increase.
DBIT	Debit	Operation is a decrease.

25.1.1.2.2 AccountOwnerIdentification <AcctOwnrId>

Presence: [0..1]

Definition: Identification of the party that owns the account.

AccountOwnerIdentification <AcctOwnrId> contains one of the following elements (see "PartyIdentification2Choice" on page 733 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	BICOrBEI <BICOrBEI>	[1..1]	IdentifierSet	C2	733
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		733
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		734

25.1.1.2.3 AccountIdentification <AcctId>

Presence: [1..1]

Definition: Identification of the account.

Datatype: "Max35Text" on page 853

25.1.1.2.4 BalanceType <BalTp>*Presence:* [0..1]*Definition:* Type of balance.

BalanceType <BalTp> contains one of the following **SecuritiesBalanceType10FormatChoice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		661
Or}	Proprietary <Prtry>	[1..1]	±		661

25.1.1.2.4.1 Code <Cd>*Presence:* [1..1]*Definition:* Standard code to specify the type of securities balance.*Datatype:* "SecuritiesBalanceType10Code" on page 843

CodeName	Name	Definition
AVLB	Available	Balance of money or securities that is at the disposal of the account owner on the date specified.
REST	Restricted	Balance of cash that may only be used under certain conditions. Balance type is used to block securities/ cash in the client account e.g., in relation to elections relating to certain corporate actions. The holding is under the direct control of the system paying agent, who us the only one who can transfer securities out of this balance type. Also known as the "escrow balance" or "sequestered balance".
RDIS	ReservedForDistribution	Balance of financial instruments that are reserved for the distribution of the proceeds.
RREM	ReservedForRemoval	Balance of securities which are reserved temporarily in the SPA account before they will effectively be exchanged.

25.1.1.2.4.2 Proprietary <Prtry>*Presence:* [1..1]*Definition:* Proprietary code to express the type of securities balance.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification13](#)" on page 693 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		693
	SchemeName <SchmeNm>	[0..1]	Text		693
	Issuer <Issr>	[1..1]	Text		693

25.1.1.2.5 OptionType <OptnTp>

Presence: [0..1]

Definition: Specifies the corporate action options available to the account owner.

OptionType <OptnTp> contains one of the following **CorporateActionOption1FormatChoice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		662
Or}	Proprietary <Prtry>	[1..1]	±		663

25.1.1.2.5.1 Code <Cd>

Presence: [1..1]

Definition: Option type expressed as a code.

Datatype: "[CorporateActionOptionType1Code](#)" on page 821

CodeName	Name	Definition
BSPL	BonusSharePlan	Receive shares issued from the Share Premium Reserve of the company and considered as a capital distribution rather than a disbursement of income, with different tax implications (typically found in Australia).
BUYA	BuyUp	Buy additional securities to round up position.
CASE	CashAndSecurities	Election choice includes a combination of both cash and securities.
CASH	Cash	Distribution of cash.
CEXC	ConsentAndExchange	Vote to consent to change the terms of the securities agreement and to exchange securities.
CTEN	ConsentAndTender	Vote to consent to change the terms of the securities agreement and to tender securities for cash.
CONN	ConsentDenied	Vote not to approve the event or proposal.
CONY	ConsentGranted	Vote to approve the event or proposal.

CodeName	Name	Definition
EXER	Exercise	Exercise intermediate securities or warrants.
LAPS	Lapse	Allow event or entitled security to expire.
MPUT	Retain	An option that allows a holder to elect to retain their holding, eg, a puttable bond.
NOAC	NoAction	Option for the account owner not to take part in the event.
OFFR	ProposedRate	In a remarketing of variable notes, the margin that shareholders can propose in respect of the next interest period.
OVER	Oversubscribe	Subscribe to more equities than underlying securities position allows.
SECU	SecuritiesOption	Distribution of securities to holders.
SLLE	SellEntitlement	Sell the intermediate securities.
SPLI	SplitInstruction	Option to give a split instruction, eg, a split voting instruction on a meeting.
NOQU	NonQualifiedInvestor	The account owner is a Non-Qualified Investor.
OTHR	Other	Generic corporate action option to be used in case that no other specific code is appropriate.
QINV	QualifiedInvestor	The account owner is a Qualified Investor.

25.1.1.2.5.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Option type expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification13](#)" on page 693 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		693
	SchemeName <SchmeNm>	[0..1]	Text		693
	Issuer <Issr>	[1..1]	Text		693

25.1.1.2.6 OptionNumber <OptnNb>

Presence: [0..1]

Definition: Number identifying the available corporate action options.

Datatype: "[Exact3NumericText](#)" on page 852

25.1.1.2.7 SecurityHoldingForm <SctyHldgForm>

Presence: [0..1]

Definition: Specifies the form of the financial instrument.

Datatype: "FormOfSecurity1Code" on page 826

CodeName	Name	Definition
BEAR	Bearer	The financial instrument does not specify any registration of ownership, and is payable to whomever possesses the certificate.
REGD	Registered	Shareholder name of the financial instrument appears in the corporation/ funds books.

25.1.1.3 SecuritiesAccount8

Definition: Provides information about the securities account.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CreditDebitIndicator <CdtDbtInd>	[1..1]	CodeSet		664
	AccountOwnerIdentification <AcctOwnrId>	[0..1]	±		665
	AccountIdentification <AcctId>	[1..1]	Text		665
	BalanceType <BalTp>	[0..1]			665
{Or	Code <Cd>	[1..1]	CodeSet		665
Or}	Proprietary <Prtry>	[1..1]	±		666
	OptionType <OptnTp>	[0..1]			666
{Or	Code <Cd>	[1..1]	CodeSet		666
Or}	Proprietary <Prtry>	[1..1]	±		667
	OptionNumber <OptnNb>	[0..1]	Text		668
	SecurityHoldingForm <SctyHldgForm>	[0..1]	CodeSet		668
	StampDuty <StmpDty>	[0..1]			668
{Or	Code <Cd>	[1..1]	CodeSet		668
Or}	Proprietary <Prtry>	[1..1]	±		669

25.1.1.3.1 CreditDebitIndicator <CdtDbtInd>

Presence: [1..1]

Definition: Specifies whether the value is a debit or credit.

Datatype: "CreditDebitCode" on page 822

CodeName	Name	Definition
CRDT	Credit	Operation is an increase.
DBIT	Debit	Operation is a decrease.

25.1.1.3.2 AccountOwnerIdentification <AcctOwnrId>*Presence:* [0..1]*Definition:* Identification of the party that owns the account.**AccountOwnerIdentification <AcctOwnrId>** contains one of the following elements (see "PartyIdentification2Choice" on page 733 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	BICOrBEI <BICOrBEI>	[1..1]	IdentifierSet	C2	733
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		733
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		734

25.1.1.3.3 AccountIdentification <AcctId>*Presence:* [1..1]*Definition:* Identification of the account where financial instruments are maintained.*Datatype:* "Max35Text" on page 853**25.1.1.3.4 BalanceType <BalTp>***Presence:* [0..1]*Definition:* Type of balance.**BalanceType <BalTp>** contains one of the following **SecuritiesBalanceType10FormatChoice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		665
Or}	Proprietary <Prtry>	[1..1]	±		666

25.1.1.3.4.1 Code <Cd>*Presence:* [1..1]*Definition:* Standard code to specify the type of securities balance.*Datatype:* "SecuritiesBalanceType10Code" on page 843

CodeName	Name	Definition
AVLB	Available	Balance of money or securities that is at the disposal of the account owner on the date specified.
REST	Restricted	Balance of cash that may only be used under certain conditions. Balance type is used to block securities/ cash in the client account e.g., in relation to elections relating to certain corporate actions. The holding is under the direct control of the system paying agent, who is the only one who can transfer securities out of this balance type.

CodeName	Name	Definition
		Also known as the "escrow balance" or "sequestered balance".
RDIS	ReservedForDistribution	Balance of financial instruments that are reserved for the distribution of the proceeds.
RREM	ReservedForRemoval	Balance of securities which are reserved temporarily in the SPA account before they will effectively be exchanged.

25.1.1.3.4.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary code to express the type of securities balance.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification13](#)" on page 693 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		693
	SchemeName <SchmeNm>	[0..1]	Text		693
	Issuer <Issr>	[1..1]	Text		693

25.1.1.3.5 OptionType <OptnTp>

Presence: [0..1]

Definition: Specifies the corporate action options available to the account owner.

OptionType <OptnTp> contains one of the following **CorporateActionOption1FormatChoice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		666
Or}	Proprietary <Prtry>	[1..1]	±		667

25.1.1.3.5.1 Code <Cd>

Presence: [1..1]

Definition: Option type expressed as a code.

Datatype: "[CorporateActionOptionType1Code](#)" on page 821

CodeName	Name	Definition
BSPL	BonusSharePlan	Receive shares issued from the Share Premium Reserve of the company and considered as a capital distribution rather than a disbursement of income, with different tax implications (typically found in Australia).

CodeName	Name	Definition
BUYA	BuyUp	Buy additional securities to round up position.
CASE	CashAndSecurities	Election choice includes a combination of both cash and securities.
CASH	Cash	Distribution of cash.
CEXC	ConsentAndExchange	Vote to consent to change the terms of the securities agreement and to exchange securities.
CTEN	ConsentAndTender	Vote to consent to change the terms of the securities agreement and to tender securities for cash.
CONN	ConsentDenied	Vote not to approve the event or proposal.
CONY	ConsentGranted	Vote to approve the event or proposal.
EXER	Exercise	Exercise intermediate securities or warrants.
LAPS	Lapse	Allow event or entitled security to expire.
MPUT	Retain	An option that allows a holder to elect to retain their holding, eg, a puttable bond.
NOAC	NoAction	Option for the account owner not to take part in the event.
OFFR	ProposedRate	In a remarketing of variable notes, the margin that shareholders can propose in respect of the next interest period.
OVER	Oversubscribe	Subscribe to more equities than underlying securities position allows.
SECU	SecuritiesOption	Distribution of securities to holders.
SLLE	SellEntitlement	Sell the intermediate securities.
SPLI	SplitInstruction	Option to give a split instruction, eg, a split voting instruction on a meeting.
NOQU	NonQualifiedInvestor	The account owner is a Non-Qualified Investor.
OTHR	Other	Generic corporate action option to be used in case that no other specific code is appropriate.
QINV	QualifiedInvestor	The account owner is a Qualified Investor.

25.1.1.3.5.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Option type expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification13](#)" on page 693 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		693
	SchemeName <SchmeNm>	[0..1]	Text		693
	Issuer <Issr>	[1..1]	Text		693

25.1.1.3.6 OptionNumber <OptnNb>

Presence: [0..1]

Definition: Number identifying the available corporate action options.

Datatype: "[Exact3NumericText](#)" on page 852

25.1.1.3.7 SecurityHoldingForm <SctyHldgForm>

Presence: [0..1]

Definition: Specifies the form of the financial instrument.

Datatype: "[FormOfSecurity1Code](#)" on page 826

CodeName	Name	Definition
BEAR	Bearer	The financial instrument does not specify any registration of ownership, and is payable to whomever possesses the certificate.
REGD	Registered	Shareholder name of the financial instrument appears in the corporation/ funds books.

25.1.1.3.8 StampDuty <StmpDty>

Presence: [0..1]

Definition: Specifies if the stamp duty is applicable.

StampDuty <StmpDty> contains one of the following **StampDutyType1FormatChoice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		668
Or}	Proprietary <Prtry>	[1..1]	±		669

25.1.1.3.8.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the stamp duty information.

Datatype: "[StampDutyType1Code](#)" on page 845

CodeName	Name	Definition
SDRU	OutsideCSD	SDRT paid outside of CSD on corporate action.
SDRT	Paid	SDRT paid on another transaction.
SDRN	NotApplicable	Stamp duty not applicable.
SDRQ	Exemption	Exempt, for any other reason.

25.1.1.3.8.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary code to express the stamp duty information.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification13](#)" on page 693 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		693
	SchemeName <SchmeNm>	[0..1]	Text		693
	Issuer <Issr>	[1..1]	Text		693

25.1.1.4 SecuritiesAccount7

Definition: Provides information about the account identification and the account owner.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AccountOwnerIdentification <AcctOwnrId>	[0..1]	±		669
	AccountIdentification <AcctId>	[1..1]	Text		669

25.1.1.4.1 AccountOwnerIdentification <AcctOwnrId>

Presence: [0..1]

Definition: Identification of the party that owns the account.

AccountOwnerIdentification <AcctOwnrId> contains one of the following elements (see "[PartyIdentification2Choice](#)" on page 733 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	BICOrBEI <BICOrBEI>	[1..1]	IdentifierSet	C2	733
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		733
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		734

25.1.1.4.2 AccountIdentification <AcctId>

Presence: [1..1]

Definition: Identification of the account where financial instruments are maintained.

Datatype: "Max35Text" on page 853

25.1.2 Account Identification

25.1.2.1 AccountIdentification2Choice

Definition: Choice between the identification of a cash or securities account.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	CashAccountIdentification <CshAcctId>	[1..1]	Text		670
Or}	SecuritiesAccountIdentification <SctiesAcctId>	[1..1]	Text		670

25.1.2.1.1 CashAccountIdentification <CshAcctId>

Presence: [1..1]

Definition: Identification of a cash account.

Datatype: "Max35Text" on page 853

25.1.2.1.2 SecuritiesAccountIdentification <SctiesAcctId>

Presence: [1..1]

Definition: Identification of a securities account.

Datatype: "Max35Text" on page 853

25.1.2.2 SecuritiesAccount10

Definition: Provides information about the securities account.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CreditDebitIndicator <CdtDbtInd>	[1..1]	CodeSet		670
	AccountOwnerIdentification <AcctOwnrId>	[0..1]	±		671
	AccountOwnerNationality <AcctOwnrNtlty>	[0..1]	CodeSet	C8	671
	AccountIdentification <AcctId>	[1..1]	Text		671
	BalanceType <BalTp>	[0..1]			671
{Or	Code <Cd>	[1..1]	CodeSet		672
Or}	Proprietary <Prtry>	[1..1]	±		672
	SecurityHoldingForm <SctyHldgForm>	[0..1]	CodeSet		672

25.1.2.2.1 CreditDebitIndicator <CdtDbtInd>

Presence: [1..1]

Definition: Specifies whether the value is a debit or credit.

Datatype: "CreditDebitCode" on page 822

CodeName	Name	Definition
CRDT	Credit	Operation is an increase.
DBIT	Debit	Operation is a decrease.

25.1.2.2.2 AccountOwnerIdentification <AcctOwnrId>

Presence: [0..1]

Definition: Identification of the party that owns the account.

AccountOwnerIdentification <AcctOwnrId> contains one of the following elements (see "PartyIdentification2Choice" on page 733 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	BICOrBEI <BICOrBEI>	[1..1]	IdentifierSet	C2	733
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		733
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		734

25.1.2.2.3 AccountOwnerNationality <AcctOwnrNtlty>

Presence: [0..1]

Definition: Nationality of the account owner.

Impacted by: C8 "ValidationByTable"

Datatype: "NationalityCode" on page 829

Constraints

- **ValidationByTable**

Must be a valid nationality.

25.1.2.2.4 AccountIdentification <AcctId>

Presence: [1..1]

Definition: Identification of the account.

Datatype: "Max35Text" on page 853

25.1.2.2.5 BalanceType <BalTp>

Presence: [0..1]

Definition: Type of balance.

BalanceType <BalTp> contains one of the following **SecuritiesBalanceType9FormatChoice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		672
Or}	Proprietary <Prtry>	[1..1]	±		672

25.1.2.2.5.1 Code <Cd>*Presence:* [1..1]*Definition:* Standard code to specify the type of securities balance.*Datatype:* "SecuritiesBalanceType9Code" on page 844

CodeName	Name	Definition
AVLB	Available	Balance of money or securities that is at the disposal of the account owner on the date specified.
ELEC	Elected	Balance of instructed position.
UNEL	Unelected	Balance of uninstructed position.
RDIS	ReservedForDistribution	Balance of financial instruments that are reserved for the distribution of the proceeds.
RREM	ReservedForRemoval	Balance of securities which are reserved temporarily in the SPA account before they will effectively be exchanged.

25.1.2.2.5.2 Proprietary <Prtry>*Presence:* [1..1]*Definition:* Proprietary code to express the type of securities balance.

Proprietary <Prtry> contains the following elements (see "GenericIdentification13" on page 693 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		693
	SchemeName <SchmeNm>	[0..1]	Text		693
	Issuer <Issr>	[1..1]	Text		693

25.1.2.2.6 SecurityHoldingForm <SctyHldgForm>*Presence:* [0..1]*Definition:* Specifies the form of the financial instrument.*Datatype:* "FormOfSecurity1Code" on page 826

CodeName	Name	Definition
BEAR	Bearer	The financial instrument does not specify any registration of ownership, and is payable to whomever possesses the certificate.
REGD	Registered	Shareholder name of the financial instrument appears in the corporation/ funds books.

25.1.2.3 SecuritiesAccount12*Definition:* Provides information about the securities account.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CreditDebitIndicator <CdtDbtInd>	[1..1]	CodeSet		673
	AccountOwnerIdentification <AcctOwnrId>	[0..1]	±		673
	AccountIdentification <AcctId>	[1..1]	Text		673
	BalanceType <BalTp>	[0..1]			673
{Or	Code <Cd>	[1..1]	CodeSet		674
Or}	Proprietary <Prtry>	[1..1]	±		674
	SecurityHoldingForm <SctyHldgForm>	[0..1]	CodeSet		675

25.1.2.3.1 CreditDebitIndicator <CdtDbtInd>

Presence: [1..1]

Definition: Specifies whether the value is a debit or credit.

Datatype: "CreditDebitCode" on page 822

CodeName	Name	Definition
CRDT	Credit	Operation is an increase.
DBIT	Debit	Operation is a decrease.

25.1.2.3.2 AccountOwnerIdentification <AcctOwnrId>

Presence: [0..1]

Definition: Identification of the party that owns the account.

AccountOwnerIdentification <AcctOwnrId> contains one of the following elements (see "PartyIdentification2Choice" on page 733 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	BICOrBEI <BICOrBEI>	[1..1]	IdentifierSet	C2	733
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		733
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		734

25.1.2.3.3 AccountIdentification <AcctId>

Presence: [1..1]

Definition: Identification of the account where financial instruments are maintained.

Datatype: "Max35Text" on page 853

25.1.2.3.4 BalanceType <BalTp>

Presence: [0..1]

Definition: Type of balance.

BalanceType <BalTp> contains one of the following **SecuritiesBalanceType6FormatChoice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		674
Or}	Proprietary <Prtry>	[1..1]	±		674

25.1.2.3.4.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the type of securities balance.

Datatype: "SecuritiesBalanceType6Code" on page 843

CodeName	Name	Definition
AVLB	Available	Balance of money or securities that is at the disposal of the account owner on the date specified.
REST	Restricted	Balance of cash that may only be used under certain conditions. Balance type is used to block securities/ cash in the client account e.g., in relation to elections relating to certain corporate actions. The holding is under the direct control of the system paying agent, who is the only one who can transfer securities out of this balance type. Also known as the "escrow balance" or "sequestered balance".
TENT	TotalEntitled	Total quantity of financial instruments entitled to the account owner based on the terms of the corporate action event.
RDIS	ReservedForDistribution	Balance of financial instruments that are reserved for the distribution of the proceeds.
RREM	ReservedForRemoval	Balance of securities which are reserved temporarily in the SPA account before they will effectively be exchanged.

25.1.2.3.4.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary code to express the type of securities balance.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification13](#)" on page 693 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		693
	SchemeName <SchmeNm>	[0..1]	Text		693
	Issuer <Issr>	[1..1]	Text		693

25.1.2.3.5 SecurityHoldingForm <SctyHldgForm>

Presence: [0..1]

Definition: Specifies the form of the financial instrument.

Datatype: "[FormOfSecurity1Code](#)" on page 826

CodeName	Name	Definition
BEAR	Bearer	The financial instrument does not specify any registration of ownership, and is payable to whomever possesses the certificate.
REGD	Registered	Shareholder name of the financial instrument appears in the corporation/ funds books.

25.1.2.4 CashAccountIdentification1Choice

Definition: Choice between formats for the identification of a cash account.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	IBAN <IBAN>	[1..1]	IdentifierSet	C8	675
Or	BBAN <BBAN>	[1..1]	IdentifierSet		676
Or	UPIC <UPIC>	[1..1]	IdentifierSet		676
Or}	DomesticAccount <DmstAcct>	[1..1]	±		676

25.1.2.4.1 IBAN <IBAN>

Presence: [1..1]

Definition: International Bank Account Number (IBAN) - identifier used internationally by financial institutions to uniquely identify the account of a customer. Further specifications of the format and content of the IBAN can be found in the standard ISO 13616 "Banking and related financial services - International Bank Account Number (IBAN)" version 1997-10-01, or later revisions.

Impacted by: [C8 "IBAN"](#)

Datatype: "[IBANIdentifier](#)" on page 849

Constraints

- **IBAN**

A valid IBAN consists of all three of the following components: Country Code, check digits and BBAN.

25.1.2.4.2 BBAN <BBAN>

Presence: [1..1]

Definition: Basic Bank Account Number (BBAN) - identifier used nationally by financial institutions, ie, in individual countries, generally as part of a National Account Numbering Scheme(s), to uniquely identify the account of a customer.

Datatype: "BBANIdentifier" on page 848

25.1.2.4.3 UPIC <UPIC>

Presence: [1..1]

Definition: Universal Payment Identification Code (UPIC) - identifier used by the New York Clearing House to mask confidential data, such as bank accounts and bank routing numbers. UPIC numbers remain with business customers, regardless of banking relationship changes.

Datatype: "UPICIdentifier" on page 850

25.1.2.4.4 DomesticAccount <DmstAcct>

Presence: [1..1]

Definition: Account number used by financial institutions in individual countries to identify an account of a customer, but not necessarily the bank and branch of the financial institution in which the account is held.

DomesticAccount <DmstAcct> contains the following elements (see "SimpleIdentificationInformation" on page 692 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		692

25.1.3 Charge**25.1.3.1 Charges1**

Definition: Specifies the type of charge and the amount.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Type <Tp>	[0..1]			677
{Or	Code <Cd>	[1..1]	CodeSet		677
Or}	Proprietary <Prtry>	[1..1]	±		677
	Amount <Amt>	[1..1]	Amount	C1, C5	677

25.1.3.1.1 Type <Tp>*Presence:* [0..1]*Definition:* Type of charges.**Type <Tp>** contains one of the following **ChargeType2FormatChoice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		677
Or}	Proprietary <Prtry>	[1..1]	±		677

25.1.3.1.1.1 Code <Cd>*Presence:* [1..1]*Definition:* Standard code to specify the type of charge.*Datatype:* "ChargeType14Code" on page 804

CodeName	Name	Definition
ADRF	ADRFee	Charge linked to an ADR (American Depositary Receipt).
ISSC	IssuanceCancellationFee	Fee linked to the cancellation of an issuance.
CUST	CustodyFee	Fee paid to a custodian in respect of custodial services.
CHAR	ServiceProvisionFee	Fee paid for the provision of financial services.
MISC	MiscellaneousFee	Miscellaneous fee.
ISSU	IssuanceFee	Charge linked to the issuance of security.

25.1.3.1.1.2 Proprietary <Prtry>*Presence:* [1..1]*Definition:* Proprietary code for specifying the type of charge.**Proprietary <Prtry>** contains the following elements (see "GenericIdentification13" on page 693 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		693
	SchemeName <SchmeNm>	[0..1]	Text		693
	Issuer <Issr>	[1..1]	Text		693

25.1.3.1.2 Amount <Amt>*Presence:* [1..1]*Definition:* Amount of charges.*Impacted by:* C1 "ActiveCurrency", C5 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 801

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

25.1.4 Date Time

25.1.4.1 DateAndDateTimeChoice

Definition: Choice between a date or a date and time format.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		678
Or}	DateTime <DtTm>	[1..1]	DateTime		678

25.1.4.1.1 Date <Dt>

Presence: [1..1]

Definition: Specified date.

Datatype: "ISODate" on page 846

25.1.4.1.2 DateTime <DtTm>

Presence: [1..1]

Definition: Specified date and time.

Datatype: "ISODateTime" on page 846

25.1.4.2 DateFormat4Choice

Definition: Specifies the value of a date.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		679
Or	NotSpecifiedDate <NotSpctdDt>	[1..1]	CodeSet		679
Or}	Proprietary <Prtry>	[1..1]	±		679

25.1.4.2.1 Date <Dt>*Presence:* [1..1]*Definition:* Date expressed as an ISO Date.**Date <Dt>** contains one of the following elements (see ["DateAndDateTimeChoice"](#) on page 678 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		678
Or}	DateTime <DtTm>	[1..1]	DateTime		678

25.1.4.2.2 NotSpecifiedDate <NotSpctdDt>*Presence:* [1..1]*Definition:* The date is not specified, eg, the date is unknown.*Datatype:* ["DateType6Code"](#) on page 822

CodeName	Name	Definition
OPEN	Open	Open-dated, which indicates that the date has not been established.
UKWN	Unknown	Date is unknown by the sender or has not been established.
ONGO	Ongoing	Ongoing basis, which indicates that the date is determined by "ongoing basis" process, for example "au fil de l'eau".

25.1.4.2.3 Proprietary <Prtry>*Presence:* [1..1]*Definition:* Proprietary scheme to specify a date.**Proprietary <Prtry>** contains the following elements (see ["GenericIdentification13"](#) on page 693 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		693
	SchemeName <SchmeNm>	[0..1]	Text		693
	Issuer <Issr>	[1..1]	Text		693

25.1.5 Date Time Period**25.1.5.1 Period1***Definition:* Time span defined by a start date and time, and an end date and time.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	StartDate <StartDt>	[1..1]	±		680
	EndDate <EndDt>	[1..1]	±		680

25.1.5.1.1 StartDate <StartDt>

Presence: [1..1]

Definition: Date and time at which the range starts.

StartDate <StartDt> contains one of the following elements (see "DateFormat4Choice" on page 678 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		679
Or	NotSpecifiedDate <NotSpcfdDt>	[1..1]	CodeSet		679
Or}	Proprietary <Prtry>	[1..1]	±		679

25.1.5.1.2 EndDate <EndDt>

Presence: [1..1]

Definition: Date and time at which the range ends.

EndDate <EndDt> contains one of the following elements (see "DateFormat4Choice" on page 678 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		679
Or	NotSpecifiedDate <NotSpcfdDt>	[1..1]	CodeSet		679
Or}	Proprietary <Prtry>	[1..1]	±		679

25.1.6 Financial Institution Identification

25.1.6.1 ClearingSystemMemberIdentification2

Definition: Unique identification, as assigned by a clearing system, to unambiguously identify a member of the clearing system.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ClearingSystemIdentification <ClrSysId>	[0..1]	±		680
	MemberIdentification <Mmbld>	[1..1]	Text		681

25.1.6.1.1 ClearingSystemIdentification <ClrSysId>

Presence: [0..1]

Definition: Specification of a pre-agreed offering between clearing agents or the channel through which the payment instruction is processed.

ClearingSystemIdentification <ClrSysId> contains one of the following elements (see "ClearingSystemIdentification2Choice" on page 800 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		800
Or}	Proprietary <Prtry>	[1..1]	Text		800

25.1.6.1.2 MemberIdentification <Mmbld>

Presence: [1..1]

Definition: Identification of a member of a clearing system.

Datatype: "Max35Text" on page 853

25.1.6.2 FinancialInstitutionIdentification18

Definition: Specifies the details to identify a financial institution.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	BICFI <BICFI>	[0..1]	IdentifierSet	C2	681
	ClearingSystemMemberIdentification <ClrSysMmbld>	[0..1]	±		681
	LEI <LEI>	[0..1]	IdentifierSet		682
	Name <Nm>	[0..1]	Text		682
	PostalAddress <PstlAdr>	[0..1]	±		682
	Other <Othr>	[0..1]	±		683

25.1.6.2.1 BICFI <BICFI>

Presence: [0..1]

Definition: Code allocated to a financial institution by the ISO 9362 Registration Authority as described in ISO 9362 "Banking - Banking telecommunication messages - Business identifier code (BIC)".

Impacted by: C2 "BICFI"

Datatype: "BICFIDec2014Identifier" on page 848

Constraints

- **BICFI**

Valid BICs for financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consist of eight (8) or eleven (11) contiguous characters.

25.1.6.2.2 ClearingSystemMemberIdentification <ClrSysMmbld>

Presence: [0..1]

Definition: Information used to identify a member within a clearing system.

ClearingSystemMemberIdentification <ClrSysMmbld> contains the following elements (see "[ClearingSystemMemberIdentification2](#)" on page 680 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ClearingSystemIdentification <ClrSysId>	[0..1]	±		680
	MemberIdentification <Mmbld>	[1..1]	Text		681

25.1.6.2.3 LEI <LEI>

Presence: [0..1]

Definition: Legal entity identifier of the financial institution.

Datatype: "[LEIIdentifier](#)" on page 850

25.1.6.2.4 Name <Nm>

Presence: [0..1]

Definition: Name by which an agent is known and which is usually used to identify that agent.

Datatype: "[Max140Text](#)" on page 852

25.1.6.2.5 PostalAddress <PstlAdr>

Presence: [0..1]

Definition: Information that locates and identifies a specific address, as defined by postal services.

PostalAddress <PstlAdr> contains the following elements (see "PostalAddress24" on page 750 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AddressType <AdrTp>	[0..1]			751
{Or	Code <Cd>	[1..1]	CodeSet		751
Or}	Proprietary <Prtry>	[1..1]	±		751
	Department <Dept>	[0..1]	Text		751
	SubDepartment <SubDept>	[0..1]	Text		752
	StreetName <StrtNm>	[0..1]	Text		752
	BuildingNumber <BldgNb>	[0..1]	Text		752
	BuildingName <BldgNm>	[0..1]	Text		752
	Floor <Flr>	[0..1]	Text		752
	PostBox <PstBx>	[0..1]	Text		752
	Room <Room>	[0..1]	Text		752
	PostCode <PstCd>	[0..1]	Text		752
	TownName <TwnNm>	[0..1]	Text		753
	TownLocationName <TwnLctnNm>	[0..1]	Text		753
	DistrictName <DstrctNm>	[0..1]	Text		753
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		753
	Country <Ctry>	[0..1]	CodeSet	C4	753
	AddressLine <AdrLine>	[0..7]	Text		753

25.1.6.2.6 Other <Othr>

Presence: [0..1]

Definition: Unique identification of an agent, as assigned by an institution, using an identification scheme.

Other <Othr> contains the following elements (see "GenericFinancialIdentification1" on page 686 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		686
	SchemeName <SchmeNm>	[0..1]			687
{Or	Code <Cd>	[1..1]	CodeSet		687
Or}	Proprietary <Prtry>	[1..1]	Text		687
	Issuer <Issr>	[0..1]	Text		687

25.1.6.3 BranchData3

Definition: Information that locates and identifies a specific branch of a financial institution.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[0..1]	Text		684
	LEI <LEI>	[0..1]	IdentifierSet		684
	Name <Nm>	[0..1]	Text		684
	PostalAddress <PstlAdr>	[0..1]	±		684

25.1.6.3.1 Identification <Id>

Presence: [0..1]

Definition: Unique and unambiguous identification of a branch of a financial institution.

Datatype: "Max35Text" on page 853

25.1.6.3.2 LEI <LEI>

Presence: [0..1]

Definition: Legal entity identification for the branch of the financial institution.

Datatype: "LEIIdentifier" on page 850

25.1.6.3.3 Name <Nm>

Presence: [0..1]

Definition: Name by which an agent is known and which is usually used to identify that agent.

Datatype: "Max140Text" on page 852

25.1.6.3.4 PostalAddress <PstlAdr>

Presence: [0..1]

Definition: Information that locates and identifies a specific address, as defined by postal services.

PostalAddress <PstIAdr> contains the following elements (see "PostalAddress24" on page 750 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AddressType <AdrTp>	[0..1]			751
{Or	Code <Cd>	[1..1]	CodeSet		751
Or}	Proprietary <Prtry>	[1..1]	±		751
	Department <Dept>	[0..1]	Text		751
	SubDepartment <SubDept>	[0..1]	Text		752
	StreetName <StrtNm>	[0..1]	Text		752
	BuildingNumber <BldgNb>	[0..1]	Text		752
	BuildingName <BldgNm>	[0..1]	Text		752
	Floor <Flr>	[0..1]	Text		752
	PostBox <PstBx>	[0..1]	Text		752
	Room <Room>	[0..1]	Text		752
	PostCode <PstCd>	[0..1]	Text		752
	TownName <TwnNm>	[0..1]	Text		753
	TownLocationName <TwnLctnNm>	[0..1]	Text		753
	DistrictName <DstrctNm>	[0..1]	Text		753
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		753
	Country <Ctry>	[0..1]	CodeSet	C4	753
	AddressLine <AdrLine>	[0..7]	Text		753

25.1.6.4 BranchAndFinancialInstitutionIdentification6

Definition: Unique and unambiguous identification of a financial institution or a branch of a financial institution.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	FinancialInstitutionIdentification <FinInstnId>	[1..1]	±		685
	BranchIdentification <BrnchId>	[0..1]	±		686

25.1.6.4.1 FinancialInstitutionIdentification <FinInstnId>

Presence: [1..1]

Definition: Unique and unambiguous identification of a financial institution, as assigned under an internationally recognised or proprietary identification scheme.

FinancialInstitutionIdentification <FinInstnId> contains the following elements (see "FinancialInstitutionIdentification18" on page 681 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	BICFI <BICFI>	[0..1]	IdentifierSet	C2	681
	ClearingSystemMemberIdentification <ClrSysMmbld>	[0..1]	±		681
	LEI <LEI>	[0..1]	IdentifierSet		682
	Name <Nm>	[0..1]	Text		682
	PostalAddress <PstlAdr>	[0..1]	±		682
	Other <Othr>	[0..1]	±		683

25.1.6.4.2 BranchIdentification <BrnchId>

Presence: [0..1]

Definition: Identifies a specific branch of a financial institution.

Usage: This component should be used in case the identification information in the financial institution component does not provide identification up to branch level.

BranchIdentification <BrnchId> contains the following elements (see "BranchData3" on page 684 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[0..1]	Text		684
	LEI <LEI>	[0..1]	IdentifierSet		684
	Name <Nm>	[0..1]	Text		684
	PostalAddress <PstlAdr>	[0..1]	±		684

25.1.6.5 GenericFinancialIdentification1

Definition: Information related to an identification of a financial institution.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		686
	SchemeName <SchmeNm>	[0..1]			687
{Or	Code <Cd>	[1..1]	CodeSet		687
Or}	Proprietary <Prtry>	[1..1]	Text		687
	Issuer <Issr>	[0..1]	Text		687

25.1.6.5.1 Identification <Id>

Presence: [1..1]

Definition: Unique and unambiguous identification of a person.

Datatype: "Max35Text" on page 853

25.1.6.5.2 SchemeName <SchmeNm>

Presence: [0..1]

Definition: Name of the identification scheme.

SchemeName <SchmeNm> contains one of the following **FinancialIdentificationSchemeName1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		687
Or}	Proprietary <Prtry>	[1..1]	Text		687

25.1.6.5.2.1 Code <Cd>

Presence: [1..1]

Definition: Name of the identification scheme, in a coded form as published in an external list.

Datatype: "ExternalFinancialInstitutionIdentification1Code" on page 824

25.1.6.5.2.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Name of the identification scheme, in a free text form.

Datatype: "Max35Text" on page 853

25.1.6.5.3 Issuer <Issr>

Presence: [0..1]

Definition: Entity that assigns the identification.

Datatype: "Max35Text" on page 853

25.1.7 Financial Instrument

25.1.7.1 SecurityIdentification19

Definition: Identification of a security.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ISIN <ISIN>	[0..1]	IdentifierSet		688
	OtherIdentification <OthrId>	[0..*]			688
	Identification <Id>	[1..1]	Text		689
	Suffix <Sfx>	[0..1]	Text		689
	Type <Tp>	[1..1]	±		689
	Description <Desc>	[0..1]	Text		689

Constraints

- **DescriptionPresenceRule**

If Description is not present then either ISIN or at least one occurrence of OtherIdentification must be present.

```
On Condition
    /Description is absent
Following Must be True
    /ISIN Must be present
Or
    /OtherIdentification[*] Must be present
```

- **DescriptionUsageRule**

Description must be used alone as the last resort.

- **ISINGuideline**

When an ISIN code exists, it is strongly recommended that the ISIN be used.

- **ISINPresenceRule**

If ISIN is not present then either Description or at least one occurrence of OtherIdentification must be present.

```
On Condition
    /ISIN is absent
Following Must be True
    /OtherIdentification[*] Must be present
Or
    /Description Must be present
```

- **OtherIdentificationPresenceRule**

If OtherIdentification is not present then either ISIN or Description must be present.

```
On Condition
    /OtherIdentification[*] is absent
Following Must be True
    /ISIN Must be present
Or
    /Description Must be present
```

25.1.7.1.1 ISIN <ISIN>

Presence: [0..1]

Definition: International Securities Identification Number (ISIN). A numbering system designed by the United Nation's International Organisation for Standardisation (ISO). The ISIN is composed of a 2-character prefix representing the country of issue, followed by the national security number (if one exists), and a check digit. Each country has a national numbering agency that assigns ISIN numbers for securities in that country.

Datatype: "ISINOct2015Identifier" on page 849

25.1.7.1.2 OtherIdentification <Othrid>

Presence: [0..*]

Definition: Identification of a security by proprietary or domestic identification scheme.

OtherIdentification <OthrId> contains the following **OtherIdentification1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		689
	Suffix <Sfx>	[0..1]	Text		689
	Type <Tp>	[1..1]	±		689

25.1.7.1.2.1 Identification <Id>

Presence: [1..1]

Definition: Identification of a security.

Datatype: "Max35Text" on page 853

25.1.7.1.2.2 Suffix <Sfx>

Presence: [0..1]

Definition: Identifies the suffix of the security identification.

Datatype: "Max16Text" on page 852

25.1.7.1.2.3 Type <Tp>

Presence: [1..1]

Definition: Type of the identification.

Type <Tp> contains one of the following elements (see "IdentificationSource3Choice" on page 692 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		693
Or}	Proprietary <Prtry>	[1..1]	Text		693

25.1.7.1.3 Description <Desc>

Presence: [0..1]

Definition: Textual description of a security instrument.

Datatype: "Max140Text" on page 852

25.1.8 Financial Instrument Quantity

25.1.8.1 QuantityToQuantityRatio1

Definition: Ratio expressed as a quotient of quantities.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Quantity1 <Qty1>	[1..1]	Quantity		690
	Quantity2 <Qty2>	[1..1]	Quantity		690

25.1.8.1.1 Quantity1 <Qty1>*Presence:* [1..1]*Definition:* Numerator of the quotient of quantities.*Datatype:* "DecimalNumber" on page 851**25.1.8.1.2 Quantity2 <Qty2>***Presence:* [1..1]*Definition:* Denominator of the quotient of quantities.*Datatype:* "DecimalNumber" on page 851**25.1.9 Identification Information****25.1.9.1 DocumentIdentification8***Definition:* Identifies the document by providing a unique identification and optionally the date/time of the creation of the document.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		690
	CreationDateTime <CreDtTm>	[0..1]	DateTime		690

25.1.9.1.1 Identification <Id>*Presence:* [1..1]*Definition:* Unique identification of the document.*Datatype:* "Max35Text" on page 853**25.1.9.1.2 CreationDateTime <CreDtTm>***Presence:* [0..1]*Definition:* Date/time of the creation of the document.*Datatype:* "ISODateTime" on page 846**25.1.9.2 GenericIdentification78***Definition:* Identification expressed as a proprietary type and narrative description.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Type <Tp>	[1..1]	±		690
	Identification <Id>	[0..1]	Text		691

25.1.9.2.1 Type <Tp>*Presence:* [1..1]*Definition:* Proprietary information, often a code, issued by the data source scheme issuer.

Type <Tp> contains the following elements (see "GenericIdentification30" on page 691 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		691
	Issuer <Issr>	[1..1]	Text		691
	SchemeName <SchmeNm>	[0..1]	Text		691

25.1.9.2.2 Identification <Id>

Presence: [0..1]

Definition: Name or number assigned by an entity to enable recognition of that entity, for example, account identifier.

Datatype: "Max35Text" on page 853

25.1.9.3 GenericIdentification30

Definition: Information related to an identification, for example, party identification or account identification.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		691
	Issuer <Issr>	[1..1]	Text		691
	SchemeName <SchmeNm>	[0..1]	Text		691

25.1.9.3.1 Identification <Id>

Presence: [1..1]

Definition: Proprietary information, often a code, issued by the data source scheme issuer.

Datatype: "Exact4AlphaNumericText" on page 852

25.1.9.3.2 Issuer <Issr>

Presence: [1..1]

Definition: Entity that assigns the identification.

Datatype: "Max35Text" on page 853

25.1.9.3.3 SchemeName <SchmeNm>

Presence: [0..1]

Definition: Short textual description of the scheme.

Datatype: "Max35Text" on page 853

25.1.9.4 GenericIdentification36

Definition: Identification using a proprietary scheme.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		692
	Issuer <Issr>	[1..1]	Text		692
	SchemeName <SchmeNm>	[0..1]	Text		692

25.1.9.4.1 Identification <Id>

Presence: [1..1]

Definition: Proprietary information, often a code, issued by the data source scheme issuer.

Datatype: "Max35Text" on page 853

25.1.9.4.2 Issuer <Issr>

Presence: [1..1]

Definition: Entity that assigns the identification.

Datatype: "Max35Text" on page 853

25.1.9.4.3 SchemeName <SchmeNm>

Presence: [0..1]

Definition: Short textual description of the scheme.

Datatype: "Max35Text" on page 853

25.1.9.5 SimpleIdentificationInformation

Definition: Information related to an identification, eg, party identification or account identification.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		692

25.1.9.5.1 Identification <Id>

Presence: [1..1]

Definition: Name or number assigned by an entity to enable recognition of that entity, eg, account identifier.

Datatype: "Max35Text" on page 853

25.1.9.6 IdentificationSource3Choice

Definition: Choice between source of identification of a financial instrument.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		693
Or}	Proprietary <Prtry>	[1..1]	Text		693

25.1.9.6.1 Code <Cd>*Presence:* [1..1]*Definition:* Unique and unambiguous identification source, as assigned via a pre-determined code list.*Datatype:* "ExternalFinancialInstrumentIdentificationType1Code" on page 825**25.1.9.6.2 Proprietary <Prtry>***Presence:* [1..1]*Definition:* Unique and unambiguous identification source using a proprietary identification scheme.*Datatype:* "Max35Text" on page 853**25.1.9.7 GenericIdentification13***Definition:* Information related to an identification, for example, party identification or account identification.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		693
	SchemeName <SchmeNm>	[0..1]	Text		693
	Issuer <Issr>	[1..1]	Text		693

25.1.9.7.1 Identification <Id>*Presence:* [1..1]*Definition:* Identification assigned by an institution.*Datatype:* "Max4AlphaNumericText" on page 854**25.1.9.7.2 SchemeName <SchmeNm>***Presence:* [0..1]*Definition:* Name of the identification scheme.*Datatype:* "Max35Text" on page 853**25.1.9.7.3 Issuer <Issr>***Presence:* [1..1]*Definition:* Entity that assigns the identification.*Datatype:* "Max35Text" on page 853**25.1.9.8 GenericIdentification1***Definition:* Information related to an identification, for example party identification or account identification.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		694
	SchemeName <SchmeNm>	[0..1]	Text		694
	Issuer <Issr>	[0..1]	Text		694

25.1.9.8.1 Identification <Id>

Presence: [1..1]

Definition: Identification assigned by an institution.

Datatype: "Max35Text" on page 853

25.1.9.8.2 SchemeName <SchmeNm>

Presence: [0..1]

Definition: Name of the identification scheme.

Datatype: "Max35Text" on page 853

25.1.9.8.3 Issuer <Issr>

Presence: [0..1]

Definition: Entity that assigns the identification.

Datatype: "Max35Text" on page 853

25.1.10 Market

25.1.10.1 MarketIdentification3Choice

Definition: Choice of market identification.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	MarketIdentifierCode <MktldrCd>	[1..1]	IdentifierSet		694
Or}	Description <Desc>	[1..1]	Text		694

25.1.10.1.1 MarketIdentifierCode <MktldrCd>

Presence: [1..1]

Definition: Market Identifier Code. Identification of a financial market, as stipulated in the norm ISO 10383 "Codes for exchanges and market identifications".

Datatype: "MICIdentifier" on page 850

25.1.10.1.2 Description <Desc>

Presence: [1..1]

Definition: Description of the market when no Market Identifier Code is available.

Datatype: "Max35Text" on page 853

25.1.11 Miscellaneous

25.1.11.1 CorporateActionInformation1

Definition: General information about the corporate action event.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AgentIdentification <AgtId>	[1..1]	±		695
	IssuerCorporateActionIdentification <IssrCorpActnId>	[0..1]	Text		696
	CorporateActionProcessingIdentification <CorpActnPrctgId>	[0..1]	Text		696
	EventType <EvtTp>	[1..1]			696
{Or	Code <Cd>	[1..1]	CodeSet		696
Or}	Proprietary <Prtry>	[1..1]	±		702
	MandatoryVoluntaryEventType <MndtryVlntryEvtTp>	[1..1]			702
{Or	Code <Cd>	[1..1]	CodeSet		702
Or}	Proprietary <Prtry>	[1..1]	±		703
	EventProcessingType <EvtPrctgTp>	[0..1]			703
{Or	Code <Cd>	[1..1]	CodeSet		703
Or}	Proprietary <Prtry>	[1..1]	±		704
	UnderlyingSecurity <UndrlygScty>	[1..1]	±		704

Constraints

- **CorporateActionIdentificationRule**

At least one element in the list (IssuerCorporateActionReference, OfficialCorporateActionReference, CorporateActionProcessingIdentifier) must be present. They may all be may be present.

25.1.11.1.1 AgentIdentification <AgtId>

Presence: [1..1]

Definition: Identification of the issuer agent.

AgentIdentification <AgtId> contains one of the following elements (see "[PartyIdentification2Choice](#)" on [page 733](#) for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	BICOrBEI <BICOrBEI>	[1..1]	IdentifierSet	C2	733
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		733
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		734

25.1.11.1.2 IssuerCorporateActionIdentification <IssrCorpActnId>*Presence:* [0..1]*Definition:* Reference given to the event by the CA event issuer (agent).*Datatype:* "Max35Text" on page 853**25.1.11.1.3 CorporateActionProcessingIdentification <CorpActnPrctlId>***Presence:* [0..1]*Definition:* Reference assigned by the account servicer to unambiguously identify a corporate action event.*Datatype:* "Max35Text" on page 853**25.1.11.1.4 EventType <EvtTp>***Presence:* [1..1]*Definition:* Type of corporate action event.**EventType <EvtTp>** contains one of the following **CorporateActionEventType2FormatChoice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		696
Or}	Proprietary <Prtry>	[1..1]	±		702

25.1.11.1.4.1 Code <Cd>*Presence:* [1..1]*Definition:* Event types expressed as a code.*Datatype:* "CorporateActionEventType2Code" on page 808

CodeName	Name	Definition
ACTV	ActiveTradingStatus	Trading in security has commenced or security has been re-activated after a suspension in trading.
ATTI	Attachment	Combination of different security types to create a unit. Units are usually comprised of warrants and bonds or warrants and equities. Securities may be combined at the request of the security holder or based on market convention.
BIDS	RepurchaseOffer	Repurchase offer/Issuer bid/ Reverse rights. Offer to existing shareholders by the issuing company to repurchase equity or other securities convertible into equity. The objective of the offer is to reduce the number of outstanding equities.
BONU	BonusIssue	Event is a bonus issue or scrip issue or capitalisation issue. Security holders are awarded additional assets free of payment from the issuer in proportion to their holding. A bonus issue is typically

CodeName	Name	Definition
		represented by shares, rights or warrants. Nominal value doesn't change. Holder may be offered choice of form.
BPUT	PutRedemption	Redemption in part before the scheduled final maturity date of a security. Drawing is distinct from partial call since drawn bonds are chosen by lottery and with no reduction in nominal value.
BRUP	Bankruptcy	Legal status of a company unable to pay creditors. Bankruptcy usually involves a formal court ruling. Securities may become valueless.
CAPG	CapitalGainsDistribution	Event is the distribution of profits resulting from the sale of securities. Shareholders of mutual funds, unit trusts, or Sicavs are recipients of capital gains distributions and are often reinvested in additional shares of the fund.
CAPI	Capitalisation	Increase of the current principal of a debt instrument without increasing the nominal value. It normally arises from the incorporation of due but unpaid interest into the principal. This is commonly done by increasing the pool factor value, eg, capitalisation, and negative amortisation.
CERT	NonUSTEFRADCertification	Non-US beneficial owner certification requirement for exchange of temporary to permanent notes.
CHAN	Change	Information regarding a change further described in the corporate action details.
CLSA	ClassActionProposedSettlement	Situation where interested parties seek restitution for financial loss. The security holder may be offered the opportunity to join a class action proceeding and would need to respond with an instruction.
CONS	Consent	Procedure that aims to obtain consent of holder to a proposal by the issuer or a third party intended to progress an event to the next stage. This procedure is not required to be linked to the organisation of a formal meeting. For example, consent to approve a plan of reorganisation for a bankruptcy proceeding.
CONV	Conversion	Conversion of securities (generally convertible bonds or preferred shares) into another form of securities (usually common shares) at a pre-stated price/ ratio.
COOP	CompanyOption	A Company Option may be granted by the company, allowing the holder to take up shares at some future date(s) at a pre arranged price in the company. A company may not grant options which enable the holder to take up unissued

CodeName	Name	Definition
		shares at a time which is five or more years from the date of the grant. Option holders are not members of a company. They are contingent creditors of a company and hence may, in some instances, be entitled to vote on and be bound by a scheme of arrangement between the creditors and the company. As many options have multiple exercise periods a company option will either lapse or carry on to the next expiry date.
DECR	DecreaseInValue	Reduction of face value of a single share or the value of fund assets. The number of circulating shares/units remains unchanged. This event may include a cash payout to holders.
DETI	Detachment	Separation of components that comprise a security, eg, usually units comprised of warrants and bond or warrants and equity. Units may be broken up at the request of the security holder or based on market convention.
DFLT	BondDefault	Failure by the company to perform obligations defined as default events under the bond agreement and that have not been remedied.
DLST	TradingStatusDelisted	Security is no longer able to comply with the listing requirements of a stock exchange and is removed from official board quotation.
DRAW	Drawing	Redemption in part before the scheduled final maturity date of a security. Drawing is distinct from partial call since drawn bonds are chosen by lottery and with no reduction in nominal value.
DRIP	DividendReinvestment	Event is a dividend payment type where cash dividend is rolled over into additional shares in the issuing company.
DSCL	Disclosure	Requirement for holders or beneficial owners to disclose their name, location and holdings of any issue to the issuer.
DTCH	DutchAuction	An action by a party wishing to acquire a security. Holders of the security are invited to make an offer to sell, within a specific price range. The acquiring party will buy from the holder with lowest offer.
DVCA	CashDividend	Distribution of cash to shareholders, in proportion to their equity holding. Ordinary dividends are recurring and regular. Shareholder must take cash and may be offered a choice of currency.
DVOP	DividendOption	Event is a distribution of a dividend to shareholders with the choice of payment method. The shareholder must choose

CodeName	Name	Definition
		the form of payment - stock, cash, or both.
DVSC	ScripDividend	Scrip Dividend/Payment. Dividend or interest paid in the form of scrip.
DVSE	StockDividend	Event is a dividend paid to shareholders in the form of shares of stock in the issuing company or in another company. The shareholder must take stock and is not offered a choice in the form of distribution.
EXOF	Exchange	Exchange of holdings for other securities and/or cash. The exchange can be either mandatory or voluntary involving the exchange of outstanding securities for different securities and/or cash. For example "exchange offer", "capital reorganisation" or "funds separation".
EXRI	CallOnIntermediateSecurities	Call or exercise on nil paid securities or intermediate securities resulting from an intermediate securities distribution (RHDl). This code is used for the second event, when an intermediate securities' issue (rights/coupons) is composed of two events, the first event being the distribution of intermediate securities.
EXTM	MaturityExtension	As stipulated in a bond's Terms and Conditions, the issuer or the bond-holder may prolong the maturity date of a bond. After extension, the security may differ from original issue (new rate or maturity date). May be subject to bondholder's approval.
EXWA	WarrantExercise	Option offered to holders to buy (call warrant) or to sell (put warrant) a specific amount of stock, cash, or commodity, at a predetermined price, during a predetermined period of time (which usually corresponds to the life of the issue).
INCR	IncreaseInValue	Increase in the face value of a single security. The number of circulating securities remains unchanged.
INTR	InterestPayment	Regular interest payment distributed to holders of an interest bearing asset.
LIQU	LiquidationDividend	A distribution of cash, assets or both. Debt may be paid in order of priority based on preferred claims to assets specified by the security.
MCAL	FullCall	The redemption of an entire issue outstanding of securities, eg, bonds, preferred equity, funds, by the issuer or its agent, eg, asset manager, before final maturity.
MRGR	Merger	Event is a mandatory or voluntary exchange of outstanding securities as the result of two or more companies

CodeName	Name	Definition
		combining assets. Cash payments may accompany share exchange.
ODLT	OddLotSalePurchase	Odd Lot Sale/Purchase. Sale or purchase of odd-lots to/from the issuing company, initiated either by the holder of the security or through an offer made by the issuer.
PARI	PariPassu	Occurs when securities with different characteristics, eg, shares with different entitlements to dividend or voting rights, become identical in all respects, eg, pari-passu or assimilation. May be scheduled in advance, eg, shares resulting from a bonus may become fungible after a pre-set period of time, or may result from outside events, eg, merger, reorganisation, issue of supplementary tranches, etc.
PCAL	PartialRedemptionWithNominalValueReduction	Securities are redeemed in part before their scheduled final maturity date with reduction of the nominal value of the shares. The outstanding amount of securities will be reduced proportionally.
PDEF	Prefunding	Also called partial defeasance. Issuer has money set aside to redeem a portion of an issue and the indenture states that the securities could be called earlier than the stated maturity.
PINK	PayInKind	Interest payment, in any kind except cash, distributed to holders of an interest bearing asset.
PLAC	PlaceOfIncorporation	Changes in the state of incorporation for US companies and changes in the place of incorporation for foreign companies. Where shares need to be registered following the incorporation change, the holder(s) may have to elect the registrar.
PPMT	InstalmentCall	An instalment towards the purchase of equity capital, subject to an agreement between an issuer and a purchaser.
PRED	PartialRedemptionWithoutNominalValueReduction	Securities are redeemed in part before their scheduled final maturity date without reduction of the nominal value of the shares. This is commonly done by pool factor reduction.
PRII	InterestPaymentWithPrincipal	An event which consists of two components, the decrease of the amortized value of a pool factor security and an interest payment.
PRIO	PriorityIssue	Form of open or public offer where, due to a limited amount of securities available, priority is given to existing shareholders.
REDM	FinalMaturity	The redemption of an entire issue outstanding of securities, eg, bonds, preferred equity, funds, by the issuer or

CodeName	Name	Definition
		its agent, eg, asset manager, at final maturity.
REDO	Redenomination	Event by which the unit (currency and/or nominal) of a security is restated, eg, nominal/par value of security in a national currency is restated in another currency.
REMK	RemarketingAgreement	Purchase and sale of remarketed preferred equities/bonds through the negotiation of interest rate between the issuers and the holders.
RHDI	IntermediateSecuritiesDistribution	The distribution of intermediate securities or privilege that gives the holder the right to take part in a future event.
RHTS	RightsIssue	Rights Issue/ Subscription Rights/ Rights Offer. Distribution of a security or privilege that gives the holder an entitlement or right to take part in a future event.
SHPR	SharesPremiumDividend	This corporate event pays shareholders an amount in cash issued from the shares premium reserve. It is similar to a dividend but with different tax implications.
SMAL	SmallestNegotiableUnit	Modification of the smallest negotiable unit of shares in order to obtain a new negotiable unit.
SOFF	SpinOff	Event is a demerger or distribution or an unbundling. It is a distribution of subsidiary stock to the shareholders of the parent company without a surrender of shares. A spin-off represents a form of divestiture resulting in an independent company. Normally this is without cost to the parent issue shareholder.
SPLF	StockSplit	Event is a change in nominal value, a subdivision. It is an increase in a corporation's number of outstanding shares of stock without any change in the shareholder's equity or the aggregate market value at the time of the split. Stock price and nominal value are reduced accordingly.
SPLR	ReverseStockSplit	Event is a change in nominal value, a consolidation. It is a decrease in number of outstanding shares of stock without any change in the shareholder's equity or the aggregate market value at the time of the split. Stock price and nominal value are reduced accordingly.
SUSP	TradingStatusSuspended	Trading in the security has been suspended.
TEND	Tender	Event is an acquisition or take-over or offre publique de retrait (FR) or purchase offer or buy-back. It is an offer made to

CodeName	Name	Definition
		shareholders requesting them to sell (tender) their shares for a specified price usually at a premium over prevailing market price. Generally, the objective of a tender offer is to take control of the target company.
TREC	TaxReclaim	Event related to tax reclaim activities.
WRTH	Worthless	Booking out of valueless securities.
WTRC	WithholdingTaxReliefCertification	Certification process for withholding tax reduction or exemption based on the tax status of the holder.
OTHR	OtherEvent	Other event, use only when no other event type applies, eg, a new event type.

25.1.11.1.4.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Event types expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification13](#)" on page 693 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		693
	SchemeName <SchmeNm>	[0..1]	Text		693
	Issuer <Issr>	[1..1]	Text		693

25.1.11.1.5 MandatoryVoluntaryEventType <MndtryVlntryEvtTp>

Presence: [1..1]

Definition: Specifies whether the event is mandatory, mandatory with options or voluntary.

MandatoryVoluntaryEventType <MndtryVlntryEvtTp> contains one of the following **CorporateActionMandatoryVoluntary1FormatChoice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		702
Or}	Proprietary <Prtry>	[1..1]	±		703

25.1.11.1.5.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify whether the event is mandatory, mandatory with options or voluntary.

Datatype: "[CorporateActionMandatoryVoluntary1Code](#)" on page 820

CodeName	Name	Definition
MAND	Mandatory	Participation in CA event is mandatory. Further instructions from the account owner are not required.
CHOS	MandatoryWithOptions	Participation in the corporate action is mandatory and further instructions from the account owner are required, unless a default option has been specified.
VOLU	Voluntary	Participation in the corporate action is voluntary. If the owner wishes to take part in the event, instructions from the account owner are required.

25.1.11.1.5.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary code to express whether the event is mandatory, mandatory with options or voluntary.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification13](#)" on page 693 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		693
	SchemeName <SchmeNm>	[0..1]	Text		693
	Issuer <Issr>	[1..1]	Text		693

25.1.11.1.6 EventProcessingType <EvtPrcgTp>

Presence: [0..1]

Definition: Type of processing involved by a Corporate Action.

EventProcessingType <EvtPrcgTp> contains one of the following **CorporateActionEventProcessingType1FormatChoice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		703
Or}	Proprietary <Prtry>	[1..1]	±		704

25.1.11.1.6.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the type of event processing.

Datatype: "[CorporateActionEventProcessingType1Code](#)" on page 806

CodeName	Name	Definition
GENL	General	No debit or credit of resources.
DISN	Distribution	Holder of the relevant security on a certain date, for example, the record

CodeName	Name	Definition
		date, will receive a benefit without giving up the underlying security.
REOR	Reorganisation	Underlying security will be debited and may be replaced by another resource (or resources).

25.1.11.1.6.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary code to express the type of event processing.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification13](#)" on page 693 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		693
	SchemeName <SchmeNm>	[0..1]	Text		693
	Issuer <Issr>	[1..1]	Text		693

25.1.11.1.7 UnderlyingSecurity <UndrlygScty>

Presence: [1..1]

Definition: Identification of the underlying financial instrument, ie, the financial instrument affected by the corporate action event.

UnderlyingSecurity <UndrlygScty> contains the following elements (see "[FinancialInstrumentDescription3](#)" on page 790 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	SecurityIdentification <SctyId>	[1..1]	±		790
	PlaceOfListing <PlcOfListg>	[0..1]	IdentifierSet		790
	SafekeepingPlace <SfkpgPlc>	[0..1]	±		790

25.1.11.2 CorporateActionEventType84Choice

Definition: Choice between formats to express the corporate event type.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		705
Or}	Proprietary <Prtry>	[1..1]	±		711

Constraints

- **EventTypeRule**

If Code is present, the code OTHR (Other) must only be used if no other corporate action event code is appropriate.

If Code is present, the code CHAN (Change) must only be used if no other corporate action event code is appropriate and only for an event which relates to a change.

If Code is present, the code REDM (Final Maturity) must only be used if the redemption is decided by the issuer and not by the securities holders.

25.1.11.2.1 Code <Cd>

Presence: [1..1]

Definition: Event type expressed as a code.

Datatype: "CorporateActionEventType31Code" on page 813

CodeName	Name	Definition
ACTV	ActiveTradingStatus	Trading in the security has commenced or security has been re-activated after a suspension in trading.
ATTI	Attachment	Combination of different security types to create a unit. Units are usually comprised of warrants and bonds or warrants and equities. Securities may be combined at the request of the security holder or based on market convention.
BRUP	Bankruptcy	Legal status of a company unable to pay creditors. Bankruptcy usually involves a formal court ruling. Securities may become valueless.
DFLT	BondDefault	Failure by the company to perform obligations defined as default events under the bond agreement and that have not been remedied.
BONU	BonusIssue	Bonus or capitalisation issue. Security holders receive additional assets free of payment from the issuer, in proportion to their holding.
EXRI	CallOnIntermediateSecurities	Call or exercise on nil paid securities or intermediate securities resulting from an intermediate securities distribution (RHDI). This code is used for the second event, when an intermediate securities' issue (rights/coupons) is composed of two events, the first event being the distribution of intermediate securities.
CAPD	CapitalDistribution	Corporate event pays shareholders an amount in cash issued from the capital account. There is no reduction to the face value of a single share (or the share has no par value). The number of circulating shares remains unchanged.
CAPG	CapitalGainsDistribution	Event is the distribution of profits resulting from the sale of securities. Shareholders of mutual funds, unit trusts, or SICAVs are recipients of capital gains distributions and are often

CodeName	Name	Definition
		reinvested in additional shares of the fund.
CAPI	Capitalisation	Increase of the current principal of a debt instrument without increasing the nominal value. It normally arises from the incorporation of due but unpaid interest into the principal. This is commonly done by increasing the pool factor value, for example, capitalisation, and negative amortisation.
DRCA	CashDistributionFromNonEligibleSecuritiesSales	Distribution to shareholders of cash resulting from the selling of non-eligible securities, for example, in the frame of a depositary receipt program.
DVCA	CashDividend	Distribution of cash to shareholders, in proportion to their equity holding. Ordinary dividends are recurring and regular. Shareholder must take cash and may be offered a choice of currency.
CHAN	Change	Information regarding a change further described in the corporate action details.
COOP	CompanyOption	Company option may be granted by the company, allowing the holder to take up shares at some future date(s) at a pre arranged price in the company. A company may not grant options which enable the holder to take up unissued shares at a time which is five or more years from the date of the grant. Option holders are not members of a company. They are contingent creditors of a company and hence may, in some instances, be entitled to vote on and be bound by a scheme of arrangement between the creditors and the company. As many options have multiple exercise periods a company option will either lapse or carry on to the next expiry date.
CLSA	ClassActionProposedSettlement	Situation where interested parties seek restitution for financial loss. The security holder may be offered the opportunity to join a class action proceeding and would need to respond with an instruction.
CONS	Consent	Procedure that aims to obtain consent of holder to a proposal by the issuer or a third party without convening a meeting. For example, consent to change the terms of a bond.
CONV	Conversion	Conversion of securities (generally convertible bonds or preferred shares) into another form of securities (usually common shares) at a pre-stated price/ ratio.
CREV	CreditEvent	Occurrence of credit derivative for which the issuer of one or several underlying securities is unable to fulfill its financial

CodeName	Name	Definition
		obligations (as defined in terms and conditions).
DECR	DecreaseInValue	Reduction of face value of a single share or the value of fund assets. The number of circulating shares/units remains unchanged. This event may include a cash payout to holders.
DETI	Detachment	Separation of components that comprise a security, for example, usually units comprised of warrants and bond or warrants and equity. Units may be broken up at the request of the security holder or based on market convention.
DSCL	Disclosure	Requirement for holders or beneficial owners to disclose their name, location and holdings of any issue to the issuer.
DVOP	DividendOption	Distribution of a dividend to shareholders with a choice of benefit to receive. Shareholders may choose to receive shares or cash. To be distinguished from DRIP as the company creates new share capital in exchange for the dividend rather than investing the dividend in the market.
DRIP	DividendReinvestment	Dividend payment where holders can keep cash or have the cash reinvested in the market by the issuer into additional shares in the issuing company. To be distinguished from DVOP as the company invests the dividend in the market rather than creating new share capital in exchange for the dividend.
DRAW	Drawing	Securities are redeemed in part before the scheduled final maturity date. It is done without any pool factor reduction. The redemption is reflected in a debit of the face amount (FAMT). Drawing is distinct from partial call since drawn bonds are chosen by lottery. Therefore, not every holder is affected in the same way.
DTCH	DutchAuction	Action by a party wishing to acquire a security. Holders of the security are invited to make an offer to sell, within a specific price range. The acquiring party will buy from the holder with lowest offer.
EXOF	Exchange	Exchange of holdings for other securities and/or cash. The exchange can be either mandatory or voluntary involving the exchange of outstanding securities for different securities and/or cash. For example "exchange offer", "capital reorganisation" or "funds separation".
REDM	FinalMaturity	Redemption of an entire issue outstanding of securities, for example, bonds, preferred equity, funds, by the

CodeName	Name	Definition
		issuer or its agent, for example, asset manager, at final maturity.
MCAL	FullCall	Redemption of an entire issue outstanding of securities, for example, bonds, preferred equity, funds, by the issuer or its agent, for example, asset manager, before final maturity.
INCR	IncreaseInValue	Increase in the face value of a single security. The number of circulating securities remains unchanged.
PPMT	InstalmentCall	Instalment towards the purchase of equity capital, subject to an agreement between an issuer and a purchaser.
INTR	InterestPayment	Interest payment distributed to holders of an interest bearing asset.
RHDI	IntermediateSecuritiesDistribution	Distribution of intermediate securities that gives the holder the right to take part in a future event.
LIQU	LiquidationDividend	Distribution of cash, assets or both. Debt may be paid in order of priority based on preferred claims to assets specified by the security.
EXTM	MaturityExtension	As stipulated in the security's Terms and Conditions, the issuer or the holder may prolong the maturity date of a security. After extension, the security details may differ from the original issue. An issuer initiated extension may be subject to holder's approval.
MRGR	Merger	Exchange of outstanding securities, initiated by the issuer which may include options, as the result of two or more companies combining assets, that is, an external, third party company. Cash payments may accompany share exchange.
NOOF	NonOfficialOffer	Offers that are not supervised or regulated by an official entity and being offered by a party, for example a broker, usually at a discount price, for example broker offer, mini-tender, mini odd lot offer or third party offer.
CERT	NonUSTEFRADCertification	Non-US beneficial owner certification requirement for exchange of temporary to permanent notes.
ODLT	OddLotSalePurchase	Sale or purchase of odd-lots to/from the issuing company, initiated either by the holder of the security or through an offer made by the issuer.
OTHR	OtherEvent	Other event, use only when no other event type applies, for example, a new event type.
PARI	PariPassu	Occurs when securities with different characteristics, for example, shares with

CodeName	Name	Definition
		different entitlements to dividend or voting rights, become identical in all respects, for example, pari-passu or assimilation. May be scheduled in advance, for example, shares resulting from a bonus may become fungible after a pre-set period of time, or may result from outside events, for example, merger, reorganisation, issue of supplementary tranches.
PCAL	PartialRedemptionWithoutPoolFactorReduction	Securities are redeemed in part before their scheduled final maturity date. It is done without any pool factor reduction. The redemption is reflected in a debit of the face amount (FAMT).
PRED	PartialRedemptionWithPoolFactorReduction	Securities are redeemed in part before their scheduled final maturity date. The redemption is reflected in a pool factor reduction. No movement of securities occurs.
PINK	PayInKind	Interest payment, in any kind except cash, distributed to holders of an interest bearing asset.
PLAC	PlaceOfIncorporation	Changes in the state of incorporation for US companies and changes in the place of incorporation for foreign companies. Where shares need to be registered following the incorporation change, the holder(s) may have to elect the registrar.
PDEF	Prefunding	Also called partial defeasance. Issuer has money set aside to redeem a portion of an issue and the indenture states that the securities could be called earlier than the stated maturity.
PRI0	PriorityIssue	Form of open or public offer where, due to a limited amount of securities available, priority is given to existing shareholders.
BPUT	PutRedemption	Early redemption of a security at the election of the holder subject to the terms and condition of the issue with no reduction in nominal value.
REDO	Redenomination	Event by which the unit (currency and/or nominal) of a security is restated, for example, nominal/par value of security in a national currency is restated in another currency.
REMK	RemarketingAgreement	Purchase and sale of remarketed preferred equities/bonds through the negotiation of interest rate between the issuers and the holders.
BIDS	RepurchaseOffer	Repurchase offer / issuer bid / reverse rights. Offer to existing holders by the issuing company to repurchase its own securities. The objective of the offer is to

CodeName	Name	Definition
		reduce the number of outstanding securities.
SPLR	ReverseStockSplit	Decrease in a company's number of outstanding equities without any change in the shareholder's equity or the aggregate market value at the time of the split. Equity price and nominal value are increased accordingly.
RHTS	RightsIssue	Offer to holders of a security to subscribe for additional securities via the distribution of an intermediate security. Both processes are included in the same event.
DVSC	ScripDividend	Dividend or interest paid in the form of scrip.
SHPR	SharesPremiumDividend	Corporate event pays shareholders an amount in cash issued from the shares premium reserve. It is similar to a dividend but with different tax implications.
SMAL	SmallestNegotiableUnit	Modification of the smallest negotiable unit of shares in order to obtain a new negotiable unit.
SOFF	SpinOff	Distribution of securities issued by another company. The distributed securities may either be of a newly created or of an existing company. For example, spin-off, demerger, unbundling, divestment.
DVSE	StockDividend	Dividend paid to shareholders in the form of equities of the issuing corporation.
SPLF	StockSplit	Increase in a corporation's number of outstanding equities without any change in the shareholder's equity or the aggregate market value at the time of the split. Equity price and nominal value are reduced accordingly.
TREC	TaxReclaim	Event related to tax reclaim activities.
TEND	Tender	Offer made to holders by a third party, requesting them to sell (tender) or exchange their securities.
DLST	TradingStatusDelisted	Security is no longer able to comply with the listing requirements of a stock exchange and is removed from official board quotation.
SUSP	TradingStatusSuspended	Trading in the security has been suspended.
EXWA	WarrantExercise	Option offered to holders to buy (call warrant) or to sell (put warrant) a specific amount of stock, cash, or commodity, at a predetermined price, during a predetermined period of time (which

CodeName	Name	Definition
		usually corresponds to the life of the issue).
WTRC	WithholdingTaxReliefCertification	Certification process for withholding tax reduction or exemption based on the tax status of the holder.
WRTH	Worthless	Booking out of valueless securities.
ACCU	Accumulation	Funds related event in which the income (for example accumulation units) that accrues during an accounting period is retained within the fund instead of being paid away to investors. The retained income is nonetheless deemed to have been distributed to investors for tax purposes.
INFO	Information	Information provided by the issuer having no accounting/financial impact on the holder.
TNDP	TaxOnNonDistributedProceeds	Taxable component on non-distributed proceeds, for example, Australian deemed income or US 871m income regulation.

25.1.11.2.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Event type expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification30](#)" on page 691 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		691
	Issuer <Issr>	[1..1]	Text		691
	SchemeName <SchmeNm>	[0..1]	Text		691

25.1.11.3 CorporateActionMandatoryVoluntary3Choice

Definition: Choice between a standard code or a proprietary code to indicate if a corporate action event is mandatory or not.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		711
Or}	Proprietary <Prtry>	[1..1]	±		712

25.1.11.3.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify whether the event is mandatory, mandatory with options or voluntary.

Datatype: "CorporateActionMandatoryVoluntary1Code" on page 820

CodeName	Name	Definition
MAND	Mandatory	Participation in CA event is mandatory. Further instructions from the account owner are not required.
CHOS	MandatoryWithOptions	Participation in the corporate action is mandatory and further instructions from the account owner are required, unless a default option has been specified.
VOLU	Voluntary	Participation in the corporate action is voluntary. If the owner wishes to take part in the event, instructions from the account owner are required.

25.1.11.3.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification of an event.

Proprietary <Prtry> contains the following elements (see "GenericIdentification30" on page 691 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		691
	Issuer <Issr>	[1..1]	Text		691
	SchemeName <SchmeNm>	[0..1]	Text		691

25.1.11.4 CorporateActionEventProcessingType2Choice

Definition: Choice between a standard code or a proprietary code for specifying the processing type of a corporate action event.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		712
Or}	Proprietary <Prtry>	[1..1]	±		713

25.1.11.4.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the processing type of a corporate action event.

Datatype: "CorporateActionEventProcessingType1Code" on page 806

CodeName	Name	Definition
GENL	General	No debit or credit of resources.
DISN	Distribution	Holder of the relevant security on a certain date, for example, the record date, will receive a benefit without giving up the underlying security.

CodeName	Name	Definition
REOR	Reorganisation	Underlying security will be debited and may be replaced by another resource (or resources).

25.1.11.4.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification of the processing type of a corporate action event.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification30](#)" on page 691 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		691
	Issuer <Issr>	[1..1]	Text		691
	SchemeName <SchmeNm>	[0..1]	Text		691

25.1.11.5 RateAndAmountFormat1Choice

Definition: Choice of format between rate, amount and not specified.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		713
Or	Amount <Amt>	[1..1]	Amount	C1, C5	713
Or}	NotSpecifiedRate <NotSpctdRate>	[1..1]			714
{Or	Code <Cd>	[1..1]	CodeSet		714
Or}	Proprietary <Prtry>	[1..1]	±		714

25.1.11.5.1 Rate <Rate>

Presence: [1..1]

Definition: The value is expressed as a rate.

Datatype: "[PercentageRate](#)" on page 851

25.1.11.5.2 Amount <Amt>

Presence: [1..1]

Definition: The value is expressed as a currency and amount.

Impacted by: C1 "ActiveCurrency", C5 "CurrencyAmount"

Datatype: "[ActiveCurrencyAndAmount](#)" on page 801

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

25.1.11.5.3 NotSpecifiedRate <NotSpcfdRate>

Presence: [1..1]

Definition: No value is specified.

NotSpecifiedRate <NotSpcfdRate> contains one of the following **RateType12FormatChoice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		714
Or}	Proprietary <Prtry>	[1..1]	±		714

25.1.11.5.3.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the type of rate.

Datatype: "RateType12Code" on page 835

CodeName	Name	Definition
OPEN	Open	Rate has not been established.
UKWN	Unknown	Rate is unknown by the sender or has not been established.
NILP	NilPayment	Rate will not be paid.

25.1.11.5.3.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary code to express the type of rate.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification13](#)" on page 693 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		693
	SchemeName <SchmeNm>	[0..1]	Text		693
	Issuer <Issr>	[1..1]	Text		693

25.1.11.6 CorporateActionStandingInstructionGeneralInformation1

Definition: Provides information about the standing instruction.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	StandingInstructionType <StgInstrTp>	[1..1]	CodeSet		715
	EventType <EvtTp>	[0..*]			715
{Or	Code <Cd>	[1..1]	CodeSet		715
Or}	Proprietary <Prtry>	[1..1]	±		721
	InstructingPartyIdentification <InstgPtyId>	[1..1]	±		722
	ClientStandingInstructionIdentification <ClntStgInstrId>	[1..1]	Text		722
	AccountDetails <AcctDtls>	[0..*]			722
	SecuritiesAccountIdentification <SctiesAcctId>	[1..1]	Text		722
	IncludedIndicator <InclInd>	[1..1]	Indicator		722
	UnderlyingSecurity <UndrlygScty>	[0..1]	±		723

25.1.11.6.1 StandingInstructionType <StgInstrTp>

Presence: [1..1]

Definition: Type of standing instruction.

Datatype: "StandingInstructionType1Code" on page 845

CodeName	Name	Definition
CASH	CashDistribution	Standing instruction for a cash distribution.
PAYM	GrossNet	Standing instruction for the payment modality, gross or net.
SECU	SecuritiesDistribution	Standing instruction for a securities distribution.

25.1.11.6.2 EventType <EvtTp>

Presence: [0..*]

Definition: Type of corporate action event.

EventType <EvtTp> contains one of the following **CorporateActionEventType2FormatChoice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		715
Or}	Proprietary <Prtry>	[1..1]	±		721

25.1.11.6.2.1 Code <Cd>

Presence: [1..1]

Definition: Event types expressed as a code.

Datatype: "CorporateActionEventType2Code" on page 808

CodeName	Name	Definition
ACTV	ActiveTradingStatus	Trading in security has commenced or security has been re-activated after a suspension in trading.
ATTI	Attachment	Combination of different security types to create a unit. Units are usually comprised of warrants and bonds or warrants and equities. Securities may be combined at the request of the security holder or based on market convention.
BIDS	RepurchaseOffer	Repurchase offer/Issuer bid/ Reverse rights. Offer to existing shareholders by the issuing company to repurchase equity or other securities convertible into equity. The objective of the offer is to reduce the number of outstanding equities.
BONU	BonusIssue	Event is a bonus issue or scrip issue or capitalisation issue. Security holders are awarded additional assets free of payment from the issuer in proportion to their holding. A bonus issue is typically represented by shares, rights or warrants. Nominal value doesn't change. Holder may be offered choice of form.
BPUT	PutRedemption	Redemption in part before the scheduled final maturity date of a security. Drawing is distinct from partial call since drawn bonds are chosen by lottery and with no reduction in nominal value.
BRUP	Bankruptcy	Legal status of a company unable to pay creditors. Bankruptcy usually involves a formal court ruling. Securities may become valueless.
CAPG	CapitalGainsDistribution	Event is the distribution of profits resulting from the sale of securities. Shareholders of mutual funds, unit trusts, or Sicavs are recipients of capital gains distributions and are often reinvested in additional shares of the fund.
CAPI	Capitalisation	Increase of the current principal of a debt instrument without increasing the nominal value. It normally arises from the incorporation of due but unpaid interest into the principal. This is commonly done by increasing the pool factor value, eg, capitalisation, and negative amortisation.
CERT	NonUSTEFRADCertification	Non-US beneficial owner certification requirement for exchange of temporary to permanent notes.
CHAN	Change	Information regarding a change further described in the corporate action details.

CodeName	Name	Definition
CLSA	ClassActionProposedSettlement	Situation where interested parties seek restitution for financial loss. The security holder may be offered the opportunity to join a class action proceeding and would need to respond with an instruction.
CONS	Consent	Procedure that aims to obtain consent of holder to a proposal by the issuer or a third party intended to progress an event to the next stage. This procedure is not required to be linked to the organisation of a formal meeting. For example, consent to approve a plan of reorganisation for a bankruptcy proceeding.
CONV	Conversion	Conversion of securities (generally convertible bonds or preferred shares) into another form of securities (usually common shares) at a pre-stated price/ ratio.
COOP	CompanyOption	A Company Option may be granted by the company, allowing the holder to take up shares at some future date(s) at a pre arranged price in the company. A company may not grant options which enable the holder to take up unissued shares at a time which is five or more years from the date of the grant. Option holders are not members of a company. They are contingent creditors of a company and hence may, in some instances, be entitled to vote on and be bound by a scheme of arrangement between the creditors and the company. As many options have multiple exercise periods a company option will either lapse or carry on to the next expiry date.
DECR	DecreaseInValue	Reduction of face value of a single share or the value of fund assets. The number of circulating shares/units remains unchanged. This event may include a cash payout to holders.
DETI	Detachment	Separation of components that comprise a security, eg, usually units comprised of warrants and bond or warrants and equity. Units may be broken up at the request of the security holder or based on market convention.
DFLT	BondDefault	Failure by the company to perform obligations defined as default events under the bond agreement and that have not been remedied.
DLST	TradingStatusDelisted	Security is no longer able to comply with the listing requirements of a stock exchange and is removed from official board quotation.
DRAW	Drawing	Redemption in part before the scheduled final maturity date of a security. Drawing is distinct from partial call since drawn

CodeName	Name	Definition
		bonds are chosen by lottery and with no reduction in nominal value.
DRIP	DividendReinvestment	Event is a dividend payment type where cash dividend is rolled over into additional shares in the issuing company.
DSCL	Disclosure	Requirement for holders or beneficial owners to disclose their name, location and holdings of any issue to the issuer.
DTCH	DutchAuction	An action by a party wishing to acquire a security. Holders of the security are invited to make an offer to sell, within a specific price range. The acquiring party will buy from the holder with lowest offer.
DVCA	CashDividend	Distribution of cash to shareholders, in proportion to their equity holding. Ordinary dividends are recurring and regular. Shareholder must take cash and may be offered a choice of currency.
DVOP	DividendOption	Event is a distribution of a dividend to shareholders with the choice of payment method. The shareholder must choose the form of payment - stock, cash, or both.
DVSC	ScripDividend	Scrip Dividend/Payment. Dividend or interest paid in the form of scrip.
DVSE	StockDividend	Event is a dividend paid to shareholders in the form of shares of stock in the issuing company or in another company. The shareholder must take stock and is not offered a choice in the form of distribution.
EXOF	Exchange	Exchange of holdings for other securities and/or cash. The exchange can be either mandatory or voluntary involving the exchange of outstanding securities for different securities and/or cash. For example "exchange offer", "capital reorganisation" or "funds separation".
EXRI	CallOnIntermediateSecurities	Call or exercise on nil paid securities or intermediate securities resulting from an intermediate securities distribution (RHDI). This code is used for the second event, when an intermediate securities' issue (rights/coupons) is composed of two events, the first event being the distribution of intermediate securities.
EXTM	MaturityExtension	As stipulated in a bond's Terms and Conditions, the issuer or the bond-holder may prolong the maturity date of a bond. After extension, the security may differ from original issue (new rate or maturity date). May be subject to bondholder's approval.
EXWA	WarrantExercise	Option offered to holders to buy (call warrant) or to sell (put warrant) a specific

CodeName	Name	Definition
		amount of stock, cash, or commodity, at a predetermined price, during a predetermined period of time (which usually corresponds to the life of the issue).
INCR	IncreaseInValue	Increase in the face value of a single security. The number of circulating securities remains unchanged.
INTR	InterestPayment	Regular interest payment distributed to holders of an interest bearing asset.
LIQU	LiquidationDividend	A distribution of cash, assets or both. Debt may be paid in order of priority based on preferred claims to assets specified by the security.
MCAL	FullCall	The redemption of an entire issue outstanding of securities, eg, bonds, preferred equity, funds, by the issuer or its agent, eg, asset manager, before final maturity.
MRGR	Merger	Event is a mandatory or voluntary exchange of outstanding securities as the result of two or more companies combining assets. Cash payments may accompany share exchange.
ODLT	OddLotSalePurchase	Odd Lot Sale/Purchase. Sale or purchase of odd-lots to/from the issuing company, initiated either by the holder of the security or through an offer made by the issuer.
PARI	PariPassu	Occurs when securities with different characteristics, eg, shares with different entitlements to dividend or voting rights, become identical in all respects, eg, pari-passu or assimilation. May be scheduled in advance, eg, shares resulting from a bonus may become fungible after a pre-set period of time, or may result from outside events, eg, merger, reorganisation, issue of supplementary tranches, etc.
PCAL	PartialRedemptionWithNominalValueReduction	Securities are redeemed in part before their scheduled final maturity date with reduction of the nominal value of the shares. The outstanding amount of securities will be reduced proportionally.
PDEF	Prefunding	Also called partial defeasance. Issuer has money set aside to redeem a portion of an issue and the indenture states that the securities could be called earlier than the stated maturity.
PINK	PayInKind	Interest payment, in any kind except cash, distributed to holders of an interest bearing asset.
PLAC	PlaceOfIncorporation	Changes in the state of incorporation for US companies and changes in the place of incorporation for foreign companies.

CodeName	Name	Definition
		Where shares need to be registered following the incorporation change, the holder(s) may have to elect the registrar.
PPMT	InstalmentCall	An instalment towards the purchase of equity capital, subject to an agreement between an issuer and a purchaser.
PRED	PartialRedemptionWithoutNominalValueReduction	Securities are redeemed in part before their scheduled final maturity date without reduction of the nominal value of the shares. This is commonly done by pool factor reduction.
PRII	InterestPaymentWithPrincipal	An event which consists of two components, the decrease of the amortized value of a pool factor security and an interest payment.
PRIO	PriorityIssue	Form of open or public offer where, due to a limited amount of securities available, priority is given to existing shareholders.
REDM	FinalMaturity	The redemption of an entire issue outstanding of securities, eg, bonds, preferred equity, funds, by the issuer or its agent, eg, asset manager, at final maturity.
REDO	Redenomination	Event by which the unit (currency and/or nominal) of a security is restated, eg, nominal/par value of security in a national currency is restated in another currency.
REMK	RemarketingAgreement	Purchase and sale of remarketed preferred equities/bonds through the negotiation of interest rate between the issuers and the holders.
RHDI	IntermediateSecuritiesDistribution	The distribution of intermediate securities or privilege that gives the holder the right to take part in a future event.
RHTS	RightsIssue	Rights Issue/ Subscription Rights/ Rights Offer. Distribution of a security or privilege that gives the holder an entitlement or right to take part in a future event.
SHPR	SharesPremiumDividend	This corporate event pays shareholders an amount in cash issued from the shares premium reserve. It is similar to a dividend but with different tax implications.
SMAL	SmallestNegotiableUnit	Modification of the smallest negotiable unit of shares in order to obtain a new negotiable unit.
SOFF	SpinOff	Event is a demerger or distribution or an unbundling. It is a distribution of subsidiary stock to the shareholders of the parent company without a surrender of shares. A spin-off represents a form of

CodeName	Name	Definition
		divestiture resulting in an independent company. Normally this is without cost to the parent issue shareholder.
SPLF	StockSplit	Event is a change in nominal value, a subdivision. It is an increase in a corporation's number of outstanding shares of stock without any change in the shareholder's equity or the aggregate market value at the time of the split. Stock price and nominal value are reduced accordingly.
SPLR	ReverseStockSplit	Event is a change in nominal value, a consolidation. It is a decrease in number of outstanding shares of stock without any change in the shareholder's equity or the aggregate market value at the time of the split. Stock price and nominal value are reduced accordingly.
SUSP	TradingStatusSuspended	Trading in the security has been suspended.
TEND	Tender	Event is an acquisition or take-over or offre publique de retrait (FR) or purchase offer or buy-back. It is an offer made to shareholders requesting them to sell (tender) their shares for a specified price usually at a premium over prevailing market price. Generally, the objective of a tender offer is to take control of the target company.
TREC	TaxReclaim	Event related to tax reclaim activities.
WRTH	Worthless	Booking out of valueless securities.
WTRC	WithholdingTaxReliefCertification	Certification process for withholding tax reduction or exemption based on the tax status of the holder.
OTHR	OtherEvent	Other event, use only when no other event type applies, eg, a new event type.

25.1.11.6.2.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Event types expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification13](#)" on page 693 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		693
	SchemeName <SchmeNm>	[0..1]	Text		693
	Issuer <Issr>	[1..1]	Text		693

25.1.11.6.3 InstructingPartyIdentification <InstgPtyId>*Presence:* [1..1]*Definition:* Identification of the instructing party, ie, the CSD client.**InstructingPartyIdentification <InstgPtyId>** contains one of the following elements (see "PartyIdentification2Choice" on page 733 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	BICOrBEI <BICOrBEI>	[1..1]	IdentifierSet	C2	733
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		733
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		734

25.1.11.6.4 ClientStandingInstructionIdentification <ClntStgInstrId>*Presence:* [1..1]*Definition:* Reference of the standing instruction assigned by the client.*Datatype:* "Max35Text" on page 853**25.1.11.6.5 AccountDetails <AcctDtls>***Presence:* [0..*]*Definition:* Provides information about the account to which the standing instruction can apply.**AccountDetails <AcctDtls>** contains the following **IncludedAccount1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	SecuritiesAccountIdentification <SctiesAcctId>	[1..1]	Text		722
	IncludedIndicator <InclInd>	[1..1]	Indicator		722

25.1.11.6.5.1 SecuritiesAccountIdentification <SctiesAcctId>*Presence:* [1..1]*Definition:* Identification of the securities account.*Datatype:* "Max35Text" on page 853**25.1.11.6.5.2 IncludedIndicator <InclInd>***Presence:* [1..1]*Definition:* Indicates whether the account is impacted or not by the standing instruction.

Yes = The account is impacted by the standing instruction.

No = The account is not impacted by the standing instruction.

Datatype: One of the following values must be used (see "YesNoIndicator" on page 850):

- *Meaning When True:* Yes
- *Meaning When False:* No

25.1.11.6.6 UnderlyingSecurity <UndrlygScty>*Presence:* [0..1]*Definition:* Identification of the underlying financial instrument, ie, the financial instrument affected by the corporate action event.**UnderlyingSecurity <UndrlygScty>** contains the following elements (see "FinancialInstrumentDescription3" on page 790 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	SecurityIdentification <SctyId>	[1..1]	±		790
	PlaceOfListing <PlcOfListg>	[0..1]	IdentifierSet		790
	SafekeepingPlace <SfkpgPlc>	[0..1]	±		790

25.1.11.7 CorporateActionInformation2*Definition:* Provides information about the corporate action event.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AgentIdentification <AgtId>	[1..1]	±		723
	IssuerCorporateActionIdentification <IssrCorpActnId>	[1..1]	Text		724
	CorporateActionProcessingIdentification <CorpActnPrctlId>	[0..1]	Text		724
	EventType <EvtTp>	[1..1]			724
{Or	Code <Cd>	[1..1]	CodeSet		724
Or}	Proprietary <Prtry>	[1..1]	±		730
	EventProcessingType <EvtPrcgTp>	[0..1]			730
{Or	Code <Cd>	[1..1]	CodeSet		731
Or}	Proprietary <Prtry>	[1..1]	±		731
	MandatoryVoluntaryEventType <MndtryVlntryEvtTp>	[1..1]			731
{Or	Code <Cd>	[1..1]	CodeSet		732
Or}	Proprietary <Prtry>	[1..1]	±		732
	UnderlyingSecurity <UndrlygScty>	[1..1]	±		732
	OtherUnderlyingSecurity <OthrUndrlygScty>	[0..*]	±		733

25.1.11.7.1 AgentIdentification <AgtId>*Presence:* [1..1]*Definition:* Identification of the issuer's agent or the issuer.

AgentIdentification <AgId> contains one of the following elements (see "[PartyIdentification2Choice](#)" on page 733 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	BICOrBEI <BICOrBEI>	[1..1]	IdentifierSet	C2	733
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		733
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		734

25.1.11.7.2 IssuerCorporateActionIdentification <IssrCorpActnId>

Presence: [1..1]

Definition: Reference given to the event by the CA event issuer (agent).

Datatype: "[Max35Text](#)" on page 853

25.1.11.7.3 CorporateActionProcessingIdentification <CorpActnPrctlId>

Presence: [0..1]

Definition: Reference assigned by the (I)CSD to unambiguously identify a corporate event.

Datatype: "[Max35Text](#)" on page 853

25.1.11.7.4 EventType <EvtTp>

Presence: [1..1]

Definition: Specifies the type of corporate event.

EventType <EvtTp> contains one of the following **CorporateActionEventType2FormatChoice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		724
Or}	Proprietary <Prtry>	[1..1]	±		730

25.1.11.7.4.1 Code <Cd>

Presence: [1..1]

Definition: Event types expressed as a code.

Datatype: "[CorporateActionEventType2Code](#)" on page 808

CodeName	Name	Definition
ACTV	ActiveTradingStatus	Trading in security has commenced or security has been re-activated after a suspension in trading.
ATTI	Attachment	Combination of different security types to create a unit. Units are usually comprised of warrants and bonds or warrants and equities. Securities may be combined at the request of the security holder or based on market convention.

CodeName	Name	Definition
BIDS	RepurchaseOffer	Repurchase offer/Issuer bid/ Reverse rights. Offer to existing shareholders by the issuing company to repurchase equity or other securities convertible into equity. The objective of the offer is to reduce the number of outstanding equities.
BONU	BonusIssue	Event is a bonus issue or scrip issue or capitalisation issue. Security holders are awarded additional assets free of payment from the issuer in proportion to their holding. A bonus issue is typically represented by shares, rights or warrants. Nominal value doesn't change. Holder may be offered choice of form.
BPUT	PutRedemption	Redemption in part before the scheduled final maturity date of a security. Drawing is distinct from partial call since drawn bonds are chosen by lottery and with no reduction in nominal value.
BRUP	Bankruptcy	Legal status of a company unable to pay creditors. Bankruptcy usually involves a formal court ruling. Securities may become valueless.
CAPG	CapitalGainsDistribution	Event is the distribution of profits resulting from the sale of securities. Shareholders of mutual funds, unit trusts, or Sicavs are recipients of capital gains distributions and are often reinvested in additional shares of the fund.
CAPI	Capitalisation	Increase of the current principal of a debt instrument without increasing the nominal value. It normally arises from the incorporation of due but unpaid interest into the principal. This is commonly done by increasing the pool factor value, eg, capitalisation, and negative amortisation.
CERT	NonUSTEFRADCertification	Non-US beneficial owner certification requirement for exchange of temporary to permanent notes.
CHAN	Change	Information regarding a change further described in the corporate action details.
CLSA	ClassActionProposedSettlement	Situation where interested parties seek restitution for financial loss. The security holder may be offered the opportunity to join a class action proceeding and would need to respond with an instruction.
CONS	Consent	Procedure that aims to obtain consent of holder to a proposal by the issuer or a third party intended to progress an event to the next stage. This procedure is not required to be linked to the organisation of a formal meeting. For example, consent to approve a plan of

CodeName	Name	Definition
		reorganisation for a bankruptcy proceeding.
CONV	Conversion	Conversion of securities (generally convertible bonds or preferred shares) into another form of securities (usually common shares) at a pre-stated price/ ratio.
COOP	CompanyOption	A Company Option may be granted by the company, allowing the holder to take up shares at some future date(s) at a pre arranged price in the company. A company may not grant options which enable the holder to take up unissued shares at a time which is five or more years from the date of the grant. Option holders are not members of a company. They are contingent creditors of a company and hence may, in some instances, be entitled to vote on and be bound by a scheme of arrangement between the creditors and the company. As many options have multiple exercise periods a company option will either lapse or carry on to the next expiry date.
DECR	DecreaseInValue	Reduction of face value of a single share or the value of fund assets. The number of circulating shares/units remains unchanged. This event may include a cash payout to holders.
DETI	Detachment	Separation of components that comprise a security, eg, usually units comprised of warrants and bond or warrants and equity. Units may be broken up at the request of the security holder or based on market convention.
DFLT	BondDefault	Failure by the company to perform obligations defined as default events under the bond agreement and that have not been remedied.
DLST	TradingStatusDelisted	Security is no longer able to comply with the listing requirements of a stock exchange and is removed from official board quotation.
DRAW	Drawing	Redemption in part before the scheduled final maturity date of a security. Drawing is distinct from partial call since drawn bonds are chosen by lottery and with no reduction in nominal value.
DRIP	DividendReinvestment	Event is a dividend payment type where cash dividend is rolled over into additional shares in the issuing company.
DSCL	Disclosure	Requirement for holders or beneficial owners to disclose their name, location and holdings of any issue to the issuer.
DTCH	DutchAuction	An action by a party wishing to acquire a security. Holders of the security are

CodeName	Name	Definition
		invited to make an offer to sell, within a specific price range. The acquiring party will buy from the holder with lowest offer.
DVCA	CashDividend	Distribution of cash to shareholders, in proportion to their equity holding. Ordinary dividends are recurring and regular. Shareholder must take cash and may be offered a choice of currency.
DVOP	DividendOption	Event is a distribution of a dividend to shareholders with the choice of payment method. The shareholder must choose the form of payment - stock, cash, or both.
DVSC	ScripDividend	Scrip Dividend/Payment. Dividend or interest paid in the form of scrip.
DVSE	StockDividend	Event is a dividend paid to shareholders in the form of shares of stock in the issuing company or in another company. The shareholder must take stock and is not offered a choice in the form of distribution.
EXOF	Exchange	Exchange of holdings for other securities and/or cash. The exchange can be either mandatory or voluntary involving the exchange of outstanding securities for different securities and/or cash. For example "exchange offer", "capital reorganisation" or "funds separation".
EXRI	CallOnIntermediateSecurities	Call or exercise on nil paid securities or intermediate securities resulting from an intermediate securities distribution (RHDI). This code is used for the second event, when an intermediate securities' issue (rights/coupons) is composed of two events, the first event being the distribution of intermediate securities.
EXTM	MaturityExtension	As stipulated in a bond's Terms and Conditions, the issuer or the bond-holder may prolong the maturity date of a bond. After extension, the security may differ from original issue (new rate or maturity date). May be subject to bondholder's approval.
EXWA	WarrantExercise	Option offered to holders to buy (call warrant) or to sell (put warrant) a specific amount of stock, cash, or commodity, at a predetermined price, during a predetermined period of time (which usually corresponds to the life of the issue).
INCR	IncreaseInValue	Increase in the face value of a single security. The number of circulating securities remains unchanged.
INTR	InterestPayment	Regular interest payment distributed to holders of an interest bearing asset.

CodeName	Name	Definition
LIQU	LiquidationDividend	A distribution of cash, assets or both. Debt may be paid in order of priority based on preferred claims to assets specified by the security.
MCAL	FullCall	The redemption of an entire issue outstanding of securities, eg, bonds, preferred equity, funds, by the issuer or its agent, eg, asset manager, before final maturity.
MRGR	Merger	Event is a mandatory or voluntary exchange of outstanding securities as the result of two or more companies combining assets. Cash payments may accompany share exchange.
ODLT	OddLotSalePurchase	Odd Lot Sale/Purchase. Sale or purchase of odd-lots to/from the issuing company, initiated either by the holder of the security or through an offer made by the issuer.
PARI	PariPassu	Occurs when securities with different characteristics, eg, shares with different entitlements to dividend or voting rights, become identical in all respects, eg, pari-passu or assimilation. May be scheduled in advance, eg, shares resulting from a bonus may become fungible after a pre-set period of time, or may result from outside events, eg, merger, reorganisation, issue of supplementary tranches, etc.
PCAL	PartialRedemptionWithNominalValueReduction	Securities are redeemed in part before their scheduled final maturity date with reduction of the nominal value of the shares. The outstanding amount of securities will be reduced proportionally.
PDEF	Prefunding	Also called partial defeasance. Issuer has money set aside to redeem a portion of an issue and the indenture states that the securities could be called earlier than the stated maturity.
PINK	PayInKind	Interest payment, in any kind except cash, distributed to holders of an interest bearing asset.
PLAC	PlaceOfIncorporation	Changes in the state of incorporation for US companies and changes in the place of incorporation for foreign companies. Where shares need to be registered following the incorporation change, the holder(s) may have to elect the registrar.
PPMT	InstalmentCall	An instalment towards the purchase of equity capital, subject to an agreement between an issuer and a purchaser.
PRED	PartialRedemptionWithoutNominalValueReduction	Securities are redeemed in part before their scheduled final maturity date without reduction of the nominal value of

CodeName	Name	Definition
		the shares. This is commonly done by pool factor reduction.
PRII	InterestPaymentWithPrincipal	An event which consists of two components, the decrease of the amortized value of a pool factor security and an interest payment.
PRI0	PriorityIssue	Form of open or public offer where, due to a limited amount of securities available, priority is given to existing shareholders.
REDM	FinalMaturity	The redemption of an entire issue outstanding of securities, eg, bonds, preferred equity, funds, by the issuer or its agent, eg, asset manager, at final maturity.
REDO	Redenomination	Event by which the unit (currency and/or nominal) of a security is restated, eg, nominal/par value of security in a national currency is restated in another currency.
REMK	RemarketingAgreement	Purchase and sale of remarketed preferred equities/bonds through the negotiation of interest rate between the issuers and the holders.
RHDI	IntermediateSecuritiesDistribution	The distribution of intermediate securities or privilege that gives the holder the right to take part in a future event.
RHTS	RightsIssue	Rights Issue/ Subscription Rights/ Rights Offer. Distribution of a security or privilege that gives the holder an entitlement or right to take part in a future event.
SHPR	SharesPremiumDividend	This corporate event pays shareholders an amount in cash issued from the shares premium reserve. It is similar to a dividend but with different tax implications.
SMAL	SmallestNegotiableUnit	Modification of the smallest negotiable unit of shares in order to obtain a new negotiable unit.
SOFF	SpinOff	Event is a demerger or distribution or an unbundling. It is a distribution of subsidiary stock to the shareholders of the parent company without a surrender of shares. A spin-off represents a form of divestiture resulting in an independent company. Normally this is without cost to the parent issue shareholder.
SPLF	StockSplit	Event is a change in nominal value, a subdivision. It is an increase in a corporation's number of outstanding shares of stock without any change in the shareholder's equity or the aggregate market value at the time of the split.

CodeName	Name	Definition
		Stock price and nominal value are reduced accordingly.
SPLR	ReverseStockSplit	Event is a change in nominal value, a consolidation. It is a decrease in number of outstanding shares of stock without any change in the shareholder's equity or the aggregate market value at the time of the split. Stock price and nominal value are reduced accordingly.
SUSP	TradingStatusSuspended	Trading in the security has been suspended.
TEND	Tender	Event is an acquisition or take-over or offre publique de retrait (FR) or purchase offer or buy-back. It is an offer made to shareholders requesting them to sell (tender) their shares for a specified price usually at a premium over prevailing market price. Generally, the objective of a tender offer is to take control of the target company.
TREC	TaxReclaim	Event related to tax reclaim activities.
WRTH	Worthless	Booking out of valueless securities.
WTRC	WithholdingTaxReliefCertification	Certification process for withholding tax reduction or exemption based on the tax status of the holder.
OTHR	OtherEvent	Other event, use only when no other event type applies, eg, a new event type.

25.1.11.7.4.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Event types expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification13](#)" on page 693 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		693
	SchemeName <SchmeNm>	[0..1]	Text		693
	Issuer <Issr>	[1..1]	Text		693

25.1.11.7.5 EventProcessingType <EvtPrcgTp>

Presence: [0..1]

Definition: Type of processing involved by a Corporate Action.

EventProcessingType <EvtPrcgTp> contains one of the following **CorporateActionEventProcessingType1FormatChoice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		731
Or}	Proprietary <Prtry>	[1..1]	±		731

25.1.11.7.5.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the type of event processing.

Datatype: "CorporateActionEventProcessingType1Code" on page 806

CodeName	Name	Definition
GENL	General	No debit or credit of resources.
DISN	Distribution	Holder of the relevant security on a certain date, for example, the record date, will receive a benefit without giving up the underlying security.
REOR	Reorganisation	Underlying security will be debited and may be replaced by another resource (or resources).

25.1.11.7.5.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary code to express the type of event processing.

Proprietary <Prtry> contains the following elements (see "GenericIdentification13" on page 693 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		693
	SchemeName <SchmeNm>	[0..1]	Text		693
	Issuer <Issr>	[1..1]	Text		693

25.1.11.7.6 MandatoryVoluntaryEventType <MndtryVlntryEvtTp>

Presence: [1..1]

Definition: Specifies whether the event is mandatory, mandatory with options or voluntary.

MandatoryVoluntaryEventType <MndtryVlntryEvtTp> contains one of the following **CorporateActionMandatoryVoluntary1FormatChoice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		732
Or}	Proprietary <Prtry>	[1..1]	±		732

25.1.11.7.6.1 Code <Cd>*Presence:* [1..1]*Definition:* Standard code to specify whether the event is mandatory, mandatory with options or voluntary.*Datatype:* "CorporateActionMandatoryVoluntary1Code" on page 820

CodeName	Name	Definition
MAND	Mandatory	Participation in CA event is mandatory. Further instructions from the account owner are not required.
CHOS	MandatoryWithOptions	Participation in the corporate action is mandatory and further instructions from the account owner are required, unless a default option has been specified.
VOLU	Voluntary	Participation in the corporate action is voluntary. If the owner wishes to take part in the event, instructions from the account owner are required.

25.1.11.7.6.2 Proprietary <Prtry>*Presence:* [1..1]*Definition:* Proprietary code to express whether the event is mandatory, mandatory with options or voluntary.**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification13](#)" on page 693 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		693
	SchemeName <SchmeNm>	[0..1]	Text		693
	Issuer <Issr>	[1..1]	Text		693

25.1.11.7.7 UnderlyingSecurity <UndrlygScty>*Presence:* [1..1]*Definition:* Identification of the underlying financial instrument, ie, the financial instrument affected by the corporate action event.**UnderlyingSecurity <UndrlygScty>** contains the following elements (see "[FinancialInstrumentDescription3](#)" on page 790 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	SecurityIdentification <SctyId>	[1..1]	±		790
	PlaceOfListing <PlcOfListg>	[0..1]	IdentifierSet		790
	SafekeepingPlace <SfkpgPlc>	[0..1]	±		790

25.1.11.7.8 OtherUnderlyingSecurity <OthrUndrlygScty>

Presence: [0..*]

Definition: Identification of the secondary underlying financial instrument, ie, the non-principal financial instrument affected by the corporate action event.

OtherUnderlyingSecurity <OthrUndrlygScty> contains the following elements (see "FinancialInstrumentDescription3" on page 790 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	SecurityIdentification <SctyId>	[1..1]	±		790
	PlaceOfListing <PlcOfListg>	[0..1]	IdentifierSet		790
	SafekeepingPlace <SfkpgPlc>	[0..1]	±		790

25.1.12 Organisation Identification

25.1.12.1 PartyIdentification2Choice

Definition: Choice of identification of a party.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	BICOrBEI <BICOrBEI>	[1..1]	IdentifierSet	C2	733
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		733
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		734

25.1.12.1.1 BICOrBEI <BICOrBEI>

Presence: [1..1]

Definition: Code allocated to a financial or non-financial institution by the ISO 9362 Registration Authority, as described in ISO 9362 "Banking - Banking telecommunication messages - Business identifier code (BIC)".

Impacted by: C2 "AnyBIC"

Datatype: "AnyBICIdentifier" on page 847

Constraints

- **AnyBIC**

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered by the ISO 9362 Registration Authority in the BIC directory, and consists of eight (8) or eleven (11) contiguous characters.

25.1.12.1.2 ProprietaryIdentification <PrtryId>

Presence: [1..1]

Definition: Unique and unambiguous identifier, as assigned to a financial institution using a proprietary identification scheme.

ProprietaryIdentification <PrtryId> contains the following elements (see "[GenericIdentification1](#)" on page 693 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		694
	SchemeName <SchmeNm>	[0..1]	Text		694
	Issuer <Issr>	[0..1]	Text		694

25.1.12.1.3 NameAndAddress <NmAndAdr>

Presence: [1..1]

Definition: Name and address of a party.

NameAndAddress <NmAndAdr> contains the following elements (see "[NameAndAddress5](#)" on page 749 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[1..1]	Text		749
	Address <Adr>	[0..1]	±		749

25.1.12.2 OrganisationIdentification29

Definition: Unique and unambiguous way to identify an organisation.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AnyBIC <AnyBIC>	[0..1]	IdentifierSet	C1	734
	LEI <LEI>	[0..1]	IdentifierSet		735
	Other <Othr>	[0..*]			735
	Identification <Id>	[1..1]	Text		735
	SchemeName <SchmeNm>	[0..1]			735
{Or	Code <Cd>	[1..1]	CodeSet		735
Or}	Proprietary <Prtry>	[1..1]	Text		736
	Issuer <Issr>	[0..1]	Text		736

25.1.12.2.1 AnyBIC <AnyBIC>

Presence: [0..1]

Definition: Business identification code of the organisation.

Impacted by: C1 "AnyBIC"

Datatype: "[AnyBICDec2014Identifier](#)" on page 847

Constraints

- **AnyBIC**

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

25.1.12.2.2 LEI <LEI>

Presence: [0..1]

Definition: Legal entity identification as an alternate identification for a party.

Datatype: "LEIIdentifier" on page 850

25.1.12.2.3 Other <Othr>

Presence: [0..*]

Definition: Unique identification of an organisation, as assigned by an institution, using an identification scheme.

Other <Othr> contains the following **GenericOrganisationIdentification1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		735
	SchemeName <SchmeNm>	[0..1]			735
{Or	Code <Cd>	[1..1]	CodeSet		735
Or}	Proprietary <Prtry>	[1..1]	Text		736
	Issuer <Issr>	[0..1]	Text		736

25.1.12.2.3.1 Identification <Id>

Presence: [1..1]

Definition: Identification assigned by an institution.

Datatype: "Max35Text" on page 853

25.1.12.2.3.2 SchemeName <SchmeNm>

Presence: [0..1]

Definition: Name of the identification scheme.

SchemeName <SchmeNm> contains one of the following **OrganisationIdentificationSchemeName1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		735
Or}	Proprietary <Prtry>	[1..1]	Text		736

25.1.12.2.3.2.1 Code <Cd>

Presence: [1..1]

Definition: Name of the identification scheme, in a coded form as published in an external list.

Datatype: "ExternalOrganisationIdentification1Code" on page 825

25.1.12.2.3.2.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Name of the identification scheme, in a free text form.

Datatype: "Max35Text" on page 853

25.1.12.2.3.3 Issuer <Issr>

Presence: [0..1]

Definition: Entity that assigns the identification.

Datatype: "Max35Text" on page 853

25.1.13 Party Identification

25.1.13.1 Party38Choice

Definition: Nature or use of the account.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	OrganisationIdentification <OrgId>	[1..1]	±		736
Or}	PrivatIdentification <PrvtId>	[1..1]	±		736

25.1.13.1.1 OrganisationIdentification <OrgId>

Presence: [1..1]

Definition: Unique and unambiguous way to identify an organisation.

OrganisationIdentification <OrgId> contains the following elements (see "OrganisationIdentification29" on page 734 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AnyBIC <AnyBIC>	[0..1]	IdentifierSet	C1	734
	LEI <LEI>	[0..1]	IdentifierSet		735
	Other <Othr>	[0..*]			735
	Identification </d>	[1..1]	Text		735
	SchemeName <SchmeNm>	[0..1]			735
{Or	Code <Cd>	[1..1]	CodeSet		735
Or}	Proprietary <Prtry>	[1..1]	Text		736
	Issuer </ssr>	[0..1]	Text		736

25.1.13.1.2 PrivatIdentification <PrvtId>

Presence: [1..1]

Definition: Unique and unambiguous identification of a person, for example a passport.

PrivateIdentification <PrvtId> contains the following elements (see "PersonIdentification13" on page 746 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	DateAndPlaceOfBirth <DtAndPlcOfBirth>	[0..1]			746
	BirthDate <BirthDt>	[1..1]	Date		746
	ProvinceOfBirth <PrvcOfBirth>	[0..1]	Text		747
	CityOfBirth <CityOfBirth>	[1..1]	Text		747
	CountryOfBirth <CtryOfBirth>	[1..1]	CodeSet	C4	747
	Other <Othr>	[0..*]			747
	Identification <Id>	[1..1]	Text		747
	SchemeName <SchmeNm>	[0..1]			747
{Or	Code <Cd>	[1..1]	CodeSet		748
Or}	Proprietary <Prtry>	[1..1]	Text		748
	Issuer <Issr>	[0..1]	Text		748

25.1.13.2 PartyIdentification129Choice

Definition: Choice between different formats for the identification of a party.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	737
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		738
Or	NameAndAddress <NmAndAdr>	[1..1]	±		738
Or}	LEI <LEI>	[1..1]	IdentifierSet		738

25.1.13.2.1 AnyBIC <AnyBIC>

Presence: [1..1]

Definition: Code allocated to a financial or non-financial institution by the ISO 9362 Registration Authority, as described in ISO 9362 "Banking - Banking telecommunication messages - Business identifier code (BIC)".

Impacted by: C1 "AnyBIC"

Datatype: "AnyBICDec2014Identifier" on page 847

Constraints

- **AnyBIC**

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

25.1.13.2.2 ProprietaryIdentification <PrtryId>

Presence: [1..1]

Definition: Unique and unambiguous identifier, as assigned to a financial institution using a proprietary identification scheme.

ProprietaryIdentification <PrtryId> contains the following elements (see "[GenericIdentification36](#)" on page 691 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		692
	Issuer <Issr>	[1..1]	Text		692
	SchemeName <SchmeNm>	[0..1]	Text		692

25.1.13.2.3 NameAndAddress <NmAndAdr>

Presence: [1..1]

Definition: Name and address of a party.

NameAndAddress <NmAndAdr> contains the following elements (see "[NameAndAddress5](#)" on page 749 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[1..1]	Text		749
	Address <Adr>	[0..1]	±		749

25.1.13.2.4 LEI <LEI>

Presence: [1..1]

Definition: Legal entity identification of the party.

Datatype: "[LEIIdentifier](#)" on page 850

25.1.13.3 PartyIdentification135

Definition: Specifies the identification of a person or an organisation.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[0..1]	Text		739
	PostalAddress <PstlAdr>	[0..1]	±		739
	Identification <Id>	[0..1]	±		740
	CountryOfResidence <CtryOfRes>	[0..1]	CodeSet	C4	740
	ContactDetails <CtctDtls>	[0..1]	±		741

25.1.13.3.1 Name <Nm>

Presence: [0..1]

Definition: Name by which a party is known and which is usually used to identify that party.

Datatype: "Max140Text" on page 852

25.1.13.3.2 PostalAddress <PstlAdr>

Presence: [0..1]

Definition: Information that locates and identifies a specific address, as defined by postal services.

PostalAddress <PstIAdr> contains the following elements (see "[PostalAddress24](#)" on page 750 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AddressType <AdrTp>	[0..1]			751
{Or	Code <Cd>	[1..1]	CodeSet		751
Or}	Proprietary <Prtry>	[1..1]	±		751
	Department <Dept>	[0..1]	Text		751
	SubDepartment <SubDept>	[0..1]	Text		752
	StreetName <StrtNm>	[0..1]	Text		752
	BuildingNumber <BldgNb>	[0..1]	Text		752
	BuildingName <BldgNm>	[0..1]	Text		752
	Floor <Flr>	[0..1]	Text		752
	PostBox <PstBx>	[0..1]	Text		752
	Room <Room>	[0..1]	Text		752
	PostCode <PstCd>	[0..1]	Text		752
	TownName <TwnNm>	[0..1]	Text		753
	TownLocationName <TwnLctnNm>	[0..1]	Text		753
	DistrictName <DstrctNm>	[0..1]	Text		753
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		753
	Country <Ctry>	[0..1]	CodeSet	C4	753
	AddressLine <AdrLine>	[0..7]	Text		753

25.1.13.3.3 Identification <Id>

Presence: [0..1]

Definition: Unique and unambiguous identification of a party.

Identification <Id> contains one of the following elements (see "[Party38Choice](#)" on page 736 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	OrganisationIdentification <OrgId>	[1..1]	±		736
Or}	PrivateIdentification <PrvtId>	[1..1]	±		736

25.1.13.3.4 CountryOfResidence <CtryOfRes>

Presence: [0..1]

Definition: Country in which a person resides (the place of a person's home). In the case of a company, it is the country from which the affairs of that company are directed.

Impacted by: C4 "Country"

Datatype: "CountryCode" on page 822

Constraints

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

25.1.13.3.5 ContactDetails <CtctDtls>

Presence: [0..1]

Definition: Set of elements used to indicate how to contact the party.

ContactDetails <CtctDtls> contains the following elements (see "Contact4" on page 743 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NamePrefix <NmPrfx>	[0..1]	CodeSet		743
	Name <Nm>	[0..1]	Text		744
	PhoneNumber <PhneNb>	[0..1]	Text		744
	MobileNumber <MobNb>	[0..1]	Text		744
	FaxNumber <FaxNb>	[0..1]	Text		744
	EmailAddress <EmailAdr>	[0..1]	Text		744
	EmailPurpose <EmailPurp>	[0..1]	Text		744
	JobTitle <JobTitl>	[0..1]	Text		744
	Responsibility <Rspnsblty>	[0..1]	Text		745
	Department <Dept>	[0..1]	Text		745
	Other <Othr>	[0..*]			745
	ChannelType <ChanlTp>	[1..1]	Text		745
	Identification <Id>	[0..1]	Text		745
	PreferredMethod <PrefrdMtd>	[0..1]	CodeSet		745

25.1.14 Person Identification

25.1.14.1 ContactIdentification4

Definition: Information needed to contact a physical person.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[1..1]	Text		742
	NamePrefix <NmPrfx>	[0..1]	CodeSet		742
	GivenName <GvnNm>	[0..1]	Text		742
	Role <Role>	[0..1]	Text		742
	PhoneNumber <PhneNb>	[0..1]	Text		742
	FaxNumber <FaxNb>	[0..1]	Text		743
	EmailAddress <EmailAdr>	[0..1]	Text		743

25.1.14.1.1 Name <Nm>

Presence: [1..1]

Definition: Name by which a party is known and which is usually used to identify that party.

Datatype: "Max350Text" on page 853

25.1.14.1.2 NamePrefix <NmPrfx>

Presence: [0..1]

Definition: Specifies the terms used to formally address a person, eg, Ms.

Datatype: "NamePrefix1Code" on page 828

CodeName	Name	Definition
DOCT	Doctor	Title of the person is Doctor or Dr.
MIST	Mister	Title of the person is Mister or Mr.
MISS	Miss	Title of the person is Miss.
MADM	Madam	Title of the person is Madam.

25.1.14.1.3 GivenName <GvnNm>

Presence: [0..1]

Definition: First name of a person.

Datatype: "Max350Text" on page 853

25.1.14.1.4 Role <Role>

Presence: [0..1]

Definition: Role of the party in the activity.

Datatype: "Max35Text" on page 853

25.1.14.1.5 PhoneNumber <PhneNb>

Presence: [0..1]

Definition: Collection of information that identifies a phone number, as defined by telecom services.

Datatype: "PhoneNumber" on page 854

25.1.14.1.6 FaxNumber <FaxNb>*Presence:* [0..1]*Definition:* Collection of information that identifies a FAX number, as defined by telecom services.*Datatype:* "PhoneNumber" on page 854**25.1.14.1.7 EmailAddress <EmailAdr>***Presence:* [0..1]*Definition:* Address for electronic mail (e-mail).*Datatype:* "Max256Text" on page 853**25.1.14.2 Contact4***Definition:* Specifies the details of the contact person.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NamePrefix <NmPrfx>	[0..1]	CodeSet		743
	Name <Nm>	[0..1]	Text		744
	PhoneNumber <PhneNb>	[0..1]	Text		744
	MobileNumber <MobNb>	[0..1]	Text		744
	FaxNumber <FaxNb>	[0..1]	Text		744
	EmailAddress <EmailAdr>	[0..1]	Text		744
	EmailPurpose <EmailPurp>	[0..1]	Text		744
	JobTitle <JobTitl>	[0..1]	Text		744
	Responsibility <Rspnsblty>	[0..1]	Text		745
	Department <Dept>	[0..1]	Text		745
	Other <Othr>	[0..*]			745
	ChannelType <ChanlTp>	[1..1]	Text		745
	Identification <Id>	[0..1]	Text		745
	PreferredMethod <PrefrdMtd>	[0..1]	CodeSet		745

25.1.14.2.1 NamePrefix <NmPrfx>*Presence:* [0..1]*Definition:* Specifies the terms used to formally address a person.*Datatype:* "NamePrefix2Code" on page 828

CodeName	Name	Definition
DOCT	Doctor	Title of the person is Doctor or Dr.
MADM	Madam	Title of the person is Madam.
MISS	Miss	Title of the person is Miss.

CodeName	Name	Definition
MIST	Mister	Title of the person is Mister or Mr.
MIKS	GenderNeutral	Title of the person is gender neutral (Mx).

25.1.14.2.2 Name <Nm>

Presence: [0..1]

Definition: Name by which a party is known and which is usually used to identify that party.

Datatype: "Max140Text" on page 852

25.1.14.2.3 PhoneNumber <PhneNb>

Presence: [0..1]

Definition: Collection of information that identifies a phone number, as defined by telecom services.

Datatype: "PhoneNumber" on page 854

25.1.14.2.4 MobileNumber <MobNb>

Presence: [0..1]

Definition: Collection of information that identifies a mobile phone number, as defined by telecom services.

Datatype: "PhoneNumber" on page 854

25.1.14.2.5 FaxNumber <FaxNb>

Presence: [0..1]

Definition: Collection of information that identifies a FAX number, as defined by telecom services.

Datatype: "PhoneNumber" on page 854

25.1.14.2.6 EmailAddress <EmailAdr>

Presence: [0..1]

Definition: Address for electronic mail (e-mail).

Datatype: "Max2048Text" on page 853

25.1.14.2.7 EmailPurpose <EmailPurp>

Presence: [0..1]

Definition: Purpose for which an email address may be used.

Datatype: "Max35Text" on page 853

25.1.14.2.8 JobTitle <JobTitl>

Presence: [0..1]

Definition: Title of the function.

Datatype: "Max35Text" on page 853

25.1.14.2.9 Responsibility <Rspnsblty>*Presence:* [0..1]*Definition:* Role of a person in an organisation.*Datatype:* "Max35Text" on page 853**25.1.14.2.10 Department <Dept>***Presence:* [0..1]*Definition:* Identification of a division of a large organisation or building.*Datatype:* "Max70Text" on page 854**25.1.14.2.11 Other <Othr>***Presence:* [0..*]*Definition:* Contact details in another form.**Other <Othr>** contains the following **OtherContact1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ChannelType <ChanTp>	[1..1]	Text		745
	Identification <Id>	[0..1]	Text		745

25.1.14.2.11.1 ChannelType <ChanTp>*Presence:* [1..1]*Definition:* Method used to contact the financial institution's contact for the specific tax region.*Datatype:* "Max4Text" on page 854**25.1.14.2.11.2 Identification <Id>***Presence:* [0..1]*Definition:* Communication value such as phone number or email address.*Datatype:* "Max128Text" on page 852**25.1.14.2.12 PreferredMethod <PrefrdMtd>***Presence:* [0..1]*Definition:* Preferred method used to reach the contact.*Datatype:* "PreferredContactMethod1Code" on page 831

CodeName	Name	Definition
LETT	Letter	Preferred method used to reach the contact is per letter.
MAIL	Email	Preferred method used to reach the contact is per email.
PHON	Phone	Preferred method used to reach the contact is per phone.

CodeName	Name	Definition
FAXX	Fax	Preferred method used to reach the contact is per fax.
CELL	MobileOrCellPhone	Preferred method used to reach the contact is per mobile or cell phone.

25.1.14.3 PersonIdentification13

Definition: Unique and unambiguous way to identify a person.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	DateAndPlaceOfBirth <DtAndPlcOfBirth>	[0..1]			746
	BirthDate <BirthDt>	[1..1]	Date		746
	ProvinceOfBirth <PrvcOfBirth>	[0..1]	Text		747
	CityOfBirth <CityOfBirth>	[1..1]	Text		747
	CountryOfBirth <CtryOfBirth>	[1..1]	CodeSet	C4	747
	Other <Othr>	[0..*]			747
	Identification <Id>	[1..1]	Text		747
	SchemeName <SchmeNm>	[0..1]			747
{Or	Code <Cd>	[1..1]	CodeSet		748
Or}	Proprietary <Prtry>	[1..1]	Text		748
	Issuer </Issr>	[0..1]	Text		748

25.1.14.3.1 DateAndPlaceOfBirth <DtAndPlcOfBirth>

Presence: [0..1]

Definition: Date and place of birth of a person.

DateAndPlaceOfBirth <DtAndPlcOfBirth> contains the following **DateAndPlaceOfBirth1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	BirthDate <BirthDt>	[1..1]	Date		746
	ProvinceOfBirth <PrvcOfBirth>	[0..1]	Text		747
	CityOfBirth <CityOfBirth>	[1..1]	Text		747
	CountryOfBirth <CtryOfBirth>	[1..1]	CodeSet	C4	747

25.1.14.3.1.1 BirthDate <BirthDt>

Presence: [1..1]

Definition: Date on which a person is born.

Datatype: "ISODate" on page 846

25.1.14.3.1.2 ProvinceOfBirth <PrvcOfBirth>*Presence:* [0..1]*Definition:* Province where a person was born.*Datatype:* "Max35Text" on page 853**25.1.14.3.1.3 CityOfBirth <CityOfBirth>***Presence:* [1..1]*Definition:* City where a person was born.*Datatype:* "Max35Text" on page 853**25.1.14.3.1.4 CountryOfBirth <CtryOfBirth>***Presence:* [1..1]*Definition:* Country where a person was born.*Impacted by:* C4 "Country"*Datatype:* "CountryCode" on page 822**Constraints**

- Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

25.1.14.3.2 Other <Othr>*Presence:* [0..*]*Definition:* Unique identification of a person, as assigned by an institution, using an identification scheme.**Other <Othr>** contains the following **GenericPersonIdentification1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		747
	SchemeName <SchmeNm>	[0..1]			747
{Or	Code <Cd>	[1..1]	CodeSet		748
Or}	Proprietary <Prtry>	[1..1]	Text		748
	Issuer <Issr>	[0..1]	Text		748

25.1.14.3.2.1 Identification <Id>*Presence:* [1..1]*Definition:* Unique and unambiguous identification of a person.*Datatype:* "Max35Text" on page 853**25.1.14.3.2.2 SchemeName <SchmeNm>***Presence:* [0..1]

Definition: Name of the identification scheme.

SchemeName <SchmeNm> contains one of the following **PersonIdentificationSchemeName1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		748
Or}	Proprietary <Prtry>	[1..1]	Text		748

25.1.14.3.2.2.1 Code <Cd>

Presence: [1..1]

Definition: Name of the identification scheme, in a coded form as published in an external list.

Datatype: ["ExternalPersonIdentification1Code" on page 825](#)

25.1.14.3.2.2.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Name of the identification scheme, in a free text form.

Datatype: ["Max35Text" on page 853](#)

25.1.14.3.2.3 Issuer <Issr>

Presence: [0..1]

Definition: Entity that assigns the identification.

Datatype: ["Max35Text" on page 853](#)

25.1.14.4 ContactPerson1

Definition: Contains information about the contact responsible for the transaction identified in the message.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ContactPerson <CtctPrsn>	[1..1]	±		748
	InstitutionIdentification <InstnId>	[0..1]	±		749

25.1.14.4.1 ContactPerson <CtctPrsn>

Presence: [1..1]

Definition: Provides additional information regarding the party, eg, the contact unit or person responsible for the transaction identified in the message.

ContactPerson <CtctPrsn> contains the following elements (see "[ContactIdentification4](#)" on page 741 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[1..1]	Text		742
	NamePrefix <NmPrfx>	[0..1]	CodeSet		742
	GivenName <GvnNm>	[0..1]	Text		742
	Role <Role>	[0..1]	Text		742
	PhoneNumber <PhneNb>	[0..1]	Text		742
	FaxNumber <FaxNb>	[0..1]	Text		743
	EmailAddress <EmailAdr>	[0..1]	Text		743

25.1.14.4.2 InstitutionIdentification <InstnId>

Presence: [0..1]

Definition: Identification of the institution that the contact person represents.

InstitutionIdentification <InstnId> contains one of the following elements (see "[PartyIdentification2Choice](#)" on page 733 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	BICOrBEI <BICOrBEI>	[1..1]	IdentifierSet	C2	733
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		733
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		734

25.1.15 Postal Address

25.1.15.1 NameAndAddress5

Definition: Information that locates and identifies a party.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[1..1]	Text		749
	Address <Adr>	[0..1]	±		749

25.1.15.1.1 Name <Nm>

Presence: [1..1]

Definition: Name by which a party is known and which is usually used to identify that party.

Datatype: "[Max350Text](#)" on page 853

25.1.15.1.2 Address <Adr>

Presence: [0..1]

Definition: Postal address of the party.

Address <Adr> contains the following elements (see "PostalAddress1" on page 753 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AddressType <AdrTp>	[0..1]	CodeSet		754
	AddressLine <AdrLine>	[0..5]	Text		754
	StreetName <StrtNm>	[0..1]	Text		754
	BuildingNumber <BldgNb>	[0..1]	Text		754
	PostCode <PstCd>	[0..1]	Text		755
	TownName <TwnNm>	[0..1]	Text		755
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		755
	Country <Ctry>	[1..1]	CodeSet	C4	755

25.1.15.2 PostalAddress24

Definition: Information that locates and identifies a specific address, as defined by postal services.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AddressType <AdrTp>	[0..1]			751
{Or	Code <Cd>	[1..1]	CodeSet		751
Or}	Proprietary <Prtry>	[1..1]	±		751
	Department <Dept>	[0..1]	Text		751
	SubDepartment <SubDept>	[0..1]	Text		752
	StreetName <StrtNm>	[0..1]	Text		752
	BuildingNumber <BldgNb>	[0..1]	Text		752
	BuildingName <BldgNm>	[0..1]	Text		752
	Floor <Flr>	[0..1]	Text		752
	PostBox <PstBx>	[0..1]	Text		752
	Room <Room>	[0..1]	Text		752
	PostCode <PstCd>	[0..1]	Text		752
	TownName <TwnNm>	[0..1]	Text		753
	TownLocationName <TwnLctnNm>	[0..1]	Text		753
	DistrictName <DstrctNm>	[0..1]	Text		753
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		753
	Country <Ctry>	[0..1]	CodeSet	C4	753
	AddressLine <AdrLine>	[0..7]	Text		753

25.1.15.2.1 AddressType <AdrTp>*Presence:* [0..1]*Definition:* Identifies the nature of the postal address.**AddressType <AdrTp>** contains one of the following **AddressType3Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		751
Or}	Proprietary <Prtry>	[1..1]	±		751

25.1.15.2.1.1 Code <Cd>*Presence:* [1..1]*Definition:* Type of address expressed as a code.*Datatype:* "AddressType2Code" on page 802

CodeName	Name	Definition
ADDR	Postal	Address is the complete postal address.
PBOX	POBox	Address is a postal office (PO) box.
HOME	Residential	Address is the home address.
BIZZ	Business	Address is the business address.
MLTO	MailTo	Address is the address to which mail is sent.
DLVY	DeliveryTo	Address is the address to which delivery is to take place.

25.1.15.2.1.2 Proprietary <Prtry>*Presence:* [1..1]*Definition:* Type of address expressed as a proprietary code.**Proprietary <Prtry>** contains the following elements (see "GenericIdentification30" on page 691 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		691
	Issuer <Issr>	[1..1]	Text		691
	SchemeName <SchmeNm>	[0..1]	Text		691

25.1.15.2.2 Department <Dept>*Presence:* [0..1]*Definition:* Identification of a division of a large organisation or building.*Datatype:* "Max70Text" on page 854

25.1.15.2.3 SubDepartment <SubDept>

Presence: [0..1]

Definition: Identification of a sub-division of a large organisation or building.

Datatype: "Max70Text" on page 854

25.1.15.2.4 StreetName <StrtNm>

Presence: [0..1]

Definition: Name of a street or thoroughfare.

Datatype: "Max70Text" on page 854

25.1.15.2.5 BuildingNumber <BldgNb>

Presence: [0..1]

Definition: Number that identifies the position of a building on a street.

Datatype: "Max16Text" on page 852

25.1.15.2.6 BuildingName <BldgNm>

Presence: [0..1]

Definition: Name of the building or house.

Datatype: "Max35Text" on page 853

25.1.15.2.7 Floor <Flr>

Presence: [0..1]

Definition: Floor or storey within a building.

Datatype: "Max70Text" on page 854

25.1.15.2.8 PostBox <PstBx>

Presence: [0..1]

Definition: Numbered box in a post office, assigned to a person or organisation, where letters are kept until called for.

Datatype: "Max16Text" on page 852

25.1.15.2.9 Room <Room>

Presence: [0..1]

Definition: Building room number.

Datatype: "Max70Text" on page 854

25.1.15.2.10 PostCode <PstCd>

Presence: [0..1]

Definition: Identifier consisting of a group of letters and/or numbers that is added to a postal address to assist the sorting of mail.

Datatype: "Max16Text" on page 852

25.1.15.2.11 TownName <TwnNm>

Presence: [0..1]

Definition: Name of a built-up area, with defined boundaries, and a local government.

Datatype: "Max35Text" on page 853

25.1.15.2.12 TownLocationName <TwnLctnNm>

Presence: [0..1]

Definition: Specific location name within the town.

Datatype: "Max35Text" on page 853

25.1.15.2.13 DistrictName <DstrctNm>

Presence: [0..1]

Definition: Identifies a subdivision within a country sub-division.

Datatype: "Max35Text" on page 853

25.1.15.2.14 CountrySubDivision <CtrySubDvsn>

Presence: [0..1]

Definition: Identifies a subdivision of a country such as state, region, county.

Datatype: "Max35Text" on page 853

25.1.15.2.15 Country <Ctry>

Presence: [0..1]

Definition: Nation with its own government.

Impacted by: C4 "Country"

Datatype: "CountryCode" on page 822

Constraints

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

25.1.15.2.16 AddressLine <AdrLine>

Presence: [0..7]

Definition: Information that locates and identifies a specific address, as defined by postal services, presented in free format text.

Datatype: "Max70Text" on page 854

25.1.15.3 PostalAddress1

Definition: Information that locates and identifies a specific address, as defined by postal services.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AddressType <AdrTp>	[0..1]	CodeSet		754
	AddressLine <AdrLine>	[0..5]	Text		754
	StreetName <StrtNm>	[0..1]	Text		754
	BuildingNumber <BldgNb>	[0..1]	Text		754
	PostCode <PstCd>	[0..1]	Text		755
	TownName <TwnNm>	[0..1]	Text		755
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		755
	Country <Ctry>	[1..1]	CodeSet	C4	755

25.1.15.3.1 AddressType <AdrTp>

Presence: [0..1]

Definition: Identifies the nature of the postal address.

Datatype: "AddressType2Code" on page 802

CodeName	Name	Definition
ADDR	Postal	Address is the complete postal address.
PBOX	POBox	Address is a postal office (PO) box.
HOME	Residential	Address is the home address.
BIZZ	Business	Address is the business address.
MLTO	MailTo	Address is the address to which mail is sent.
DLVY	DeliveryTo	Address is the address to which delivery is to take place.

25.1.15.3.2 AddressLine <AdrLine>

Presence: [0..5]

Definition: Information that locates and identifies a specific address, as defined by postal services, that is presented in free format text.

Datatype: "Max70Text" on page 854

25.1.15.3.3 StreetName <StrtNm>

Presence: [0..1]

Definition: Name of a street or thoroughfare.

Datatype: "Max70Text" on page 854

25.1.15.3.4 BuildingNumber <BldgNb>

Presence: [0..1]

Definition: Number that identifies the position of a building on a street.

Datatype: "Max16Text" on page 852

25.1.15.3.5 PostCode <PstCd>

Presence: [0..1]

Definition: Identifier consisting of a group of letters and/or numbers that is added to a postal address to assist the sorting of mail.

Datatype: "Max16Text" on page 852

25.1.15.3.6 TownName <TwnNm>

Presence: [0..1]

Definition: Name of a built-up area, with defined boundaries, and a local government.

Datatype: "Max35Text" on page 853

25.1.15.3.7 CountrySubDivision <CtrySubDvsn>

Presence: [0..1]

Definition: Identifies a subdivision of a country for example, state, region, county.

Datatype: "Max35Text" on page 853

25.1.15.3.8 Country <Ctry>

Presence: [1..1]

Definition: Nation with its own government.

Impacted by: C4 "Country"

Datatype: "CountryCode" on page 822

Constraints

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

25.1.16 Price

25.1.16.1 PriceFormat4Choice

Definition: Choice of formats to express a price.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Amount <Amt>	[1..1]			756
	AmountPriceType <AmtPricTp>	[1..1]			756
{Or	Code <Cd>	[1..1]	CodeSet		756
Or}	Proprietary <Prtry>	[1..1]	±		757
	PriceValue <PricVal>	[1..1]	Amount	C1	757
Or	Rate <Rate>	[1..1]	±		757
Or	NotSpecified <NotSpcfd>	[1..1]			758
{Or	Code <Cd>	[1..1]	CodeSet		758
Or}	Proprietary <Prtry>	[1..1]	±		758
Or}	IndexPoints <IndxPts>	[1..1]	Quantity		758

25.1.16.1.1 Amount <Amt>

Presence: [1..1]

Definition: Price expressed as a currency and amount.

Amount <Amt> contains the following **AmountPrice1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AmountPriceType <AmtPricTp>	[1..1]			756
{Or	Code <Cd>	[1..1]	CodeSet		756
Or}	Proprietary <Prtry>	[1..1]	±		757
	PriceValue <PricVal>	[1..1]	Amount	C1	757

25.1.16.1.1.1 AmountPriceType <AmtPricTp>

Presence: [1..1]

Definition: Type of amount price.

AmountPriceType <AmtPricTp> contains one of the following **AmountPriceType1FormatChoice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		756
Or}	Proprietary <Prtry>	[1..1]	±		757

25.1.16.1.1.1.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the amount price type.

Datatype: "AmountPriceType1Code" on page 803

CodeName	Name	Definition
ACTU	ActualAmount	Price expressed as a currency and amount per unit or per share.
DISC	Discount	Price expressed as a discount amount.
PLOT	Lot	Price expressed as an amount of money per lot.
PREM	Premium	Price expressed as a premium.

25.1.16.1.1.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary code to express the amount price type.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification13](#)" on page 693 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		693
	SchemeName <SchmeNm>	[0..1]	Text		693
	Issuer <Issr>	[1..1]	Text		693

25.1.16.1.1.2 PriceValue <PricVal>

Presence: [1..1]

Definition: Value of the price.

Impacted by: C1 "ActiveCurrency"

Datatype: "ActiveCurrencyAnd13DecimalAmount" on page 801

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

25.1.16.1.2 Rate <Rate>

Presence: [1..1]

Definition: Price expressed as a rate, ie, percentage.

Rate <Rate> contains the following elements (see "[PriceRate1](#)" on page 767 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	RateType <RateTp>	[1..1]			767
{Or	Code <Cd>	[1..1]	CodeSet		767
Or}	Proprietary <Prtry>	[1..1]	±		767
	Rate <Rate>	[1..1]	Rate		768

25.1.16.1.3 NotSpecified <NotSpcfd>

Presence: [1..1]

Definition: The value of the price is not specified.

NotSpecified <NotSpcfd> contains one of the following **PriceValueType5FormatChoice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		758
Or}	Proprietary <Prtry>	[1..1]	±		758

25.1.16.1.3.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the value of a price.

Datatype: "[PriceValueType5Code](#)" on page 832

CodeName	Name	Definition
UKWN	Unknown	Price is unknown by the sender or has not been established.
OPEN	OpenDated	Price has not been established.

25.1.16.1.3.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary code to express the value of a price.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification13](#)" on page 693 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		693
	SchemeName <SchmeNm>	[0..1]	Text		693
	Issuer <Issr>	[1..1]	Text		693

25.1.16.1.4 IndexPoints <IndxPts>

Presence: [1..1]

Definition: Price expressed as index points.

Datatype: "DecimalNumber" on page 851

25.1.17 Price Value

25.1.17.1 PriceFormat1Choice

Definition: Choice of formats to express a price.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Amount <Amt>	[1..1]			759
	AmountPriceType <AmtPricTp>	[1..1]			760
{Or	Code <Cd>	[1..1]	CodeSet		760
Or}	Proprietary <Prtry>	[1..1]	±		760
	PriceValue <PricVal>	[1..1]	Amount	C1	761
Or	Rate <Rate>	[1..1]	Rate		761
Or	AmountPricePerFinancialInstrumentQuantity <AmtPricPerFinInstrmQty>	[1..1]			761
	AmountPriceType <AmtPricTp>	[1..1]			762
{Or	Code <Cd>	[1..1]	CodeSet		762
Or}	Proprietary <Prtry>	[1..1]	±		762
	PriceValue <PricVal>	[1..1]	Amount	C1	762
	FinancialInstrumentQuantity <FinInstrmQty>	[1..1]			763
{Or	Unit <Unit>	[1..1]	Quantity		763
Or}	FaceAmount <FaceAmt>	[1..1]	Amount	C1, C5	763
Or	AmountPricePerAmount <AmtPricPerAmt>	[1..1]			763
	AmountPriceType <AmtPricTp>	[1..1]			764
{Or	Code <Cd>	[1..1]	CodeSet		764
Or}	Proprietary <Prtry>	[1..1]	±		764
	PriceValue <PricVal>	[1..1]	Amount	C1	765
	Amount <Amt>	[1..1]	Amount	C1	765
Or}	NotSpecified <NotSpcfd>	[1..1]			765
{Or	Code <Cd>	[1..1]	CodeSet		766
Or}	Proprietary <Prtry>	[1..1]	±		766

25.1.17.1.1 Amount <Amt>

Presence: [1..1]

Definition: Price expressed as a currency and amount.

Amount <Amt> contains the following **AmountPrice1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AmountPriceType <AmtPricTp>	[1..1]			760
{Or	Code <Cd>	[1..1]	CodeSet		760
Or}	Proprietary <Prtry>	[1..1]	±		760
	PriceValue <PricVal>	[1..1]	Amount	C1	761

25.1.17.1.1.1 AmountPriceType <AmtPricTp>

Presence: [1..1]

Definition: Type of amount price.

AmountPriceType <AmtPricTp> contains one of the following **AmountPriceType1FormatChoice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		760
Or}	Proprietary <Prtry>	[1..1]	±		760

25.1.17.1.1.1.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the amount price type.

Datatype: "AmountPriceType1Code" on page 803

CodeName	Name	Definition
ACTU	ActualAmount	Price expressed as a currency and amount per unit or per share.
DISC	Discount	Price expressed as a discount amount.
PLOT	Lot	Price expressed as an amount of money per lot.
PREM	Premium	Price expressed as a premium.

25.1.17.1.1.1.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary code to express the amount price type.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification13](#)" on page 693 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		693
	SchemeName <SchmeNm>	[0..1]	Text		693
	Issuer <Issr>	[1..1]	Text		693

25.1.17.1.1.2 PriceValue <PricVal>

Presence: [1..1]

Definition: Value of the price.

Impacted by: C1 "ActiveCurrency"

Datatype: "ActiveCurrencyAnd13DecimalAmount" on page 801

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

25.1.17.1.2 Rate <Rate>

Presence: [1..1]

Definition: Price expressed as a rate, ie, percentage.

Datatype: "PercentageRate" on page 851

25.1.17.1.3 AmountPricePerFinancialInstrumentQuantity <AmtPricPerFinInstrmQty>

Presence: [1..1]

Definition: Price expressed as an amount per a quantity of financial instruments.

AmountPricePerFinancialInstrumentQuantity <AmtPricPerFinInstrmQty> contains the following **AmountPricePerFinancialInstrumentQuantity1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AmountPriceType <AmtPricTp>	[1..1]			762
{Or	Code <Cd>	[1..1]	CodeSet		762
Or}	Proprietary <Prtry>	[1..1]	±		762
	PriceValue <PricVal>	[1..1]	Amount	C1	762
	FinancialInstrumentQuantity <FinInstrmQty>	[1..1]			763
{Or	Unit <Unit>	[1..1]	Quantity		763
Or}	FaceAmount <FaceAmt>	[1..1]	Amount	C1, C5	763

25.1.17.1.3.1 AmountPriceType <AmtPricTp>*Presence:* [1..1]*Definition:* Type of amount price.

AmountPriceType <AmtPricTp> contains one of the following **AmountPriceType1FormatChoice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		762
Or}	Proprietary <Prtry>	[1..1]	±		762

25.1.17.1.3.1.1 Code <Cd>*Presence:* [1..1]*Definition:* Standard code to specify the amount price type.*Datatype:* "AmountPriceType1Code" on page 803

CodeName	Name	Definition
ACTU	ActualAmount	Price expressed as a currency and amount per unit or per share.
DISC	Discount	Price expressed as a discount amount.
PLOT	Lot	Price expressed as an amount of money per lot.
PREM	Premium	Price expressed as a premium.

25.1.17.1.3.1.2 Proprietary <Prtry>*Presence:* [1..1]*Definition:* Proprietary code to express the amount price type.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification13](#)" on page 693 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		693
	SchemeName <SchmeNm>	[0..1]	Text		693
	Issuer <Issr>	[1..1]	Text		693

25.1.17.1.3.2 PriceValue <PricVal>*Presence:* [1..1]*Definition:* Value of the price.*Impacted by:* C1 "ActiveCurrency"*Datatype:* "ActiveCurrencyAnd13DecimalAmount" on page 801

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

25.1.17.1.3.3 FinancialInstrumentQuantity <FinInstrmQty>

Presence: [1..1]

Definition: Quantity of financial instrument.

FinancialInstrumentQuantity <FinInstrmQty> contains one of the following **UnitOrFaceAmount1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		763
Or}	FaceAmount <FaceAmt>	[1..1]	Amount	C1, C5	763

25.1.17.1.3.3.1 Unit <Unit>

Presence: [1..1]

Definition: Quantity expressed as a number, eg, a number of shares.

Datatype: "DecimalNumber" on page 851

25.1.17.1.3.3.2 FaceAmount <FaceAmt>

Presence: [1..1]

Definition: Quantity expressed as an amount representing the face amount, ie, the principal, of a debt instrument.

Impacted by: C1 "ActiveCurrency", C5 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 801

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

25.1.17.1.4 AmountPricePerAmount <AmtPricPerAmt>

Presence: [1..1]

Definition: Price expressed as an amount per another amount.

AmountPricePerAmount <AmtPricPerAmt> contains the following **AmountPricePerAmount1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AmountPriceType <AmtPricTp>	[1..1]			764
{Or	Code <Cd>	[1..1]	CodeSet		764
Or}	Proprietary <Prtry>	[1..1]	±		764
	PriceValue <PricVal>	[1..1]	Amount	C1	765
	Amount <Amt>	[1..1]	Amount	C1	765

25.1.17.1.4.1 AmountPriceType <AmtPricTp>

Presence: [1..1]

Definition: Type of amount price.

AmountPriceType <AmtPricTp> contains one of the following **AmountPriceType1FormatChoice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		764
Or}	Proprietary <Prtry>	[1..1]	±		764

25.1.17.1.4.1.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the amount price type.

Datatype: "AmountPriceType1Code" on page 803

CodeName	Name	Definition
ACTU	ActualAmount	Price expressed as a currency and amount per unit or per share.
DISC	Discount	Price expressed as a discount amount.
PLOT	Lot	Price expressed as an amount of money per lot.
PREM	Premium	Price expressed as a premium.

25.1.17.1.4.1.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary code to express the amount price type.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification13](#)" on page 693 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		693
	SchemeName <SchmeNm>	[0..1]	Text		693
	Issuer <Issr>	[1..1]	Text		693

25.1.17.1.4.2 PriceValue <PricVal>

Presence: [1..1]

Definition: Value of the price.

Impacted by: [C1 "ActiveCurrency"](#)

Datatype: "[ActiveCurrencyAnd13DecimalAmount](#)" on page 801

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

25.1.17.1.4.3 Amount <Amt>

Presence: [1..1]

Definition: The amount.

Impacted by: [C1 "ActiveCurrency"](#)

Datatype: "[ActiveCurrencyAnd13DecimalAmount](#)" on page 801

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

25.1.17.1.5 NotSpecified <NotSpcfd>

Presence: [1..1]

Definition: The value of the price is not specified.

NotSpecified <NotSpcfd> contains one of the following **PriceValueType6FormatChoice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		766
Or}	Proprietary <Prtry>	[1..1]	±		766

25.1.17.1.5.1 Code <Cd>*Presence:* [1..1]*Definition:* Standard code to specify the value of a price.*Datatype:* "PriceValueType6Code" on page 832

CodeName	Name	Definition
UKWN	Unknown	Price is unknown by the sender or has not been established.
OPEN	OpenDated	Price has not been established.
UNSP	Unspecified	Price is not required to be specified by account owner.
TBSP	ToBeSpecified	Price to be specified by account owner.

25.1.17.1.5.2 Proprietary <Prtry>*Presence:* [1..1]*Definition:* Proprietary code to express the value of a price.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification13](#)" on page 693 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		693
	SchemeName <SchmeNm>	[0..1]	Text		693
	Issuer <Issr>	[1..1]	Text		693

25.1.17.2 PriceValue1*Definition:* Value given to a price.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Amount <Amt>	[1..1]	Amount	C1	766

25.1.17.2.1 Amount <Amt>*Presence:* [1..1]*Definition:* Price expressed as a currency and value.*Impacted by:* C1 "ActiveCurrency"*Datatype:* "ActiveCurrencyAnd13DecimalAmount" on page 801**Constraints**

- ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217

Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

25.1.17.3 PriceRate1

Definition: Specifies a price expressed as a rate.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	RateType <RateTp>	[1..1]			767
{Or	Code <Cd>	[1..1]	CodeSet		767
Or}	Proprietary <Prtry>	[1..1]	±		767
	Rate <Rate>	[1..1]	Rate		768

25.1.17.3.1 RateType <RateTp>

Presence: [1..1]

Definition: Type of rate, eg, yield.

RateType <RateTp> contains one of the following **PriceRateType3FormatChoice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		767
Or}	Proprietary <Prtry>	[1..1]	±		767

25.1.17.3.1.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the type of price rate.

Datatype: "PriceRateType3Code" on page 831

CodeName	Name	Definition
DISC	Discount	Price expressed as a number of percentage points below par, for example, a discount price of 2.0% equals a price of 98 when par is 100.
PREM	Premium	Price expressed as a number of percentage points above par, for example, a premium price of 2.0% equals a price of 102 when par is 100.
PRCT	Percentage	Price expressed as a percentage of par.
YIEL	Yield	Price expressed as a yield.

25.1.17.3.1.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary code to express the type of price rate.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification13](#)" on page 693 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		693
	SchemeName <SchmeNm>	[0..1]	Text		693
	Issuer <Issr>	[1..1]	Text		693

25.1.17.3.2 Rate <Rate>

Presence: [1..1]

Definition: Price expressed as a rate, ie, percentage.

Datatype: "[PercentageRate](#)" on page 851

25.1.17.4 PriceFormat3Choice

Definition: Choice of formats to express a price.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Amount <Amt>	[1..1]			768
	AmountPriceType <AmtPricTp>	[1..1]			768
{Or	Code <Cd>	[1..1]	CodeSet		769
Or}	Proprietary <Prtry>	[1..1]	±		769
	PriceValue <PricVal>	[1..1]	Amount	C1	769
Or}	Rate <Rate>	[1..1]	±		770

25.1.17.4.1 Amount <Amt>

Presence: [1..1]

Definition: Price expressed as a currency and amount.

Amount <Amt> contains the following **AmountPrice1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AmountPriceType <AmtPricTp>	[1..1]			768
{Or	Code <Cd>	[1..1]	CodeSet		769
Or}	Proprietary <Prtry>	[1..1]	±		769
	PriceValue <PricVal>	[1..1]	Amount	C1	769

25.1.17.4.1.1 AmountPriceType <AmtPricTp>

Presence: [1..1]

Definition: Type of amount price.

AmountPriceType <AmtPricTp> contains one of the following **AmountPriceType1FormatChoice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		769
Or}	Proprietary <Prtry>	[1..1]	±		769

25.1.17.4.1.1.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the amount price type.

Datatype: "AmountPriceType1Code" on page 803

CodeName	Name	Definition
ACTU	ActualAmount	Price expressed as a currency and amount per unit or per share.
DISC	Discount	Price expressed as a discount amount.
PLOT	Lot	Price expressed as an amount of money per lot.
PREM	Premium	Price expressed as a premium.

25.1.17.4.1.1.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary code to express the amount price type.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification13](#)" on page 693 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		693
	SchemeName <SchmeNm>	[0..1]	Text		693
	Issuer <Issr>	[1..1]	Text		693

25.1.17.4.1.2 PriceValue <PricVal>

Presence: [1..1]

Definition: Value of the price.

Impacted by: C1 "ActiveCurrency"

Datatype: "ActiveCurrencyAnd13DecimalAmount" on page 801

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217

Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

25.1.17.4.2 Rate <Rate>

Presence: [1..1]

Definition: Price expressed as a rate, ie, percentage.

Rate <Rate> contains the following elements (see "PriceRate1" on page 767 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	RateType <RateTp>	[1..1]			767
{Or	Code <Cd>	[1..1]	CodeSet		767
Or}	Proprietary <Prtry>	[1..1]	±		767
	Rate <Rate>	[1..1]	Rate		768

25.1.17.5 PriceFormat2Choice

Definition: Choice of formats to express a price.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Amount <Amt>	[1..1]			770
	AmountPriceType <AmtPricTp>	[1..1]			771
{Or	Code <Cd>	[1..1]	CodeSet		771
Or}	Proprietary <Prtry>	[1..1]	±		771
	PriceValue <PricVal>	[1..1]	Amount	C1	772
Or	Rate <Rate>	[1..1]	±		772
Or}	NotSpecified <NotSpcfd>	[1..1]			772
{Or	Code <Cd>	[1..1]	CodeSet		772
Or}	Proprietary <Prtry>	[1..1]	±		773

25.1.17.5.1 Amount <Amt>

Presence: [1..1]

Definition: Price expressed as a currency and amount.

Amount <Amt> contains the following **AmountPrice1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AmountPriceType <AmtPricTp>	[1..1]			771
{Or	Code <Cd>	[1..1]	CodeSet		771
Or}	Proprietary <Prtry>	[1..1]	±		771
	PriceValue <PricVal>	[1..1]	Amount	C1	772

25.1.17.5.1.1 AmountPriceType <AmtPricTp>

Presence: [1..1]

Definition: Type of amount price.

AmountPriceType <AmtPricTp> contains one of the following **AmountPriceType1FormatChoice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		771
Or}	Proprietary <Prtry>	[1..1]	±		771

25.1.17.5.1.1.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the amount price type.

Datatype: "AmountPriceType1Code" on page 803

CodeName	Name	Definition
ACTU	ActualAmount	Price expressed as a currency and amount per unit or per share.
DISC	Discount	Price expressed as a discount amount.
PLOT	Lot	Price expressed as an amount of money per lot.
PREM	Premium	Price expressed as a premium.

25.1.17.5.1.1.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary code to express the amount price type.

Proprietary <Prtry> contains the following elements (see "GenericIdentification13" on page 693 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		693
	SchemeName <SchmeNm>	[0..1]	Text		693
	Issuer <Issr>	[1..1]	Text		693

25.1.17.5.1.2 PriceValue <PricVal>*Presence:* [1..1]*Definition:* Value of the price.*Impacted by:* C1 "ActiveCurrency"*Datatype:* "ActiveCurrencyAnd13DecimalAmount" on page 801**Constraints**

- ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

25.1.17.5.2 Rate <Rate>*Presence:* [1..1]*Definition:* Price expressed as a rate, ie, percentage.**Rate <Rate>** contains the following elements (see "PriceRate1" on page 767 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	RateType <RateTp>	[1..1]			767
{Or	Code <Cd>	[1..1]	CodeSet		767
Or}	Proprietary <Prtry>	[1..1]	±		767
	Rate <Rate>	[1..1]	Rate		768

25.1.17.5.3 NotSpecified <NotSpcfd>*Presence:* [1..1]*Definition:* The value of the price is not specified.**NotSpecified <NotSpcfd>** contains one of the following **PriceValueType5FormatChoice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		772
Or}	Proprietary <Prtry>	[1..1]	±		773

25.1.17.5.3.1 Code <Cd>*Presence:* [1..1]*Definition:* Standard code to specify the value of a price.*Datatype:* "PriceValueType5Code" on page 832

CodeName	Name	Definition
UKWN	Unknown	Price is unknown by the sender or has not been established.

CodeName	Name	Definition
OPEN	OpenDated	Price has not been established.

25.1.17.5.3.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary code to express the value of a price.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification13](#)" on page 693 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		693
	SchemeName <SchmeNm>	[0..1]	Text		693
	Issuer <Issr>	[1..1]	Text		693

25.1.18 Rate

25.1.18.1 AmountAndQuantityRatio1

Definition: Ratio expressed as amount per quantity.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Amount <Amt>	[1..1]	Amount	C1, C5	773
	Quantity <Qty>	[1..1]	Quantity		774

25.1.18.1.1 Amount <Amt>

Presence: [1..1]

Definition: Cash amount.

Impacted by: [C1 "ActiveCurrency"](#), [C5 "CurrencyAmount"](#)

Datatype: ["ActiveCurrencyAndAmount"](#) on page 801

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

25.1.18.1.2 Quantity <Qty>*Presence:* [1..1]*Definition:* Quantity expressed as number.*Datatype:* "DecimalNumber" on page 851**25.1.18.2 RatioFormat2Choice***Definition:* Choice of format to expressed a ratio.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	QuantityToQuantity <QtyToQty>	[1..1]	±		774
Or	AmountToAmount <AmtToAmt>	[1..1]	±		774
Or	AmountToQuantity <AmtToQty>	[1..1]	±		774
Or	QuantityToAmount <QtyToAmt>	[1..1]	±		775
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]			775
{Or	Code <Cd>	[1..1]	CodeSet		775
Or}	Proprietary <Prtry>	[1..1]	±		775

25.1.18.2.1 QuantityToQuantity <QtyToQty>*Presence:* [1..1]*Definition:* The ratio is expressed as a quantity per another quantity.**QuantityToQuantity <QtyToQty>** contains the following elements (see "QuantityToQuantityRatio1" on page 689 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Quantity1 <Qty1>	[1..1]	Quantity		690
	Quantity2 <Qty2>	[1..1]	Quantity		690

25.1.18.2.2 AmountToAmount <AmtToAmt>*Presence:* [1..1]*Definition:* The ratio is expressed as an amount per another amount.**AmountToAmount <AmtToAmt>** contains the following elements (see "AmountToAmountRatio1" on page 786 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Amount1 <Amt1>	[1..1]	Amount	C1, C5	786
	Amount2 <Amt2>	[1..1]	Amount	C1, C5	787

25.1.18.2.3 AmountToQuantity <AmtToQty>*Presence:* [1..1]

Definition: The ratio is expressed as an amount per quantity.

AmountToQuantity <AmtToQty> contains the following elements (see "[AmountAndQuantityRatio1](#)" on page 773 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Amount <Amt>	[1..1]	Amount	C1, C5	773
	Quantity <Qty>	[1..1]	Quantity		774

25.1.18.2.4 QuantityToAmount <QtyToAmt>

Presence: [1..1]

Definition: Ratio is expressed as a quantity per an amount.

QuantityToAmount <QtyToAmt> contains the following elements (see "[AmountAndQuantityRatio1](#)" on page 773 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Amount <Amt>	[1..1]	Amount	C1, C5	773
	Quantity <Qty>	[1..1]	Quantity		774

25.1.18.2.5 NotSpecifiedRate <NotSpcfdRate>

Presence: [1..1]

Definition: The value of the ratio is not specified.

NotSpecifiedRate <NotSpcfdRate> contains one of the following **RateType12FormatChoice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		775
Or}	Proprietary <Prtry>	[1..1]	±		775

25.1.18.2.5.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the type of rate.

Datatype: "[RateType12Code](#)" on page 835

CodeName	Name	Definition
OPEN	Open	Rate has not been established.
UKWN	Unknown	Rate is unknown by the sender or has not been established.
NILP	NilPayment	Rate will not be paid.

25.1.18.2.5.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary code to express the type of rate.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification13](#)" on page 693 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		693
	SchemeName <SchmeNm>	[0..1]	Text		693
	Issuer <Issr>	[1..1]	Text		693

25.1.18.3 RatioFormat1Choice

Definition: Choice of format to expressed a ratio.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	QuantityToQuantity <QtyToQty>	[1..1]	±		776
Or	AmountToAmount <AmtToAmt>	[1..1]	±		776
Or}	NotSpecifiedRate <NotSpctdRate>	[1..1]			777
{Or	Code <Cd>	[1..1]	CodeSet		777
Or}	Proprietary <Prtry>	[1..1]	±		777

25.1.18.3.1 QuantityToQuantity <QtyToQty>

Presence: [1..1]

Definition: The ratio is expressed as a quantity per another quantity.

QuantityToQuantity <QtyToQty> contains the following elements (see "[QuantityToQuantityRatio1](#)" on page 689 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Quantity1 <Qty1>	[1..1]	Quantity		690
	Quantity2 <Qty2>	[1..1]	Quantity		690

25.1.18.3.2 AmountToAmount <AmtToAmt>

Presence: [1..1]

Definition: The ratio is expressed as an amount per another amount.

AmountToAmount <AmtToAmt> contains the following elements (see "[AmountToAmountRatio1](#)" on page 786 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Amount1 <Amt1>	[1..1]	Amount	C1, C5	786
	Amount2 <Amt2>	[1..1]	Amount	C1, C5	787

25.1.18.3.3 NotSpecifiedRate <NotSpcfdRate>*Presence:* [1..1]*Definition:* The value of the ratio is not specified.**NotSpecifiedRate <NotSpcfdRate>** contains one of the following **RateType12FormatChoice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		777
Or}	Proprietary <Prtry>	[1..1]	±		777

25.1.18.3.3.1 Code <Cd>*Presence:* [1..1]*Definition:* Standard code to specify the type of rate.*Datatype:* "RateType12Code" on page 835

CodeName	Name	Definition
OPEN	Open	Rate has not been established.
UKWN	Unknown	Rate is unknown by the sender or has not been established.
NILP	NilPayment	Rate will not be paid.

25.1.18.3.3.2 Proprietary <Prtry>*Presence:* [1..1]*Definition:* Proprietary code to express the type of rate.**Proprietary <Prtry>** contains the following elements (see "GenericIdentification13" on page 693 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		693
	SchemeName <SchmeNm>	[0..1]	Text		693
	Issuer <Issr>	[1..1]	Text		693

25.1.18.4 RateFormat1Choice*Definition:* Choice of format to expressed a rate.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		778
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]			778
{Or	Code <Cd>	[1..1]	CodeSet		778
Or}	Proprietary <Prtry>	[1..1]	±		778

25.1.18.4.1 Rate <Rate>*Presence:* [1..1]*Definition:* Value is expressed as a rate.*Datatype:* "PercentageRate" on page 851**25.1.18.4.2 NotSpecifiedRate <NotSpcfdRate>***Presence:* [1..1]*Definition:* Value is not specified.**NotSpecifiedRate <NotSpcfdRate>** contains one of the following **RateType12FormatChoice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		778
Or}	Proprietary <Prtry>	[1..1]	±		778

25.1.18.4.2.1 Code <Cd>*Presence:* [1..1]*Definition:* Standard code to specify the type of rate.*Datatype:* "RateType12Code" on page 835

CodeName	Name	Definition
OPEN	Open	Rate has not been established.
UKWN	Unknown	Rate is unknown by the sender or has not been established.
NILP	NilPayment	Rate will not be paid.

25.1.18.4.2.2 Proprietary <Prtry>*Presence:* [1..1]*Definition:* Proprietary code to express the type of rate.**Proprietary <Prtry>** contains the following elements (see "GenericIdentification13" on page 693 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		693
	SchemeName <SchmeNm>	[0..1]	Text		693
	Issuer <Issr>	[1..1]	Text		693

25.1.18.5 NetDividendRate2*Definition:* Cash dividend amount per equity after deductions or allowances have been made.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	RateType <RateTp>	[1..1]			779
{Or	Code <Cd>	[1..1]	CodeSet		779
Or}	Proprietary <Prtry>	[1..1]	±		780
	Amount <Amt>	[1..1]	Amount	C1, C5	780

25.1.18.5.1 RateType <RateTp>

Presence: [1..1]

Definition: Type of underlying securities to which the rate is related, eg, underlying security for which an interest is paid.

RateType <RateTp> contains one of the following **NetDividendRateType1FormatChoice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		779
Or}	Proprietary <Prtry>	[1..1]	±		780

25.1.18.5.1.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the type of net dividend rate.

Datatype: "NetDividendRateType1Code" on page 829

CodeName	Name	Definition
CAPO	CapitalPortion	Rate relating to the underlying security for which capital is distributed.
FLFR	FullyFranked	Rate resulting from a fully franked dividend paid by a company; the rate includes tax credit for companies that have made sufficient tax payments during the fiscal period.
INCO	IncomePortion	Rate relating to the underlying security for which income is distributed.
INTR	Interest	Rate relating to the underlying security for which interest is paid.
SOIC	SundryOrOtherIncome	Rate relating to the underlying security for which other income is paid.
TXBL	TaxablePortion	Rate relating to the underlying security for which tax is charged.
TXDF	TaxDeferred	Rate relating to the underlying security for which tax is deferred.
TXFR	TaxFree	Rate relating to the underlying security which is not taxable.

CodeName	Name	Definition
UNFR	Unfranked	Rate resulting from an unfranked dividend paid by a company; the rate does not include tax credit and is subject to withholding tax.

25.1.18.5.1.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary code to express the type of net dividend rate.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification13](#)" on page 693 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		693
	SchemeName <SchmeNm>	[0..1]	Text		693
	Issuer <Issr>	[1..1]	Text		693

25.1.18.5.2 Amount <Amt>

Presence: [1..1]

Definition: Value expressed as an amount.

Impacted by: [C1 "ActiveCurrency"](#), [C5 "CurrencyAmount"](#)

Datatype: ["ActiveCurrencyAndAmount"](#) on page 801

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

25.1.18.6 NetDividendRate1Choice

Definition: Choice of format to express a net dividend.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	NotSpecifiedRate <NotSpcdfRate>	[1..1]			781
{Or	Code <Cd>	[1..1]	CodeSet		781
Or}	Proprietary <Prtry>	[1..1]	±		781
Or	Amount <Amt>	[1..1]	Amount	C1, C5	782
Or}	RateTypeAmount <RateTpAmt>	[1..1]	±		782

25.1.18.6.1 NotSpecifiedRate <NotSpcdfRate>

Presence: [1..1]

Definition: The value of the rate is not specified, eg, the rate is unknown.

NotSpecifiedRate <NotSpcdfRate> contains one of the following **RateValueType6FormatChoice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		781
Or}	Proprietary <Prtry>	[1..1]	±		781

25.1.18.6.1.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the value of a rate.

Datatype: "RateValueType6Code" on page 835

CodeName	Name	Definition
UKWN	Unknown	Rate is unknown by the sender or has not been established.
OPEN	Open	Rate has not been established.

25.1.18.6.1.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary code to express the value of a rate.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification13](#)" on page 693 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		693
	SchemeName <SchmeNm>	[0..1]	Text		693
	Issuer <Issr>	[1..1]	Text		693

25.1.18.6.2 Amount <Amt>*Presence:* [1..1]*Definition:* Value expressed as an amount.*Impacted by:* C1 "ActiveCurrency", C5 "CurrencyAmount"*Datatype:* "ActiveCurrencyAndAmount" on page 801**Constraints**

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

25.1.18.6.3 RateTypeAmount <RateTpAmt>*Presence:* [1..1]

Definition: Value is expressed as an amount related to an underlying securities, eg, underlying security for which an interest is paid.

RateTypeAmount <RateTpAmt> contains the following elements (see "[NetDividendRate2](#)" on page 778 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	RateType <RateTp>	[1..1]			779
{Or	Code <Cd>	[1..1]	CodeSet		779
Or}	Proprietary <Prtry>	[1..1]	±		780
	Amount <Amt>	[1..1]	Amount	C1, C5	780

25.1.18.7 GrossDividendRate2

Definition: Cash dividend amount per equity before deductions or allowances have been made.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	RateType <RateTp>	[1..1]			783
{Or	Code <Cd>	[1..1]	CodeSet		783
Or}	Proprietary <Prtry>	[1..1]	±		783
	Amount <Amt>	[1..1]	Amount	C1, C5	784

25.1.18.7.1 RateType <RateTp>*Presence:* [1..1]*Definition:* Type of underlying securities to which the rate is related, eg, underlying security for which an interest is paid.**RateType <RateTp>** contains one of the following **GrossDividendRateType1FormatChoice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		783
Or}	Proprietary <Prtry>	[1..1]	±		783

25.1.18.7.1.1 Code <Cd>*Presence:* [1..1]*Definition:* Standard code to specify the type of gross dividend rate.*Datatype:* "GrossDividendRateType1Code" on page 827

CodeName	Name	Definition
CAPO	CapitalPortion	Rate relating to the underlying security for which capital is distributed.
FLFR	FullyFranked	Rate resulting from a fully franked dividend paid by a company; the rate includes tax credit for companies that have made sufficient tax payments during the fiscal period.
INCO	IncomePortion	Rate relating to the underlying security for which income is distributed.
INTR	Interest	Rate relating to the underlying security for which interest is paid.
SOIC	SundryOrOtherIncome	Rate relating to the underlying security for which other income is paid.
TXBL	TaxablePortion	Rate relating to the underlying security for which tax is charged.
TXDF	TaxDeferred	Rate relating to the underlying security for which tax is deferred.
TXFR	TaxFree	Rate relating to the underlying security which is not taxable.
UNFR	Unfranked	Rate resulting from an unfranked dividend paid by a company; the rate does not include tax credit and is subject to withholding tax.
LTCG	LongTermCapitalGain	Long term capital gain.
STCG	ShortTermCapitalGain	Short term capital gain.

25.1.18.7.1.2 Proprietary <Prtry>*Presence:* [1..1]*Definition:* Proprietary code to express the type of gross dividend rate.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification13](#)" on page 693 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		693
	SchemeName <SchmeNm>	[0..1]	Text		693
	Issuer <Issr>	[1..1]	Text		693

25.1.18.7.2 Amount <Amt>

Presence: [1..1]

Definition: Value expressed as an amount.

Impacted by: C1 "ActiveCurrency", C5 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 801

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

25.1.18.8 GrossDividendRate1Choice

Definition: Choice of format to express a gross dividend.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	NotSpecifiedRate <NotSpcfdRate>	[1..1]			784
{Or	Code <Cd>	[1..1]	CodeSet		785
Or}	Proprietary <Prtry>	[1..1]	±		785
Or	Amount <Amt>	[1..1]	Amount	C1, C5	785
Or}	RateTypeAmount <RateTpAmt>	[1..1]	±		786

25.1.18.8.1 NotSpecifiedRate <NotSpcfdRate>

Presence: [1..1]

Definition: The value of the rate is not specified, eg, the rate is unknown.

NotSpecifiedRate <NotSpcfdRate> contains one of the following **RateValueType2FormatChoice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		785
Or}	Proprietary <Prtry>	[1..1]	±		785

25.1.18.8.1.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the value of a rate.

Datatype: "RateValueType2Code" on page 835

CodeName	Name	Definition
UKWN	Unknown	Rate is unknown by the sender or has not been established.
OPEN	Open	Rate has not been established.
NILP	NilPayment	Rate will not be paid.

25.1.18.8.1.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary code to express the value of a rate.

Proprietary <Prtry> contains the following elements (see "GenericIdentification13" on page 693 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		693
	SchemeName <SchmeNm>	[0..1]	Text		693
	Issuer <Issr>	[1..1]	Text		693

25.1.18.8.2 Amount <Amt>

Presence: [1..1]

Definition: Value expressed as an amount.

Impacted by: C1 "ActiveCurrency", C5 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 801

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217

Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

25.1.18.8.3 RateTypeAmount <RateTpAmt>

Presence: [1..1]

Definition: Value is expressed as an amount related to an underlying securities, eg, underlying security for which an interest is paid.

RateTypeAmount <RateTpAmt> contains the following elements (see "[GrossDividendRate2](#)" on page 782 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	RateType <RateTp>	[1..1]			783
{Or	Code <Cd>	[1..1]	CodeSet		783
Or}	Proprietary <Prtry>	[1..1]	±		783
	Amount <Amt>	[1..1]	Amount	C1, C5	784

25.1.18.9 AmountToAmountRatio1

Definition: Ratio expressed as a quotient of amounts.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Amount1 <Amt1>	[1..1]	Amount	C1, C5	786
	Amount2 <Amt2>	[1..1]	Amount	C1, C5	787

25.1.18.9.1 Amount1 <Amt1>

Presence: [1..1]

Definition: Numerator of the quotient of amounts.

Impacted by: [C1 "ActiveCurrency"](#), [C5 "CurrencyAmount"](#)

Datatype: "[ActiveCurrencyAndAmount](#)" on page 801

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

25.1.18.9.2 Amount2 <Amt2>

Presence: [1..1]

Definition: Denominator of the quotient of amounts.

Impacted by: C1 "ActiveCurrency", C5 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 801

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

25.1.19 Safekeeping Place

25.1.19.1 SafekeepingPlaceFormat28Choice

Definition: Choice of formats for a place of safekeeping.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Identification <Id>	[1..1]			787
	SafekeepingPlaceType <SfkpgPlcTp>	[1..1]	CodeSet		788
	Identification <Id>	[0..1]	Text		788
Or	Country <Ctry>	[1..1]	CodeSet	C4	788
Or	TypeAndIdentification <TpAndId>	[1..1]			788
	SafekeepingPlaceType <SfkpgPlcTp>	[1..1]	CodeSet		789
	Identification <Id>	[1..1]	IdentifierSet	C1	789
Or}	Proprietary <Prtry>	[1..1]	±		789

25.1.19.1.1 Identification <Id>

Presence: [1..1]

Definition: Place of safekeeping expressed as a code and a narrative description.

Identification <Id> contains the following **SafekeepingPlaceTypeAndText6** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	SafekeepingPlaceType <SfkpgPlcTp>	[1..1]	CodeSet		788
	Identification <Id>	[0..1]	Text		788

25.1.19.1.1.1 SafekeepingPlaceType <SfkpgPlcTp>

Presence: [1..1]

Definition: Place of safekeeping as a code.

Datatype: "SafekeepingPlace2Code" on page 843

CodeName	Name	Definition
SHHE	SharesHeldElsewhere	Used for certain financial instruments, for example, US mutual funds, where settlement is internal only, that is, there is no external movement of securities.
ALLP	AllPlaces	All places of safekeeping where the financial instrument is held.

25.1.19.1.1.2 Identification <Id>

Presence: [0..1]

Definition: Additional information about the place of safekeeping.

Datatype: "Max35Text" on page 853

25.1.19.1.2 Country <Ctry>

Presence: [1..1]

Definition: Place of safekeeping expressed with a country code.

Impacted by: C4 "Country"

Datatype: "CountryCode" on page 822

Constraints

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

25.1.19.1.3 TypeAndIdentification <TpAndId>

Presence: [1..1]

Definition: Place of safekeeping expressed with a type and identification.

TypeAndIdentification <TpAndId> contains the following **SafekeepingPlaceTypeAndIdentification1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	SafekeepingPlaceType <SfkpgPlcTp>	[1..1]	CodeSet		789
	Identification <Id>	[1..1]	IdentifierSet	C1	789

25.1.19.1.3.1 SafekeepingPlaceType <SfkpgPlcTp>

Presence: [1..1]

Definition: Place of safekeeping as a code.

Datatype: "SafekeepingPlace1Code" on page 842

CodeName	Name	Definition
CUST	SharesHeldAtLocalCustodian	Financial instruments held at a local custodian.
ICSD	SharesHeldAtICSD	Financial instruments held at an International Central Securities Depository.
NCSD	SharesHeldAtNCSD	Financial instruments held at a National Central Securities Depository.
SHHE	SharesHeldElsewhere	Used for certain financial instruments, for example, US mutual funds, where settlement is internal only, that is, there is no external movement of securities.

25.1.19.1.3.2 Identification <Id>

Presence: [1..1]

Definition: Place of safekeeping.

Impacted by: C1 "AnyBIC"

Datatype: "AnyBICDec2014Identifier" on page 847

Constraints

- **AnyBIC**

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

25.1.19.1.4 Proprietary <Prtry>

Presence: [1..1]

Definition: Place of safekeeping expressed with a propriety identification scheme.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification78](#)" on page 690 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Type <Tp>	[1..1]	±		690
	Identification <Id>	[0..1]	Text		691

25.1.20 Securities Identification

25.1.20.1 FinancialInstrumentDescription3

Definition: Description of the financial instrument.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	SecurityIdentification <Sctyld>	[1..1]	±		790
	PlaceOfListing <PlcOfListg>	[0..1]	IdentifierSet		790
	SafekeepingPlace <SfkpgPlc>	[0..1]	±		790

25.1.20.1.1 SecurityIdentification <Sctyld>

Presence: [1..1]

Definition: Identifies the financial instrument.

SecurityIdentification <Sctyld> contains the following elements (see "[SecurityIdentification7](#)" on page 791 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	ISIN <ISIN>	[1..1]	IdentifierSet		791
Or}	OtherIdentification <Othrld>	[1..1]			791
	Identification <Id>	[1..1]	Text		792
{Or	DomesticIdentificationSource <DmstldSrc>	[1..1]	CodeSet	C4	792
Or}	ProprietaryIdentificationSource <PrtryldSrc>	[1..1]	Text		792
	Description <Desc>	[0..1]	Text		792

25.1.20.1.2 PlaceOfListing <PlcOfListg>

Presence: [0..1]

Definition: Place where the referenced financial instrument is listed.

Datatype: "[MICIdentifier](#)" on page 850

25.1.20.1.3 SafekeepingPlace <SfkpgPlc>

Presence: [0..1]

Definition: Identification of the place of safekeeping.

SafekeepingPlace <SfkpgPlc> contains one of the following elements (see "PartyIdentification2Choice" on page 733 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	BICOrBEI <BICOrBEI>	[1..1]	IdentifierSet	C2	733
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		733
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		734

25.1.20.2 SecurityIdentification7

Definition: Choice between ISIN and an alternative format for the identification of a security. ISIN is the preferred format.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	ISIN <ISIN>	[1..1]	IdentifierSet		791
Or}	OtherIdentification <OthrlId>	[1..1]			791
	Identification <Id>	[1..1]	Text		792
{Or	DomesticIdentificationSource <DmstIdSrc>	[1..1]	CodeSet	C4	792
Or}	ProprietaryIdentificationSource <PrtryIdSrc>	[1..1]	Text		792
	Description <Desc>	[0..1]	Text		792

25.1.20.2.1 ISIN <ISIN>

Presence: [1..1]

Warning Either ISIN or OtherIdentification must be present but not both.

Definition: International Securities Identification Number (ISIN). A numbering system designed by the United Nation's International Organisation for Standardisation (ISO). The ISIN is composed of a 2-character prefix representing the country of issue, followed by the national security number (if one exists), and a check digit. Each country has a national numbering agency that assigns ISIN numbers for securities in that country.

Datatype: "ISINIdentifier" on page 849

25.1.20.2.2 OtherIdentification <OthrlId>

Presence: [1..1]

Warning Either ISIN or OtherIdentification must be present but not both.

Definition: Proprietary identification of a security assigned by an institution or organisation.

OtherIdentification <OthrId> contains the following **AlternateSecurityIdentification3** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		792
{Or	DomesticIdentificationSource <DmstIdSrc>	[1..1]	CodeSet	C4	792
Or}	ProprietaryIdentificationSource <PrtryIdSrc>	[1..1]	Text		792

25.1.20.2.2.1 Identification <Id>

Presence: [1..1]

Definition: Unique and unambiguous identifier of a security.

Datatype: "Max70Text" on page 854

25.1.20.2.2.2 DomesticIdentificationSource <DmstIdSrc>

Presence: [1..1]

Warning Either DomesticIdentificationSource or ProprietaryIdentificationSource must be present, but not both.

Definition: Country of the proprietary identification scheme.

Impacted by: C4 "Country"

Datatype: "CountryCode" on page 822

Constraints

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

25.1.20.2.2.3 ProprietaryIdentificationSource <PrtryIdSrc>

Presence: [1..1]

Warning Either DomesticIdentificationSource or ProprietaryIdentificationSource must be present, but not both.

Definition: Entity that issues the proprietary identification.

Datatype: "Max35Text" on page 853

25.1.20.2.3 Description <Desc>

Presence: [0..1]

Definition: Textual description of a security instrument.

Datatype: "Max140Text" on page 852

25.1.21 Securities Quantity

25.1.21.1 UnitOrFaceAmountOrCode1Choice

Definition: Choice between a unit in decimal number, a face amount in currency and amount or a unit expressed as a code.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		793
Or	FaceAmount <FaceAmt>	[1..1]	Amount	C1, C5	793
Or}	Code <Cd>	[1..1]	CodeSet		793

25.1.21.1.1 Unit <Unit>

Presence: [1..1]

Definition: Quantity expressed as a number, eg, a number of shares.

Datatype: "DecimalNumber" on page 851

25.1.21.1.2 FaceAmount <FaceAmt>

Presence: [1..1]

Definition: Quantity expressed as an amount representing the face amount, ie, the principal, of a debt instrument.

Impacted by: C1 "ActiveCurrency", C5 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 801

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

25.1.21.1.3 Code <Cd>

Presence: [1..1]

Definition: Quantity expressed as a code.

Datatype: "Quantity1Code" on page 835

CodeName	Name	Definition
QALL	AllSecurities	Instruction applies to the entire eligible balance of underlying securities.

25.1.22 Status

25.1.22.1 NotificationProcessingStatus2

Definition: Provide processing status information for a notification advice.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Status <Sts>	[1..1]			794
{Or	Code <Cd>	[1..1]	CodeSet		794
Or}	Proprietary <Prtry>	[1..1]	±		794
	AdditionalInformation <AddtlInf>	[0..1]	Text		795

25.1.22.1.1 Status <Sts>

Presence: [1..1]

Definition: The processing status.

Status <Sts> contains one of the following **ProcessedStatus1Format1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		794
Or}	Proprietary <Prtry>	[1..1]	±		794

25.1.22.1.1.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify to express the processing status of the notification advice.

Datatype: "ProcessedStatus7Code" on page 833

CodeName	Name	Definition
RECE	Received	The instruction/request has been received.
SENT	SentToMarket	The advice has been sent to the market.
SNAV	SystemNotAvailable	System is not available. Instruction is sent outside of business hours.
WARN	AcceptedWithWarning	The announcement has been accepted but another source has provided different information.
PACK	Accepted	The request is accepted.
PEND	Pending	The processing of the request is pending.

25.1.22.1.1.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary code to express the processing status of the notification advice.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification30](#)" on page 691 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		691
	Issuer <Issr>	[1..1]	Text		691
	SchemeName <SchmeNm>	[0..1]	Text		691

25.1.22.1.2 AdditionalInformation <AddtlInf>

Presence: [0..1]

Definition: Additional information about the status.

Datatype: "[Max350Text](#)" on page 853

25.1.22.2 NotificationCancellationRejectionReason2

Definition: Provides reason of the rejection of a notification cancellation request.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reason <Rsn>	[1..*]			795
{Or	Code <Cd>	[1..1]	CodeSet		795
Or}	Proprietary <Prtry>	[1..1]	±		796
	AdditionalInformation <AddtlInf>	[0..1]	Text		796

25.1.22.2.1 Reason <Rsn>

Presence: [1..*]

Definition: The rejection reason.

Reason <Rsn> contains one of the following **RejectionReason11Format1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		795
Or}	Proprietary <Prtry>	[1..1]	±		796

25.1.22.2.1.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the reason of a rejection of the notification cancellation request.

Datatype: "[RejectionReason81Code](#)" on page 841

CodeName	Name	Definition
FAIL	FailedValidation	Validation of the advice/instruction/request failed.

CodeName	Name	Definition
REFI	InvalidCAIdentification	Invalid corporate action identification supplied.
DCAN	RejectedSinceAlreadyCancelled	Cancellation request was rejected since the instruction has already been cancelled.
DPRG	RejectedSinceInProgress	Cancellation request has been rejected because the instruction process is in progress or has been processed.

25.1.22.2.1.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary code to express the reason of a rejection of the notification cancellation request.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification30](#)" on page 691 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		691
	Issuer <Issr>	[1..1]	Text		691
	SchemeName <SchmeNm>	[0..1]	Text		691

25.1.22.2.2 AdditionalInformation <AddtlInf>

Presence: [0..1]

Definition: Additional information about the status.

Datatype: "[Max350Text](#)" on page 853

25.1.22.3 NotificationCancellationProcessingStatus2

Definition: Provide processing status information for a notification cancellation request.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Status <Sts>	[1..1]			796
{Or	Code <Cd>	[1..1]	CodeSet		797
Or}	Proprietary <Prtry>	[1..1]	±		797
	AdditionalInformation <AddtlInf>	[0..1]	Text		797

25.1.22.3.1 Status <Sts>

Presence: [1..1]

Definition: The processing status.

Status <Sts> contains one of the following **ProcessedStatus2Format1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		797
Or}	Proprietary <Prtry>	[1..1]	±		797

25.1.22.3.1.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the processing status of a cancellation request.

Datatype: "ProcessedStatus2Code" on page 832

CodeName	Name	Definition
RECE	Received	The instruction/request has been received.
COMP	Completed	The request has been completed.

25.1.22.3.1.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary code to express the processing status of a cancellation request.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification30](#)" on page 691 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		691
	Issuer <Issr>	[1..1]	Text		691
	SchemeName <SchmeNm>	[0..1]	Text		691

25.1.22.3.2 AdditionalInformation <AddtlInf>

Presence: [0..1]

Definition: Additional information about the status.

Datatype: "Max350Text" on page 853

25.1.22.4 NotificationRejectionReason2

Definition: Provides reason of the rejection of a notification advice.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reason <Rsn>	[1..*]			798
{Or	Code <Cd>	[1..1]	CodeSet		798
Or}	Proprietary <Prtry>	[1..1]	±		800
	AdditionalInformation <AddtlInf>	[0..1]	Text		800

25.1.22.4.1 Reason <Rsn>*Presence:* [1..*]*Definition:* The rejection reason.**Reason <Rsn>** contains one of the following **RejectionReason6Format1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		798
Or}	Proprietary <Prtry>	[1..1]	±		800

25.1.22.4.1.1 Code <Cd>*Presence:* [1..1]*Definition:* Standard code to specify the reason of a rejection of the notification advice.*Datatype:* "RejectionReason80Code" on page 839

CodeName	Name	Definition
PDAY	EffectiveDayAfterPaymentDate	Effective date is after the payment date.
FAIL	FailedValidation	Validation of the advice/instruction/request failed.
GAMN	GrossAmountLessThanNetAmount	Gross amount is less than net amount.
MCER	MissingCertification	Certification has not been filled in.
DFLT	MissingDefaultOption	One option must be marked as the default.
XRAT	MissingExchangeRate	Resulting amount is not allowed without an exchange rate.
SECO	MissingOption	Second option is mandatory.
ENDP	MissingPeriodEndDate	Period ending date or code is missing.
STAR	MissingPeriodStartDate	Period starting date or code is missing.
RESU	MissingResultingAmount	Resulting amount must be filled in as exchange rate is present.
NOAC	NoAccessToService	Agent has no access to the service.
NPAT	NonActiveParty	Party is not active.
ELIG	NonEligibleSecurity	Security is not eligible.
BDAY	NotBusinessDay	Date is not a business day for the security market.
AGID	NotDefinedAgent	Party is not defined as an agent.
DISP	NotEligibleDisperseSecurity	Disperse security is not eligible in the (ICSD) service provider owner.
PERI	PeriodEndDateBeforeStartDate	Period ending date is before period starting date.
RDTE	RecordDateAfterPaymentDate	Record date is after payment date.
ACRU	UnexpectedAccruedInterest	Accrued interest is not applicable for this type of security.

CodeName	Name	Definition
VALU	ValueDateBeforePaymentDate	Value date is before payment date.
SECT	WrongSecurityType	Security type does not match with the type of corporate action.
PROR	MissingProrationRate	Proration rate is missing.
BIDI	MissingBidInterval	Bid interval is missing.
MINP	MissingMinimumPrice	Minimum price is missing.
MAXP	MissingMaximumPrice	Maximum price is missing.
FIRS	MissingFirstBidIncrement	First bid increment is missing.
LAST	MissingLastBidIncrement	Last bid increment is missing.
OVPR	MissingOversubscriptionPrice	Oversubscription price is missing.
OVCH	MissingOversubscriptionChargeFlag	Oversubscription charge flag is missing.
OVFL	MissingOversubscriptionFlag	Oversubscription flag is missing.
RITR	MissingRightsTransferrableFlag	Rights transferrable flag is missing.
SFEE	MissingSolicitationDealerFeeFlag	Solicitation dealer fee flag is missing.
BACK	MissingBackEndOddLotQuantity	Back end odd lot quantity is missing.
COND	MissingConditionalTendersAcceptedFlag	Conditional tenders accepted flag is missing.
MFCF	MissingFullConditionalFlag	Full conditional flag is missing.
ODLT	MissingOddLotPriorityFlag	Odd lot priority flag is missing.
MPRI	MissingProrationRoundingIndicator	Proration rounding indicator is missing.
PRCH	MissingProtectChargeIndicator	Protect charge indicator is missing.
SUPR	MissingSubscriptionPrice	Subscription price is missing.
SUCH	MissingSubscriptionChargeFlag	Subscription charge flag is missing.
UNSP	MissingUnspecifiedPriceAllowed	Unspecified price allowed is missing.
CSRT	MissingCashRate	Cash rate is missing.
SERT	MissingSecuritiesRate	Securities rate is missing.
PTYP	MissingPayoutType	Payout type is missing.
CINL	MissingCashInLieuPrice	Cash in lieu price is missing.
MDIS	MissingDisbursedSecurityIdentification	Disbursed security identification is missing.
IDIS	InvalidDisbursedSecurityIdentification	Disbursed security identification is missing.
FRAC	MissingFractionalDisposition	Fractional disposition is missing.
PBAS	MissingPriceBasis	Price basis is missing.
ROUN	MissingRoundingFactor	Rounding factor is missing.
ISSR	MissingIssuerAgent	Issuer agent is missing.
ISSC	InvalidOrMissingIssuerAgentContactInformation	Issuer agent contact information is invalid or missing.

25.1.22.4.1.2 Proprietary <Prtry>*Presence:* [1..1]*Definition:* Proprietary code to express the reason of a rejection of the notification advice.**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification30](#)" on page 691 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		691
	Issuer <Issr>	[1..1]	Text		691
	SchemeName <SchmeNm>	[0..1]	Text		691

25.1.22.4.2 AdditionalInformation <AddtlInf>*Presence:* [0..1]*Definition:* Additional information about the status.*Datatype:* "[Max350Text](#)" on page 853**25.1.23 System Identification****25.1.23.1 ClearingSystemIdentification2Choice***Definition:* Choice of a clearing system identifier.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		800
Or}	Proprietary <Prtry>	[1..1]	Text		800

25.1.23.1.1 Code <Cd>*Presence:* [1..1]*Definition:* Identification of a clearing system, in a coded form as published in an external list.*Datatype:* "[ExternalClearingSystemIdentification1Code](#)" on page 824**25.1.23.1.2 Proprietary <Prtry>***Presence:* [1..1]*Definition:* Identification code for a clearing system, that has not yet been identified in the list of clearing systems.*Datatype:* "[Max35Text](#)" on page 853

25.2 Message Datatypes

25.2.1 Amount

25.2.1.1 ActiveCurrencyAnd13DecimalAmount

Definition: A number of monetary units specified in an active currency where the unit of currency is explicit and compliant with ISO 4217. The number of fractional digits (or minor unit of currency) is not checked as per ISO 4217: It must be lesser than or equal to 13.

Note: The decimal separator is a dot.

Type: Amount

This data type contains the following XML attribute:

Name	Attribute XML Name	Datatype
Currency	Ccy	"ActiveCurrencyCode" on page 802

Format

minInclusive	0
totalDigits	18
fractionDigits	13

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

25.2.1.2 ActiveCurrencyAndAmount

Definition: A number of monetary units specified in an active currency where the unit of currency is explicit and compliant with ISO 4217.

Type: Amount

This data type contains the following XML attribute:

Name	Attribute XML Name	Datatype
Currency	Ccy	"ActiveCurrencyCode" on page 802

Format

minInclusive	0
totalDigits	18
fractionDigits	5

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

25.2.2 CodeSet

25.2.2.1 ActiveCurrencyCode

Definition: A code allocated to a currency by a Maintenance Agency under an international identification scheme as described in the latest edition of the international standard ISO 4217 "Codes for the representation of currencies and funds".

Type: CodeSet

Format

pattern [A-Z]{3,3}

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

25.2.2.2 AddressType2Code

Definition: Specifies the type of address.

Type: CodeSet

CodeName	Name	Definition
ADDR	Postal	Address is the complete postal address.
PBOX	POBox	Address is a postal office (PO) box.
HOME	Residential	Address is the home address.
BIZZ	Business	Address is the business address.
MLTO	MailTo	Address is the address to which mail is sent.
DLVY	DeliveryTo	Address is the address to which delivery is to take place.

25.2.2.3 AgentRole2Code

Definition: Specifies the role of the agent.

Type: CodeSet

CodeName	Name	Definition
SPAY	SystemPayingAgent	The System Paying Agent (SPA) is the party within the CSD that is responsible for the distribution of cash and security proceeds related to a specific distribution to other CSD Parties.
CODO	CoDomicile	Sub-agent appointed to execute the payment for the corporate action event on behalf of the issuer company/offoror.
ISAG	IssuerAgent	Party appointed to administer the event on behalf of the issuer company/offoror. The party may be contacted for more information about the event.
REGR	Registrar	Party/agent responsible for maintaining the register of a security.
PAYA	PayingAgent	Agent (principal or fiscal paying agent) appointed to execute the payment for the corporate action event on behalf of the issuer company/offoror.

25.2.2.4 AmountPriceType1Code

Definition: Specifies the amount price type.

Type: CodeSet

CodeName	Name	Definition
ACTU	ActualAmount	Price expressed as a currency and amount per unit or per share.
DISC	Discount	Price expressed as a discount amount.
PLOT	Lot	Price expressed as an amount of money per lot.
PREM	Premium	Price expressed as a premium.

25.2.2.5 BeneficiaryCertificationType1Code

Definition: Specifies the type of beneficiary's certification.

Type: CodeSet

CodeName	Name	Definition
ACCI	AccreditedInvestor	The holder of the security has to irrevocably certify that it is an Accredited Investor as defined in US Securities and Exchange Commission Regulation D.
DOMI	DomicileCountry	The holder of the security has to certify his domicile.

CodeName	Name	Definition
FULL	FullBeneficialOwnerBreakdown	The holder of the security has to provide his beneficial owner details for disclosure/instruction purpose.
QIBB	QIBCertification	The holder of the security has to irrevocably certify that it is a Qualified Institutional Buyer as defined in US Securities and Exchange Commission Rule 144A.
TRBD	TaxRateBreakdown	The holder of the security has to provide basic details on nominals and associated tax rate to be used by the account servicer.
NCOM	NonCompany	The holder of the security has to certify he is an Non-Company related person.

25.2.2.6 BusinessMessagePriorityCode

Definition: Specifies the priority levels for the BusinessMessage.

The different priorities are typically user / service / implementation dependent. The semantics of the different values for a Message (Set) need to be defined by the relevant user community (SEG.).

Type: CodeSet

Constraints

- ValidationByTable

25.2.2.7 CashBalanceType1Code

Definition: Specifies the type of cash balance.

Type: CodeSet

CodeName	Name	Definition
AVLB	Available	Balance of money or securities that is at the disposal of the account owner on the date specified.
REST	Restricted	<p>Balance of cash that may only be used under certain conditions.</p> <p>Balance type is used to block securities/ cash in the client account e.g., in relation to elections relating to certain corporate actions. The holding is under the direct control of the system paying agent, who is the only one who can transfer securities out of this balance type.</p> <p>Also known as the "escrow balance" or "sequestered balance".</p>

25.2.2.8 ChargeType14Code

Definition: Identifies charges linked to the type of service.

Type: CodeSet

CodeName	Name	Definition
ADRF	ADRFee	Charge linked to an ADR (American Depositary Receipt).
ISSC	IssuanceCancellationFee	Fee linked to the cancellation of an issuance.
CUST	CustodyFee	Fee paid to a custodian in respect of custodial services.
CHAR	ServiceProvisionFee	Fee paid for the provision of financial services.
MISC	MiscellaneousFee	Miscellaneous fee.
ISSU	IssuanceFee	Charge linked to the issuance of security.

25.2.2.9 ConversionType1Code

Definition: Specifies the type of conversion.

Type: CodeSet

CodeName	Name	Definition
FINL	Final	This is a final conversion.
INTE	Interim	This is an interim conversion.

25.2.2.10 CopyDuplicate1Code

Definition: Specifies if this document is a copy, a duplicate, or a duplicate of a copy.

Type: CodeSet

CodeName	Name	Definition
CODU	CopyDuplicate	Message is being sent as a copy to a party other than the account owner, for information purposes and the message is a duplicate of a message previously sent.
COPY	Copy	Message is being sent as a copy to a party other than the account owner, for information purposes.
DUPL	Duplicate	Message is for information/confirmation purposes. It is a duplicate of a message previously sent.

25.2.2.11 CorporateActionCalculationMethod1Code

Definition: Specifies the calculation method for drawings.

Type: CodeSet

CodeName	Name	Definition
PROR	ProRata	Pro-rata for drawings.
LOTT	Lottery	Lottery for drawings.

CodeName	Name	Definition
NOMI	NominalReduction	Reduction of nominal for partial redemption.
NNOM	NoNominalReduction	Without reduction of nominal (via pool factor) for partial redemption.

25.2.2.12 CorporateActionChangeType1Code

Definition: Specifies the type of changes.

Type: CodeSet

CodeName	Name	Definition
BERE	BearToRegistered	Change from bearer into registered form.
CERT	Certificates	Change of all instruments into certificates.
DEPH	DematerialisedToPhysical	Change from dematerialised into physical form.
GPPH	GlobalPermanentToPhysical	Change from global permanent to physical form.
GTGP	GlobalTemporaryToGlobalPermanent	Change from global temporary to global permanent form.
GTPH	GlobalTemporaryToPhysical	Change from global temporary to physical form.
NAME	Name	The issuing company changes its name. CA event shows the change from old name to new name and may involve surrendering physical shares with the old name to the registrar.
PHDE	PhysicalToDematerialised	Change from physical into dematerialised form.
REBE	RegisteredToBearer	Change from registered into bearer form.
TERM	Terms	Change in the terms of the security.
DECI	Decimalisation	Process of changing the accounting method of a security, for example, mutual fund, from units to a quantity with decimals.

25.2.2.13 CorporateActionEventProcessingType1Code

Definition: Specifies the type of event processing.

Type: CodeSet

CodeName	Name	Definition
GENL	General	No debit or credit of resources.
DISN	Distribution	Holder of the relevant security on a certain date, for example, the record date, will receive a benefit without giving up the underlying security.

CodeName	Name	Definition
REOR	Reorganisation	Underlying security will be debited and may be replaced by another resource (or resources).

25.2.2.14 CorporateActionEventStage1Code

Definition: Specifies the stage of the CA event.

Type: CodeSet

CodeName	Name	Definition
APPD	Approved	Approved at the relevant meeting, eg, dividend.
CLDE	Deactivated	The offer is closed for acceptance.
PWAL	ActionPeriod	Open for acceptance until the next specified deadline in the announcement (if any).
SUAP	SubjectToApproval	Subject to approval at the relevant meeting, eg, dividend.
UNAC	UnconditionalAsToAcceptance	The required level of acceptances specified in the terms of the offer has been achieved but there are still outstanding conditions to fulfil.
WHOU	WhollyUnconditional	Wholly unconditional; all conditions specified in the offer document have been satisfied.
FULL	FullReversalLotteryNotification	An original or supplemental lottery is being reversed.
LAPS	Lapsed	The event/offer conditions have not been met and the event/offer is terminated or lapsed.
PART	PartialReversalLotteryNotification	Original call amount is reduced by the agent. The original announcement is cancelled and a new original lottery is issued.
RESC	RescissionReversalLotteryNotification	Original and or supplemental lottery details have changed and the lottery is being cancelled.

25.2.2.15 CorporateActionEventStatus2Code

Definition: Specifies the status of the CA option.

Type: CodeSet

CodeName	Name	Definition
ACTI	Active	The CA event is active.
CANC	Cancelled	The CA event is cancelled.
INAC	Inactive	Option is not active and can no longer be responded to. Any responses already processed against this option will remain valid, eg, expired option.

25.2.2.16 CorporateActionEventType2Code

Definition: Specifies the status of the CA event.

Type: CodeSet

CodeName	Name	Definition
ACTV	ActiveTradingStatus	Trading in security has commenced or security has been re-activated after a suspension in trading.
ATTI	Attachment	Combination of different security types to create a unit. Units are usually comprised of warrants and bonds or warrants and equities. Securities may be combined at the request of the security holder or based on market convention.
BIDS	RepurchaseOffer	Repurchase offer/Issuer bid/ Reverse rights. Offer to existing shareholders by the issuing company to repurchase equity or other securities convertible into equity. The objective of the offer is to reduce the number of outstanding equities.
BONU	BonusIssue	Event is a bonus issue or scrip issue or capitalisation issue. Security holders are awarded additional assets free of payment from the issuer in proportion to their holding. A bonus issue is typically represented by shares, rights or warrants. Nominal value doesn't change. Holder may be offered choice of form.
BPUT	PutRedemption	Redemption in part before the scheduled final maturity date of a security. Drawing is distinct from partial call since drawn bonds are chosen by lottery and with no reduction in nominal value.
BRUP	Bankruptcy	Legal status of a company unable to pay creditors. Bankruptcy usually involves a formal court ruling. Securities may become valueless.
CAPG	CapitalGainsDistribution	Event is the distribution of profits resulting from the sale of securities. Shareholders of mutual funds, unit trusts, or Sicavs are recipients of capital gains distributions and are often reinvested in additional shares of the fund.
CAPI	Capitalisation	Increase of the current principal of a debt instrument without increasing the nominal value. It normally arises from the incorporation of due but unpaid interest into the principal. This is commonly done by increasing the pool factor value, eg, capitalisation, and negative amortisation.
CERT	NonUSTEFRADCertification	Non-US beneficial owner certification requirement for exchange of temporary to permanent notes.

CodeName	Name	Definition
CHAN	Change	Information regarding a change further described in the corporate action details.
CLSA	ClassActionProposedSettlement	Situation where interested parties seek restitution for financial loss. The security holder may be offered the opportunity to join a class action proceeding and would need to respond with an instruction.
CONS	Consent	Procedure that aims to obtain consent of holder to a proposal by the issuer or a third party intended to progress an event to the next stage. This procedure is not required to be linked to the organisation of a formal meeting. For example, consent to approve a plan of reorganisation for a bankruptcy proceeding.
CONV	Conversion	Conversion of securities (generally convertible bonds or preferred shares) into another form of securities (usually common shares) at a pre-stated price/ ratio.
COOP	CompanyOption	A Company Option may be granted by the company, allowing the holder to take up shares at some future date(s) at a pre arranged price in the company. A company may not grant options which enable the holder to take up unissued shares at a time which is five or more years from the date of the grant. Option holders are not members of a company. They are contingent creditors of a company and hence may, in some instances, be entitled to vote on and be bound by a scheme of arrangement between the creditors and the company. As many options have multiple exercise periods a company option will either lapse or carry on to the next expiry date.
DECR	DecreaseInValue	Reduction of face value of a single share or the value of fund assets. The number of circulating shares/units remains unchanged. This event may include a cash payout to holders.
DETI	Detachment	Separation of components that comprise a security, eg, usually units comprised of warrants and bond or warrants and equity. Units may be broken up at the request of the security holder or based on market convention.
DFLT	BondDefault	Failure by the company to perform obligations defined as default events under the bond agreement and that have not been remedied.
DLST	TradingStatusDelisted	Security is no longer able to comply with the listing requirements of a stock exchange and is removed from official board quotation.

CodeName	Name	Definition
DRAW	Drawing	Redemption in part before the scheduled final maturity date of a security. Drawing is distinct from partial call since drawn bonds are chosen by lottery and with no reduction in nominal value.
DRIP	DividendReinvestment	Event is a dividend payment type where cash dividend is rolled over into additional shares in the issuing company.
DSCL	Disclosure	Requirement for holders or beneficial owners to disclose their name, location and holdings of any issue to the issuer.
DTCH	DutchAuction	An action by a party wishing to acquire a security. Holders of the security are invited to make an offer to sell, within a specific price range. The acquiring party will buy from the holder with lowest offer.
DVCA	CashDividend	Distribution of cash to shareholders, in proportion to their equity holding. Ordinary dividends are recurring and regular. Shareholder must take cash and may be offered a choice of currency.
DVOP	DividendOption	Event is a distribution of a dividend to shareholders with the choice of payment method. The shareholder must choose the form of payment - stock, cash, or both.
DVSC	ScripDividend	Scrip Dividend/Payment. Dividend or interest paid in the form of scrip.
DVSE	StockDividend	Event is a dividend paid to shareholders in the form of shares of stock in the issuing company or in another company. The shareholder must take stock and is not offered a choice in the form of distribution.
EXOF	Exchange	Exchange of holdings for other securities and/or cash. The exchange can be either mandatory or voluntary involving the exchange of outstanding securities for different securities and/or cash. For example "exchange offer", "capital reorganisation" or "funds separation".
EXRI	CallOnIntermediateSecurities	Call or exercise on nil paid securities or intermediate securities resulting from an intermediate securities distribution (RHDI). This code is used for the second event, when an intermediate securities' issue (rights/coupons) is composed of two events, the first event being the distribution of intermediate securities.
EXTM	MaturityExtension	As stipulated in a bond's Terms and Conditions, the issuer or the bond-holder may prolong the maturity date of a bond. After extension, the security may differ from original issue (new rate or maturity

CodeName	Name	Definition
		date). May be subject to bondholder's approval.
EXWA	WarrantExercise	Option offered to holders to buy (call warrant) or to sell (put warrant) a specific amount of stock, cash, or commodity, at a predetermined price, during a predetermined period of time (which usually corresponds to the life of the issue).
INCR	IncreaseInValue	Increase in the face value of a single security. The number of circulating securities remains unchanged.
INTR	InterestPayment	Regular interest payment distributed to holders of an interest bearing asset.
LIQU	LiquidationDividend	A distribution of cash, assets or both. Debt may be paid in order of priority based on preferred claims to assets specified by the security.
MCAL	FullCall	The redemption of an entire issue outstanding of securities, eg, bonds, preferred equity, funds, by the issuer or its agent, eg, asset manager, before final maturity.
MRGR	Merger	Event is a mandatory or voluntary exchange of outstanding securities as the result of two or more companies combining assets. Cash payments may accompany share exchange.
ODLT	OddLotSalePurchase	Odd Lot Sale/Purchase. Sale or purchase of odd-lots to/from the issuing company, initiated either by the holder of the security or through an offer made by the issuer.
PARI	PariPassu	Occurs when securities with different characteristics, eg, shares with different entitlements to dividend or voting rights, become identical in all respects, eg, pari-passu or assimilation. May be scheduled in advance, eg, shares resulting from a bonus may become fungible after a pre-set period of time, or may result from outside events, eg, merger, reorganisation, issue of supplementary tranches, etc.
PCAL	PartialRedemptionWithNominalValueReduction	Securities are redeemed in part before their scheduled final maturity date with reduction of the nominal value of the shares. The outstanding amount of securities will be reduced proportionally.
PDEF	Prefunding	Also called partial defeasance. Issuer has money set aside to redeem a portion of an issue and the indenture states that the securities could be called earlier than the stated maturity.

CodeName	Name	Definition
PINK	PayInKind	Interest payment, in any kind except cash, distributed to holders of an interest bearing asset.
PLAC	PlaceOfIncorporation	Changes in the state of incorporation for US companies and changes in the place of incorporation for foreign companies. Where shares need to be registered following the incorporation change, the holder(s) may have to elect the registrar.
PPMT	InstalmentCall	An instalment towards the purchase of equity capital, subject to an agreement between an issuer and a purchaser.
PRED	PartialRedemptionWithoutNominalValueReduction	Securities are redeemed in part before their scheduled final maturity date without reduction of the nominal value of the shares. This is commonly done by pool factor reduction.
PRII	InterestPaymentWithPrincipal	An event which consists of two components, the decrease of the amortized value of a pool factor security and an interest payment.
PRIO	PriorityIssue	Form of open or public offer where, due to a limited amount of securities available, priority is given to existing shareholders.
REDM	FinalMaturity	The redemption of an entire issue outstanding of securities, eg, bonds, preferred equity, funds, by the issuer or its agent, eg, asset manager, at final maturity.
REDO	Redenomination	Event by which the unit (currency and/or nominal) of a security is restated, eg, nominal/par value of security in a national currency is restated in another currency.
REMK	RemarketingAgreement	Purchase and sale of remarketed preferred equities/bonds through the negotiation of interest rate between the issuers and the holders.
RHDI	IntermediateSecuritiesDistribution	The distribution of intermediate securities or privilege that gives the holder the right to take part in a future event.
RHTS	RightsIssue	Rights Issue/ Subscription Rights/ Rights Offer. Distribution of a security or privilege that gives the holder an entitlement or right to take part in a future event.
SHPR	SharesPremiumDividend	This corporate event pays shareholders an amount in cash issued from the shares premium reserve. It is similar to a dividend but with different tax implications.

CodeName	Name	Definition
SMAL	SmallestNegotiableUnit	Modification of the smallest negotiable unit of shares in order to obtain a new negotiable unit.
SOFF	SpinOff	Event is a demerger or distribution or an unbundling. It is a distribution of subsidiary stock to the shareholders of the parent company without a surrender of shares. A spin-off represents a form of divestiture resulting in an independent company. Normally this is without cost to the parent issue shareholder.
SPLF	StockSplit	Event is a change in nominal value, a subdivision. It is an increase in a corporation's number of outstanding shares of stock without any change in the shareholder's equity or the aggregate market value at the time of the split. Stock price and nominal value are reduced accordingly.
SPLR	ReverseStockSplit	Event is a change in nominal value, a consolidation. It is a decrease in number of outstanding shares of stock without any change in the shareholder's equity or the aggregate market value at the time of the split. Stock price and nominal value are reduced accordingly.
SUSP	TradingStatusSuspended	Trading in the security has been suspended.
TEND	Tender	Event is an acquisition or take-over or offre publique de retrait (FR) or purchase offer or buy-back. It is an offer made to shareholders requesting them to sell (tender) their shares for a specified price usually at a premium over prevailing market price. Generally, the objective of a tender offer is to take control of the target company.
TREC	TaxReclaim	Event related to tax reclaim activities.
WRTH	Worthless	Booking out of valueless securities.
WTRC	WithholdingTaxReliefCertification	Certification process for withholding tax reduction or exemption based on the tax status of the holder.
OTHR	OtherEvent	Other event, use only when no other event type applies, eg, a new event type.

25.2.2.17 CorporateActionEventType31Code

Definition: Specifies the corporate action event type.

Type: CodeSet

CodeName	Name	Definition
ACTV	ActiveTradingStatus	Trading in the security has commenced or security has been re-activated after a suspension in trading.

CodeName	Name	Definition
ATTI	Attachment	Combination of different security types to create a unit. Units are usually comprised of warrants and bonds or warrants and equities. Securities may be combined at the request of the security holder or based on market convention.
BRUP	Bankruptcy	Legal status of a company unable to pay creditors. Bankruptcy usually involves a formal court ruling. Securities may become valueless.
DFLT	BondDefault	Failure by the company to perform obligations defined as default events under the bond agreement and that have not been remedied.
BONU	BonusIssue	Bonus or capitalisation issue. Security holders receive additional assets free of payment from the issuer, in proportion to their holding.
EXRI	CallOnIntermediateSecurities	Call or exercise on nil paid securities or intermediate securities resulting from an intermediate securities distribution (RHDI). This code is used for the second event, when an intermediate securities' issue (rights/coupons) is composed of two events, the first event being the distribution of intermediate securities.
CAPD	CapitalDistribution	Corporate event pays shareholders an amount in cash issued from the capital account. There is no reduction to the face value of a single share (or the share has no par value). The number of circulating shares remains unchanged.
CAPG	CapitalGainsDistribution	Event is the distribution of profits resulting from the sale of securities. Shareholders of mutual funds, unit trusts, or SICAVs are recipients of capital gains distributions and are often reinvested in additional shares of the fund.
CAPI	Capitalisation	Increase of the current principal of a debt instrument without increasing the nominal value. It normally arises from the incorporation of due but unpaid interest into the principal. This is commonly done by increasing the pool factor value, for example, capitalisation, and negative amortisation.
DRCA	CashDistributionFromNonEligibleSecuritiesSales	Distribution to shareholders of cash resulting from the selling of non-eligible securities, for example, in the frame of a depositary receipt program.
DVCA	CashDividend	Distribution of cash to shareholders, in proportion to their equity holding. Ordinary dividends are recurring and regular. Shareholder must take cash and may be offered a choice of currency.

CodeName	Name	Definition
CHAN	Change	Information regarding a change further described in the corporate action details.
COOP	CompanyOption	Company option may be granted by the company, allowing the holder to take up shares at some future date(s) at a pre arranged price in the company. A company may not grant options which enable the holder to take up unissued shares at a time which is five or more years from the date of the grant. Option holders are not members of a company. They are contingent creditors of a company and hence may, in some instances, be entitled to vote on and be bound by a scheme of arrangement between the creditors and the company. As many options have multiple exercise periods a company option will either lapse or carry on to the next expiry date.
CLSA	ClassActionProposedSettlement	Situation where interested parties seek restitution for financial loss. The security holder may be offered the opportunity to join a class action proceeding and would need to respond with an instruction.
CONS	Consent	Procedure that aims to obtain consent of holder to a proposal by the issuer or a third party without convening a meeting. For example, consent to change the terms of a bond.
CONV	Conversion	Conversion of securities (generally convertible bonds or preferred shares) into another form of securities (usually common shares) at a pre-stated price/ ratio.
CREV	CreditEvent	Occurrence of credit derivative for which the issuer of one or several underlying securities is unable to fulfill its financial obligations (as defined in terms and conditions).
DECR	DecreaseInValue	Reduction of face value of a single share or the value of fund assets. The number of circulating shares/units remains unchanged. This event may include a cash payout to holders.
DETI	Detachment	Separation of components that comprise a security, for example, usually units comprised of warrants and bond or warrants and equity. Units may be broken up at the request of the security holder or based on market convention.
DSCL	Disclosure	Requirement for holders or beneficial owners to disclose their name, location and holdings of any issue to the issuer.
DVOP	DividendOption	Distribution of a dividend to shareholders with a choice of benefit to receive. Shareholders may choose to receive shares or cash. To be distinguished from

CodeName	Name	Definition
		DRIP as the company creates new share capital in exchange for the dividend rather than investing the dividend in the market.
DRIP	DividendReinvestment	Dividend payment where holders can keep cash or have the cash reinvested in the market by the issuer into additional shares in the issuing company. To be distinguished from DVOP as the company invests the dividend in the market rather than creating new share capital in exchange for the dividend.
DRAW	Drawing	Securities are redeemed in part before the scheduled final maturity date. It is done without any pool factor reduction. The redemption is reflected in a debit of the face amount (FAMT). Drawing is distinct from partial call since drawn bonds are chosen by lottery. Therefore, not every holder is affected in the same way.
DTCH	DutchAuction	Action by a party wishing to acquire a security. Holders of the security are invited to make an offer to sell, within a specific price range. The acquiring party will buy from the holder with lowest offer.
EXOF	Exchange	Exchange of holdings for other securities and/or cash. The exchange can be either mandatory or voluntary involving the exchange of outstanding securities for different securities and/or cash. For example "exchange offer", "capital reorganisation" or "funds separation".
REDM	FinalMaturity	Redemption of an entire issue outstanding of securities, for example, bonds, preferred equity, funds, by the issuer or its agent, for example, asset manager, at final maturity.
MCAL	FullCall	Redemption of an entire issue outstanding of securities, for example, bonds, preferred equity, funds, by the issuer or its agent, for example, asset manager, before final maturity.
INCR	IncreaseInValue	Increase in the face value of a single security. The number of circulating securities remains unchanged.
PPMT	InstalmentCall	Instalment towards the purchase of equity capital, subject to an agreement between an issuer and a purchaser.
INTR	InterestPayment	Interest payment distributed to holders of an interest bearing asset.
RHDI	IntermediateSecuritiesDistribution	Distribution of intermediate securities that gives the holder the right to take part in a future event.
LIQU	LiquidationDividend	Distribution of cash, assets or both. Debt may be paid in order of priority based on

CodeName	Name	Definition
		preferred claims to assets specified by the security.
EXTM	MaturityExtension	As stipulated in the security's Terms and Conditions, the issuer or the holder may prolong the maturity date of a security. After extension, the security details may differ from the original issue. An issuer initiated extension may be subject to holder's approval.
MRGR	Merger	Exchange of outstanding securities, initiated by the issuer which may include options, as the result of two or more companies combining assets, that is, an external, third party company. Cash payments may accompany share exchange.
NOOF	NonOfficialOffer	Offers that are not supervised or regulated by an official entity and being offered by a party, for example a broker, usually at a discount price, for example broker offer, mini-tender, mini odd lot offer or third party offer.
CERT	NonUSTEFRADCertification	Non-US beneficial owner certification requirement for exchange of temporary to permanent notes.
ODLT	OddLotSalePurchase	Sale or purchase of odd-lots to/from the issuing company, initiated either by the holder of the security or through an offer made by the issuer.
OTHR	OtherEvent	Other event, use only when no other event type applies, for example, a new event type.
PARI	PariPassu	Occurs when securities with different characteristics, for example, shares with different entitlements to dividend or voting rights, become identical in all respects, for example, pari-passu or assimilation. May be scheduled in advance, for example, shares resulting from a bonus may become fungible after a pre-set period of time, or may result from outside events, for example, merger, reorganisation, issue of supplementary tranches.
PCAL	PartialRedemptionWithoutPoolFactorReduction	Securities are redeemed in part before their scheduled final maturity date. It is done without any pool factor reduction. The redemption is reflected in a debit of the face amount (FAMT).
PRED	PartialRedemptionWithPoolFactorReduction	Securities are redeemed in part before their scheduled final maturity date. The redemption is reflected in a pool factor reduction. No movement of securities occurs.

CodeName	Name	Definition
PINK	PayInKind	Interest payment, in any kind except cash, distributed to holders of an interest bearing asset.
PLAC	PlaceOfIncorporation	Changes in the state of incorporation for US companies and changes in the place of incorporation for foreign companies. Where shares need to be registered following the incorporation change, the holder(s) may have to elect the registrar.
PDEF	Prefunding	Also called partial defeasance. Issuer has money set aside to redeem a portion of an issue and the indenture states that the securities could be called earlier than the stated maturity.
PRIO	PriorityIssue	Form of open or public offer where, due to a limited amount of securities available, priority is given to existing shareholders.
BPUT	PutRedemption	Early redemption of a security at the election of the holder subject to the terms and condition of the issue with no reduction in nominal value.
REDO	Redenomination	Event by which the unit (currency and/or nominal) of a security is restated, for example, nominal/par value of security in a national currency is restated in another currency.
REMK	RemarketingAgreement	Purchase and sale of remarketed preferred equities/bonds through the negotiation of interest rate between the issuers and the holders.
BIDS	RepurchaseOffer	Repurchase offer / issuer bid / reverse rights. Offer to existing holders by the issuing company to repurchase its own securities. The objective of the offer is to reduce the number of outstanding securities.
SPLR	ReverseStockSplit	Decrease in a company's number of outstanding equities without any change in the shareholder's equity or the aggregate market value at the time of the split. Equity price and nominal value are increased accordingly.
RHTS	RightsIssue	Offer to holders of a security to subscribe for additional securities via the distribution of an intermediate security. Both processes are included in the same event.
DVSC	ScripDividend	Dividend or interest paid in the form of scrip.
SHPR	SharesPremiumDividend	Corporate event pays shareholders an amount in cash issued from the shares premium reserve. It is similar to a dividend but with different tax implications.

CodeName	Name	Definition
SMAL	SmallestNegotiableUnit	Modification of the smallest negotiable unit of shares in order to obtain a new negotiable unit.
SOFF	SpinOff	Distribution of securities issued by another company. The distributed securities may either be of a newly created or of an existing company. For example, spin-off, demerger, unbundling, divestment.
DVSE	StockDividend	Dividend paid to shareholders in the form of equities of the issuing corporation.
SPLF	StockSplit	Increase in a corporation's number of outstanding equities without any change in the shareholder's equity or the aggregate market value at the time of the split. Equity price and nominal value are reduced accordingly.
TREC	TaxReclaim	Event related to tax reclaim activities.
TEND	Tender	Offer made to holders by a third party, requesting them to sell (tender) or exchange their securities.
DLST	TradingStatusDelisted	Security is no longer able to comply with the listing requirements of a stock exchange and is removed from official board quotation.
SUSP	TradingStatusSuspended	Trading in the security has been suspended.
EXWA	WarrantExercise	Option offered to holders to buy (call warrant) or to sell (put warrant) a specific amount of stock, cash, or commodity, at a predetermined price, during a predetermined period of time (which usually corresponds to the life of the issue).
WTRC	WithholdingTaxReliefCertification	Certification process for withholding tax reduction or exemption based on the tax status of the holder.
WRTH	Worthless	Booking out of valueless securities.
ACCU	Accumulation	Funds related event in which the income (for example accumulation units) that accrues during an accounting period is retained within the fund instead of being paid away to investors. The retained income is nonetheless deemed to have been distributed to investors for tax purposes.
INFO	Information	Information provided by the issuer having no accounting/financial impact on the holder.

CodeName	Name	Definition
TNDP	TaxOnNonDistributedProceeds	Taxable component on non-distributed proceeds, for example, Australian deemed income or US 871m income regulation.

25.2.2.18 CorporateActionFrequencyType1Code

Definition: Specifies the frequency of a CA event.

Type: CodeSet

CodeName	Name	Definition
FINL	Final	Payment of a dividend, less amounts already paid through interim dividends.
INTE	Interim	Dividend declared and paid before annual earnings have been determined.
REGR	Regular	Dividend paid at regular interval, for example, quarterly.
SPEC	Special	Dividend in addition to the regular dividend being paid by the company.

25.2.2.19 CorporateActionMandatoryVoluntary1Code

Definition: Specifies whether the event is mandatory, mandatory with options or voluntary.

Type: CodeSet

CodeName	Name	Definition
MAND	Mandatory	Participation in CA event is mandatory. Further instructions from the account owner are not required.
CHOS	MandatoryWithOptions	Participation in the corporate action is mandatory and further instructions from the account owner are required, unless a default option has been specified.
VOLU	Voluntary	Participation in the corporate action is voluntary. If the owner wishes to take part in the event, instructions from the account owner are required.

25.2.2.20 CorporateActionNotificationType1Code

Definition: Type of notification advice.

Type: CodeSet

CodeName	Name	Definition
NEWM	New	New notification.
REPL	Replacement	Notification replacing a previously sent notification.
RMDR	Reminder	Notification sent as a reminder of an event taking place.

25.2.2.21 CorporateActionNotificationType2Code*Definition:* Type of notification cancellation request.*Type:* CodeSet

CodeName	Name	Definition
WITH	Withdrawal	Notification sent to void a previously sent notification due to the withdrawal of the event by the issuer.
CANC	Cancellation	Notification requesting the cancellation of a previously sent notification.

25.2.2.22 CorporateActionOptionType1Code*Definition:* Specifies the type of CA options.*Type:* CodeSet

CodeName	Name	Definition
BSPL	BonusSharePlan	Receive shares issued from the Share Premium Reserve of the company and considered as a capital distribution rather than a disbursement of income, with different tax implications (typically found in Australia).
BUYA	BuyUp	Buy additional securities to round up position.
CASE	CashAndSecurities	Election choice includes a combination of both cash and securities.
CASH	Cash	Distribution of cash.
CEXC	ConsentAndExchange	Vote to consent to change the terms of the securities agreement and to exchange securities.
CTEN	ConsentAndTender	Vote to consent to change the terms of the securities agreement and to tender securities for cash.
CONN	ConsentDenied	Vote not to approve the event or proposal.
CONY	ConsentGranted	Vote to approve the event or proposal.
EXER	Exercise	Exercise intermediate securities or warrants.
LAPS	Lapse	Allow event or entitled security to expire.
MPUT	Retain	An option that allows a holder to elect to retain their holding, eg, a puttable bond.
NOAC	NoAction	Option for the account owner not to take part in the event.
OFFR	ProposedRate	In a remarketing of variable notes, the margin that shareholders can propose in respect of the next interest period.
OVER	Oversubscribe	Subscribe to more equities than underlying securities position allows.

CodeName	Name	Definition
SECU	SecuritiesOption	Distribution of securities to holders.
SLLE	SellEntitlement	Sell the intermediate securities.
SPLI	SplitInstruction	Option to give a split instruction, eg, a split voting instruction on a meeting.
NOQU	NonQualifiedInvestor	The account owner is a Non-Qualified Investor.
OTHR	Other	Generic corporate action option to be used in case that no other specific code is appropriate.
QINV	QualifiedInvestor	The account owner is a Qualified Investor.

25.2.2.23 CountryCode

Definition: Code to identify a country, a dependency, or another area of particular geopolitical interest, on the basis of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

Type: CodeSet

Format

pattern [A-Z]{2,2}

Constraints

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

25.2.2.24 CreditDebitCode

Definition: Specifies if an operation is an increase or a decrease.

Type: CodeSet

CodeName	Name	Definition
CRDT	Credit	Operation is an increase.
DBIT	Debit	Operation is a decrease.

25.2.2.25 DateType6Code

Definition: Specifies the type of dates.

Type: CodeSet

CodeName	Name	Definition
OPEN	Open	Open-dated, which indicates that the date has not been established.
UKWN	Unknown	Date is unknown by the sender or has not been established.

CodeName	Name	Definition
ONGO	Ongoing	Ongoing basis, which indicates that the date is determined by "ongoing basis" process, for example "au fil de l'eau".

25.2.2.26 DistributionInstructionType1Code

Definition: Specifies the type of distribution instruction.

Type: CodeSet

CodeName	Name	Definition
GDEB	GlobalDebitOrder	Instruction to debit the positions held under all clients accounts in the underlying resources of the given event and option. This order applies to all clients who are eligible to participate to the CA event.
IDEB	IndividualOrder	An instruction, on a per client basis, to debit the position held in the underlying resources (securities and/or cash) and/or the credit of the proceeds (securities and/or cash).
GRET	GlobalReturnOrder	Instruction to transfer securities from the clients sequestered balances back to the respective available balances.
CHAN	OptionChangeOrder	Instruction to transfer the position from one sequestered balance (related to a CA option) to another sequestered balance (related to another CA option).
IRET	IndividualReturnOrder	Instruction to transfer securities from a client sequestered balance back to its available balance.

25.2.2.27 DistributionType1Code

Definition: Specifies whether the proceeds of the event will be distributed on a rolling basis rather than on a specific date.

Type: CodeSet

CodeName	Name	Definition
ROLL	RollingBasis	Event will have a number of acceptance and payment dates until further announcement by the Issuer or its agent.

25.2.2.28 ElectionMovementType1Code

Definition: Specifies the effect on the holdings of electing a Corporate Action option.

Type: CodeSet

CodeName	Name	Definition
REST	Restricted	Election results in a change of balance type that transfers control of the securities rather than the securities themselves.

CodeName	Name	Definition
DRCT	Direct	Direct movement of resource, that is, cash or security that is directly credited to the paying agent account.

25.2.2.29 ElectionType1Code

Definition: Specifies the type of election.

Type: CodeSet

CodeName	Name	Definition
NEWM	NewElection	New election advice.
CHAN	OptionChange	Election advice which is the result of an option change.

25.2.2.30 EUCapitalGain2Code

Definition: Specifies whether capital gain is in the scope of the European directive on taxation of savings income in the form of interest payments (Council Directive 2003/48/EC 3 June) for an income realised upon sale, a refund or redemption of shares and units etc.

Type: CodeSet

CodeName	Name	Definition
EUSI	CapitalGainInScope	Capital gain is in the scope of the directive.
EUSO	CapitalGainOutScope	Capital gain is out of the scope of the directive.
UKWN	CapitalGainUnknown	Unknown whether capital gain is in or out of the scope of the directive.

25.2.2.31 ExternalClearingSystemIdentification1Code

Definition: Specifies the clearing system identification code, as published in an external clearing system identification code list.

External code sets can be downloaded from www.iso20022.org.

Type: CodeSet

Format

minLength	1
maxLength	5

25.2.2.32 ExternalFinancialInstitutionIdentification1Code

Definition: Specifies the external financial institution identification scheme name code in the format of character string with a maximum length of 4 characters.

The list of valid codes is an external code list published separately.

External code sets can be downloaded from www.iso20022.org.

Type: CodeSet

Format

minLength	1
maxLength	4

25.2.2.33 ExternalFinancialInstrumentIdentificationType1Code

Definition: Specifies the external financial instrument identification type scheme name code in the format of character string with a maximum length of 4 characters.

The list of valid codes is an external code list published separately.

External code sets can be downloaded from www.iso20022.org.

Type: CodeSet

Format

minLength	1
maxLength	4

25.2.2.34 ExternalOrganisationIdentification1Code

Definition: Specifies the external organisation identification scheme name code in the format of character string with a maximum length of 4 characters.

The list of valid codes is an external code list published separately.

External code sets can be downloaded from www.iso20022.org.

Type: CodeSet

Format

minLength	1
maxLength	4

25.2.2.35 ExternalPersonIdentification1Code

Definition: Specifies the external person identification scheme name code in the format of character string with a maximum length of 4 characters.

The list of valid codes is an external code list published separately.

External code sets can be downloaded from www.iso20022.org.

Type: CodeSet

Format

minLength	1
maxLength	4

25.2.2.36 FailedSettlementReason1Code

Definition: Specifies the reason of a settlement failure.

Type: CodeSet

CodeName	Name	Definition
CANE	CreditAccountNotEligible	Credit account is not eligible.
CADI	CreditAccountDisabled	Credit account is disabled.
DANE	DebitAccountNotEligible	Debit account is not eligible.
AADI	DebitAccountDisabled	Debit account is disabled.
INSE	InsufficientSecurities	Insufficient securities on the account to settle.
INDI	IncompleteDistribution	The distribution is incomplete.
INCA	InsufficientCash	Insufficient cash on the account to settle.

25.2.2.37 FormOfSecurity1Code

Definition: Form of a financial instrument.

Type: CodeSet

CodeName	Name	Definition
BEAR	Bearer	The financial instrument does not specify any registration of ownership, and is payable to whomever possesses the certificate.
REGD	Registered	Shareholder name of the financial instrument appears in the corporation/ funds books.

25.2.2.38 FractionDispositionType1Code

Definition: Specifies how fractions resulting from derived securities will be processed or how prorated decisions will be rounding, if provided with a pro ration rate.

Type: CodeSet

CodeName	Name	Definition
BUYU	BuyUp	Buy securities up to next whole number.
CINL	CashInLieuOfFraction	Fractional part of cash. Take cash in lieu of fractions.
DIST	IssueFraction	Take distribution of fractions in the form of securities.
RDDN	RoundDown	Round down to the nearest whole number.
RDUP	RoundUp	Round up to the nearest whole number.
STAN	RoundToNearest	If the fraction is greater than or equal to 0.5 of the resulting security then round up, else round down.

25.2.2.39 GrossDividendRateType1Code

Definition: Specifies the type of gross dividend rate.

Type: CodeSet

CodeName	Name	Definition
CAPO	CapitalPortion	Rate relating to the underlying security for which capital is distributed.
FLFR	FullyFranked	Rate resulting from a fully franked dividend paid by a company; the rate includes tax credit for companies that have made sufficient tax payments during the fiscal period.
INCO	IncomePortion	Rate relating to the underlying security for which income is distributed.
INTR	Interest	Rate relating to the underlying security for which interest is paid.
SOIC	SundryOrOtherIncome	Rate relating to the underlying security for which other income is paid.
TXBL	TaxablePortion	Rate relating to the underlying security for which tax is charged.
TXDF	TaxDeferred	Rate relating to the underlying security for which tax is deferred.
TXFR	TaxFree	Rate relating to the underlying security which is not taxable.
UNFR	Unfranked	Rate resulting from an unfranked dividend paid by a company; the rate does not include tax credit and is subject to withholding tax.
LTCG	LongTermCapitalGain	Long term capital gain.
STCG	ShortTermCapitalGain	Short term capital gain.

25.2.2.40 IntermediateSecurityDistributionType1Code

Definition: Specifies the type of intermediate security distribution.

Type: CodeSet

CodeName	Name	Definition
BIDS	ReverseRights	Distribution of reverser rights.
BONU	BonusRights	Distribution of bonus rights.
DRIP	DividendReinvestment	Distribution of dividend reinvestment securities.
DVCA	CashDividend	Distribution of cash to shareholders, in proportion to their equity holding. Ordinary dividends are recurring and regular. Shareholder must take cash and may be offered a choice of currency.
DVOP	DividendOption	Distribution of dividend option.
EXRI	SubscriptionRights	Distribution of subscription rights.

CodeName	Name	Definition
PRIO	OpenOfferRights	Distribution of open offer rights.
DVSC	ScripDividendOrPayment	Dividend or interest paid in the form of scrip.
DVSE	StockDividend	Dividend paid to shareholders in the form of shares of stock.
INTR	InterestPayment	Interest payment distributed to holders of an interest bearing asset.
LIQU	LiquidationDividendOrPayment	A distribution of cash, assets or both.
SOFF	SpinOff	A distribution of subsidiary stock to the shareholders.

25.2.2.41 LotteryType1Code

Definition: Specifies the type of lottery.

Type: CodeSet

CodeName	Name	Definition
ORIG	OriginalLotteryNotification	Original lottery that has been announced for a specific amount and date.
SUPP	SupplementalLotteryNotification	Supplemental or additional quantity called on a previously announced original lottery.

25.2.2.42 NamePrefix1Code

Definition: Specifies the terms used to formally address a person.

Type: CodeSet

CodeName	Name	Definition
DOCT	Doctor	Title of the person is Doctor or Dr.
MIST	Mister	Title of the person is Mister or Mr.
MISS	Miss	Title of the person is Miss.
MADM	Madam	Title of the person is Madam.

25.2.2.43 NamePrefix2Code

Definition: Specifies the terms used to formally address a person.

Type: CodeSet

CodeName	Name	Definition
DOCT	Doctor	Title of the person is Doctor or Dr.
MADM	Madam	Title of the person is Madam.
MISS	Miss	Title of the person is Miss.
MIST	Mister	Title of the person is Mister or Mr.

CodeName	Name	Definition
MIKS	GenderNeutral	Title of the person is gender neutral (Mx).

25.2.2.44 NationalityCode

Definition: Specifies the country where a person was born or is naturalised.

Type: CodeSet

Constraints

- **ValidationByTable**

Must be a valid nationality.

25.2.2.45 NetDividendRateType1Code

Definition: Specifies the type of net dividend rate.

Type: CodeSet

CodeName	Name	Definition
CAPO	CapitalPortion	Rate relating to the underlying security for which capital is distributed.
FLFR	FullyFranked	Rate resulting from a fully franked dividend paid by a company; the rate includes tax credit for companies that have made sufficient tax payments during the fiscal period.
INCO	IncomePortion	Rate relating to the underlying security for which income is distributed.
INTR	Interest	Rate relating to the underlying security for which interest is paid.
SOIC	SundryOrOtherIncome	Rate relating to the underlying security for which other income is paid.
TXBL	TaxablePortion	Rate relating to the underlying security for which tax is charged.
TXDF	TaxDeferred	Rate relating to the underlying security for which tax is deferred.
TXFR	TaxFree	Rate relating to the underlying security which is not taxable.
UNFR	Unfranked	Rate resulting from an unfranked dividend paid by a company; the rate does not include tax credit and is subject to withholding tax.

25.2.2.46 OfferType1Code

Definition: Specifies the conditions that apply to the offer.

Type: CodeSet

CodeName	Name	Definition
DISS	DissenterRights	Securities holder has the right to disagree with a mandatory event. This right is not inherent in all offers and therefore must be noted in announcements only when applicable.
ERUN	RestrictionExchange	Exchange of Restricted to Unrestricted (or vice versa).
FCFS	FirstComeFirstServed	Securities will be accepted in the order they have been submitted until the target number of quantity sought is reached.
FINL	FinalOffer	Final or last offer to holders subject to offer conditions being reached, for example, 50 percent accepted on a tender.
MINI	MiniTender	Tender offer for a security that is priced below the current trading price of that instrument.
PART	PartialOffer	Offer made by the offeror for a portion of the outstanding shares not already owned by the offeror.
SQUE	SqueezeOutBid	Offer launched by a company, in possession of a large number of the shares (90% - 95%) of a company (generally as a result of a tender offer), in order to acquire the remaining shares of that company.

25.2.2.47 OptionFeatures1Code

Definition: Specifies the feature of an option.

Type: CodeSet

CodeName	Name	Definition
COND	Conditional	Feature whereby the holder can elect to place a condition on the acceptance of the option.
MAXC	MaximumCash	Maximum cash option, may be subject to scaling, as such you may receive a combination of cash and securities outturn.
MAXS	MaximumSecurities	Maximum stock option, may be subject to scaling, as such you may receive a combination of securities and cash outturn.
OPLF	OddLotPreference	Tender or exchange with the odd lot preference.
PROR	Proration	Feature whereby the option can be subject to pro ration in case, for example, of over-subscription.
QOVE	OverAndAbove	Feature whereby the holder can elect a quantity to receive over and above normal ensured entitlement.

CodeName	Name	Definition
QREC	QuantityToReceive	Feature whereby the holder can elect a quantity to receive.
VVPR	ReducedWithholdingTax	Reduced withholding tax rate applies to the option.

25.2.2.48 PersonIdentificationType3Code

Definition: Specifies the type of person's identification.

Type: CodeSet

CodeName	Name	Definition
ARNU	AlienRegistrationNumber	Number assigned by a government agency to identify foreign nationals.
CCPT	PassportNumber	Number assigned by a passport authority to a passport.
EMID	EmployerIdentificationNumber	Number assigned to an employer by a registration authority.
DRLC	DriversLicenseNumber	Number assigned by a license authority to a driver's license.
FINN	ForeignInvestmentIdentityNumber	Number assigned to a foreign investor (other than the alien number).
TXID	TaxIdentificationNumber	Number assigned by a tax authority to an entity.

25.2.2.49 PreferredContactMethod1Code

Definition: Preferred method used to reach the individual contact within an organisation.

Type: CodeSet

CodeName	Name	Definition
LETT	Letter	Preferred method used to reach the contact is per letter.
MAIL	Email	Preferred method used to reach the contact is per email.
PHON	Phone	Preferred method used to reach the contact is per phone.
FAXX	Fax	Preferred method used to reach the contact is per fax.
CELL	MobileOrCellPhone	Preferred method used to reach the contact is per mobile or cell phone.

25.2.2.50 PriceRateType3Code

Definition: Specifies the type of price rate.

Type: CodeSet

CodeName	Name	Definition
DISC	Discount	Price expressed as a number of percentage points below par, for example, a discount price of 2.0% equals a price of 98 when par is 100.
PREM	Premium	Price expressed as a number of percentage points above par, for example, a premium price of 2.0% equals a price of 102 when par is 100.
PRCT	Percentage	Price expressed as a percentage of par.
YIEL	Yield	Price expressed as a yield.

25.2.2.51 PriceValueType5Code

Definition: Specifies the value of a price.

Type: CodeSet

CodeName	Name	Definition
UKWN	Unknown	Price is unknown by the sender or has not been established.
OPEN	OpenDated	Price has not been established.

25.2.2.52 PriceValueType6Code

Definition: Specifies the value of a price.

Type: CodeSet

CodeName	Name	Definition
UKWN	Unknown	Price is unknown by the sender or has not been established.
OPEN	OpenDated	Price has not been established.
UNSP	Unspecified	Price is not required to be specified by account owner.
TBSP	ToBeSpecified	Price to be specified by account owner.

25.2.2.53 ProcessedStatus2Code

Definition: Specifies the processing status of a cancellation request.

Type: CodeSet

CodeName	Name	Definition
RECE	Received	The instruction/request has been received.
COMP	Completed	The request has been completed.

25.2.2.54 ProcessedStatus3Code

Definition: Specifies the processing status of an advice, a request or a movement.

Type: CodeSet

CodeName	Name	Definition
RECE	Received	The instruction/request has been received.
PEND	Pending	The processing of the request is pending.
PACK	Accepted	The request is accepted.

25.2.2.55 ProcessedStatus4Code

Definition: Specifies the processing status of the standing instruction cancellation request.

Type: CodeSet

CodeName	Name	Definition
RECE	Received	The instruction/request has been received.
COMP	Completed	The request has been completed.
PEND	Pending	The processing of the request is pending.

25.2.2.56 ProcessedStatus5Code

Definition: Specifies the processing status of a request.

Type: CodeSet

CodeName	Name	Definition
RECE	Received	The instruction/request has been received.
PACK	Accepted	The request is accepted.

25.2.2.57 ProcessedStatus6Code

Definition: Specifies the processing status of a deactivation instruction.

Type: CodeSet

CodeName	Name	Definition
RECE	Received	The instruction/request has been received.
DEAC	WaitingDeactivationDate	Awaiting the deactivation date.
COMP	Completed	The request has been completed.

25.2.2.58 ProcessedStatus7Code

Definition: Processing status of the corporate action notification advice coming from the issuer agent.

Type: CodeSet

CodeName	Name	Definition
RECE	Received	The instruction/request has been received.

CodeName	Name	Definition
SENT	SentToMarket	The advice has been sent to the market.
SNAV	SystemNotAvailable	System is not available. Instruction is sent outside of business hours.
WARN	AcceptedWithWarning	The announcement has been accepted but another source has provided different information.
PACK	Accepted	The request is accepted.
PEND	Pending	The processing of the request is pending.

25.2.2.59 ProcessingPosition2Code

Definition: Specifies the processing position.

Type: CodeSet

CodeName	Name	Definition
AFTE	After	Specifies that the transaction/instruction is to be executed after the linked transaction/instruction.
WITH	With	Specifies that the transaction/instruction is to be executed with the linked transaction/instruction.
BEFO	Before	Specifies that the transaction/instruction is to be executed before the linked transaction/instruction.
INFO	Information	Specifies that the transactions/instructions are linked for information purposes only.

25.2.2.60 ProcessingStatus1Code

Definition: Specifies the processing status.

Type: CodeSet

CodeName	Name	Definition
COMP	Complete	Message contains complete details of the corporate action event at the time the event is communicated and the occurrence of the event has been confirmed by the account servicer's chosen official source. Further updates to the event are still possible.
PREC	PreliminaryConfirmed	Message may not contain complete details of the corporate action event, however, the occurrence of the event is confirmed.
PREU	PreliminaryUnconfirmed	Message may not contain complete details of the corporate action event and

CodeName	Name	Definition
		the occurrence of the event is not yet confirmed.
INFO	ForInformationOnly	The message is for information only.

25.2.2.61 Quantity1Code

Definition: Specifies quantity of a financial instrument.

Type: CodeSet

CodeName	Name	Definition
QALL	AllSecurities	Instruction applies to the entire eligible balance of underlying securities.

25.2.2.62 RateType12Code

Definition: Specifies the type of rate.

Type: CodeSet

CodeName	Name	Definition
OPEN	Open	Rate has not been established.
UKWN	Unknown	Rate is unknown by the sender or has not been established.
NILP	NilPayment	Rate will not be paid.

25.2.2.63 RateValueType2Code

Definition: Specifies the value of a rate.

Type: CodeSet

CodeName	Name	Definition
UKWN	Unknown	Rate is unknown by the sender or has not been established.
OPEN	Open	Rate has not been established.
NILP	NilPayment	Rate will not be paid.

25.2.2.64 RateValueType6Code

Definition: Specifies the value of a rate.

Type: CodeSet

CodeName	Name	Definition
UKWN	Unknown	Rate is unknown by the sender or has not been established.
OPEN	Open	Rate has not been established.

25.2.2.65 RejectionReason10Code

Definition: Specifies the reason of a rejection of a standing instruction cancellation request.

Type: CodeSet

CodeName	Name	Definition
FAIL	FailedValidation	The validation of the advice/instruction/request failed.

25.2.2.66 RejectionReason12Code

Definition: Specifies the reason of a rejection of a deactivation instruction.

Type: CodeSet

CodeName	Name	Definition
DEAC	EventAlreadyDeactivated	Rejected as the deactivation of the event is already completed.
FAIL	FailedValidation	The validation of the advice/instruction/request failed.
SAME	SameCAIdentification	An Agent Corporate Action Deactivation Instruction with the same identification already exists.
REFI	InvalidCAIdentification	Invalid corporate action identification supplied.
AGIN	InvalidAgent	Invalid agent identification supplied.
MAIN	NotMainAgent	Agent Identification specified does not correspond to the main Agent of the relating event.
OPTI	InvalidOption	Invalid combination of CA option code and CA option number.
PEDA	PendingDeactivation	The specified Corporate Action option already has a pending deactivation instruction.
NORO	NoRemainingOption	Specified Corporate Action has no remaining options to disable.
INET	InvalidEventType	CA Event Type does not correspond with the relating Corporate Action.
INUS	InvalidUnderlyingSecurity	Underlying Security Identification does not correspond with the Corporate Action Reference supplied.
INPT	InvalidEventProcessingType	Event Processing Type does not correspond with the relating Corporate Action.
INMV	InvalidMandatoryVoluntaryEventType	Mandatory/Voluntary Event Type does not correspond with the relating Corporate Action.
SAID	SameIdentification	Several message with the same identification.
MICA	MismatchedCAIdentification	Issuer CA Identification does not correspond with the Corporate Action Identification supplied.
NOAP	NotApplicable	This instruction type is not applicable for mandatory events.

25.2.2.67 RejectionReason13Code

Definition: Specifies the reason of a rejection of a movement.

Type: CodeSet

CodeName	Name	Definition
FAIL	FailedValidation	The validation of the advice/instruction/request failed.
SAID	SameIdentification	Several message with the same identification.
INID	InvalidIdentification	Instruction contains an invalid message identification, identification is unknown.
REFI	InvalidCAIdentification	Invalid corporate action identification supplied.
MICA	MismatchedCAIdentification	Issuer CA Identification does not correspond with the Corporate Action Identification supplied.

25.2.2.68 RejectionReason14Code

Definition: Specifies the reason of a rejection of a movement cancellation request.

Type: CodeSet

CodeName	Name	Definition
FAIL	FailedValidation	The validation of the advice/instruction/request failed.
LATT	Late	Instruction/Request arrives too late.
INET	InvalidEventType	CA Event Type does not correspond with the relating Corporate Action.
INUS	InvalidUnderlyingSecurity	Underlying Security Identification does not correspond with the Corporate Action Reference supplied.
INPT	InvalidEventProcessingType	Event Processing Type does not correspond with the relating Corporate Action.
INMV	InvalidMandatoryVoluntaryEventType	Mandatory/Voluntary Event Type does not correspond with the relating Corporate Action.
INDE	InvalidCorporateActionInformation	Details specified in the Corporate Action General Information block are inconsistent with those that were specified in the relating original message.
AGIN	InvalidAgent	Invalid agent identification supplied.
INMO	InvalidMovementInformation	Details specified in the MOVEMENT GENERAL INFORMATION block are inconsistent with those that were specified in the relating original message.
SAID	SameIdentification	Several message with the same identification.

CodeName	Name	Definition
INID	InvalidIdentification	Instruction contains an invalid message identification, identification is unknown.
MOSE	MovementAlreadySettled	One or more of the underlying movements have already settled.

25.2.2.69 RejectionReason15Code

Definition: Specifies the reason of a rejection of an information advice.

Type: CodeSet

CodeName	Name	Definition
FAIL	FailedValidation	The validation of the advice/instruction/request failed.

25.2.2.70 RejectionReason18Code

Definition: Specifies the reason of a rejection of an election advice.

Type: CodeSet

CodeName	Name	Definition
FAIL	FailedValidation	The validation of the advice/instruction/request failed.
INHO	InsufficientBalance	Insufficient balance.
LATT	Late	Instruction/Request arrives too late.

25.2.2.71 RejectionReason19Code

Definition: Specifies the reason of a rejection of a global movement authorisation request.

Type: CodeSet

CodeName	Name	Definition
FAIL	FailedValidation	The validation of the advice/instruction/request failed.

25.2.2.72 RejectionReason20Code

Definition: Specifies the reason of a rejection of the standing instruction request.

Type: CodeSet

CodeName	Name	Definition
FAIL	FailedValidation	The validation of the advice/instruction/request failed.
CASA	NotIdentifiedCashAccount	Unable to identify cash account.
CORR	NotIdentifiedCorrespondentBank	Unable to identify correspondent bank.
STAN	InvalidStandingInstructionType	Invalid standing instruction type for the specified underlying security.
NOHO	NoHolding	No holding for the specified underlying security.

25.2.2.73 RejectionReason7Code

Definition: Specifies the reason of a rejection cancellation request.

Type: CodeSet

CodeName	Name	Definition
DEAC	EventAlreadyDeactivated	Rejected as the deactivation of the event is already completed.
FAIL	FailedValidation	The validation of the advice/instruction/request failed.
PDEA	NoPendingDeactivationInstruction	The cancellation request is rejected as there is no pending deactivation instruction.
INID	InvalidIdentification	Instruction contains an invalid message identification, identification is unknown.
REFI	InvalidCAIdentification	Invalid corporate action identification supplied.
AGIN	InvalidAgent	Invalid agent identification supplied.
SAID	SameIdentification	Several message with the same identification.
DEAO	OptionAlreadyDeactivated	Rejected as the deactivation of the option is already completed.
INET	InvalidEventType	CA Event Type does not correspond with the relating Corporate Action.
INUS	InvalidUnderlyingSecurity	Underlying Security Identification does not correspond with the Corporate Action Reference supplied.
INPT	InvalidEventProcessingType	Event Processing Type does not correspond with the relating Corporate Action.
INMV	InvalidMandatoryVoluntaryEventType	Mandatory/Voluntary Event Type does not correspond with the relating Corporate Action.
INDE	InvalidCorporateActionInformation	Details specified in the Corporate Action General Information block are inconsistent with those that were specified in the relating original message.
INDT	InvalidDetails	Message details specified in the instruction/request are inconsistent with those that were specified in the relating original message.

25.2.2.74 RejectionReason80Code

Definition: Specifies the reason why the corporate actio notification advice has a rejected status.

Type: CodeSet

CodeName	Name	Definition
PDAY	EffectiveDayAfterPaymentDate	Effective date is after the payment date.

CodeName	Name	Definition
FAIL	FailedValidation	Validation of the advice/instruction/request failed.
GAMN	GrossAmountLessThanNetAmount	Gross amount is less than net amount.
MCER	MissingCertification	Certification has not been filled in.
DFLT	MissingDefaultOption	One option must be marked as the default.
XRAT	MissingExchangeRate	Resulting amount is not allowed without an exchange rate.
SECO	MissingOption	Second option is mandatory.
ENDP	MissingPeriodEndDate	Period ending date or code is missing.
STAR	MissingPeriodStartDate	Period starting date or code is missing.
RESU	MissingResultingAmount	Resulting amount must be filled in as exchange rate is present.
NOAC	NoAccessToService	Agent has no access to the service.
NPAT	NonActiveParty	Party is not active.
ELIG	NonEligibleSecurity	Security is not eligible.
BDAY	NotBusinessDay	Date is not a business day for the security market.
AGID	NotDefinedAgent	Party is not defined as an agent.
DISP	NotEligibleDisperseSecurity	Disperse security is not eligible in the (ICSD) service provider owner.
PERI	PeriodEndDateBeforeStartDate	Period ending date is before period starting date.
RDTE	RecordDateAfterPaymentDate	Record date is after payment date.
ACRU	UnexpectedAccruedInterest	Accrued interest is not applicable for this type of security.
VALU	ValueDateBeforePaymentDate	Value date is before payment date.
SECT	WrongSecurityType	Security type does not match with the type of corporate action.
PROR	MissingProrationRate	Proration rate is missing.
BIDI	MissingBidInterval	Bid interval is missing.
MINP	MissingMinimumPrice	Minimum price is missing.
MAXP	MissingMaximumPrice	Maximum price is missing.
FIRS	MissingFirstBidIncrement	First bid increment is missing.
LAST	MissingLastBidIncrement	Last bid increment is missing.
OVPR	MissingOversubscriptionPrice	Oversubscription price is missing.
OVCH	MissingOversubscriptionChargeFlag	Oversubscription charge flag is missing.
OVFL	MissingOversubscriptionFlag	Oversubscription flag is missing.
RITR	MissingRightsTransferrableFlag	Rights transferrable flag is missing.
SFEE	MissingSolicitationDealerFeeFlag	Solicitation dealer fee flag is missing.

CodeName	Name	Definition
BACK	MissingBackEndOddLotQuantity	Back end odd lot quantity is missing.
COND	MissingConditionalTendersAcceptedFlag	Conditional tenders accepted flag is missing.
MFCF	MissingFullConditionalFlag	Full conditional flag is missing.
ODLT	MissingOddLotPriorityFlag	Odd lot priority flag is missing.
MPRI	MissingProrationRoundingIndicator	Proration rounding indicator is missing.
PRCH	MissingProtectChargeIndicator	Protect charge indicator is missing.
SUPR	MissingSubscriptionPrice	Subscription price is missing.
SUCH	MissingSubscriptionChargeFlag	Subscription charge flag is missing.
UNSP	MissingUnspecifiedPriceAllowed	Unspecified price allowed is missing.
CSRT	MissingCashRate	Cash rate is missing.
SERT	MissingSecuritiesRate	Securities rate is missing.
PTYP	MissingPayoutType	Payout type is missing.
CINL	MissingCashInLieuPrice	Cash in lieu price is missing.
MDIS	MissingDisbursedSecurityIdentification	Disbursed security identification is missing.
IDIS	InvalidDisbursedSecurityIdentification	Disbursed security identification is missing.
FRAC	MissingFractionalDisposition	Fractional disposition is missing.
PBAS	MissingPriceBasis	Price basis is missing.
ROUN	MissingRoundingFactor	Rounding factor is missing.
ISSR	MissingIssuerAgent	Issuer agent is missing.
ISSC	InvalidOrMissingIssuerAgentContactInformation	Issuer agent contact information is invalid or missing.

25.2.2.75 RejectionReason81Code

Definition: Specifies the reason why the corporate action notification cancellation request has a rejected status.

Type: CodeSet

CodeName	Name	Definition
FAIL	FailedValidation	Validation of the advice/instruction/request failed.
REFI	InvalidCAIdentification	Invalid corporate action identification supplied.
DCAN	RejectedSinceAlreadyCancelled	Cancellation request was rejected since the instruction has already been cancelled.
DPRG	RejectedSinceInProgress	Cancellation request has been rejected because the instruction process is in progress or has been processed.

25.2.2.76 RejectionReason8Code

Definition: Specifies the reason of a rejection of an election amendment request.

Type: CodeSet

CodeName	Name	Definition
NAMD	AmendmentNotAllowed	Amendment is not allowed.
LATT	Late	Instruction/Request arrives too late.
ELEC	ElectionAlreadyCancelled	The election advice is already cancelled.
FAIL	FailedValidation	The validation of the advice/instruction/request failed.

25.2.2.77 RejectionReason9Code

Definition: Specifies the reason of a rejection of an election cancellation request.

Type: CodeSet

CodeName	Name	Definition
NCAN	CancellationNotAllowed	Cancellation is not allowed.
LATT	Late	Instruction/Request arrives too late.
ELEC	ElectionAlreadyCancelled	The election advice is already cancelled.
FAIL	FailedValidation	The validation of the advice/instruction/request failed.

25.2.2.78 RenounceableStatus1Code

Definition: Specifies the renounceable status.

Type: CodeSet

CodeName	Name	Definition
NREN	NonRenounceable	Intermediate securities cannot be sold.
RENO	Renounceable	Intermediate securities can be sold.

25.2.2.79 SafekeepingPlace1Code

Definition: Specifies the type of place of safekeeping.

Type: CodeSet

CodeName	Name	Definition
CUST	SharesHeldAtLocalCustodian	Financial instruments held at a local custodian.
ICSD	SharesHeldAtICSD	Financial instruments held at an International Central Securities Depository.
NCSD	SharesHeldAtNCSD	Financial instruments held at a National Central Securities Depository.

CodeName	Name	Definition
SHHE	SharesHeldElsewhere	Used for certain financial instruments, for example, US mutual funds, where settlement is internal only, that is, there is no external movement of securities.

25.2.2.80 SafekeepingPlace2Code

Definition: Specifies the type of place of safekeeping.

Type: CodeSet

CodeName	Name	Definition
SHHE	SharesHeldElsewhere	Used for certain financial instruments, for example, US mutual funds, where settlement is internal only, that is, there is no external movement of securities.
ALLP	AllPlaces	All places of safekeeping where the financial instrument is held.

25.2.2.81 SecuritiesBalanceType10Code

Definition: Specifies the type of securities balance.

Type: CodeSet

CodeName	Name	Definition
AVLB	Available	Balance of money or securities that is at the disposal of the account owner on the date specified.
REST	Restricted	Balance of cash that may only be used under certain conditions. Balance type is used to block securities/ cash in the client account e.g., in relation to elections relating to certain corporate actions. The holding is under the direct control of the system paying agent, who is the only one who can transfer securities out of this balance type. Also known as the "escrow balance" or "sequestered balance".
RDIS	ReservedForDistribution	Balance of financial instruments that are reserved for the distribution of the proceeds.
RREM	ReservedForRemoval	Balance of securities which are reserved temporarily in the SPA account before they will effectively be exchanged.

25.2.2.82 SecuritiesBalanceType6Code

Definition: Specifies the type of securities balance.

Type: CodeSet

CodeName	Name	Definition
AVLB	Available	Balance of money or securities that is at the disposal of the account owner on the date specified.
REST	Restricted	Balance of cash that may only be used under certain conditions. Balance type is used to block securities/ cash in the client account e.g., in relation to elections relating to certain corporate actions. The holding is under the direct control of the system paying agent, who is the only one who can transfer securities out of this balance type. Also known as the "escrow balance" or "sequestered balance".
TENT	TotalEntitled	Total quantity of financial instruments entitled to the account owner based on the terms of the corporate action event.
RDIS	ReservedForDistribution	Balance of financial instruments that are reserved for the distribution of the proceeds.
RREM	ReservedForRemoval	Balance of securities which are reserved temporarily in the SPA account before they will effectively be exchanged.

25.2.2.83 SecuritiesBalanceType9Code

Definition: Specifies the type of securities balance.

Type: CodeSet

CodeName	Name	Definition
AVLB	Available	Balance of money or securities that is at the disposal of the account owner on the date specified.
ELEC	Elected	Balance of instructed position.
UNEL	Unelected	Balance of uninstructed position.
RDIS	ReservedForDistribution	Balance of financial instruments that are reserved for the distribution of the proceeds.
RREM	ReservedForRemoval	Balance of securities which are reserved temporarily in the SPA account before they will effectively be exchanged.

25.2.2.84 ShareRanking1Code

Definition: Specifies whether the shares are ranking for dividend or pari passu.

Type: CodeSet

CodeName	Name	Definition
DIVI	Dividend	Payable on the date specified.

CodeName	Name	Definition
PARI	PariPassu	Pari Passu. With equal rights as other shares of the company.

25.2.2.85 StampDutyType1Code

Definition: Specifies if the stamp duty is applicable.

Type: CodeSet

CodeName	Name	Definition
SDRU	OutsideCSD	SDRT paid outside of CSD on corporate action.
SDRT	Paid	SDRT paid on another transaction.
SDRN	NotApplicable	Stamp duty not applicable.
SDRQ	Exemption	Exempt, for any other reason.

25.2.2.86 StandingInstructionGrossNet1Code

Definition: Specifies the type of standing instruction.

Type: CodeSet

CodeName	Name	Definition
GROS	Gross	Gross payment.
NETT	Net	Net payment.

25.2.2.87 StandingInstructionType1Code

Definition: Specifies the type of standing instruction.

Type: CodeSet

CodeName	Name	Definition
CASH	CashDistribution	Standing instruction for a cash distribution.
PAYM	GrossNet	Standing instruction for the payment modality, gross or net.
SECU	SecuritiesDistribution	Standing instruction for a securities distribution.

25.2.2.88 TaxableIncomePerShareCalculated2Code

Definition: Specifies whether the fund calculates a taxable interest per share (TIS).

Type: CodeSet

CodeName	Name	Definition
TSIY	FundCalculates	Fund is calculating the taxable interest per share with the NAV.
TSIN	FundDoesNotCalculate	Fund is not calculating the taxable interest per share with the NAV.

CodeName	Name	Definition
UKWN	FundCalculatesUnknown	Unknown whether the fund is calculating the taxable interest per share with the NAV.

25.2.2.89 TaxType3Code

Definition: Type of tax.

Type: CodeSet

CodeName	Name	Definition
LIDT	GermanLocalTax2	Local tax (ZAS pflichtige Zinsen) - interest liable for interest down payment tax (proportion of gross interest per unit/ interim profits that is not covered by the credit in the interest pool).
WITF	WithholdingOfForeignTax	Rate at which the income will be withheld by the jurisdiction in which the income was originally paid, for which relief at source and/or reclaim may be possible.
WITL	WithholdingOfLocalTax	Rate at which the income will be withheld by the jurisdiction in which the account owner is located, for which relief at source and/or reclaim may be possible.

25.2.2.90 UnicodeChartsCode

Definition: codelist containing the names of the UNICODE code block name as specified on <http://unicode.org/Public/UNIDATA/Blocks.txt>.

Type: CodeSet

Constraints

- ValidationByTable

25.2.3 Date

25.2.3.1 ISODate

Definition: A particular point in the progression of time in a calendar year expressed in the YYYY-MM-DD format. This representation is defined in "XML Schema Part 2: Datatypes Second Edition - W3C Recommendation 28 October 2004" which is aligned with ISO 8601.

Type: Date

25.2.4 DateTime

25.2.4.1 ISODateTime

Definition: A particular point in the progression of time defined by a mandatory date and a mandatory time component, expressed in either UTC time format (YYYY-MM-DDThh:mm:ss.sssZ), local time with

UTC offset format (YYYY-MM-DDThh:mm:ss.sss+/-hh:mm), or local time format (YYYY-MM-DDThh:mm:ss.sss). These representations are defined in "XML Schema Part 2: Datatypes Second Edition - W3C Recommendation 28 October 2004" which is aligned with ISO 8601.

Note on the time format:

1) beginning / end of calendar day

00:00:00 = the beginning of a calendar day

24:00:00 = the end of a calendar day

2) fractions of second in time format

Decimal fractions of seconds may be included. In this case, the involved parties shall agree on the maximum number of digits that are allowed.

Type: DateTime

25.2.5 IdentifierSet

25.2.5.1 AnyBICDec2014Identifier

Definition: Code allocated to a financial or non-financial institution by the ISO 9362 Registration Authority, as described in ISO 9362: 2014 - "Banking - Banking telecommunication messages - Business identifier code (BIC)".

Type: IdentifierSet

Identification scheme: SWIFT; AnyBICIdentifier

Format

pattern [A-Z0-9]{4,4}[A-Z]{2,2}[A-Z0-9]{2,2}([A-Z0-9]{3,3}){0,1}

Constraints

- AnyBIC

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

25.2.5.2 AnyBICIdentifier

Definition: Code allocated to a financial or non-financial institution by the ISO 9362 Registration Authority, as described in ISO 9362 "Banking - Banking telecommunication messages - Business identifier code (BIC)".

Type: IdentifierSet

Identification scheme: SWIFT; AnyBICIdentifier

Format

pattern [A-Z]{6,6}[A-Z2-9][A-NP-Z0-9]([A-Z0-9]{3,3}){0,1}

Constraints

- **AnyBIC**

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered by the ISO 9362 Registration Authority in the BIC directory, and consists of eight (8) or eleven (11) contiguous characters.

25.2.5.3 BBANIdentifier

Definition: Basic Bank Account Number (BBAN). Identifier used nationally by financial institutions, ie, in individual countries, generally as part of a National Account Numbering Scheme(s), which uniquely identifies the account of a customer.

Type: IdentifierSet

Identification scheme: National Banking Association; Basic Bank Account Number

Format

pattern [a-zA-Z0-9]{1,30}

25.2.5.4 BICFIDec2014Identifier

Definition: Code allocated to a financial institution by the ISO 9362 Registration Authority as described in ISO 9362: 2014 - "Banking - Banking telecommunication messages - Business identifier code (BIC)".

Type: IdentifierSet

Identification scheme: SWIFT; BICIdentifier

Format

pattern [A-Z0-9]{4,4}[A-Z]{2,2}[A-Z0-9]{2,2}([A-Z0-9]{3,3}){0,1}

Constraints

- **BICFI**

Valid BICs for financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consist of eight (8) or eleven (11) contiguous characters.

25.2.5.5 BICIdentifier

Definition: Code allocated to a financial institution by the ISO 9362 Registration Authority as described in ISO 9362 "Banking - Banking telecommunication messages - Business identifier code (BIC)".

Type: IdentifierSet

Identification scheme: SWIFT; BICIdentifier

Format

pattern [A-Z]{6,6}[A-Z2-9][A-NP-Z0-9]([A-Z0-9]{3,3}){0,1}

Constraints

- **BIC**

Valid BICs for financial institutions are registered by the ISO 9362 Registration Authority in the BIC directory, and consist of eight (8) or eleven (11) contiguous characters.

25.2.5.6 IBANIdentifier

Definition: An identifier used internationally by financial institutions to uniquely identify the account of a customer at a financial institution, as described in the latest edition of the international standard ISO 13616. "Banking and related financial services - International Bank Account Number (IBAN)".

Type: IdentifierSet

Identification scheme: National Banking Association; International Bank Account Number (ISO 13616)

Format

pattern [a-zA-Z]{2,2}[0-9]{2,2}[a-zA-Z0-9]{1,30}

Constraints

- **IBAN**

A valid IBAN consists of all three of the following components: Country Code, check digits and BBAN.

25.2.5.7 ISINIdentifier

Definition: International Securities Identification Number (ISIN). A numbering system designed by the United Nation's International Organisation for Standardisation (ISO). The ISIN is composed of a 2-character prefix representing the country of issue, followed by the national security number (if one exists), and a check digit. Each country has a national numbering agency that assigns ISIN numbers for securities in that country.

Type: IdentifierSet

Identification scheme: ANNA; ISINIdentifier

Format

pattern [A-Z0-9]{12,12}

25.2.5.8 ISINOct2015Identifier

Definition: The International Securities Identification Number is a code allocated to financial instruments as well as referential instruments, as described in the ISO 6166 standard, associated with the minimum descriptive data. The ISIN consists of a prefix using the alpha-2 country codes or reserved codes specified in ISO 3166 or other prefixes as may be determined by the Registration Authority for the ISO 6166 standard, a nine characters (alphanumeric) basic code and a check digit.

Note: this identifier also supports the new version of the standard, which was published in 2021 (ISO 6166:2021) as there is no update in the pattern.

Type: IdentifierSet

Identification scheme: ANNA; ISINIdentifier

Format

pattern [A-Z]{2,2}[A-Z0-9]{9,9}[0-9]{1,1}

25.2.5.9 LEIdentifier

Definition: Legal Entity Identifier is a code allocated to a party as described in ISO 17442 "Financial Services - Legal Entity Identifier (LEI)".

Type: IdentifierSet

Identification scheme: Global LEI System; LEIdentifier

Format

pattern [A-Z0-9]{18,18}[0-9]{2,2}

25.2.5.10 MICIdentifier

Definition: Market Identifier Code. The identification of a financial market, as stipulated in the norm ISO 10383 'Codes for exchanges and market identifications'.

Type: IdentifierSet

Identification scheme: SWIFT; MICIdentifier

Format

pattern [A-Z0-9]{4,4}

25.2.5.11 UPICIdentifier

Definition: Universal Payment Identification Code (UPIC). Identifier used by the New York Clearing House to mask confidential data, such as bank accounts and bank routing numbers. UPIC numbers remain with business customers, regardless of banking relationship changes.

Type: IdentifierSet

Identification scheme: The Clearing House (formerly The New York Clearing House); Universal Payment Identification Code

Format

pattern [0-9]{8,17}

25.2.6 Indicator**25.2.6.1 YesNoIndicator**

Definition: Indicates a "Yes" or "No" type of answer for an element.

Type: Indicator

Meaning When True: Yes

Meaning When False: No

25.2.7 Quantity

25.2.7.1 DecimalNumber

Definition: Number of objects represented as a decimal number, for example 0.75 or 45.6.

Type: Quantity

Format

totalDigits	18
fractionDigits	17

25.2.7.2 Number

Definition: Number of objects represented as an integer.

Type: Quantity

Format

totalDigits	18
fractionDigits	0

25.2.8 Rate

25.2.8.1 BaseOneRate

Definition: Rate expressed as a decimal, for example, 0.7 is 7/10 and 70%.

Type: Rate

Format

totalDigits	11
fractionDigits	10
baseValue	1.0

25.2.8.2 PercentageRate

Definition: Rate expressed as a percentage, that is, in hundredths, for example, 0.7 is 7/10 of a percent, and 7.0 is 7%.

Type: Rate

Format

totalDigits	11
fractionDigits	10
baseValue	100.0

25.2.9 Text

25.2.9.1 Exact3NumericText

Definition: Specifies a numeric string with an exact length of 3 digits.

Type: Text

Format

pattern	[0-9]{3}
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25.2.9.2 Exact4AlphaNumericText

Definition: Specifies an alphanumeric string with a length of 4 characters.

Type: Text

Format

pattern	[a-zA-Z0-9]{4}
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25.2.9.3 Max128Text

Definition: Specifies a character string with a maximum length of 128 characters.

Type: Text

Format

minLength	1
maxLength	128

25.2.9.4 Max140Text

Definition: Specifies a character string with a maximum length of 140 characters.

Type: Text

Format

minLength	1
maxLength	140

25.2.9.5 Max16Text

Definition: Specifies a character string with a maximum length of 16 characters.

Type: Text

Format

minLength	1
maxLength	16

25.2.9.6 Max2048Text

Definition: Specifies a character string with a maximum length of 2048 characters.
Type: Text

Format	
minLength	1
maxLength	2048

25.2.9.7 Max256Text

Definition: Specifies a character string with a maximum length of 256 characters.
Type: Text

Format	
minLength	1
maxLength	256

25.2.9.8 Max350Text

Definition: Specifies a character string with a maximum length of 350 characters.
Type: Text

Format	
minLength	1
maxLength	350

25.2.9.9 Max35Text

Definition: Specifies a character string with a maximum length of 35 characters.
Type: Text

Format	
minLength	1
maxLength	35

25.2.9.10 Max3NumericText

Definition: Specifies a numeric string with a maximum length of 3 digits.
Type: Text

Format	
pattern	[0-9]{1,3}

25.2.9.11 Max4AlphaNumericText

Definition: Specifies an alphanumeric string with a maximum length of 4 characters.

Type: Text

Format

minLength	1
maxLength	4
pattern	[a-zA-Z0-9]{1,4}

25.2.9.12 Max4Text

Definition: Specifies a character string with a maximum length of 4 characters.

Type: Text

Format

minLength	1
maxLength	4

25.2.9.13 Max70Text

Definition: Specifies a character string with a maximum length of 70characters.

Type: Text

Format

minLength	1
maxLength	70

25.2.9.14 PhoneNumber

Definition: The collection of information which identifies a specific phone or FAX number as defined by telecom services.

It consists of a "+" followed by the country code (from 1 to 3 characters) then a "-" and finally, any combination of numbers, "(", ")", "+" and "-" (up to 30 characters).

Type: Text

Format

pattern	\+[0-9]{1,3}-[0-9()+\-]{1,30}
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